

For calendar year 2016, or tax year beginning 08-01-2016, and ending 07-31-2017

Name of foundation LORINE J AND ALAN D BOOTH FOUNDATION		A Employer identification number 22-3864179	
Number and street (or P O box number if mail is not delivered to street address) 4485 QUITMAN STREET		B Telephone number (see instructions) (512) 784-4131	
City or town, state or province, country, and ZIP or foreign postal code DENVER, CO 80212		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 534,745	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	8,675	8,675		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	93,559			
	b Gross sales price for all assets on line 6a _____ 858,038				
	7 Capital gain net income (from Part IV, line 2)		93,559		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	102,234	102,234		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,700	2,700		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,009	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5,237	5,237		0
	24 Total operating and administrative expenses. Add lines 13 through 23	8,946	7,937		0
	25 Contributions, gifts, grants paid	15,000			15,000
	26 Total expenses and disbursements. Add lines 24 and 25	23,946	7,937		15,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	78,288			
	b Net investment income (if negative, enter -0-)		94,297		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	4,915	11,867		11,867	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	432,554	503,890		522,878	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	437,469	515,757		534,745		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	437,469	515,757			
	30	Total net assets or fund balances (see instructions)	437,469	515,757			
31	Total liabilities and net assets/fund balances (see instructions) .	437,469	515,757				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	437,469
2	Enter amount from Part I, line 27a	2	78,288
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	515,757
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	515,757

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	93,559
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	0	450,682	0 000000
2014	0	313,209	0 000000
2013	0	303,917	0 000000
2012	0	280,435	0 000000
2011	56,904	309,474	0 183873
2 Total of line 1, column (d)			2 0 183873
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 036775
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 508,384
5 Multiply line 4 by line 3			5 18,696
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 943
7 Add lines 5 and 6			7 19,639
8 Enter qualifying distributions from Part XII, line 4			8 15,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,886
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,886
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,886
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	520
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	520
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,366
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► STACEY BOOTH Telephone no ► (512) 784-4131			

Located at **►** 4485 QUITMAN ST DENVER CO ZIP+4 **►** 80212

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KATHLEEN C BOOTH 910 OAK MEADOW DRIVE DRIPPING SPRINGS, TX 78620	TRUSTEE 0 01	0	0	0
STACEY R BOOTH 4485 QUITMAN ST DENVER, CO 80212	TRUSTEE 0 01	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	507,099
b	Average of monthly cash balances.	1b	9,027
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	516,126
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	516,126
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,742
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	508,384
6	Minimum investment return. Enter 5% of line 5.	6	25,419

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	25,419
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,886
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,886
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	23,533
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	23,533
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	23,533

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	15,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	15,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	15,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				23,533
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 22,368				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	22,368			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 15,000				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				15,000
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	8,533			8,533
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,835			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	13,835			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed STACEY R BOOTH 4485 QUITMAN ST DENVER, CO 80212 (512) 784-4131	
b The form in which applications should be submitted and information and materials they should include NONE	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> THE EMILY GRIFFITH FOUNDATION 1860 LINCOLN ST STE 605 DENVER, CO 80203		PUBLIC CHARITY	360 DEGREE PROGRAM AND SCHOLARSHIPS	15,000
Total			▶ 3a	15,000
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	8,675	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	93,559	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		0		102,234	0
13 Total. Add line 12, columns (b), (d), and (e).			13		102,234

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name PAMELA Z STENGER	Preparer's Signature	Date 2017-11-21	Check if self-employed <input type="checkbox"/>	PTIN P00195444
Firm's name ▶ CARR RIGGS & INGRAM LLC				Firm's EIN ▶ 72-1396621
Firm's address ▶ 800 N SHORELINE BLVD SUITE 450N CORPUS CHRISTI, TX 78401				Phone no (361) 880-5600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN CORE BOND SEL	P	2016-12-14	2017-03-16
BARON REAL ESTATE INST	P	2015-09-24	2016-12-19
BARON REAL ESTATE INST	P	2015-09-24	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2015-07-31	2016-12-19
JP MORGAN EQUITY INC SEL	P	2015-07-29	2017-03-16
JP MORGAN EQUITY INC SEL	P	2015-10-28	2017-03-16
GOLDMAN SACHS STRATEGIC INC I	P	2016-03-31	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-11-21	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-07-23	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-08-24	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
2		2	0
2		2	0
2		2	0
2		2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SENTINEL TOTAL RETURN BOND I	P	2015-09-23	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-10-22	2016-12-19
JP MORGAN CORE BOND SEL	P	2015-07-29	2017-03-16
JP MORGAN CORE BOND SEL	P	2015-08-27	2017-03-16
JP MORGAN CORE BOND SEL	P	2015-09-28	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2015-12-21	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-08-31	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-09-30	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-10-31	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-11-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) (e) plus (f) minus (g) Gain or (loss)
2		2	0
2		2	0
2		2	0
2		2	0
2		2	0
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

<p>List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)</p>	<p>(b) How acquired P—Purchase D—Donation</p>	<p>(c) Date acquired (mo , day, yr)</p>	<p>(d) Date sold (mo , day, yr)</p>
SENTINEL TOTAL RETURN BOND I	P	2016-01-21	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-02-22	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-03-23	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-04-21	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-05-24	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-06-23	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-10-24	2016-12-19
JP MORGAN HEDGED EQ SELECT	P	2016-03-29	2017-03-16
GOLDMAN SACHS STRATEGIC INC I	P	2015-08-31	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2015-09-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS STRATEGIC INC I	P	2015-10-30	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-11-23	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-12-16	2016-12-19
JP MORGAN CORE BOND SEL	P	2015-10-28	2017-03-16
JP MORGAN CORE BOND SEL	P	2015-12-11	2017-03-16
JP MORGAN CORE BOND SEL	P	2015-12-29	2017-03-16
GOLDMAN SACHS STRATEGIC INC I	P	2016-02-29	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-04-29	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-06-30	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-07-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
4		3	1
4		4	0
4		4	0
4		4	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			0
			0
			0
			0
			0
			1
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SENTINEL TOTAL RETURN BOND I	P	2016-07-21	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-08-24	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-09-22	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2016-12-15	2016-12-19
JP MORGAN EQUITY INC SEL	P	2016-12-14	2017-03-16
JP MORGAN HEDGED EQ SELECT	P	2016-06-28	2017-03-16
JP MORGAN HEDGED EQ SELECT	P	2016-12-20	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2015-09-29	2016-12-19
JP MORGAN CORE BOND SEL	P	2015-11-25	2017-03-16
BARON REAL ESTATE INST	P	2016-11-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	0
4		4	0
4		4	0
4		4	0
4		4	0
4		3	1
4		4	0
4		4	0
4		4	0
5		4	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			1
			0
			0
			0
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS STRATEGIC INC I	P	2015-12-31	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-01-29	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2016-05-31	2016-12-19
JP MORGAN HEDGED EQ SELECT	P	2016-09-28	2017-03-16
GOLDMAN SACHS STRATEGIC INC I	P	2015-11-30	2016-12-19
JP MORGAN EQUITY INC SEL	P	2015-09-28	2017-03-16
BARON REAL ESTATE INST	P	2016-10-06	2016-12-19
DREYFUS CORE EQUITY I	P	2016-12-01	2016-12-19
JP MORGAN EQUITY INC SEL	P	2016-09-28	2017-03-16
JP MORGAN EQUITY INC SEL	P	2017-02-24	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		5	0
5		5	0
5		5	0
5		5	0
5		5	0
5		4	1
6		6	0
6		6	0
6		5	1
6		6	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			1
			0
			0
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN EQUITY INC SEL	P	2015-08-27	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2016-06-29	2016-12-19
JP MORGAN CORE BOND SEL	P	2016-06-28	2017-03-16
JP MORGAN CORE BOND SEL	P	2017-01-27	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-06-28	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2012-05-11	2016-12-19
JP MORGAN CORE BOND SEL	P	2016-01-27	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-02-25	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2016-09-29	2016-12-19
JP MORGAN CORE BOND SEL	P	2016-03-29	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		5	1
7		7	0
7		7	0
7		7	0
7		6	1
7		6	1
7		7	0
7		7	0
8		8	0
8		8	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			0
			0
			0
			1
			1
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN CORE BOND SEL	P	2016-04-27	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-05-26	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-07-27	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-08-29	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-09-28	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-10-27	2017-03-16
JP MORGAN CORE BOND SEL	P	2017-02-24	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-03-29	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-05-26	2017-03-16
JP MORGAN EQUITY INC SEL	P	2015-11-25	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		8	0
8		8	0
8		8	0
8		9	-1
8		8	0
8		8	0
8		8	0
8		7	1
8		7	1
8		7	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			-1
			0
			0
			0
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFS GROWTH I	P	2016-06-30	2016-12-16
JP MORGAN CORE BOND SEL	P	2016-11-28	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-12-28	2017-03-16
DREYFUS HIGH YIELD I	P	2014-12-08	2016-12-19
JP MORGAN EQUITY INC SEL	P	2015-12-29	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-02-25	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2016-03-30	2016-12-19
JP MORGAN EQUITY INC SEL	P	2016-08-29	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-11-28	2017-03-16
DREYFUS CORE EQUITY I	P	2015-12-02	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9		8	1
9		9	0
9		9	0
9		10	-1
9		8	1
9		8	1
10		10	0
10		9	1
11		10	1
12		14	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			0
			0
			-1
			1
			1
			0
			1
			1
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFS GROWTH I	P	2016-06-30	2016-12-16
JP MORGAN CORE BOND SEL	P	2016-12-14	2017-03-16
PUTNAM EQUITY INCOME Y	P	2015-09-28	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-12-14	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2015-12-15	2016-12-19
DREYFUS STRATEGIC VAL I	P	2016-12-05	2017-03-16
PUTNAM EQUITY INCOME Y	P	2015-12-28	2017-03-16
PUTNAM EQUITY INCOME Y	P	2016-09-28	2017-03-16
PUTNAM EQUITY INCOME Y	P	2016-12-28	2017-03-16
JP MORGAN LARGE CAP GRW A	P	2012-12-31	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14		14	0
14		14	0
14		12	2
16		16	0
18		16	2
19		17	2
19		16	3
20		18	2
20		19	1
20		14	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			2
			0
			2
			2
			3
			2
			1
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUTNAM EQUITY INCOME Y	P	2016-06-28	2017-03-16
PUTNAM EQUITY INCOME Y	P	2016-03-28	2017-03-16
JP MORGAN LARGE CAP GRW A	P	2011-12-21	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2012-12-28	2017-03-16
GOLDMAN SACHS STRATEGIC INC I	P	2015-12-28	2016-12-19
DREYFUS HIGH YIELD I	P	2011-01-03	2016-12-19
DREYFUS OPPORTUNSTC MIDCP VL I	P	2013-12-04	2016-12-19
JP MORGAN EQUITY INC SEL	P	2015-12-11	2017-03-16
MFS GROWTH I	P	2013-12-17	2016-12-16
GOLDMAN SACHS RISING DIV GW I	P	2016-12-09	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21		18	3
22		18	4
23		14	9
23		17	6
24		24	0
25		26	-1
25		29	-4
26		22	4
28		24	4
29		29	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			4
			9
			6
			0
			-1
			-4
			4
			4
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BARON REAL ESTATE INST	P	2015-12-03	2016-12-19
PUTNAM CAPITAL SPECTRUM Y	P	2015-11-05	2016-12-28
PUTNAM CAPITAL SPECTRUM Y	P	2015-11-05	2017-03-16
DREYFUS HIGH YIELD I	P	2014-02-28	2016-12-19
DREYFUS HIGH YIELD I	P	2014-11-28	2016-12-19
DREYFUS HIGH YIELD I	P	2015-02-27	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-01-29	2016-12-19
MFS INTL DIVERSIFICATION I	P	2015-12-29	2016-12-23
DREYFUS HIGH YIELD I	P	2014-08-29	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2015-10-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29		30	-1
31		31	0
33		34	-1
37		41	-4
37		40	-3
37		40	-3
38		34	4
38		38	0
38		42	-4
38		38	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			0
			-1
			-4
			-3
			-3
			4
			0
			-4
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS SM CAP VAL I	P	2012-12-05	2017-03-16
DREYFUS HIGH YIELD I	P	2013-11-29	2016-12-19
DREYFUS HIGH YIELD I	P	2015-05-29	2016-12-19
PUTNAM EQUITY INCOME Y	P	2016-12-28	2017-03-16
DREYFUS HIGH YIELD I	P	2012-09-28	2016-12-19
DREYFUS HIGH YIELD I	P	2014-04-30	2016-12-19
DREYFUS HIGH YIELD I	P	2014-05-30	2016-12-19
DREYFUS HIGH YIELD I	P	2015-04-30	2016-12-19
DREYFUS HIGH YIELD I	P	2013-03-28	2016-12-19
DREYFUS HIGH YIELD I	P	2013-10-31	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38		27	11
39		43	-4
39		41	-2
40		38	2
40		43	-3
40		44	-4
40		45	-5
40		42	-2
41		45	-4
41		45	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			-4
			-2
			2
			-3
			-4
			-5
			-2
			-4
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2014-03-31	2016-12-19
DREYFUS HIGH YIELD I	P	2014-06-30	2016-12-19
DREYFUS HIGH YIELD I	P	2014-10-31	2016-12-19
DREYFUS CORE EQUITY I	P	2014-12-03	2016-12-19
DREYFUS HIGH YIELD I	P	2013-02-28	2016-12-19
DREYFUS HIGH YIELD I	P	2013-08-30	2016-12-19
DREYFUS HIGH YIELD I	P	2014-01-31	2016-12-19
DREYFUS HIGH YIELD I	P	2014-07-31	2016-12-19
DREYFUS HIGH YIELD I	P	2014-09-30	2016-12-19
DREYFUS HIGH YIELD I	P	2015-01-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41		45	-4
41		46	-5
41		45	-4
42		55	-13
42		45	-3
42		45	-3
42		46	-4
42		46	-4
42		45	-3
42		43	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-5
			-4
			-13
			-3
			-3
			-4
			-4
			-3
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2013-06-28	2016-12-19
DREYFUS HIGH YIELD I	P	2013-09-30	2016-12-19
DREYFUS HIGH YIELD I	P	2015-03-31	2016-12-19
DREYFUS HIGH YIELD I	P	2015-07-31	2016-12-19
DREYFUS HIGH YIELD I	P	2015-10-30	2016-12-19
DREYFUS HIGH YIELD I	P	2012-08-31	2016-12-19
DREYFUS HIGH YIELD I	P	2013-12-31	2016-12-19
DREYFUS HIGH YIELD I	P	2015-06-30	2016-12-19
DREYFUS HIGH YIELD I	P	2015-08-31	2016-12-19
DREYFUS HIGH YIELD I	P	2015-09-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
43		46	-3
43		46	-3
43		45	-2
43		44	-1
43		43	0
44		46	-2
44		48	-4
44		46	-2
44		44	0
44		43	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-3
			-2
			-1
			0
			-2
			-4
			-2
			0
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2012-06-29	2016-12-19
DREYFUS HIGH YIELD I	P	2013-05-31	2016-12-19
DREYFUS HIGH YIELD I	P	2012-07-31	2016-12-19
DREYFUS HIGH YIELD I	P	2012-11-30	2016-12-19
DREYFUS HIGH YIELD I	P	2012-12-31	2016-12-19
DREYFUS HIGH YIELD I	P	2013-01-31	2016-12-19
DREYFUS HIGH YIELD I	P	2014-12-31	2016-12-19
DREYFUS HIGH YIELD I	P	2012-01-31	2016-12-19
NUVEEN LARGE CAP CORE I	P	2015-12-15	2017-03-16
WESTERN ASSET CORE PLUS BD I	P	2017-01-31	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
45		47	-2
45		49	-4
46		48	-2
46		49	-3
46		50	-4
46		50	-4
46		48	-2
47		48	-1
47		40	7
48		48	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2
			-4
			-2
			-3
			-4
			-4
			-2
			-1
			7
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2012-10-31	2016-12-19
DREYFUS HIGH YIELD I	P	2013-04-30	2016-12-19
DREYFUS HIGH YIELD I	P	2013-07-31	2016-12-19
WESTERN ASSET CORE PLUS BD I	P	2017-02-28	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2014-12-04	2016-12-19
DREYFUS HIGH YIELD I	P	2012-03-30	2016-12-19
DREYFUS HIGH YIELD I	P	2012-02-29	2016-12-19
DREYFUS HIGH YIELD I	P	2012-04-30	2016-12-19
DREYFUS HIGH YIELD I	P	2016-04-29	2016-12-19
DREYFUS HIGH YIELD I	P	2016-07-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48		51	-3
48		53	-5
48		51	-3
49		49	0
50		58	-8
51		53	-2
52		55	-3
52		54	-2
53		52	1
53		53	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-5
			-3
			0
			-8
			-2
			-3
			-2
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2011-03-01	2016-12-19
DREYFUS HIGH YIELD I	P	2012-05-31	2016-12-19
DREYFUS OPPORTUNSTC MIDCP VL I	P	2012-12-24	2016-12-19
DREYFUS HIGH YIELD I	P	2016-09-30	2016-12-19
DREYFUS HIGH YIELD I	P	2016-11-30	2016-12-19
DREYFUS HIGH YIELD I	P	2011-05-02	2016-12-19
DREYFUS HIGH YIELD I	P	2016-10-31	2016-12-19
DREYFUS HIGH YIELD I	P	2011-07-01	2016-12-19
DREYFUS HIGH YIELD I	P	2016-06-30	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-04-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		58	-5
54		55	-1
54		52	2
55		55	0
55		54	1
55		60	-5
56		56	0
56		60	-4
57		56	1
57		57	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-1
			2
			0
			1
			-5
			0
			-4
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FT TR ETF MULTI-ASSET DVRS INC	P	2016-07-29	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-10-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-11-30	2016-12-19
DREYFUS HIGH YIELD I	P	2011-06-01	2016-12-19
DREYFUS HIGH YIELD I	P	2015-11-30	2016-12-19
DREYFUS HIGH YIELD I	P	2011-08-01	2016-12-19
DREYFUS HIGH YIELD I	P	2011-12-01	2016-12-19
DREYFUS HIGH YIELD I	P	2012-01-03	2016-12-19
MFS GROWTH I	P	2014-05-30	2016-12-16
DREYFUS HIGH YIELD I	P	2011-02-01	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57		58	-1
57		55	2
57		56	1
57		63	-6
57		56	1
58		62	-4
58		57	1
58		58	0
59		53	6
59		64	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			2
			1
			-6
			1
			-4
			1
			0
			6
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2011-04-01	2016-12-19
DREYFUS HIGH YIELD I	P	2011-11-01	2016-12-19
DREYFUS HIGH YIELD I	P	2016-03-31	2016-12-19
DREYFUS HIGH YIELD I	P	2016-05-31	2016-12-19
DREYFUS HIGH YIELD I	P	2016-08-31	2016-12-19
MFS GROWTH I	P	2015-06-30	2016-12-16
DREYFUS HIGH YIELD I	P	2011-09-01	2016-12-19
DREYFUS HIGH YIELD I	P	2011-10-03	2016-12-19
DREYFUS HIGH YIELD I	P	2016-01-29	2016-12-19
DREYFUS HIGH YIELD I	P	2016-02-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
59		64	-5
59		60	-1
60		56	4
60		58	2
60		60	0
60		58	2
64		65	-1
64		61	3
65		60	5
66		61	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-1
			4
			2
			0
			2
			-1
			3
			5
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS HIGH YIELD I	P	2015-12-31	2016-12-19
PUTNAM CAPITAL SPECTRUM Y	P	2012-12-06	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2016-12-28
DREYFUS CORE EQUITY I	P	2014-12-03	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2015-12-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-03-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-08-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-09-30	2016-12-19
DREYFUS CORE EQUITY I	P	2014-09-30	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2015-11-30	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68		64	4
68		50	18
71		71	0
74		98	-24
76		71	5
76		73	3
76		78	-2
76		76	0
76		102	-26
76		73	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			18
			0
			-24
			5
			3
			-2
			0
			-26
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN EQUITY INC SEL	P	2016-12-28	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2017-03-16
NUVEEN LARGE CAP CORE I	P	2015-12-30	2017-03-16
NUVEEN LARGE CAP CORE I	P	2016-12-29	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2014-12-04	2017-03-16
DREYFUS CORE EQUITY I	P	2012-09-28	2016-12-19
NUVEEN LARGE CAP CORE I	P	2015-12-15	2017-03-16
DREYFUS CORE EQUITY I	P	2015-06-30	2016-12-19
DREYFUS CORE EQUITY I	P	2013-09-30	2016-12-19
DREYFUS CORE EQUITY I	P	2013-12-05	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77		74	3
77		75	2
78		66	12
85		82	3
86		77	9
91		101	-10
92		78	14
93		119	-26
94		112	-18
94		117	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			2
			12
			3
			9
			-10
			14
			-26
			-18
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS CORE EQUITY I	P	2014-06-30	2016-12-19
DREYFUS CORE EQUITY I	P	2015-09-30	2016-12-19
DREYFUS OPPORTUNSTC MIDCP	P	2016-12-13	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-05-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-06-30	2016-12-19
PUTNAM CAPITAL SPECTRUM Y	P	2015-12-07	2016-12-28
GOLDMAN SACHS SM CAP VAL I	P	2013-12-05	2017-03-16
FIRST TRUST DJ GL SEL DVD	P	2016-03-31	2017-03-16
DREYFUS CORE EQUITY I	P	2012-04-03	2016-12-19
DREYFUS CORE EQUITY I	P	2012-12-06	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
94		127	-33
94		111	-17
95		95	0
96		95	1
96		95	1
96		98	-2
96		85	11
98		91	7
98		108	-10
100		108	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-33
			-17
			0
			1
			1
			-2
			11
			7
			-10
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
DREYFUS CORE EQUITY I	P	2015-03-31	2016-12-19
DREYFUS CORE EQUITY I	P	2012-06-29	2016-12-19
DREYFUS CORE EQUITY I	P	2014-03-31	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2016-02-29	2016-12-19
DREYFUS CORE EQUITY I	P	2013-03-28	2016-12-19
DREYFUS CORE EQUITY I	P	2016-09-30	2016-12-19
GOLDMAN SACHS SM CAP VAL I	P	2015-12-10	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-03-30	2017-03-16
DREYFUS CORE EQUITY I	P	2013-06-28	2016-12-19
DREYFUS CORE EQUITY I	P	2016-03-31	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
102		130	-28
106		112	-6
108		138	-30
115		104	11
118		138	-20
119		133	-14
125		104	21
127		83	44
130		149	-19
141		153	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-28
			-6
			-30
			11
			-20
			-14
			21
			44
			-19
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS STRATEGIC VAL I	P	2011-06-23	2016-12-28
DREYFUS CORE EQUITY I	P	2016-06-30	2016-12-19
FIRST TRUST LARGE CAP CORE ETF	P	2016-12-20	2016-12-28
DREYFUS CORE EQUITY I	P	2015-12-02	2016-12-19
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2016-12-28
DREYFUS OPPORTUNSTC MIDCP VL I	P	2013-12-04	2016-12-19
DREYFUS CORE EQUITY I	P	2016-12-01	2016-12-19
GOLDMAN SACHS SM CAP VAL I	P	2016-12-20	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-03-08	2016-12-28
GOLDMAN SACHS SM CAP VAL I	P	2015-12-21	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
142		106	36
146		161	-15
147		147	0
155		170	-15
156		156	0
158		184	-26
159		154	5
164		163	1
166		118	48
166		133	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36
			-15
			0
			-15
			0
			-26
			5
			1
			48
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2017-03-16
FIRST TRUST DJ GL SEL DVD	P	2016-09-30	2017-03-16
DREYFUS OPPORTUNSTC MIDCP	P	2016-12-13	2016-12-19
DIAMOMD HILL FIN LONG-SHORT I	P	2012-12-28	2017-03-16
PUTNAM EQUITY INCOME Y	P	2015-12-28	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2012-12-05	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2011-12-19	2016-12-19
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2016-12-28
DREYFUS OPPORTUNSTC MIDCP VL I	P	2011-12-19	2016-12-19
FIRST TRUST DJ GL SEL DVD	P	2016-12-30	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
168		164	4
172		164	8
182		183	-1
192		109	83
193		165	28
197		139	58
202		157	45
205		205	0
217		168	49
221		210	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			8
			-1
			83
			28
			58
			45
			0
			49
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUTNAM CAPITAL SPECTRUM Y	P	2013-12-06	2017-03-16
FIRST TRUST DJ GL SEL DVD	P	2015-12-31	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2012-12-06	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-12-08	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2014-12-04	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2013-12-05	2017-03-16
DREYFUS STRATEGIC VAL I	P	2013-12-18	2016-12-28
DIAMOMD HILL FIN LONG-SHORT I	P	2015-12-30	2017-03-16
JP MORGAN LARGE CAP GRW A	P	2012-12-18	2017-03-16
BLACKROCK TOTAL EMER MKTS INST	P	2017-03-16	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
221		215	6
221		199	22
235		173	62
236		148	88
243		216	27
254		226	28
267		270	-3
286		231	55
287		199	88
294		297	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			22
			62
			88
			27
			28
			-3
			55
			88
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK STRATEGIC INC OPP I	P	2017-03-16	2017-04-10
LAZARD EMERG MKTS CORE EQ I	P	2017-03-16	2017-04-10
DREYFUS CORE EQUITY I	P	2013-12-05	2016-12-19
DREYFUS STRATEGIC VAL I	P	2015-12-08	2017-03-16
GOLDMAN SACHS MLP ENGY INFRT I	P	2017-05-10	2017-06-19
JP MORGAN EQUITY INC SEL	P	2015-07-21	2016-12-28
PUTNAM CAPITAL SPECTRUM Y	P	2015-12-07	2016-12-28
PUTNAM CAPITAL SPECTRUM Y	P	2014-12-05	2016-12-28
DREYFUS STRATEGIC VAL I	P	2011-12-15	2017-03-16
FIRST TRUST LARGE CAP CORE ETF	P	2015-04-17	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
297		298	-1
300		299	1
305		377	-72
308		260	48
309		340	-31
319		298	21
321		328	-7
339		339	0
353		221	132
360		328	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			1
			-72
			48
			-31
			21
			-7
			0
			132
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN LARGE CAP GRW A	P	2011-03-08	2016-12-28
PUTNAM CAPITAL SPECTRUM Y	P	2014-12-05	2017-03-16
DREYFUS STRATEGIC VAL I	P	2013-12-18	2016-12-28
DREYFUS OPPORTUNSTC MIDCP VL I	P	2014-12-04	2016-12-19
DREYFUS STRATEGIC VAL I	P	2014-12-10	2017-03-16
DREYFUS STRATEGIC VAL I	P	2013-12-18	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-12-08	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-12-08	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2014-12-05	2016-12-28
DREYFUS STRATEGIC VAL I	P	2013-12-18	2016-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
361		244	117
366		396	-30
371		371	0
373		439	-66
373		358	15
387		368	19
401		251	150
401		251	150
403		403	0
404		404	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			117
			-30
			0
			-66
			15
			19
			150
			150
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFS GROWTH I	P	2013-12-17	2016-12-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2015-12-15	2016-12-19
DREYFUS STRATEGIC VAL I	P	2013-12-18	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2014-12-05	2017-03-16
BARON REAL ESTATE INST	P	2015-11-05	2016-12-19
FIRST TRUST FNCL ALPHADEX ETF	P	2016-12-20	2017-04-10
BLACKROCK MULTI-ASSET INC INST	P	2017-03-16	2017-04-10
GOLDMAN SACHS STRATEGIC INC I	P	2015-11-05	2016-12-19
FIRST TRUST DJ GL SEL DVD	P	2016-06-30	2017-03-16
SENTINEL TOTAL RETURN BOND I	P	2015-11-05	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
415		355	60
416		364	52
421		400	21
435		471	-36
438		476	-38
439		438	1
448		446	2
462		478	-16
467		416	51
468		478	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			60
			52
			21
			-36
			-38
			1
			2
			-16
			51
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN CORE BOND SEL	P	2015-11-05	2017-03-16
GOLDMAN SACHS RISING DIV GW I	P	2015-11-05	2016-12-19
DREYFUS STRATEGIC VAL I	P	2012-12-20	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2012-12-06	2017-03-16
FIRST TR MLD CP COR ALPHDX ETF	P	2015-11-05	2017-03-16
BLACKROCK GLOBAL ALLOCATION I	P	2017-03-16	2017-04-10
DREYFUS STRATEGIC VAL I	P	2014-12-10	2017-03-16
MFS INTL DIVERSIFICATION I	P	2015-11-05	2016-12-23
GOLDMAN SACHS SM CAP VAL I	P	2016-12-09	2017-03-16
DREYFUS STRATEGIC VAL I	P	2015-12-08	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
470		478	-8
473		478	-5
479		369	110
497		366	131
531		461	70
593		594	-1
614		590	24
638		655	-17
649		651	-2
672		568	104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			-5
			110
			131
			70
			-1
			24
			-17
			-2
			104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST MID CAP VALUE	P	2017-03-16	2017-04-10
GOLDMAN ACTIVEBETA US LC ETF	P	2017-03-16	2017-04-10
GOLDMAN SACHS SM CAP VAL I	P	2012-12-05	2017-03-16
FIRST TRUST INDS/PRODS ETF	P	2017-03-16	2017-04-10
FIRST TR VALU LN DIV IDX	P	2017-03-16	2017-04-10
FIRST TRUST DEVELP MKT EX-US	P	2015-11-05	2017-03-16
LAZARD INTL EQI INST	P	2017-03-16	2017-04-10
FT-PREFERRED SECUR & INC ETF	P	2017-03-16	2017-04-10
JPMORGAN STRAT INC OPPORT I	P	2016-12-28	2017-04-10
DREYFUS STRATEGIC VAL I	P	2013-12-18	2016-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
695		712	-17
700		710	-10
718		507	211
719		728	-9
725		733	-8
729		674	55
743		744	-1
746		740	6
746		740	6
748		748	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-10
			211
			-9
			-8
			55
			-1
			6
			6
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS MLP ENGY INFRT I	P	2017-03-16	2017-04-10
DREYFUS STRATEGIC VAL I	P	2013-12-18	2017-03-16
FIRST TRUST SMALL CAP CORE ETF	P	2015-07-21	2017-04-10
ISHARES CORE S&P TOTAL US STOC	P	2017-03-16	2017-04-10
JP MORGAN INCOME BUILDER A	P	2017-03-16	2017-04-10
GOLDMAN SACHS SM CAP VAL I	P	2015-12-10	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2014-12-04	2017-03-16
JP MORGAN EQUITY INC SEL	P	2015-11-05	2017-03-16
FIRST TRUST LARGE CAP CORE ETF	P	2015-07-21	2017-04-10
FIRST TRUST LRG CAP VALUE AL	P	2015-11-05	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
758		745	13
780		742	38
857		784	73
863		875	-12
894		896	-2
904		748	156
905		805	100
925		819	106
925		831	94
946		776	170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			38
			73
			-12
			-2
			156
			100
			106
			94
			170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK GLB LONG/SHORT EQ I	P	2015-12-29	2017-03-16
MFS GROWTH I	P	2014-12-18	2016-12-16
DREYFUS OPPORTUNSTC MIDCP	P	2016-12-13	2016-12-19
GOLDMAN SACHS SM CAP VAL I	P	2013-12-05	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2015-07-21	2016-12-19
DREYFUS OPPORTUNSTC MIDCP VL I	P	2014-12-04	2016-12-19
DREYFUS CORE EQUITY I	P	2014-12-03	2016-12-19
JP MORGAN HEDGED EQ SELECT	P	2015-12-29	2017-03-16
BARON REAL ESTATE INST	P	2015-07-21	2016-12-19
GOLDMAN SACHS STRATEGIC INC I	P	2015-07-21	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
953		993	-40
960		896	64
997		1,003	-6
1,002		890	112
1,049		1,266	-217
1,101		1,297	-196
1,107		1,465	-358
1,114		993	121
1,150		1,275	-125
1,201		1,256	-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			64
			-6
			112
			-217
			-196
			-358
			121
			-125
			-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS RISING DIV GW I	P	2015-07-21	2016-12-19
SENTINEL TOTAL RETURN BOND I	P	2015-07-21	2016-12-19
JP MORGAN CORE BOND SEL	P	2015-07-21	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2013-12-04	2016-12-19
PUTNAM EQUITY INCOME Y	P	2015-11-05	2017-03-16
MFS GROWTH I	P	2015-12-17	2016-12-16
JP MORGAN LARGE CAP GRW A	P	2014-12-12	2016-12-28
PUTNAM CAPITAL SPECTRUM Y	P	2015-12-07	2016-12-28
FIRST TR MLD CP COR ALPHDX ETF	P	2015-07-21	2017-03-16
JP MORGAN LARGE CAP GRW A	P	2014-12-12	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,210		1,256	-46
1,219		1,249	-30
1,234		1,255	-21
1,240		1,444	-204
1,245		1,146	99
1,287		1,242	45
1,289		1,289	0
1,411		1,443	-32
1,415		1,272	143
1,419		1,370	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-46
			-30
			-21
			-204
			99
			45
			0
			-32
			143
			49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LAZARD US EQ CONCENTRD INST	P	2017-03-16	2017-04-10
MFS INTL DIVERSIFICATION I	P	2015-07-21	2016-12-23
PUTNAM CAPITAL SPECTRUM Y	P	2015-07-21	2016-12-28
FIRST TRUST DEVELP MKT EX-US	P	2015-07-21	2017-03-16
JP MORGAN EQUITY INC SEL	P	2015-07-21	2017-03-16
JP MORGAN CORE BOND SEL	P	2016-01-25	2017-03-16
FIRST TRUST LARGE CAP GROWTH A	P	2015-11-05	2017-03-16
DREYFUS OPPORTUNSTC MIDCP VL I	P	2011-12-19	2016-12-19
FIRST TRUST LRG CAP VALUE AL	P	2015-07-21	2017-03-16
NUVEEN LARGE CAP CORE I	P	2015-11-05	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,464		1,485	-21
1,667		1,794	-127
1,794		2,035	-241
1,874		1,824	50
2,016		1,806	210
2,172		2,210	-38
2,325		2,150	175
2,380		1,847	533
2,440		2,050	390
2,531		2,279	252

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-21
			-127
			-241
			50
			210
			-38
			175
			533
			390
			252

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS STRATEGIC VAL I	P	2014-12-10	2017-03-16
PUTNAM EQUITY INCOME Y	P	2015-07-21	2017-03-16
DREYFUS HIGH YIELD I	P	2015-11-05	2016-12-19
DREYFUS OPPORTUNSTC MIDCP VL I	P	2015-12-15	2016-12-19
FT TR ETF MULTI-ASSET DVRS INC	P	2015-11-05	2016-12-19
JP MORGAN LARGE CAP GRW A	P	2015-12-11	2016-12-28
DREYFUS CORE EQUITY I	P	2015-12-02	2016-12-19
DREYFUS HIGH YIELD I	P	2010-12-15	2016-12-19
FIRST TRUST LARGE CAP GROWTH A	P	2015-07-21	2016-12-28
DREYFUS CORE EQUITY I	P	2016-12-01	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,632		2,527	105
3,170		3,007	163
3,281		3,275	6
4,126		3,611	515
4,165		4,119	46
4,586		4,996	-410
5,443		5,973	-530
5,651		6,011	-360
5,676		5,754	-78
5,897		5,689	208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			105
			163
			6
			515
			46
			-410
			-530
			-360
			-78
			208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DREYFUS OPPORTUNSTC MIDCP VL I	P	2015-11-05	2016-12-19
FIRST TRUST DJ GL SEL DVD	P	2015-11-05	2017-03-16
GOLDMAN SACHS SM CAP VAL I	P	2015-11-05	2017-03-16
DREYFUS STRATEGIC VAL I	P	2015-12-08	2017-03-16
NUVEEN LARGE CAP CORE I	P	2015-07-21	2017-03-16
FIRST TRUST MEGA CAP ALPHADIX	P	2015-11-05	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2015-11-05	2016-12-28
DREYFUS OPPORTUNSTC MIDCP VL I	P	2011-10-06	2016-12-19
JP MORGAN LARGE CAP GRW A	P	2015-11-05	2016-12-28
DREYFUS CORE EQUITY I	P	2015-11-05	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,986		6,674	-688
6,022		5,602	420
6,035		5,418	617
6,486		5,476	1,010
6,559		5,879	680
8,133		7,370	763
8,522		9,505	-983
8,540		7,578	962
8,947		8,947	0
9,843		12,706	-2,863

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-688
			420
			617
			1,010
			680
			763
			-983
			962
			0
			-2,863

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN LARGE CAP GRW A	P	2015-11-05	2017-03-16
MFS GROWTH I	P	2015-11-05	2016-12-16
FT TR ETF MULTI-ASSET DVRS INC	P	2013-05-29	2016-12-19
GOLDMAN SACHS SM CAP VAL I	P	2012-01-31	2017-03-16
DIAMOMD HILL FIN LONG-SHORT I	P	2015-11-05	2017-03-16
DREYFUS STRATEGIC VAL I	P	2015-11-05	2016-12-28
FIRST TRUST DJ GL SEL DVD	P	2012-01-25	2017-03-16
PUTNAM CAPITAL SPECTRUM Y	P	2011-03-08	2017-03-16
JP MORGAN LARGE CAP GRW A	P	2015-11-05	2016-12-28
WESTERN ASSET CORE PLUS BD I	P	2016-12-28	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,845		10,512	-667
10,498		10,618	-120
10,660		11,661	-1,001
12,005		8,486	3,519
12,584		10,522	2,062
12,702		13,211	-509
15,485		14,251	1,234
18,231		12,005	6,226
19,001		22,287	-3,286
20,141		20,000	141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-667
			-120
			-1,001
			3,519
			2,062
			-509
			1,234
			6,226
			-3,286
			141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST MEGA CAP ALPHADDEX	P	2012-01-25	2017-03-16
DREYFUS CORE EQUITY I	P	2012-01-25	2016-12-19
GOLDMAN SACHS MLP ENGY INFRT I	P	2017-03-16	2017-06-19
ISHARES CORE U S AGGREGATE	P	2016-12-28	2017-03-16
SPDR DOUBLELINE TR TACT ETF	P	2016-12-28	2017-03-16
VANGUARD SHORT TERM BND	P	2016-12-28	2017-03-16
VANGUARD SHORT-TERM CORPORATE	P	2016-12-28	2017-03-16
ISHARES IBOX \$ H/Y COR BD ETF	P	2016-12-28	2017-03-16
MFS GROWTH I	P	2013-07-31	2016-12-16
DREYFUS STRATEGIC VAL I	P	2011-06-23	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,960		13,109	7,851
22,339		23,237	-898
22,564		25,971	-3,407
24,768		24,697	71
25,015		24,868	147
25,027		24,956	71
25,027		24,891	136
25,190		24,981	209
25,538		19,890	5,648
27,609		19,763	7,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,851
			-898
			-3,407
			71
			147
			71
			136
			209
			5,648
			7,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JPM DIVERSIFIED RET INTL EQ	P	2016-12-20	2017-03-16
FIRST TRUST LARGE CAP CORE ETF	P	2016-12-20	2016-12-28
DIAMOMD HILL FIN LONG-SHORT I	P	2012-01-25	2017-03-16
JP MORGAN EQUITY INC SEL	P	2016-12-20	2016-12-28
FIRST TRUST LARGE CAP GROWTH A	P	2016-12-16	2016-12-28
JP MORGAN LARGE CAP GRW A	P	2011-03-08	2017-03-16
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28,830		27,251	1,579
32,187		32,623	-436
32,225		16,047	16,178
32,378		32,782	-404
38,747		39,099	-352
76,753		47,200	29,553
19,667			19,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,579
			-436
			16,178
			-404
			-352
			29,553
			19,667

TY 2016 Accounting Fees Schedule**Name:** LORINE J AND ALAN D BOOTH FOUNDATION**EIN:** 22-3864179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,700	2,700		0

TY 2016 Explanation of Non-Filing with Attorney General Statement

Name: LORINE J AND ALAN D BOOTH FOUNDATION

EIN: 22-3864179

Statement:

ACCORDING TO THE ATTORNEY GENERAL OF OHIO, NON-PROFIT ORGANIZATIONS INCORPORATED IN OHIO THAT FILE THE FEDERAL TAX FORM 990-PF DO NOT HAVE TO SUBMIT A COPY OF THE FEDERAL TAX FORM 990-PF TO THE ATTORNEY GENERAL OF OHIO. IN LIEU OF A COPY OF THE FEDERAL FORM 990-PF, THE ATTORNEY GENERAL OF OHIO REQUIRES THAT AN ANNUAL REPORT BE FILED ONLINE WITH THE ATTORNEY GENERAL OF OHIO USING THEIR ONLINE SYSTEM.

TY 2016 Investments - Other Schedule**Name:** LORINE J AND ALAN D BOOTH FOUNDATION**EIN:** 22-3864179

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	AT COST	225,174	235,618
EXCHANGE-TRADED & CLOSED-END FUNDS	AT COST	278,716	287,260

TY 2016 Other Expenses Schedule**Name:** LORINE J AND ALAN D BOOTH FOUNDATION**EIN:** 22-3864179**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES	5,137	5,137		0
FILING FEE	100	100		0

TY 2016 Taxes Schedule**Name:** LORINE J AND ALAN D BOOTH FOUNDATION**EIN:** 22-3864179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2015 FEDERAL INCOME TAX - FORM 990PF	164	0		0
2016 ESTIMATED TAX PAYMENT	520	0		0
2015 ESTIMATED TAX PAYMENT	325	0		0