Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 08-01-2016 , and ending 07-31-2017

Name of foundation
THE JOHNNY MERCER FOUNDATION

A Employer identification number
95-3728115

Number and street (or P O box number if mail is not delivered to street address)
PMETIS CPAS2381 ROSECRANS AVE350

Room/suite

B Telephone number (see instructions)
(310) 207-2220

City or town, state or province, country, and ZIP or foreign postal code
EL SEGUNDO, CA 90245

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 2,978,738

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	22,066			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	476	476	476	
	4	Dividends and interest from securities	6,042	6,042	6,042	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	15,721			
	b	Gross sales price for all assets on line 6a 498,091				
	7	Capital gain net income (from Part IV, line 2)		15,721		
	8	Net short-term capital gain			5,338	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	726,745	726,745	726,745	
	12	**Total.** Add lines 1 through 11	771,050	748,984	738,601	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0	0	0
	14	Other employee salaries and wages	48,000	0	0	36,000
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	22,526	18,373	18,373	0
	b	Accounting fees (attach schedule)	40,905	12,272	12,272	0
	c	Other professional fees (attach schedule)	7,167	7,167	7,167	0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	17,527	0	0	2,754
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	41,574	0	0	0
	22	Printing and publications				
	23	Other expenses (attach schedule)	80,934	0	0	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	258,633	37,812	37,812	38,754
	25	Contributions, gifts, grants paid	393,000			393,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	651,633	37,812	37,812	431,754
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	119,417			
	b	**Net investment income** (if negative, enter -0-)		711,172		
	c	**Adjusted net income**(if negative, enter -0-)			700,789	

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	254,362	342,593	342,593
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	193,888	226,049	236,145
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ 6,313 Less accumulated depreciation (attach schedule) ▶ 6,313			
15	Other assets (describe ▶)	0	0	2,400,000
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	448,250	568,642	2,978,738
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	0	975	
23	**Total liabilities**(add lines 17 through 22)	0	975	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	448,250	567,667	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	448,250	567,667	
31	**Total liabilities and net assets/fund balances** (see instructions)	448,250	568,642	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	448,250
2	Enter amount from Part I, line 27a	2	119,417
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	567,667
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	567,667

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	15,721
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	5,338

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	294,601	2,775,419	0 106146
2014	537,333	3,093,274	0 173710
2013	488,614	3,175,827	0 153854
2012	479,136	3,118,231	0 153656
2011	360,993	3,114,004	0 115926

2	**Total** of line 1, column (d)	2	0 703292
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 140658
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,903,479
5	Multiply line 4 by line 3	5	408,398
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,112
7	Add lines 5 and 6	7	415,510
8	Enter qualifying distributions from Part XII, line 4	8	431,754

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	7,112
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	7,112
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,112
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 10,000		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d.	7	10,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,888
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 2,888 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶HTTP //WWW JOHNNYMERCERFOUNDATION COM

14 The books are in care of ▶PRAGER METIS CPAS LLC Telephone no ▶(310) 207-2220

Located at ▶2381 ROSECRANS AVENUE 350 EL SEGUNDO CA ZIP+4 ▶90245

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	**6b**		**No**
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 DIRECT GRANTS TO CHARITABLE ORGANIZATIONS IN THE FIELDS OFHIGHER EDUCATION, COMMUNICATIONS, MUSIC AND HEALTH NINE ORGANIZATIONS SERVED	393,000
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	223,472
b	Average of monthly cash balances.		1b	324,222
c	Fair market value of all other assets (see instructions).		1c	2,400,000
d	**Total** (add lines 1a, b, and c).		1d	2,947,694
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	2,947,694
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	44,215
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,903,479
6	**Minimum investment return.** Enter 5% of line 5.		6	145,174

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	145,174
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 7,112		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	7,112
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	138,062
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	138,062
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	138,062

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	431,754
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	431,754
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	7,112
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	424,642

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					138,062
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	360,993				
b From 2012.	479,136				
c From 2013.	335,621				
d From 2014.	394,665				
e From 2015.	201,744				
f **Total** of lines 3a through e.		1,772,159			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 431,754					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					138,062
e Remaining amount distributed out of corpus		293,692			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,065,851			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		360,993			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		1,704,858			
10 Analysis of line 9					
a Excess from 2012. . . .	479,136				
b Excess from 2013. . . .	335,621				
c Excess from 2014. . . .	394,665				
d Excess from 2015. . . .	201,744				
e Excess from 2016. . . .	293,692				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶ 2012-05-05

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

FRANK SCARDINO
C/O PRAGER METIS CPAS 2381
ROSECRANS AVE 350
EL SEGUNDO, CA 90245
(310) 207-2220
EXECDIRECTOR@JOHNNYMERCERFOUNDATION COM

b The form in which applications should be submitted and information and materials they should include

INITIAL APPROACH - LETTER

c Any submission deadlines

MAY 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				393,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	476	
4 Dividends and interest from securities. . . .			14	6,042	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	726,745	
8 Gain or (loss) from sales of assets other than inventory			18	15,721	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		748,984	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 748,984

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-12-12	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
HAROLD PETERSON				P00580491
Firm's name ▶ PRAGER METIS CPAS LLC				Firm's EIN ▶06-1667465
Firm's address ▶ 14 PENN PLAZA SUITE 1800 NEW YORK, NY 10122				Phone no (212) 643-0099

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALERIAN MLP ETF		2015-10-22	2016-08-17
ALERIAN MLP ETF		2015-10-22	2016-08-17
ALERIAN MLP ETF		2016-04-21	2016-08-17
ALERIAN MLP ETF		2016-04-21	2016-10-06
ALERIAN MLP ETF		2016-05-03	2016-10-06
BLACKROCK MULTI-ASSET INCOME PORTFOLIO		2017-01-24	2017-04-28
BRISTROL MYERS SQUIBB CO		2016-04-18	2016-09-01
CHEVRON CORP		2016-08-01	2017-01-24
CHEVRON CORP		2016-08-01	2017-03-01
EATON VANCE ADVANTAGED DIVID INCOME		2015-12-03	2016-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,662		3,565	97
1,267		1,216	51
2,939		2,690	249
4,687		4,348	339
3,075		2,772	303
63,006		61,969	1,037
8,025		9,702	-1,677
234		198	36
10,782		9,399	1,383
787		741	46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			51
			249
			339
			303
			1,037
			-1,677
			36
			1,383
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE ADVANTAGED DIVID INCOME		2015-12-03	2016-08-09
EXXON MOBIL CORP		2016-06-24	2016-08-01
FIRST TR NORTH AMERICAN ENERGY INFRASTRUCTURE		2017-01-24	2017-04-28
GUGGENHEIM S&P 500 EQUAL WEIGHT		2016-07-28	2016-11-02
ISHARES EDGE MSCI MIN VOL EMERGING MARKETS		2016-08-16	2016-12-01
ISARES US REAL ESTATE ETF		2016-04-18	2016-11-02
ISARES US REAL ESTATE ETF		2016-05-16	2016-11-02
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-10	2016-11-17
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-11	2016-11-17
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-11	2016-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
290		273	17
9,613		10,053	-440
12,277		12,449	-172
32,139		33,289	-1,150
23,204		25,354	-2,150
4,615		4,836	-221
6,922		7,384	-462
1,001		842	159
840		706	134
484		407	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			-440
			-172
			-1,150
			-2,150
			-221
			-462
			159
			134
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-12	2016-11-17
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-15	2016-11-17
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-15	2016-11-17
JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND		2016-08-15	2016-11-17
KAYNE ANDERSON MLP INVESTMENT CO		2016-05-03	2016-12-01
KAYNE ANDERSON MLP INVESTMENT CO		2016-05-04	2016-12-01
KEYCORP NEW		2016-09-01	2016-11-02
OPPENHEIMER STEELPATH MLP ALPHA PLUS FUND		2015-12-23	2016-12-01
OPPENHEIMER STEELPATH MLP ALPHA PLUS FUND		2016-08-17	2016-12-01
PEPSICO		2016-04-18	2017-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
678		568	110
32		27	5
1,518		1,274	244
258		217	41
7,613		7,552	61
824		668	156
11,307		10,108	1,199
8,436		7,297	1,139
7,055		7,612	-557
1,988		1,969	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			110
			5
			244
			41
			61
			156
			1,199
			1,139
			-557
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PEPSICO		2016-04-19	2017-01-24
POWERSHARES S&P 500 HIGH BETA PORT ETF		2017-01-24	2017-03-01
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO		2016-05-26	2016-08-16
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO		2017-03-01	2017-04-28
PUTNAM CAPITAL SPECTRUM FUND		2017-01-05	2017-01-24
PUTNAM CAPITAL SPECTRUM FUND		2017-01-05	2017-04-28
REYNOLDS AMERN INC(HOLDING CO)		2016-04-18	2017-01-04
REYNOLDS AMERN INC(HOLDING CO)		2016-04-19	2017-01-04
ROBINSON TAX ADVANTAGE INCOME FUND CLASS		2016-06-09	2016-12-01
SPDR S&P REGL BANKING ETF		2017-01-24	2017-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,407		2,395	12
25,572		24,977	595
8,723		8,372	351
25,567		25,584	-17
274		268	6
2,861		2,616	245
5,491		4,864	627
5,547		4,874	673
10,215		11,391	-1,176
12,234		12,458	-224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			595
			351
			-17
			6
			245
			627
			673
			-1,176
			-224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TECHNOLOGY SELECT SECTOR SPDR ETF		2017-01-24	2017-04-28
VANGUARD FTSE EMERGING MARKETS ETF		2016-07-14	2016-12-01
VANGUARD REIT ETF		2016-06-24	2016-12-01
VERIZON COMMUNICATIONS INC		2016-02-05	2016-12-01
VERIZON COMMUNICATIONS INC		2016-04-21	2016-12-01
VIRTUS HERZFELD FUND CLASS I		2016-11-02	2017-01-24
VIRTUS HERZFELD FUND CLASS I		2016-11-02	2017-04-28
W P CAREY INC REIT		2016-04-18	2016-12-01
ALTRIA GROUP INC		2013-06-25	2017-01-24
ALTRIA GROUP INC		2013-06-25	2017-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,964		24,893	2,071
12,681		12,972	-291
11,678		12,554	-876
4,576		4,673	-97
5,024		5,013	11
1,217		1,151	66
39,008		35,297	3,711
4,402		4,824	-422
1,129		560	569
9,450		4,619	4,831

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,071
			-291
			-876
			-97
			11
			66
			3,711
			-422
			569
			4,831

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALTRIA GROUP INC		2016-04-18	2017-04-28
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-06-05	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-06-09	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-06-09	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-06-09	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-07-02	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
573		498	75
142		152	-10
679		717	-38
517		546	-29
913		966	-53
1,196		1,244	-48
1,227		1,234	-7
629		632	-3
608		611	-3
1,247		1,253	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			-10
			-38
			-29
			-53
			-48
			-7
			-3
			-3
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-08-18	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-12-03	2016-12-08
CALAMOS STRATEGIC TOTAL RETURN FUND		2015-12-03	2016-12-08
CBRE CLARION GLOBAL REAL ESTAT		2013-09-18	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-09-25	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-09-26	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-09-26	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-09-27	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-09-27	2016-12-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
538		539	-1
953		956	-3
2,109		1,982	127
537		505	32
605		644	-39
331		352	-21
778		826	-48
86		92	-6
475		505	-30
122		130	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1
			-3
			127
			32
			-39
			-21
			-48
			-6
			-30
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CBRE CLARION GLOBAL REAL ESTAT		2013-09-27	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-10-07	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-12-03	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-12-13	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2013-12-19	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2014-09-29	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2014-09-30	2016-12-07
CBRE CLARION GLOBAL REAL ESTAT		2015-09-16	2016-12-07
CYRUSONE INC REIT		2015-07-13	2016-12-01
CYRUSONE INC REIT		2015-07-13	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
554		589	-35
1,015		1,073	-58
1,267		1,313	-46
1,231		1,249	-18
504		515	-11
641		717	-76
468		524	-56
468		465	3
2,781		1,923	858
3,558		2,457	1,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-35
			-58
			-46
			-18
			-11
			-76
			-56
			3
			858
			1,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CYRUSONE INC REIT		2015-07-27	2016-12-01
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-20	2016-08-03
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-20	2016-08-03
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-20	2016-08-03
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-20	2016-08-04
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-20	2016-08-05
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-21	2016-08-05
EATON VANCE ADVANTAGED DIVID INCOME		2013-06-21	2016-08-05
EATON VANCE ADVANTAGED DIVID INCOME		2013-10-03	2016-08-08
EATON VANCE ADVANTAGED DIVID INCOME		2013-10-03	2016-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
695		475	220
41		36	5
994		868	126
41		36	5
311		271	40
62		54	8
911		779	132
621		531	90
601		518	83
207		178	29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			220
			5
			126
			5
			40
			8
			132
			90
			83
			29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PEPSICO INC		2015-10-15	2017-01-24
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO		2014-05-05	2016-08-16
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO		2014-05-15	2016-08-16
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO		2015-07-16	2016-08-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,337		5,008	329
1,517		1,241	276
6,532		5,304	1,228
8,891		8,022	869

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			329
			276
			1,228
			869

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CHARLES S TIGERMAN C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	SECRETARY, DIRECTOR 0 00	0	0	0
JOHN MARSHALL C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	PRESIDENT, CHAIRMAN, DIREC 0 00	0	0	0
ALVIN DEUTSCH ESQ C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR, OFFICER 0 00	0	0	0
JEANNE ROCCON ROHM C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	VP, DIRECTOR 0 00	0	0	0
NANCY RISHAGEN C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR, OFICER 0 00	0	0	0
NEIL J GILLIS C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	TREASURER, DIRECTOR 0 00	0	0	0
AMANDA MCBROOM C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
JONATHAN BRIELLE C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	VP, DIRECTOR 0 00	0	0	0
ALAN BERGMAN C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
MICHAEL A KERKER C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
DIANE S THURMAN C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
BOB FEAD C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
MICHAEL P PRICE C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0
SUSAN M BALLATI C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245	DIRECTOR 0 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ART OF BRAIN CO JUDI KAUFMAN 701 N ALPINE DRIVE BEVERLY HILLS, CA 90210	NONE	PUBLIC CHARITY	UNRESTRICTED	22,000
BRAILLE INSTITUTE OF AMERICA 741 NORTH VERMONT AVENUE LOS ANGELES, CA 90029	NONE	PUBLIC CHARITY	UNRESTRICTED	50,000
CHILDREN'S HOSPITAL LA 4650 SUNSET BOULEVARD LOS ANGELES, CA 90027	NONE	PUBLIC CHARITY	UNRESTRICTED	50,000
FLORIDA INTERNATIONAL UNIVERSITY 11200 SW 8TH STREET MIAMI, FL 33199	NONE	PUBLIC CHARITY	FELLOWSHIP PROGRAM	23,000
GEORGIA STATE UNIVERSITY PO BOX 3963 ATLANTA, GA 303023963	NONE	PUBLIC CHARITY	UNRESTRICTED	100,000
Total . . . . ▶ **3a**				393,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DIRECTOR OF DEVELOPMENT GOODSPEED MUSICALS PO BOX A EAST HASSAM, CT 064230281	NONE	PUBLIC CHARITY	JOHNNY MERCER WRITERS COLONY AT GOODSPEED MUSICALS	55,000
NEW JERSEY PERFORMING ARTS CENTER 1 CENTER STREET NEWARK, NJ 07102	NONE	PUBLIC CHARITY	NJPAC PROJECT, CONCERT HONORING JOHNNY MERCER, JMF/NJPAC MUSICAL THEATER	44,000
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	NONE	PUBLIC CHARITY	JOHNNY MERCER SONGWRITERS PROJECT	44,000
THE JAZZ BAKERY 1836 BENEDICT CANYON DR BEVERLY HILLS, CA 90210	NONE	PUBLIC CHARITY	UNRESTRICTED	5,000
Total . . . . ▶ 3a				393,000

TY 2016 Accounting Fees Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES ROYALTIES AND COPYRIGHTS	12,272	12,272	12,272	0
ACCOUNTING AND TAX FEES	28,633	0	0	0

TY 2016 Investments Corporate Stock Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	226,049	236,145

TY 2016 Legal Fees Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES ROYALTIES AND COPYRIGHTS	18,373	18,373	18,373	0
OTHER LEGAL FEES	4,153	0	0	0

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TY 2016 Other Assets Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
JOHNNY MERCER MUSIC CATALOGUE	0	0	2,400,000

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TY 2016 Other Expenses Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	1,469	0	0	0
PUBLIC RELATIONS	18,900	0	0	0
INSURANCE	1,532	0	0	0
BANK FEES	84	0	0	0
ACCENTURE THE POSITIVE WORKBOOKS	21,734	0	0	0
FIU WORKSHOP	33,250	0	0	0
NORTHWESTERN SONGWRITERS PROJECT	919	0	0	0
FIU EDUCATIONAL PROGRAM	660	0	0	0
GOODSPEED MUSICALS	1,806	0	0	0
PERFORMING ARTS COUNCIL	580	0	0	0

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TY 2016 Other Income Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	726,745	726,745	726,745

TY 2016 Other Liabilities Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	0	975

TY 2016 Other Professional Fees Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	7,167	7,167	7,167	0

TY 2016 Taxes Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	3,672	0	0	2,754
FILING FEES	85	0	0	0
FEDERAL EXCISE TAX	13,770	0	0	0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
THE JOHNNY MERCER FOUNDATION	95-3728115

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE JOHNNY MERCER FOUNDATION

Employer identification number
95-3728115

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	VARIOUS CONTRIBUTIONS C/O P-M CPAS LLC 2381 ROSECRANS AVE EL SEGUNDO, CA 90245	$ 22,066	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE JOHNNY MERCER FOUNDATION

Employer identification number
95-3728115

Part II **Noncash Property**
(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE JOHNNY MERCER FOUNDATION

Employer identification number
95-3728115

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	