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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter social security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning Aug 1 , **2016, and ending** Jul 31 , 2017

Name of foundation: ROBERT HOAG RAWLINGS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 825 W. 6TH ST, P. O. BOX 36

Room/suite:

City or town, state or province, country, and ZIP or foreign postal code: PUEBLO CO 81002-0036

A Employer identification number: 84-1090907

B Telephone number (see instructions): (719) 544-3520

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 4,887,473.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)	1,520,838.			
	2	Check ► ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	25.	25.		
	4	Dividends and interest from securities	104,205.	104,205.		
	5 a	Gross rents				
	b	Net rental income or (loss)				
	6 a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		121,555.		
	8	Net short-term capital gain			1,488.	
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) See Line 11 Stmt	120,019.	-1,534.		
	12	**Total.** Add lines 1 through 11	1,745,087.	224,251.	1,488.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) L-16b Stmt	1,750.	1,750.		
	c	Other professional fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	2,878.	604.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	9,716.	8,952.		
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt	5,841.	5,841.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	20,185.	17,147.		
	25	Contributions, gifts, grants paid	176,500.			176,500.
	26	**Total expenses and disbursements.** Add lines 24 and 25	196,685.	17,147.		176,500.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,548,402.			
	b	**Net investment income** (if negative, enter -0-)		207,104.		
	c	**Adjusted net income** (if negative, enter -0-)			1,488.	

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SCANNED JAN 30 2018

BAA For Paperwork Reduction Act Notice, see instructions. TEEA0301 12/16/16 Form **990-PF** (2016)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	31,187.		
	2	Savings and temporary cash investments	94,554.	1,735,530.	1,735,530.
	3	Accounts receivable ►			
		Less· allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less· allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) L-10b Stmt	1,912,600.	1,892,053.	2,632,778.
	c	Investments – corporate bonds (attach schedule) L-10c Stmt	508,314.	470,587.	490,168.
	11	Investments – land, buildings, and equipment: basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) L-13 Stmt	20,817.	17,704.	28,997.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	2,567,472.	4,115,874.	4,887,473.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,567,472.	4,115,874.	
	30	**Total net assets or fund balances** (see instructions)	2,567,472.	4,115,874.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,567,472.	4,115,874.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,567,472.
2	Enter amount from Part I, line 27a	2	1,548,402.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,115,874.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,115,874.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHED-SECURITIES	P	08/13/14	07/26/17
b	SCHEDULE ATTACHED-SECURITIES	P	11/05/15	02/13/17
c	SCHEDULE ATTACHED-SECURITIES	P	01/27/17	07/27/17
d	SCHEDULE ATTACHED-SECURITIES	P	12/10/15	07/27/17
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	183,581.		90,510.	93,071.
b	45,856.		26,734.	19,122.
c	5,691.		4,203.	1,488.
d	41,357.		33,483.	7,874.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				93,071.
b				19,122.
c				1,488.
d				7,874.
e				

2	Capital gain net income or (net capital loss) . . . If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 . . .	2	121,555.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)· If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . .	3	1,488.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2015	145,865.	3,114,393.	0.046836
2014	182,724.	3,274,200.	0.055807
2013	177,062.	3,198,635.	0.055355
2012	127,674.	2,916,325.	0.043779
2011	127,813.	2,626,892.	0.048656

2	**Total** of line 1, column (d)	2	0.250433
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050087
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,699,236.
5	Multiply line 4 by line 3	5	185,284.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,071.
7	Add lines 5 and 6	7	187,355.
8	Enter qualifying distributions from Part XII, line 4	8	176,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here . . . . ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary – see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here . . ► ☐ and enter 1% of Part I, line 27b			1	4,142.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	4,142.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,142.
6 Credits/Payments:				
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a	2,000.		
b Exempt foreign organizations – tax withheld at source	6 b			
c Tax paid with application for extension of time to file (Form 8868)	6 c			
d Backup withholding erroneously withheld	6 d			
7 Total credits and payments Add lines 6a through 6d			7	2,000.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	0.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	2,142.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	0.
11 Enter the amount of line 10 to be **Credited to 2017 estimated tax** ►		**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation . . . ► $ ________ **(2)** On foundation managers . . . . ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . . ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ________			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► SCHMIDT VALENTINE WHITTEMORE & CO PC Telephone no. ► (719) 543-2066

Located at ► 119 COLORADO AVENUE, PUEBLO, CO ZIP + 4 ► 81004-4213

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** | No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H RAWLINGS 1401 RANCHO DEL SOL PUEBLO CO 81008	CHAIRMAN 5.00	0.	0.	0.
JANE L RAWLINGS 624 DITTMER AVENUE PUEBLO CO 81005	PRESIDENT 5.00	0.	0.	0.
BOB D WERTZ 45 LEHIGH AVE PUEBLO CO 81005	TREASURER 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	7,241.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ► None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:				
a	Average monthly fair market value of securities			1a	3,196,194.
b	Average of monthly cash balances			1b	559,376.
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	3,755,570.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	3,755,570.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)			4	56,334.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	3,699,236.
6	**Minimum investment return.** Enter 5% of line 5			6	184,962.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	184,962.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	4,142.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,142.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	180,820.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	180,820.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	180,820.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	176,500.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	176,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	176,500.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					180,820.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years 20 ___, 20 ___, 20 ___					
3 Excess distributions carryover, if any, to 2016					
a From 2011	0.				
b From 2012	0.				
c From 2013	0.				
d From 2014	185.				
e From 2015	0.				
f **Total** of lines 3a through e		185.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► $ 176,500.					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2016 distributable amount					176,500.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		185.			185.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount — see instructions			0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					4,135.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9:					
a Excess from 2012	0.				
b Excess from 2013	0.				
c Excess from 2014	0.				
d Excess from 2015	0.				
e Excess from 2016	0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

ROBERT H. RAWLINGS
HELENE C MONBERG TRUST

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

AMANDA TAULMAN
P O BOX 36
PUEBLO CO 81002-0036 (719) 544-3520

b The form in which applications should be submitted and information and materials they should include:

BY LETTER EXPLAINING PURPOSE, AMOUNT OF REQUEST AND SUPPORTING INFORMATION

c Any submission deadlines:

BY EARLY NOVEMBER OR EARLY MAY

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GENERALLY LIMITED TO THE SOUTHERN COLORADO AREA

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ADAMS STATE COLLEGE FOUNDATION 208 EDGEMONT BLVD ALAMOSA CO 81102		PUBLIC	SCHOLARSHIPS	4,000.
BOYS & GIRLS CLUB OF FREMONT COUNTY P O BOX 1537 CANON CITY CO 81215		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	5,000.
BOYS & GIRLS CLUB OF CHAFFEE COUNTY P O BOX 1430 SALIDA CO 81201		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF THE SAN LUIS VALLEY P O BOX 1032 ALAMOSA CO 81101		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	5,000.
CENTER FOR AMERICAN VALUES 101 S MAIN ST, STE100 PUEBLO CO 81003		PUBLIC	EDUCATIONAL PROGRAMS	7,500.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	SCHOLARSHIPS	24,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	CAPITAL CAMPAIGN FOR IMPROVEMENTS PLEDGE	25,000.
JOHN W RAWLINGS HERITAGE CENTER P O BOX 270 LAS ANIMAS CO 81054		PUBLIC	OPERATING EXPENSES	10,000.
LAMAR COMMUNITY COLLEGE FOUNDATION 2401 S. MAIN LAMAR CO 81052		PUBLIC	SCHOLARSHIPS	4,000.
See Line 3a statement				89,000.
Total . . . . ► **3a**				176,500.
b Approved for future payment				
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	ON THE MOVE CAMPAIGN - DONOR WALL IMPROVEMENTS	50,000.
Total . . . . ► **3b**				50,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue·					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	25.	
4 Dividends and interest from securities			14	104,205.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	121,555.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue·					
a PARTNERSHIPS LOSSES			14	-1,534.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				224,251.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . **13** 224,251.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1 a (1)		X
	(2) Other assets	1 a (2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
	(3) Rental of facilities, equipment, or other assets	1 b (3)		X
	(4) Reimbursement arrangements	1 b (4)		X
	(5) Loans or loan guarantees	1 b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 12/8/17

Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Virginia D. Whittemore	Virginia Whittemore	12/04/17		P00014156

Firm's name ► Schmidt, Valentine, Whittemore & Co. P.C.

Firm's EIN ► 84-0612359

Firm's address ► 119 Colorado Avenue
Pueblo CO 81004

Phone no (719) 543-2066

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
NET CAPITAL GAIN	121,555.		
PARTNERSHIP INCOME (LOSS)	-1,536.	-1,534.	
Total	120,019.	-1,534.	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
EXCISE	2,274.			
FOREIGN	604.	604.		
Total	2,878.	604.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
OFFICE & MISCELLANEOUS	11.	11.		
INVESTMENT MANAGEMENT FEES	5,830.	5,830.		
Total	5,841.	5,841.		

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . ☒ Business . ☐ DAVID CARDINAL 3022 THUNDER LAKE CIRCLE LAFAYETTE CO 80026	DIRECTOR 1.00	0.	0.	780.
Person . . ☒ Business . ☐ ROBERT RAWLINGS, JR 455 LIBERTY ST ASHLAND OR 97520	CO VICE-PRES 0.50	0.	0.	3,753.
Person . . ☒ Business . ☐ DAVID B SHAW 37 CALLE DEL SOL RD PUEBLO CO 81008	DIRECTOR 0.50	0.	0.	0.
Person . . ☒ Business . ☐ JOHN G RAWLINGS 3138 LAUREL STREET SAN DIEGO CA 92104	CO VICE-PRES 0.50	0.	0.	2,170.

Form 990-PF, Page 6, Part VIII, Line 1 Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . ☒ Business . ☐ CAROLYN TEMPLE 2721 MESA AVE DURANGO CO 81301	SECRETARY 0.50	0.	0.	538.
Total		0.	0.	7,241.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
OTERO COMMUNITY COLLEGE FOUNDATION 1802 COLORADO LA JUNTA CO 81050		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.
MOUNTAIN PARK ENVIRONMENTAL CENTER P O BOX 99 BEULAH CO 81023		PUBLIC	SUPPORT FOR EARTH STUDIES PROGRAM & HIRING FACILITATOR	Person or ☐ Business ☒ 15,000.
PUEBLO CITY-COUNTY LIBRARY DISTRICT 100 E ABRIENDO AVENUE PUEBLO CO 81004		PUBLIC	INFOZONE NEWS MUSEUM PUEBLO CHIEFTAIN DIGITAL COLLECTION	Person or ☐ Business ☒ 15,000.
PUEBLO COMMUNITY COLLEGE FOUNDATION 900 W ORMAN PUEBLO CO 81004		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 8,000.
PUEBLO HOME OF HEROES ASSOCIATION P O BOX 36 PUEBLO CO 81002		PUBLIC	NEW PLAQUES FOR MEDAL OF HONOR STATUES	Person or ☐ Business ☒ 28,000.
PUEBLO HOME OF HEROES ASSOCIATION P O BOX 36 PUEBLO CO 81002		PUBLIC	MEDAL OF HONOR CONVENTION BOOK	Person or ☐ Business ☒ 10,000.
SANGRE DE CRISTO ARTS CENTER 210 NORTH SANTA FE AVENUE PUEBLO CO 81003		PUBLIC	ASSISTANCE WITH EXHIBITIONS IN MUSEUM	Person or ☐ Business ☒ 5,000.
TRINIDAD STATE JR COLLEGE EDUCATIONAL FND 600 PROSPECT ST TRINIDAD CO 81082		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Total				89,000.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SCHMIDT VALENTINE WHITTEMORE & CO	BOOKKEEPING & FORM 990-PF PREPARATION	1,750.	1,750.		
Total		1,750.	1,750.		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
3M COMPANY 48 SHS	6,817.	9,656.
ABBVIE INC 266 SHS	16,803.	18,596.
ALTRIA GROUP INC 229 SHS	7,726.	14,878.
AMERICAN ELECTRIC POWER CO 252 SHS	12,166.	17,776.
AMGEN INC 57 SHS	8,887.	9,947.
APPLE INC 81 SHS	9,256.	12,047.
AT&T INC 232 SHS	8,429.	9,048.
AUTOMATIC DATA PROCESSING INC 84 SHS	6,113.	9,988.
BANK OF AMERICA CORP 2000 SHS	56,125.	48,240.
BANK OF MONTREAL 210 SHS	11,793.	15,933.
BCE INC NEW 1462 SHS	64,571.	68,612.
BRISTOL MYERS SQUIBB CO 2000 SHS	54,211.	113,800.
CATERPILLER INC 167 SHS	16,479.	19,030.
CHEMOURS COMPANY (THE) 160 SHS	2,678.	7,618.
CHEVRON CORP 1943 SHS	76,860.	212,156.
CISCO SYSTEMS INC 206SHS	16,290.	16,071.
CITIGROUP INC 200 SHS	100,134.	13,690.
COCA COLA CO 1818 SHS	52,516.	83,337.
COLGATE-PALMOLIVE CO 2000 SHS	55,341.	144,400.
DIGITAL REALTY TRUST INC 157 SHS	10,698.	18,108.

Form 990-PF, Page 2, Part II, Line 10b Continued

L-10b Stmt

	End of Year	
Line 10b - Investments - Corporate Stock:	**Book Value**	**Fair Market Value**
DOW CHEMICAL COMPANY 1146 SHS	48,820.	73,619.
DUKE ENERGY CORPORATION 206 SHS	14,943.	17,535.
EATON CORP PLC 227 SHS	12,417.	17,763.
EI DUPONT DE NEMOURS & CO 800 SHS	49,978.	65,768.
EXXON MOBIL CORP 1911 SHS	66,600.	152,956.
GENERAL ELECTRIC CO 2308 SHS	40,827.	59,108.
GLAXOSMITHKLINE PLC 400 SHS	17,876.	16,208.
HSBC HOLDINGS PLC 375 SHS	16,965.	18,783.
INTEL CORP 2000 SHS	73,914.	70,940.
JOHNSON & JOHNSON 75 SHS	7,657.	9,954.
LOCKHEED MARTIN CORP 60 SHS	5,546.	17,528.
MCDONALDS CORP 80 SHS	7,779.	12,411.
MEDTRONIC PLC 2114 SHS	159,726.	177,513.
MERCK & CO INC 251 SHS	10,179.	16,034.
MICROSOFT CORP 1233 SHS	57,565.	89,639.
NEXTERA ENERGY INC 67 SHS	6,437.	9,788.
PACCAR INC 1500 SHS	79,468.	102,675.
PHILIP MORRIS INTERNATIONAL 82 SHS	6,871.	9,570.
PROCTOR & GAMBLE CO 1000 SHS	54,678.	90,820.
QUALCOMM INC 174 SHS	11,372.	9,256.
ROYAL DUTCH SHELL PLC 882 SHS	69,210.	51,032.
SIMON PROPERTY GROUP INC 88 SHS	15,933.	13,948.
TEMPLETON GROWTH FUND 15598.6 SHS	331,833.	417,575.
TORONTO-DOMINION BANK 183 SHS	9,353.	9,432.
UNITED PARCEL SVC INC 82 SHS	8,062.	9,044.
UNITEDHEALTH GROUP INC 51 SHS	4,127.	9,782.
USBANCORP	7,878.	9,500.
VERIZON COMMUNICATIONS 329 SHS	15,335.	15,924.
WELLS FARGO & CO 3000 SHS	58,688.	161,820.
WELLTOWER INC 244 SHS	14,430.	17,907.
WEYERHAEUSER CO 485 SHS	13,693.	16,015.
Total	1,892,053.	2,632,778.

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

	End of Year	
Line 10c - Investments - Corporate Bonds:	**Book Value**	**Fair Market Value**
ALLSTATE CORP LEOPARDS 6.625% 2000 SHS	50,000.	54,502.
BANK OF AMERICA CORP DEP 1000 SHS	25,000.	25,870.
BB&T CORPORATION 5.2% 2000 SHS	50,000.	50,240.
CAPITAL ONE FINANCIAL CORP 1800 SHS	45,000.	45,594.
GENERAL ELECTRIC CAPITAL CORP 2000 SHS	44,766.	51,060.
NEXTERA ENERGY CAPITAL HOLDINGS 1500 SHS	37,048.	38,250.
PROTECTIVE LIFE CORP 1800 SHS	45,000.	45,792.
STANLEY BLACK & DECKER INC 1500 SHS	38,135.	38,190.
TELEPHONE & DATA SYSTEMS INC 1500 SHS	37,772.	39,190.

Form 990-PF, Page 2, Part II, Line 10c Continued

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	 Fair Market Value
WELLS FARGO & CO 5.25% 2000 SHS	47,866.	50,200.
WELLS FARGO & CO 5.50% 2000 SHS	50,000.	51,280.
Total	470,587.	490,168.

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	 Fair Market Value
ENTERPRISE PRODUCT PARTNERS LP 548 UNITS	6,622.	14,906.
MAGELLAN MIDSTREAM PARTNERS LP 202UNITS	11,082.	14,091.
Total	17,704.	28,997.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
► **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.***

OMB No 1545-0047

2016

Name of the organization	Employer identification number
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . . ► $ __________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2016) Page 1 of 1 of Part I

Name of organization	Employer identification number
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT H RAWLINGS 1401 RANCHO DEL SOL PUEBLO CO 81008	$ 1,520,838.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)