Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning 7/1 **, 2016, and ending** 6/30 **, 20**17

Name of foundation: Greenbaum Family Foundation

A Employer identification number: 52-1761398

Number and street (or P O box number if mail is not delivered to street address): PO Box 2450 280 Main Street | Room/suite:

B Telephone number (see instructions): 603-526-6955

City or town, state or province, country, and ZIP or foreign postal code: New London NH 03257

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 807,679.00

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ________ (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	5.00	5.00		
	4	Dividends and interest from securities	10,198.00	10,198.00		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	00			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		66,892.00		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less. Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0.00			
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	10,203.00	77,095.00	0.00	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,599.00	5,599.00		5,599.00
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	9,305.00	9,305.00		9,305.00
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	303.00	303.00		303.00
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	10.00	10.00		10.00
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,217.00	15,217.00	0.00	15,217.00
	25	Contributions, gifts, grants paid	36,295.00			36,295.00
	26	**Total expenses and disbursements.** Add lines 24 and 25	51,512.00	15,217.00	0.00	51,512.00
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(41,309.00)			
	b	**Net investment income** (if negative, enter -0-)		61,878.00		
	c	**Adjusted net income** (if negative, enter -0-)			0.00	

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Form **990-PF** (2016)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,353.00	12,151.00	12,151.00
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	658,471.00	438,525.00	795,528.00
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment. basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment. basis ▶			
		Less. accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	664,824.00	450,676.00	807,679.00
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.00	0.00	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	0		
	25	Temporarily restricted	0		
	26	Permanently restricted	0		
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0		
	29	Retained earnings, accumulated income, endowment, or other funds	0		
	30	**Total net assets or fund balances** (see instructions)	664,824.00	450,676.00	
	31	**Total liabilities and net assets/fund balances** (see instructions)	664,824.00	450,676.00	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	664,824.00
2	Enter amount from Part I, line 27a	2	(41,309.00)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	623,515.00
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	623,515.00

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLIC TRADED SECURITIES (SEE ATTACHED STATEMENT)	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	176,112		109,220	66,892.00
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	66,892.00
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	40,431.00	676,110.00	0.0598
2014	34,910.00	765,187.00	0.0456
2013	19,782.00	628,922.00	0.0315
2012	74,296.00	629,411.00	0.1180
2011	14,275.00	598,466.00	0.0239

2	**Total** of line 1, column (d)	2	0.2788
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0056
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	699,375.00
5	Multiply line 4 by line 3	5	3,916.50
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	619.00
7	Add lines 5 and 6	7	4,535.50
8	Enter qualifying distributions from Part XII, line 4	8	51,112.00

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	619	00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	619	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	619	00
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	0	00
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	619	00
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0	00
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ | **Refunded** ▶	11	0	00

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ MICHAEL L. WOOD, ESQ. Telephone no. ▶ 6035266955
Located at ▶ 280 MAIN STREET, NEW LONDON, NH ZIP+4 ▶ 03257

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEVEN M GREENBAUM 500 OTTERVILLE RD NEW LONDON NH 03257	PRESIDENT/DIR	0	0	0
MICHAEL L. WOOD 280 MAIN STREET NEW LONDON, NH 03257	VICE PRES/DIR 3	0	0	0
MARCIA KRAFT 8201 LAKENHEATH WAY POTOMAC, MD 20854	TREASURER 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 MONETARY GRANTS GIVEN TO 501(c)(3)CHARITIES	
2	
3	
4	0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.00

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	695,245.00
b	Average of monthly cash balances	1b	4,130.00
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	699,375.00
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	699,375.00
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	699,375.00
6	**Minimum investment return.** Enter 5% of line 5	6	34,968.75

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	34,968.75
2a	Tax on investment income for 2016 from Part VI, line 5	2a	619.00		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	619.00
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	34,349.75
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	34,349.75
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	34,349.75

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	51,112.00
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	51,112.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	619.00
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	50,493.00

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				34,349.75
2	Undistributed income, if any, as of the end of 2016.				
a	Enter amount for 2015 only			0	
b	Total for prior years. 20____,20____,20____		0		
3	Excess distributions carryover, if any, to 2016:				
a	From 2011 ... 0				
b	From 2012 ... 0				
c	From 2013 ... 0				
d	From 2014 ... 0				
e	From 2015 ... 21,398.00				
f	**Total** of lines 3a through e	21,398.00			
4	Qualifying distributions for 2016 from Part XII, line 4. ▶ $ 51,112.00				
a	Applied to 2015, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2016 distributable amount				34,349.75
e	Remaining amount distributed out of corpus	16,762.25			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	38,160.25			
b	Prior years' undistributed income Subtract line 4b from line 2b		0.00		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b Taxable amount—see instructions		0.00		
e	Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0.00	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.00
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	38,160.25			
10	Analysis of line 9				
a	Excess from 2012				
b	Excess from 2013				
c	Excess from 2014				
d	Excess from 2015 ... 21,398.00				
e	Excess from 2016 ... 16,762.25				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b) 2015**	**(c) 2014**	**(d) 2013**	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0.00
b 85% of line 2a	0.00	0.00	0.00	0.00	0.00
c Qualifying distributions from Part XII, line 4 for each year listed					0.00
d Amounts included in line 2c not used directly for active conduct of exempt activities					0.00
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0.00	0.00	0.00	0.00	0.00
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0.00
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.00
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0.00
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.00
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.00
(3) Largest amount of support from an exempt organization					0.00
(4) Gross investment income					0.00

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Marcia Kraft 8201 Lakeheath Way, Potomac MD 20854

b The form in which applications should be submitted and information and materials they should include:

General request letter, include all relevent information

c Any submission deadlines:

none

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

none

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED LIST				36,295.00
Total ▶ **3a**				36,295.00
b *Approved for future payment*				
				0
Total ▶ **3b**				0.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	10,198.00	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.00		10,198.00	0.00

13 Total. Add line 12, columns (b), (d), and (e) 13 10,198.00

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	not applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
[signature]	9.28.17	Vice President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶	Firm's EIN ▶
Firm's address ▶	Phone no

Greenbaum Family Foundation 52-1761398

PART XV - Supplementary Information

Grants and Contributions Paid During the Year (Continuation)

Recipient/ Address	Status	Purpose of Contribution		Amount
Geneva Presbyterian Church 11931 Seven Lock Road Potomac, MD 20854	Sec 501(C)(3)	Charitable Contribution/Grant	$	400.00
ASLPT PO Box 2040 New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$	1,000.00
Casey House-Montgomery Hospice 6001 Muncaster Mill Road Rockville, MD 20855	Sec 501(C)(3)	Charitable Contribution/Grant	$	200.00
Pan Mass Challenge 77 4th Avenue Needham, MA 02494	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00
The B+ Foundation 101 Rockland Circle Wilmington, DE 19803	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00
Sports Boosters of MD 1 Emerald Ridge Ct. Baltimore, MA 21209	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00
Johns Hopkins Children's Fund 3400 North Charles Street Baltimore, MD 21218	Sec 501(C)(3)	Charitable Contribution/Grant	$	1,000.00
Mt. Washington Pediatrics 1708 W. Rogers Ave. Baltimore, MD 21209	Sec 501(C)(3)	Charitable Contribution/Grant	$	3,250.00
NH Granite State Ambassadors 241 Pine Street, Suite 1 Manchester, NH 03103	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00

Sikh Human Dev. 15129 Winesap Drive North Potomac, MD 20878	Sec 501(C)(3)	Charitable Contribution/Grant	$	550.00
Good Shepherd Services 4100 Maple Avenue Baltimore, MD 21227	Sec 501(C)(3)	Charitable Contribution/Grant	$	1,000.00
Jemicy Fund 11 Celadon Road Owing Mills, MD 21117	Sec 501(C)(3)	Charitable Contribution/Grant	$	2,000.00
The Clever Kids Foundation 7 Picasso Court Baltimore, MD 21208	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00
Cody's Cause 875 Meadow Lake Lane, PO Box 773 Hardinsburg, KY 40143	Sec 501(C)(3)	Charitable Contribution/Grant	$	360.00
FARE 7925 Jones Branch Dr Mclean, VA 22102-5303	Sec 501(C)(3)	Charitable Contribution/Grant	$	1,000.00
Lake Sunapee Region VNA 107 Newport Road New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$	1,000.00
Ladarius Webb Foundation 1122 Kenilworth Drive, Ste. 307 Towson, MD 21204	Sec 501(C)(3)	Charitable Contribution/Grant	$	375.00
Carl Woolford Foundation PO Box 191 Whiteford, MD 21160	Sec 501(C)(3)	Charitable Contribution/Grant	$	350.00
St. Andrews Episcopal Church 852 Gould Road New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$	500.00
Elkins Fish & Game Club PO Box 3 Elkins, NH 03233	Sec 501(C)(3)	Charitable Contribution/Grant	$	3,000.00
KLS Community Food Pantry, Inc. PO Box 536 New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$	3,000.00

New London Barn Playhouse 84 Main Street New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
Kennedy Kreiger 707 N. Broadway Baltimore, MD 21205	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
New London Historical Society PO Box 965 New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
New London Hospital 273 County Road New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
Proloque Foundation, Inc. 3 Milford Mill Road Baltimore, MD 21208	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
Camp Puhtok 17433 Big Falls Road Monkton, MD 21111	Sec 501(C)(3)	Charitable Contribution/Grant	$ 2,750.00
Tracy Memorial Library 304 Main Street New London, NH 03257	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
Etz Chaim 1190 Indian Hills Parkway Marietta, GA 30068	Sec 501(C)(3)	Charitable Contribution/Grant	$ 360.00
Child Help USA 23164 Dragoon Road Lignum, VA 22726	Sec 501(C)(3)	Charitable Contribution/Grant	$ 400.00
Susie's Cause - Colon Cancer 201 North Charles Street, Suite 2404 Baltimore, MD 21201	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,050.00
The Writer's Center 4508 Walsh Street Bethesda, MD 20815	Sec 501(C)(3)	Charitable Contribution/Grant	$ 500.00

TBW Charities PO Box 587 Bel Air, MD 21014	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,500.00
BCAC 2300 N. Charles St 4th Floor Baltimore, MD 21218	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,250.00
Muscular Dystrophy Association 850 LaSalle Road Suite 106 Towson, MD 21286	Sec 501(C)(3)	Charitable Contribution/Grant	$ 1,000.00
			$ 36,295.00

Activity

Securities Bought & Sold

	Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s	07/01	**TOLL BROTHERS INC COM** TRD EXECUTED BY GSCO	889478103	You Sold *Long-term gain: $260.79 refer to confirm for Lot detail*	-36.000	$26.09860	$678 37	-	$939.16
s	07/05	**TOLL BROTHERS INC COM** TRD EXECUTED BY GSCO	889478103	You Sold *Long-term gain: $594.74 refer to confirm for Lot detail*	-77.000	26.57800	1,450.95	-	2,045.69
s	07/06	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $52.80 refer to confirm for Lot detail*	-35.000	35 05680	1,174.16	-0.03	1,226 96
s	07/06	**TOLL BROTHERS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	889478103	You Sold *Long-term gain: $2,938.35 refer to confirm for Lot detail*	-362.000	26.75820	6,747.90	-0.22	9,686.25
s	07/14	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $193.93 refer to confirm for Lot detail*	-105.000	35.26710	3,509 03	-0.09	3,702.96
s	07/19	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $521.68 refer to confirm for Lot detail*	-194.000	35 44970	6,355.41	-0 15	6,877.09
s	07/20	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 TRD EXECUTED BY MLCO	H01531104	You Sold *Long-term gain: $527.61 refer to confirm for Lot detail*	-111.000	35.64000	3,426.12	-	3,953.73
s	07/20	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $216.92 refer to confirm for Lot detail*	-45.000	35.64000	1,386.84	-0.04	1,603.76
s	07/21	**TOLL BROTHERS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	889478103	You Sold *Long-term gain: $357.44 refer to confirm for Lot detail*	-37.000	27 70560	667.64	-0 03	1,025.08

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INVESTMENT REPORT
July 1, 2016 - July 31, 2016

Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 07/25	**TOLL BROTHERS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	889478103	You Sold *Long-term gain: $726.10* *refer to confirm for Lot detail*	-73.000	27.98570	1,316 81	-0 05	2,042.91
Total Securities Sold						$26,713.23	-$0.61	$33,103.59
Net Securities Bought & Sold							**-$0.61**	**$33,103.59**

Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
08/03	**STRAYER EDUCATION INC** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Bought	80.000	$45 60310		-	-$3,648.25
s 08/08	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $1,162 57* *refer to confirm for Lot detail*	-114 000	40.62740	3,468 84	-0.11	4,631.41
08/08	**STRAYER EDUCATION INC** AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Bought	37.000	46 06170		-	-1,704 28
08/12	**STRAYER EDUCATION INC** AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Bought	23.000	46.85000		-	-1,077 55
Total Securities Bought						-	-	-$6,430 08
Total Securities Sold						$3,468.84	-$0.11	$4,631 41
Net Securities Bought & Sold							**-$0.11**	**-$1,798.67**

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 09/01	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $290.22 refer to confirm for Lot detail*	-28 000	$40.36500	$839.97	-$0 03	$1,130.19

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 09/02	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $2,283.15 refer to confirm for Lot detail*	-216.000	40.48580	6,461.58	-0 20	8,744.73
s 09/06	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $353.78 refer to confirm for Lot detail*	-33.000	40.55780	984.60	-0.03	1,338.38
s 09/08	**ALLIED WORLD ASSURANCE CO HLDGS** ISIN #CH0121032772 SEDOL #B41DZ88 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	H01531104	You Sold *Long-term gain: $1,082.95 refer to confirm for Lot detail*	-99.000	40.50109	2,926.57	-0.09	4,009.52
s t 09/27	**ARCH CAPITAL GROUP COM STK USD0.01**	G0450A105	You Sold *Long-term gain: $921.31 refer to confirm for Lot detail*	-16 000	81.48000	382.34	-0 03	1,303.65
s 09/27	**CUMMINS INC**	231021106	You Sold *Short-term gain: $47.62 refer to confirm for Lot detail*	-5.000	121.88100	561.77	-0 02	609.39
s 09/27	**GOLDMAN SACHS GROUP INC**	38141G104	You Sold *Long-term gain: $34.99 refer to confirm for Lot detail*	-5 000	168.69130	808 45	-0.02	843.44
s 09/27	**MASTERCARD INC CL A**	57636Q104	You Sold *Long-term gain: $618.60 refer to confirm for Lot detail*	-10 000	101.99350	401.31	-0 03	1,019.91
s t 09/27	**MOODYS CORP**	615369105	You Sold *Short-term gain: $315.33 refer to confirm for Lot detail*	-10.000	110 18420	786.48	-0 03	1,101.81
s 09/27	**VISA INC COM CL A**	92826C839	You Sold *Long-term gain: $153.78 refer to confirm for Lot detail*	-5.000	83.50350	263.73	-0 01	417.51
s 09/27	**WELLS FARGO & CO NEW**	949746101	You Sold *Long-term gain: $160.44 refer to confirm for Lot detail*	-10.000	45.86010	298.15 c	-0 01	458 59

INVESTMENT REPORT
September 1, 2016 - September 30, 2016

Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s t 09/27	**YUM BRANDS INC**	988498101	You Sold *Long-term gain: $982.32* *refer to confirm for Lot detail*	-15 000	90.37500	373 28	-0 03	1,355.60
Total Securities Sold						$15,088 23	-$0 53	$22,332.72
Net Securities Bought & Sold							**-$0.53**	**$22,332.72**

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 10/13	**WELLS FARGO & CO NEW** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain: $1,602.45 refer to confirm for Lot detail*	-104 000	$45.22420	$3,100.76c	-$0.11	$4,703.21
s 10/27	**WELLS FARGO & CO NEW** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain· $1,635.37 refer to confirm for Lot detail*	-104 000	45.54080	3,100.76c	-0.11	4,736 13
Total Securities Sold						$6,201.52	-$0.22	$9,439.34

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Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
11/01	**CHIPOTLE MEXICAN GRILL INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	169656105	You Bought	25 000	$364 31870		-	-$9,107.97
11/01	**N V R INC EXEC ON MULT EXCHG** DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	62944T105	You Bought	8.000	1,520.88000		-	-12,167.04
s 11/16	**WELLS FARGO &COMPANY COM USD1.666** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain: $1,144.54 refer to confirm for Lot detail*	-55 000	50.62610	1,639 83 c	-0 07	2,784.37
s t 11/18	**YUM CHINA HLDGS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	98850P109	You Sold *Long-term gain: $3,050.07 refer to confirm for Lot detail*	-161.000	26.44680	1,207 76	-0 10	4,257.83
s t 11/21	**YUM CHINA HLDGS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	98850P109	You Sold *Long-term gain: $5,723.67 refer to confirm for Lot detail*	-290.000	27.23900	2,175.46	-0.18	7,899 13
Total Securities Bought						-	-	-$21,275 01
Total Securities Sold						$5,023 05	-$0.35	$14,941.33
Net Securities Bought & Sold							**-$0.35**	**-$6,333.68**

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Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
12/13	**CHIPOTLE MEXICAN GRILL INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	169656105	You Bought	9 000	$372 60100		-	-$3,353 41
s t 12/20	**ARCH CAPITAL GROUP COM STK USD0.01**	G0450A105	You Sold *Long-term gain: $755.24* *refer to confirm for Lot detail*	-12 000	86.83600	286.76	-0 03	1,042 00
s 12/20	**CUMMINS INC**	231021106	You Sold *Long-term gain: $132.72* *refer to confirm for Lot detail*	-5.000	138 90200	561.77	-0.02	694.49
s 12/20	**DEERE & COMPANY**	244199105	You Sold *Long-term gain: $88.53* *refer to confirm for Lot detail*	-5.000	101 30000	417.95	-0 02	506.48
s t 12/20	**FASTENAL CO**	311900104	You Sold *Long-term gain: $80.71* *refer to confirm for Lot detail*	-10.000	48 41350	403.41	-0.02	484.12
s 12/20	**GOLDMAN SACHS GROUP INC**	38141G104	You Sold *Long-term gain: $410.36* *refer to confirm for Lot detail*	-5.000	243.76920	808.46	-0 03	1,218.82
s 12/20	**MASTERCARD INCORPORATED CL A**	57636Q104	You Sold *Long-term gain: $321.61* *refer to confirm for Lot detail*	-5.000	104 45800	200.66	-0.02	522.27
s t 12/20	**MOODYS CORP**	615369105	You Sold *Short-term gain: $91.30* *refer to confirm for Lot detail*	-5.000	96.91200	393.24	-0 02	484.54
s 12/20	**STRAYER EDUCATION INC**	863236105	You Sold *Long-term gain: $108.30* *refer to confirm for Lot detail*	-5 000	80.95850	296.48	-0.01	404.78
s 12/20	**WELLS FARGO &COMPANY COM USD1.666**	949746101	You Sold *Long-term gain: $230.28* *refer to confirm for Lot detail*	-9.000	55 40350	268.33 c	-0.02	498.61
s t 12/20	**YUM BRANDS INC**	988498101	You Sold *Long-term gain: $464.09* *refer to confirm for Lot detail*	-10.000	63.79350	173.83	-0.02	637.92
s t 12/20	**YUM CHINA HLDGS INC COM**	98850P109	You Sold *Long-term gain: $75.88* *refer to confirm for Lot detail*	-4.000	26 47400	30 01	-0 01	105.89

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INVESTMENT REPORT
December 1, 2016 - December 31, 2016

Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
Total Securities Bought						-	-	-$3,353.41
Total Securities Sold						$3,840.90	-$0.22	$6,599.92
Net Securities Bought & Sold							**-$0.22**	**$3,246.51**

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 01/17	**STRAYER EDUCATION INC** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Sold *Long-term gain: $412.82 refer to confirm for Lot detail*	-18.000	$82.23290	$1,067.33	-$0.04	$1,480.15
s t 01/20	**YUM CHINA HLDGS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	98850P109	You Sold *Long-term gain: $787.11 refer to confirm for Lot detail*	-41.000	26.70000	307.56	-0.03	1,094.67
s 01/26	**STRAYER EDUCATION INC** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Sold *Long-term gain: $609.14 refer to confirm for Lot detail*	-28.000	81 04240	1,660.00	-0.05	2,269.14
s t 01/31	**YUM CHINA HLDGS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	98850P109	You Sold *Long-term gain: $3,412.26 refer to confirm for Lot detail*	-165.000	28.18260	1,237.76	-0.11	4,650.02
Total Securities Sold						$4,272.65	-$0.23	$9,493.98
Net Securities Bought & Sold							**-$0.23**	**$9,493.98**

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Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 02/07	**YUM CHINA HLDGS INC COM** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	98850P109	You Sold *Long-term gain: $1,972.29 refer to confirm for Lot detail*	-94.000	$28.48400	$705.15	-$0.06	$2,677.44
s 02/21	**WELLS FARGO &COMPANY COM USD1.666** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain: $5,921.83 refer to confirm for Lot detail*	-205.000	58.70330	6,112.08c	-0.27	12,033.91

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Fidelity INVESTMENTS

Account # 646-211923
CORPORATION

Activity

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 02/28	**WELLS FARGO &COMPANY COM USD1.666** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain: $2,835.11 refer to confirm for Lot detail*	-99.000	58.45370	2,951.68c	-0.13	5,786.79
Total Securities Sold						$9,768.91	-$0.46	$20,498.14
Net Securities Bought & Sold							**-$0.46**	**$20,498.14**

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 03/06	**WELLS FARGO &COMPANY COM USD1.666** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	949746101	You Sold *Long-term gain: $11,540.50* *refer to confirm for Lot detail*	-354.000	$59 85500	$9,647.70	-$0.47	$21,188.20
s 03/22	**STRAYER EDUCATION INC** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	863236105	You Sold *Long-term gain: $1,293.70* *refer to confirm for Lot detail*	-60.000	80.63130	3,544 07	-0.11	4,837.77
s t 03/28	**ARCH CAPITAL GROUP COM STK USD0.01**	G0450A105	You Sold *Long-term gain: $1,464.43* *refer to confirm for Lot detail*	-21.000	93.63400	501 83	-0.05	1,966.26

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 03/28	**CUMMINS INC**	231021106	You Sold *Long-term gain: $277.43* *refer to confirm for Lot detail*	-7.000	151.99150	786.48	-0.03	1,063.91
s 03/28	**DEERE & COMPANY EX-DIV DATE 03/29/17** RECORD DATE 03/31/17 PAYABLE DTE 05/01/17	244199105	You Sold *Long-term gain: $231.95* *refer to confirm for Lot detail*	-9.000	109.36520	752.31	-0.03	984.26
s t 03/28	**FASTENAL CO**	311900104	You Sold *Long-term gain: $214.23* *refer to confirm for Lot detail*	-20.000	51.05400	806.82	-0.03	1,021.05
s 03/28	**GOLDMAN SACHS GROUP INC**	38141G104	You Sold *Long-term gain: $421.59* *refer to confirm for Lot detail*	-6.000	231.95200	970.08	-0.04	1,391.67
s 03/28	**MASTERCARD INCORPORATED CL A**	57636Q104	You Sold *Long-term gain: $569.79* *refer to confirm for Lot detail*	-8.000	111 35760	321.05	-0 02	890 84
s t 03/28	**MOODYS CORP**	615369105	You Sold *Long-term gain: $499.19* *refer to confirm for Lot detail*	-15.000	111.93050	1,179 73	-0.04	1,678.92
s 03/28	**VISA INC COM CL A**	92826C839	You Sold *Long-term gain: $181.64* *refer to confirm for Lot detail*	-5.000	89.07500	263.73	-0.01	445 37
s t 03/28	**YUM BRANDS INC**	988498101	You Sold *Long-term gain: $778.87* *refer to confirm for Lot detail*	-17.000	63.20100	295.52	-0.03	1,074.39
Total Securities Sold						$19,069.32	-$0 86	$36,542.64
Net Securities Bought & Sold							**-$0.86**	**$36,542.64**

Activity

Securities Bought & Sold

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s t 05/02	**ARCH CAPITAL GROUP COM STK USD0.01**	G0450A105	You Sold *Long-term gain: $813.04* *refer to confirm for Lot detail*	-11.000	$97.81160	$262.86	-$0.03	$1,075.90
s 05/02	**CHIPOTLE MEXICAN GRILL INC COM**	169656105	You Sold *Short-term gain: $66.21* *refer to confirm for Lot detail*	-1.000	485.09790	418.87	-0.02	485.08
s 05/02	**CUMMINS INC**	231021106	You Sold *Long-term gain: $117.49* *refer to confirm for Lot detail*	-3.000	151.52000	337 06	-0.01	454 55
s 05/02	**DEERE & COMPANY**	244199105	You Sold *Long-term gain: $137.12* *refer to confirm for Lot detail*	-5.000	111.01890	417.95	-0.02	555.07

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Activity

Securities Bought & Sold (continued)

Settlement Date	Security Name	Symbol/ CUSIP	Description	Quantity	Price	Total Cost Basis	Transaction Cost	Amount
s 05/02	**MASTERCARD INCORPORATED CL A**	57636Q104	You Sold *Long-term gain: $304.13* *refer to confirm for Lot detail*	-4.000	116.17000	160.53	-0 02	464.66
s t 05/02	**MOODYS CORP**	615369105	You Sold *Long-term gain: $403.35* *refer to confirm for Lot detail*	-10.000	118.98640	786.48	-0.03	1,189 83
s 05/02	**STRAYER EDUCATION INC**	863236105	You Sold *Long-term gain: $85.57* *refer to confirm for Lot detail*	-3.000	87.48210	176.87	-0.01	262.44
s 05/02	**UNION PACIFIC CORP**	907818108	You Sold *Long-term gain: $193.03* *refer to confirm for Lot detail*	-5.000	113.35020	373.70	-0.02	566 73
s 05/02	**VISA INC COM CL A**	92826C839	You Sold *Long-term gain: $193.83* *refer to confirm for Lot detail*	-5.000	91.51370	263.73	-0.01	457.56
s t 05/02	**YUM BRANDS INC**	988498101	You Sold *Long-term gain: $442.06* *refer to confirm for Lot detail*	-9.000	66.50370	156.45	-0 02	598 51
05/30	**AUTOZONE INC NEV** EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST	053332102	You Bought	25.000	592.79690		-	-14,819.92
Total Securities Bought						-	-	-$14,819 92
Total Securities Sold						$3,354.50	-$0 19	$6,110.33
Net Securities Bought & Sold							**-$0.19**	**-$8,709.59**

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