

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning Oct 1, 2016, and ending Sep 30, 2017

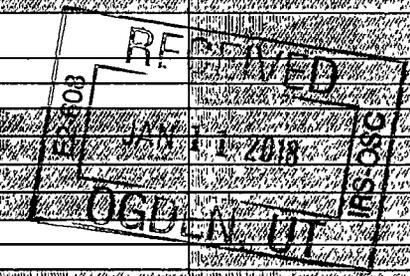
Name of foundation: THE CAROL AND JAMES COLLINS FOUNDATION. Employer identification number: 30-0100019. Telephone number: (310) 645-0113. City: CULVER CITY, CA 90230-6318. Accounting method: Accrual.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Administrative Expenses (13-26), and Summary (27).

03 04

REVENUE

ADMINISTRATIVE EXPENSES



Handwritten initials 'LD' and 'S29'

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	149,938.	85,011.	85,011.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
		b Investments — corporate stock (attach schedule) L-10b Stmt	6,752,903.	7,480,672.	7,480,672.
		c Investments — corporate bonds (attach schedule) L-10c Stmt	5,177,824.	4,788,224.	4,788,224.
	11	Investments — land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments — mortgage loans				
13	Investments — other (attach schedule) . . L-13 Stmt	558,635.	558,997.	558,997.	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ L-15 Stmt)	6,896.	4,648.	4,648.	
16	Total assets (to be completed by all filers — see the instructions Also, see page 1, item I).	12,646,196.	12,917,552.	12,917,552.	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable	50,000.		
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	50,000.			
FUNDS ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	12,596,196.	12,917,552.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	12,596,196.	12,917,552.		
31	Total liabilities and net assets/fund balances (see instructions)	12,646,196.	12,917,552.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year— Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,596,196.
2	Enter amount from Part I, line 27a	2	-82,024.
3	Other increases not included in line 2 (itemize) ▶ See Other Increases Stmt	3	407,497.
4	Add lines 1, 2, and 3	4	12,921,669.
5	Decreases not included in line 2 (itemize) ▶ See Other Decreases Stmt	5	4,117.
6	Total net assets or fund balances at end of year (line 4 minus line 5)— Part II, column (b), line 30	6	12,917,552.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SCHEDULE ATTACHED	P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 3,451,624.		2,977,374.	474,250.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a 0.	0.	0.		474,250.
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2 474,250.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[]		3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	873,980.	12,427,512.	0.070326
2014	888,149.	13,115,380.	0.067718
2013	816,015.	13,293,689.	0.061384
2012	770,351.	12,806,918.	0.060151
2011	739,324.	12,292,858.	0.060143
2	Total of line 1, column (d)		2 0.319722
3	Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.063944
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5.		4 12,477,561.
5	Multiply line 4 by line 3		5 797,865.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 7,192.
7	Add lines 5 and 6.		7 805,057.
8	Enter qualifying distributions from Part XII, line 4		8 848,748.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', 'Credits/Payments', and 'Total credits and payments'. Values include 7,192, 0, 4,648, 2,544, and 0.

Part VII Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', 'Has the foundation engaged in any activities that have not previously been reported to the IRS?', and 'Is the foundation claiming status as a private operating foundation?'. Includes 'Yes' and 'No' columns.

BAA

Part VII A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: At any time during the year, did the foundation, directly or indirectly, own a controlled entity... Row 12: Did the foundation make a distribution to a donor advised fund... Row 13: Did the foundation comply with the public inspection requirements... Row 14: The books are in care of CAROL & JAMES COLLINS FOUNDATION... Row 15: Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041... Row 16: At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: During the year did the foundation (either directly or indirectly) engage in the sale or exchange, or leasing of property with a disqualified person? Row 1b: If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? Row 1c: Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? Row 2: Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). Row 2a: At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Row 2b: Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? Row 2c: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Row 3a: Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Row 3b: If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? Row 4a: Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Row 4b: Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d) Yes No

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHLEEN C. HESSION 6101 W. CENTINELA AVE, CULVER CITY, CA 90230	PRES, SEC, TREAS. 40.00	60,008.	0.	0.
JAMES COLLINS 6101 W. CENTINELA AVE, CULVER CITY, CA 90230	CHARIMAN 2.00	0.	0.	0.
CAROL L. COLLINS 6101 W. CENTINELA AVE, CULVER CITY, CA 90230	DIRECTOR 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- -----		
----- -----	N/A	
----- -----		
----- -----		
----- -----		

Total number of others receiving over \$50,000 for professional services None

Part IX A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 ----- -----	
2 ----- -----	
3 ----- -----	
4 ----- -----	

Part IX B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 ----- -----	
2 ----- -----	
All other program-related investments See instructions 3 ----- -----	
Total. Add lines 1 through 3	

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	11,980,086.
b Average of monthly cash balances	1 b	121,324.
c Fair market value of all other assets (see instructions)	1 c	566,165.
d Total (add lines 1a, b, and c)	1 d	12,667,575.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	12,667,575.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	190,014.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	12,477,561.
6 Minimum investment return. Enter 5% of line 5	6	623,878.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	623,878.
2 a Tax on investment income for 2016 from Part VI, line 5	2 a	7,192.
b Income tax for 2016 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	7,192.
3 Distributable amount before adjustments. Subtract line 2c from line 1.	3	616,686.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4.	5	616,686.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	616,686.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	848,748.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	848,748.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7,192.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	841,556.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				616,686.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years 20__ 20__ 20__				
3 Excess distributions carryover, if any, to 2016				
a From 2011	140,099.			
b From 2012	143,221.			
c From 2013	175,415.			
d From 2014	243,590.			
e From 2015	257,098.			
f Total of lines 3a through e	959,423.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 848,748.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions).				
c Treated as distributions out of corpus (Election required — see instructions).				
d Applied to 2016 distributable amount				616,686.
e Remaining amount distributed out of corpus	232,062.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,191,485.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed Income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	140,099.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,051,386.			
10 Analysis of line 9				
a Excess from 2012	143,221.			
b Excess from 2013	175,415.			
c Excess from 2014	243,590.			
d Excess from 2015	257,098.			
e Excess from 2016	232,062.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b 85% of line 2a
- c Qualifying distributions from Part XII, line 4 for each year listed
- d Amounts included in line 2c not used directly for active conduct of exempt activities
- e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

	Tax year				(e) Total
	(a) 2016	(b) 2015	Prior 3 years		
			(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JAMES AND CAROL COLLINS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>SCHEDULE ATTACHED SCHEDULE ATTACHED SCHEDULE ATTACHED</p>	N/A	PUBLIC	SCHEDULE ATTACHED	767,600.
Total				3 a 767,600.
<i>b Approved for future payment</i>				
Total				3 b

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
SERVICE FEES				
FILING FEES	548.			548.
MISCELLANEOUS	216.	216.		
Total	<u>764.</u>	<u>216.</u>		<u>548.</u>

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

UNREALIZED GAINS	407,497.
ULD	
Total	<u>407,497.</u>

Form 990-PF, Page 2, Part III, Line 5

Other Decreases Stmt

EXCISE TAX PAYMENTS	2,247.
ULD	1,870.
Total	<u>4,117.</u>

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . <input type="checkbox"/> Business . <input type="checkbox"/> KELLY L. COLLINS 6101 W. CENTINELA AVE, CULVER CITY, CA 90230	DIRECTOR 1.00	0.	0.	0.
Person . . <input type="checkbox"/> Business . <input type="checkbox"/> MELISSA COLLINS GUDIM 6101 W. CENTINELA AVE, CULVER CITY, CA 90230	DIRECTOR 1.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EUGENE LEWIS & ASSOCIATES	TAX PREPARATION	3,320.	830.		2,490.
Total		<u>3,320.</u>	<u>830.</u>		<u>2,490.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PHILANTHROPIC ADMINISTRATIONS LLC	ADMINISTRATIVE SERVICES	36,000.	18,000.		18,000.
PAYDEN & RYGEL	INVESTMENT MANAGEMENT SERVICES	12,012.	12,012.		
Total		<u>48,012.</u>	<u>30,012.</u>		<u>18,000.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SCHEDULE ATTACHED	7,480,672.	7,480,672.
Total	<u>7,480,672.</u>	<u>7,480,672.</u>

Form 990-PF, Page 2, Part II, Line 10c

L-10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
SCHEDULE ATTACHED	4,788,224.	4,788,224.
Total	<u>4,788,224.</u>	<u>4,788,224.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
INVESTMENT IN CB MINERALS COMPANY LLC	558,997.	558,997.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Continued

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Total	<u>558,997.</u>	<u>558,997.</u>

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
PREPAID EXCISE TAXES	6,896.	4,648.	4,648.
Total	<u>6,896.</u>	<u>4,648.</u>	<u>4,648.</u>

The Carol and James Collins Foundation

30-0100019

Form 990-PF

Year Ended September 30, 2017

Part XV - Grants and Contributions

3a Paid during the year

The Carol and James Collins Foundation 990PF

9/30/17

A Place Called Home

2830 South Central Avenue

Los Angeles

CA 90011

To support general oerations

Public Charity

\$15,000.00

A Window Between Worlds

710 4th Ave. #5

Venice

CA 90291

To support Children's Windows Program

Public Charity

\$15,000.00

A Window Between Worlds

710 4th Ave. #5

Venice

CA 90291

To support 25th Anniversary

Public Charity

\$2,500.00

Alexandria House

426 South Alexandria Ave.

Los Angeles

CA 90020

To support technology upgrade

Public Charity

\$2,500.00

Alliance College-Ready Public Schools

601 S. Figueroa Street, 4th floor

Los Angeles

CA 90017

To support general operations

Public Charity

\$20,000.00

Boys & Girls Club of West San Gabriel Valley

328 South Ramona Avenue

Monterey Park

CA 91754

To support Power Hour

Public Charity

\$10,000.00

Boys & Girls Clubs of Metro Los Angeles

800 S. Figueroa, Suite 950

Los Angeles

CA 90017

To support capacity building

Public Charity

\$25,000.00

Boys & Girls Clubs of the Foothills

600 S. Shamrock Ave.

Monrovia

CA 91016

To support general operations

Public Charity

\$10,000.00

Bright Prospect

1460 East Holt Avenue, Suite 74

Pomona

CA 91767

To support college Preparatory program

Public Charity

\$20,000.00

Bright Star Schools

5800 Hannum Avenue, Suite 130

Culver City

CA 90230

To support general operations

Public Charity

\$20,000.00

California Science Center Foundation

700 Exposition Park Drive

Los Angeles

CA 90069

To support EndeavourLA Campaign

Public Charity

\$25,000.00

California YMCA Youth & Government

2000 Alameda, Suite 128

San Mateo

CA 94403

Director's Matching Grant

Public Charity

\$500.00

California YMCA Youth & Government

2000 Alameda, Suite 128

San Mateo

CA 94403

Director's Discretionary Grant

Public Charity

\$500.00

Center for Council, a project of Community Partners

1000 North Alameda Street

Los Angeles

CA 90012

To support general operations

Public Charity

\$10,000 00

City Year

606 Olive Street, 2nd Floor

Los Angeles

CA 90014

To support City Year Los Angeles

Public Charity

\$15,000.00

Coalition for Engaged Education

3131 Olympic Blvd.

Santa Monica

CA 90404

To support general operations

Public Charity

\$250.00

College Track

2130 E. 1st Street

Los Angeles

CA 90033

To support College Track Watts

Public Charity

\$20,000.00

Communities in Schools of Los Angeles

c/o CAA, 2000 Avenue of the Stars

Los Angeles

CA 90067

To support work in LAUSD schools

Public Charity

\$20,000.00

Community Partners fbo SLAM!

1000 N. Alameda Street, Suite 240

Los Angeles

CA 90012

To support capacity building through the participation in the Annenberg Alchemy Program

Public Charity

\$10,000.00

Culver City Education Foundation

P.O. Box 4178

Culver City

CA 90231

To support Middle School Improv Program

Public Charity

\$2,500.00

Culver City Education Foundation

P.O. Box 4178

Culver City CA 90231

To support Front & Center Theatre Collaborative Public Charity \$15,000.00

Discovery Science Center of Los Angeles (dba Discovery Cube Los Angeles)

2500 Main Street

Santa Ana CA 92705

To support Making the Grade STEM Proficiency Initiative Public Charity \$25,000.00

Exponent Philanthropy

1720 N Street NW

Washington DC 20036

To support general operations Public Charity \$750.00

Fellowship of Christian Athletes

P.O. Box 1136

Palm Desert CA 92261

To support Palm Desert Soccer Club Public Charity \$5,000.00

Fringe Benefits

P.O. Box 691215

Los Angeles CA 90069

Director's Discretionary Grant Public Charity \$2,500.00

Heart of Los Angeles Youth, Inc.

2701 Wilshire Boulevard, Suite 100

Los Angeles CA 90026

To support general operations Public Charity \$20,000.00

Imagine Los Angeles, Inc.

5455 Wilshire Blvd., Suite 1001

Los Angeles CA 90036

To support demonstration project with Corporation for Supportive Housing Public Charity \$15,000.00

Jewish Big Brothers Big Sisters of Los Angeles

6505 Wilshire Blvd., Suite 600

Los Angeles	CA	90048		
To support general operations			Public Charity	\$2,500.00

JPL Bible Church

39001 Monterey Avenue

Rancho Mirage	CA	92270		
Director's Discretionary Grant			Public Charity	\$5,000.00

LA Partnership for Early Childhood Investment

2355 Westwood Boulevard, #114

Los Angeles	CA	90064		
To support general operations			Public Charity	\$2,500.00

LA's Promise

202 W. 1st Street, Suite 160

Los Angeles	CA	90012		
To support Culinary Arts Program for Manual Arts and West Adams Prep			Public Charity	\$2,500.00

LA's Promise

202 W. 1st Street, Suite 160

Los Angeles	CA	90012		
To support Go for College			Public Charity	\$15,000.00

LA's Promise

202 W. 1st Street, Suite 160

Los Angeles	CA	90012		
To support Culinary Arts Programs at Manual Arts AND West Adams Prep			Public Charity	\$2,500.00

Los Angeles Drama Club

1210 S. Sycamore Avenue

Los Angeles	CA	90016		
Director's Discretionary Grant			Public Charity	\$2,500.00

Los Angeles Youth Network

1853 Taft Avenue

Hollywood

CA 90028

To support general operations

Public Charity

\$15,000.00

Loyola Marymount University

1 LMU Drive

Los Angeles

CA 90045

To support Math Leadership Corps

Public Charity

\$20,000.00

Mar Vista Family Center

5075 South Slauson Avenue

Culver City

CA 90230

To support annual luncheon

Public Charity

\$500.00

Marlborough School

250 S. Rossmore Ave.

Los Angeles

CA 90004

Director's Discretionary Grant

Public Charity

\$2,500.00

Marlborough School

250 S. Rossmore Ave.

Los Angeles

CA 90004

Director's Discretionary Grant

Public Charity

\$5,000.00

Mount St. Mary's University

10 Chester Place

Los Angeles

CA 90007

To support the Student Ambassador Program

Public Charity

\$10,000.00

National Center for Family Philanthropy

1101 Connecticut Ave, NW, Suite 220

Washington

DC 20036

To support Friends of the Family

Public Charity

\$1,000.00

New Earth Organization

6001 Bristol Parkway, Suite 200

Culver City CA 90230

To support Fluent Love of Words (FLOW) Program Public Charity \$20,000.00

New Teacher Center

110 Cooper Street, Suite 500

Santa Cruz CA 95060

To support teacher development at Para Los Ninos Public Charity \$20,000.00

Nick's Picks

12865 Pointe Del May Way, Suite 160

Del Mar CA 92014

Director's Discretionary Grant Public Charity \$2,500.00

P.F. Bresee Foundation

184 S. Bimini Place

Los Angeles CA 90004

To support general operations Public Charity \$20,000.00

Para Los Ninos

5000 Hollywood Boulevard

Los Angeles CA 90027

To support professional development Public Charity \$2,500.00

Para Los Ninos

5000 Hollywood Boulevard

Los Angeles CA 90027

To support Education program Public Charity \$20,000.00

Para Los Ninos

5000 Hollywood Boulevard

Los Angeles CA 90027

Director's Discretionary Grant Public Charity \$5,000.00

Para Los Ninos

5000 Hollywood Boulevard

Los Angeles

CA 90027

To support Middle School enrichment

Public Charity

\$2,500.00

Pasadena Arts Council (fiscal agent for Arts for All)

495 E. Colorado Boulevard

Pasadena

CA 91101

To support Arts for All

Public Charity

\$25,000.00

Project Tomorrow

15707 Rockfield Blvd., Suite 250

Irvine

CA 92618

To support Common Core Math Tutors Program in

Public Charity

\$10,000.00

Culver City High School

Providers Association for Home Health & Hospices Agencies

2695 Villa Creek Drive, Suite 147

Dallas

TX 75234

Director's Discretionary Grant

Public Charity

\$5,000.00

San Diego Yacht Club Sailing Foundation

1011 Anchorage Lane

San Diego

CA 92106

Director's Matching Grant

Public Charity

\$500.00

Shakespeare Festival /LA, dba The Shakespeare Center of Los Angeles

1238 West First Street

Los Angeles

CA 90026

To support Will Power for Youth employment initiative

Public Charity

\$15,000.00

Social Venture Partners Los Angeles

8055 W. Manchester Avenue, Suite 405

Playa del Rey

CA 90293

To support general operations

Public Charity

\$2,500.00

Southern California Grantmakers

1000 North Alameda Street, Suite 230

Los Angeles

CA 90012

To support general operations

Public Charity

\$2,300.00

Southern California Grantmakers

1000 North Alameda Street, Suite 230

Los Angeles

CA 90012

To support Annual Conference

Public Charity

\$2,500.00

Spark Program, Inc.

145 S. Spring Street, Suite 410

Los Angeles

CA 90012

To support Middle School apprenticeship program in LA

Public Charity

\$10,000.00

St. Anne's Maternity Home

155 N Occidental Blvd.

Los Angeles

CA 90026

To support Transitional Housing Program

Public Charity

\$15,000.00

Strive Foundation

9124 S. Main Street

Los Angeles

CA 90003

To support daily programming

Public Charity

\$15,000.00

Students Run America dba Students Run L.A.

5252 Crebs Avenue

Tarzana

CA 91356

To support training for 43 students

Public Charity

\$15,000.00

The Boys & Girls Clubs of Venice

2232 Lincoln Blvd.

Venice

CA 90291

Director's Discretionary Grant

Public Charity

\$5,000.00

The Boys & Girls Clubs of Venice

2232 Lincoln Blvd.

Venice

CA 90291

To support holiday event

Public Charity

\$800.00

The Center on Philanthropy and Public Policy, University of Southern California

Lewis Hall, Room 210

Los Angeles

CA 90089-0626

To support the Philanthropic Partners Program

Public Charity

\$25,000.00

The HeArt Project (dba artworxLA)

1930 Wilshire Blvd.

Los Angeles

CA 90057

To support Level 1 classroom workshops

Public Charity

\$15,000.00

The Rape Foundation

1223 Wilshire Blvd., #410

Santa Monica

CA 90403

To support The Rape Treatment Center School Program

Public Charity

\$20,000.00

The Unusual Suspects Theatre Company

3719 Verdugo Road

Los Angeles

CA 90065

To support theatre-arts workshops

Public Charity

\$15,000.00

Ticked Off Foundation

115 Ward Street

Norwalk

CT 06851

Director's Discretionary Grant

Public Charity

\$5,000.00

UNITE-LA

350 South Bixel Street,

Los Angeles

CA 90017

To support Business Education Partnership Program

Public Charity

\$15,000.00

Westside Family YMCA

11311 La Grange Ave.

Los Angeles

CA 90025

Director's Discretionary Grant

Public Charity

\$3,000.00

Westside Family YMCA

11311 La Grange Ave.

Los Angeles

CA 90025

Director's Matching Grant

Public Charity

\$4,000.00

Wise Freedom School Partners

15500 Stephen S. Wise Drive

Los Angeles

CA 90077

To support literacy and enrichment program

Public Charity

\$5,000.00

Youth Policy Institute

6464 Sunset Blvd, Suite 650

Los Angeles

CA 90028

To support Girls & Gangs Program

Public Charity

\$15,000.00

Youth Policy Institute

6464 Sunset Blvd, Suite 650

Los Angeles

CA 90028

To support general operations

Public Charity

\$1,000.00

Youth Speak Collective

444 S. Brand Blvd., Suite 201

San Fernando

CA 91340

To support programming for youth

Public Charity

\$15,000.00

\$767,600.00

PART X Fair market value of assets not used directly in carrying out charitable purposes

	SECURITIES					CASH					OTHER ASSETS	
	US & State Government Obligations	Corporate Obligations	Corporate Stock	Other Investments	Total Securities	Bank of America MM Checking	UBS Financial MMA	Charles Schwab MMF	JP Morgan MMA	Total Cash	Mineral Rights	Total Other Assets
October		5,133,463	6,644,464		11,777,927	48,976	21,412	65,090		135,478	558,635	558,635
November		4,906,722	6,806,226		11,712,948	41,103	21,412	64,233		126,748	558,635	558,635
December		4,882,876	6,833,820		11,716,696	152,809	21,412	93,571		267,792	558,635	558,635
January		4,895,777	6,927,330		11,823,107	271,190	21,412	-38,019		254,583	561,635	561,635
February		4,926,508	7,169,368		12,095,876	128,547	21,412	89,778		239,737	561,635	561,635
March		4,898,717	7,093,740		11,992,457	7,115	21,412	14,778		43,305	561,635	561,635
April		4,809,845	7,258,870		12,068,715	16,313	21,412	11,695		49,420	561,635	561,635
May		4,828,171	7,346,284		12,174,455	5,574	21,412	11,695		38,681	570,135	570,135
June		4,779,410	7,166,569		11,945,979	59,514	21,412	12,766		93,692	570,135	570,135
July		4,792,173	7,286,494		12,078,667	-1,172	21,412	12,093	25,423	57,756	586,135	586,135
August		4,810,698	7,294,608		12,105,306	-1,172	21,412	12,166	31,275	63,681	586,135	586,135
September		4,788,224	7,480,672		12,268,896	-5,000	21,412	12,166	56,433	85,011	558,997	558,997
AVERAGE					<u>11,980,086</u>					<u>121,324</u>	LINE 1c	<u>566,165</u>