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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning 8/1/2016, and ending 7/31/2017

Name of foundation  
**FRANCES & EDWIN CUMMINGS MEM FD TR U**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**BNY Mellon, N.A - P O Box 185**

City or town, state or province, country, and ZIP or foreign postal code  
**Pittsburgh PA 15230-0185**

Foreign country name Foreign province/state/country Foreign postal code

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ **37,732,352**

**J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

**A** Employer identification number  
**13-6814491**

**B** Telephone number (see instructions)  
**(212) 922-8143**

**C** If exemption application is pending, check here ☐

**D** 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	926,296	924,244		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,208,946			
	b Gross sales price for all assets on line 6a	1,208,946			
	7 Capital gain net income (from Part IV, line 10)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	2,135,242	2,133,190	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	139,022	80,016		59,186
	14 Other employee salaries and wages	203,675			203,675
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	48,089	13,169		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	81,248			81,248
	21 Travel, conferences, and meetings	5,784			5,784
	22 Printing and publications				
	23 Other expenses (attach schedule)	41,409	163		41,246
	24 Total operating and administrative expenses. Add lines 13 through 23	519,227	93,348	0	391,139
	25 Contributions, gifts, grants paid	1,466,000			1,466,000
26 Total expenses and disbursements. Add lines 24 and 25	1,985,227	93,348	0	1,857,139	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	150,015				
b Net investment income (if negative, enter -0-)		2,039,842			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing		1,129	307	307
	<b>2</b>	Savings and temporary cash investments		275,265	717,623	717,623
	<b>3</b>	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	<b>4</b>	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	<b>5</b>	Grants receivable				
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	<b>8</b>	Inventories for sale or use				
	<b>9</b>	Prepaid expenses and deferred charges				
	<b>10a</b>	Investments—U S and state government obligations (attach schedule)				
	<b>b</b>	Investments—corporate stock (attach schedule)				
	<b>c</b>	Investments—corporate bonds (attach schedule)				
	<b>11</b>	Investments—land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
<b>12</b>	Investments—mortgage loans					
<b>13</b>	Investments—other (attach schedule)			26,091,926	25,792,111	37,014,422
<b>14</b>	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
<b>15</b>	Other assets (describe ▶)					
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)			26,368,320	26,510,041	37,732,352
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses				
	<b>18</b>	Grants payable				
	<b>19</b>	Deferred revenue				
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b>	Mortgages and other notes payable (attach schedule)				
	<b>22</b>	Other liabilities (describe ▶)				
	<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22)			0	0
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>					
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	<b>24</b>	Unrestricted				
	<b>25</b>	Temporarily restricted				
	<b>26</b>	Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>					
	<b>and complete lines 27 through 31.</b>					
	<b>27</b>	Capital stock, trust principal, or current funds			26,368,320	26,510,041
<b>28</b>	Paid-in or capital surplus, or land, bldg., and equipment fund					
<b>29</b>	Retained earnings, accumulated income, endowment, or other funds					
<b>30</b>	<b>Total net assets or fund balances</b> (see instructions)			26,368,320	26,510,041	
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see instructions)			26,368,320	26,510,041	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	26,368,320
<b>2</b>	Enter amount from Part I, line 27a	<b>2</b>	150,015
<b>3</b>	Other increases not included in line 2 (itemize) ▶	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3	<b>4</b>	26,518,335
<b>5</b>	Decreases not included in line 2 (itemize) ▶ See Attached Statement	<b>5</b>	8,294
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	<b>6</b>	26,510,041

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	1,208,946
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,868,562	34,092,499	0.054809
2014	1,821,295	36,674,471	0.049661
2013	1,826,243	35,715,963	0.051132
2012	1,826,104	33,091,727	0.055183
2011	1,853,595	31,318,976	0.059184
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.269969
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.053994
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 35,801,013
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,933,040
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 20,398
<b>7</b> Add lines 5 and 6			<b>7</b> 1,953,438
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 1,857,139

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	40,797	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	40,797	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	40,797	
<b>6</b>	Credits/Payments.			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	50,290	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	50,290	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	9,493	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>9,493</b> <b>Refunded</b>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <b>\$</b> <b>(2)</b> On foundation managers <b>\$</b>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NY AG REG# 03-53-27</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>www.cummingsfund.org</b>	13	X	
14	The books are in care of <b>BNY MELLON, N A</b> Telephone no <b>(212) 922-8143</b> Located at <b>P O BOX 185, PITTSBURGH, PA</b> ZIP+4 <b>15230-0185</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15 N/A</b>			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years <b>20, 20, 20, 20</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>20, 20, 20, 20</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d). N/A

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N A P O Box 185 Pittsburgh, PA 15230-0185	TRUSTEE SEE ATTACHED	190,987	NONE	NONE
BNY Mellon, N A P O Box 185 Pittsburgh, PA 15230-0185	FEE REIMBURSEMENT	-105,975	N/A	N/A
J ANDREW LARK ESQ 501 Fifth Ave, Suite 708 New York, NY 10017	CO-TRUSTEE 35 00	54,010	NONE	NONE
DOROTHY A. RILEY-CHEW 501 Fifth Ave, Suite 708 New York, NY 10017	SECRETARY 35 00	49,602	NONE	NONE

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH HARRISON COSTAS 501 Fifth Ave, Suite 708, New York, NY 10017	EXECUTIVE DIRE 35 00	139,298	NONE	NONE
	.00	0		
	.00	0		
	.00	0		
	.00	0		
	.00	0		

Total number of other employees paid over \$50,000

NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	NONE

Form 990-PF (2016)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	35,785,834
<b>b</b>	Average of monthly cash balances	<b>1b</b>	560,372
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	36,346,206
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	36,346,206
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	545,193
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	35,801,013
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,790,051

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,790,051
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	40,797
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	40,797
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,749,254
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,749,254
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,749,254

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	1,857,139
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	NONE
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,857,139
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,857,139

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				1,749,254
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011	320,478			
<b>b</b> From 2012	198,805			
<b>c</b> From 2013	100,638			
<b>d</b> From 2014	40,267			
<b>e</b> From 2015	180,175			
<b>f</b> Total of lines 3a through e	840,363			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4. ► \$ 1,857,139				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				1,749,254
<b>e</b> Remaining amount distributed out of corpus	107,885			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	948,248			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	320,478			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	627,770			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012	198,805			
<b>b</b> Excess from 2013	100,638			
<b>c</b> Excess from 2014	40,267			
<b>d</b> Excess from 2015	180,175			
<b>e</b> Excess from 2016	107,885			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Elizabeth H Costas, Exec. Dir, Frances L & Edwin L Cummings Memorial Fd 501 Fifth Ave, Ste 708 New York, NY 10017 (212) 286-1778

b The form in which applications should be submitted and information and materials they should include:

Please refer to [www.cummingsfund.org](http://www.cummingsfund.org) for the annual report

c Any submission deadlines:

April 1st and October 1st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

No support for cultural art

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Attached Statement				
<b>Total</b>			▶ <b>3a</b>	1,466,000
<b>b</b> Approved for future payment NONE				
<b>Total</b>			▶ <b>3b</b>	NONE

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	926,296	
5	Net rental income or (loss) from real estate.					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,208,946	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		2,135,242	0
13	Total. Add line 12, columns (b), (d), and (e)				13	2,135,242

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	<b>1a(1)</b>	X
	(2) Other assets	<b>1a(2)</b>	X
<b>b</b>	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>	X
	(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>	X
	(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>	X
	(4) Reimbursement arrangements	<b>1b(4)</b>	X
	(5) Loans or loan guarantees	<b>1b(5)</b>	X
	(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

► *arg*

12/13/2017

▶ VICE PRESIDENT

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

**Shawn P Hanlon**

Preparer's signature

2020 cm

Date	
------	--

12/13/2017

Check ☒ if self-employed

PTIN	
------	--

P00965923

Firm's name ► PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	(412) 355-6000
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FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year****Recipient(s) paid during the year****Name**

BOTTOM LINE, INC

**Street**

44 COURT STREET, SUITE 300

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A MANAGING DIRECTOR OF PROGRAMS FOR THE SUCCESS PROGRAM

**Amount**

35,000

**Name**

BOYS &amp; GIRLS CLUB OF PATERSON, INC.

**Street**

264 21ST AVENUE

**City**

PATERSON

**State**

NJ

**Zip Code**

07501

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A CLUB INTERVENTION SPECIALIST TO HELP CREATE EDUCATIONAL PLANS FOR 75 YOUTH

**Amount**

35,000

**Name**

CENTER FOR ALTERNATIVE SENTENCING AND EMPLOYMENT SERVICES, INC

**Street**

151 LAWRENCE STREET, 3RD FLOOR

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE JOBS FOR AMERICA'S GRADUATES NEW YORK EMPLOYMENT READINESS PROGRAM

**Amount**

30,000

**Name**

CENTER FOR SUPPORTIVE SCHOOLS, INC.

**Street**

911 COMMONS WAY

**City**

PRINCETON

**State**

NJ

**Zip Code**

08540

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO HELP 3 NYC HIGH SCHOOLS IMPLEMENT THE PEER GROUP CONNECTION PROGRAM

**Amount**

25,000

**Name**

CENTRAL QUEENS ACADEMY CHARTER SCHOOL

**Street**

55-30 JUNCTION BOULEVARD

**City**

ELMHURST

**State**

NY

**Zip Code**

11373

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF THE 5TH AND 6TH GRADE GUIDANCE COUNSELOR

**Amount**

40,000

**Name**

COMPREHENSIVE DEVELOPMENT, INC.

**Street**

240 SECOND AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10003

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF A PT PLACEMENT SUPPORT SPECIALIST FOR THE CDI CAREER ACADEMY

**Amount**

20,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 2 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

COURT APPOINTED SPECIAL ADVOCATES OF NYC/FCNY

**Street**

48 WALL STREET, SUITE 1100

**City**

NEW YORK

**State**

NY

**Zip Code**

10005

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A VOLUNTEER COORDINATOR TO HELP EXPAND CASA'S VOLUNTEER SERVICES

**Amount**

25,000

**Name**

DREAM CHARTER SCHOOL

**Street**

1991 SECOND AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT DREAM'S DIRECTOR OF PRE-K PROGRAMS

**Amount**

40,000

**Name**

EAST HARLEM TUTORIAL PROGRAM, INC

**Street**

2050 SECOND AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE MANAGING DIRECTOR OF THE EAST HARLEM TEACHING RESIDENCY

**Amount**

30,000

**Name**

EAST SIDE HOUSE, INC

**Street**

337 ALEXANDER AVENUE

**City**

BRONX

**State**

NY

**Zip Code**

10454

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A COLLEGE PLACEMENT AND RETENTION COORDINATOR

**Amount**

35,000

**Name**

EXALT YOUTH

**Street**

175 REMSEN STREET, SUITE 1000

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A NEW LEAD TEACHER FOR THE CORE INTERNSHIP PROGRAM

**Amount**

35,000

**Name**

FAMILY AND CHILDREN'S SERVICES, INC.

**Street**

40 NORTH AVENUE

**City**

ELIZABETH

**State**

NJ

**Zip Code**

07208

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT TRAUMA TRAINED THERAPISTS TO WORK WITH 24 CHILDREN/YOUTH

**Amount**

30,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 3 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

FORTUNE SOCIETY, INC

**Street**

29-76 NORTHERN BOULEVARD

**City**

LONG ISLAND CITY

**State**

NY

**Zip Code**

11101

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A PROGRAM COORDINATOR FOR THE JOB DEVELOPER TRAINING PROGRAM

**Amount**

35,000

**Name**

GETTING OUT AND STAYING OUT, INC

**Street**

75 EAST 116TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE MANAGER OF THE GOSO/WORKS EMPLOYMENT DEVELOPMENT PROGRAM

**Amount**

25,000

**Name**

GODDARD RIVERSIDE COMMUNITY CENTER

**Street**

593 COLUMBUS AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10024

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A THIRD COLLEGE SUCCESS COUNSELOR

**Amount**

30,000

**Name**

HARLEM EDUCATIONAL ACTIVITIES FUND, INC.

**Street**

2090 ADAM CLAYTON POWELL BOULEVARD, 10TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A PROGRAM COORDINATOR FOR THE ONWARD PROGRAM

**Amount**

40,000

**Name**

HENRY STREET SETTLEMENT

**Street**

265 HENRY STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10002

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE STAFFING COORDINATOR FOR THE TOP TALENT INITIATIVE OF THE HR DEPARTMENT

**Amount**

30,000

**Name**

HOPE PROGRAM, INC.

**Street**

ONE SMITH STREET

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR RENEWED SUPPORT FOR A YOUTH EMPLOYMENT SPECIALIST

**Amount**

35,000



FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 4 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

HYDE LEADERSHIP CHARTER SCHOOL

**Street**

730 BRYANT AVENUE, 4TH FLOOR

**City**

BRONX

**State**

NY

**Zip Code**

10474

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR STAFFING FOR THE ELEMENTARY SCHOOL INTEGRATED CO-TEACHING PROGRAM

**Amount**

30,000

**Name**

KIPP NEW JERSEY

**Street**

60 PARK PLACE, SUITE 802

**City**

NEWARK

**State**

NJ

**Zip Code**

07102

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR AN ALUMNI SUPPORT COUNSELOR FOR THE KIPP THROUGH COLLEGE PROGRAM

**Amount**

35,000

**Name**

LAWYERS ALLIANCE FOR NEW YORK

**Street**

171 MADISON AVENUE, 6TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10016

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE HELPING NONPROFITS ASSESS AND MANAGE RISK INITIATIVE

**Amount**

40,000

**Name**

NEW VISIONS FOR PUBLIC SCHOOLS, INC.

**Street**

205 EAST 42ND STREET, 4TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10017

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE BRIDGE TO COLLEGE INITIATIVE

**Amount**

35,000

**Name**

NEW YORK CARES, INC.

**Street**

65 BROADWAY, 19TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10006

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A LEADERSHIP ENGAGEMENT MANAGER

**Amount**

35,000

**Name**

NEW YORK CITY OUTWARD BOUND CENTER, INC

**Street**

29-46 NORTHERN BOULEVARD

**City**

LONG ISLAND CITY

**State**

NY

**Zip Code**

11101

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF THE ALUMNI MANAGER FOR THE TO AND THROUGH COLLEGE PROGRAM

**Amount**

40,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 5 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

NORTHSIDE CENTER FOR CHILD DEVELOPMENT, INC.

**Street**

1301 FIFTH AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR CONTINUED SUPPORT OF THE CLINICS IN SCHOOLS PROGRAM COORDINATOR

**Amount**

35,000

**Name**

PARTNERSHIP WITH CHILDREN, INC.

**Street**

299 BROADWAY, SUITE 1300

**City**

NEW YORK

**State**

NY

**Zip Code**

10007

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A CONSULTANT TO LEAD A BOARD STRATEGIC PLANNING PROCESS

**Amount**

30,000

**Name**

PASSAIC COUNTY COURT APPOINTED SPECIAL ADVOCATES

**Street**

415 HAMBURG TURNPIKE, B-1

**City**

WAYNE

**State**

NJ

**Zip Code**

07470

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR AN OUTREACH COORDINATOR RESPONSIBLE FOR RECRUITMENTING CASA VOLUNTEERS

**Amount**

25,000

**Name**

PUBLIC PREPARATORY NETWORK, INC.

**Street**

441 EAST 148TH STREET

**City**

BRONX

**State**

NY

**Zip Code**

10455

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A NEW DIRECTOR OF SPECIAL POPULATIONS

**Amount**

40,000

**Name**

QUEENS COMMUNITY HOUSE, INC

**Street**

108-25 62ND DRIVE

**City**

QUEENS

**State**

NY

**Zip Code**

11375

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A POST-SECONDARY COUNSELOR FOR TWO LEARNING TO WORK PROGRAMS

**Amount**

30,000

**Name**

READING PARTNERS

**Street**

499 SEVENTH AVE, 20TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10018

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A NEW TUTOR ENGAGEMENT PROJECT

**Amount**

25,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 6 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

READWORKS, INC.

**Street**

68 JAY STREET, SUITE 426

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR STAFFING FOR THE PRODUCT CONTENT AND WEBSITE TECHNOLOGY PLATFORM

**Amount**

40,000

**Name**

RESOURCES FOR CHILDREN WITH SPECIAL NEEDS

**Street**

116 E 16TH STREET, 5TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10003

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE HIGH SCHOOL LAUNCH PROGRAM DESIGNED TO ASSIST STUDENTS WITH DISABILITIES

**Amount**

25,000

**Name**

SOUTH ASIAN YOUTH ACTION (SAYA)

**Street**

54-05 SEABURY STREET

**City**

ELMHURST

**State**

NY

**Zip Code**

11373

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A NEW COLLEGE SUCCESS &amp; CAREER ADVISOR POSITION

**Amount**

35,000

**Name**

SPONSORS FOR EDUCATIONAL OPPORTUNITY, INC

**Street**

55 EXCHANGE PLACE, SUITE 601

**City**

NEW YORK

**State**

NY

**Zip Code**

10005

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT AN ASSOCIATE DIRECTOR OF COLLEGE PERSISTENCE

**Amount**

35,000

**Name**

SUMMIT SPEECH SCHOOL

**Street**

705 CENTRAL AVENUE

**City**

NEW PROVIDENCE

**State**

NJ

**Zip Code**

07974

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A CONSULTANT FOR A CAPACITY BUILDING EFFORT TO INCLUDE STRATEGIC PLANNING

**Amount**

20,000

**Name**

TEACHING MATTERS, INC

**Street**

475 RIVERSIDE DRIVE, SUITE 1270

**City**

NEW YORK

**State**

NY

**Zip Code**

10115

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE DELIVERY OF ASSESSMENT MATTERS TO 28 SCHOOLS CITYWIDE

**Amount**

30,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 7 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

THE BARUCH COLLEGE FUND

**Street**

ONE BERNARD BARUCH WAY, BOX A-1603

**City**

NEW YORK

**State**

NY

**Zip Code**

10010

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE JULIE L. FLOCH MEMORIAL SCHOLARSHIP FUND

**Amount**

10,000

**Name**

THE CRENULATED COMPANY, LTD

**Street**

1512 TOWNSEND AVENUE

**City**

BRONX

**State**

NY

**Zip Code**

10452

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE COMMUNITY-SCHOOL PARTNERSHIP DIRECTOR AT THE NEW SETTLEMENT CAMPUS

**Amount**

40,000

**Name**

THE DOOR - A CENTER OF ALTERNATIVES, INC

**Street**

121 AVENUE OF THE AMERICAS

**City**

NEW YORK

**State**

NY

**Zip Code**

10013

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE SUPERVISOR OF TRAINING AND CAPACITY BUILDING FOR CONNECTIONS TO CARE

**Amount**

25,000

**Name**

THE EAGLE ACADEMY FOUNDATION, INC

**Street**

31 WEST 125TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE ALUMNI COORDINATOR FOR THE POST-SECONDARY SUCCESS INITIATIVE

**Amount**

30,000

**Name**

THE FAMILY CENTER, INC

**Street**

493 NOSTRAND AVENUE, 3RD FLOOR

**City**

BROOKLYN

**State**

NY

**Zip Code**

11216

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE IMPLEMENTATION OF AN AGENCY-WIDE TRAUMA-INFORMED CARE INITIATIVE

**Amount**

25,000

**Name**

THE FLOATING HOSPITAL, INC

**Street**

GRAND CENTRAL STATION, P O. BOX 3391

**City**

NEW YORK

**State**

NY

**Zip Code**

10163

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A NEW SENIOR MENTAL HEALTH CASE MANAGER

**Amount**

35,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 8 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

UNCOMMON SCHOOLS, INC.

**Street**

826 BROADWAY, 9TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10003

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE DIRECTOR OF 5-12 SCIENCE

**Amount**

30,000

**Name**

UNITED NEIGHBORHOOD HOUSES OF NEW YORK, INC

**Street**

45 BROADWAY, SUITE 2210

**City**

NEW YORK

**State**

NY

**Zip Code**

10006

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A NEW MEMBER SERVICES ASSOCIATE POSITION

**Amount**

25,000

**Name**

URBAN DOVE, INC

**Street**

CHURCH STREET STATION, P O BOX 305

**City**

NEW YORK

**State**

NY

**Zip Code**

10008

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE PROGRAM MANAGER AT THE BROOKLYN REGIONAL PROGRAM OFFICE

**Amount**

30,000

**Name**

YOUNG WOMEN'S LEADERSHIP NETWORK, INC

**Street**

322 EIGHTH AVENUE, 14TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE COLLEGE TRANSITION COACH PROGRAM

**Amount**

40,000

**Name**

BRONXWORKS, INC

**Street**

60 EAST TREMONT AVENUE, STREET LEVEL

**City**

BRONX

**State**

NY

**Zip Code**

10453

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE ANNUAL FUND

**Amount**

2,000

**Name**

CHAUTAUQUA FOUNDATION, INC.

**Street**

P. O BOX 28

**City**

CHAUTAUQUA

**State**

NY

**Zip Code**

14722

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE LECTURE PROGRAM

**Amount**

2,000

FRANCES &amp; EDWIN CUMMINGS MEM FD TR U

13-6814491 Page 9 of 9

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

CONEY ISLAND PREPARATORY PUBLIC CHARTER SCHOOL

**Street**

501 WEST AVENUE

**City**

BROOKLYN

**State**

NY

**Zip Code**

11224

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE ANNUAL FUND

**Amount**

1,000

**Name**

THE EAGLE ACADEMY FOUNDATION, INC

**Street**

31 WEST 125TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE ANNUAL FUND

**Amount**

2,000

**Name**

HAMILTON COLLEGE TRUSTEES

**Street**

198 COLLEGE HILL ROAD

**City**

CLINTON

**State**

NY

**Zip Code**

13323

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE ANNUAL FUND

**Amount**

2,000

**Name**

HORIZONS NATIONAL STUDENT ENRICHMENT PROGRAM, INC.

**Street**

120 POST ROAD WEST, SUITE 202

**City**

WESTPORT

**State**

CT

**Zip Code**

06880

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR HORIZONS NEWARK

**Amount**

2,000

**Name**

HOWARD UNIVERSITY

**Street**

2225 GEORGIA AVENUE, N W, SUITE 630

**City**

WASHINGTON

**State**

DC

**Zip Code**

20059

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

1,000

**Name**

MISS PORTER'S SCHOOL, INC.

**Street**

60 MAIN STREET

**City**

FARMINGTON

**State**

CT

**Zip Code**

06032

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

4,000

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Totals		Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
	Long Term CG Distributions	Short Term CG Distributions									Capital Gains/Losses	Other sales		
73	PROCTER & GAMBLE CO		742718109	X				3/14/2003	12/19/2016	21,072	10,476			10,596
74	PROCTER & GAMBLE CO		742718109	X				3/14/2003	6/9/2017	21,952	10,476			11,476
75	PROCTER & GAMBLE CO	4	742718DN6	X				6/27/2013	11/3/2016	107,719	112,975			-5,256
76	QUALCOMM INC		747525103	X				9/13/2016	3/21/2017	28,731	30,665			-1,934
77	TARGET CORP		87612E106	X				4/19/2016	8/12/2016	37,694	42,015			-4,321
78	TARGET CORP		87612E106	X				4/19/2016	9/13/2016	17,243	21,007			-3,764
79	TARGET CORP		87612E106	X				4/19/2016	11/11/2016	17,795	21,007			-3,212
80	TARGET CORP		87612E106	X				4/19/2016	2/7/2017	31,819	42,015			-10,196
81	TARGET CORP		87612E106	X				4/19/2016	3/21/2017	26,764	42,015			-15,251
82	TARGET CORP		87612E106	X				5/17/2016	3/21/2017	26,764	37,163			-10,399
83	TARGET CORP		87612E106	X				6/16/2016	3/21/2017	26,764	33,434			-6,670
84	TIME WARNER INC		887317303	X				9/8/2014	2/7/2017	48,071	38,225			9,846
85	TIME WARNER INC		887317303	X				9/8/2014	6/9/2017	24,714	19,112			5,602
86	UNITED TECHNOLOGIES CO		913017109	X				12/14/2004	6/9/2017	30,032	12,865			17,167
87	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	8/12/2016	26,874	21,006			5,868
88	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	9/13/2016	12,808	10,503			2,305
89	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	11/11/2016	34,974	31,508			3,466
90	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	12/19/2016	52,169	42,011			10,158
91	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	2/7/2017	11,957	10,503			1,454
92	VERIZON COMMUNICATIONS		92343V104	X				10/16/2007	6/9/2017	11,527	10,503			1,024
93	VERIZON COMMUNICATIONS		92343V104	X				10/10/2008	6/9/2017	23,054	11,879			11,175
94	WELLS FARGO & COMPANY		949745101	X				9/16/2010	11/11/2016	141,078	71,579			69,499
95	WELLS FARGO & COMPANY		949746101	X				11/10/2010	12/19/2016	110,478	57,078			53,400
96	YUM CHINA HOLDINGS INC		98850P109	X				9/11/2013	12/19/2016	36,231	28,518			7,713
97	INVESCO LTD		G491BT108	X				11/19/2013	9/13/2016	30,389	33,815			-3,426
98	INVESCO LTD		G491BT108	X				11/19/2013	12/19/2016	31,339	33,815			-2,476



**Part I, Line 18 (990-PF) - Taxes**

		48,089	13,169	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES WITHHELD	13,169	13,169		
2	ESTIMATED EXCISE PAYMENTS	34,920			

**Part I, Line 23 (990-PF) - Other Expenses**

		41,409	163	0	41,246
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	117	117		0
2	STATE AG FEES	750	0		750
3	ANNUAL MEMBERSHIP DUES	4,000	0		4,000
4	HEALTH INSURANCE	15,374	0		15,374
5	OFFICES SUPPLIES/EXPENSES	2,977	0		2,977
6	PETTY CASH/MISCELANEOUS EXPENSES	310	0		310
7	UTILITIES	17,835	0		17,835
8	INVESTMENT EXPENSES	46	46		0

**Part II, Line 13 (990-PF) - Investments - Other**

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	ADVANTAGE DYN TOTAL RET -Y		0	455,176	560,035
3	ALPHABET INC/CA		0	284,822	472,750
4	AMAZON COM INC		0	96,933	493,890
5	AMERICAN EXPRESS COMPANY		0	86,590	298,305
6	AMGEN INC		0	178,545	261,765
7	APPLE COMPUTER INC COM		0	66,321	1,078,292
8	BNY MELLON CORP BOND-M		0	550,000	562,953
9	BNY MELLON MID CAP STK FD CL M		0	1,995,913	2,724,820
10	BNY MELLON INTL APPR FD CL M		0	1,850,000	1,964,541
11	BNY MELLON BOND FD CL M		0	1,654,383	1,636,784
12	BNY MELLON INTL FD CL M SHSS		0	890,578	954,245
13	BANK AMER CORP		0	146,560	241,200
14	BRISTOL MYERS SQUIBB CO COM		0	191,971	227,600
15	CAPITAL ONE FINL CORP COM		0	124,801	172,360
16	CELANESE CORP DEL		0	88,089	144,255
17	CELGENE CORP		0	127,207	162,492
18	CHEVRONTXACO CORP		0	215,396	218,380
19	CISCO SYS INC		0	232,054	267,325
20	CITIZENS FINANCIAL GROUP INC		0	88,286	105,240
21	COMCAST CORP NEW CL A		0	96,596	177,980
22	CONOCOPHILLIPS		0	104,124	113,425
23	CORNING INC COM		0	121,560	116,560
24	DFA EMERG MKTS CORE EQUITY		0	1,150,000	1,393,883
25	DISNEY (WALT) COMPANY HOLDING CO		0	28,958	357,272
26	DOMINION RES INC VA NEW		0	137,220	154,360
27	DOW CHEMICAL COMPANY COMMON		0	120,330	160,600
28	DREYFUS FLOATING RATE INC-Y		0	410,322	404,074
29	ACCENTURE PLC IRELAND SHS CL A		0	138,938	193,230
30	EATON CORP PLC		0	89,177	156,500
31	INGERSOLL-RAND PLC		0	109,257	175,760
32	INVESCO LTD		0	198,890	226,005
33	BROADCOM LTD.		0	67,089	369,990
34	AT&T INC		0	157,110	204,750
35	ABBOTT LABORATORIES		0	152,441	196,720
36	ABBVIE INC		0	131,071	174,775
37	DREYFUS PREM LT TRM HI YLD-R		0	912,479	901,083
38	DREYFUS GLOBAL REAL ES SEC-Y		0	740,151	866,204
39	DREYFUS/NEWTON INTERN EQTY-Y		0	834,009	1,013,548
40	DUKE ENERGY CORP NEW COM		0	133,431	191,520
41	EXELON CORP		0	148,827	191,700
42	EXXON MOBIL CORP		0	35,076	340,170
43	FACEBOOK INC		0	136,360	270,800
44	GOVT NAT'L MTGE ASSN POOL 56465		0	15,143	16,466
45	GENERAL ELECTRIC CO		0	34,138	332,930
46	GENERAL ELEC CAP COR 4 375% 9/16/20		0	105,494	107,300

**Part II, Line 13 (990-PF) - Investments - Other**

		26,091,926		25,792,111		37,014,422	
Asset Description		Book Value Beg of Year		Book Value End of Year		FMV End of Year	
Basis of Valuation							
47	GILEAD SCIENCES INC	0	14,999	0	171,202	0	171,202
48	GLAXOSMITHKLINE CAP INC	0	115,712	0	103,198	0	103,198
49	GOLDMAN SACHS GROUP MITN	0	112,123	0	101,916	0	101,916
50	DREYFUS GLOBAL REAL RET -Y	0	797,690	0	770,144	0	770,144
51	HARTFORD FINL SVCS GROUP INC COM	0	161,727	0	220,000	0	220,000
52	HOME DEPOT INC USD 0.05	0	60,729	0	299,200	0	299,200
53	HONEYWELL INTL INC	0	173,544	0	408,360	0	408,360
54	ILLINOIS TOOL WORKS INC COM	0	132,023	0	211,065	0	211,065
55	INTEL CORPORATION	0	218,316	0	248,290	0	248,290
56	INTERCONTINENTALEXCHANGE GRO	0	163,994	0	266,840	0	266,840
57	INTERNATIONAL BUSINESS MACHINES C	0	125,321	0	108,502	0	108,502
58	ISHARES TR S&P MIDCAP 400 INDEX FD	0	419,585	0	438,725	0	438,725
59	ISHARES TR S & P SMALL CAP 600	0	723,060	0	885,125	0	885,125
60	J P MORGAN CHASE & CO	0	386,882	0	780,300	0	780,300
61	JOHNSON & JOHNSON COM	0	289,905	0	696,780	0	696,780
62	ELI LILLY & CO COM	0	116,340	0	123,990	0	123,990
63	MCDONALDS CORP MEDIUM TERM NTS	0	115,513	0	100,850	0	100,850
64	MC DONALDS CORPORATION COMMON	0	170,241	0	232,710	0	232,710
65	MERCK & CO INC	0	240,921	0	431,190	0	431,190
66	MICROSOFT CORP COM	0	105,438	0	1,017,800	0	1,017,800
67	MONDELEZ INTERNATIONAL INC	0	123,388	0	264,120	0	264,120
68	NESTLE SA SPONSORED ADR	0	29,600	0	337,160	0	337,160
69	NIKE INC CL B	0	156,410	0	177,150	0	177,150
70	NOVARTIS SECS INVEST LTD	0	530,085	0	526,555	0	526,555
71	NUCOR CORP	0	106,008	0	126,874	0	126,874
72	NVIDIA CORP	0	69,697	0	97,506	0	97,506
73	ORACLE CORPORATION	0	92,080	0	199,720	0	199,720
74	PNC FINANCIAL SERVICES GROUP INC	0	106,798	0	193,200	0	193,200
75	PEPSICO INC	0	272,077	0	612,202	0	612,202
76	PFIZER INC COM	0	196,486	0	414,500	0	414,500
77	PHILIP MORRIS INTERNATIONAL	0	140,078	0	233,420	0	233,420
78	PROCTER & GAMBLE CO COM	0	245,611	0	476,805	0	476,805
79	QUALCOMM INC	0	153,324	0	132,975	0	132,975
80	ROYAL DUTCH SHELL PLC SPONS ADR	0	132,066	0	141,325	0	141,325
81	SCHLUMBERGER LIMITED COM	0	164,449	0	205,800	0	205,800
82	SCHWAB CHARLES CORP NEW	0	176,650	0	214,500	0	214,500
83	STARBUCKS CORP COM	0	166,980	0	161,940	0	161,940
84	DREYFUS SELECT MGER S/C VL-Y	0	819,894	0	1,011,606	0	1,011,606
85	TCW EMERGING MARKETS INCOME FUNI	0	360,000	0	374,038	0	374,038
86	TARGA RESOURCES INVESTMENTS	0	84,418	0	69,615	0	69,615
87	3M CO	0	147,800	0	201,170	0	201,170
88	TIME WARNER INC	0	125,572	0	179,235	0	179,235
89	UNITED TECHNOLOGIES CORP	0	141,516	0	326,068	0	326,068
90	UNITED HEALTH GROUP INC	0	110,242	0	143,858	0	143,858
91	VALERO ENERGY CORP NEW	0	134,716	0	172,425	0	172,425
92	VERIZON COMMUNICATIONS INC	0	106,913	0	217,800	0	217,800

**Part II, Line 13 (990-PF) - Investments - Other**

		26,091,926	25,792,111	37,014,422
	Asset Description	Book Value Beg of Year	Book Value End of Year	FMV End of Year
93	VISA INC	0	171,667	248,900
94	WAL MART STORES INC	0	147,140	159,980
95	WELLS FARGO COMPANY 5 625% 12/11/1	0	114,245	101,519
96	WELLS FARGO & CO NEW	0	118,310	215,760
97	YUM BRANDS INC	0	72,012	105,672

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 5 - Decreases not included in Part III, Line 2**

<b>1</b>	<b>BASIS CHANGE ON DIVS TREATED AS ROC - MONDELEZ INTL INC - 609207105</b>	<b>1</b>	<b>3,180</b>
<b>2</b>	<b>BASIS CHANGE ON DIVS TREATED AS ROC - EATON CORP PLC - G29183103</b>	<b>2</b>	<b>4,560</b>
<b>3</b>	<b>COST BASIS ADJUSTMENT - MONDELEZ INTL INC - 609207105</b>	<b>3</b>	<b>530</b>
<b>4</b>	<b>COST BASIS ADJUSTMENT</b>	<b>4</b>	<b>24</b>
<b>5</b>	<b>Total</b>	<b>5</b>	<b>8,294</b>

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Long Term CG Distributions	Short Term CG Distributions	CUSIP #
	Description of Property Sold	
	1 EXXON MOBIL CORP	30231G102
	2 EXXON MOBIL CORP	30231G102
	3 EXXON MOBIL CORP	30231G102
	4 EXXON MOBIL CORP	30231G102
	5 EXXON MOBIL CORP	30231G102
	6 GNMA POOL # 564651 7% 6/	36213UH45
	7 GNMA POOL # 564651 7% 6/	36213UH45
	8 GNMA POOL # 564651 7% 6/	36213UH45
	9 GNMA POOL # 564651 7% 6/	36213UH45
	10 GNMA POOL # 564651 7% 6/	36213UH45
	11 GNMA POOL # 564651 7% 6/	36213UH45
	12 DREYFUS GLOBAL REAL RE	0077565120
	13 ADVANSIX INC	0077565120
	14 AMERICAN EXPRESS CO	025816109
	15 APPLE INC	037833100
	16 APPLE INC	037833100
	17 APPLE INC	037833100
	18 AUTOMATIC DATA PROCESS	053015103
	19 AUTOMATIC DATA PROCESS	053015103
	20 BNY MELLON INTERNATIONAL	055698M71
	21 BNY MELLON INTERNATIONAL	055698M71
	22 BNY MELLON INTERNATIONAL	055698M71
	23 CVS HEALTH CORPORATION	126650100
	24 CVS HEALTH CORPORATION	126650100
	25 CAPITAL ONE FINANCIAL CO	14040H105
	26 CARDINAL HEALTH INC	14149Y108
	27 WALT DISNEY CO/TH	254687106
	28 DREYFUS/NEWTON INTERN	262035E85
	29 DREYFUS/NEWTON INTERN	262035E85
	30 DUKE ENERGY CORP	26441C204
	31 DUKE ENERGY CORP	26441C204
	32 DUKE ENERGY CORP	26441C204
	33 EXXON MOBIL CORP	30231G102
	34 EXXON MOBIL CORP	30231G102
	35 GNMA POOL # 564651 7% 6/	36213UH45
	36 GNMA POOL # 564651 7% 6/	36213UH45
	37 GNMA POOL # 564651 7% 6/	36213UH45
	38 GNMA POOL # 564651 7% 6/	36213UH45
	39 GNMA POOL # 564651 7% 6/	36213UH45
	40 GNMA POOL # 564651 7% 6/	36213UH45
	41 GENERAL ELECTRIC CO	369604103
	42 GENERAL ELECTRIC CO	369604103
	43 GILEAD SCIENCES INC	375558103
	44 GILEAD SCIENCES INC	375558103
	45 INTEL CORP	458140100
	46 INTEL CORP	458140100
	47 INTERNATIONAL BUSINESS	459200101
	48 INTERNATIONAL BUSINESS	459200101
	49 JP MORGAN CHASE & CO	468258100
	50 JP MORGAN CHASE & C 6 12	468258100
	51 JOHNSON & JOHNSON	478160104
	52 JOHNSON & JOHNSON	478160104
	53 KIMBERLY CLARK CORP	4943968103
	54 MERCK & CO INC	58933Y105
	55 MICROSOFT CORPORATION	594918104
	56 MICROSOFT CORPORATION	594918104
	57 MICROSOFT CORPORATION	594918104
	58 MONDELEZ INTERNATIONAL	6039207105
	59 NESTLE SA SPON ADP REPS	641069406
	60 NESTLE SA SPON ADP REPS	641069406
	61 NESTLE SA SPON ADP REPS	641069406
	62 NESTLE SA SPON ADP REPS	641069406
	63 NESTLE SA SPON ADP REPS	641069406
	64 NESTLE SA SPON ADP REPS	641069406
	65 OCCIDENTAL PETROLEUM C	6745099105
	66 ORACLE CORP	68389X105
	67 PEPSICO INC	713448108
	68 PEPSICO INC	713448108
	69 PEPSICO INC	713448108
	70 PEPSICO INC	713448108

Long Term CG Distributions	33,749
Short Term CG Distributions	0



## Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

188,624												0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	
	BNY Mellon, N A	X	P O Box 185	Pittsburgh	PA	15230-0185		TRUSTEE	SEE ATTAC	190,987	NONE	NONE	
1	BNY Mellon, N A	X	P O Box 185	Pittsburgh	PA	15230-0185		FEE	REIMBURS	-105,975	N/A	N/A	
2	J ANDREW LARK ESQ		501 Fifth Ave, Suite 708	New York,	NY	10017		CO-TRUSTEE	35 00	54,010	NONE	NONE	
3	DOROTHY A RILEY-CHEW		501 Fifth Ave, Suite 708	New York,	NY	10017		SECRETARY	35 00	49,602	NONE	NONE	
4													

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return . . . . .		1 15,370
2 First quarter estimated tax payment . . . . .	1/10/2017	2 5,870
3 Second quarter estimated tax payment . . . . .	4/10/2017	3 20,660
4 Third quarter estimated tax payment . . . . .	7/11/2017	4 8,390
5 Fourth quarter estimated tax payment . . . . .		5
6 Other payments . . . . .		6
7 Total . . . . .		7 50,290