Form 990-PF

EXTENDED TO AUGUST 15, 2018 **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Department of the Treasury Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf SEP 30, 2017 NOV 23, 2016 . and ending For calendar year 2016 or tax year beginning A Employer identification number Name of foundation 81-4521517 DUKE AND LISA UIHLEIN FAMILY FOUNDATION Number and street (or P.O. box number if mail is not delivered to street address: Room/suite B Telephone number 3325 HEISER STREET 715-377-4500 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here HUDSON, WI 54016 X Initial return Initial return of a former public charity D 1. Foreign organizations, check here G Check all that apply: Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization: $\chi \chi$ E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J | Accounting method: X Cash Accrual If the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col (c), line 16) ▶\$ 31,995. (Part I, column (d) must be on cash basis) Analysis of Revenue and Expenses (d) Disbursements Part I (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) for charitable purposes (cash basis only) expenses per books income 75,000. N/A Contributions, gifts, grants, etc., received Check If the foundation is not required to attach Sch. B. Interest on savings and temporary cash investments 13. STATEMENT 13 3 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) , gr S 68 Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a r Program . Albabia X 0. W 1 7 Capital gain net income (from Part IV, line 2) 1.2 经净证法 8 Net short-term capital gain 9 Income modifications Gross sales less return , <u>%</u> < * 3768 b Less Cost of goods sold c Gross profit or (loss) 11 Other income 00 75,01313. 12 Total. Add lines 1 through 11 0. ٥. 13 Compensation of officers, directors, trustees, etc 0. 14 Other employee salaries and wages 15 Pension plans, employee benefits 9,768 0 9,768. STMT 2 16a Legal fees

b Accounting fees c Other professional fees RECEIVED 17 Interest Ñ 18 Taxes 8 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings OGDEN. UT 22 Printing and publications 10,000 STMT 3 0. 0. 23 Other expenses 24 Total operating and administrative 9,768. 19,768. 0 expenses. Add lines 13 through 23 23,250. 23,250. 25 Contributions, gifts, grants paid 26 Total expenses and disbursements.

43,018

31,995.

c Adjusted net income (if negative, enter -0-) 623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

8 Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

Add lines 24 and 25 27 Subtract line 26 from line 12:

Form **990-PF** (2016)

33,018.



0.

13.



| Balance Sheets State sciences arrows in the terrotion (a) Book Value (b) Fax Mariet Value (c) Fax Mariet Value (d) Book Value (d) Book Value (d) Fax Mariet Value (d) Book Value (d) Book Value (d) Fax Mariet Value (d) Fax Mariet Value (d) Book Value (d) Book Value (d) Fax Mariet Value (d) Book Value (d) Book Value (d) Fax Mariet | Fo | <u>rm 99</u> | 00-PF-(2016) DUKE AND LISA UIHLEIN F. | | | 4521517 Page 2 |
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| Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. 24 Unrestricted 25 Temporarily restricted 26 Permanently restricted 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 31 Total liabilities and net assets/fund balances 4 Data in the assets or fund balances at beginning of year - Part III, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 20 Enter amount from Part I, line 27a 30 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 0.6 5 Total net assets or fund balances at end of year (line 4 minus line 5) - Part III, column (b), line 30 6 31, 995. | | 23 | Total liabilities (add lines 17 through 22) | ۱ ۵.۱ | ۸. | |
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| 24 Unrestricted 25 Temporarily restricted 26 Permanently restricted 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, blidg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 31 Total liabilities and net assets/fund balances 4 Total net assets or fund balances at beginning of year - Part III, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 O. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part III, column (b), line 30 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part III, column (b), line 30 6 31, 995. | | | • | | | |
| 25 Temporarily restricted 26 Permanently restricted 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 31, 995. | S | 24 | • | | | |
| Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 31 Total liabilities and net assets/fund balances 31 Total liabilities and net assets/fund balances 4 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 4 Enter amount from Part I, line 27a 5 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part III, column (b), line 30 6 31, 995. | | | | | | |
| Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 31 Total liabilities and net assets/fund balances 31 Total liabilities and net assets/fund balances 4 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 4 Enter amount from Part I, line 27a 5 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part III, column (b), line 30 6 31, 995. | 3ale | | | - | | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | ă |] | · · · · · · · · · · · · · · · · · · · | | | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | Ē | | | | | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | ō | 27 | • | 0. | 0. | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | ets | | | | | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | Ass | | | | 31,995. | |
| 31 Total liabilities and net assets/fund balances 0. 31,995. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 | <u>e</u> | 1 | • | | | |
| Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ▶ 5 O. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 31, 995. | ~ | | | | | |
| Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 O. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | | 31 | Total liabilities and net assets/fund balances | 0. | 31,995. | |
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| (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 O. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | _ | | | | | |
| 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ▶ 5 Oc. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 1 | | * * | 30 | | _ |
| 3 Other increases not included in line 2 (itemize) ► 3 0. 4 Add lines 1, 2, and 3 4 31,995. 5 Decreases not included in line 2 (itemize) ► 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 31,995. | _ | | the state of the s | | 1 | |
| 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ► 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 4 31,995. 5 0. 6 31,995. | | | | | | |
| 5 Decreases not included in line 2 (itemize) ► 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 31, 995. | | | | | | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 31, 995. | | | | | | |
| | | | | aluma (h) Iraa 00 | | |
| | 0 | rotal | rici assets of futili dalatices at eliu of year (line 4 minus line 5) - Part II, Co | olumn (a), line 30 | | |

| (a) List and desc | ribe the ki | sses for Tax on Inv nd(s) of property sold (e.g. or common stock, 200 shs. | , real estate, | Income | (b) | How acquired - Purchase - Donation | (c) Date acqu (mo., day, y | ıred r.) | (d) Date sold (mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------------|------------------------------------|-----------------------------------------|--------------|------------------------------------------|-------------------------------------|-----------------------------------------------|-----------------------------------------|
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| b NO | NE | | | | T | | | | |
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| d | | | | | 1 | | | | |
| e | | | | | | | | | |
| (e) Gross sales price | (f) l | Depreciation allowed (or allowable) | | st or other basis expense of sale | | | (h) Gain o (e) plus (f) r | | |
| <u>a</u> | | | | <u></u> | | | | | |
| <u>b</u> | | · | | | | | | _ | |
| _c | | | | | | | | | |
| _d | | -:- <u></u> | | | | | | | |
| <u>e</u> | | | | | | | | | |
| Complete only for assets showing | | · · · · · · · · · · · · · · · · · · · | | | | | (I) Gains (Col. (h | | |
| (i) F.M.V. as of 12/31/69 | (| j) Adjusted basis as of 12/31/69 | | xcess of col. (I) r col. (J), If any | | | ol. (k), but not le Losses (fron | | |
| <u>a</u> | | | | | | | | | |
| <u>b</u> | | | | | | | | | |
| _c | | | | | | | | | |
| _d | | · | | | | | | | |
| <u>e</u> | | <u>.</u> | | | | <u> </u> | | | |
| 2 Capital gain net income or (net ca | pital loss) | If gain, also enter If (loss), enter -0- | in Part I, line in Part I, line | e 7 e 7 | } | 2 | | | · · - |
| 3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, | ss) as defi column (i | ned in sections 1222(5) and c). | d (6): | | } | | | | |
| If (loss), enter -0- in Part I, line 8 Part V Qualification U | nder S | ection 4940(a) for | Reduced | Tay on Net | Inve | 3 estment Inc | ome | | |
| If section 4940(d)(2) applies, leave the Was the foundation liable for the section of the sectio | tion 4942 ify under | tax on the distributable amo section 4940(e). Do not cor | nplete this pa | art. | | | | | Yes No |
| (a) Base period years Calendar year (or tax year beginnii | | (b) Adjusted qualifying dist | | | (c) | ********** | s (col. | Distrib (b) divi | (d) ution ratio ided by col. (c)) |
| 2015 | <u>.</u> ., | | | | | | , | <u>, , , , , , , , , , , , , , , , , , , </u> | -y (-y) |
| 2014 | | | | | | | | | |
| 2013 | | | | | | | | | |
| 2012 | | | | | | | | | |
| 2011 | | | | | | | | | |
| Total of line 1, column (d) Average distribution ratio for the the foundation has been in exister | | | n line 2 by 5 | , or by the number | of ye | ars | 3 | | |
| 4 Enter the net value of noncharitab | le-use as: | sets for 2016 from Part X, I | ine 5 | | | | 4 | | |
| 5 Multiply line 4 by line 3 | | | | | | | . 5 | | |
| 6 Enter 1% of net investment incom | ne (1% of | Part I, line 27b) | | | | | . 6 | | |
| 7 Add lines 5 and 6 | | | | | | | . 7 | | |
| 8 Enter qualifying distributions from | n Part XII, | line 4 | | | | | . 8 | | |
| If line 8 is equal to or greater than See the Part VI instructions. | ı line 7, ct | neck the box in Part VI, line | 1b, and com | plete that part usir | ng a 1 | % tax rate. | | | 000 DE 1500 |
| 623521 11-23-16 | | | | | | | | F | orm 990-PF (2016 |

DUKE AND LISA UIHLEIN FAMILY FOUNDATION

Form 990-PF (2016)

81-4521517

Page 3

| _ | n 990-PF (2016) DUKE AND LISA UTHLEIN FAMILY FOUNDATION art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940 | | | 4521 see in | | tion | Page 4 |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------|----------------|--------------|-----------------------------------------|---------------------------------------|
| _ | | 7 | | JUG 11 | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | -, |
| 18 | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. Date of ruling or determination letter: | , | | | | | - |
| | Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% | ' | 1 | | | | 0. |
| U | of Part I, line 27b | ľ | | | | | - 0 . |
| | : All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b | . | ; | N 5 | | | ļ |
| | |).) | 2 | خثــ | | | 0. |
| 3 | Add lines 1 and 2 | • | 3 | _ | | | 0. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | | | | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | * - | 5 | | | | 0. |
| 6 | Credits/Payments: | • | | 71 1 18 | r Bri | _ | 1 |
| | 2016 estimated tax payments and 2015 overpayment credited to 2016 | | | 2.7 | | , | |
| | Exempt foreign organizations - tax withheld at source 6b | | | | | , | â |
| | : Tax paid with application for extension of time to file (Form 8868) | | | ir.ž. | | | -34 |
| | I Backup withholding erroneously withheld 6d | | , | | | | · · · · · · · · · · · · · · · · · · · |
| | Total credits and payments. Add lines 6a through 6d | - | 7 | | 4 4 300 | 20 <u>22 34-</u> 2 | 0. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached | | 8 | | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | | | | 0. |
| 10 | Overpayment, If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 10 | | | | |
| | , | efunded 🚩 | 11 | | | | |
| | art VII-A Statements Regarding Activities | | | | | | |
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate | or intervene | ın | | | Yes | No |
| | any political campaign? | | | | 1a | | X |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for | the definition) | ? | | 1b | | X |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any mate | nals publish | ed or | | | 31Å. | |
| | distributed by the foundation in connection with the activities | | | | | | لننش |
| C | : Did the foundation file Form 1120-POL for this year? | | | | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | | | | | *** : |
| | (1) On the foundation. ► \$ (2) On foundation managers. ► \$ | 0. | | | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on four | idation | | | | ************************************** | |
| | managers. ► \$ 0 . | | | | | | نسيق |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | •• | | | 2 | | X |
| | If "Yes," attach a detailed description of the activities | | | | | . \$3 | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of inc | orporation, oi | • | | | | |
| | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | | | 3 | | <u>X</u> |
| | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | | / 3 | 4a | - | <u>X</u> |
| | of "Yes," has it filed a tax return on Form 990-T for this year? | | N | /A | 4b | | |
| ð | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | | | 5 | . 4 | X |
| ۰ | If "Yes," attach the statement required by General Instruction T Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | | | , i | |
| 0 | By language in the governing instrument, or | | | | | | , , , , , , , , , , , , , , , , , , , |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict | with the etate | laur | | * | | , |
| | remain in the governing instrument? | willi lile state | IdW | | 6 | X | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), | | | | 7 | X | |
| ' | The the foundation have at least \$5,000 in assets at any time during the year. If "Yes," complete Part II, coi (c), | ano Part XV | | | ' | <u> </u> | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | | | |
| | MN | | | | | | |
| ь | of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or design | nate) | | | | | 1 |
| | of each state as required by General Instruction G? If "No," attach explanation | , | | | 8b | Х | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942 | (j)(5) for cale | ndar | | | | |
| | year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV | | | | _ 9 | | X |
| 10 | | resses | TMT | 4 | 10 | Х | |
| | | | | | | A DE | |

| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of scholon 15(b)(13)? If Yes, "attach schedule (see instructions) 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?" It Yes, "attach statement (see instructions) 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?" 13 Exhibition 15 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of ▶ RICHARD B. UIHLEIN JR. Telephone no. ▶ 7.15–377–4500 15 Section 4947(a)(1) nonreaming charachibit funds thing form 990-Fir in like of Farm 1041 - Check here and enter the amount of tax-eventifies the results fring from 900-Fir illevel of Farm 1041 - Check here and enter the amount of tax-eventifies returned via occure during the year. 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, sectionly, or for friendar and enter the amount of tax-eventifies of the results of the section of the francial account in a fronge occurrity. 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, sections yet the francial account in a fronge occurrity. 16 Form 4720 of any litera is checked in the "Yes" column, unless an exception applies. 18 Lournel by exerp fron, find money to group exerption and the francial country in a disqualified person? 19 Earn't money fron, find money to professive exceed over 10 cappit if the provision of the bonetia or section 34,94 (a) and profession of discoult in the capture of money or properly or profession of comment exercise of the cappit in the cappit in the cappit in the exception of security in the exceptions described and find provision security in the exception of security in the provision | Form | n 990-PF (2016) DUKE AND LISA UIHLEIN FAMILY FOUNDATION 81-452 art VII-A Statements Regarding Activities (continued) | <u> 1517</u> | | Page 5 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------|---------------|
| 11 All Any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see instructions) 12 Did the foundation make a distribution in a dionar advised fund over which the foundation or a disqualified person had advisory privilegies? 13 Light Statistics of the public inspection requirements for its annual returns and exemption application? 15 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 16 Website address N/A Telephone ino, 715-377-4500 17 Located at 3325 HETSER STREET , HUDSON, WI | | ort vii-A Statements negarding Activities (continued) | <u> </u> | lv | Na |
| section 51/2(k) (13) et Irves, tatchs schedule (see instructions) 11 Old the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 11 Yes, "attach statement (see instructions) 12 In the books are in care of better the public inspection requirements for its annual returns and exemption application? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of better the public inspection requirements for its annual returns and exemption application? 15 Section 497(a)(1) inchesently character than a second that the second result of the foundation for its annual returns and exemption applications? 16 Alary time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other francial account in a foreign country. 16 Alary time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other francial account in a foreign country. 16 Party Vin-B 1 Statements Regarding Activities for Which Form 4720 May Be Required 17 File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 18 During the year did the foundation of there of incitory on indirectily; 19 Engage in the sale or exchange, or leasing of property with a dequalified person? 20 Borrow money from, lend movely, or otherwise extend credit to (or accept it from) a dequalified person? 21 File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 22 Borrow meay from, lend movely, or or indirectily; 23 Borrow meay from, lend movely, or | | | | res | NO |
| 12 Did the foundation make a distribution to a dioner advised fund over which the foundation or a disqualified person had advisory privileges? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The bloods are in one or its PTRETT HUDSON, WI 15 Section 487(1) thorexemple charitable trusts filing form 990-Fil rise or form 1041 - Check here and enter the amount of tax-exempl interest recoved or accrued during the year and enter the amount of tax-exempl interest recoved or accrued during the year and enter the amount of tax-exempl interest recoved or accrued during the year 3 Section 487(4)(1) morexempl charitable trusts filing form 990-Fil rise or form 1041 - Check here and enter the amount of tax-exempl interest recoved or accrued during the year 3 A tay miss during cleandry are 2016, dull the foundation have a uniterest in or a signature or other authority over a bank, 16 A tay miss during cleandry are 2016, dull the foundation have a uniterest in or a signature or other authority over a bank, 16 Section 487(4)(1) more private interest recovered or accrued for a signature or other authority over a bank, 17 Section 4720 if any item is checked in the "Yes" column, unless an exception applies. 18 Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 19 Engran 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 Formal 2016 if any item is checked in the "Yes" column, unless an exception applies. 11 Engage in the sade or exchange, or lessing of property with a disqualified person? 12 Engage and the sade or exchange or lessing of property with a disqualified person? 13 Formals the sade or exchange or lessing of property with a disqualified person? 14 Person 4720 if any item is checked in the "Yes" column, unless an exception and person (or make any or ether available or or or accruate the ordinary or account of the column and the sade and the or to the express of, a disqualified | 11 | | 1 | | v |
| 11 "Yes," affacts statement (see instructions) 12 | | | 11 | | |
| 13 X | 12 | | ١ | | 3,7 |
| Website address N/A The books are in cast of RICHARD B. UIHLBIN, JR. Telephone no. 715-377-4500 | | | | 7,7 | <u> </u> |
| 14 The books are in care of ▶ RICHARD B. UIHLBIN, JR. Located at ▶ 3325 HEISER STREET HUDSON, WI 15 Seaton-4947(a)(1) morexempt charable trusts timp form 980-PF in lev of Form 1041 - Check here and enter the amount of tax-eventy interest received or accrued during the year 16 At any time during calends year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the menticions for exceptions and filling requirements for FinCEN Form 114. If "Yes," enter the name of the program outlier. Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, servoes, or facilities to (or accept them from) a disqualified person? (3) Furnish goods, servoes, or facilities to (or accept them from) a disqualified person? (3) Furnish goods, servoes, or facilities to (or accept them from) a disqualified person? (4) Age to pay money or proprety to a government official? (Exception, Check "No" if the doundation agreed to make a grant to or to employ the official for a period after termination of government received, for internal entire transmation of government received, for internal entire to distribute mome (section 4942) (a) expert to a province of the exceptions described in Regulations section 53.494 (10)-3 or in a current notice regarding desset assistance (see instructions?) 1 Transfer step of many acception and acception of the acception o | 13 | | 13 | Α | |
| Located at IP-33 25 HETSER STREET HUDSON, WI 15 Section 4947(3)(1) nonexempt chartable trusts friang form 990-FF in leu of Form 1041 - Check here and enter the amount of tax-evempt interest received or accrued during the year 16 At any time during calendary year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and fiting requirements for FinCEN Form 114, If "Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept if from) a disqualified person? (3) Farmsh goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person in the foundation agreed to make a great to or to emptoy the official for a period after termination of government service, if terminating within 90 desp. b If any answer is Yes' to fa(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53-44 (10)-30 or in a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts discribed in Capital than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined on section 4942(3) and years and section 4942(3) are being applied to any of the year's itself in 2a, list the years here. b If t | | | 77 / | ΕΛΛ | |
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| and enter the amount of tax-exempt interest received or accrued during the year At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other instancial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country! ▶ Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish poods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person or make any of either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or the employ the direction of a person dater termination of government service, if terminating within 90 days.) 1b If any answer is 'Yes' to 1s(1)-(6), did any of the acits fail to qualify under the exceptions described in Regulations sections 13-48 (dr)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? 1b Are there any years itset din 2a for which th | | ······································ | 4010 | | |
| 16 A tary time during calendar year 2016, dul the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filting requirements for FindCN Form 114. If Yes, "enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept in from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimbruse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check No* of the foundation agreed to make a ginant to or to employ the difficial for a period after termination of government service, if terminating within 80 days.) b If any answer sizes 15 (11)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491 (q/3) or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice r | 15 | · | N | ·/¬► | ــــا |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," either the name of the foreign country! > Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lead money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburss the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the breath of available for the price of th | 40 | | | | No |
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| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any) of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(d)-3 or in a current notice regarding disaster assistance (see instructions)? 10) The distribution relying on a current notice regarding disaster assistance (see instructions)? 11) The distribution relying on a current notice regarding disaster assistance (see instructions)? 12) Taxes on failure to distributive mome (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years itseld in 2a for which the foundation have any undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 10) If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 11) A 2b If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years listed. 12) A 2b If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year any amount in a manner that would peparadi | 18 | | | | |
| a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a priory ear in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1 Taxes on failure to distribute modified in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1 Taxes on failure to distribute modified in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016 at the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? 1 Taxes on failure to distribute modified in a prior year still persons of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 1 If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 1 If Yes, "did the founda | | · · · · · · · · · · · · · · · · · · · | 1 | **. | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491 ((i)-3 or in a current notice regarding disaster assistance (see instructions)? C) Taxes on faultire to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942()(13) or 4942()(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. D during the year? D Id the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If Yes X No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-1, 15-, or 20-year first | | · · · · · · · · · · · · · · · · · · · | | , # | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(a)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c Old the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(a) or 4942(i)(3) or 4 | | | | * | , , |
| (5) Transfer any income or assets to a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? 1. TA 1. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years \(\) b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If "Yes," list the years \(\) b If "Yes," did if have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by grift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purposes? b Indid the foundation | | | \$. | | |
| for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Cranizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years I be the end of tax year sundistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) In A be there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Dead of the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? About the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? About the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? About the foundation hold more than a 2% direct or indirect inte | | | 111: | 4 . | |
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| If "Yes," list the years ,,, | ٠ | | | | |
| statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | M INVestigation of the second | 1 4 3 | | |
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| statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | • | | | | |
| c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | , , , , , , , , , , , , , , , , , , , , | 2h | | |
| Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Ves X No | | · | 40 | | <u> </u> |
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| May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b X | ŀ | | | | |
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| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b X | | | 3h | | ا |
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| had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | · | 70 | H | |
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| | n 990-PF (2016) DUKE AND LISA UIHLEIN FAN | | | 81-45215 | 17 Page 6 |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------|
| Pa | art VII-B Statements Regarding Activities for Which F | orm 4720 May Be Re | equired (contin | ued) | |
| 5ą | During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); or | | - | es X No | |
| | any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? | • | . 🔲 Y | es X No | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than calculate about the language of the control of the | | | es X No | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals? | | XY | es 🔲 No 🕌 | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und section 53.4945 or in a current notice regarding disaster assistance (see instruc- | | n Regulations | | 5b X |
| | Organizations relying on a current notice regarding disaster assistance check he | ere | | | |
| C | If the answer is "Yes" to question 5a(4), does the foundation claim exemption freexpenditure responsibility for the grant? | | /s | es 🔲 No 🌡 | |
| 60 | If "Yes," attach the statement required by Regulations section 53 4945. Did the foundation, during the year, receive any funds, directly or indirectly, to p | • • | | | |
| | a personal benefit contract? | | . <u> </u> | es 🗓 No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a period of the first section of the form 8870 | ersonal benefit contract? | • | | 6b X |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax sl | helter transaction? | Y | es 🗓 No | |
| | If "Yes," did the foundation receive any proceeds or have any net income attribut | | | N/A | 7b |
| Pa | Information About Officers, Directors, Truste Paid Employees, and Contractors | es, Foundation Man | agers, Highly | | |
| ı L | ist all officers, directors, trustees, foundation managers and their c | ompensation. | | | |
| | (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| _ | | DIRECTOR/PRES | IDENT | | |
| | 25 HEISER STREET DSON, WI 54016 | 1.00 | 0. | 0. | 0. |
| | | DIRECTOR/TREA | | | |
| | 25 HEISER STREET | DIRECTOR, IREIN | BORLIN | Į į | |
| | DSON, WI 54016 | 1.00 | 0. | 0. | 0. |
| | | DIRECTOR/SECR | | | |
| | 25 HEISER STREET | | | | |
| | DSON, WI 54016 | 1.00 | 0. | 0. | 0. |
| | | | | | |
| 2 | Compensation of five highest-paid employees (other than those incl | uded on line 1). If none, e | enter "NONE." | l | |
| | (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| | NONE | | 1 | l | |
| | | | | | |
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| | | <u> </u> | | | |
| | | | | | |
| <u> Tota</u> | al number of other employees paid over \$50,000 | | | Form | 990-PF (2016) |

| Part VIII Information About Officers, Directors, Trustees, Foundation Manag | 81-45 | 21517 Page 7 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------|
| Paid Employees, and Contractors (continued) | ers, riiginy | |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." | | |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | ▶ 0 |
| Part IX A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | such as the | Expenses |
| 1 THE DUKE AND LISA UIHLEIN FAMILY FOUNDATION IS ORGAN | IIZED AND | |
| OPERATED FOR THE SOLE PURPOSE OF MAKING CASH CONTRIE | | |
| TO QUALIFYING PUBLIC CHARITIES. THE FOUNDATION DOES | NOT | <u> </u> |
| 2 ENGAGE IN ANY OTHER DIRECT CHARITABLE ACTIVITIES. | | |
| | | 0. |
| 3 | | 0. |
| | | |
| | | |
| 4 | | |
| | | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount |
| 1 NONE | | |
| | | 0. |
| 2 | | |
| | | |
| | | |
| All other program-related investments. See instructions. | | |
| 3 NONE | | |
| | | |
| | | |
| | | • |
| Tabel Add less 1 through 2 | | <u>0.</u> |
| Total, Add lines 1 through 3 | | |

| For | m 990-PF (2016) DUKE AND LISA UIHLEIN FAMILY FOUNDATION | 81-4521517 | Page 8 |
|-----|-------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------|
| P | Art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four | ndations, see instructions) | |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | ľ | |
| а | Average monthly fair market value of securities | 1a | 0. |
| b | Average of monthly cash balances | 1b 32 | ,338. |
| C | Fair market value of all other assets | 1c | |
| đ | Total (add lines 1a, b, and c) | 1d 32 | ,338. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and | / hu/ * | |
| | 1c (attach detailed explanation) 1e 0 • | - <i>6</i> | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 0. |
| 3 | Subtract line 2 from line 1d | 3 32 | ,338. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 485. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 31 | ,853. |
| 6 | Minimum investment return. Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD | 6 1, | ,361. |
| ŢP, | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are | nd certain | |
| | foreign organizations check here 🕨 🔲 and do not complete this part.) | | |
| 1 | Minimum investment return from Part X, line 6 | | ,361. |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | | |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | | |
| c | Add lines 2a and 2b | | 0. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 1, | ,361. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 1, | ,361. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 1 | ,361. |
| P | art XII Qualifying Distributions (see instructions) | | |
| = | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1 _a 33 | ,018. |
| ь | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| а | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | | ,018. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | , |
| J | Income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | | ,018. |
| J | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of | | , |
| | 4940(e) reduction of tax in those years | Agramace to the ageneri | |

Form **990-PF** (2016)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|-------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------|--------------------------------------------------|---------------------------------------|
| 1 Distributable amount for 2016 from Part XI, | | | , | |
| line 7 | | | 4 | 1,361. |
| 2 Undistributed income, if any, as of the end of 2016 | | | <u> </u> | : |
| a Enter amount for 2015 only | | | 0. | · · · · · · · · · · · · · · · · · · · |
| b Total for prior years: | | | <i>\$</i> ′ | ; |
| | | 0. | · | <u> </u> |
| 3 Excess distributions carryover, if any, to 2016: | | | , | , |
| a From 2011 | | | | . 4 |
| b From 2012 | | | 4 | / |
| c From 2013 | | | , 1 | ` * . 4 |
| d From 2014 | * * | , | | j * å; |
| e From 2015 | 3 | * | | 1 |
| f Total of lines 3a through e | 0. | <u> </u> | | <u> </u> |
| 4 Qualifying distributions for 2016 from | * * * * * * | | | |
| Part XII, line 4: ► \$ 33,018. | * * * * * * * * * * * * * * * * * * * * | | | |
| a Applied to 2015, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior | V () | _ | | |
| years (Election required - see instructions) | 645 11 1 1 1 1 | 0. | | |
| c Treated as distributions out of corpus | | | | |
| (Election required - see instructions) | 0. | **** | | 1 261 |
| d Applied to 2016 distributable amount | | · · · · · · · · · · · · · · · · · · · | | 1,361. |
| e Remaining amount distributed out of corpus | 31,657. | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount | 0. | | | 0. |
| must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as | | | | |
| indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 31,657. | | | |
| b Prior years' undistributed income. Subtract | | | | Marsall Co |
| line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of | | | | |
| deficiency has been issued, or on which | . | | | |
| the section 4942(a) tax has been previously | | 0. | , , | |
| assessed | | <u></u> | | · · · · · · · · · · · · · · · · · · · |
| d Subtract line 6c from line 6b. Taxable | | 0. | , , , | 1 |
| amount - see instructions | <u></u> | <u> </u> | <i>j</i> | |
| e Undistributed income for 2015. Subtract line | | | 0. | 1 |
| 4a from line 2a. Taxable amount - see instr. | | | <u> </u> | · · · · · · · · · · · · · · · · · · · |
| f Undistributed income for 2016. Subtract | | | | |
| lines 4d and 5 from line 1. This amount must | [| | | 0. |
| be distributed in 2017 | | | | |
| 7 Amounts treated as distributions out of | (| (| | |
| corpus to satisfy requirements imposed by | { | | 1 | <u> </u> |
| section 170(b)(1)(F) or 4942(g)(3) (Election | 0. | | 1 | |
| may be required - see instructions) | }· | | | |
| 8 Excess distributions carryover from 2011 | 0. | | | } |
| not applied on line 5 or line 7 | | | | † |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 31,657. | 1 | } | 1 |
| | 31,037. | | | |
| 10 Analysis of line 9: | 1 | 1 | | |
| a Excess from 2012 b Excess from 2013 | 1 | | | |
| | † | | | |
| c Excess from 2014 d Excess from 2015 | † | | | 1 |
| e Excess from 2016 31,657. | † | | | 1 |
| 623581 11-23-16 | | | | Form 990-PF (2016) |

| Form 990-PF (2016) DUKE AN | ND LISA UIHL | EIN FAMILY | FOUNDATION | | 21517 Page 10 |
|-------------------------------------------------------------------------------------------|------------------------------|-----------------------------|-----------------------------|--------------------------------------------------|--------------------|
| Part XIV Private Operating F | | | A, question 9) | N/A | |
| 1 a If the foundation has received a ruling | | | | | |
| foundation, and the ruling is effective for | • | • | ▶ ∟ | | <u>/</u> |
| b Check box to indicate whether the found | | ig foundation described ii | | 4942(j)(3) or 49 | 942(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | | Prior 3 years | | 1 |
| income from Part I or the minimum | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | ./ | |
| line 4 for each year listed | | | / | 1 | |
| d Amounts included in line 2c not | | | | | _ |
| used directly for active conduct of | | | / | | |
| exempt activities | | | / | | |
| e Qualifying distributions made directly | | | / | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | | | / | | |
| 3 Complete 3a, b, or c for the | | | / | | |
| alternative test relied upon: | | , | ľ | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | / | | | |
| ` ' | | / | | | |
| (2) Value of assets qualifying | | | | | |
| under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter | | / | | . } | |
| 2/3 of minimum investment return | | | | | |
| shown in Part X, line 6 for each year | | | | | |
| listed | | / | <u> </u> | | |
| c "Support" alternative test - enter: | | / | | | 1 |
| (1) Total support other than gross | | | | | |
| investment income (interest, dividends, rents, payments on | / | | | | |
| securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | <u> </u> | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | | | | |
| section 4942(j)(3)(B)(III) | | | | | |
| (3) Largest amount of support from | 1 | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | | | the foundation | had \$5,000 or mor | e in assets |
| at any time during t | :he year-see instru | uctions.) | | | |
| 1 Information Regarding Foundation | on Managers: | | | | |
| a List any managers of the foundation wh | • | han 2% of the total contr | ibutions received by the | foundation before the clos | e of any tax |
| year (but only if they have contributed i | more than \$5,000). (See se | ection 507(d)(2).) | | | or any tax |
| RICHARD E. UIHLEIN, | JR. | | | | |
| b List any managers of the foundation wi | | stock of a cornoration (| or an equally large portion | on of the ownership of a na | rtnershin or |
| other entity) of which the foundation ha | as a 10% or greater interes | t. | or arr oqually large porter | or the eviner only or a pa | raioromp or |
| NONE | | | | | |
| 2 Information Regarding Contribut | ion Grant Gift Loan | Scholarchin etc. Dr. | ourame: | | |
| Check here ► X if the foundation | | | | not account upo objettod roque | note for funds. If |
| the foundation makes gifts, grants, etc. | (see instructions) to indiv | iduals or organizations iii | organizations and does i | on accept unschichen requi | 4 101 101102. II |
| | | | | | u. |
| a The name, address, and telephone num | iber or e-mail address of tr | ne person to whom applic | ations should be addres | sed: | |
| | | | | | |
| L The farmer is the second | ha a change de la constant | | | _ | |
| b The form in which applications should | be submitted and informat | ion and materials they sh | ould include; | | |
| | | | | | |
| c Any submission deadlines; | | | | | |
| | | | | | |
| d Any restrictions or limitations on award | ls, such as by geographica | l areas, charitable fields, | kinds of institutions, or o | other factors: | |

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Foundation status of recipient Purpose of grant or contribution show any relationship to Amount any foundation manager Name and address (home or business) or substantial contributor a Paid during the year PC CHARITABLE OSIRIS ORGANIZATION NONE 6702 KARA DRIVE EDEN PRAIRIE, MN 55346 10,000. UNIVERSITY OF COLORADO FOUNDATION NONE PC CHARITABLE 1800 GRANT STREET, SUITE 725 DENVER, CO 80203 5,000. DRUMMOND AREA SCHOOL DISTRICT NONE PC CHARITABLE P.O. BOX 40 DRUMMOND, WI 54832 250. BRIDGES OUTREACH OF DENTON COUNTY NONE РC CHARITABLE TNC P.O. BOX 294295 LEWISVILLE, TX 75029 1,000. CHEQUAMEGON AREA MOUNTAIN BIKE NONE PC CHARITABLE ASSOCIATION P.O. BOX 141 CABLE, WI 54821 5,000. SEE CONTINUATION SHEET(S) **▶** 3a 23,250. Total b Approved for future payment NONE Total

Form **990-PF** (2016)

623621 11-23-16

| Enter gross amounts unless otherwise indicated. | Unrelat | ed business income | | ded by section 512, 513, or 514 | (e) |
|----------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------------------|---------------------------------|-----------------------------------------|
| - | (a) Business | (b) Amount | Exclu- sion code | (d) Amount | Related or exempt function income |
| 1 Program service revenue: | code | | Code | | |
| a N/A | - } | | ├ | | |
| b | · | | ├ | | |
| <u> </u> | | | | | |
| d | 1 | | | | |
| e | · | | | | |
| g Fees and contracts from government agencies | · | | | | |
| g Fees and contracts from government agencies 2 Membership dues and assessments | ļ | | | | |
| 3 Interest on savings and temporary cash | | | | | |
| investments | 1 | | 14 | 13. | j |
| 4 Dividends and interest from securities | | | - | | |
| 5 Net rental income or (loss) from real estate: | 11666 | 11012231230 | ¥ . | ** **! * ! ! ! ! ! ! ! | 130000000000000000000000000000000000000 |
| a Debt-financed property | * | | 1 | <u> </u> | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal | | | | | |
| property | į | Ì | 1 | | į |
| 7 Other investment income | ' | | | | |
| 8 Gain or (loss) from sales of assets other | | | | | |
| than inventory | | | 1 | | <u> </u> |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | Ì | ţ | İ | | |
| a | | | <u> </u> | <u> </u> | |
| b | . } | ļ | | | ļ |
| c | | | | <u> </u> | \ |
| d | | ļ | ↓ | | |
| e | | | XI 4. | | ļ - |
| 12 Subtotal. Add columns (b), (d), and (e) | * * * * * | 0. | . S | 13. | 13. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | 1 | | 13 _ | 13. |
| (See worksheet in line 13 instructions to verify calculations.) | | | | | |
| Part XVI-B Relationship of Activities | to the Acco | omplishment of Ex | empt | Purposes | |
| Line No. Explain below how each activity for which inc | | | contri | outed importantly to the accor | nplishment of |
| the foundation's exempt purposes (other than | by providing tu | inds for such purposes). | | | |
| N/A | | | | | |
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| | _ | | AND LISA | UIHLEI) | N FAMILY FOUL | NDATION_ | 81- | <u>4521517</u> | P | age <u>13</u> |
|-------------|----------|-----------------------------------------------------------------------------------------------------|---------------------------|----------------------------------------|--------------------------------------------------------------------|--------------------------------------------------|----------------------------|-----------------------------------------|----------------------|---------------|
| Par | t XV | Information Re Exempt Organ | | sfers To a | and Transactions a | nd Relations | hips With Non | charitable | | |
| 1 0 | old th | e organization directly or indi | | of the followin | o with any other organization | on described in sec | tion 501(c) of | | Yes | No |
| | | de (other than section 501(c) | | | | | | | | |
| | | ers from the reporting found | | | | | | ĺ | ĺ | ĺ |
| (| 1) C | ash | | | | | | 1a(1) | _ | X |
| (| 2) 0 | ther assets | | | | | | 1a(2) | | X |
| b C | ther | transactions: | | | | | | | | Ĭ |
| (| 1) S | ales of assets to a noncharita | ble exempt organizat | tion | | | _ | 1b(1) | | X |
| (| 2) P | urchases of assets from a no | ncharitable exempt o | organization | | | | 1b(2) | | Х |
| (| 3) R | ental of facilities, equipment, | or other assets | | | | • | 1b(3) | | X |
| (- | 4) R | eimbursement arrangements | | | | | | 1b(4) | | X |
| (| 5) L | oans or loan guarantees | | | | | | 1b(5) | | X |
| (| 6) P | erformance of services or me | mbership or fundrai | sing solicitatio | ns | | | 1b(6) | <u> </u> | X |
| c S | harın | ng of facilities, equipment, ma | uling lists, other asse | ets, or paid em | ployees | | | _1c | | <u> </u> |
| 0 | r ser | answer to any of the above is vices given by the reporting for in (d) the value of the goods, | oundation. If the four | ndation received. | ed less than fair market valu | e in any transaction | n or sharing arranger | ment, show in | | |
| (a) Line | on e | (b) Amount involved | (c) Name o | | e exempt organization | (d) Description | n of transfers, transactio | ns, and sharing arr | angeme | nts |
| | _ | | | N/A | | | | | | |
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| | | foundation directly or indirection 501(c) of the Code (other | | | | zations described | | Yes | X | No |
| <u>b</u> ! | f Yes | s," complete the following sch | | | | | | | | |
| | | (a) Name of org | ganization | | (b) Type of organization | <u> </u> | (c) Description of re | elationship | | |
| | | N/A | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | _ | | | | ļ | ļ | ···· | | | |
| | | | | | | <u> </u> | | | | |
| Sigi Her | n ar | nder penalties of permy, I declare and belief, it is true, correct and con- | that I have examined this | return, including parer (other than | accompanying schedules and st taxpayer) is based on all informa | tion of which prepare: DIREC PRESI | TOR AND | May the IRS return with the shown below | e prepar (see ins | rer |
| | | Signature of officer or trustee |) | | Date | Title | | | | |
| | | Print/Type preparer's na | ame | Preparer's s | ignature | Date | Check X if self- employed | PTIN | | |

Form 990-PF (2016)

P00357102

Firm's EIN ▶ 86-1065772

Phone no. 414-271-3000

Paid

Preparer Use Only

JEFFREY BARTH

Firm's name DELOITTE

Firm's address ▶ 555 EAST WELLS STREET, SUITE 1400

MILWAUKEE, WI 53202

81-4521517

DUKE AND LISA UIHLEIN FAMILY FOUNDATION

| Part XV Supplementary Information | n | | | |
|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------------|--------|
| 3 Grants and Contributions Paid During the | Year (Continuation) | | | |
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of | Purpose of grant or contribution | Amount |
| Name and address (home or business) | or substantial contributor | recipient | | |
| THE CHILDREN'S HEART FOUNDATION - | NONE | PC | CHARITABLE | |
| ILLINOIS CHAPTER | NONE | | | |
| P.O. BOX 2844 | | | | |
| GLENVIEW, IL 60026 | | | | 2,000. |
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| | | | | 1 |
| Total from continuation sheets | | | _ | 2,000 |

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

| | DUKE AND LISA UIHLEIN FAMILY FOUNDATION 81-4521517 |
|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Organization type (C | heck one) |
| Filers of: | Section: |
| Form 990 or 990-EZ | 501(c)() (enter number) organization |
| | 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | 527 political organization |
| Form 990-PF | X 501(c)(3) exempt private foundation |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | 501(c)(3) taxable private foundation |
| | |
| | tation is covered by the General Rule or a Special Rule. 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. |
| General Rule | |
| General Nule | |
| | nization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or om any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. |
| Special Rules | |
| sections 50 any one cor | nization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under 9(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from ntributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, 990-EZ, line 1. Complete Parts I and II |
| year, total c | nization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for ion of cruelty to children or animals. Complete Parts I, II, and III. |
| year, contri is checked, purpose. Do | nization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the butions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., on't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively hantable, etc., contributions totaling \$5,000 or more during the year |
| but it must answer " | ation that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF) |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

DUKE AND LISA UIHLEIN FAMILY FOUNDATION

81-4521517

| Part I | Contributors (See instructions). Use duplicate copies of Part I if additional | al space is needed. | |
|------------|-------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | RICHARD E. UIHLEIN, JR. 3325 HEISER STREET HUDSON, WI 54016 | \$ 75,000. | Person X Payroli |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Omnicash Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| <u> </u> | | \$ | Person Payroll Oncash Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash Complete Part II for noncash contributions) |

Name of organization

Employer identification number

DUKE AND LISA UIHLEIN FAMILY FOUNDATION

81-4521517

| art III Nond | cash Property (See instructions). Use duplicate copies of P | art II if additional space is needed. | |
|------------------------------|-------------------------------------------------------------|------------------------------------------|-------------------------------|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
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| (a) No. From | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
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| | | \$ | 990, 990-EZ, or 990-PF) (|

| Page | 4 |
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Schedule B (Form 990, 990-EZ, or 990-PF) (2016) Employer identification number Name of organization DUKE AND LISA UIHLEIN FAMILY FOUNDATION 81-4521517 Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this mile once) Part III Use duplicate copies of Part III if additional space is needed. (a) No. from Part I (d) Description of how gift is held (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (c) Use of gift (d) Description of how gift is held (b) Purpose of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

| LEGAL (A) EXPENSES PER BOOKS 9,768. | TEES (FEES NET IN MENT I | | | (C) ADJUSTED NET INCOME STATEMENT 2 (D) CHARITABLE | |
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| RT VII-A, | LINE 10 ESS | 0 | | STATEMENT 4 | |
| | 10,000. SUBSTANTIA T VII-A, ADDR 3325 | 10,000. SUBSTANTIAL CONTINT VII-A, LINE 10 ADDRESS 3325 HESIER | 10,000. 0. SUBSTANTIAL CONTRIBUTORS T VII-A, LINE 10 ADDRESS 3325 HESIER STREET | 10,000. 0. SUBSTANTIAL CONTRIBUTORS RT VII-A, LINE 10 | |