

Form 990-PF

Department of the Treasury
Internal Revenue Service

Extended to June 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

AUG 1, 2016

, and ending

JUL 31, 2017

Name of foundation

Jeffrey P. Ossen Family Foundation

A Employer identification number

26-1243178

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

Cummings & Lockwood, 75 Isham Rd 400

B Telephone number

860.313.4900

City or town, state or province, country, and ZIP or foreign postal code

West Hartford, CT 06107

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 18,867,763. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

119,538.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

80.

80.

Statement 1

4 Dividends and interest from securities

363,234.

363,234.

Statement 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

328,034.

b Gross sales price for all assets on line 6a 1,498,312.

7 Capital gain net income (from Part IV, line 2)

328,034.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

810,886.

691,348.

13 Compensation of officers, directors, trustees, etc

104,988.

104,988.

0.

14 Other employee salaries and wages

8,345.

8,345.

0.

15 Pension plans, employee benefits

16a Legal fees

Stmt 3

8,261.

8,261.

0.

b Accounting fees

c Other professional fees

RECEIVED

MAY 30 2018

OPEN UP

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

305.

305.

0.

22 Printing and publications

23 Other expenses

Stmt 4

81,649.

81,649.

0.

24 Total operating and administrative expenses. Add lines 13 through 23

203,548.

203,548.

0.

25 Contributions, gifts, grants paid

1,082,387.

1,082,387.

26 Total expenses and disbursements. Add lines 24 and 25

1,285,935.

203,548.

1,082,387.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

<475,049.>

b Net investment income (if negative, enter -0-)

487,800.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	392,536.	239,268.	239,268.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock Stmt 5	8,336,394.	9,227,252.	13,943,113.
	c Investments - corporate bonds Stmt 6	6,014,476.	4,801,837.	4,685,382.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	14,743,406.	14,268,357.	18,867,763.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	14,743,406.	14,268,357.		
30 Total net assets or fund balances	14,743,406.	14,268,357.		
31 Total liabilities and net assets/fund balances	14,743,406.	14,268,357.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,743,406.
2 Enter amount from Part I, line 27a	2	<475,049.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	14,268,357.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,268,357.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attached Statements				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 1,498,312.		1,170,278.	328,034.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e			328,034.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 328,034.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	945,685.	17,642,841.	.053602
2014	870,233.	18,790,410.	.046313
2013	838,893.	17,929,489.	.046788
2012	776,692.	15,897,013.	.048858
2011	300,000.	15,128,537.	.019830

2 Total of line 1, column (d)	2 .215391
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .043078
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4 18,247,282.
5 Multiply line 4 by line 3	5 786,056.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 4,878.
7 Add lines 5 and 6	7 790,934.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8 1,082,387.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2016 estimated tax payments and 2015 overpayment credited to 2016

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be credited to 2017 estimated tax. Refunded

1	4,878.
2	0.
3	4,878.
4	0.
5	4,878.
6a	3,893.
6b	
6c	0.
6d	
7	3,893.
8	
9	985.
10	
11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ 0. (2) On foundation managers \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 7

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► Heather J. Rhoades, Esquire Telephone no ► 860.313.4930		
Located at ► Cummings & Lockwood 75 Isham Rd Ste 400, W Hartfo ZIP+4 ► 06107		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Eileen M. Ossen 49 Brookside Lane Mansfield Center, CT 06250	Trustee 30.00	104,988.	0.	0.
Richard Brvenik 561 Coral Ridge Road Pine Knoll Shores, NC 28512	Trustee 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
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Part IX-B Summary of Program-Related Investments		Amount
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		
1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0.

10030507	102031	695805.0001	2016.05070	Jeffrey P. Ossen Family Fou	695805	1
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	18,078,451.
b	Average of monthly cash balances	1b	446,708.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	18,525,159.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,525,159.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	277,877.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,247,282.
6	Minimum investment return. Enter 5% of line 5	6	912,364.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	912,364.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	4,878.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,878.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	907,486.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	907,486.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	907,486.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,082,387.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,082,387.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,878.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,077,509.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				907,486.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			657,635.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 1,082,387.				
a Applied to 2015, but not more than line 2a			657,635.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				424,752.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				482,734.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Center for Medicare Advocacy Inc. PO Box 350 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	30,000.
CLICK INC PO Box 788 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	21,647.
Community Foundation of Eastern CT, Ossen Fund for the Arts P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	1,070.
Community Foundation of Eastern CT, Windham Women & Girls Fund P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	23,000.
Connecticut Legal Services, Inc. 62 Washington Street, 4th Floor Middletown, CT 06457	None	Public Charity	Current Use for General Charitable Purposes	100,000.
Total See continuation sheet(s)			▶ 3a	1,082,387.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	70.961 Shs. Harbor International Fund	P	08/18/16	07/06/17
b	40 Shs. Ishares Core US Aggregate Bond ETF	P	06/07/17	07/06/17
c	2,080.241 Shs. Allianz NFJ Dividend Val Ins	P	05/17/11	07/06/17
d	724.614 Shs. Eaton Vance Floating Rate-I	P	03/22/13	07/06/17
e	2,522.452 Shs. Federated Ultra Short Fd	P	05/17/11	07/06/17
f	357.757 Shs. JP Morgan Realty Income	P	05/17/11	07/06/17
g	714.286 Shs. Scout International Fund	P	05/17/11	02/17/17
h	724.2 Shs. Scout International Fund	P	05/25/11	02/17/17
i	1,431.981 Shs. Scout International Fund	P	05/27/11	02/17/17
j	129.533 Shs. Scout International Fund	P	06/02/11	02/17/17
k	213.762 Shs. Scout International Fund	P	06/02/11	07/06/17
l	261.36 Shs. T. Rowe Price Emerging Markets Stock	P	05/17/11	07/06/17
m	332.837 Shs. T. Rowe Price Mid-Cap Value Fund	P	05/27/17	07/06/17
n	272.216429 Shs. Vanguard 500 Index Fund Adm	P	05/25/11	07/06/17
o	150.227571 Shs. Vanguard 500 Index Fund Adm	P	05/27/11	07/06/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,771.		4,440.	331.
b 4,357.		4,396.	<39.>
c 35,905.		25,483.	10,422.
d 6,522.		6,656.	<134.>
e 23,005.		23,282.	<277.>
f 4,579.		3,742.	837.
g 15,400.		24,000.	<8,600.>
h 15,614.		24,000.	<8,386.>
i 30,874.		48,000.	<17,126.>
j 2,793.		4,354.	<1,561.>
k 4,974.		7,185.	<2,211.>
l 9,882.		9,040.	842.
m 9,865.		8,401.	1,464.
n 60,604.		33,095.	27,509.
o 33,445.		18,400.	15,045.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			331.
b			<39.>
c			10,422.
d			<134.>
e			<277.>
f			837.
g			<8,600.>
h			<8,386.>
i			<17,126.>
j			<1,561.>
k			<2,211.>
l			842.
m			1,464.
n			27,509.
o			15,045.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	636.827 Shs. Vanguard GNMA Fund Adm	P	07/05/11	07/06/17
b	75.75 Shs. Vanguard International VA IV	P	05/20/11	08/18/16
c	561.612 Shs. Vanguard International VA IV	P	05/25/11	08/18/16
d	1,108.815 Shs. Vanguard International VA IV	P	05/27/11	08/18/16
e	1,103.837 Shs. Vanguard International VA IV	P	06/02/11	08/18/16
f	542.34 Shs. Vanguard International VA IV	P	07/05/11	08/18/16
g	3,251.445 Shs. Vanguard International VA IV	P	08/23/11	08/18/16
h	746.269 Shs. Vanguard International VA IV	P	09/28/11	08/18/16
i	692.042 Shs. Vanguard International VA IV	P	11/08/11	08/18/16
j	1,828.217 Shs. Vanguard International VA IV	P	11/16/12	08/18/16
k	1,133.787 Shs. Vanguard International VA IV	P	12/06/12	08/18/16
l	2,137.407 Shs. Vanguard Intrm Bnd Indx-Adm	P	09/28/11	07/06/17
m	379.222 Shs. Vanguard Mid Cap Growth - Inv	P	05/27/11	07/06/17
n	78 Shs. Vanguard REIT ETF	P	10/18/12	07/06/17
o	484.616 Shs. Vanguard S/T Investment Grade Fd-Adm	P	01/29/14	07/06/17

(a) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,693.		6,954.	<261.>
b 2,494.		2,512.	<18.>
c 18,494.		18,112.	382.
d 36,513.		36,225.	288.
e 36,349.		36,250.	99.
f 17,859.		18,125.	<266.>
g 107,070.		90,000.	17,070.
h 24,575.		20,000.	4,575.
i 22,789.		20,000.	2,789.
j 60,203.		53,000.	7,203.
k 37,336.		35,000.	2,336.
l 24,324.		25,243.	<919.>
m 9,272.		7,884.	1,388.
n 6,360.		4,856.	1,504.
o 5,166.		5,200.	<34.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<261.>
b			<18.>
c			382.
d			288.
e			99.
f			<266.>
g			17,070.
h			4,575.
i			2,789.
j			7,203.
k			2,336.
l			<919.>
m			1,388.
n			1,504.
o			<34.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	126.752 Shs. Vanguard Small-Cap Index Adm	P	08/31/12	07/06/17
b	1,350 Shs. Wells Fargo Large Cap Growth - I	P	07/23/14	02/17/17
c	9,869.72 Shs. Wells Fargo Large Cap Growth - I	P	07/23/14	06/07/17
d	200.835 Shs. Wells Fargo Large Cap Growth - I	P	07/23/14	07/06/17
e	11.537 Shs. William Blair Intl Growth Fund-I	P	05/17/11	07/06/17
f	202.75 Shs. William Blair Intl Growth Fund-I	P	05/25/11	07/06/17
g	Vanguard REIT - Principal Payment	P		05/18/17
h	Capital Gains Dividends			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,149.		4,716.	3,433.
b 62,829.		63,194.	<365.>
c 500,790.		462,002.	38,788.
d 9,885.		9,402.	483.
e 322.		258.	64.
f 5,659.		4,499.	1,160.
g 2,372.		2,372.	0.
h 230,219.			230,219.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			3,433.
b			<365.>
c			38,788.
d			483.
e			64.
f			1,160.
g			0.
h			230,219.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	328,034.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Covenant Soup Kitchen Inc. 220 Valley Street Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	60,000.
Eastern Connecticut Workforce Investment Board Inc 108 New Park Avenue Franklin, CT 06254	None	Public Charity	Current Use for General Charitable Purposes	40,000.
ESCU Foundation Inc. 83 Windham Street, PO Box 431 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	25,000.
ESCU Foundation Inc. 83 Windham Street, PO Box 431 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	40,000.
Higher Edge Inc 35 Redden Avenue New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes.	80,000.
Jewish Family Services of Greater Hartford Inc. 333 Bloomfield Avenue, Suite C West Hartford, CT 06117	None	Public Charity	Current Use for General Charitable Purposes	8,000.
Nutmeg Big Brothers & Big Sisters 30 Laurel Street, Suite 3 Hartford, CT 06106	None	Public Charity	Current Use for General Charitable Purposes	11,000.
Jumpstart for Young Children, Inc. 505 Eighth Avenue, Suite 1100 New York, NY 10018	None	Public Charity	Current Use for General Charitable Purposes	40,000.
Perception Programs, Inc. PO Box 407, 54 North Street Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	181,000.
Read to Grow 53 School Ground Road, Unit #3 Branford, CT 06405	None	Public Charity	Current Use for General Charitable Purposes	4,000.
Total from continuation sheets				906,670.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
St. Mary Parish, St. Mary St. Joseph School 35 Valley Street Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	2,000.
United Services Inc 1007 North Main Street Dayville, CT 06241	None	Public Charity	Current Use for General Charitable Purposes	50,000.
University of Connecticut Foundation Incorporated 2390 Alumni Drive, Unit 3206 Storrs, CT 06269	None	Public Charity	Current Use for General Charitable Purposes	70,000.
Windham Area Interfaith Ministry P.O. Box 221 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	35,000.
Willington Scholarship Foundation DFS Inc. P.O. Box 194 Willington, CT 06279	None	Public Charity	Current Use for General Charitable Purposes	5,000.
Windham Center School PTO 45 North Street Windham, CT 06280	None	Public Charity	Current Use for General Charitable Purposes	10,984.
EastConn Inc. 376 Hartford Turnpike Hampton, CT 06247	None	Public Charity	Current Use for General Charitable Purposes	10,000.
Eastern Ahec Inc. 322 Main Street, Suite 2E-1R Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	39,126.
Eastern Connecticut Workforce Investment Board Inc 108 New Park Avenue Franklin, CT 06254	None	Public Charity	Current Use for General Charitable Purposes	170,560.
Windham Area Habitat for Humanity P.O. Box 214 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	20,000.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2016

Name of the organization

Jeffrey P. Ossen Family Foundation

Employer identification number

26-1243178

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization	Employer identification number
Jeffrey P. Ossen Family Foundation	26-1243178

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Jeffrey P. Ossen Revocable Trust 75 Isham Road, Suite 400 West Hartford, CT 06107	\$ 119,538.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Jeffrey P. Ossen Family Foundation

26-1243178

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
Jeffrey P. Ossen Family Foundation	26-1243178

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Vanguard Sml Cap Index-Adm	8,230.	0.	8,230.	8,230.
Wells Fargo Large Cap Growth - I	55,854.	54,467.	1,387.	1,387.
William Blair Intl Growth Fund-I	6,358.	0.	6,358.	6,358.
To Part I, line 4	593,453.	230,219.	363,234.	363,234.

Form 990-PF	Legal Fees	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Cummings & Lockwood LLC - Legal Fees	8,261.	8,261.		0.
To Fm 990-PF, Pg 1, ln 16a	8,261.	8,261.		0.

Form 990-PF	Other Expenses	Statement	4
-------------	----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Connecticut Council for Philanthropy - Membership	4,300.	4,300.		0.
First Niagara Private Client Services - Investment Fees	11,465.	11,465.		0.
KeyBank - Check Order Fee	177.	177.		0.
United States Postal Service - Postage	67.	67.		0.
Verizon Wireless - Telephone	1,112.	1,112.		0.
North Windham Self Storage	1,010.	1,010.		0.
Chase Card Services - Postage, subscription & mailing supplies	3,963.	3,963.		0.
United States Liability Insurance Co.	993.	993.		0.
KeyBank - Investment Fees	58,118.	58,118.		0.
Robyn E. McCullough - Internet Services	180.	180.		0.
Signs of Willimantic - Supplies	264.	264.		0.
To Form 990-PF, Pg 1, ln 23	81,649.	81,649.		0.

Form 990-PF	Corporate Stock	Statement	5
Description	Book Value	Fair Market Value	
8,437.602 Shs. Vanguard Small Cap Index Fd	313,934.	554,435.	
5,172 Shs. Vanguard REIT ETF Closed-End Fund	351,351.	435,793.	
23,814.988 Shs. JP Morgan Realty Income Fund	257,229.	314,120.	
25,243.916 Shs. Vanguard Mid Cap Growth Fund	501,092.	629,078.	
22,156.174 Shs. T Rowe Price Mid-Cap Value Fund	525,454.	676,650.	
28,121.075 Shs. Vanguard 500 Index Fund	3,531,600.	6,421,166.	
138,476.609 Shs. Allianzgi NFJ Dividend Value Fund	1,591,859.	2,439,958.	
13,369.094 Shs. Wells Fargo Large Cap Growth Fund	621,040.	681,824.	
4,723.669 Shs. Harbor International Fd	295,560.	327,350.	
14,229.627 Shs. Scout International Fund	377,676.	340,373.	
14,264.565 Shs. William Blair Intl Growth Fd	299,876.	416,525.	
17,398.113 Shs. T Rowe Price Emerging Mkts Stk	560,581.	705,841.	
Total to Form 990-PF, Part II, line 10b	9,227,252.	13,943,113.	

Form 990-PF	Corporate Bonds	Statement	6
Description	Book Value	Fair Market Value	
48,235.791 Shs. Eaton Vance Floating-Rate Fund	442,656.	435,087.	
167,913.516 Shs. Federated Ultrashort Bond Fund	1,546,718.	1,531,371.	
2,690 Shs. Ishares Core US Aggregate Bond ETF	295,608.	294,959.	
42,392.011 Shs. Vanguard GNMA Fund	468,459.	446,812.	
142,281.956 Shs. Vanguard Intermediate Term Bd Idx	1,702,260.	1,631,974.	
32,259.719 Shs. Vanguard Short-Term Invmt Grade	346,136.	345,179.	
Total to Form 990-PF, Part II, line 10c	4,801,837.	4,685,382.	

Form 990-PF

List of Substantial Contributors
Part VII-A, Line 10

Statement 7

Name of ContributorAddress

Jeffrey Ossen Revocable Trust

49 Brookside Lane
Mansfield Center, CT 06250

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
First Niagara, Account #7900581161	10.	10.	
KeyBank, Account #7900581161	70.	70.	
Total to Part I, line 3	80.	80.	

Form 990-PF Dividends and Interest from Securities Statement 2
