

For calendar year 2016, or tax year beginning 09-01-2016, and ending 08-31-2017

Name of foundation JOHN H WITTE JR FOUNDATION TRUST		A Employer identification number 42-6297940	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 0634		Room/suite	B Telephone number (see instructions) (319) 753-8761
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 532010634		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,586,585		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	220,962	219,419		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	292,412			
	b Gross sales price for all assets on line 6a 2,064,485				
	7 Capital gain net income (from Part IV, line 2) . . .		292,412		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,108			
	12 Total. Add lines 1 through 11	515,482	511,831		
	13 Compensation of officers, directors, trustees, etc	70,318	35,159		35,159
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	750	0	0	750
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions) . . .	11,580	2,780		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	2,591	30		2,561
	24 Total operating and administrative expenses. Add lines 13 through 23	85,239	37,969	0	38,470
	25 Contributions, gifts, grants paid	331,000			331,000
	26 Total expenses and disbursements. Add lines 24 and 25	416,239	37,969	0	369,470
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	99,243			
	b Net investment income (if negative, enter -0-)		473,862		
c Adjusted net income(if negative, enter -0-) . . .				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			1,472	1,472
	2	Savings and temporary cash investments	43,131	88,326	88,326	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0	
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	298,141	4,876,658	5,813,248	
	c	Investments—corporate bonds (attach schedule)		2,724,081	2,683,539	
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	7,250,316		0	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	7,591,588	7,690,537	8,586,585		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	7,591,588	7,690,537		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	7,591,588	7,690,537			
31	Total liabilities and net assets/fund balances (see instructions) .	7,591,588	7,690,537			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,591,588
2	Enter amount from Part I, line 27a	2	99,243
3	Other increases not included in line 2 (itemize) ▶ _____	3	2
4	Add lines 1, 2, and 3	4	7,690,833
5	Decreases not included in line 2 (itemize) ▶ _____	5	296
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	7,690,537

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	292,412
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	387,928	7,411,252	0 052343
2014	365,479	7,974,244	0 045832
2013	355,345	7,874,962	0 045123
2012	339,776	7,215,774	0 047088
2011	281,598	6,781,559	0 041524

2 Total of line 1, column (d)	2	0 23191
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046382
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,015,588
5 Multiply line 4 by line 3	5	371,779
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,739
7 Add lines 5 and 6	7	376,518
8 Enter qualifying distributions from Part XII, line 4	8	369,470

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	9,477
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	9,477
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,477
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	10,980
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	10,980
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	4
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,499
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 1,499 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>IA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>US BANK NA</u> Telephone no ► <u>(319) 753-8761</u>			

Located at ► 201 JEFFERSON BURLINGTON IA ZIP+4 ► 526011088

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
US BANK NA 201 JEFFERSON BURLINGTON, IA 526011088	TRUSTEE 1	70,318		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	8,051,243
b	Average of monthly cash balances.	1b	86,410
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	8,137,653
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,137,653
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	122,065
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	8,015,588
6	Minimum investment return. Enter 5% of line 5.	6	400,779

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	400,779
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	9,477
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,477
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	391,302
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	391,302
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	391,302

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	369,470
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	369,470
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	369,470

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				391,302
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			330,046	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 369,470				
a Applied to 2015, but not more than line 2a			330,046	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				39,424
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				351,878
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	0			
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

◀ | ▶

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
TERRI DOWELL
C/O USBANK NA 201 JEFFERSON
BURLINGTON, IA 52601
(319) 753-8761

b The form in which applications should be submitted and information and materials they should include
WRITTEN REQUEST

c Any submission deadlines
TIMELY

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
GRANTS FOR CHARITABLE, EDUCATIONAL OR SCIENTIFIC PURPOSES WHICH PROMOTE THE WELL-BEING OF THE INHABITANTS OF THE CITY OF BURLINGTON, IA

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	331,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JOSEPH J CASTRIANO	Preparer's Signature	Date 2017-11-30	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P01251603
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶ 13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
350 ALIBABA GROUP HOLDING LTD A D R		2015-04-07	2016-09-02
450 ARCHER DANIELS MIDLAND CO		2015-05-04	2016-09-02
750 CISCO SYS INC		2013-11-18	2016-09-02
250 PRUDENTIAL FINL INC		2015-09-08	2016-09-02
400 V F CORP		2012-03-08	2016-09-02
2181 025 AMERICAN CENT EQUITY INCOME CL INST		2013-05-31	2016-09-06
3538 928 FEDERATED INST HI YLD BD FD		2014-06-04	2016-09-06
4648 074 NUVEEN HIGH INCOME BOND FD CL I		2010-04-27	2016-09-06
4430 38 OPPENHEIMER SENIOR FLOATING RATE I			2016-09-06
1601 831 LAUDUS INTERNATIONAL MARKETMASTERS		2012-12-10	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,717		28,812	5,905
19,643		22,671	-3,028
23,816		16,305	7,511
19,902		19,917	-15
23,999		14,631	9,368
20,000		19,040	960
35,000		36,734	-1,734
35,000		40,764	-5,764
35,000		37,171	-2,171
35,000		30,835	4,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,905
			-3,028
			7,511
			-15
			9,368
			960
			-1,734
			-5,764
			-2,171
			4,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5325 444 TCW EMERGING MARKETS INCOME FUND		2013-05-23	2016-09-06
1500 E M C CORP MASS		2015-01-07	2016-09-07
800 E M C CORP MASS		2015-04-07	2016-09-07
1000 E M C CORP MASS		2015-05-04	2016-09-07
250 ALIBABA GROUP HOLDING LTD A D R		2015-04-07	2016-10-26
997 009 JOHN HANCOCK II GL ABS RE I			2016-10-26
11768 778 NUVEEN HIGH INCOME BOND FD CL I		2010-04-27	2016-10-26
500 PRUDENTIAL FINL INC			2016-10-26
2359 604 LAUDUS INTERNATIONAL MARKETMASTERS		2012-12-10	2016-10-26
2965 599 TCW EMERGING MARKETS INCOME FUND		2013-05-23	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,000		49,192	-4,192
43,966		43,170	796
23,449		21,002	2,447
29,311		27,327	1,984
25,718		20,580	5,138
10,000		11,265	-1,265
90,267		103,212	-12,945
41,842		43,874	-2,032
50,000		45,422	4,578
25,000		27,394	-2,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,192
			796
			2,447
			1,984
			5,138
			-1,265
			-12,945
			-2,032
			4,578
			-2,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
400 VALERO ENERGY CORP		2016-07-07	2016-10-26
5178 366 VOYA INTERNATIONAL REAL ESTATE I			2016-10-26
900 WESTERN DIGITAL CORP COM		2016-03-09	2016-10-26
1124 859 AMERICAN CENT EQUITY INCOME CL INST		2013-05-31	2016-10-27
2020 202 FEDERATED INST HI YLD BD FD		2014-06-04	2016-10-27
702 905 FIDELITY CAP TR APPREC FD 307		2010-09-14	2016-10-27
756 144 OAKMARK GLOBAL FUND CL I		2014-01-17	2016-10-27
1865 672 OPPENHEIMER SENIOR FLOATING RATE I		2014-07-28	2016-10-27
388 098 PARNASSUS CORE EQUITY INCOME INST		2013-12-12	2016-10-27
101 228 ROWE T PRICE MID CAP GROWTH FD INC		2010-09-14	2016-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
23,767		19,267	4,500
44,741		49,790	-5,049
50,186		43,065	7,121
10,000		9,820	180
20,000		20,970	-970
22,500		15,590	6,910
20,000		23,055	-3,055
15,000		15,653	-653
15,000		13,793	1,207
7,500		5,212	2,288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,500
			-5,049
			7,121
			180
			-970
			6,910
			-3,055
			-653
			1,207
			2,288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1183 712 LAUDUS INTERNATIONAL MARKETMASTERS		2012-12-10	2016-10-27
1787 843 TCW EMERGING MARKETS INCOME FUND		2013-05-23	2016-10-27
700 WESTERN DIGITAL CORP COM		2016-03-09	2016-10-27
22 DELL TECHNOLOGIES CL V		2014-09-11	2016-11-16
780 DELL TECHNOLOGIES CL V			2016-12-27
2202 644 EATON VANCE GLOBAL MACRO FD CL I		2013-05-31	2016-12-27
2765 487 PRUDENTIAL SHORT DUR HI YLD INC Z		2015-02-20	2016-12-27
1000 WESTERN DIGITAL CORP COM			2016-12-27
200 ALIBABA GROUP HOLDING LTD A D R		2015-04-07	2017-03-03
225 AMGEN INC		2015-12-03	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		22,786	2,214
15,000		16,515	-1,515
42,174		33,495	8,679
11		11	
43,703		38,171	5,532
20,000		20,971	-971
25,000		26,106	-1,106
70,123		44,096	26,027
20,592		16,464	4,128
40,382		34,797	5,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,214
			-1,515
			8,679
			5,532
			-971
			-1,106
			26,027
			4,128
			5,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 APPLE COMPUTER INC		2015-07-22	2017-03-03
350 CAPITAL ONE FINL CORP COM RTS EXP 11/29/2005		2016-07-07	2017-03-03
1629 648 JOHN HANCOCK II GL ABS RE I		2014-02-28	2017-03-03
300 PRUDENTIAL FINL INC		2015-02-19	2017-03-03
3300 33 PRUDENTIAL SHORT DUR HI YLD INC Z		2015-02-20	2017-03-03
123 335 ROWE T PRICE MID CAP GROWTH FD INC		2010-09-14	2017-03-03
1300 WESTERN DIGITAL CORP COM		2016-05-10	2017-03-03
500 LYONDELLBASELL INDUSTRIES CL A			2017-03-03
1725 328 ABERDEEN FDS EMRGN		2011-03-28	2017-05-05
250 ALIBABA GROUP HOLDING LTD A D R		2015-04-07	2017-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69,828		62,205	7,623
32,899		21,515	11,384
16,671		18,154	-1,483
33,822		24,117	9,705
30,000		31,155	-1,155
10,000		6,351	3,649
100,501		50,005	50,496
46,009		46,027	-18
25,000		24,482	518
28,862		20,580	8,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,623
			11,384
			-1,483
			9,705
			-1,155
			3,649
			50,496
			-18
			518
			8,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 APPLE COMPUTER INC			2017-05-05
700 ARCHER DANIELS MIDLAND CO		2015-05-04	2017-05-05
360 334 FIDELITY CAP TR APPREC FD 307		2010-09-14	2017-05-05
678 426 OPPENHEIMER DEVELOPING MARKETS I		2014-02-28	2017-05-05
350 PRUDENTIAL FINL INC		2015-02-19	2017-05-05
4410 143 PRUDENTIAL SHORT DUR HI YLD INC Z		2015-02-20	2017-05-05
800 WESTERN DIGITAL CORP COM		2016-05-10	2017-05-05
100 ALIBABA GROUP HOLDING LTD A D R			2017-08-03
100 AMGEN INC		2015-12-03	2017-08-03
300 GILEAD SCIENCES INC		2016-09-02	2017-08-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,729		19,726	10,003
29,597		35,266	-5,669
12,500		7,992	4,508
25,000		24,592	408
37,958		28,136	9,822
40,000		41,632	-1,632
72,272		30,772	41,500
15,331		8,149	7,182
17,455		15,465	1,990
22,422		23,123	-701

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,003
			-5,669
			4,508
			408
			9,822
			-1,632
			41,500
			7,182
			1,990
			-701

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
763 592 OAKMARK GLOBAL FUND CL I		2014-01-17	2017-08-03
2200 22 PRUDENTIAL SHORT DUR HI YLD INC Z		2015-02-20	2017-08-03
1762 632 TCW EMERGING MARKETS INCOME FUND		2013-05-23	2017-08-03
900 VALERO ENERGY CORP		2016-07-07	2017-08-03
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		23,282	1,718
20,000		20,770	-770
15,000		16,282	-1,282
61,545		43,350	18,195
			49,775

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,718
			-770
			-1,282
			18,195

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HETTA GILBERT ASSOCIATION PO BOX 512 BURLINGTON, IA 52601	NONE	PC	PROGRAM SUPPORT	1,000
ST VINCENT DE PAUL 700 DIVISION STREET BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING SUPPORT	1,000
SCC FOUNDATION 1500 WEST AGENCY ROAD WEST BURLINGTON, IA 52655	NONE	PC	GENERAL OPERATING	84,000
BURLINGTON CAPITOL THEATRE 211 N THIRD ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	10,000
BURLINGTON AREA YMCA INC 2410 MOUNT PLEASANT STREET BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	25,000
Total 3a				331,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YMCA - SHELTER 2410 MOUNT PLEASANT ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	3,000
KAYLA ATKINS FOUNDATION 6397 MADISON AVENUE BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	5,000
DAVID WUNNENBERG COMM POLICING FDN 400 WASHINGTON ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING SUPPORT	5,000
BURLINGTON NOTRE DAME FOUNDATION 702 S ROOSEVELT BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	25,000
JUNIOR ACHIEVEMENT OF THE HEARTLAND 800 12TH AVENUE MOLINE, IL 61265	NONE	PC	GENERAL OPERATING	10,000
Total 3a				331,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG HOUSE FAMILY SERVICES 400 SOUTH BROADWAY BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	20,000
BURLINGTON AREA HOMELESS SHELTER 123 N MARSHALL ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	15,000
BURLINGTON CIVIC MUSIC PO BOX 812 BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	20,000
BURLINGTON AREA DEVELOPMENT FDN 610 N 4TH ST STE 200 BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	10,000
GREAT RIVER CHRISTIAN SCHOOL 426 HARRISON AVE BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	5,000
Total ▶ 3a				331,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BURLINGTON STEAMBOAT DAYS 200 N FRONT ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	5,000
DES MOINES CTY HISTORICAL SOCIETY C/O AUSTIN SCHWARTZ 501 NORTH FORTH STREET BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	5,000
UNITED WAY-BURLINGTON AREA 218 NORTH THIRD STREET SUITE 217 BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	55,000
BEL CANTO CHORALE PO BOX 325 BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	3,000
BURLINGTON MUNICIPAL BAND FND PO BOX 141 BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	3,000
Total ► 3a				331,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ART GUILD OF BURLINGTON 301 JEFFERSON ST BURLINGTON, IA 52601	NONE	PC	GENERAL OPERATING	6,000
SOUTHEAST IOWA SYMPHONY ASSOCIATION 601 N MAIN ST MT PLEASANT, IA 52653	NONE	PC	GENERAL OPERATING	10,000
DES MOINES COUNTY FOUNDATION PO BOX 714 BURLINGTON, IA 526010714	NONE	PC	GENERAL OPERATING	5,000
Total ▶ 3a				331,000

TY 2016 Accounting Fees Schedule**Name:** JOHN H WITTE JR FOUNDATION TRUST**EIN:** 42-6297940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	750			750

TY 2016 Investments Corporate Bonds Schedule**Name:** JOHN H WITTE JR FOUNDATION TRUST**EIN:** 42-6297940

Name of Bond	End of Year Book Value	End of Year Fair Market Value
31420B300 FEDERATED INST HI YL	283,890	281,429
277923728 EATON VANCE GLOBAL M	523,849	515,825
258620103 DOUBLELINE TOTAL RET	465,000	458,758
68381K606 OPPENHEIMER SENIOR F	429,346	414,230
670700400 NUVEEN PREFERRED SEC	190,572	197,391
74442J307 PRUDENTIAL SHORT DUR	250,337	243,255
87234N765 TCW EMERGING MARKETS	186,228	176,953
670678648 NUVEEN SHORT TERM BO	289,859	289,050
670678622 NUVEEN CORE PLUS BON	105,000	106,648

TY 2016 Investments Corporate Stock Schedule

Name: JOHN H WITTE JR FOUNDATION TRUST
EIN: 42-6297940

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APPLE INC		
CISCO SYS INC		
641233200 NEUBERGER BERMAN GEN	215,690	280,803
808509640 LAUDUS INTERNATIONAL	123,046	164,083
413838830 OAKMARK GLOBAL FUND	213,597	230,630
74254V273 PRINCIPAL GL R E SEC	71,000	75,407
30219G108 EXPRESS SCRIPTS HLDG	47,238	47,115
N53745100 LYONDELLBASELL INDUS	164,758	190,239
464288448 ISHARES INTERNATIONA	178,185	196,529
58155Q103 MCKESSON CORPORATION	197,023	194,103
316066109 FIDELITY CAP TR APPR	77,454	127,146
77957Y106 T ROWE PRICE MID CAP	141,164	144,637
17275R102 CISCO SYSTEMS INC	112,261	169,103
14040H105 CAPITAL ONE FINANCIA	60,475	71,649
92914A810 VOYA INTERNATIONAL R	92,210	85,755
00769G543 CAMBIAR INTL EQUITY	270,000	310,541
670678507 NUVEEN REAL ESTATE S	17,121	23,603
59156R108 METLIFE INC	45,684	44,489
744320102 PRUDENTIAL FINANCIAL	131,984	168,432
701769408 PARNASSUS CORE EQUIT	153,314	181,530
577130776 MATTHEWS ASIA GROWTH	90,000	111,813
149498107 CAUSEWAY EMERGING MA	183,302	218,994
06828M876 BARON EMERGING MARKE	197,500	253,616
10922N103 BRIGHTHOUSE FINL INC	5,282	4,929
779556109 ROWE T PRICE MID-CAP	163,351	280,035
01609W102 ALIBABA GROUP HOLDIN	90,724	197,501
375558103 GILEAD SCIENCES INC	194,024	192,533
91913Y100 VALERO ENERGY CORP	45,758	64,695
683974604 OPPENHEIMER DEVELOPI	45,764	51,776
277923751 PARAMETRIC EMERGING	112,842	112,255

Name of Stock	End of Year Book Value	End of Year Fair Market Value
378690606 GLENMEDE SC EQUITY P	262,499	298,191
315910620 FIDELITY INVT TR AD	173,745	208,680
233051507 DB X TRACKERS MSCI J	89,640	116,550
14149Y108 CARDINAL HEALTH INC	186,521	161,904
031162100 AMGEN INC	100,523	119,995
003021714 ABERDEEN FDS EMRGN	52,510	58,468
037833100 APPLE INC	87,645	213,200
018581108 ALLIANCE DATA SYSTEM	112,089	101,475
025076209 AMERICAN CENT EQUITY	71,435	76,427
039483102 ARCHER DANIELS MIDLA	156,177	128,092
87612E106 TARGET CORP	143,123	136,325

TY 2016 Investments - Other Schedule

Name: JOHN H WITTE JR FOUNDATION TRUST
EIN: 42-6297940

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NUVEEN HIGH INC BD FD			
ABERDEEN FDS EMRGN MKT			
AMERN CENT EQ INC			
FIDELITY CAP TR			
NEUBERGER BERMAN GENESIS			
EATON VANCE GLOBAL MACRO FD CL			
OPPENHEIMER SR FLOAT RATE Y			
LAUDUS INTL MARKETMASTERS FD			
NUVEEN REAL ESTATE SECS I			
T ROWE PRICE MID CAP GWTH #64			
TCW EMERG MKTS INCOME FD			
DOUBLELINE TOTAL RET BD I			
FEDERATED INST HI YLD BD FD			
NUVEEN PREFRD SEC FD			
OAKMARK GLOBAL FD CL I			
OPPENHEIMER DVLP MKTS I			
PARAMETRIC EMGR MKTS INSTL			
PARNASSUS CORE EQTY INC INST			
JOHN HANCOCK II GL ABS RE I			
ALIBABA GROUP HOLDING LTD A D			
ARCHER DANIELS MIDLAND CO			
CAUSEWAY EMERGING MARKETS INST			
EMC CORPORATION			
FIDELITY INVT TR AD INTL DISCI			
GLENMEDE SC EQUITY PORT ADV			
LYONDELLBASELL INDUSTRIES CL A			
MATTHEWS ASIA GROWTH FUND IS			
NUVEEN SHORT TERM BOND FUND CL			
PRUDENTIAL FINANCIAL INC			
PRUDENTIAL SHORT DUR HI YLD IN			

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMGEN INC			
BARON EMERGING MARKETS INSTITU			
CAMBIAR INTL EQUITY FUND INS			
CAPITAL ONE FINANCIAL CORP			
CARDINAL HEALTH INC			
DB X TRACKERS MSCI JAPAN HEDGE			
GILEAD SCIENCES INC			
T ROWE PRICE MID CAP VALUE FUN			
V F CORP			
VALERO ENERGY CORP			
VOYA INTERNATIONAL REAL ESTATE			
WESTERN DIGITAL CORP			

TY 2016 Other Decreases Schedule

Name: JOHN H WITTE JR FOUNDATION TRUST
EIN: 42-6297940

Description	Amount
EATON VANCE GLOBAL ADJ	296

TY 2016 Other Expenses Schedule**Name:** JOHN H WITTE JR FOUNDATION TRUST**EIN:** 42-6297940**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WITTE MEMORIAL EXPENSES	2,005	0		2,005
INSURANCE POLICY RENEWAL	556	0		556
ADR FEES	30	30		0

TY 2016 Other Income Schedule

Name: JOHN H WITTE JR FOUNDATION TRUST

EIN: 42-6297940

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	2,108	0	

TY 2016 Other Increases Schedule

Name: JOHN H WITTE JR FOUNDATION TRUST
EIN: 42-6297940

Description	Amount
ROUNDING	2

TY 2016 Taxes Schedule**Name:** JOHN H WITTE JR FOUNDATION TRUST**EIN:** 42-6297940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,393	2,393		0
FEDERAL ESTIMATES - PRINCIPAL	8,800	0		0
FOREIGN TAXES ON NONQUALIFIED	387	387		0