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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 08-01-2016 , and ending 07-31-2017

Name of foundation
BRODY BROTHERS FOUNDATION

A Employer identification number
56-0858144

Number and street (or P O box number if mail is not delivered to street address) P O BOX 1046 NO 200 | Room/suite

B Telephone number (see instructions)
(252) 353-2141

City or town, state or province, country, and ZIP or foreign postal code
KINSTON, NC 28503

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 3,706,120

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,948	2,948		
	4	Dividends and interest from securities	104,676	104,676		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	247,451			
	b	Gross sales price for all assets on line 6a 3,043,640				
	7	Capital gain net income (from Part IV, line 2)		247,451		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,628	1,628	0	
	12	**Total.** Add lines 1 through 11	356,703	356,703	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0	0	0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,200	1,200	0	0
	c	Other professional fees (attach schedule)	18,344	18,344	0	0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	10,791	6,304	0	0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	131	131	0	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	30,466	25,979	0	0
	25	Contributions, gifts, grants paid	55,700			55,700
	26	**Total expenses and disbursements.** Add lines 24 and 25	86,166	25,979	0	55,700
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	270,537			
	b	**Net investment income** (if negative, enter -0-)		330,724		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	8,009	4,546	4,546
3	Accounts receivable ▶ ; Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ ; Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ; Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	3,368,702	3,672,802	3,311,507
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ ; Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	432,235	375,184	375,184
14	Land, buildings, and equipment basis ▶ ; Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	0	14,883	14,883
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,808,946	4,067,415	3,706,120
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	407,356	407,356	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	3,401,590	3,660,059	
30	**Total net assets or fund balances** (see instructions)	3,808,946	4,067,415	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,808,946	4,067,415	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,808,946
2	Enter amount from Part I, line 27a	2	270,537
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	4,079,483
5	Decreases not included in line 2 (itemize) ▶	5	12,068
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,067,415

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	247,451
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	53,950	3,892,465	0 013860
2014	103,395	4,110,986	0 025151
2013	152,410	3,945,074	0 038633
2012	21,190	3,638,473	0 005824
2011	25,850	3,410,445	0 007580

2	**Total** of line 1, column (d)	2	0 091048
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 018210
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,019,537
5	Multiply line 4 by line 3	5	73,196
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,307
7	Add lines 5 and 6	7	76,503
8	Enter qualifying distributions from Part XII, line 4	8	55,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	6,614
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	6,614
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	6,614
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,129		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 3,039		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	4,168
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	65
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	2,511
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶HYMAN BRODY Telephone no ▶(252) 353-2141

Located at ▶530 SE GREENVILLE BLVD SUITE 200 GREENVILLE NC ZIP+4 ▶27858

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |

Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?. | 1c | | No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions). | 2b | | |

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).* | 3b | | |

			Yes	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID BRODY 159 PASQUOTANK DR RALEIGH, NC 27609	PRESIDENT 1 00	0	0	0
HYMAN J BRODY 530 GREENVILLE BLVD SE SUITE GREENVILLE, NC 27858	VICE PRESIDENT 1 00	0	0	0
STACY C BRODY 530 GREENVILLE BLVD SE SUITE GREENVILLE, NC 27858	TREASURER 1 00	0	0	0
LAURA C BRODY 1200 SWEETBRIAR CIRCLE KINSTON, NC 27858	SECRETARY 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	2,857,885
b	Average of monthly cash balances.		1b	1,222,863
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	4,080,748
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	4,080,748
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	61,211
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,019,537
6	**Minimum investment return.** Enter 5% of line 5.		6	200,977

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	200,977
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 6,614		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	6,614
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	194,363
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	194,363
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	194,363

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	55,700
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	55,700

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				194,363
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 55,700				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				55,700
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				138,663
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				55,700
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,948	
4 Dividends and interest from securities			14	104,676	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,628	
8 Gain or (loss) from sales of assets other than inventory			18	247,451	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		356,703	0

13 Total. Add line 12, columns (b), (d), and (e) 13 356,703

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-06-13	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
TERRAY F SUGGS CPA		2018-06-13		P00848512
Firm's name ▶ SUGGS & COMPANY PA				Firm's EIN ▶56-2163457
Firm's address ▶ 609 S FRANKLIN ST WHITEVILLE, NC 28472				Phone no (910) 641-0105

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BALL CORP	P	2016-07-21	2016-08-09
***BAYER AKTIENGESELLSCHAFT ADR	P	2010-11-15	2016-09-30
***BRITISH AMERICAN TOBACCO PLC SPONSORED ADR	P	2007-12-07	2016-09-30
***DEUTSCHE POST AG SPONSORED ADR	P	2011-02-09	2016-09-30
***NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES	P	2013-07-23	2016-09-30
***SANOFI SPONSORED ADR	P	2011-10-24	2016-09-30
***DEUTSCHE TELEKOM AG SPONSORED ADR	P	2013-09-12	2016-11-18
***BAYER AKTIENGESELLSCHAFT ADR	P	2010-11-15	2016-11-25
***BAYER AKTIENGESELLSCHAFT ADR	P	2014-10-29	2016-11-25
***BCE INC NEW	P	2014-03-04	2016-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,279		1,142	137
1,004		765	239
639		391	248
1,877		1,139	738
4,122		2,427	1,695
1,719		1,616	103
1,828		1,585	243
940		765	175
1,411		2,056	-645
3,014		3,048	-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			137
			239
			248
			738
			1,695
			103
			243
			175
			-645
			-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***MICHELIN COMPAGNIE GENERALE DES ETABLISSEMENTS MICHELIN UNSPONSORED ADR	P	2015-02-05	2016-11-25
***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	P	2007-12-07	2016-11-25
***NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES	P	2013-07-23	2016-11-25
***SANOFI SPONSORED ADR	P	2011-10-24	2016-11-25
***SSE PLC SPONSORED ADR	P	2014-03-20	2016-11-25
***STATOIL ASA SPONSORED ADR	P	2012-05-15	2016-11-25
***STATOIL ASA SPONSORED ADR	P	2014-03-28	2016-11-25
***UNILEVER N V YORK SHS ADR	P	2007-12-07	2016-11-25
***MICHELIN COMPAGNIE GENERALE DES ETABLISSEMENTS MICHELIN UNSPONSORED ADR	P	2015-02-05	2016-12-01
***DEUTSCHE TELEKOM AG SPONSORED ADR	P	2013-09-12	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150		142	8
2,389		1,672	717
1,195		809	386
398		359	39
2,904		3,973	-1,069
1,345		2,011	-666
925		1,552	-627
1,586		1,420	166
2,233		2,133	100
2,947		2,312	635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			717
			386
			39
			-1,069
			-666
			-627
			166
			100
			635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***IMPERIAL BRANDS PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED	P	2013-04-24	2016-12-13
***IMPERIAL BRANDS PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED	P	2014-03-04	2016-12-13
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2007-12-07	2016-12-13
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2008-06-04	2016-12-13
***BAYER AKTIENGESELLSCHAFT ADR	P	2015-11-04	2017-01-19
***UNILEVER N V YORK SHS ADR	P	2007-12-07	2017-02-02
***BCE INC NEW	P	2014-03-04	2017-02-09
***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	P	2007-12-07	2017-02-16
***BNP PARIBAS SPONSORED ADR REPSTG 1/2 SH	P	2014-01-08	2017-03-06
***JAPAN TOBACCO UNSPONSORED AMERICAN DEPOSITRY RECEIPT	P	2014-10-24	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,594		2,833	761
898		839	59
792		804	-12
2,772		2,804	-32
2,705		3,334	-629
813		710	103
2,223		2,177	46
1,089		716	373
155		201	-46
661		650	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			761
			59
			-12
			-32
			-629
			103
			46
			373
			-46
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***MICHELIN COMPAGNIE GENERALE DES ETABLISSEMENTS MICHELIN UNSPONSORED ADR	P	2015-02-05	2017-03-29
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	P	2009-02-25	2017-03-29
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	P	2012-11-30	2017-03-29
***VODAFONE GROUP PLC SPONSORED ADR NO PAR	P	2008-06-04	2017-03-29
***VODAFONE GROUP PLC SPONSORED ADR NO PAR	P	2008-10-17	2017-03-29
***DEUTSCHE TELEKOM AG SPONSORED ADR	P	2013-09-12	2017-04-10
***ENGIE AMERICAN DEPOSITARY RECEIPTS SPONSORED	P	2016-05-05	2017-04-10
***MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR	P	2009-06-05	2017-04-10
***DEUTSCHE TELEKOM AG SPONSORED ADR	P	2013-09-12	2017-04-11
***ENGIE AMERICAN DEPOSITARY RECEIPTS SPONSORED	P	2016-05-05	2017-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
238		203	35
1,751		1,703	48
500		502	-2
2,082		4,338	-2,256
714		1,006	-292
1,027		793	234
1,557		1,688	-131
290		210	80
1,027		793	234
634		690	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35
			48
			-2
			-2,256
			-292
			234
			-131
			80
			234
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***ENGIE AMERICAN DEPOSITARY RECEIPTS SPONSORED	P	2016-06-08	2017-04-11
***MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR	P	2009-06-05	2017-04-11
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2008-06-04	2017-05-05
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2011-12-22	2017-05-05
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2011-12-22	2017-05-08
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2011-12-23	2017-05-08
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2011-12-27	2017-05-08
***SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	P	2016-11-02	2017-05-08
***CNOOC LTD SPONSORED ADR REP 100 SHS CL H	P	2014-08-21	2017-06-06
***CNOOC LTD SPONSORED ADR REP 100 SHS CL H	P	2014-08-22	2017-06-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
986		1,137	-151
386		279	107
400		401	-1
667		606	61
133		121	12
530		488	42
663		606	57
663		706	-43
1,671		2,927	-1,256
1,671		2,912	-1,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-151
			107
			-1
			61
			12
			42
			57
			-43
			-1,256
			-1,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***BHP BILLITON LTD SPONSORED ADR	P	2010-06-11	2017-06-12
***BHP BILLITON LTD SPONSORED ADR	P	2010-06-15	2017-06-12
***BHP BILLITON LTD SPONSORED ADR	P	2010-12-03	2017-06-12
***BHP BILLITON LTD SPONSORED ADR	P	2013-11-11	2017-06-12
TOTAL	P		
WYNN RESORTS LTD	P	2014-09-23	2016-09-01
WYNN RESORTS LTD	P	2014-12-10	2016-09-01
WYNN RESORTS LTD	P	2015-04-30	2016-09-01
WYNN RESORTS LTD	P	2015-08-24	2016-09-01
WYNN RESORTS LTD	P	2015-08-24	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
351		647	-296
702		1,341	-639
702		1,770	-1,068
1,228		2,489	-1,261
			0
2,622		5,118	-2,496
1,217		1,917	-700
3,090		3,643	-553
2,528		2,177	351
1,532		1,290	242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-296
			-639
			-1,068
			-1,261
			0
			-2,496
			-700
			-553
			351
			242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WYNN RESORTS LTD	P	2015-09-25	2016-09-08
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2015-11-19	2016-10-19
***ALLERGAN PLC	P	2016-04-05	2016-10-26
***SCHLUMBERGER LTD	P	2016-04-19	2016-10-26
CELGENE CORP	P	2014-07-03	2016-10-26
CELGENE CORP	P	2014-07-11	2016-10-26
CELGENE CORP	P	2014-07-14	2016-10-26
DOLLAR GENERAL CORPORATION	P	2016-03-03	2016-10-26
NEWELL BRANDS INC SHS	P	2016-05-13	2016-10-26
QUINTILES IMS HOLDINGS INC	P	2015-05-07	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,393		1,475	918
10,600		13,566	-2,966
8,681		9,023	-342
10,103		10,105	-2
5,986		5,561	425
1,374		1,248	126
2,944		2,660	284
7,309		7,963	-654
8,253		7,659	594
9,248		8,983	265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			918
			-2,966
			-342
			-2
			425
			126
			284
			-654
			594
			265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
QUINTILES IMS HOLDINGS INC	P	2015-06-09	2016-10-26
QUINTILES IMS HOLDINGS INC	P	2015-06-10	2016-10-26
STERICYCLE INC	P	2015-12-07	2016-10-26
VISA INC CL A COMMON STOCK	P	2016-09-01	2016-10-26
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2015-11-19	2016-10-27
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2016-01-14	2016-10-27
***NIELSEN HOLDINGS PLC SHS	P	2014-07-07	2016-10-27
***NIELSEN HOLDINGS PLC SHS	P	2014-07-13	2016-10-27
***WILLIS TOWERS WATSON PUBLIC LIMITED COMPANY SHS	P	2016-01-05	2016-10-27
ALPHABET INC CLASS A COMMON STOCK	P	2015-04-13	2016-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,321		1,469	-148
1,028		1,123	-95
5,625		8,426	-2,801
9,465		9,411	54
4,118		5,360	-1,242
579		605	-26
7,011		7,552	-541
596		648	-52
10,077		3,782	6,295
13,096		8,802	4,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-148
			-95
			-2,801
			54
			-1,242
			-26
			-541
			-52
			6,295
			4,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AUTODESK INC	P	2015-08-21	2016-10-27
AUTODESK INC	P	2015-09-03	2016-10-27
DANAHER CORPORATION	P	2014-06-06	2016-10-27
FACEBOOK INC CL A	P	2015-03-12	2016-10-27
HOLOGIC INC	P	2015-07-10	2016-10-27
NORTHERN TRUST CORP	P	2016-05-25	2016-10-27
UNITED PARCEL SVC INC CL B	P	2015-12-03	2016-10-27
WYNN RESORTS LTD	P	2015-09-25	2016-10-27
STERICYCLE INC	P	2015-12-07	2016-11-01
STERICYCLE INC	P	2016-04-29	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,514		7,031	2,483
3,550		2,297	1,253
8,189		6,360	1,829
14,597		8,789	5,808
11,716		12,535	-819
5,364		5,445	-81
10,549		10,096	453
2,901		1,829	1,072
6,955		10,273	-3,318
2,344		2,904	-560

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,483
			1,253
			1,829
			5,808
			-819
			-81
			453
			1,072
			-3,318
			-560

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WYNN RESORTS LTD	P	2015-09-25	2016-11-08
UNITED PARCEL SVC INC CL B	P	2015-12-03	2016-12-21
***ALLERGAN PLC	P	2016-04-05	2017-01-24
***CANADIAN PACIFIC RAILWAY LTD USD ISSUE	P	2016-12-21	2017-01-24
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2016-01-14	2017-01-24
***NIELSEN HOLDINGS PLC SHS	P	2014-07-13	2017-01-24
***NIELSEN HOLDINGS PLC SHS	P	2014-07-28	2017-01-24
***SCHLUMBERGER LTD	P	2016-04-19	2017-01-24
***WILLIS TOWERS WATSON PUBLIC LIMITED COMPANY SHS	P	2016-01-05	2017-01-24
ADVANCE AUTO PARTS INC	P	2016-06-27	2017-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,549		1,711	838
10,013		8,859	1,154
8,748		9,486	-738
5,939		5,659	280
5,125		4,838	287
1,709		2,094	-385
6,431		7,691	-1,260
9,666		9,151	515
9,164		3,451	5,713
5,724		5,221	503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			838
			1,154
			-738
			280
			287
			-385
			-1,260
			515
			5,713
			503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ADVANCE AUTO PARTS INC	P	2016-11-01	2017-01-24
ALPHABET INC CLASS A COMMON STOCK	P	2015-04-13	2017-01-24
AUTODESK INC	P	2015-09-03	2017-01-24
CELGENE CORP	P	2014-07-14	2017-01-24
CELGENE CORP	P	2015-12-15	2017-01-24
DANAHER CORPORATION	P	2014-06-06	2017-01-24
DANAHER CORPORATION	P	2016-10-19	2017-01-24
DANAHER CORPORATION	P	2016-11-08	2017-01-24
DOLLAR GENERAL CORPORATION	P	2016-03-03	2017-01-24
FACEBOOK INC CL A	P	2015-03-12	2017-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,020		1,682	338
10,975		7,152	3,823
10,546		5,927	4,619
7,146		5,674	1,472
3,350		3,380	-30
1,111		856	255
6,270		6,070	200
1,825		1,819	6
9,606		10,047	-441
10,940		6,670	4,270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			338
			3,823
			4,619
			1,472
			-30
			255
			200
			6
			-441
			4,270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HOLOGIC INC	P	2015-07-10	2017-01-24
HOLOGIC INC	P	2015-07-13	2017-01-24
HOLOGIC INC	P	2015-11-04	2017-01-24
NEWELL BRANDS INC SHS	P	2016-05-13	2017-01-24
NEWELL BRANDS INC SHS	P	2016-07-05	2017-01-24
NORTHERN TRUST CORP	P	2016-05-25	2017-01-24
NORTHERN TRUST CORP	P	2016-11-01	2017-01-24
QUINTILES IMS HOLDINGS INC	P	2015-06-10	2017-01-24
QUINTILES IMS HOLDINGS INC	P	2015-10-29	2017-01-24
QUINTILES IMS HOLDINGS INC	P	2015-11-04	2017-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
589		580	9
6,434		6,349	85
2,943		2,959	-16
3,167		3,168	-1
5,341		5,436	-95
6,339		5,592	747
2,085		1,820	265
4,953		5,296	-343
2,101		2,109	-8
2,327		2,288	39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			85
			-16
			-1
			-95
			747
			265
			-343
			-8
			39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SABRE CORPORATION	P	2016-12-21	2017-01-24
VISA INC CL A COMMON STOCK	P	2016-09-01	2017-01-24
VISA INC CL A COMMON STOCK	P	2016-09-08	2017-01-24
VISA INC CL A COMMON STOCK	P	2016-10-19	2017-01-24
VISA INC CL A COMMON STOCK	P	2016-11-08	2017-01-24
NEWSTAR ENERGY LP	P	2017-01-24	2017-01-27
SYMANTEC CORPORATION	P	2017-01-24	2017-03-06
***ACCENTURE PLC IRELAND SHS CL A	P	2017-01-24	2017-05-16
GENERAL ELECTRIC COMPANY COM	P	2017-01-24	2017-05-16
HONEYWELL INTL INC	P	2017-01-24	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,484		5,644	-160
83		81	2
3,631		3,666	-35
5,612		5,612	0
1,651		1,660	-9
6,246		6,246	0
6,107		5,680	427
10,567		9,960	607
6,573		7,017	-444
3,432		3,056	376

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-160
			2
			-35
			0
			-9
			0
			427
			607
			-444
			376

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KEYCORP NEW	P	2017-01-24	2017-05-16
PAYCHEX INC	P	2017-01-24	2017-05-16
WASHINGTON PRIME GROUP INC COM	P	2017-01-24	2017-05-16
SPDR DOW JONES INDL AVERAGE ETF TR UNIT SER 1	P	2016-06-29	2016-08-22
ISHARES MSCI EMERGING MARKETS ETF	P	2016-07-12	2016-08-29
ISHARES RUSSELL 2000 ETF	P	2016-07-12	2016-09-12
POWERSHARES QQQ TRUST SERIES 1	P	2016-07-29	2016-09-12
SPDR S&P 500 ETF TRUST	P	2016-05-09	2016-09-12
SPDR S&P 500 ETF TRUST	P	2016-05-09	2016-10-04
SPDR S&P 500 ETF TRUST	P	2016-05-10	2016-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,197		2,295	-98
7,063		7,558	-495
4,169		5,342	-1,173
36,535		34,713	1,822
25,054		24,126	928
18,247		18,326	-79
17,812		17,987	-175
11,920		11,533	387
2,573		2,471	102
24,658		23,825	833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-98
			-495
			-1,173
			1,822
			928
			-79
			-175
			387
			102
			833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR S&P 500 ETF TRUST	P	2016-06-07	2016-10-04
SPDR S&P 500 ETF TRUST	P	2016-06-07	2016-10-12
SPDR S&P 500 ETF TRUST	P	2016-07-08	2016-10-12
ISHARES RUSSELL 2000 ETF	P	2016-07-12	2016-10-14
ISHARES RUSSELL 2000 ETF	P	2016-08-22	2016-10-14
SPDR S&P 500 ETF TRUST	P	2016-07-08	2016-11-01
POWERSHARES QQQ TRUST SERIES 1	P	2016-07-29	2016-11-03
POWERSHARES QQQ TRUST SERIES 1	P	2016-08-22	2016-11-03
SPDR S&P 500 ETF TRUST	P	2016-07-08	2016-11-04
SPDR S&P 500 ETF TRUST	P	2016-09-22	2016-11-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,005		8,908	97
13,887		13,786	101
10,041		9,983	58
6,080		6,068	12
17,996		17,962	34
23,352		23,576	-224
6,439		6,457	-18
17,708		18,087	-379
12,113		12,319	-206
11,695		12,170	-475

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			101
			58
			12
			34
			-224
			-18
			-379
			-206
			-475

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR S&P 500 ETF TRUST	P	2016-09-22	2016-11-17
SPDR S&P 500 ETF TRUST	P	2016-10-14	2016-11-17
SPDR S&P 500 ETF TRUST	P	2016-11-03	2016-11-17
ISHARES RUSSELL 2000 ETF	P	2016-11-07	2016-12-01
ISHARES RUSSELL 2000 ETF	P	2016-11-09	2016-12-01
POWERSHARES QQQ TRUST SERIES 1	P	2016-11-22	2016-12-01
ISHARES RUSSELL 2000 ETF	P	2016-11-09	2016-12-13
ISHARES RUSSELL 2000 ETF	P	2016-11-10	2016-12-13
ISHARES RUSSELL 2000 ETF	P	2016-11-11	2016-12-13
ISHARES RUSSELL 2000 ETF	P	2016-11-11	2017-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,508		24,341	167
24,946		24,456	490
25,383		24,368	1,015
26,973		25,208	1,765
10,999		10,279	720
24,374		25,092	-718
14,760		13,216	1,544
39,770		35,609	4,161
11,617		10,401	1,216
25,196		23,835	1,361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			167
			490
			1,015
			1,765
			720
			-718
			1,544
			4,161
			1,216
			1,361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES RUSSELL 2000 ETF	P	2016-11-11	2017-01-09
ISHARES RUSSELL 2000 ETF	P	2016-11-18	2017-01-09
ISHARES MSCI EMERGING MARKETS ETF	P	2016-12-08	2017-03-01
SPDR S&P 500 ETF TRUST	P	2016-12-13	2017-03-21
SPDR S&P 500 ETF TRUST	P	2016-12-13	2017-05-10
POWERSHARES QQQ TRUST SERIES 1	P	2017-03-31	2017-06-16
ISHARES INC MSCI EUROZONE ETF	P	2017-04-24	2017-06-30
POWERSHARES QQQ TRUST SERIES 1	P	2017-03-31	2017-06-30
WISDOMTREE TR EUROPE HEDGED EQUITY FUND	P	2017-06-01	2017-06-30
***PERRIGO COMPANY PLC	P	2016-02-18	2016-08-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,895		1,804	91
35,461		33,756	1,705
27,006		25,488	1,518
25,531		24,796	735
26,565		25,251	1,314
13,951		13,389	562
13,349		12,979	370
12,952		12,461	491
12,541		13,234	-693
445		665	-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			1,705
			1,518
			735
			1,314
			562
			370
			491
			-693
			-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***PERRIGO COMPANY PLC	P	2016-03-01	2016-08-16
***CHECK POINT SOFTWARE TECHNOLOGIES LTD	P	2012-10-17	2016-09-01
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2016-05-06	2016-09-01
***NIELSEN HOLDINGS PLC SHS	P	2013-06-10	2016-09-01
***NORWEGIAN CRUISE LINE HLDGS LTD SHS	P	2016-05-11	2016-09-01
***PERRIGO COMPANY PLC	P	2016-03-01	2016-09-01
ACTIVISION BLIZZARD INC	P	2014-09-22	2016-09-01
ACTIVISION BLIZZARD INC	P	2014-10-07	2016-09-01
AKAMAI TECHNOLOGIES INC	P	2013-03-12	2016-09-01
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2016-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,298		10,344	-3,046
765		414	351
1,959		1,961	-2
3,059		1,975	1,084
2,759		3,468	-709
1,087		1,514	-427
2,187		1,129	1,058
2,566		1,238	1,328
2,248		1,430	818
2,280		2,206	74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,046
			351
			-2
			1,084
			-709
			-427
			1,058
			1,328
			818
			74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPHABET INC CLASS C CAPITAL STOCK	P	2015-05-18	2016-09-01
CAPITAL ONE FINANCIAL CORP	P	2013-06-19	2016-09-01
CBRE GROUP INC CL A	P	2013-11-13	2016-09-01
CBS CORP CLASS B	P	2015-08-11	2016-09-01
CISCO SYSTEMS INC	P	2009-05-26	2016-09-01
COMCAST CORP CL A	P	2009-05-26	2016-09-01
DISCOVERY COMMUNICATIONS INC COM SER C	P	2014-06-11	2016-09-01
FORD MOTOR CO PAR $0 01	P	2011-07-28	2016-09-01
FORD MOTOR CO PAR $0 01	P	2011-07-29	2016-09-01
GILEAD SCIENCES INC	P	2016-02-01	2016-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,850		2,649	1,201
3,271		2,852	419
5,190		3,920	1,270
2,951		2,861	90
5,813		3,402	2,411
4,289		954	3,335
2,194		3,418	-1,224
286		286	0
1,678		1,662	16
3,089		3,313	-224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,201
			419
			1,270
			90
			2,411
			3,335
			-1,224
			0
			16
			-224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HCA HEALTHCARE INC COMMON STOCK	P	2013-08-01	2016-09-01
IMS HEALTH HOLDINGS INC COM	P	2015-11-13	2016-09-01
INTUIT INC	P	2009-09-10	2016-09-01
JB HUNT TRANSPORT SERVICES INC	P	2014-09-10	2016-09-01
LENNAR CORP CL A	P	2014-04-23	2016-09-01
LIVE NATION ENTERTAINMENT INC	P	2015-12-11	2016-09-01
MEDNAX INC	P	2014-09-18	2016-09-01
RYMAN HOSPITALITY PPTYS INC COM	P	2013-08-16	2016-09-01
UNITEDHEALTH GROUP INC	P	2009-05-26	2016-09-01
VERISK ANALYTICS INC COM	P	2014-01-10	2016-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,965		2,042	1,923
3,247		2,958	289
3,806		952	2,854
2,086		1,947	139
2,620		2,133	487
3,965		3,639	326
1,927		1,650	277
3,468		2,230	1,238
4,890		979	3,911
4,419		3,338	1,081

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,923
			289
			2,854
			139
			487
			326
			277
			1,238
			3,911
			1,081

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ZIMMER BIOMET HOLDINGS INC	P	2015-08-24	2016-09-01
***PERRIGO COMPANY PLC	P	2016-03-01	2016-09-02
***PERRIGO COMPANY PLC	P	2016-04-25	2016-09-02
IMS HEALTH HOLDINGS INC COM	P	2015-11-13	2016-10-04
IMS HEALTH HOLDINGS INC COM	P	2016-01-15	2016-10-04
***CHECK POINT SOFTWARE TECHNOLOGIES LTD	P	2012-10-17	2016-10-26
***DELPHI AUTOMOTIVE PLC SHS US LISTED	P	2016-05-06	2016-10-26
***NIELSEN HOLDINGS PLC SHS	P	2013-06-10	2016-10-26
***NIELSEN HOLDINGS PLC SHS	P	2013-08-14	2016-10-26
***NORWEGIAN CRUISE LINE HLDGS LTD SHS	P	2016-05-11	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,770		4,505	1,265
2,549		3,532	-983
1,274		1,444	-170
5,047		5,047	0
3,019		3,019	0
7,379		3,889	3,490
1,349		1,471	-122
3,457		2,553	904
1,798		1,277	521
4,942		6,093	-1,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,265
			-983
			-170
			0
			0
			3,490
			-122
			904
			521
			-1,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***NORWEGIAN CRUISE LINE HLDGS LTD SHS	P	2016-06-10	2016-10-26
ACTIVISION BLIZZARD INC	P	2014-10-07	2016-10-26
ACTIVISION BLIZZARD INC	P	2014-10-15	2016-10-26
ACTIVISION BLIZZARD INC	P	2014-11-14	2016-10-26
ACTIVISION BLIZZARD INC	P	2014-12-17	2016-10-26
AKAMAI TECHNOLOGIES INC	P	2013-03-12	2016-10-26
AKAMAI TECHNOLOGIES INC	P	2015-10-28	2016-10-26
AKAMAI TECHNOLOGIES INC	P	2015-11-20	2016-10-26
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2016-10-26
ALPHABET INC CLASS C CAPITAL STOCK	P	2015-05-18	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38		44	-6
356		162	194
3,111		1,285	1,826
4,267		1,919	2,348
1,422		620	802
7,251		3,733	3,518
1,491		1,343	148
1,626		1,345	281
1,622		1,604	18
4,782		3,178	1,604

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			194
			1,826
			2,348
			802
			3,518
			148
			281
			18
			1,604

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPHABET INC CLASS C CAPITAL STOCK	P	2015-05-26	2016-10-26
BRISTOL MYERS SQUIBB CO	P	2016-09-02	2016-10-26
CAPITAL ONE FINANCIAL CORP	P	2013-06-19	2016-10-26
CAPITAL ONE FINANCIAL CORP	P	2013-06-24	2016-10-26
CBRE GROUP INC CL A	P	2013-11-13	2016-10-26
CBS CORP CLASS B	P	2015-08-11	2016-10-26
CISCO SYSTEMS INC	P	2009-05-26	2016-10-26
CISCO SYSTEMS INC	P	2010-11-11	2016-10-26
CISCO SYSTEMS INC	P	2011-02-11	2016-10-26
COMCAST CORP CL A	P	2009-05-26	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,391		1,594	797
3,735		4,275	-540
5,196		4,278	918
2,636		2,108	528
5,959		4,868	1,091
8,386		7,428	958
1,404		851	553
4,732		3,188	1,544
397		245	152
6,265		1,468	4,797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			797
			-540
			918
			528
			1,091
			958
			553
			1,544
			152
			4,797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DISCOVERY COMMUNICATIONS INC COM SER C	P	2014-06-11	2016-10-26
DISCOVERY COMMUNICATIONS INC COM SER C	P	2014-06-16	2016-10-26
DISCOVERY COMMUNICATIONS INC COM SER C	P	2014-06-19	2016-10-26
DISCOVERY COMMUNICATIONS INC COM SER C	P	2015-01-13	2016-10-26
DISCOVERY COMMUNICATIONS INC COM SER C	P	2016-03-01	2016-10-26
FORD MOTOR CO PAR $0 01	P	2011-07-29	2016-10-26
FORD MOTOR CO PAR $0 01	P	2012-05-08	2016-10-26
GILEAD SCIENCES INC	P	2016-02-01	2016-10-26
GILEAD SCIENCES INC	P	2016-04-29	2016-10-26
HCA HEALTHCARE INC COMMON STOCK	P	2013-08-01	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,175		1,787	-612
1,149		1,711	-562
638		939	-301
2,605		3,181	-576
1,839		1,819	20
5,209		5,416	-207
1,125		991	134
5,129		5,633	-504
1,509		1,769	-260
7,736		3,776	3,960

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-612
			-562
			-301
			-576
			20
			-207
			134
			-504
			-260
			3,960

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INTUIT INC	P	2009-09-10	2016-10-26
INTUIT INC	P	2013-04-25	2016-10-26
JB HUNT TRANSPORT SERVICES INC	P	2014-09-10	2016-10-26
LENNAR CORP CL A	P	2014-04-23	2016-10-26
LIVE NATION ENTERTAINMENT INC	P	2015-12-11	2016-10-26
MEDNAX INC	P	2014-09-18	2016-10-26
MYRIAD GENETICS INC	P	2013-02-19	2016-10-26
MYRIAD GENETICS INC	P	2013-06-17	2016-10-26
MYRIAD GENETICS INC	P	2013-08-19	2016-10-26
MYRIAD GENETICS INC	P	2013-10-10	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,129		812	2,317
2,158		1,121	1,037
3,765		3,520	245
3,576		3,313	263
5,055		4,500	555
6,815		5,774	1,041
1,711		2,157	-446
1,166		1,619	-453
1,283		1,794	-511
1,244		1,570	-326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,317
			1,037
			245
			263
			555
			1,041
			-446
			-453
			-511
			-326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NEWELL BRANDS INC SHS	P	2015-10-16	2016-10-26
QUINTILES IMS HOLDINGS INC	P	2015-11-13	2016-10-26
RYMAN HOSPITALITY PPTYS INC COM	P	2013-08-16	2016-10-26
UNITEDHEALTH GROUP INC	P	2009-05-26	2016-10-26
UNITEDHEALTH GROUP INC	P	2009-06-16	2016-10-26
VERISK ANALYTICS INC COM	P	2014-01-10	2016-10-26
VERISK ANALYTICS INC COM	P	2014-03-26	2016-10-26
VERISK ANALYTICS INC COM	P	2014-04-11	2016-10-26
ZIMMER BIOMET HOLDINGS INC	P	2015-08-24	2016-10-26
CAPITAL ONE FINANCIAL CORP	P	2013-06-24	2016-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,668		3,183	485
4,183		3,995	188
4,695		3,293	1,402
427		82	345
7,257		1,188	6,069
2,206		1,701	505
4,494		3,363	1,131
1,307		919	388
4,111		3,403	708
418		301	117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			485
			188
			1,402
			345
			6,069
			505
			1,131
			388
			708
			117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL ONE FINANCIAL CORP	P	2014-01-27	2016-11-14
***CHECK POINT SOFTWARE TECHNOLOGIES LTD	P	2012-10-17	2016-11-21
AKAMAI TECHNOLOGIES INC	P	2015-11-20	2016-11-21
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2016-11-21
BRISTOL MYERS SQUIBB CO	P	2016-09-02	2016-11-21
COMCAST CORP CL A	P	2009-05-26	2016-11-21
JB HUNT TRANSPORT SERVICES INC	P	2014-09-10	2016-11-21
RYMAN HOSPITALITY PPTYS INC COM	P	2013-08-16	2016-11-21
UNITEDHEALTH GROUP INC	P	2009-06-16	2016-12-01
***CHECK POINT SOFTWARE TECHNOLOGIES LTD	P	2012-10-17	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
418		351	67
670		331	339
1,066		897	169
684		602	82
567		562	5
477		103	374
457		374	83
609		377	232
804		117	687
1,147		538	609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			67
			339
			169
			82
			5
			374
			83
			232
			687
			609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2017-01-12
FORD MOTOR CO PAR $0 01	P	2012-05-08	2017-01-12
FORD MOTOR CO PAR $0 01	P	2012-07-11	2017-01-12
JB HUNT TRANSPORT SERVICES INC	P	2014-09-10	2017-01-18
***CHECK POINT SOFTWARE TECHNOLOGIES LTD	P	2012-10-17	2017-01-20
ACTIVISION BLIZZARD INC	P	2014-12-17	2017-02-10
CBS CORP CLASS B	P	2015-08-11	2017-02-21
CBS CORP CLASS B	P	2015-08-20	2017-02-21
***NORWEGIAN CRUISE LINE HLDGS LTD SHS	P	2016-06-10	2017-02-22
QUINTILES IMS HOLDINGS INC	P	2015-11-13	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
930		802	128
250		209	41
162		122	40
4,116		3,145	971
1,075		455	620
774		329	445
270		201	69
202		140	62
1,503		1,287	216
782		701	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			128
			41
			40
			971
			620
			445
			69
			62
			216
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL ONE FINANCIAL CORP	P	2014-01-27	2017-03-01
RYMAN HOSPITALITY PPTYS INC COM	P	2013-08-16	2017-03-01
QUINTILES IMS HOLDINGS INC	P	2015-11-13	2017-03-13
QUINTILES IMS HOLDINGS INC	P	2016-01-15	2017-03-13
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2017-04-04
***NORWEGIAN CRUISE LINE HLDGS LTD SHS	P	2016-06-10	2017-05-01
ACTIVISION BLIZZARD INC	P	2014-12-17	2017-05-16
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2017-06-28
ZIMMER BIOMET HOLDINGS INC	P	2015-08-24	2017-07-07
ZIMMER BIOMET HOLDINGS INC	P	2015-09-22	2017-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
865		632	233
529		274	255
405		350	55
3,968		3,019	949
1,007		802	205
661		533	128
1,305		446	859
1,051		802	249
1,398		1,101	297
1,525		1,170	355

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			233
			255
			55
			949
			205
			128
			859
			249
			297
			355

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLIANCE DATA SYSTEM CORP	P	2016-05-06	2017-07-11
ZIMMER BIOMET HOLDINGS INC	P	2015-09-22	2017-07-12
ZIMMER BIOMET HOLDINGS INC	P	2016-11-21	2017-07-12
NEWELL BRANDS INC SHS	P	2010-12-16	2016-08-15
NEWELL BRANDS INC SHS	P	2011-07-28	2016-08-15
DREAMWORKS ANIMATION SKG INC CL A	P	2013-01-23	2016-08-24
DREAMWORKS ANIMATION SKG INC CL A	P	2013-05-01	2016-08-24
DREAMWORKS ANIMATION SKG INC CL A	P	2013-06-04	2016-08-24
DREAMWORKS ANIMATION SKG INC CL A	P	2013-06-05	2016-08-24
DREAMWORKS ANIMATION SKG INC CL A	P	2013-06-28	2016-08-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,376		2,607	769
2,028		1,560	468
2,282		1,837	445
1,987		579	1,408
1,104		321	783
2,870		1,207	1,663
2,050		1,045	1,005
1,476		793	683
3,034		1,636	1,398
3,772		2,368	1,404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			769
			468
			445
			1,408
			783
			1,663
			1,005
			683
			1,398
			1,404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREAMWORKS ANIMATION SKG INC CL A	P	2014-05-01	2016-08-24
DREAMWORKS ANIMATION SKG INC CL A	P	2014-07-30	2016-08-24
NEWELL BRANDS INC SHS	P	2011-07-28	2016-08-26
L BRANDS INC	P	2011-08-11	2016-09-07
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-02	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-18	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-25	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-26	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-09-08	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-09-21	2016-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,886		1,138	748
123		59	64
2,994		898	2,096
1,476		674	802
193		85	108
303		114	189
165		59	106
28		12	16
276		108	168
248		98	150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			748
			64
			2,096
			802
			108
			189
			106
			16
			168
			150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-05	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-06	2016-09-13
LIBERTY MEDIA CORPORATION SERIES C LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-12	2016-09-13
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-07-11	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-02	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-18	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-08-25	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-09-08	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-09-21	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-05	2016-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
469		116	353
55		19	36
193		67	126
138		58	80
110		50	60
138		59	79
83		31	52
138		56	82
110		51	59
303		67	236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			353
			36
			126
			80
			60
			79
			52
			82
			59
			236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-06	2016-09-15
LIBERTY MEDIA CORPORATION SERIES A LIBERTY FORMULA ONE COMMON STOCK	P	2011-10-12	2016-09-15
NEWELL BRANDS INC SHS	P	2011-07-28	2016-09-15
L BRANDS INC	P	2011-08-11	2016-10-18
L BRANDS INC	P	2011-09-21	2016-10-18
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-02-06	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-02-19	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-02-26	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-02-27	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-03-28	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28		10	18
83		35	48
1,518		481	1,037
1,165		539	626
509		286	223
780		1,585	-805
855		2,055	-1,200
112		293	-181
570		1,497	-927
772		1,961	-1,189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			48
			1,037
			626
			223
			-805
			-1,200
			-181
			-927
			-1,189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-03-31	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-04-04	2016-10-21
PLATFORM SPECIALTY PRODUCTS CORPORATION	P	2014-08-05	2016-10-21
NEWELL BRANDS INC SHS	P	2011-07-28	2016-10-25
WTS SEARS HOLDINGS CORPORATION WARRANT	P	2014-11-21	2016-10-26
***BROOKFIELD BUSINESS PARTNERS L P LIMITED PARTNERSHIP UNITS	P	2016-06-20	2016-10-27
SEARS HOMETOWN & OUTLET STORES INC COM	P	2012-10-11	2016-10-27
***SEARS CDA INC	P	2012-11-13	2016-12-02
SEARS HOLDINGS CORP	P	2013-01-08	2016-12-06
SEARS HOLDINGS CORP	P	2013-09-13	2016-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150		380	-230
472		1,340	-868
247		833	-586
3,520		1,090	2,430
309		435	-126
93		86	7
264		1,341	-1,077
100		690	-590
12		33	-21
387		1,573	-1,186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-230
			-868
			-586
			2,430
			-126
			7
			-1,077
			-590
			-21
			-1,186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SEARS HOLDINGS CORP	P	2013-09-26	2016-12-06
SEARS HOLDINGS CORP	P	2013-10-02	2016-12-06
LSB INDUSTRIES INC	P	2015-02-23	2016-12-09
LSB INDUSTRIES INC	P	2015-02-24	2016-12-09
LSB INDUSTRIES INC	P	2015-02-25	2016-12-09
LSB INDUSTRIES INC	P	2015-03-02	2016-12-09
LSB INDUSTRIES INC	P	2015-03-16	2016-12-09
LSB INDUSTRIES INC	P	2015-03-17	2016-12-09
LSB INDUSTRIES INC	P	2015-04-24	2016-12-09
LSB INDUSTRIES INC	P	2015-04-27	2016-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
496		2,010	-1,514
48		212	-164
66		248	-182
197		768	-571
159		613	-454
459		1,752	-1,293
150		641	-491
112		485	-373
150		711	-561
159		794	-635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,514
			-164
			-182
			-571
			-454
			-1,293
			-491
			-373
			-561
			-635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LSB INDUSTRIES INC	P	2015-07-24	2016-12-09
LSB INDUSTRIES INC	P	2015-07-27	2016-12-09
LSB INDUSTRIES INC	P	2015-07-28	2016-12-09
STARZ SERIES A COMMON STOCK	P	2013-01-23	2016-12-13
STARZ SERIES A COMMON STOCK	P	2013-01-29	2016-12-13
STARZ SERIES A COMMON STOCK	P	2013-02-07	2016-12-13
STARZ SERIES A COMMON STOCK	P	2013-10-10	2016-12-13
SEARS HOLDINGS CORP	P	2013-10-02	2016-12-16
SEARS HOLDINGS CORP	P	2013-10-22	2016-12-16
SEARS HOLDINGS CORP	P	2013-10-23	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
225		861	-636
187		724	-537
94		367	-273
6,212		2,753	3,459
3,974		1,823	2,151
4,699		2,164	2,535
2,106		1,703	403
132		689	-557
652		2,945	-2,293
92		413	-321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-636
			-537
			-273
			3,459
			2,151
			2,535
			403
			-557
			-2,293
			-321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SEARS HOLDINGS CORP	P	2013-12-30	2016-12-16
SEARS HOLDINGS CORP	P	2014-01-24	2016-12-16
SEARS HOLDINGS CORP	P	2014-03-12	2016-12-16
SEARS HOLDINGS CORP	P	2014-03-21	2016-12-16
SEARS HOLDINGS CORP	P	2014-04-09	2016-12-16
WYNN RESORTS LTD	P	2011-07-22	2017-01-10
WYNN RESORTS LTD	P	2011-09-30	2017-01-10
***TRANSOCEAN INC DATED 12/05/11 DUE 12/15/21 8 37% OID	P	2016-05-03	2017-02-15
TRI POINTE GROUP INC COM	P	2014-07-02	2017-02-22
TRI POINTE GROUP INC COM	P	2014-07-14	2017-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
316		1,211	-895
764		2,353	-1,589
408		1,535	-1,127
428		1,669	-1,241
917		3,261	-2,344
94		159	-65
1,322		1,529	-207
4,130		3,229	901
160		204	-44
420		516	-96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-895
			-1,589
			-1,127
			-1,241
			-2,344
			-65
			-207
			901
			-44
			-96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TRI POINTE GROUP INC COM	P	2014-12-08	2017-02-22
TRI POINTE GROUP INC COM	P	2015-01-28	2017-02-22
SEARS HLDGS CORP SR NT DATED 11/19/14 DUE 12/15/19 8 00%	P	2014-11-21	2017-03-08
AUTONATION INC DEL	P	2011-02-02	2017-04-06
AUTONATION INC DEL	P	2011-02-10	2017-04-06
AUTONATION INC DEL	P	2011-02-23	2017-04-06
L BRANDS INC	P	2011-09-21	2017-04-21
L BRANDS INC	P	2011-10-03	2017-04-21
AUTONATION INC DEL	P	2011-02-23	2017-04-24
AUTONATION INC DEL	P	2011-03-08	2017-04-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,172		1,433	-261
1,456		1,698	-242
2,138		2,138	0
530		371	159
938		769	169
2,284		1,812	472
1,787		1,471	316
447		339	108
1,001		744	257
392		299	93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-261
			-242
			0
			159
			169
			472
			316
			108
			257
			93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AUTONATION INC DEL	P	2011-03-09	2017-04-24
AUTONATION INC DEL	P	2011-03-09	2017-05-09
AUTONATION INC DEL	P	2011-03-28	2017-05-09
AUTONATION INC DEL	P	2011-04-21	2017-05-09
AUTONATION INC DEL	P	2011-04-25	2017-05-09
***SILVER WHEATON CORP	P	2014-09-11	2017-05-16
***SILVER WHEATON CORP	P	2014-09-17	2017-05-16
***SILVER WHEATON CORP	P	2014-11-20	2017-05-16
***SILVER WHEATON CORP	P	2015-04-24	2017-05-16
***SILVER WHEATON CORP	P	2015-05-01	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,610		1,229	381
40		33	7
1,371		1,176	195
524		437	87
1,533		1,288	245
1,529		1,529	0
1,538		1,538	0
1,510		1,510	0
1,515		1,515	0
1,734		1,734	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			381
			7
			195
			87
			245
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***SILVER WHEATON CORP	P	2015-07-16	2017-05-16
***SILVER WHEATON CORP	P	2015-08-11	2017-05-16
***SILVER WHEATON CORP	P	2015-08-18	2017-05-16
***SILVER WHEATON CORP	P	2015-10-29	2017-05-16
FEDERATED INVESTORS INC (PA) CL B	P	2015-12-08	2017-06-21
FEDERATED INVESTORS INC (PA) CL B	P	2016-08-16	2017-06-21
***TRISURA GROUP LTD NPV	P	2007-08-01	2017-06-29
***TRISURA GROUP LTD NPV	P	2007-08-31	2017-06-29
ATWOOD OCEANICS INC SR NT DATED 01/18/12 DUE 02/01/20 6 50% PAR CALL 02/	P	2016-04-14	2017-06-30
***ICON PLC	P	2015-04-29	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,644		1,644	0
1,216		1,216	0
1,823		1,823	0
1,142		1,142	0
1,344		1,439	-95
1,372		1,574	-202
9		10	-1
7		4	3
10,874		6,938	3,936
3,894		3,216	678

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			-95
			-202
			-1
			3
			3,936
			678

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***FANUC CORPORATION UNSPONSORED ADR	P	2012-04-12	2016-08-10
***FANUC CORPORATION UNSPONSORED ADR	P	2012-07-20	2016-08-10
***TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM	P	2012-04-12	2016-08-25
***TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING B SHARES	P	2013-04-29	2016-11-07
***TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING B SHARES	P	2013-04-29	2016-11-08
***NOVO NORDISK A/S-ADR REPSTG 1/2 CL B SH	P	2012-04-12	2016-11-23
***NOVO NORDISK A/S-ADR REPSTG 1/2 CL B SH	P	2013-10-28	2016-11-23
***NOVO NORDISK A/S-ADR REPSTG 1/2 CL B SH	P	2016-11-02	2016-11-23
***SHOPRITE HOLDINGS LTD ORD SPONSORED ADR	P	2012-09-27	2017-01-23
***SHOPRITE HOLDINGS LTD ORD SPONSORED ADR	P	2013-02-22	2017-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,670		7,196	-526
2,148		2,010	138
3,664		1,912	1,752
238		61	177
4,306		1,084	3,222
5,715		5,300	415
2,198		2,552	-354
2,920		3,297	-377
2,863		4,701	-1,838
1,875		2,967	-1,092

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-526
			138
			1,752
			177
			3,222
			415
			-354
			-377
			-1,838
			-1,092

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***SHOPRITE HOLDINGS LTD ORD SPONSORED ADR	P	2016-08-12	2017-01-23
***SHOPRITE HOLDINGS LTD ORD SPONSORED ADR	P	2016-08-15	2017-01-23
***SHOPRITE HOLDINGS LTD ORD SPONSORED ADR	P	2016-11-02	2017-01-23
***TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM	P	2012-04-12	2017-02-13
***CHUBB LTD COM	P	2012-04-12	2017-04-19
***COLOPLAST AS UNSPONSORED ADR	P	2015-07-24	2017-04-19
***CSL LTD SPONSORED ADR	P	2015-02-18	2017-04-19
***CTRIP COM INTL LTD AMERICAN DEP SHS	P	2015-11-05	2017-04-19
***HDFC BK LTD ADR REPSTG 3 SHS	P	2015-09-18	2017-04-19
***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	P	2012-04-12	2017-04-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
152		188	-36
2,357		2,916	-559
2,889		3,275	-386
4,928		2,331	2,597
413		219	194
574		509	65
478		344	134
497		485	12
313		235	78
301		245	56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			-559
			-386
			2,597
			194
			65
			134
			12
			78
			56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***RECKITT BENCKISER PLC SPONSORED ADR	P	2014-07-30	2017-04-19
***DSV A/S UNSPONSORED ADR	P	2016-08-10	2017-04-20
***DSV A/S UNSPONSORED ADR	P	2016-08-11	2017-04-20
***NOVOZYMES A/S ADR	P	2012-04-12	2017-04-20
***LAZARD LTD SHS A	P	2012-04-12	2017-05-03
***LAZARD LTD SHS A	P	2016-11-02	2017-05-03
***AMBEV S A ADS	P	2016-08-15	2017-06-06
***AMBEV S A ADS	P	2016-11-02	2017-06-06
***AMBEV S A ADS	P	2017-01-13	2017-06-06
***COMPASS GROUP PLC AMERICAN DEPOSITARY SHARES	P	2015-06-03	2017-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
376		352	24
187		172	15
187		172	15
373		256	117
7,744		4,833	2,911
3,456		2,948	508
6,440		7,008	-568
2,569		2,475	94
5,051		4,628	423
6,492		6,492	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24
			15
			15
			117
			2,911
			508
			-568
			94
			423
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***COMPASS GROUP PLC AMERICAN DEPOSITARY SHARES	P	2015-09-24	2017-06-27
***COMPASS GROUP PLC AMERICAN DEPOSITARY SHARES	P	2016-11-02	2017-06-27
***COMPASS GROUP PLC AMERICAN DEPOSITARY SHARES	P	2017-01-09	2017-06-27
***BARCLAYS FINANCIAL LLC CONTINGENT QUARTERLY PAYMENT CALLABLE YIELD NOTE	P	2014-12-15	2016-09-19
***CREDIT SUISSE NASSAU BRH CREDIT SUISSE X LINKS CUSHING MLP INFRASTRUCTU	P	2013-12-02	2016-11-18
***CREDIT SUISSE NASSAU BRH CREDIT SUISSE X LINKS CUSHING MLP INFRASTRUCTU	P	2014-12-17	2016-11-18
***CREDIT SUISSE GROUP AG N Y BRH VR 083115-083117 DATED 08/31/15 DUE 08/	P	2015-08-25	2016-11-30
***CREDIT SUISSE GROUP AG N Y BRH VR 083115-083117 DATED 08/31/15 DUE 08/	P	2016-12-06	2016-12-06
YADKIN FINANCIAL CORPORATION COM	P	2014-01-31	2016-12-12
YADKIN FINANCIAL CORPORATION COM	P	2014-01-31	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,951		2,951	0
3,625		3,625	0
4,039		4,041	-2
100,000		100,000	0
30,521		44,967	-14,446
69,757		99,714	-29,957
102,563		100,000	2,563
100,000		102,563	-2,563
190,490		91,493	98,997
121,342		58,496	62,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			-2
			0
			-14,446
			-29,957
			2,563
			-2,563
			98,997
			62,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***CREDIT SUISSE GROUP AG N Y BRH VR 052215-052217 DATED 05/22/15 DUE 05/	P	2015-05-15	2017-02-22
***SOCIETE GENERALE DATED 03/06/15 DUE 03/06/17 2 00% OID	P	2015-02-27	2017-03-06
JPMORGAN CHASE FINANCIAL LESSER OF PHOENIX RTY/SPX/SX5E 70% BARRIER - 9 4%	P	2016-12-16	2017-06-21
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-06-09	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-06-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-07-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-08-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-09-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-10-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-11-30	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,000		100,000	0
100,000		100,000	0
100,000		100,000	0
85,654		86,001	-347
180		180	0
327		328	-1
347		348	-1
306		307	-1
305		306	-1
299		300	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			-347
			0
			-1
			-1
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-12-17	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-12-17	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2010-12-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-01-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-02-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-03-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-04-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-05-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-06-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-07-29	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
345		347	-2
150		151	-1
306		308	-2
290		291	-1
296		297	-1
303		304	-1
257		258	-1
334		335	-1
314		315	-1
298		299	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-1
			-2
			-1
			-1
			-1
			-1
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-08-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-09-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-10-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-11-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2011-12-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-01-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-02-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-03-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-04-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-05-31	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
345		347	-2
332		333	-1
336		337	-1
318		320	-2
354		355	-1
349		350	-1
358		359	-1
383		385	-2
357		358	-1
378		379	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-1
			-1
			-2
			-1
			-1
			-1
			-2
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-06-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-07-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-08-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-09-20	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-09-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-10-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-11-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2012-12-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-01-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-02-28	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
350		351	-1
347		348	-1
365		367	-2
73,321		73,618	-297
415		416	-1
651		654	-3
640		643	-3
703		705	-2
634		637	-3
591		594	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			-2
			-297
			-1
			-3
			-3
			-2
			-3
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-03-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-04-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-05-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-06-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-07-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-08-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-09-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-10-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-11-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-12-18	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
613		615	-2
622		624	-2
585		587	-2
578		580	-2
548		550	-2
561		563	-2
530		532	-2
528		531	-3
513		515	-2
298		299	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-2
			-2
			-2
			-2
			-2
			-2
			-3
			-2
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2013-12-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-01-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-02-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-03-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-04-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-05-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-06-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-07-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-08-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-09-30	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
568		570	-2
535		538	-3
477		479	-2
548		550	-2
602		604	-2
615		618	-3
606		608	-2
653		656	-3
636		639	-3
638		641	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-3
			-2
			-2
			-2
			-3
			-2
			-3
			-3
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-10-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-11-28	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2014-12-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-01-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-02-27	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-03-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-04-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-05-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-06-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-07-31	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
597		600	-3
555		557	-2
596		598	-2
565		567	-2
507		509	-2
586		588	-2
551		553	-2
612		615	-3
593		596	-3
593		595	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-2
			-2
			-2
			-2
			-2
			-2
			-3
			-3
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-08-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-09-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-10-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-11-30	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2015-12-31	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-01-29	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-03-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-04-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-05-02	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-06-01	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
609		611	-2
580		582	-2
601		604	-3
614		616	-2
600		603	-3
622		624	-2
575		578	-3
639		642	-3
628		630	-2
657		660	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-2
			-3
			-2
			-3
			-2
			-3
			-3
			-2
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-07-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-08-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-09-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-10-03	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-11-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-11-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2016-12-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-01-03	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-02-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-03-01	2017-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
671		673	-2
665		667	-2
706		709	-3
626		628	-2
613		615	-2
125,136		125,643	-507
992		996	-4
1,014		1,019	-5
1,056		1,061	-5
1,063		1,067	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-2
			-3
			-2
			-2
			-507
			-4
			-5
			-5
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-04-03	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-05-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-06-01	2017-07-11
**LORD ABBETT INVT TR FLTG RATE FD CL C	P	2017-07-03	2017-07-11
REDEMPTION OF OZOSII HEDGE FUND	P	2016-08-01	2017-08-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,068		1,073	-5
1,040		1,044	-4
1,017		1,021	-4
1,018		1,026	-8
		1,175	-1,175

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-4
			-4
			-8
			-1,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S HOME SOCIETY OF NC PO BOX 4072 PITTSFIELD, MA 01202		EXEMPT	GENERAL PURPOSE	500
BOYS & GIRLS CLUB OF LENOIR COUNTY 1804 OLD SNOW HILL RD KINSTON, NC 28501		EXEMPT	GENERAL PURPOSE	10,000
ECU FOUNDATION INCALEXANDRA GAINES KITTREL CHILD LIFE 2200 SOUTH CHARLES BLVD GREENVILLE, NC 27858		EXEMPT	EDUCATIONAL	1,000
ECU FOUR SEASONS MUSIC FESTIVAL EAST CAROLINA UNIVERSITY GREENVILLE, NC 278584353		EXEMPT	EDUCATIONALGENERAL	500
KINSTON COMMUNITY OF ARTS COUNCIL 400 N QUEEN STREET KINSTON, NC 28501		EXEMPT	EDUCATIONAL	1,000
Total . . . . ▶ **3a**				55,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ECU-HERITAGE HALL OPERATING FUND 301 N QUEEN ST KINSTON, NC 28502		EXEMPT	GENERAL PURPOSE	10,000
LENOIRGREENE UNITED WAY 327 N QUEEN STREET KINSTON, NC 28501		EXEMPT	GENERAL PURPOSE	1,000
NC HILLEL 210 W CAMERON AVE CHAPEL HILL, NC 27516		EXEMPT	EDUCATIONAL	1,000
NC MUSEUM OF ART 802 S EVANS ST GREENVILLE, NC 27834		EXEMPT	GENERAL PURPOSE	2,500
PENLAND SCHOOL OF CRAFTS PO BOX 37 PENLAND, NC 287650037		EXEMPT	EDUCATIONAL	1,000
Total . . . . ▶ 3a				55,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SICHA 1004 WATTS STREET DURHAM, NC 27701		EXEMPT	GENERAL PURPOSE	1,000
THE MEDICAL FOUNDATION OF NCINC 880 MARTIN LUTHER KING JR BLVD CHAPEL HILL, NC 27514		EXEMPT	MEDICAL	25,000
THE NATURE CONSERVANCY 4245 N FARIFAX DR ARLINGTON, VA 22203		EXEMPT	GENERAL PURPOSE	1,200
Total . . . . ▶ **3a**				55,700

TY 2016 Accounting Fees Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BRODYCO FEES	1,200	1,200	0	0
	0	0	0	0

TY 2016 Investments Corporate Stock Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED DETAIL STATEMENT	3,672,802	3,311,507

TY 2016 Investments - Other Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MMA-LIVE OAK BANK	AT COST	365,183	365,183
TRIPLE B#18,LLC	AT COST	10,001	10,001

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TY 2016 Other Assets Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LAZARD LTD REDEMPTION RECEIVALBE		14,883	14,883

TY 2016 Other Decreases Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Description	Amount
PRIOR YEAR ADJUSTMENTS	12,068

TY 2016 Other Expenses Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	72	72	0	0
OFFICE EXPENSE	54	54	0	0
MISC	5	5	0	0

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TY 2016 Other Income Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME-ETRACS-JPM#820-01863	992	992	
MISC CREDIT-JPM#820-01863	87	87	
CASH IN LIEU	63	63	
LAZARD LTD RETURN OF PRINCIPLE	569	569	
TRIPLE B#18,LLC K-1 INCOME	-83	-83	

TY 2016 Other Professional Fees Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	0	0	0	0
	0	0	0	0
	0	0	0	0
	0	0	0	0
	0	0	0	0
LYNCH & EATMAN	1,865	1,865	0	0
	0	0	0	0
	0	0	0	0
MGMT FEES JPM 820-02194	303	303	0	0
MGMT FEES JPM 820-01865	2,420	2,420	0	0
MGMT FEES JPM 820-01862	2,317	2,317	0	0
MGMT FEES JPM 820-01867	4,139	4,139	0	0
MGMT FEES JPM 820-01863	2,889	2,889	0	0
MGMT FEES JPM 820-01866	2,790	2,790	0	0
MGMT FEES JPM 820-01864	1,621	1,621	0	0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491165006148

TY 2016 Taxes Schedule

Name: BRODY BROTHERS FOUNDATION

EIN: 56-0858144

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	6,304	6,304	0	0
FEDERAL INCOME TAX PAID	4,487	0	0	0