

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

9/01

, 2016, and ending

8/31

, 2017

THE SAMUEL B. & MARGARET C. MOSHER
FOUNDATION
P.O. BOX 1079
SANTA BARBARA, CA 93102

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization

☐ Section 4947(a)(1) nonexempt charitable trust☒ Section 501(c)(3) exempt private foundation☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 39,193,912.

J Accounting method:

☐ Other (specify)☒ Cash☐ Accrual

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other professional fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instrs)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

24 Total operating and administrative expenses. Add lines 13 through 23

Contributions, gifts, grants paid

25 Total expenses and disbursements. Add lines 24 and 25

Part XV

26 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

BAA For Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	76,918.	56,260.	56,260.
	2 Savings and temporary cash investments	437,524.	3,169,224.	3,169,224.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	79,435.	45,498.	45,498.
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	12,463,590.	18,717,502.	23,106,599.
	c Investments — corporate bonds (attach schedule)	21,459,839.	11,441,135.	11,541,646.
	11 Investments — land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)	273,385.	1,226,357.	1,226,357.	
14 Land, buildings, and equipment basis	89,618.			
Less: accumulated depreciation (attach schedule)	See Stmt 6			
15 Other assets (describe ▶ See Statement 7)	53,760.	43,609.	35,858.	
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		12,789.	12,470.	
LIABILITIES	17 Accounts payable and accrued expenses	34,847,089.	34,704,304.	39,193,912.
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐		
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒				
27 Capital stock, trust principal, or current funds		34,847,089.	34,704,304.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)		34,847,089.	34,704,304.	
31 Total liabilities and net assets/fund balances (see instructions)		34,847,089.	34,704,304.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,847,089.
2 Enter amount from Part I, line 27a	2	-171,315.
3 Other increases not included in line 2 (itemize) ▶ See Statement 8	3	28,530.
4 Add lines 1, 2, and 3	4	34,704,304.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	34,704,304.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SCHEDULE 1		P	Various	Various
b SCHEDULE 2		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,100,744.		15,067,610.	33,134.
b 11,450,593.		10,316,630.	1,133,963.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			33,134.
b			1,133,963.
c			
d			
e			

2 Capital gain net income or (net capital loss). — [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	1,167,097.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,701,771.	35,458,750.	0.047993
2014	1,850,229.	37,872,807.	0.048854
2013	1,421,161.	37,612,581.	0.037784
2012	2,111,092.	34,695,156.	0.060847
2011	1,633,172.	32,991,596.	0.049503

2 Total of line 1, column (d)	2	0.244981
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048996
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	36,955,179.
5 Multiply line 4 by line 3	5	1,810,656.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,236.
7 Add lines 5 and 6	7	1,826,892.
8 Enter qualifying distributions from Part XII, line 4	8	1,759,812.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	32,472.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	32,472.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	32,472.
6 Credits/Payments			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016		6a	45,498.
b Exempt foreign organizations – tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	45,498.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	13,026.
11 Enter the amount of line 10 to be Credited to 2017 estimated tax 13,026. Refunded		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>BURLEIGH SKIDMORE, CPA</u> Telephone no. <u>(805) 682-8879</u> Located at <u>3710 STATE STREET, SUITE D SANTA BARBARA CA</u> ZIP + 4 <u>93105</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD BIRCH P.O. BOX 1079 SANTA BARBARA, CA 93102	President & 15.00	157,197.	0.	0.
ROBERT EMMONS P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
ALIXE MATTINGLY P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
R. BRUCE MCFADDEN P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	35,026,611.
b	Average of monthly cash balances	1 b	2,491,337.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	37,517,948.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,517,948.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	562,769.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,955,179.
6	Minimum investment return. Enter 5% of line 5	6	1,847,759.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,847,759.
2a	Tax on investment income for 2016 from Part VI, line 5	2 a	32,472.
b	Income tax for 2016 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	32,472.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,815,287.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,815,287.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,815,287.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,759,812.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,759,812.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,759,812.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016:				1,815,287.
a Enter amount for 2015 only				
b Total for prior years: 20__, 20__, 20__			0.	
3 Excess distributions carryover, if any, to 2016:		0.		
a From 2011				
b From 2012	46,039.			
c From 2013				
d From 2014	22,137.			
e From 2015				
f Total of lines 3a through e	68,176.			
4 Qualifying distributions for 2016 from Part XII, line 4. \$				1,759,812.
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus	0.			1,759,812.
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	55,475.			55,475.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	12,701.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount — see instructions		0.		
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017			0.	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			0.
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	12,701.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015	12,701.			
e Excess from 2016				

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Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☒ 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 10				
Total			3 a	1,301,420.
b Approved for future payment				
Total			3 b	

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	\$ 168,870.	\$ 168,870.	
K-1 INCOME TCP PINE, LLC	15,076.	15,076.	
K-1 INCOME TCP PROVO, LLC.	-53,451.	-53,451.	
Total	\$ 130,495.	\$ 130,495.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	\$ 30,931.	\$ 3,093.		\$ 27,838.
Total	\$ 30,931.	\$ 3,093.	\$ 0.	\$ 27,838.

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	\$ 39,002.	\$ 3,900.		\$ 35,102.
Total	\$ 39,002.	\$ 3,900.	\$ 0.	\$ 35,102.

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 35,106.			
FOREIGN TAXES	8,085.	\$ 809.		\$ 7,276.
PAYROLL TAXES	21,816.	2,182.		19,634.
PROPERTY TAX	1,536.	154.		1,382.
TAX & LICENSE	581.	58.		523.
Total	\$ 67,124.	\$ 3,203.	\$ 0.	\$ 28,815.

Federal Statements
THE SAMUEL B. & MARGARET C. MOSHER
FOUNDATION

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BANK SERVICE CHARGE	\$ 15.	\$ 2.		\$ 13.
BOARD FEE	20,400.	2,040.		18,360.
BROKERAGE FEE	220,693.	220,693.		
DUES & SUBSCRIPTION	7,260.	726.		6,534.
INSURANCE	7,238.	724.		6,514.
MEALS & ENTERTAINMENT	4,825.	482.		4,343.
MISCELLANEOUS	84.	8.		76.
OFFICE EXPENSES	2,908.	291.		2,617.
OFFICE SUPPLIES	664.	66.		598.
PARKING	905.	90.		815.
PAYROLL SERVICE FEE	987.	98.		889.
POSTAGE	738.	74.		664.
PROFESSIONAL FEE	25,200.	2,520.		22,680.
Rental Expenses	28,644.	2,864.		25,780.
TELEPHONE	280.	28.		252.
UTILITIES	2,685.	268.		2,417.
WEBSITE DEVELOPMENT EXP.	736.	74.		662.
Total	\$ 324,262.	\$ 231,048.	\$ 0.	\$ 93,214.

Statement 6
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 30,947.	\$ 26,151.	\$ 4,796.	\$ 35,858.
Machinery and Equipment	2,194.	2,194.	0.	0.
Improvements	56,477.	25,415.	31,062.	0.
Total	\$ 89,618.	\$ 53,760.	\$ 35,858.	\$ 35,858.

Statement 7
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
DEPOSIT - INSURANCE	\$ 750.	\$ 750.
FINE ART	11,720.	11,720.
Total	\$ 12,470.	\$ 12,470.

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

K-1 TCP PINE, LLC ADJ.	\$ 7,459.
K-1 TCP PROVO, LLC ADJ	10,988.
UB CUSTODIAN LETTER ADJ	10,083.
Total	\$ 28,530.

Statement 9
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: MOSHER FOUNDATION
Name: EDWARD BIRCH - PRESIDENT/CEO
Care Of: P.O. BOX 1079
Street Address: SANTA BARBARA, CA 93102
City, State, Zip Code: (805) 962-1700
Telephone:
E-Mail Address:
Form and Content: OUTLINE OF THE SPECIFIC PURPOSE AND AMOUNT OF GRANT APPLIED FOR.
Submission Deadlines: APPLICATIONS ARE ACCEPTED ANY TIME DURING BUSINESS HOURS.
Restrictions on Awards: GRANTS TO BE USED SPECIFICALLY FOR ORGANIZATIONS WHICH ARE DESCRIBED IN SECTIONS 170(C) 501(C) AND 2522(A) OF THE INTERNAL REVENUE CODE AND WHICH ARE ORGANIZED AND OPERATED FOR CHARITABLE, EDUCATIONAL, HEALTH CARE, INCLUDING PERFORMING ARTS INSTITUTIONS.

Statement 10
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
ALL SAINTS BY -THE-SEA EPISCOPAL CHURCH 83 EUCALYPTUS LANE SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL SUPPORT AND SPONSOR FOR JIM BOWER COMMUNITY OUTREACH GOLF TOURNAMENT.	\$ 6,000.
ALZHEIMERS ASSOC. CA CENTRAL CHAPTER 1528 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,000.
ANGELS FOSTER CARE 3905 STATE ST. UNIT 7-115 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
BE THE MATCH 500 N 5TH STREET MINNEAPOLIS MN 55401	NONE	EXEMPT	GRANT FOR KRISTIANSEN KLASSIC TEST KITS.	\$ 2,000.
CAMA 2060 ALAMEDA PADRE SERRA #201 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	31,000.
CAMP DRIVEN SPIRIT 199 VALPARAISO AVENUE COTATI CA 94931	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
CAMP KASEM P.O. BOX 13883 SANTA BARBARA CA 93107	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
CANCER CENTER OF SANTA BARBARA 524 WEST PUEBLO STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GRANT FOR NEW CANCER CENTER.	150,500.
COTTAGE HEALTH CARE SYSTEM P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
DIRECT RELIEF FOUNDATION 27 S LA PATERA LANE SANTA BARBARA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	52,000.
FOUNDATION ROUNDTABLE P.O. BOX 60157 SANTA BARBARA CA 93160	NONE	EXEMPT	GRANT - 2017 PARTNERSHIP IN EXCELLENCE CONFERENCE.	2,500.
FOODBANK OF SANTA BARBARA COUNTY 4554 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GRANT - TABLE LIFE EVENT.	1,250.
FRIENDSHIP PADDLE 920 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,250.
GANNA WALSKA LOTUSLAND 695 ASHLEY ROAD SANTA BARBARA CA 93106	NONE	EXEMPT	GRANT/GENERAL PROGRAM SUPPORT.	300.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
JDRF - CENTRAL COAST COUNCIL 811 WILSHIRE BLVD. #1600 LOS ANGELES CA 90017	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 10,250.
KAVLI INSTITUTE OF THEORETICAL PHYSICS C/O UC SANTA BARBARA SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
LAGUNA BLANCA SCHOOL 4125 PALOMA DRIVE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,500.
LIFE CHRONICLES 113 W MISSION STREET, SUITE B2 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	7,000.
MARYMOUNT ACADEMY, INC. 2130 MISSION RIDGE ROAD SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND EVENT SPONSHORSHIP.	46,000.
MENTAL WELLNESS CENTER 617 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	11,250.
MOXI-WOLF MUSEUM OF EXPLORATION & INNOVA 125 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	181,000.
MUSEUM OF CONTEMPORARY ART - SB 653 PASEO NUEVO SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
MUSIC ACADEMY OF THE WEST 1070 FAIRWAY ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	141,520.
OPERA SANTA BARBARA 1330 STATE STREET, SUITE 209 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	56,000.
PEABODY CHARTER SCHOOL FOUNDATION 3018 CALLE NOGUERA SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
PHILANTHROPY ROUNDTABLE 1120 20TH STREET NW SUITE 550 WASHINGTON DC 20036	NONE	EXEMPT	GRANT - 25TH ANNIVERSARY MATCHING & DIGITAL RENOVATION CAMPAIGN.	\$ 8,500.
PROVIDENCE 630 E CANON PERDIDO STREET SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
SAN MARCOS HIGH SCHOOL 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	SPONSOR - ROYAL GALA EVENT.	1,500.
SANTA BARBARA CHORAL SOCIETY 1330 STATE STREET #202 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SANTA BARBARA COTTAGE HOSPITAL FOUNDATIO P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	TABLE SPONSORSHIP -TIARA BALL 2017.	6,000.
SANTA BARBARA EDUCATION FOUNDATION 1330 STATE STREET, SUITE 201 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL SUPPORT AND EVENT SPONSORSHIP.	7,150.
SANTA BARBARA MUSEUM OF NATURAL HISTORY 2559 PUESTA DEL SOL ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	42,000.
SANTA BARBARA NEIGHBORHOOD CLINICS 923 N MILPAS STREET SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
SANTA BARBARA RESCUE MISSION 535 E YANONALI STREET SANTA BARBARA CA 93103	NONE	EXEMPT	HOLIDAY GRANT & SUPPORT FOR BLUES ON THE BAYOU EVENT.	4,250.
SANTA BARBARA ZOOLOGICAL GARDENS 500 NINOS DRIVE SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND ZOOFARI BALL SPONSORSHIP.	28,750.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SB CENTER FOR THE PERFORMING ARTS 1216 STATE STREET, SUITE 201 SANTA BARBARA CA 93101	NONE	EXEMPT	GRANTS FOR LEGENDS SILVER SPONSOR AND LIGHTING UP SANTA BARBARA.	\$ 10,000.
SB INTERNATIONAL ORCHID SHOW, INC. P.O. BOX 62154 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SCHOLARSHIP FOUNDATION OF SANTA BARBARA P.O. BOX 3620 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
STORYTELLER CHILDREN'S INC. 2115 STATE STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND SPANISH NIGHTS SPONSORSHIP.	21,500.
UC REGENTS C/O UC SANTA BARBARA SANTA BARBARA CA 93016	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
UNITED WAY OF SANTA BARBARA COUNTY 320 E GUTIERREZ STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
UNITY SHOPPE, INC. 110 WEST SOLA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
WESTMONT COLLEGE 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SUPPORT - MOSHER CENTER FOR MORAL AND ETHICAL LEADERSHIP.	146,000.
WESTMONT COLLEGE FOUNDATION 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SPONSOR - PRESIDENT'S BREAKFAST 2017.	3,500.
WILDLING ART MUSEUM 1511-B MISSION DRIVE SOLVANG CA 93463	NONE	EXEMPT	GENERAL PROGRAM SUPPORT	6,000.
WILLIAM SANSUM DIABETES CENTER 2219 BATH STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND SPONSOR FOR TASTE OF THE VINE EVENT.	1,750.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
AHA 1209 DE LA VINA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 1,300.
AMERICAN DREAM FOUNDATION 11725 PARADISE VIEW DRIVE SPARKS NV 89441	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
COUNCIL ON ALCOHOLISM & DRUG ABUSE P.O. BOX 28 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
CALIFORNIA ACADEMY FOUNDATION (MAD) 3463 STATE STREET #400 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	20,000.
CARE 4 PAWS P.O. BOX 60524 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
CARRIAGE MUSEUM P.O. BOX 1587 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	12,000.
CASA (COURT APPOINTED SPECIAL ADVOCATES) 402 E GUTIERREZ STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
CASA DEL HERRERO FOUNDATION 1387 EAST VALLEY ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
CATALYST FOR CATS P.O. BOX 30331 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
CHEADLE CENTER FOR BIODIVERSITY C/O UC SANTA BARBARA SANTA BARBARA CA 93016	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
DOCTORS WITHOUT WALLS P.O. BOX 3751 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
ENSEMBLE THEATRE OF SANTA BARBARA P.O. BOX 2307 SANTA BARBARA CA 93120	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	4,500.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
FAMILY SERVICE AGENCY 123 WEST GUTIERREZ STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 250.
FOUNDATION FOR SANTA BARBARA HIGH SCHOOL P.O. BOX 158 SANTA BARBARA CA 93102	NONE	EXEMPT	GRANT - PEABODY STADIUM RENOVATION CAMPAIGN.	25,000.
FOOD FROM THE HEART P.O. BOX 3908 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
GIRLS INC. - CARPINTERIA 5315 FOOTHILL ROAD CARPINTERIA CA 93013	NONE	EXEMPT	GRANT FOR EUREKA PROGRAM.	1,000.
GOLETA VALLEY COTTAGE HOSPITAL FOUNDATIO 351 S PATTERSON SANTA BARBARA CA 93111	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
GOLETA VALLEY HISTORICAL SOCIETY 304 N LOS CARNEROS ROAD GOLETA CA 93117	NONE	EXEMPT	GRANT - 50TH ANNIVERSARY PROJECT.	500.
GUADALUPE UNION SCHOOL DISTRICT P.O. BOX 788 GUADALUPE CA 93434	NONE	EXEMPT	GRANT - AVID PROGRAM.	1,000.
HABITAT FOR HUMANITY P.O. BOX 176 GOLETA CA 93116	NONE	EXEMPT	GRANT - GOODLAND NEIGHBORHOOD WATCH.	1,000.
HILLSIDE HOUSE 1235 VERONICA SPRINGS ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,250.
HOSPICE OF SANTA BARBARA 2050 ALAMEDA PADRE SERRA #100 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
JEWISH FEDERATION OF SANTA BARBARA 524 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GRANT - SB JEWISH FILM FESTIVAL.	1,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
JR. WHEELCHAIR CAMP 2415 DE LA VINA STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GRANT - SB WHEELCHAIR CAMP.	\$ 6,000.
KCRW - SANTA BARBARA 612 ANACAPA STREET UNIT A SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
KERA LINK 5520 RESEARCH PARK DRIVE #400 BALTIMORE MD 21228	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
KIDS HELPING KIDS FOUNDATION 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	15,000.
LEADING FROM WITHIN P.O. BOX 806 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	800.
LITTLE FLOWER EDUCATIONAL CHILD CARE 2434 GATES STREET LOS ANGELES CA 90031	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
LOBERO THEATRE FOUNDATION 33 EAST CANON PERDIDO ST SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	20,000.
LOOK AT US ALLIANCE INC. P.O. BOX 847 SUMMERLAND CA 93067	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
ORME SCHOOL HC 63 BOX ORME AZ 86333	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	20,000.
SAN MARCOS AAPLE FOUNDATION P.O. BOX 30636 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
SANTA BARBARA ATHLETIC ROUND TABLE P.O. BOX 3813 SANTA BARBARA CA 93130	NONE	EXEMPT	SPONSOR - WOMEN AND GIRLS IN SPORTS LUNCHEON.	1,000.
SANTA BARBARA CHANNELKEEPER 714 BOND AVENUE SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
SANTA BARBARA COUNTY SEARCH & RESCUE P.O. BOX 6602 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 250.
SANTA BARBARA FESTIVAL BALLET 1019 B CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
SANTA BARBARA FOUNDATION 1111 CHAPALA STREET, SUITE 200 SANTA BARBARA CA 93101	NONE	EXEMPT	SPONSOR - MAN/WOMAN OF THE YEAR LUNCHEON 2017.	550.
SANTA BARBARA HISTORICAL MUSEUM 136 E DE LA GUERRA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
SANTA BARBARA RESPONSE NETWORK 115 WEST CANON PERDIDO SANTA BARBARA CA 93101	NONE	EXEMPT	GRANT - SUICIDE PREVENTION/MENTA L HEALTH PROJECT.	20,000.
SANTA BARBARA SYMPHONY 1330 STATE STREET #102 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
SANTA YNEZ VALLEY HISTORICAL MUSEUM P.O. BOX 181 SANTA YNEZ CA 93460	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
SB CLUB PRESERVATION FOUNDATION 1105 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SBCC FOUNDATION 712 CLIFF DRIVE SANTA BARBARA CA 93109	NONE	EXEMPT	SUPPORT FOR COSMETOLOGY ACADEMY.	5,500.
SB INTERNATIONAL FILM FESTIVAL 1528 CHAPALA STREET #203 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	15,000.
SMHS - ACQUATICS PROGRAM 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.

Federal Statements
THE SAMUEL B. & MARGARET C. MOSHER
FOUNDATION

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SMHS ROYAL PRIDE FOUNDATION 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	SPONSOR - ROYAL GALA PLATINUM.	\$ 5,000.
STATE STREET BALLET 2285 LAS POSITAS SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
THE KEY CLASS 1482 E VALLEY ROAD #444 SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	300.
UC REGENTS-EFP 4219 CHEADLE HALL SANTA BARBARA CA 93106	NONE	EXEMPT	SUPPORT FOR 2017 SB COUNTY COMMUNITY INDICATORS PROJECT.	5,000.
UNITED BOYS & GIRLS CLUB OF SB 5638 HOLLISTER AVENUE #220 GOLETA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.

Total \$ 1,301,420.

SCHEDULE 1

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
580. ABBOTT LABS COM	01/27/2017	03/13/2017	26,340.12	23,839.80			2,500.32
161. ABBOTT LABS COM	01/27/2017	05/11/2017	7,052.44	6,617.60			434.84
52. AETNA INC	02/08/2016	10/19/2016	5,780.97	4,881.01			899.96
857. AETNA INC	02/08/2016	01/27/2017	100,882.38	80,442.82			20,439.56
22. ALPHABET INC CAP STK CL A	08/02/2016	10/19/2016	18,173.25	17,552.91			620.34
85. ALPHABET INC CAP STK CL A	08/02/2016	03/13/2017	73,508.51	65,773.98			7,734.53
80. AMERICAN INTL GROUP COM	02/08/2016	10/19/2016	4,867.49	4,159.52			707.97
447. AMERICAN INTL GROUP COM	01/27/2017	03/13/2017	28,729.66	29,154.28			-924.62
124. AMERICAN INTL GROUP COM	01/27/2017	05/11/2017	7,674.81	8,087.54			-412.73
73. AMERICAN TOWER CORP	12/11/2015	10/19/2016	8,375.46	6,878.10			1,497.36
87. ANALOG DEVICES INC	08/04/2016	10/19/2016	5,377.78	5,500.55			-122.77
324. ANALOG DEVICES INC	08/04/2016	03/13/2017	26,756.95	20,484.80			6,272.15
90. ANALOG DEVICES INC	08/04/2016	05/11/2017	7,064.39	5,690.22			1,374.17
460. ANSYS INC	12/11/2015	10/04/2016	42,337.06	41,704.70			632.36
201. APPLE INC COM	12/11/2015	10/19/2016	23,550.65	23,037.52			513.13
282. APPLIED MATERIALS INC	12/11/2015	10/19/2016	7,985.16	5,240.52			2,744.64
35000. BP CAP MKTS BD 3.588% 4/14/27	03/21/2017	06/12/2017	35,889.35	34,982.10			907.25
40000. BP CAP MKTS BD 3.588% 4/14/27	02/09/2017	06/13/2017	41,022.80	39,946.40			1,076.40
75000. BMO BK OF MONTREAL 2.500% 1/11/17	08/15/2016	01/11/2017	75,000.00	75,000.00			
834. BK NEW YORK MELLON CORP COM	01/27/2017	03/13/2017	40,360.54	37,353.11			3,007.43
232. BK NEW YORK MELLON CORP COM	01/27/2017	05/11/2017	10,871.12	10,390.79			480.33
75000. BANK OF NOVA SCOTIA 1.300% 7/21/17	08/10/2016	07/21/2017	75,000.00	75,000.00			
27. BIOGEN INC COM	08/24/2016	10/19/2016	7,940.11	8,587.46			-647.35
112. BIOGEN INC COM	03/03/2017	03/13/2017	32,652.32	32,794.98			-142.66
456. BIOGEN INC COM	08/24/2016	04/12/2017	122,833.64	113,537.25			9,296.39
.5 BIOVERATIV INC COM	08/24/2016	02/14/2017	20.82	25.73			-4.91
375. BIOVERATIV INC COM	08/24/2016	03/03/2017	19,659.43	16,311.84			3,347.59
89. BRISTOL MYERS SQUIBB CO	08/24/2016	10/19/2016	4,432.54	5,307.44			-874.90
813. BRISTOL MYERS SQUIBB CO	08/24/2016	01/27/2017	38,939.41	48,482.61			-9,543.20
38. CAPITAL ONE FINANCIAL	12/11/2015	10/19/2016	2,781.34	2,823.38			-42.04
80000. CAPITAL ONE BK NT 2.250% 2/13/19	08/23/2016	11/28/2016	80,358.40	81,045.36			-686.96
70. CELGENE CORP	10/20/2015	10/19/2016	7,005.79	8,354.85			-1,349.06
23109.92 CERB 2009-1 A2 3.460% 8/15/19	07/07/2016	02/15/2017	23,109.92	23,611.84			-501.92
Totals							

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
205. CITIGROUP INC COM		12/11/2015	10/19/2016	10,143.17	10,631.67			-488.50
75000. CITIGROUP INC NT	3.750% 6/16/24	06/29/2016	10/17/2016	79,014.00	79,146.34			-132.34
190. COCA-COLA CO		07/05/2016	10/19/2016	7,972.22	8,659.40			-687.18
707. COCA-COLA CO		07/05/2016	03/13/2017	29,619.11	32,222.09			-2,602.98
236. COCA-COLA CO		07/05/2016	05/11/2017	10,269.31	10,755.89			-486.58
1249.041 COLUMBIA S/C VALUE II Z (CLOSED)H265		06/21/2016	10/19/2016	20,471.78	18,772.34			1,699.44
1432.881 COLUMBIA S/C VALUE II Z (CLOSED)H265		12/05/2016	03/07/2017	25,949.47	25,261.69			687.78
19. CONCHO RES INC		08/04/2016	10/19/2016	2,661.93	2,400.82			261.11
72. CONCHO RES INC		08/04/2016	03/13/2017	9,255.03	9,097.83			157.20
20. CONCHO RES INC		08/04/2016	05/11/2017	2,664.04	2,527.17			136.87
50000. CONOCOPHILLIPS GTD	6.500% 2/01/39	06/29/2016	09/09/2016	63,712.00	64,699.36			-987.36
46. COSTCO WHOLESALE CORP		04/11/2017	05/11/2017	7,877.09	7,844.14			32.95
60. DOLLAR TREE STORES		05/05/2016	10/19/2016	4,572.80	4,878.55			-305.75
225. DOLLAR TREE STORES		05/05/2016	03/13/2017	16,798.51	18,294.55			-1,496.04
101. EDISON INTL		06/07/2016	10/19/2016	7,266.79	7,333.94			-67.15
376. EDISON INTL		06/07/2016	03/13/2017	29,647.06	27,302.60			2,344.46
15. EDISON INTL		06/07/2016	05/11/2017	1,171.25	1,089.20			82.05
110000. ENTERPRISE PRODS NT	4.850% 3/15/44	09/16/2016	05/04/2017	112,674.10	112,910.59			-236.49
50. EQUIFAX INC		11/04/2015	10/19/2016	6,505.60	5,351.25			1,154.35
91. FACEBOOK INC CL A		10/04/2016	10/19/2016	11,826.55	11,746.94			79.61
339. FACEBOOK INC CL A		10/04/2016	03/13/2017	47,240.81	43,760.56			3,480.25
94. FACEBOOK INC CL A		10/04/2016	05/11/2017	14,090.76	12,134.20			1,956.56
954.9 FPLMC G07289	3.000% 11/01/42	06/30/2016	09/15/2016	954.90	1,000.26			-45.36
894.07 FPLMC G07289	3.000% 11/01/42	06/30/2016	10/15/2016	894.07	936.54			-42.47
373.42 FPLMC G07289	3.000% 11/01/42	06/30/2016	11/15/2016	373.42	391.16			-17.74
1070.24 FPLMC G07289	3.000% 11/01/42	06/30/2016	12/15/2016	1,070.24	1,121.08			-50.84
649.56 FPLMC G07289	3.000% 11/01/42	06/30/2016	12/31/2016	649.56	680.41			-30.85
357.59 FPLMC G07289	3.000% 11/01/42	06/30/2016	01/31/2017	357.59	374.58			-16.99
1376.04 FPLMC G07289	3.000% 11/01/42	06/30/2016	03/15/2017	1,376.04	1,441.40			-65.36
1075.11 FPLMC G07289	3.000% 11/01/42	06/30/2016	04/15/2017	1,075.11	1,126.18			-51.07
1978.94 FPLMC G07289	3.000% 11/01/42	06/30/2016	05/15/2017	1,978.94	2,072.94			-94.00
3002.85 FPLMC G07289	3.000% 11/01/42	06/30/2016	06/15/2017	3,002.85	3,145.48			-142.63
803.61 FPLMC G07924	3.500% 1/01/45	03/09/2017	04/15/2017	803.61	818.05			-14.44
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
899.15 FGLMC G07924	3.500%	1/01/45	03/09/2017	899.15	915.31			-16.16
1160.95 FGLMC G07924	3.500%	1/01/45	03/09/2017	1,160.95	1,181.81			-20.86
1200.15 FGLMC G07924	3.500%	1/01/45	03/09/2017	1,200.15	1,221.72			-21.57
1045.1 FGLMC G07924	3.500%	1/01/45	03/09/2017	1,045.10	1,063.88			-18.78
2532.92 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,532.92	2,627.11			-94.19
2558.31 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,558.31	2,653.45			-95.14
3859.83 FGLMC #J20463	2.500%	9/01/27	06/30/2016	3,859.83	4,003.37			-143.54
2189.95 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,189.95	2,271.39			-81.44
2896.1 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,896.10	3,003.80			-107.70
2502.3 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,502.30	2,595.35			-93.05
2296.19 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,296.19	2,381.58			-85.39
2361.23 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,361.23	2,449.04			-87.81
2414.18 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,414.18	2,503.96			-89.78
2453.5 FGLMC #J20463	2.500%	9/01/27	06/30/2016	2,453.50	2,544.74			-91.24
1404.34 FNMA #AL9867	3.000%	2/01/32	04/20/2017	1,404.34	1,448.72			-44.38
1712.42 FNMA #AL9867	3.000%	2/01/32	04/20/2017	1,712.42	1,766.54			-54.12
2139.48 FNMA #AL9867	3.000%	2/01/32	04/20/2017	2,139.48	2,207.09			-67.61
1915.45 FNMA #AL9867	3.000%	2/01/32	04/20/2017	1,915.45	1,975.98			-60.53
889.48 FNMA #AS0214	3.500%	8/01/43	03/23/2017	889.48	917.55			-28.07
1935.25 FNMA #AS0214	3.500%	8/01/43	03/23/2017	1,935.25	1,996.33			-61.08
725.45 FNMA #AS0214	3.500%	8/01/43	03/23/2017	725.45	748.35			-22.90
1102.12 FNMA #AS0214	3.500%	8/01/43	03/23/2017	1,102.12	1,136.91			-34.79
1024.04 FNMA AB7769	3.000%	2/01/43	09/20/2016	1,024.04	1,069.64			-45.60
274.2 FNMA AB7769	3.000%	2/01/43	09/20/2016	274.20	286.41			-12.21
550.49 FNMA AB7769	3.000%	2/01/43	09/20/2016	550.49	575.00			-24.51
779.74 FNMA AB7769	3.000%	2/01/43	09/20/2016	779.74	814.46			-34.72
286.62 FNMA AB7769	3.000%	2/01/43	09/20/2016	286.62	299.38			-12.76
677.9 FNMA AB7769	3.000%	2/01/43	09/20/2016	677.90	708.09			-30.19
655.22 FNMA AB7769	3.000%	2/01/43	09/20/2016	655.22	684.40			-29.18
675.82 FNMA AB7769	3.000%	2/01/43	09/20/2016	675.82	705.92			-30.10
903.22 FNMA AB7769	3.000%	2/01/43	09/20/2016	903.22	943.44			-40.22
1095.4 FNMA AB7769	3.000%	2/01/43	09/20/2016	1,095.40	1,144.18			-48.78
1948.02 FNMA AB7769	3.000%	2/01/43	09/20/2016	1,948.02	2,034.77			-86.75
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
7359.93 FORDO 2015-C A3	1.410% 2/15/20	03/23/2017	06/15/2017	7,359.93	7,357.92			2.01
9850.4 FORDO 2015-C A3	1.410% 2/15/20	03/23/2017	07/15/2017	9,850.40	9,847.71			2.69
8977.9 FORDO 2015-C A3	1.410% 2/15/20	03/23/2017	08/15/2017	8,977.90	8,975.44			2.46
1299.18 FORDO 2017-A A2A	1.330% 12/15/19	01/18/2017	08/15/2017	1,299.18	1,299.16			0.02
113. FORTIVE CORP COM		08/02/2016	10/19/2016	5,576.25	5,406.64			169.61
419. FORTIVE CORP COM		08/02/2016	03/13/2017	24,519.25	20,047.64			4,471.61
117. FORTIVE CORP COM		08/02/2016	05/11/2017	7,319.94	5,598.03			1,721.91
1601.29 GNMA #MA0825	2.500% 3/20/28	06/30/2016	09/20/2016	1,601.29	1,662.34			-61.05
1588.76 GNMA #MA0825	2.500% 3/20/28	06/30/2016	10/20/2016	1,588.76	1,649.33			-60.57
1800.14 GNMA #MA0825	2.500% 3/20/28	06/30/2016	11/20/2016	1,800.14	1,868.77			-68.63
1682.3 GNMA #MA0825	2.500% 3/20/28	06/30/2016	12/20/2016	1,682.30	1,746.44			-64.14
1654.08 GNMA #MA0825	2.500% 3/20/28	06/30/2016	12/31/2016	1,654.08	1,717.14			-63.06
1061.94 GNMA #MA0825	2.500% 3/20/28	06/30/2016	02/20/2017	1,061.94	1,102.43			-40.49
1059.06 GNMA #MA0825	2.500% 3/20/28	06/30/2016	03/20/2017	1,059.06	1,099.44			-40.38
1411.47 GNMA #MA0825	2.500% 3/20/28	06/30/2016	04/20/2017	1,411.47	1,465.28			-53.81
1314.26 GNMA #MA0825	2.500% 3/20/28	06/30/2016	05/20/2017	1,314.26	1,364.37			-50.11
1536.96 GNMA #MA0825	2.500% 3/20/28	06/30/2016	06/20/2017	1,536.96	1,595.56			-58.60
48. GILEAD SCIENCES INC		11/04/2015	10/19/2016	3,521.92	5,262.48			-1,740.56
75000. GILEAD SCIENCES BDS	2.950% 3/01/27	09/15/2016	02/28/2017	71,772.75	74,980.50			-3,207.75
50000. HSBC HLD BDS	3.400% 3/08/21	08/17/2016	04/20/2017	51,425.00	51,926.26			-501.26
174. HALLIBURTON CO		08/02/2016	10/19/2016	8,625.86	7,256.08			1,369.78
647. HALLIBURTON CO		08/02/2016	03/13/2017	33,005.97	26,980.93			6,025.04
180. HALLIBURTON CO		08/02/2016	05/11/2017	8,210.52	7,506.29			704.23
75000. HALLIBURTON CO BDS	3.800% 11/15/25	08/25/2016	05/10/2017	76,376.25	77,500.47			-1,124.22
130. HOLOGIC INC		08/02/2016	10/19/2016	4,980.84	5,077.23			-96.39
1726. HOLOGIC INC		08/02/2016	01/27/2017	70,055.26	67,409.97			2,645.29
248. HOLOGIC INC		08/02/2016	03/13/2017	10,468.22	9,685.79			782.43
108. HOLOGIC INC		04/12/2017	05/11/2017	4,694.11	4,594.96			99.15
13324.91 HAROT 2014-1 A3	0.670% 2/21/17	09/19/2016	10/21/2016	13,324.91	13,311.90			13.01
12658.96 HAROT 2014-1 A3	0.670% 2/21/17	09/19/2016	11/21/2016	12,658.96	12,646.60			12.36
11797. HAROT 2014-1 A3	0.670% 2/21/17	09/19/2016	12/21/2016	11,797.00	11,785.48			11.52
11733.02 HAROT 2014-1 A3	0.670% 2/21/17	09/19/2016	01/21/2017	11,733.02	11,721.55			11.47
2429.66 HAROT 2014-1 A3	0.670% 2/21/17	09/19/2016	02/21/2017	2,429.66	2,427.29			2.37
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
75000. HONEYWELL INTL INC 1.400% 10/30/19	10/24/2016	02/09/2017	74,460.75	74,940.75			-480.00
76. HUNT J B TRANS SVCS INC	08/04/2016	10/19/2016	5,962.44	6,202.93			-240.49
268. HUNT J B TRANS SVCS INC	08/04/2016	01/27/2017	26,419.53	21,873.49			4,546.04
246. HUNT J B TRANS SVCS INC	08/04/2016	03/13/2017	23,481.41	20,077.91			3,403.50
62. HUNT J B TRANS SVCS INC	08/04/2016	05/11/2017	5,338.39	5,060.28			278.11
19. INTERCONTINENTAL EXCHANGE INC	07/05/2016	10/19/2016	5,072.21	4,835.85			236.36
359. INTERCONTINENTAL EXCHANGE INC	07/05/2016	03/13/2017	21,720.81	18,274.43			3,446.38
116. INTERCONTINENTAL EXCHANGE INC	04/11/2017	05/11/2017	6,849.07	6,999.07			-150.00
67. INTERNATIONAL FLAVORS & FRAGRANCES I	12/11/2015	10/19/2016	8,667.26	7,869.38			797.88
920. ISHARES GOLD TR	05/05/2016	10/19/2016	11,215.56	11,400.76			-185.20
4400. ISHARES GOLD TR	05/05/2016	12/20/2016	47,696.26	54,501.42			-6,805.16
ISHARES GOLD TR	05/05/2016	12/31/2016	510.93	518.59			-7.66
20466. ISHARES GOLD TR	05/05/2016	02/03/2017	239,113.37	253,878.68			-14,765.31
615. JPMORGAN CHASE & CO	01/27/2017	03/13/2017	56,194.39	53,341.35			2,853.04
171. JPMORGAN CHASE & CO	01/27/2017	05/11/2017	14,899.75	14,831.50			68.25
1200000. JP MORGAN SRCS DC/P 6/09/17	04/03/2017	06/09/2017	1,197,580.00	1,197,580.00			
75000. JOHNSON & JOHNSON SR 3.750% 3/03/47	03/21/2017	03/28/2017	74,278.50	74,644.25			-365.75
50000. KIMCO REALTY CORP 3.800% 4/01/27	03/22/2017	04/27/2017	50,298.50	49,818.50			480.00
19. LABORATORY CORP AMER HLDGS	12/11/2015	10/19/2016	2,621.46	2,319.34			302.12
50000. LABORATORY CORP AMER 3.600% 2/01/25	06/29/2016	04/06/2017	49,718.50	51,869.31			-2,150.81
236. LILLY ELI & CO	01/27/2017	03/13/2017	19,857.78	17,722.16			2,135.62
85. LILLY ELI & CO	04/12/2017	05/11/2017	6,813.02	7,302.87			-489.85
4105.208 LOOMIS SAYLES BOND FD CL I #1162	12/17/2015	09/21/2016	57,308.70	52,792.98			4,515.72
103. LOWES COS INC	06/07/2016	10/19/2016	7,141.84	8,124.33			-982.49
385. LOWES COS INC	06/07/2016	03/13/2017	31,482.68	30,367.65			1,115.03
406. LOWES COS INC	06/07/2016	04/24/2017	34,230.00	32,024.06			2,205.94
46. LOWES COS INC	06/07/2016	05/11/2017	3,886.22	3,628.34			257.88
161. MERCK & CO COM COM	07/05/2016	10/19/2016	9,964.87	9,355.47			609.40
600. MERCK & CO COM COM	07/05/2016	03/13/2017	38,408.64	34,865.10			3,543.54
167. MERCK & CO COM COM	07/05/2016	05/11/2017	10,767.58	9,704.12			1,063.46
163. MONDELEZ INTL INC CL A	12/11/2015	10/19/2016	6,859.39	7,065.22			-205.83
1120000. N V BANK NEDERL DC/P 6/14/17	04/13/2017	06/14/2017	1,118,022.89	1,118,022.89			
40.391 NATIONWIDE HM SM CAP CORE FD#6077	12/16/2016	04/17/2017	1,466.60	1,462.16			4.44
Totals							

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
7500. NATIONWIDE BAILARD INTL EQ I #6071	01/07/2016	10/19/2016	57,150.00	54,825.00			2,325.00
20003.988 NATIONWIDE BAILARD INTL EQ I #6071	01/07/2016	01/03/2017	147,629.43	146,229.15			1,400.28
8468.482 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	09/23/2016	94,931.68	92,645.19			2,286.49
14224.484 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	10/17/2016	158,887.49	155,615.86			3,271.63
13478.082 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	10/18/2016	150,684.96	147,450.22			3,234.74
13428.843 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	10/18/2016	150,134.46	146,911.54			3,222.92
43868.377 NATIONWIDE HIGHMARK BOND I #6064	12/17/2015	11/30/2016	476,849.26	472,452.53			4,396.73
385000. NATIXIS NY DC/P 6/07/17	03/31/2017	06/07/2017	384,178.24	384,178.24			
815000. NATIXIS NY DC/P 6/30/17	04/03/2017	06/30/2017	812,734.98	812,734.98			
7202.308 AMERN FDS NEW WORLD FD CL F2 #636	08/04/2016	12/08/2016	376,896.78	382,442.54			-5,545.76
900000. NISSAN MTR ACCP DC/P 8/30/17	08/09/2017	08/30/2017	899,391.00	899,391.00			
85. NORTHROP GRUMMAN CORP	01/27/2017	03/13/2017	20,723.81	19,466.90			1,256.91
27. NORTHROP GRUMMAN CORP	03/16/2017	05/11/2017	6,671.95	6,497.69			174.26
332.109 NUVEEN REAL ESTATE SECS I (CLSD) #818	07/18/2016	10/19/2016	7,837.77	8,518.60			-680.83
9532.242 NUVEEN REAL ESTATE SECS I (CLSD) #818	12/15/2016	02/07/2017	208,851.42	242,022.18			-33,170.76
3088.188 PIMCO TOTAL RETURN INSTL #35	12/16/2015	09/23/2016	31,900.98	31,128.93			772.05
59. PNC FINANCIAL SERVICES GROUP	03/16/2017	05/11/2017	7,171.58	7,429.98			-258.40
84. PEPSICO INC	02/02/2016	10/19/2016	8,937.82	8,280.89			656.93
63. PHILIP MORRIS INTL COM	05/05/2016	10/19/2016	6,049.43	6,265.28			-215.85
97. PHILIP MORRIS INTL COM	05/05/2016	03/13/2017	10,728.45	9,646.53			1,081.92
600.686 PRIMECAP ODYSSEY AGGR GR (CLSD) #1651	12/16/2015	10/19/2016	20,735.68	19,432.20			1,303.48
1672.065 PRIMECAP ODYSSEY AGGR GR (CLSD) #1651	03/07/2017	04/17/2017	59,960.25	59,057.33			902.92
40000. RIO TINTO FIN BDS 3.750% 6/15/25	08/15/2016	11/14/2016	41,725.60	42,627.12			-901.52
75. ROCKWELL COLLINS	01/05/2016	10/19/2016	6,318.98	6,918.84			-599.86
220. SCHWAB CHARLES CORP NEW	12/11/2015	10/19/2016	7,182.73	7,147.16			35.57
900000. SOCIETE GEN DC/P 8/30/17	08/09/2017	08/30/2017	899,375.25	899,375.25			
300000. STANDARD CHARTERED DC/P 7/03/17	04/05/2017	07/03/2017	299,132.25	299,132.25			
442. STARBUCKS CORP	01/27/2017	03/13/2017	24,055.32	24,893.66			-838.34
153. STARBUCKS CORP	04/11/2017	05/11/2017	9,212.68	8,850.29			362.39
580000. SUMITOMO MTSU BKG DC/P 5/17/17	04/03/2017	05/17/2017	579,291.11	579,291.11			
900000. SUMITOMO MTSU TRST DC/P 8/22/17	08/07/2017	08/22/2017	899,550.00	899,550.00			
300000. SWEDBANK DC/P 6/20/17	04/07/2017	06/20/2017	299,371.00	299,371.00			
91. TJX COS INC NEW	12/11/2015	10/19/2016	6,668.78	6,442.73			226.05
Totals							

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SCHEDULE 1

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
73. TEXAS INSTRS INC	02/02/2016	10/19/2016	5,060.60	3,805.86			1,254.74
61. TRACTOR SUPPLY CO	12/11/2015	10/19/2016	4,070.13	5,395.35			-1,325.22
1868.72 UNTD AIR 2013-1	08/24/2016	02/15/2017	1,868.72	2,050.92			-182.20
1868.77 UNTD AIR 2013-1	08/24/2016	08/15/2017	1,868.77	2,050.98			-182.21
250000. US TREAS BDS	03/17/2017	05/17/2017	331,796.88	349,260.05			-17,463.17
425000. US TREAS BDS	05/04/2017	05/17/2017	538,421.89	564,974.22			-26,552.33
70000. US TREAS NTS	08/25/2016	06/27/2017	70,098.44	70,925.89			-827.45
75000. US TREAS NTS	04/27/2017	05/17/2017	75,471.68	74,887.69			583.99
60000. US TREAS NTS	04/06/2017	06/06/2017	60,750.00	59,697.66			1,052.34
175000. US TREAS NTS	03/17/2017	05/17/2017	167,213.87	165,538.17			1,675.70
60000. US TREAS NTS	05/17/2017	08/07/2017	60,377.34	60,395.71			-18.37
50000. US TREAS NTS	07/08/2016	03/09/2017	52,216.80	54,436.04			-2,219.24
50000. US TREAS NTS	07/08/2016	06/12/2017	52,757.81	54,161.54			-1,403.73
40000. US TREAS NTS	11/14/2016	05/11/2017	38,951.56	39,231.25			-279.69
25000. US TREAS NTS	03/20/2017	05/15/2017	25,146.48	24,858.40			288.08
60000. US TREAS NTS	05/10/2017	05/17/2017	60,117.19	59,156.25			960.94
225000. US TREAS NTS	08/19/2016	11/23/2016	225,263.67	226,266.80			-1,003.13
232. VERIZON COMMUNICATIONS	07/05/2016	10/19/2016	11,706.97	13,177.44			-1,470.47
490. VERIZON COMMUNICATIONS	07/05/2016	03/13/2017	24,193.22	27,831.65			-3,638.43
100000. VERIZON COMMCTN BDS 6.550%	06/29/2016	03/17/2017	123,985.00	134,988.32			-11,003.32
136. WEC ENERGY GROUP INC COM	07/05/2016	10/19/2016	7,901.46	8,950.61			-1,049.15
464. WEC ENERGY GROUP INC COM	07/05/2016	03/13/2017	27,320.65	30,537.37			-3,216.72
10000. WALGREEN CO SR NT 3.100%	10/12/2016	06/30/2017	10,214.20	10,329.22			-115.02
4173. WELLS FARGO & CO COM	02/08/2016	01/27/2017	235,837.37	196,608.10			39,229.27
19318.845 WESTERN ASSET MAC OPP IS FD #5102	06/10/2016	03/07/2017	208,063.96	203,234.25			4,829.71
6978.609 WESTERN ASSET MAC OPP IS FD #5102	06/10/2016	05/15/2017	78,509.36	73,414.97			5,094.39
32. ALLERGAN PLC SHS	01/05/2016	10/19/2016	7,349.75	9,887.97			-2,538.22
77. DELPHI AUTOMOTIVE PLC	04/24/2017	05/11/2017	6,711.55	6,093.46			618.09
60. CHUBB LIMITED COM	07/05/2016	10/19/2016	7,553.58	7,756.51			-202.93
484. CHUBB LIMITED COM	07/05/2016	01/27/2017	64,364.63	62,569.20			1,795.43
68. ROYAL CARIBBEAN CRUISES LTD	12/11/2015	10/19/2016	4,818.03	6,353.49			-1,535.46
Totals			15,100,744.18	15,067,609.95			33,134.23

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
963.474 AQR MGD FUTURES STRATEGY CL I #113		12/19/2014	10/19/2016	9,576.93	10,049.03			-472.10
55. ADOBE SYS INC		08/04/2015	10/19/2016	5,950.59	4,483.96			1,466.63
207. ADOBE SYS INC		08/04/2015	03/13/2017	25,060.40	16,875.99			8,184.41
457. ADOBE SYS INC		08/04/2015	03/16/2017	55,790.16	37,257.61			18,532.55
37. ADOBE SYS INC		08/04/2015	05/11/2017	5,029.48	3,016.48			2,013.00
78. AETNA INC		02/08/2016	03/13/2017	10,350.76	7,321.52			3,029.24
22. AETNA INC		02/08/2016	05/11/2017	3,173.98	2,065.04			1,108.94
35. AFFILIATED MANAGERS GROUP INC		09/02/2015	10/19/2016	4,974.26	6,194.88			-1,220.62
133. AFFILIATED MANAGERS GROUP INC		09/02/2015	03/13/2017	21,829.20	23,540.56			-1,711.36
47. AFFILIATED MANAGERS GROUP INC		09/02/2015	05/11/2017	7,138.90	8,318.85			-1,179.95
23. ALPHABET INC CAP STK CL A		03/30/2016	05/11/2017	21,974.29	17,723.91			4,250.38
274. AMERICAN TOWER CORP		12/11/2015	03/13/2017	31,258.60	25,816.45			5,442.15
76. AMERICAN TOWER CORP		12/11/2015	05/11/2017	9,585.29	7,160.77			2,424.52
824. ANSYS INC		09/02/2015	10/04/2016	75,838.56	72,644.91			3,193.65
347. APPLE INC COM		12/11/2015	01/27/2017	42,246.07	39,771.23			2,474.84
701. APPLE INC COM		02/02/2016	03/13/2017	97,570.55	74,764.79			22,805.76
195. APPLE INC COM		02/02/2016	05/11/2017	29,907.46	18,623.48			11,283.98
1050. APPLIED MATIS INC		12/11/2015	03/13/2017	40,334.86	19,512.57			20,822.29
292. APPLIED MATIS INC		12/11/2015	05/11/2017	12,628.25	5,426.35			7,201.90
691. APPLIED MATIS INC		12/11/2015	06/14/2017	31,118.70	12,841.13			18,277.57
153. BK NEW YORK MELLON CORP COM		10/09/2014	10/19/2016	6,161.67	5,869.42			292.25
104. BAXTER INTL INC COM		09/02/2015	10/19/2016	4,957.55	3,863.78			1,093.77
388. BAXTER INTL INC COM		12/11/2015	03/13/2017	20,178.42	14,401.11			5,777.31
108. BAXTER INTL INC COM		12/11/2015	05/11/2017	6,070.00	3,987.22			2,082.78
21. BERKSHIRE HATHAWAY B		09/02/2015	10/19/2016	3,050.49	2,763.58			286.91
573. BERKSHIRE HATHAWAY B		12/11/2015	01/27/2017	94,146.25	74,958.34			19,187.91
28. BIOGEN INC COM		03/30/2016	04/12/2017	7,542.42	6,589.98			952.44
9. BIOGEN INC COM		03/30/2016	05/11/2017	2,280.41	2,118.21			162.20
212. BIOGEN INC COM		03/30/2016	06/26/2017	59,692.98	49,895.52			9,797.46
1033. BRISTOL MYERS SQUIBB CO		09/02/2015	01/27/2017	49,476.52	61,104.32			-11,627.80
262. BRISTOL MYERS SQUIBB CO		09/02/2015	03/13/2017	15,034.54	15,497.90			-463.36
116. BRISTOL MYERS SQUIBB CO		09/02/2015	05/11/2017	6,387.90	6,861.67			-473.77
143. CAPITAL ONE FINANCIAL		02/08/2016	03/13/2017	13,153.56	8,688.65			4,464.91
Totals -								

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
902. CAPITAL ONE FINANCIAL	02/08/2016	04/12/2017	74,638.96	54,362.82			20,276.14
262. CELGENE CORP	10/20/2015	03/13/2017	32,410.00	31,271.01			1,138.99
73. CELGENE CORP	10/20/2015	05/11/2017	8,687.17	8,712.92			-25.75
18136.53 CRRB 2009-1 A2 3.460% 8/15/19	07/07/2016	08/15/2017	18,136.53	18,530.43			-393.90
119. CHEVRON CORP. COMMON STOCK	10/09/2014	10/19/2016	12,283.39	13,853.21			-1,569.82
444. CHEVRON CORP. COMMON STOCK	10/09/2014	03/13/2017	48,632.47	51,687.59			-3,055.12
123. CHEVRON CORP. COMMON STOCK	10/09/2014	05/11/2017	13,075.01	14,318.86			-1,243.85
357. CISCO SYS INC	02/02/2015	10/19/2016	10,808.43	9,373.53			1,434.90
1326. CISCO SYS INC	02/02/2015	03/13/2017	45,103.43	34,815.99			10,287.44
369. CISCO SYS INC	02/02/2015	05/11/2017	12,396.27	9,688.61			2,707.66
764. CITIGROUP INC COM	12/11/2015	03/13/2017	47,112.02	39,622.42			7,489.60
213. CITIGROUP INC COM	12/11/2015	05/11/2017	12,912.83	11,046.56			1,866.27
7121.657 COLUMBIA S/C VALUE II Z (CLOSED)#265	03/04/2016	03/07/2017	128,973.21	105,899.04			23,074.17
176. COMCAST CORP-CL A	06/03/2014	10/19/2016	11,499.70	9,157.09			2,342.61
1313. COMCAST CORP-CL A	06/03/2014	03/13/2017	48,979.21	34,156.97			14,822.24
366. COMCAST CORP-CL A	06/03/2014	05/11/2017	14,344.54	9,521.29			4,823.25
58. CONSTELLATION BRANDS INC-A	08/04/2015	10/19/2016	9,687.81	7,019.19			2,668.62
219. CONSTELLATION BRANDS INC-A	08/04/2015	03/13/2017	34,488.45	26,503.49			7,984.96
61. CONSTELLATION BRANDS INC-A	08/04/2015	05/11/2017	10,858.67	7,382.25			3,476.42
163. CONSTELLATION BRANDS INC-A	08/04/2015	08/07/2017	31,776.92	19,726.34			12,050.58
33. COSTCO WHOLESALE CORP	08/04/2015	10/19/2016	4,939.51	4,789.99			149.52
126. COSTCO WHOLESALE CORP	08/04/2015	03/13/2017	20,725.91	18,289.05			2,436.86
855.232 DODGE & COX INTL STOCK FD(CLSD)#1048	01/09/2015	10/19/2016	32,926.44	35,449.37			-2,522.93
83. DOLLAR TREE STORES	05/05/2016	05/11/2017	6,775.55	6,748.66			26.89
98. EOG RES INC	09/02/2015	10/19/2016	9,383.78	7,568.67			1,815.11
365. EOG RES INC	09/02/2015	03/13/2017	34,484.25	28,189.42			6,294.83
101. EOG RES INC	09/02/2015	05/11/2017	9,535.70	7,800.36			1,735.34
21158.719 EATON VANCE GLEBL MACR ABSOL RET I 88	05/24/2013	03/07/2017	191,909.58	202,216.87			-10,307.29
6470.155 EATON VANCE GLEBL MACR ABSOL RET I 88	05/24/2013	05/15/2017	59,201.92	61,836.19			-2,634.27
55. ECOLAB INC	08/04/2015	10/19/2016	6,445.02	6,364.59			80.43
205. ECOLAB INC	08/04/2015	03/13/2017	25,500.41	23,722.56			1,777.85
57. ECOLAB INC	08/04/2015	05/11/2017	7,216.32	6,596.03			620.29
89. EDISON INTL	06/03/2014	05/11/2017	6,949.41	5,008.14			1,941.27
Totals -							

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
186. EQUIFAX INC	11/04/2015	03/13/2017	24,749.55	19,906.65			4,842.90
52. EQUIFAX INC	11/04/2015	05/11/2017	7,048.70	5,565.30			1,483.40
845.08 FILMC G07289 3.000% 11/01/42	06/30/2016	07/15/2017	845.08	885.22			-40.14
918.68 FILMC G07289 3.000% 11/01/42	06/30/2016	08/15/2017	918.68	962.32			-43.64
2208.68 FCJMC HJ20463 2.500% 9/01/27	06/30/2016	07/15/2017	2,208.68	2,290.82			-82.14
3520.86 FCJMC HJ20463 2.500% 9/01/27	06/30/2016	08/15/2017	3,520.86	3,651.79			-130.93
1533.46 GNMA #MA0825 2.500% 3/20/28	06/30/2016	07/20/2017	1,533.46	1,591.92			-58.46
1138.6 GNMA #MA0825 2.500% 3/20/28	06/30/2016	08/20/2017	1,138.60	1,182.01			-43.41
440. GENERAL ELEC CO	02/10/2004	10/19/2016	12,829.10	14,309.77			-1,480.67
838. GENERAL ELEC CO	02/10/2004	01/27/2017	25,093.36	27,253.60			-2,160.24
1522. GENERAL ELEC CO	12/11/2015	03/13/2017	45,332.24	47,572.55			-2,240.31
424. GENERAL ELEC CO	12/11/2015	05/11/2017	12,208.09	12,899.73			-691.64
9119. GENERAL ELEC CO	12/11/2015	08/07/2017	233,144.63	252,103.25			-18,958.62
359. GILEAD SCIENCES INC	11/04/2015	01/27/2017	25,572.80	37,095.06			-11,522.26
130. GILEAD SCIENCES INC	09/02/2015	03/13/2017	8,814.45	13,366.08			-4,551.63
36. GILEAD SCIENCES INC	09/02/2015	05/11/2017	2,391.60	3,701.38			-1,309.78
25. GOLDMAN SACHS GROUP INC	09/02/2015	10/19/2016	4,378.52	4,605.47			-226.95
92. GOLDMAN SACHS GROUP INC	09/02/2015	03/13/2017	22,886.80	16,948.11			5,938.69
25. GOLDMAN SACHS GROUP INC	09/02/2015	05/11/2017	5,615.74	4,605.46			1,010.28
559. GOLDMAN SACHS GROUP INC	02/02/2016	06/26/2017	123,509.51	94,297.65			29,211.86
47.5371 GREENLIGHT MASTERS OFFSHORE I LTD	08/01/2010	01/12/2017	1,324,254.72	900,000.00			424,254.72
5.2819 GREENLIGHT MASTERS OFFSHORE I LTD	08/01/2010	05/04/2017	147,647.93	100,000.00			47,647.93
6. HUNT J B TRANS SVCS INC	09/02/2015	05/11/2017	516.62	437.95			78.67
250. INTERNATIONAL FLAVORS & FRAGRANCES I	12/11/2015	03/13/2017	31,541.56	29,363.38			2,178.18
69. INTERNATIONAL FLAVORS & FRAGRANCES I	12/11/2015	05/11/2017	9,014.99	8,104.29			910.70
1501. INTERNATIONAL FLAVORS & FRAGRANCES I	12/11/2015	06/20/2017	205,038.72	169,354.62			35,684.10
22019.1872 LANX OFFSHORE PARTNERS A 1007 SL	02/12/2014	01/30/2017	3,009,228.00	2,740,044.96			269,183.04
1158.9058 LANX OFFSHORE PARTNERS A 1007 SL	02/12/2014	06/30/2017	158,530.00	144,213.04			14,316.96
74. LABORATORY CORP AMER HLDGS	12/11/2015	03/13/2017	10,670.93	9,033.23			1,637.70
20. LABORATORY CORP AMER HLDGS	12/11/2015	05/11/2017	2,817.43	2,441.41			376.02
757.467 LOOMIS SAYLES BOND FD CL I #1162	12/12/2008	09/21/2016	10,574.24	7,264.10			3,310.14
43. LOWES COS INC	12/11/2015	05/11/2017	3,632.77	3,254.01			378.76
1102.977 MFS INTL GROWTH FD CL I #886	06/17/2015	10/19/2016	33,508.44	34,159.20			-650.76
Totals							

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
67. MASTERCARD INC-A	06/17/2015	10/19/2016	6,871.70	6,323.55			548.15
249. MASTERCARD INC-A	06/17/2015	03/13/2017	27,795.01	23,500.94			4,294.07
69. MASTERCARD INC-A	06/17/2015	05/11/2017	8,035.90	6,512.31			1,523.59
329. MICROSOFT CORP	06/03/2014	10/19/2016	18,914.77	13,281.83			5,632.94
1222. MICROSOFT CORP	06/03/2014	03/13/2017	79,044.32	34,042.44			45,001.88
340. MICROSOFT CORP	04/15/2003	05/11/2017	23,206.19	8,340.20			14,865.99
606. MONDELEZ INTL INC CL A	12/11/2015	03/13/2017	26,648.26	25,945.83			702.43
795. MONDELEZ INTL INC CL A	12/11/2015	04/11/2017	34,928.58	34,037.84			890.74
133. MONDELEZ INTL INC CL A	12/11/2015	05/11/2017	5,900.92	5,694.38			206.54
1187.446 NATIONWIDE HM SM CAP CORE FD#6077	03/31/2015	10/19/2016	38,033.90	39,391.75			-1,357.85
1595.091 NATIONWIDE HM SM CAP CORE FD#6077	03/31/2015	04/17/2017	57,917.75	52,914.76			5,002.99
6784.883 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	10/25/2016	75,787.14	74,226.62			1,560.52
45497.128 NATIONWIDE HIGHMARK BOND I #6064	10/23/2015	11/30/2016	494,553.78	497,738.58			-3,184.80
560.033 AMERN FDS NEW WORLD FD CL F2 #636	10/24/2013	10/19/2016	30,516.20	33,624.38			-3,108.18
7920.381 AMERN FDS NEW WORLD FD CL F2 #636	12/26/2014	12/08/2016	414,473.53	467,481.89			-53,008.36
62. OCCIDENTAL PETE CORP	09/02/2015	10/19/2016	4,663.84	4,372.79			291.05
231. OCCIDENTAL PETE CORP	09/02/2015	03/13/2017	14,490.47	16,292.16			-1,801.69
64. OCCIDENTAL PETE CORP	09/02/2015	05/11/2017	3,908.39	4,513.85			-605.46
207. OUTFRONT MEDIA INC COM REIT	09/02/2015	10/19/2016	4,517.67	4,625.35			-107.68
772. OUTFRONT MEDIA INC COM REIT	09/02/2015	03/13/2017	20,244.79	17,250.10			2,994.69
215. OUTFRONT MEDIA INC COM REIT	09/02/2015	05/11/2017	4,976.06	4,804.11			171.95
14605.648 PINCO TOTAL RETURN INSTL #35	12/10/2014	09/15/2016	150,000.00	157,884.85			-7,884.85
53554.041 PINCO TOTAL RETURN INSTL #35	12/11/2013	09/19/2016	550,000.00	576,172.83			-26,172.83
16685.589 PINCO TOTAL RETURN INSTL #35	08/21/2013	09/21/2016	172,028.43	176,902.86			-4,874.43
1379.699 PINCO TOTAL RETURN INSTL #35	08/21/2013	09/23/2016	14,252.29	14,606.35			-354.06
41. PVH CORP	09/02/2015	10/19/2016	4,561.76	4,804.76			-243.00
219. PVH CORP	09/02/2015	03/13/2017	19,822.34	25,664.44			-5,842.10
61. PVH CORP	09/02/2015	05/11/2017	6,196.54	7,148.54			-952.00
314. PEPSICO INC	02/02/2016	03/13/2017	34,295.90	30,938.39			3,357.51
369. PEPSICO INC	02/02/2016	04/11/2017	41,144.44	36,357.54			4,786.90
71. PEPSICO INC	02/02/2016	05/11/2017	8,011.10	6,995.62			1,015.48
138. PHILIP MORRIS INTL COM	10/20/2015	03/13/2017	15,263.15	12,233.01			3,030.14
65. PHILIP MORRIS INTL COM	10/20/2015	05/11/2017	7,212.56	5,761.93			1,450.63
Totals							

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
522. ROCKWELL COLLINS	01/05/2016	01/27/2017	47,457.16	47,886.05			-428.89
210. ROCKWELL COLLINS	11/04/2015	03/13/2017	20,727.59	18,529.35			2,198.24
58. ROCKWELL COLLINS	11/04/2015	05/11/2017	6,040.85	5,117.63			923.22
356.462 T ROME PRICE NEW HORIZONS FD #532	10/09/2014	10/19/2016	16,058.61	15,927.18			131.43
2501. SCHWAB CHARLES CORP NEW	12/11/2015	01/27/2017	105,912.02	77,174.24			28,737.78
476. SCHWAB CHARLES CORP NEW	09/02/2015	03/13/2017	20,527.05	13,916.67			6,610.38
132. SCHWAB CHARLES CORP NEW	09/02/2015	05/11/2017	5,268.66	3,859.24			1,409.42
1374.862 TIAA-CREF MID-CAP VALUE INSTL #1603	03/31/2015	10/19/2016	30,879.40	34,206.57			-3,327.17
8900.591 TIAA-CREF MID-CAP VALUE INSTL #1603	03/31/2015	03/07/2017	211,478.04	221,446.70			-9,968.66
2492.903 TIAA-CREF MID-CAP VALUE INSTL #1603	03/31/2015	04/17/2017	58,707.87	62,023.43			-3,315.56
341. TJX COS INC NEW	12/11/2015	03/13/2017	26,588.48	24,142.53			2,445.95
95. TJX COS INC NEW	12/11/2015	05/11/2017	7,568.00	6,725.92			842.08
91. TARGET CORP	06/03/2014	10/19/2016	6,139.17	5,185.55			953.62
944. TARGET CORP	06/03/2014	01/03/2017	68,129.63	53,792.99			14,336.64
211. TARGET CORP	06/03/2014	03/13/2017	11,583.57	12,023.65			-440.08
1325. TARGET CORP	06/03/2014	04/11/2017	71,025.73	75,503.94			-4,478.21
273. TEXAS INSTRS INC	02/02/2016	03/13/2017	22,059.76	14,232.86			7,826.90
396. TEXAS INSTRS INC	02/02/2016	04/24/2017	32,114.30	19,510.05			12,604.25
58. TEXAS INSTRS INC	09/02/2015	05/11/2017	4,669.18	2,725.85			1,943.33
96. TIME WARNER INC	02/02/2015	10/19/2016	7,653.43	7,448.33			205.10
528. TIME WARNER INC	02/02/2015	01/27/2017	50,775.28	40,965.83			9,809.45
285. TIME WARNER INC	02/02/2015	03/13/2017	28,070.45	22,112.24			5,958.21
516. TIME WARNER INC	02/02/2015	03/16/2017	50,804.15	40,034.79			10,769.36
793. TIME WARNER INC	02/02/2015	04/11/2017	78,157.48	61,526.33			16,631.15
21. TIME WARNER INC	02/02/2015	05/11/2017	2,075.69	1,629.32			446.37
461. TIME WARNER INC	02/02/2016	06/02/2017	46,007.94	35,269.27			10,738.67
229. TRACTOR SUPPLY CO	12/11/2015	03/13/2017	16,387.52	20,254.66			-3,867.14
63. TRACTOR SUPPLY CO	12/11/2015	05/11/2017	3,801.64	5,572.24			-1,770.60
1377. TRACTOR SUPPLY CO	12/11/2015	05/25/2017	74,870.54	117,271.40			-42,400.86
72. UNITED PARCEL SERVICE CL B	10/09/2014	10/19/2016	7,795.62	7,044.18			751.44
185. UNITED PARCEL SERVICE CL B	10/09/2014	01/27/2017	21,828.52	18,099.62			3,728.90
244. UNITED PARCEL SERVICE CL B	10/09/2014	03/13/2017	25,996.85	23,871.94			2,124.91
68. UNITED PARCEL SERVICE CL B	10/09/2014	05/11/2017	7,070.82	6,652.83			417.99
Totals							

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SCHEDULE 2

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11,450,592.60	10,316,630.35
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STATEMENT 23

PART II, LINE 10b - INVESTMENTS - CORPORATE STOCK

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
CHEVRON CORP	2,667	\$ 271,309	\$ 287,023
CONCHO RES INC	435	54,966	48,272
EOG RES INC	2,191	168,191	186,213
HALLIBURTON CO.	3,883	152,175	151,320
OCCIDENTAL PETE CORP	1,386	97,753	82,744
ECOLAB INC.	1,235	142,914	164,625
PRAXAIR INC	1,501	202,670	197,442
FORTIVE CORP	2,517	104,425	163,529
HONEYWELL INTL INC	1,496	207,512	206,852
NORTHROP GRUMMAN CORP	678	159,557	184,558
ROCKWELL COLLINS INC	1,263	104,656	165,516
EQUIFAX INC.	1,120	112,375	159,566
HUNT J TRANS SVCS	1,479	107,954	146,258
UNITED PARCEL SERVICE CL B	1,467	143,525	167,766
PVH CORP	1,315	119,237	165,545
STARBUCKS CORP	3,292	183,619	180,599
COMCAST CORP	7,873	204,812	319,723
DISNEY WALT CO	1,047	113,142	105,956
DOLLAR TREE INC	1,805	129,624	143,750
LOWES COS INC.	1,923	145,522	142,090
TJX COS INC	2,045	144,423	147,854
TRACTOR SUPPLY CO	1,358	79,253	80,815
COSTCO WHOLESALE CORP	993	149,261	155,643
WALGREENS BOOTS ALLIANCE	1,110	91,122	90,465
COCA COLA	5,086	227,965	231,667
CONSTELLATION BRANDS	1,151	139,295	230,315
MONDELEZ INTL INC	2,872	120,824	116,776
PEPSICO, INC	1,529	144,641	176,951
PHILIP MORRIS INTL INC	1,413	123,604	165,222
PROCTER & GAMBLE CO	1,417	129,576	130,747
ABBOTT LABS	3,479	142,998	177,220
AETNA INC	474	44,492	74,750
BAXTER INTL INC	2,325	85,836	144,243
HOLOGIC INC	2,342	94,205	90,401
LABORATORY CORP AMER HLDGS	444	53,524	69,650
BRISTOL MYERS SQUIBB CO	3,062	173,697	185,190
CELGENE CORP	1,960	231,339	272,303
GILEAD SCIENCES INC	1,721	137,250	144,065
LILLY ELI & CO	1,848	142,723	150,224
MERCK & CO. INC.	4,039	219,120	257,931
AMERICAN TOWER CORP	1,649	152,494	244,134
OUTFRONT MEDIA INC	4,626	100,289	101,772

SUB-TOTAL CORPORATE STOCK (PAGE 1)

5,853,869

6,807,685

PART II, LINE 10b - INVESTMENTS - CORPORATE STOCK

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
CITIGROUP INC.	4,582	216,942	311,713
JP MORGAN CHASE	3,689	319,961	335,293
PNC FINL SVCS GROUP INC	1,283	158,828	160,901
AFFILIATED MANAGERS GRP	1,014	150,699	179,164
BANK NY MELLON CORP	4,997	197,203	261,243
INTERCONTINENTAL EXCHANGE	2,507	115,245	162,128
SCHWAB CHARLES CORP	2,853	73,164	113,835
AMERICAN INTL GROUP	2,685	146,244	162,389
ADOBE SYS INC	810	66,036	125,680
ALPHABET INC CAP STK CL A	512	360,141	489,083
FACEBOOK INC CL A	2,033	204,659	349,615
MASTERCARD INC CL A	1,497	141,289	199,550
MICROSOFT CORP	7,324	175,908	547,615
APPLE INC	4,202	318,296	689,128
CISCO SYSTEMS INC	7,948	208,686	256,005
ANALOG DEVICES INC.	1,947	123,098	162,905
APPLIED-MTLS INC	5,601	88,594	252,717
TEXAS INSTRS INC	1,259	59,170	104,270
VERIZON COMMUNICATIONS INC	5,178	226,256	248,389
EDISON INTL	2,257	127,004	180,966
WEC ENERGY GROUP, INC	3,049	149,781	198,856
PRIMECAP ODYSSEY AGGR GRT FD #1651	17,953	307,114	696,051
T ROWE PRICE NEW HORIZONS FD #532	10,184	446,723	537,023
NATIONWIDE HIGHMARK SM CORE #6077	30,469	1,007,247	1,149,625
TIAA-CREF MID-CAP VALUE INST FD #1603	26,766	647,241	638,925
COLUMBIA SMALL CAP VALUE FUND II Z	28,033	420,006	477,980
DODGE & COX INTL STOCK FD #1048	25,450	1,045,463	1,148,332
NATIONWIDE BAILARD INTL EQUITY #6071	322,893	2,464,774	2,805,946
HARTFORD SCHRODERS EMERG MKT #1838	68,005	836,124	1,062,927
MFS INTL GROWTH FUND R6#4085	37,093	1,038,785	1,191,450
DELPHI AUTOMOTIVE PLC SHS	1,672	128,396	161,181
ROYAL CARIBBEAN CRUISES LTD	1,529	138,533	190,299
MEDTRONIC PLC	2,412	179,382	194,456
ALLERGAN PLC	718	182,934	164,767
CHUBB LIMITED	900	102,958	127,278
AQR MANAGED FUTURES STRATEGY FD #113	25,987	266,505	230,511
TERRAPIN OFFSHORE FUND OF FDS	24,244	24,244	30,718

SUB-TOTAL CORPORATE STOCK (PAGE 2)

12,863,633

16,298,914

TOTAL CORPORATE STOCK

18,717,502

23,106,599

PART II, LINE 10c - INVESTMENTS - CORPORATE BONDS

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
MACQUARIE BANK LTD 9/7/2017		\$ 899,130	\$ 899,820
NATIXIS NY 9/1/2017		899,269	900,000
UNITED AIRLINES 2013A 4.30% 2/15/2027		70,157	68,079
CENTERPOINT ENGY BD 3.46% 8/15/19		25,301	24,898
CHASE ISSUANCE TRUST 1.59% 2/18/20		125,893	125,113
FORD CREDIT AUTO TR 2015 1 410% 2/15/20		111,781	111,761
FORD CREDIT AUTO TR 2017-A 1.33% 12/15/19		58,700	58,661
HYUNDAI AUTO RECV 1.29% 4/15/21		99,986	99,435
TOYOTA AUTO RECE TR 2016A-3 1 23% 10/15/20		59,992	59,710
DOW CHEMICAL 4.375% 11/15/42		51,333	51,308
EL PASO ENERGY CORP 7 80% 8/1/2031		87,823	96,545
MAGELLAN MIDSTREAM PART 5 0% 3/1/2026		84,577	84,057
PETRO-CDA 6.80% 5/15/38		77,810	80,167
TRANSCONTINENTAL GAS PIPE 6 05% 6/15/18		52,494	51,538
GEORGIA PAC CORP 8 0% 1/15/2024		118,025	116,635
HEWLETT PACKARD GLBL 4.375% 9/15/2021		37,610	37,440
GEN ELECTRIC CO 4 125% 10/9/42		102,440	106,023
ECOLAB INC SR NT 2.375% 8/10/22		79,958	80,475
BURLINGTON NOR STA FE 3 45% 9/15/21		80,273	78,465
GENERAL MTRS CO 3.50% 10/2/2018		51,339	50,821
FORD MOTOR CO 7 45% 7/16/2031		87,985	83,277
TIME WARNER CABLE 8 25% 4/1/2019		34,099	32,773
TIME WARNER ENTMT CO LP 8 375% 3/15/23		45,139	43,912
MACY'S RETAIL HLDGS 4 375% 9/1/2023		79,317	75,547
CVS CAREMARK CORP 2 75% 12/1/22		77,381	75,930
KRAFT HEINZ FOODS NT 2 0% 7/2/18		50,463	50,120
ANHEUSER-BUSCH INBEV 3.65% 12/1/26		80,270	78,009
KRAFT HEINZ FOODS CO 2/10/21		80,000	80,005
BECTON DICKINSON 2 675% 12/15/2019		77,494	76,075
MCKESSON CORP SR 2 284% 3/15/2019		76,484	75,448
QUEST DIAGNOSTICS INC 3 50% 3/30/2025		51,455	51,992
JOHNSON & JOHNSON 3.625% 3/3/2037		119,088	125,494
BOSTON PROPERTIES LP 4 125% 5/15/21		81,128	79,648
ERP OPER LTD PART NT 4.625% 12/15/21		83,795	81,682
KIMCO REALTY CORPORATION 3.80% 4/1/27		49,819	51,217
BANK OF AMERICA CORP 4.0% 4/1/24		121,291	122,170
BB & T CORP BONDS 2.45% 1/15/20		77,034	76,118
CAPITAL ONE BK USA 3 375% 2/15/2023		114,883	117,168
CITIGROUP, INC. 3.200% 10/21/26		113,534	113,847
FIFTH THIRD BK CIN OH 1 45% 2/28/18		85,251	84,956
KEY BANK NA 2 25% 3/16/20		116,033	115,930
PNC BANK NA 2 150% 4/29/2021		76,390	75,209
US BANK CINCINNATI 1 350% 1/26/2018		75,220	74,981
WELLS FARGO & CO 3 0% 4/22/26		140,988	138,488

SUB-TOTAL CORPORATE BONDS (PAGE 1)

5,168,432

5,160,947

PART II, LINE 10c - INVESTMENTS - CORPORATE BONDS

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
AMERICAN EXPRESS CR 2.25% 8/15/2019		76,316	75,673
BANK OF NEW YORK MELLON 2 05% 5/31/21		86,036	84,912
BROOKFIELD FINANCE LLC 4 0% 4/1/24		39,897	41,948
JP MORGAN CHASE 3.20% 1/25/23		118,171	118,320
AMERICAN INTL GROUP 2.30% 7/16/19		50,811	50,376
WILLIS NORTH AMERICA INC. 3 60% 5/15/24		74,936	77,221
MICROSOFT CORP 1.55% 8/8/2021		74,921	73,948
AT & T INC. 5 45% 3/1/2047		19,888	21,032
AT & T INC. SR GLOBAL NOTES 4 125% 2/17/26		80,652	77,443
VERIZON COMM 4 125% 3/16/27		40,454	41,398
VERIZON COMM 5.25% 3/16/37		56,520	59,333
MIDAMERICAN ENERGY 3.75% 11/15/23		81,338	79,776
TEXAS A & M UNIV 2 686% 5/15/25		60,000	60,868
ENBRIDGE INC 2.90% 7/15/22		74,937	75,483
SHIRE ACQUISITIONS INVTS IRE 2 875%		48,035	49,738
BANK OF MONTREAL 2 375% 1/25/2019		76,427	75,663
CDN IMPERIAL BK TORONTO 2 55% 6/16/22		84,958	85,887
RABOBANK NEDERLAND NY 1 375% 8/9/2019		74,783	74,420
ROYAL BANK OF CANADA 1 50% 7/29/2019		75,049	74,613
ROYAL BK SCOTLAND GRP 3.875% 9/12/23		61,186	61,424
TORONTO DOMINION BANK 1.45% 8/13/2019		75,025	74,615
FED HOME MORTGAGE 2 50% 9/1/27		142,272	140,039
FED HOME MORTGAGE 3 00% 11/1/42		123,200	119,837
FED HOME MORTGAGE 3 50% 1/1/2045		85,829	88,005
FED NATL MTGE ASSN 3 00% 2/1/43		103,813	101,476
FED NATL MTGE ASSN 3 00% 2/1/32		175,206	175,488
FED NATL MTGE ASSN 3 50% 8/1/43		100,153	101,636
GOVT NAT MTGE ASSN 2.50% 3/20/28		82,781	81,685
US TREASURY BDS 3 625% 8/15/2043		853,594	882,308
US TREASURY NTS 1 500% 11/30/19		81,058	80,266
US TREASURY NTS 1.625% 4/30/23		83,317	84,134
US TREASURY NTS 1.875% 1/31/22		223,726	227,014
US TREASURY NTS 2 125% 8/15/21		222,678	224,468
US TREASURY NTS 2 25% 11/15/25		14,924	15,231
US TREASURY NTS 2 375% 12/31/20		153,070	154,230
US TREASURY NTS 2 375% 8/15/24		250,771	257,040
US TREASURY NTS 2.375% 5/15/27		35,509	35,759
US TREASURY NTS 2.75% 12/31/2017		291,913	291,554
US TREASURY NTS 3.125% 5/15/21		81,242	79,225
BLACKROCK STRATEGIC INCOME #0446		660,114	664,848
EATON VANCE GLOBAL MACRO ABS #88		554,601	552,692
WESTERN MACRO OPPORTUNITIES #5102		522,592	589,673

SUB-TOTAL CORPORATE BONDS (PAGE 2)

6,272,703	6,380,699
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TOTAL CORPORATE BONDS

11,441,135	11,541,646
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SAMUEL B. MOSHER FOUNDATION
ID # 95-6037266

FYE 8/31/2017

SCHEDULE 5
(PAGE 1 OF 1)

PART II, LINE 13 - INVESTMENTS - OTHER

	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - TCP PROVO, LLC	109,560	109,560
INVESTMENT - TCP PINE, LLC	101,797	101,797
INVESTMENT - MALAMUTE VENTURES, LLC	15,000	15,000
NOTE RECEIVABLE - SB PERFORMING ARTS	1,000,000	1,000,000
TOTAL INVESTMENTS - OTHER	1,226,357	1,226,357