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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning 7/01, 2016, and ending 6/30, 2017

L.R. BAUMAN FOUNDATION, INC.
C/O LEAF 310 PASSAIC AVE
FAIRFIELD, NJ 07004A Employer identification number
47-1312674B Telephone number (see instructions)
202 328-2040C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

▶ \$ 21,976,515. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2,000,000.

2 Check ☐ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

61,988.

61,988.

N/A

4 Dividends and interest from securities

446,316.

446,316.

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

748,281.

b Gross sales price for all assets on line 6a 21,512,182.

7 Capital gain net income (from Part IV, line 2)

748,281.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total Add lines 1 through 11

3,256,585.

1,256,585.

13 Compensation of officers, directors, trustees, etc.

347,300.

158,650.

158,650.

14 Other employee salaries and wages

15 Pension plans, employee benefits

25,000.

12,500.

12,500.

16a Legal fees (attach schedule) SEE ST 1

8,138.

4,069.

4,069.

b Accounting fees (attach sch) SEE ST 2

18,000.

9,000.

9,000.

c Other professional fees (attach sch) SEE ST 3

128,333.

128,333.

17 Interest

18 Taxes (attach schedule)(see instrs) SEE STM 4

34,042.

10,521.

10,521.

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 5

1,515.

1,515.

24 Total operating and administrative expenses Add lines 13 through 23

562,328.

323,073.

196,255.

25 Contributions, gifts, grants paid PART XV

1,008,600.

1,008,600.

26 Total expenses and disbursements Add lines 24 and 25

1,570,928.

323,073.

1,204,855.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

1,685,657.

b Net investment income (if negative, enter -0-)

933,512.

c Adjusted net income (if negative, enter -0-)

28

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	168,911.	900,110.	900,111.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	18,585,158.	19,539,616.	21,076,404.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)	18,754,069.	20,439,726.	21,976,515.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	18,754,069.	20,439,726.	
	30 Total net assets or fund balances (see instructions)	18,754,069.	20,439,726.	
31 Total liabilities and net assets/fund balances (see instructions)	18,754,069.	20,439,726.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,754,069.
2 Enter amount from Part I, line 27a	2	1,685,657.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	20,439,726.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	20,439,726.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a CAPITAL GAIN DISTRIBUTIONS—GOLDMAN SACHS		P	VARIOUS	VARIOUS
b GOLDMAN SACHS—SEE ATTACHED		P	VARIOUS	VARIOUS
c UBS—SEE ATTACHED		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,724.			1,724.
b 1,705,093.		1,738,769.	-33,676.
c 19,805,365.		19,025,132.	780,233.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,724.
b			-33,676.
c			780,233.
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	748,281.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 	3	748,281.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,016,306.	15,497,901.	0.065577
2014	83,900.	9,986,468.	0.008401
2013			
2012			
2011			

2 Total of line 1, column (d)	2	0.073978
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.036989
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	20,669,202.
5 Multiply line 4 by line 3	5	764,533.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,335.
7 Add lines 5 and 6	7	773,868.
8 Enter qualifying distributions from Part XII, line 4	8	1,204,855.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter. (attach copy of letter if necessary – see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 9,335.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 9,335.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5 9,335.
6 Credits/Payments:		
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a 25,177.	
b Exempt foreign organizations – tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7 25,177.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 15,842.	
11 Enter the amount of line 10 to be. Credited to 2017 estimated tax 15,842. Refunded	11 0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XIV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Form 990-PF (2016)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>L.R. BAUMAN FOUNDATION</u> Telephone no <u>202 328-2040</u> Located at <u>2040 S STREET WASHINGTON DC</u> ZIP + 4 <u>20009-1110</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u>N/A</u>		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		317,300.	30,000.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS FINANCIAL SERVICES INC 1285 AVENUE OF THE AMERICAS NEW YORK, NY 10019	INVESTMENT ADVISORY	79,674.
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	<u>N/A</u>	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1		
N/A		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

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Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	20,776,722.
b	Average of monthly cash balances	1b	207,239.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	20,983,961.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,983,961.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	314,759.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,669,202.
6	Minimum investment return. Enter 5% of line 5	6	1,033,460.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,033,460.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	9,335.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,335.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,024,125.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,024,125.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,024,125.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	1,204,855.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,204,855.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	9,335.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,195,520.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,024,125.
2 Undistributed income, if any, as of the end of 2016.				
a Enter amount for 2015 only			166,479.	
b Total for prior years. 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 1,204,855.				
a Applied to 2015, but not more than line 2a			166,479.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2016 distributable amount				1,024,125.
e Remaining amount distributed out of corpus	14,251.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	14,251.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	14,251.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	14,251.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED	CHARITABLE	EXEMPT		1,008,600.
Total			3 a	1,008,600.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	61,988.	
4	Dividends and interest from securities			14	446,316.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	748,281.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,256,585.	
13	Total. Add line 12, columns (b), (d), and (e)				13	1,256,585.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

L.R. BAUMAN FOUNDATION, INC.

Employer identification number

47-1312674

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

L.R. BAUMAN FOUNDATION, INC.

47-1312674

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BAUMAN FAMILY FOUNDATION, INC 2040 S STREET, NW WASHINGTON, DC 20009-1110	\$ 2,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

47-1312674

Part II

[illegible]

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

L.R. BAUMAN FOUNDATION, INC.

Employer identification number

47-1312674

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) \$ _____ N/A
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
-----	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee		
-----	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee		
-----	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee		
-----	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee		
-----	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee		

2016

FEDERAL STATEMENTS

PAGE 1

CLIENT LRBAUMAN

L.R. BAUMAN FOUNDATION, INC.

47-1312674

10/05/17

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STATEMENT 1
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KAPLAN LAW GROUP PC	\$ 8,138.	\$ 4,069.		\$ 4,069.
TOTAL	<u>\$ 8,138.</u>	<u>\$ 4,069.</u>		<u>\$ 4,069.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEAF MIELE ET AL CPA'S	\$ 18,000.	\$ 9,000.		\$ 9,000.
TOTAL	<u>\$ 18,000.</u>	<u>\$ 9,000.</u>		<u>\$ 9,000.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOLDMAN SACHS MANAGEMENT FEES	\$ 48,659.	\$ 48,659.		
UBS MANAGEMENT FEES	79,674.	79,674.		
TOTAL	<u>\$ 128,333.</u>	<u>\$ 128,333.</u>		<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL ESTIMATED TAXES PAID FYE 6/30/17	\$ 13,000.			
PAYROLL TAXES	21,042.	\$ 10,521.		\$ 10,521.
TOTAL	<u>\$ 34,042.</u>	<u>\$ 10,521.</u>		<u>\$ 10,521.</u>

2016

FEDERAL STATEMENTS

PAGE 2

CLIENT LRBAUMAN

L.R. BAUMAN FOUNDATION, INC.

47-1312674

10/05/17

01:10PM

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	\$ 1,515.			\$ 1,515.
TOTAL	\$ 1,515.	\$ 0.		\$ 1,515.

STATEMENT 6
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
PATRICIA BAUMAN 2040 S STREET, NW - C/O OFFICE WASHINGTON, DC 20009-1110	PRESIDENT 40.00	\$ 200,000.	\$ 30,000.	\$ 0.
JOHN LANDRUM BRYANT 2040 S STREET, NW - C/O OFFICE WASHINGTON, DC 20009-1110	VICE PRESIDENT 40.00	117,300.	0.	0.
REV. MSGR. KEVIN W. IRWIN 2040 S STREET, NW - C/O OFFICE WASHINGTON, DC 20009-1110	TRUSTEE 0	0.	0.	0.
REV. WALTER G. LEWIS 2040 S STREET, NW - C/O OFFICE WASHINGTON, DC 20009-1110	TRUSTEE 0	0.	0.	0.
LAWRENCE LEAF 2040 S STREET, NW - C/O OFFICE WASHINGTON, DC 20009-1110	TRUSTEE 0	0.	0.	0.
TOTAL		\$ 317,300.	\$ 30,000.	\$ 0.



THE L. R. BAUMAN FOUNDATION

Jewett House · 2040 S Street · Washington, D.C. 20009-1110
202-328-2040 · FAX 202-328-2003

Grantee List

Grants committed July 1, 2016 – June 30, 2017

The Brennan Center for Justice
General Support
\$250,000

Earth Day Network
General Support
\$3,000

Montefiore
General Support
\$250,000

National Sleep Research Institute
General Support
\$45,000

New Venture Fund Creation Care Fund
General Support
\$123,600

State Innovation Exchange
General Support
\$30,000

Sustainable Tompkins Inc.
Dish Truck Project
2,000

The New Press
General Support
\$15,000

Wellstone Action
*Developing the Next Generation of Data and
Digital Practitioners Project*
\$40,000

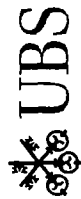
Working America Education Fund
General Support
\$250,000

TOTAL: \$1,008,600

form 990 pf cost fmV

L.R. BAUMAN FOUNDATION, INC.
FORM 990 PF PART II, LINE 10B INVESTMENTS
FOR YEAR ENDING JUNE 30, 2017

		<u>COST</u>	<u>FMV</u>
Golub Capital		<u>1,400,000</u>	<u>1,400,000</u>
UBS			
Notes (Formerly IA Passive)	37298	1,050,000	1,050,650
Kayne SMID	37296	675,935	715,463
Blackrock (Formerly Brokerage Acct)	37299	3,709,451	3,761,358
Yes Acct -options	37456	(80,760)	(88,344)
London	37644	949,594	985,303
Loomis	37645	944,674	1,079,025
Core Eq QGARP	37646	1,803,241	1,938,992
Uniplan	37647	788,375	784,813
MF/ETF	37648	3,117,470	4,016,429
Cambiar	37649	1,191,772	1,251,668
Capital Group	37650	1,431,408	1,604,965
Bond Funds	37829	2,558,456	2,576,082
Total UBS		<u>18,139,616</u>	<u>19,676,404</u>
Grand Total		<u>19,539,616</u>	<u>21,076,404</u>



Business Services Account
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Notes
Account number: Y1 37298 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	4,809.91	0.00					
UBS BANK USA BUS ACCT	0.00	164,484.91					250,000.00
Total	\$4,809.91	\$164,484.91					

Equities

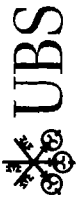
Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CREDIT SUISSE AG L/O TC-CYN RTY SPX SX5E 08/05/2019 Exchange: OTC	Jan 27, 17	10,000.000	10.000	100,000.00	10.040	100,400.00	400.00	ST
CREDIT SUISSE AG L/O TC-CYN RTY SPX SX5E 09/03/2019 Exchange: OTC	Feb 24, 17	10,000.000	10.000	100,000.00	10.000	100,000.00		ST

continued next page



Business Services Account
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Notes
Account number: Y1 37298 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets ▸ **Equities ▸ Structured products** (continued)

Holding	Trade date	Quantity	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
JPMORGAN CHASE FINANCIAL L/O TC-CYN RTY SPX SX5E 10/15/2019								
Exchange OTC	Apr 7, 17	15,000,000	10 000	150,000 00	10 040	150,600 00	600 00	ST
BARCLAYS BANK PLC L/O TA-CYN RTY SPX 02/13/2020								
Exchange OTC	Feb 10, 17	10,000 000	10 000	100,000 00	10 100	101,000 00	1,000 00	ST
BARCLAYS BANK PLC L/O TA-CYN RTY SPX 04/17/2020								
Exchange OTC	Apr 13, 17	15,000,000	10 000	150,000 00	10 190	152,850 00	2,850 00	ST
CREDIT SUISSE AG L/O TC-CYN RTY SPX SX5E 05/18/2020								
Exchange OTC	May 10, 17	15,000 000	10 000	150,000 00	9 830	147,450 00	-2,550 00	ST
CREDIT SUISSE AG L/O TC-CYN RTY SPX SX5E 06/05/2020								
Exchange OTC	May 26, 17	15,000,000	10 000	150,000 00	9 840	147,600 00	-2,400 00	ST
BARCLAYS BANK PLC L/O TA-CYN RTY SPX 06/19/2020								
Exchange OTC	Jun 16, 17	15,000 000	10 000	150,000 00	10 050	150,750 00	750 00	ST
Total				\$1,050,000.00		\$1,050,650.00	\$650.00	

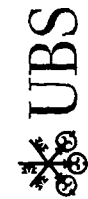
Your total assets

	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	164,484.91	13.54%	164,484.91		
Equities	1,050,650.00	86.46%	1,050,000.00		650.00
Total	\$1,215,134.91	100.00%	\$1,214,484.91		\$650.00

ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Kayne SMID
Account number: Y1 37296 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140



Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	18,146.53	42,427.46					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ANSYS INC								
Symbol ANSS Exchange OTC	Feb 1, 17	75,000	92.959	6,971.99	121.680	9,126.00	2,154.01	ST
	Feb 2, 17	33,000	93.760	3,094.08	121.680	4,015.44	921.36	ST
Security total		108,000	93.204	10,066.07		13,141.44	3,075.37	
ASPEN TECHNOLOGY INC DEL								
CHANGE IN STATE OF INC								
Symbol AZPN Exchange OTC	Feb 1, 17	447,000	53.466	23,899.61	55.260	24,701.22	801.61	ST
	Feb 2, 17	76,000	54.326	4,128.79	55.260	4,199.76	70.97	ST
Security total		523,000	53.592	28,028.40		28,900.98	872.58	
BANK OF THE OZARKS INC								
Symbol OZRK Exchange OTC	Feb 1, 17	260,000	54.601	14,196.26	46.870	12,186.20	-2,010.06	ST
EAI \$264 Current yield 1.49%	Feb 2, 17	117,000	53.696	6,282.46	46.870	5,483.79	-798.67	ST
Security total		377,000	54.320	20,478.72		17,669.99	-2,808.73	

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Kayne SMID
Account number: Y1 37296 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
BERKLEY W R CORP								
Symbol WRB Exchange NYSE								
EAI \$210 Current yield 0.81%	Feb 1, 17	258 000	66.956	17,274.85	69.170	17,845.86	571.01	ST
	Feb 2, 17	117 000	67.668	7,917.23	69.170	8,092.89	175.66	ST
Security total		375 000	67.179	25,192.08		25,938.75	746.67	
CARLSLE COS INC								
Symbol CSL Exchange NYSE								
EAI \$281 Current yield 1.47%	Feb 1, 17	139 000	109.445	15,212.86	95.400	13,260.60	-1,952.26	ST
	Feb 2, 17	62 000	107.806	6,684.03	95.400	5,914.80	-769.23	ST
Security total		201 000	108.940	21,896.89		19,175.40	-2,721.49	
CDW CORP								
Symbol CDW Exchange OTC								
EAI \$343 Current yield 1.02%	Feb 1, 17	369 000	52.827	19,493.27	62.530	23,073.57	3,580.30	ST
	Feb 2, 17	167 000	52.792	8,816.32	62.530	10,442.51	1,626.19	ST
Security total		536 000	52.816	28,309.59		33,516.08	5,206.49	
CHURCH & DWIGHT CO INC								
Symbol CHD Exchange NYSE								
EAI \$225 Current yield 1.47%	Feb 1, 17	296 000	45.266	13,398.80	51.880	15,356.48	1,957.68	ST
COOPER COMPANIES INC NEW								
Symbol COO Exchange NYSE								
EAI \$10 Current yield 0.03%	Feb 1, 17	110 000	185.259	20,378.49	239.420	26,336.20	5,957.71	ST
	Feb 2, 17	49 000	186.017	9,114.86	239.420	11,731.58	2,616.72	ST
Security total		159 000	185.493	29,493.35		38,067.78	8,574.43	
CORE LABORATORIES N V EUR								
Symbol CLB Exchange NYSE								
EAI \$378 Current yield 2.17%	Feb 1, 17	118 000	116.891	13,793.21	101.270	11,949.86	-1,843.35	ST
EUR Exchange rate 0.87677	Feb 2, 17	54 000	117.829	6,362.79	101.270	5,468.58	-894.21	ST
Security total		172 000	117.186	20,156.00		17,418.44	-2,737.56	
COTIVITI HLDGS INC								
Symbol COTV Exchange NYSE								
	Mar 24, 17	379 000	39.975	15,150.68	37.140	14,076.06	-1,074.62	ST
DENTSPLY SIRONA INC								
Symbol XRAY Exchange OTC								
EAI \$158 Current yield 0.54%	Feb 1, 17	312 000	56.576	17,651.77	64.840	20,230.08	2,578.31	ST

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Kayne SMID
Account number: Y1 37296 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 2, 17	140 000	56.216	7,870.27	64.840	9,077.60	1,207.33	ST
DRIL-QUIP INC		452 000	56.465	25,522.04		29,307.68	3,785.64	
Symbol DRQ Exchange NYSE	Feb 1, 17	228 000	61.750	14,079.00	48.800	11,126.40	-2,952.60	ST
Security total	Feb 2, 17	103 000	61.105	6,293.86	48.800	5,026.40	-1,267.46	ST
EQUIFAX INC		331 000	61.549	20,372.86		16,152.80	-4,220.06	
Symbol EFX Exchange NYSE	Feb 1, 17	187 000	116.938	21,867.52	137.420	25,697.54	3,830.02	ST
EAI \$423 Current yield 1.14%	Feb 2, 17	84 000	117.507	9,870.60	137.420	11,543.28	1,672.68	ST
Security total		271 000	117.115	31,738.12		37,240.82	5,502.70	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC	Feb 1, 17	333 000	52.073	17,340.36	56.480	18,807.84	1,467.48	ST
EAI \$407 Current yield 1.49%	Feb 2, 17	151 000	52.292	7,896.15	56.480	8,528.48	632.33	ST
Security total		484 000	52.142	25,236.51		27,336.32	2,099.81	
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE	Feb 1, 17	52 000	172.675	8,979.10	166.180	8,641.36	-337.74	ST
EAI \$184 Current yield 1.35%	Feb 2, 17	24 000	173.969	4,175.26	166.180	3,988.32	-186.94	ST
Security total	Apr 10, 17	6 000	159.670	958.02	166.180	997.08	39.06	ST
		82 000	172.102	14,112.38		13,626.76	-485.62	
HENRY JACK & ASSOC INC								
Symbol JKHY Exchange OTC	Feb 1, 17	123 000	89.006	10,947.76	103.870	12,776.01	1,828.25	ST
EAI \$381 Current yield 1.19%	Feb 1, 17	39 000	89.006	3,471.24	103.870	4,050.93	579.69	ST
	Feb 1, 17	37 000	89.006	3,293.23	103.870	3,843.19	549.96	ST
	Feb 1, 17	13 000	89.006	1,157.08	103.870	1,350.31	193.23	ST
Security total	Feb 2, 17	95 000	88.911	8,446.55	103.870	9,867.65	1,421.10	ST
		307 000	88.977	27,315.86		31,888.09	4,572.23	
LANDSTAR SYSTEMS INC								
Symbol LSTR Exchange OTC	Feb 1, 17	143 000	83.512	11,942.22	85.600	12,240.80	298.58	ST
EAI \$75 Current yield 0.42%								

continued next page

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 2, 17	64,000	83.300	5,331.20	85.600	5,478.40	147.20	ST
LENNOX INTL INC		207,000	83.446	17,273.42		17,719.20	445.78	
Symbol LI Exchange NYSE								
EAI \$180 Current yield 1.11%	Mar 16, 17	88,000	172.054	15,140.77	183.640	16,160.32	1,019.55	ST
MANHATTAN ASSOC INC								
Symbol MANH Exchange: OTC								
Feb 1, 17		318,000	47.422	15,080.32	48.060	15,283.08	202.76	ST
Feb 2, 17		144,000	48.089	6,924.82	48.060	6,920.64	-4.18	ST
Security total		462,000	47.630	22,005.14		22,203.72	198.58	
MONOTYPE IMAGING HOLDINGS INC								
Symbol TYPE Exchange OTC								
EAI \$491 Current yield 2.47%	Feb 1, 17	748,000	22.087	16,521.15	18.300	13,688.40	-2,832.75	ST
Feb 2, 17		338,000	22.789	7,702.74	18.300	6,185.40	-1,517.34	ST
Security total		1,086,000	22.306	24,223.89		19,873.80	-4,350.09	
MSCI INC								
Symbol MSCI Exchange: NYSE								
EAI \$270 Current yield 1.09%	Feb 1, 17	211,000	83.649	17,649.94	102.990	21,730.89	4,080.95	ST
Apr 10, 17		30,000	97.567	2,927.01	102.990	3,089.70	162.69	ST
Security total		241,000	85.382	20,576.95		24,820.59	4,243.64	
NORDSON CORP								
Symbol NDSN Exchange OTC								
EAI \$293 Current yield 0.89%	Feb 1, 17	187,000	113.478	21,220.57	121.320	22,686.84	1,466.27	ST
Feb 2, 17		84,000	113.280	9,515.52	121.320	10,190.88	675.36	ST
Security total		271,000	113.417	30,736.09		32,877.72	2,141.63	
PRIMERICA INC								
Symbol PRI Exchange NYSE								
EAI \$255 Current yield 1.00%	Feb 1, 17	231,000	75.130	17,355.03	75.750	17,498.25	143.22	ST
Feb 2, 17		105,000	74.300	7,801.50	75.750	7,953.75	152.25	ST
Security total		336,000	74.871	25,156.53		25,452.00	295.47	
RBC BEARINGS INC								
Symbol ROLL Exchange OTC								
Feb 1, 17		258,000	92.865	23,959.32	101.760	26,254.08	2,294.76	ST
Feb 2, 17		117,000	92.000	10,764.09	101.760	11,905.92	1,141.83	ST
Security total		375,000	92.596	34,723.41		38,160.00	3,436.59	

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Kayne SMID
Account number: Y1 37296 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
SIGNATURE BANK NEW YORK NY								
Symbol SBNY Exchange OTC	Feb 1, 17	115 000	156 216	17,964 87	143 530	16,505 95	-1,458.92	ST
	Feb 2, 17	52 000	153 179	7,965 35	143 530	7,463 56	-501 79	ST
Security total		167 000	155 271	25,930 22		23,969 51	-1,960 71	
SNAP ON INC								
Symbol SNA Exchange NYSE	Feb 1, 17	100 000	180 161	18,016 17	158 000	15,800 00	-2,216.17	ST
EAI \$585 Current yield 1 80%	Feb 2, 17	45 000	166 599	7,496 96	158 000	7,110 00	-386 96	ST
	May 22, 17	61 000	164 339	10,024 73	158 000	9,638 00	-386 73	ST
Security total		206 000	172 514	35,537 86		32,548 00	-2,989 86	
WABCO HOLDINGS INC								
Symbol WBC Exchange NYSE	Feb 1, 17	183 000	111 879	20,473 89	127 510	23,334 33	2,860 44	ST
	Feb 2, 17	83 000	113 222	9,397 48	127 510	10,583 33	1,185 85	ST
Security total		266 000	112 298	29,871 37		33,917 66	4,046 29	
WINMARK CORP								
Symbol WINA Exchange OTC	Feb 1, 17	90 000	112 013	10,081 17	128 950	11,605 50	1,524 33	ST
EAI \$58 Current yield 0 34%	Feb 2, 17	41 000	116 750	4,786 75	128 950	5,286 95	500 20	ST
Security total		131 000	113 496	14,867 92		16,892 45	2,024 53	
WYNN RESORTS LTD								
Symbol WYNN Exchange OTC	Feb 1, 17	165 000	98 300	16,219 50	134 120	22,129 80	5,910 30	ST
EAI \$478 Current yield 1 49%	Feb 2, 17	74 000	97 349	7,203 89	134 120	9,924 88	2,720 99	ST
Security total		239 000	98 006	23,423 39		32,054 68	8,631 29	
Total				\$675,935.31		\$715,463.52	\$39,528.21	
Total estimated annual income: \$5,949								



ACCESS
June 2017

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212-821-7000/800-308-3140

Your assets (continued)

Your total assets

Cash	Cash and money balances	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	42,427.46	5.60%	42,427.46	5,949.00	39,528.21
Total		715,463.52	94.40%	675,935.31	\$5,949.00	\$39,528.21
		\$757,890.98	100.00%	\$718,362.77		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$18,146.53
Jun 1	Dividend	CARLSLE COS INC PAID ON SYMBOL CSL	139			48 65	
Jun 1	Dividend	CHURCH & DWIGHT CO INC PAID ON SYMBOL CHD	296			56 24	
Jun 1	Dividend	WINMARK CORP PAID ON SYMBOL WINA	90			9 90	
Jun 1	Dividend	CARLSLE COS INC PAID ON SYMBOL CSL	62			21.70	
Jun 1	Dividend	WINMARK CORP PAID ON SYMBOL WINA	41			4.51	18,287 53
Jun 2	Dividend	LANDSTAR SYSTEMS INC PAID ON SYMBOL LSTR	143			12 87	
Jun 2	Dividend	LANDSTAR SYSTEMS INC PAID ON SYMBOL LSTR	64			5.76	18,306 16
Jun 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/17				53	18,306 69
Jun 9	Dividend	HENRY JACK & ASSOC INC PAID ON SYMBOL JKHY	212			65 72	
Jun 9	Dividend	SNAP ON INC PAID ON SYMBOL SNA	100			71 00	
Jun 9	Dividend	HENRY JACK & ASSOC INC PAID ON SYMBOL JKHY	95			29 45	

continued next page



Managed Accounts Consulting
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	200.00	0.00					
UBS BANK USA BUS ACCT	47,495.14	45,601.92					250,000.00
Total	\$47,695.14	\$45,601.92					

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
BERKSHIRE HATHWAY INC CALL@MMW+12.5BP RATE 01.700% MATURES 03/15/19 ACCRUED INTEREST \$237.99 CUSIP 084664CG4 Moody Aa2 S&P AA EAI \$816 Current yield 1.70% Original cost basis \$48,230.40	Feb 16, 17	48,000.000	100.394	48,189.32	100.238	48,114.24	-75.08	ST

continued next page.



Managed Accounts Consulting
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
BK OF NY MELLON CORP								
MED TERM NTS								
RATE 02.150% MATURES 02/24/20								
CALLABLE								
ACCURED INTEREST \$526.75								
CUSIP 06406HCZ0								
Moody A1 S&P A								
EAI \$1.505 Current yield 2.14%								
Original cost basis \$70,203.00								
	Feb 13, 17	70,000.000	100.254	70,177.97	100.577	70,403.90	225.93	ST
UNITED TECHNOLOGIES CORP								
CALL @MW+7.5BP								
RATE 01.900% MATURES 05/04/20								
INTEREST EARNED FROM 05/04/17								
1ST INTEREST PAYMENT 11/04/17								
ACCURED INTEREST \$150.73								
CUSIP 913017CM9								
Moody A3 S&P A-								
EAI \$969 Current yield 1.90%								
Original cost basis \$51,021.93								
	May 03, 17	51,000.000	100.040	51,020.80	100.172	51,087.72	66.92	ST
EUROPEAN INVT BK NTS B/E								
RATE 01.375% MATURES 06/15/20								
ACCURED INTEREST \$21.19								
CUSIP 298785GV2								
Moody Aaa S&P. AAA								
EAI \$509 Current yield 1.39%								
	Feb 14, 17	37,000.000	98.155	36,317.35	98.850	36,574.50	257.15	ST
VISA INC NTS B/E								
CALL@MW+10BP								
RATE 02.200% MATURES 12/14/20								
CALLABLE								
ACCURED INTEREST \$49.86								
CUSIP 92826CAB8								
Moody A1 S&P A+								
EAI \$1,122 Current yield 2.18%								
Original cost basis \$51,239.19								
	Feb 13, 17	51,000.000	100.423	51,216.09	100.836	51,426.36	210.27	ST

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Managed Accounts Consulting
June 2017

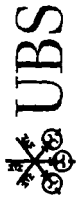
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
STATE STREET CORP NTS								
B/E								
RATE 01 950% MATURES 05/19/21								
ACCRUED INTEREST \$119.92								
CUSIP 857477AV5								
Moody A1 S&P A								
EAI \$1,053 Current yield 1.97%	Feb 13, 17	54,000,000	98,247	53,053.38	98,737	53,317.98	264.60	ST
KREDIT FUR WEIDERAUFBAU								
NTS B/E								
RATE 01 500% MATURES 06/15/21								
ACCRUED INTEREST \$25.00								
CUSIP 500769GZ1								
Moody Aaa S&P AAA								
EAI \$600 Current yield 1.52%	Feb 16, 17	40,000,000	97,537	39,014.80	98,441	39,376.40	361.60	ST
CAPITAL ONE FINCL CORP								
NTS B/E								
RATE 04 750% MATURES 07/15/21								
ACCRUED INTEREST \$1,110.31								
CUSIP 14040HAY1								
Moody Baa1 S&P BBB								
EAI \$2,423 Current yield 4.40%	Feb 15, 17	51,000,000	107,395	54,771.79	107,892	55,024.92	253.13	ST
Original cost basis \$55,103.97								
GOLDMAN SACHS GROUP INC								
B/E								
RATE 05 250% MATURES 07/27/21								
ACCRUED INTEREST \$758.62								
CUSIP 38141GGQ1								
Moody A3 S&P BBB+								
EAI \$1,785 Current yield 4.79%	Feb 14, 17	34,000,000	108,990	37,056.90	109,605	37,265.70	208.80	ST
Original cost basis \$37,325.20								

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June 2017

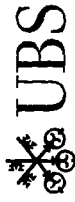
Account name: L R BAUMAN FOUNDATION, INC
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Account number: Y1 37299 A8

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ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
MICROSOFT CORP NTS B/E								
CALL@MW+10BP								
RATE 02 375% MATURES 02/12/22								
CALLABLE								
ACCURED INTEREST \$437.00								
CUSIP 594918BA1								
Moody Aaa S&P: AAA								
EAI \$1,140 Current yield 2.36%								
Original cost basis \$48,117.12								
	Feb 17, 17	48,000.000	100.226	48,108.82	100.734	48,352.32	243.50	ST
AMERICAN EXPRESS CREDIT								
NTS B/E								
RATE 02.700% MATURES 03/03/22								
INTEREST EARNED FROM 03/03/17								
1ST INTEREST PAYMENT 09/03/17								
CALLABLE								
ACCURED INTEREST \$421.20								
CUSIP 0258M0EG0								
Moody A2 S&P: A-								
EAI \$1,296 Current yield 2.68%								
	Mar 13, 17	48,000.000	99.140	47,587.20	100.921	48,442.08	854.88	ST
WELLS FARGO & CO NTS B/E								
RATE 03 500% MATURES 03/08/22								
ACCURED INTEREST \$555.33								
CUSIP 949748FC9								
Moody A2 S&P: A								
EAI \$1,785 Current yield 3.37%								
Original cost basis \$52,868.13								
	Feb 21, 17	51,000.000	103.416	52,742.39	103.886	52,981.86	239.47	ST
CITIGROUP INC NTS B/E								
CALL@MW+20BP								
RATE 02 750% MATURES 04/25/22								
INTEREST EARNED FROM 04/25/17								
1ST INTEREST PAYMENT 10/25/17								
CALLABLE								
ACCURED INTEREST \$248.26								
CUSIP 172967LG4								
Moody Baa1 S&P: BBB+								
EAI \$1,375 Current yield 2.75%								
	May 03, 17	50,000.000	99.723	49,861.50	99.842	49,921.00	59.50	ST

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Managed Accounts Consulting
June 2017

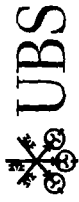
Account name: L R BAUMAN FOUNDATION, INC
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Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets ▶ Fixed income ▶ Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
WATSON PHARMACEUTICAL								
CALL@MW+25BP								
RATE 03 250% MATURES 10/01/22								
CALLABLE								
ACCURED INTEREST \$409.76								
CUSIP 942683AFO								
Moody Baa3 S&P B88								
EAI \$1,658 Current yield 3.18%								
Original cost basis \$51,040.29	Feb 13, 17	51,000.000	100.074	51,037.76	102.172	52,107.72	1,069.96	ST
ABBVIE INC NTS B/E								
CALL@MW+25BP								
RATE 02 900% MATURES 11/06/22								
ACCURED INTEREST \$247.95								
CUSIP 00287YAL3								
Moody Baa2 S&P A-								
EAI \$1,653 Current yield 2.87%	Feb 15, 17	57,000.000	99.015	56,438.55	100.941	57,536.37	1,097.82	ST
JPMORGAN CHASE & CO B/E								
RATE 03 200% MATURES 01/25/23								
ACCURED INTEREST \$661.33								
CUSIP 46625HJH4								
Moody A3 S&P A-								
EAI \$1,536 Current yield 3.14%	Feb 15, 17	48,000.000	100.794	48,381.44	101.957	48,939.36	557.92	ST
Original cost basis \$48,405.12								
ALTRIA GROUP INC B/E								
RATE 04 000% MATURES 01/31/24								
ACCURED INTEREST \$750.00								
CUSIP 02209SASZ								
Moody A3 S&P A-								
EAI \$1,800 Current yield 3.75%	Feb 17, 17	45,000.000	105.137	47,311.69	106.690	48,010.50	698.81	ST
Original cost basis \$47,429.10								

continued next page



Managed Accounts Consulting
June 2017

Account name: L R BAUMAN FOUNDATION, INC
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212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
BK OF AMER CORP								
MED TERM NTS								
RATE 04 000% MATURES 04/01/24								
ACCRUED INTEREST \$613.11								
CUSIP 06051GFF1								
Moody Baa1 S&P+ BBB+								
EAI \$2,480 Current yield 3.82%								
Original cost basis \$64,241.30								
	Feb 21, 17	62,000.000	103.450	64,139.55	104.787	64,967.94	828.39	ST
MORGAN STANLEY B/E								
RATE 03 875% MATURES 04/29/24								
ACCRUED INTEREST \$459.62								
CUSIP 61746BDQ6								
Moody A3 S&P BBB+								
EAI \$2,713 Current yield 3.73%								
Original cost basis \$72,120.30								
	Feb 22, 17	70,000.000	102.893	72,025.79	103.929	72,750.30	724.51	ST
KINDER MORGAN INC/DELAWARE								
CALL@MMW+30BP								
RATE 04 300% MATURES 06/01/25								
CALLABLE								
ACCRUED INTEREST \$128.16								
CUSIP 494568AF8								
Moody Baa3 S&P BBB-								
EAI \$1,591 Current yield 4.14%								
Original cost basis \$37,925.00								
	Feb 22, 17	37,000.000	102.407	37,890.65	103.968	38,468.16	577.51	ST
CVS CAREMARK CORP NTS								
CALL@MMW+25BP								
RATE 03 875% MATURES 07/20/25								
CALLABLE								
ACCRUED INTEREST \$533.88								
CUSIP 126650CL2								
Moody Baa1 S&P BBB+								
EAI \$1,201 Current yield 3.73%								
Original cost basis \$31,892.18								
	Feb 17, 17	31,000.000	102.767	31,857.92	103.975	32,232.25	374.33	ST

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June 2017

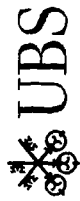
Account name: L R BAUMAN FOUNDATION, INC
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ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
LOCKHEED MARTIN CORP B/E								
CALL@MW+20BP								
RATE 03 550% MATURES 01/15/26								
CALLABLE								
ACCRUED INTEREST \$699.64								
CUSIP 5398308H1								
Moody Baa1 S&P BBB+								
EAI \$1,527 Current yield 3.43%								
Original cost basis \$43,752.50	Feb 13, 17	43,000,000	101,684	43,724.46	103,621	44,557.03	832.57	ST
ANHEUSER BUSCH INBEV FIN								
CALL@MW+25BP								
RATE 03 650% MATURES 02/01/26								
CALLABLE								
ACCRUED INTEREST \$845.98								
CUSIP 035242AP1								
Moody A3 S&P A-								
EAI \$2,044 Current yield 3.54%								
Original cost basis \$56,662.48	Feb 22, 17	56,000,000	101,142	56,639.64	103,027	57,695.12	1,055.48	ST
APPLE INC B/E								
CALL@MW+15BP								
RATE 02 450% MATURES 08/04/26								
CALLABLE								
ACCRUED INTEREST \$367.63								
CUSIP 037833BZ2								
Moody Aa1 S&P AA+								
EAI \$907 Current yield 2.56%	Feb 22, 17	37,000,000	94,206	34,856.22	95,621	35,379.77	523.55	ST
VERIZON COMMUNICATIONS								
CALL@MW+20BP								
RATE 02 625% MATURES 08/15/26								
CALLABLE								
ACCRUED INTEREST \$531.56								
CUSIP 92343VDD3								
Moody Baa1 S&P BBB+								
EAI \$1,418 Current yield 2.85%	Feb 17, 17	54,000,000	91,306	49,305.24	91,980	49,669.20	363.96	ST

continued next page



Managed Accounts Consulting
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
PFIZER INC B/E								
CALL@MW+15BP								
RATE 03 000% MATURES 12/15/26								
ACCRUED INTEREST \$48.75								
CUSIP 717081EA7								
Moody A1 S&P AA								
EAI \$1,170 Current yield 2.99%	Feb 14, 17	39,000,000	97,981	38,212.59	100,279	39,108.81	896.22	ST
GOLDMAN SACHS GROUP INC								
CALL@MW+25BP								
RATE 03 850% MATURES 01/26/27								
INTEREST EARNED FROM 01/26/17								
1ST INTEREST PAYMENT 07/26/17								
CALLABLE								
ACCRUED INTEREST \$592.89								
CUSIP 38141GWB6								
Moody A3 S&P BBB+								
EAI \$1,386 Current yield 3.78%								
Original cost basis \$37,025.28	Jun 26, 17	36,000,000	102,844	37,024.02	101,731	36,623.16	-400.86	ST
MCDONALD'S CORP								
CALL@MW+20BP								
RATE 03 500% MATURES 03/01/27								
INTEREST EARNED FROM 03/09/17								
1ST INTEREST PAYMENT 09/01/17								
CALLABLE								
ACCRUED INTEREST \$518.00								
CUSIP 58013MFB5								
Moody Baa1 S&P BBB+								
EAI \$1,680 Current yield 3.44%	Mar 13, 17	48,000,000	98,535	47,296.80	101,649	48,791.52	1,494.72	ST
ENTERPRISE PRODUCTS OPER								
CALL@MW+30BP								
RATE 04 450% MATURES 02/15/43								
CALLABLE								
ACCRUED INTEREST \$467.25								
CUSIP 29379VAY9								
Moody Baa1 S&P BBB+								
EAI \$1,246 Current yield 4.48%	Feb 21, 17	28,000,000	97,487	27,296.36	99,378	27,825.84	529.48	ST

continued next page



Managed Accounts Consulting
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
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212-821-7000/800-308-3140

Your assets ▶ **Fixed income** ▶ **Corporate bonds and notes** (continued)

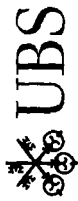
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
COMCAST CORP NEW B/E								
CALL@MW+20BP								
RATE 03.400% MATURES 07/15/46								
CALLABLE								
ACCRUED INTEREST \$529.83								
CUSIP 20030NBU4								
Moody A3 S&P A-								
EAI \$1,156	Current yield 3.73%	34,000,000	86,365	29,364.10	91.060	30,960.40	1,596.30	ST
ORACLE CORP B/E								
CALL@MW+30BP								
RATE 04.000% MATURES 07/15/46								
CALLABLE								
ACCRUED INTEREST \$604.99								
CUSIP 68389XB13								
Moody A1 S&P AA-								
EAI \$1,320	Current yield 3.96%	33,000,000	96,001	31,680.33	100.989	33,326.37	1,646.04	ST
Total		\$1,442,000,000		\$1,443,601.42		\$1,461,238.80	\$17,637.38	
Total accrued interest: \$13,672.49								
Total estimated annual income: \$44,864								

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments, and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL AL9309								
RATE 03.5000% MATURES 10/01/31								
CURRENT PAR VALUE 46,955								
ACCRUED INTEREST \$132.38								
CUSIP 3138ERKX7								
EAI \$1,643	Current yield 3.36%	53,365,000	105,015	49,309.27	104.226	48,939.31	-369.96	ST

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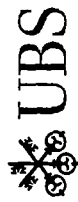
Managed Accounts Consulting
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVISELINK
212-821-7000/800-308-3140

Your assets • **Fixed income** • Asset backed securities (continued)

Holding	Trade date	Quantity	Purchase price(\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL AS3133								
RATE 03 5000% MATURES 08/01/44								
CURRENT PAR VALUE 258,806								
ACCRUED INTEREST \$729.68								
CUSIP 3138WCPT4								
EAI \$9,058 Current yield 3.39%	Feb 16, 17	355,200.000	103.000	266,569.73	103.270	267,268.95	699.22	ST
FHLMC PL G60711								
RATE 04 5000% MATURES 07/01/45								
CURRENT PAR VALUE 107,332								
ACCRUED INTEREST \$389.07								
CUSIP 31335AYG7								
EAI \$4,830 Current yield 4.19%	Feb 15, 17	130,304.000	107.750	115,650.73	107.489	115,370.09	-280.64	ST
FNMA PL BC4714								
RATE 03 0000% MATURES 10/01/46								
CURRENT PAR VALUE 230,467								
ACCRUED INTEREST \$556.95								
CUSIP 3140F0GY4								
EAI \$6,914 Current yield 3.00%	Feb 21, 17	237,689.000	99.562	229,457.31	99.998	230,462.39	1,005.08	ST
FNMA PL MA2879								
RATE 04 0000% MATURES 01/01/47								
CURRENT PAR VALUE 177,886								
ACCRUED INTEREST \$573.18								
CUSIP 31418CFV1								
EAI \$7,115 Current yield 3.80%	Feb 14, 17	186,015.000	105.296	187,306.99	105.171	187,084.48	-222.51	ST
FNMA PL MA2896								
RATE 03 5000% MATURES 02/01/47								
CURRENT PAR VALUE 68,605								
ACCRUED INTEREST \$193.42								
CUSIP 31418CGE8								
EAI \$2,401 Current yield 3.41%	Feb 27, 17	70,000.000	102.953	70,631.22	102.770	70,505.35	-125.87	ST
Total		1,032,573.000		\$918,925.25		\$919,630.57	\$705.32	
Total accrued interest: \$2,574.68								
Total estimated annual income: \$31,961								



Managed Accounts Consulting
June 2017

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212-821-7000/800-308-3140

Your assets • Fixed income (continued)

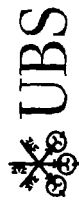
Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 0.7500% MATURES 08/31/18								
ACCURED INTEREST \$432.63								
CUSIP 9128282C3								
EAI \$1,305 Current yield 0.75%	Feb 22, 17	69,000,000	99.531	68,676.67	99.344	68,547.36	-129.31	ST
	Feb 22, 17	44,000,000	99.535	43,795.53	99.344	43,711.36	-84.17	ST
	Feb 28, 17	61,000,000	99.539	60,718.91	99.344	60,599.84	-119.07	ST
Security total		174,000,000		173,191.11		172,858.56	-332.55	
U S TREASURY NOTE								
RATE 1.2500% MATURES 10/31/18								
ACCURED INTEREST \$302.51								
CUSIP 912828WD8								
EAI \$1,825 Current yield 1.25%	Feb 14, 17	93,000,000	100.082	93,076.73	99.883	92,891.19	-185.54	ST
Original cost basis \$93,098.21	Feb 14, 17	53,000,000	100.079	53,042.11	99.883	52,937.99	-104.12	ST
Original cost basis \$53,053.90		146,000,000		146,118.84		145,829.18	-289.66	
Security total								
U S TREASURY NOTE								
RATE 0.7500% MATURES 07/15/19								
ACCURED INTEREST \$715.35								
CUSIP 912828S43								
EAI \$1,560 Current yield 0.76%	Feb 16, 17	95,000,000	98.550	93,623.36	98.707	93,771.65	148.29	ST
	Feb 28, 17	68,000,000	98.734	67,139.46	98.707	67,120.76	-18.70	ST
	Feb 28, 17	45,000,000	98.730	44,428.77	98.707	44,418.15	-10.62	ST
Security total		208,000,000		205,191.59		205,310.56	118.97	
U S TREASURY NOTE								
RATE 1.3750% MATURES 03/31/20								
ACCURED INTEREST \$451.26								
CUSIP 912828J84								
EAI \$1,815 Current yield 1.38%	Feb 15, 17	82,000,000	99.316	81,439.61	99.629	81,695.78	256.17	ST
	Feb 15, 17	50,000,000	99.312	49,656.35	99.629	49,814.50	158.15	ST

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Managed Accounts Consulting
June 2017

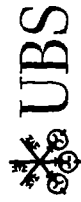
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Fixed income** • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		132,000,000		131,095.96		131,510.28	414.32	
U S TREASURY NOTE								
RATE 1 3750% MATURES 10/31/20								
ACCURED INTEREST \$300.85								
CUSIP 912828L99								
EAI \$1.815 Current yield 1.39%	Feb 13, 17	132,000,000	98.824	130,448.19	99.180	130,917.60	469.41	ST
U S TREASURY NOTE								
RATE 1 1250% MATURES 09/30/21								
ACCURED INTEREST \$148.24								
CUSIP 912828T34								
EAI \$596 Current yield 1.16%	Feb 16, 17	33,000,000	96.504	31,846.35	97.273	32,100.09	253.74	ST
	Feb 16, 17	20,000,000	96.508	19,301.60	97.273	19,454.60	153.00	ST
Security total		53,000,000		51,147.95		51,554.69	406.74	
UNITED MEX ICAN STATES								
FOREIGN SECURITY								
RATE 04 000% MATURES 10/02/23								
B/E								
ACCURED INTEREST \$430.22								
ISIN US91086QBC15								
EAI \$1,760 Current yield 3.82%	Feb 14, 17	44,000,000	101.979	44,871.09	104.590	46,019.60	1,148.51	ST
Original cost basis \$44,918.28								
U S TREASURY NOTE								
RATE 2 1250% MATURES 03/31/24								
ACCURED INTEREST \$459.66								
CUSIP 912828W71								
EAI \$1,849 Current yield 2.12%	Jun 26, 17	87,000,000	101.119	87,973.68	100.074	87,064.38	-909.30	ST
Original cost basis \$87,975.53								
US TSY INFL PROT BOND								
RATE 2 3750% DUE 01/15/25								
CURRENT PAR VALUE 32,428								
ACCURED INTEREST \$353.17								
CUSIP 912810FR4								
EAI \$770 Current yield 2.08%	Feb 15, 17	25,000,000	148.486	37,121.65	113.923	36,942.95	-178.70	ST
Original cost basis \$36,954.28								

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June 2017

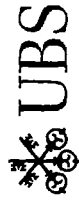
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Blackrock
Account number: Y1 37299 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Fixed income** • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
US TSY INFL PROT NOTE								
RATE 0 6250% DUE 01/15/26								
CURRENT PAR VALUE 36,015								
ACCURED INTEREST \$103.21								
CUSIP 912828N71								
EAI \$225 Current yield 0.62%	Feb 13, 17	35,000.000	104.689	36,641.20	100.633	36,242.97	-398.23	ST
FNMA								
RATE 6 6250% MATURES 11/15/30								
ACCURED INTEREST \$223.59								
CUSIP 31359MGK3								
EAI \$1,789 Current yield 4.63%								
Original cost basis \$37,748.54	Feb 13, 17	27,000.000	138.906	37,504.74	142.966	38,600.82	1,096.08	ST
UNITED STATES T								
RATE 4.3750% MATURES 05/15/40								
ACCURED INTEREST \$191.40								
CUSIP 912810QH4								
EAI \$1,531 Current yield 3.43%								
Original cost basis \$42,996.75	Feb 14, 17	35,000.000	122.588	42,906.11	127.625	44,668.75	1,762.64	ST
UNITED STATES T								
RATE 3.0000% MATURES 05/15/42								
ACCURED INTEREST \$138.75								
CUSIP 912810QW1								
EAI \$1,110 Current yield 2.90%	Feb 21, 17	23,000.000	99.730	22,938.06	103.559	23,818.57	880.51	ST
	Feb 21, 17	14,000.000	99.707	13,959.01	103.559	14,498.26	539.25	ST
Security total		37,000.000		36,897.07		38,316.83	1,419.76	
UNITED STATES T								
RATE 3.6250% MATURES 02/15/44								
ACCURED INTEREST \$946.30								
CUSIP 912810RE0								
EAI \$2,538 Current yield 3.15%	Feb 28, 17	43,000.000	112.376	48,321.97	115.231	49,549.33	1,227.36	ST
Original cost basis \$48,366.70	Feb 28, 17	27,000.000	112.365	30,338.57	115.231	31,112.37	773.80	ST
Original cost basis \$30,366.63		70,000.000		78,660.54		80,661.70	2,001.16	
Security total								

continued next page



Managed Accounts Consulting
June 2017

Account name: L R BAUMAN FOUNDATION, INC
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212-821-7000/800-308-3140

Your assets • Fixed income • Government securities (continued)

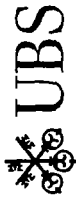
Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED STATES T								
RATE 3 0000% MATURES 11/15/44								
ACCRUED INTEREST \$225 00								
CUSIP 912810RJ9								
EAI \$1,800 Current yield 2 91%	Feb 13, 17	38,000 000	99 066	37,645.31	103 188	39,211 44	1,566 13	ST
	Feb 13, 17	22,000.000	99 078	21,797 23	103 188	22,701 36	904 13	ST
Security total		60,000 000		59,442 54		61,912 80	2,470 26	
UNITED STATES T								
RATE 2 5000% MATURES 05/15/46								
ACCRUED INTEREST \$168 75								
CUSIP 912810RS9								
EAI \$1,350 Current yield 2 69%	Feb 15, 17	34,000 000	88 351	30,039 61	93.035	31,631 90	1,592 29	ST
	Feb 15, 17	20,000.000	88 363	17,672 70	93 035	18,607 00	934 30	ST
Security total		54,000.000		47,712 31		50,238 90	2,526 59	
Total		1,319,000.000		\$1,346,924.57		\$1,358,650.57	\$11,726.00	

Total accrued interest: \$5,590.89

Total estimated annual income: \$23,638

Your total assets

Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances					
Corporate bonds and notes	45,601.92	1.20%	45,601.92	44,864 00	17,637 38
Asset backed securities	1,461,238.80		1,443,601 42		
Government securities	919,630.57		918,925 25	31,961 00	705 32
Total accrued interest	1,358,650 57		1,346,924 57	23,638 00	11,726 00
21,838 06					
Total fixed income	3,761,358.00	98.80%	3,709,451.24	100,463.00	30,068.70
Total	\$3,806,959.92	100.00%	\$3,755,053.16	\$100,463.00	\$30,068.70



UBS Financial Services Inc
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

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Portfolio Management Program

June 2017

L. R. BAUMAN FOUNDATION, INC.
2040 S STREET NW
WASHINGTON DC 20009-7124

Account name: L. R. BAUMAN FOUNDATION, INC.

Friendly account name: LRB YES Account

Account number: V8 37456 66

Your Financial Advisor:

MB/SR/SA/GC TEAM 844-229-0886
Phone 212-821-7000/800-308-3140

Questions about your statement?

Call your Financial Advisor or the
ResourceLine at 800-762-1000,
account 397037456

Visit our website:

www.ubs.com/financialservices

Items for your attention

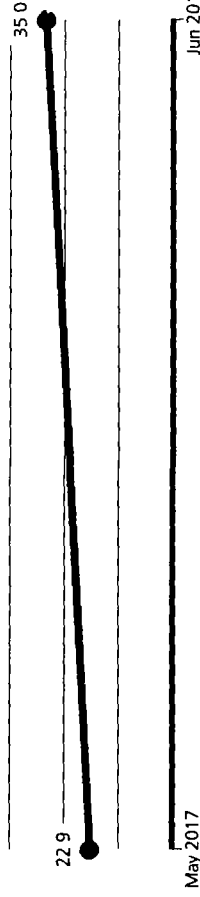
- For information about our fees, charges
and compensation, please see
ubs.com/guidetofees and
ubs.com/accountdisclosures

Value of your account

	on May 31 (\$)	on June 30 (\$)
Your assets	122,894.85	138,878.85
Your liabilities	-100,040.00	-103,880.00
Value of your account	\$22,854.85	\$34,998.85

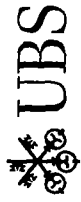
Tracking the value of your account

\$ Thousands



Sources of your account growth during 2017

Value of your account at year end 2016	\$0.00
Net deposits and withdrawals	-\$3,301.59
Your investment return	
Change in market value	\$38,300.44
Value of your account on Jun 30, 2017	\$34,998.85



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB YES Account
Account number: V8 37456 66

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Change in the value of your account

	June 2017 (\$)	Year to date (\$)
Opening account value	\$22,854.85	\$0.00
Withdrawals and fees, including investments transferred out	0.00	-3,301.59
Change in market value	12,144.00	38,300.44
Closing account value	\$34,998.85	\$34,998.85

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)
	June 2017 (\$)	Year to date (\$)	
Short term	3,552.00	36,913.77	3,238.40
Long term	5,328.00	55,370.67	4,857.60
Total	\$8,880.00	\$92,284.44	\$8,096.00

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	June 2017 (\$)	Year to date (\$)
Opening balances	\$90,582.85	\$0.00
<i>Additions</i>		
Proceeds from investment transactions	160,440.48	598,987.20
Marks to market	46,425.92	229,453.46
Total additions	\$206,866.40	\$828,440.66
<i>Subtractions</i>		
Professional management fees and related services	0.00	-20,379.45
Other funds debited	0.00	17,077.86
Funds withdrawn for investments bought	-189,786.40	-717,476.22
Total subtractions	-\$189,786.40	-\$720,777.81
Net cash flow	\$17,080.00	\$107,662.85
Closing balances	\$107,662.85	\$107,662.85



Portfolio Management Program
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB YES Account
Account number: V8 37456 66

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	90,582.85	107,662.85				

Equities

Long options

Holding	Trade date	Number of contracts	Purchase price per contract (\$)	Cost basis (\$)	Price per contract on Jun 30 (\$)	Contract premium (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CBOE S&P 500 INDEX DUE 07/07/17 2475.000 Expires Jul 17 Symbol SPXW	Jun 08, 17	8,000	4.500	3,600.00	10.000	0.100	80.00	-3,520.00	4
CALL CBOE S&P 500 INDEX DUE 08/18/17 2515.000 Expires Aug 17 Symbol SPX	Jun 22, 17	16,000	3.300	5,280.00	180.000	1.800	2,880.00	-2,400.00	4
CALL CBOE S&P 500 INDEX DUE 08/04/17 2495.000 Expires Aug 17 Symbol SPXW	Jun 26, 17	16,000	4.100	6,560.00	158.000	1.580	2,528.00	-4,032.00	4
CALL CBOE S&P 500 INDEX DUE 08/11/17 2505.000 Expires Aug 17 Symbol SPXW	Jun 27, 17	16,000	2.200	3,520.00	175.000	1.750	2,800.00	-720.00	4
PUT CBOE S&P 500 INDEX DUE 08/18/17 2215.000 Expires Aug 17 Symbol SPX	Jun 22, 17	16,000	5.000	8,000.00	525.000	5.250	8,400.00	400.00	4

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB YES Account
Account number: V8 37456 66

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Your assets • Equities • Long options (continued)

Holding	Trade date	Number of contracts	Purchase price per contract (\$)	Cost basis (\$)	Price per contract on Jun 30 (\$)	Contract premium (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
PUT CBOE S&P 500 INDEX DUE 08/04/17 2240.000 Expires Aug 17 Symbol SPXW	Jun 26, 17	16 000	2 700	4,320 00	340 000	3 400	5,440 00	1,120 00	4
PUT CBOE S&P 500 INDEX DUE 08/11/17 2255 000 Expires Aug 17 Symbol SPXW	Jun 27, 17	16 000	4 700	7,520 00	568 000	5 680	9,088 00	1,568 00	4
Total				\$38,800.00			\$31,216.00	-\$7,584.00	

4 60% of your gain or loss is long term 40% of your gain or loss is short term

Your total assets

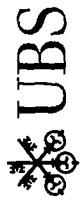
Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	107,662.85	77.52%	107,662.85		
Equities	31,216.00	22.48%	38,800.00		-7,584.00
Total	\$138,878.85	100.00%	\$146,462.85		-\$7,584.00

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$90,582.85
Jun 1	Sold	CALL CBOE S&P 500 INDEX DUE 06/30/17 2465 000 CLOSE CUST CVRD DE SYMBOL SPXW		-16.000	2.30	3,680 00	
Jun 1	Bought	CALL CBOE S&P 500 INDEX DUE 07/14/17 2470 000 OPEN CUST CVRD DE SYMBOL SPXW		16 000	4 20	-6,720 00	
Jun 1	Sold	PUT CBOE S&P 500 INDEX DUE 06/30/17 CLOSE CUST CVRD DE SYMBOL SPXW		-16 000	2.20	3,520 00	
Jun 1	Credit	MARK TO MKT ON SHORT POSITION				11,680 00	

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
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212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 28	Sold	PUT CBOE S&P 500 INDEX DUE 08/04/17 CLOSE CUST CVRD DE SYMBOL: SPXW	2245.000	-16 000	3 10	4,960 00	
Jun 28	Bought	PUT CBOE S&P 500 INDEX DUE 08/11/17 OPEN CUST CVRD DE SYMBOL: SPXW	2255 000	16 000	4 70	-7,520 00	97,102.85
Jun 29	Credit	MARK TO MKT ON SHORT POSITION				10,560 00	107,662.85
Jun 30		Closing cash and money balance					\$107,662.85
		Proceeds from investment transactions					\$160,440.48
		Funds used for investment transactions					-\$189,786.40

Short account

Short account portfolio

Cash

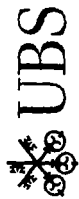
Restricted short cash represents the proceeds from Short Sales which is not available for withdrawal

Holding	Opening balance on May 31 (\$)	Closing balance on Jun 30 (\$)
RESTRICTED SHORT CASH	11,680 00	0.00

Equities

Holding	Trade date	Number of Shares	Sale price	Sales proceeds	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CBOE S&P 500 INDEX DUE 07/07/17 2485 000	Jun 8, 17	-8 000	2 850	-2,280 00	5 000	-40.00	2,240.00	4
CALL CBOE S&P 500 INDEX DUE 08/04/17 2460 000	Jun 26, 17	-16 000	15.200	-24,320.00	805 000	-12,880.00	11,440 00	4
CALL CBOE S&P 500 INDEX DUE 08/11/17 2470.000	Jun 27, 17	-16 000	9 500	-15,200.00	695 000	-11,120 00	4,080 00	4
CALL CBOE S&P 500 INDEX DUE 08/18/17 2475 000	Jun 22, 17	-16 000	12 300	-19,680.00	720 000	-11,520 00	8,160 00	4

continued next page



Portfolio Management Program
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB YES Account
Account number: V8 37456 66

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Short account • Short account portfolio • Equities (continued)

Holding	Trade date	Number of Shares	Sale price	Sales proceeds	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
PUT CBOE S&P 500 INDEX DUE 08/18/17 2345 000	Jun 22, 17	-16,000	14 000	-22,400.00	1,475 000	-23,600 00	-1,200 00	4
PUT CBOE S&P 500 INDEX DUE 08/04/17 2365 000	Jun 26, 17	-16 000	9 100	-14,560.00	1,215 000	-19,440.00	-4,880 00	4
PUT CBOE S&P 500 INDEX DUE 08/11/17 2370.000	Jun 27, 17	-16,000	13 200	-21,120.00	1,580 000	-25,280.00	-4,160 00	4
Total				-\$119,560.00		-\$103,880.00	\$15,680.00	

4 60% of your gain or loss is long term 40% of your gain or loss is short term

Short account activity

Purchases and sales are reflected with the activity as "BOUGHT" and "SOLD"

Date	Activity	Description	Quantity	Price / Value(\$)	Amount (\$)
05/31		Opening Restricted Cash balance			\$11,680.00
06/01	Bought	CALL CBOE S&P 500 INDEX DUE 06/30/17 2430 000 CLOSE CUST CVRD DE	16 000	11 20	17,920 00
06/01	Sold	CALL CBOE S&P 500 INDEX DUE 07/14/17 2435.000 OPEN CUST CVRD DE	-16 000	14 40	23,040 00
06/01	Bought	PUT CBOE S&P 500 INDEX DUE 06/30/17 2325 000 CLOSE CUST CVRD DE	16 000	7 40	11,840 00
06/01	Charge	MARK TO MKT ON SHORT POSITION			11,680 00
06/01	Sold	PUT CBOE S&P 500 INDEX DUE 07/14/17 2315 000 OPEN CUST CVRD DE	-16 000	10 50	16,800 00
06/02	Sold	CALL CBOE S&P 500 INDEX DUE 07/21/17 2440 000 OPEN CUST CVRD DE	-16 000	16 40	26,240 00
06/02	Bought	CALL CBOE S&P 500 INDEX DUE 07/14/17 2430 000 CLOSE CUST CVRD DE	16,000	18 30	29,280 00
06/02	Sold	PUT CBOE S&P 500 INDEX DUE 07/21/17 2320 000 OPEN CUST CVRD DE	-16 000	11 00	17,600 00
06/02	Bought	PUT CBOE S&P 500 INDEX DUE 07/14/17 2300 000 CLOSE CUST CVRD DE	16 000	7 50	12,000 00
06/05	Bought	CALL CBOE S&P 500 INDEX DUE 07/31/17 2450 000 CLOSE CUST CVRD DE	16 000	25 00	40,000 00
06/05	Sold	CALL CBOE S&P 500 INDEX DUE 08/04/17 2460 000 OPEN CUST CVRD DE	-16 000	21 60	34,560.00
06/05	Expiration	PUT CBOE S&P 500 INDEX DUE 06/02/17 2220 000	8 000		
06/05	Bought	PUT CBOE S&P 500 INDEX DUE 07/31/17 2270 000 CLOSE CUST CVRD DE	16 000	7 35	11,760.00
06/05	Sold	PUT CBOE S&P 500 INDEX DUE 08/04/17 2320 000 OPEN CUST CVRD DE	-16,000	12.10	19,360 00
06/06	Bought	CALL CBOE S&P 500 INDEX DUE 07/14/17 2435 000 CLOSE CUST CVRD DE	16 000	25 20	40,320 00
06/06	Sold	CALL CBOE S&P 500 INDEX DUE 07/21/17 2445 000 OPEN CUST CVRD DE	-16,000	22 50	36,000 00
06/06	Sold	PUT CBOE S&P 500 INDEX DUE 07/21/17 2335 000 OPEN CUST CVRD DE	-16,000	9 30	14,880 00

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ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB London
Account number: Y1 37644 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	9,841.68	12,879.00					250,000.00

Equities

Common stock

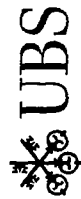
Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ALTRIA GROUP INC								
Symbol MO Exchange NYSE								
EAL \$2,704 Current yield 3.28%	Jan 26, 17	143,000	70.767	10,119.80	74.470	10,649.21	529.41	ST
	Feb 1, 17	965,000	70.647	68,174.84	74.470	71,863.55	3,688.71	ST
Security total		1,108,000	70.663	78,294.64		82,512.76	4,218.12	
BERKSHIRE HATHAWAY INC NEW								
CL B								
Symbol BRK B Exchange NYSE	Jan 26, 17	17,000	164.534	2,797.09	169.370	2,879.29	82.20	ST
	Feb 1, 17	114,000	164.365	18,737.61	169.370	19,308.18	570.57	ST
Security total		131,000	164.387	21,534.70		22,187.47	652.77	
BLACKROCK INC								
Symbol BLK Exchange NYSE	Jan 26, 17	12,000	382.395	4,588.74	422.410	5,068.92	480.18	ST
	Feb 1, 17	79,000	371.175	29,322.83	422.410	33,370.39	4,047.56	ST
Security total		91,000	372.655	33,911.57		38,439.31	4,527.74	

continued next page

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CA INC								
Symbol CA Exchange OTC								
EAI \$958 Current yield 2.96%	Jan 26, 17	121,000	31.125	3,766.13	34.470	4,170.87	404.74	ST
	Feb 1, 17	818,000	30.897	25,274.32	34.470	28,196.46	2,922.14	ST
Security total		939,000	30.927	29,040.45		32,367.33	3,326.88	
CARNIVAL CORP NEW (PAIRED STOCK)								
Symbol CCL Exchange NYSE								
EAI \$1,214 Current yield 2.44%	Jan 26, 17	98,000	57.393	5,624.55	65.570	6,425.86	801.31	ST
	Feb 1, 17	661,000	55.323	36,568.77	65.570	43,341.77	6,773.00	ST
Security total		759,000	55.591	42,193.32		49,767.63	7,574.31	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$972 Current yield 4.14%	Jan 26, 17	29,000	117.315	3,402.15	104.330	3,025.57	-376.58	ST
	Feb 1, 17	196,000	111.412	21,836.87	104.330	20,448.68	-1,388.19	ST
Security total		225,000	112.173	25,239.02		23,474.25	-1,764.77	
GINN FINANCIAL CORP								
Symbol GINN Exchange OTC								
EAI \$946 Current yield 2.76%	Jan 26, 17	61,000	71.200	4,343.21	72.450	4,419.45	76.24	ST
	Feb 1, 17	412,000	70.530	29,058.36	72.450	29,849.40	791.04	ST
Security total		473,000	70.616	33,401.57		34,268.85	867.28	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$1,099 Current yield 3.71%	Jan 26, 17	122,000	30.635	3,737.49	31.300	3,818.60	81.11	ST
	Feb 1, 17	825,000	30.515	25,174.88	31.300	25,822.50	647.62	ST
Security total		947,000	30.530	28,912.37		29,641.10	728.73	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$1,138 Current yield 3.30%	Jan 26, 17	99,000	41.935	4,151.57	44.850	4,440.15	288.58	ST
	Feb 1, 17	670,000	41.406	27,742.49	44.850	30,049.50	2,307.01	ST
Security total		769,000	41.475	31,894.06		34,489.65	2,595.59	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$772 Current yield 2.52%	Jan 26, 17	33,000	112.253	3,704.37	119.830	3,954.39	250.02	ST

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ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB London
Account number: Y1 37644 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 1, 17	223 000	111 141	24,784 55	119 830	26,722 09	1,937 54	ST
Security total		256 000	111 285	28,488 92		30,676 48	2,187 56	
DOMINION ENERGY INC								
Symbol D Exchange NYSE								
EAI \$550 Current yield 3.94%	Jan 26, 17	24 000	76 019	1,824 46	76 630	1,839 12	14 66	ST
	Feb 1, 17	158 000	72 000	11,376 00	76 630	12,107 54	731 54	ST
Security total		182 000	72 530	13,200 46		13,946 66	746 20	
DUKE ENERGY CORP NEW								
Symbol DUK Exchange NYSE								
EAI \$811 Current yield 4.09%	Jan 26, 17	31 000	77 050	2,388 55	83 590	2,591 29	202 74	ST
	Feb 1, 17	206 000	77 486	15,962 16	83 590	17,219 54	1,257 38	ST
Security total		237 000	77 429	18,350 71		19,810 83	1,460 12	
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE								
EAI \$941 Current yield 1.70%	Jan 26, 17	36 000	176 957	6,370 47	198 100	7,131 60	761 13	ST
	Feb 1, 17	244 000	181 229	44,219 88	198 100	48,336 40	4,116 52	ST
Security total		280 000	180 680	50,590 35		55,468 00	4,877 65	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$1,182 Current yield 3.55%	Jan 26, 17	19 000	30 395	577 51	27 010	513 19	-64 32	ST
	Feb 1, 17	1,212 000	29 735	36,039 55	27 010	32,736 12	-3,303 43	ST
Security total		1,231 000	29 746	36,617 06		33,249 31	-3,367 75	
GENL MILLS INC								
Symbol GIS Exchange NYSE								
EAI \$1,111 Current yield 3.54%	Jan 26, 17	73 000	62 565	4,567 25	55 400	4,044 20	-523 05	ST
	Feb 1, 17	494 000	61 825	30,541 55	55 400	27,367 60	-3,173 95	ST
Security total		567 000	61 920	35,108 80		31,411 80	-3,697 00	
HASBRO INC								
Symbol HAS Exchange OTC								
EAI \$545 Current yield 2.04%	Jan 26, 17	31 000	81 378	2,522 74	111 510	3,456 81	934 07	ST
	Feb 1, 17	208 000	82 143	17,085 95	111 510	23,194 08	6,108 13	ST
Security total		239 000	82 045	19,608 69		26,650 89	7,042 20	

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June 2017

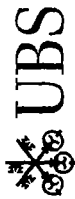
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB London
Account number: Y1 37644 A8

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212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$864 Current yield 3.23%	Jan 26, 17	102,000	37.658	3,841.21	33.740	3,441.48	-399.73	ST
	Feb 1, 17	691,000	36.557	25,261.30	33.740	23,314.34	-1,946.96	ST
Security total		793,000	36.699	29,102.51		26,755.82	-2,346.69	
KINDER MORGAN INC								
Symbol KMI Exchange NYSE								
EAI \$480 Current yield 2.61%	Jan 26, 17	124,000	22.695	2,814.19	19.160	2,375.84	-438.35	ST
	Feb 1, 17	836,000	22.145	18,513.22	19.160	16,017.76	-2,495.46	ST
Security total		960,000	22.216	21,327.41		18,393.60	-2,933.81	
LULU ELI & CO								
Symbol LLY Exchange NYSE								
EAI \$784 Current yield 2.53%	Jan 26, 17	49,000	75.185	3,684.07	82.300	4,032.70	348.63	ST
	Feb 1, 17	328,000	77.765	25,506.92	82.300	26,994.40	1,487.48	ST
Security total		377,000	77.430	29,190.99		31,027.10	1,836.11	
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI \$431 Current yield 2.11%	Jan 26, 17	34,000	73.027	2,482.92	77.530	2,636.02	153.10	ST
	Feb 1, 17	229,000	72.686	16,645.16	77.530	17,754.37	1,109.21	ST
Security total		263,000	72.730	19,128.08		20,390.39	1,262.31	
MERCCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$1,055 Current yield 2.93%	Jan 26, 17	72,000	60.839	4,380.47	64.090	4,614.48	234.01	ST
	Feb 1, 17	489,000	62.015	30,325.63	64.090	31,340.01	1,014.38	ST
Security total		561,000	61.865	34,706.10		35,954.49	1,248.39	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$758 Current yield 2.26%	Jan 26, 17	63,000	63.773	4,017.71	68.930	4,342.59	324.88	ST
	Feb 1, 17	423,000	63.945	27,048.74	68.930	29,157.39	2,108.65	ST
Security total		486,000	63.923	31,066.45		33,499.98	2,433.53	
NEWMARKET CORP								
Symbol NEU Exchange NYSE								
EAI \$385 Current yield 1.52%	Jan 26, 17	7,000	442.110	3,094.77	460.480	3,223.36	128.59	ST
	Feb 1, 17	48,000	426.980	20,495.04	460.480	22,103.04	1,608.00	ST

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
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Account number: Y1 37644 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE								
EAI \$893 Current yield 2.00%	Jan 26, 17	47 000	121 510	5,710 98	121 700	5,719 90	8 92	ST
	Feb 1, 17	319 000	118 038	37,654.44	121 700	38,822 30	1,167 86	ST
Security total		366 000	118 485	43,365 42		44,542 20	1,176 78	
PAYCHEX INC								
Symbol PAYX Exchange. OTC								
EAI \$760 Current yield 3 23%	Jan 26, 17	53 000	61 550	3,262.17	56 940	3,017 82	-244 35	ST
	Feb 1, 17	360 000	59 095	21,274.20	56 940	20,498.40	-775.80	ST
Security total		413 000	59 410	24,536.37		23,516 22	-1,020 15	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$1,126 Current yield 3 81%	Jan 26, 17	114 000	31 220	3,559 08	33 590	3,829 26	270 18	ST
	Feb 1, 17	766 000	31 366	24,027 12	33 590	25,729 94	1,702 82	ST
Security total		880 000	31 348	27,586 20		29,559 20	1,973 00	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI \$674 Current yield: 3.54%	Mar 2, 17	162 000	109 916	17,806 49	117 450	19,026 90	1,220 41	ST
REYNOLDS AMERN INC (HOLDING CO)								
Symbol RAI Exchange NYSE								
EAI \$726 Current yield: 3 14%	Jan 26, 17	46 000	60 216	2,769 98	65 040	2,991 84	221 86	ST
	Feb 1, 17	310 000	60 215	18,666 68	65 040	20,162 40	1,495 72	ST
Security total		356 000	60 215	21,436 66		23,154 24	1,717 58	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI \$930 Current yield. 4 74%	Apr 26, 17	375 000	55 995	20,998 43	52 290	19,608 75	-1,389.68	ST
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$1,287 Current yield 5 17%	Jan 26, 17	72 000	49 066	3,532 80	44 660	3,215 52	-317 28	ST
	Feb 1, 17	485 000	48 805	23,670 72	44 660	21,660 10	-2,010.62	ST
Security total		557 000	48 839	27,203 52		24,875 62	-2,327 90	

continued next page

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB London
Account number: Y1 37644 A8

Your Financial Advisor:
 ADAM EPSTEIN ADVICELINK
 212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$1,408 Current yield 2.74%	Jan 26, 17	120 000	57 085	6,850 21	55 410	6,649 20	-201 01	ST
	Feb 1, 17	806 000	56 219	45,313 15	55.410	44,660 46	-652.69	ST
Security total		926,000	56 332	52,163.36		51,309 66	-853 70	
Total				\$949,594.49		\$985,302.89	\$35,708.40	
Total estimated annual income: \$27,504								

Your total assets

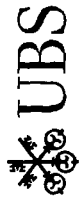
	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	12,879.00	1.29%	12,879.00		
Equities	985,302.89	98.71%	949,594.49	27,504.00	35,708.40
Total	\$998,181.89	100.00%	\$962,473.49	\$27,504.00	\$35,708.40

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$9,841.68
Jun 1	Dividend	INTEL CORP PAID ON SYMBOL. INTC	102		27.80		
Jun 1	Dividend	PFIZER INC PAID ON SYMBOL PFE	114		36 48		
Jun 1	Dividend	WELLS FARGO & CO NEW PAID ON SYMBOL. WFC	120		45 60		
Jun 1	Dividend	INTEL CORP PAID ON SYMBOL INTC	691		188 30		
Jun 1	Dividend	PFIZER INC PAID ON SYMBOL PFE	766		245 12		
Jun 1	Dividend	WELLS FARGO & CO NEW PAID ON SYMBOL WFC	806		306 28		10,691 26

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Loomis
Account number: Y1 37645 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	13,993.31	17,464.83					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ALIBABA GROUP HLDG LTD SPON ADR								
Symbol BABA Exchange NYSE	Jan 27, 17	62,000	101.968	6,322.04	140.900	8,735.80	2,413.76	ST
	Feb 1, 17	418,000	101.287	42,337.97	140.900	58,896.20	16,558.23	ST
Security total		480,000	101.375	48,660.01		67,632.00	18,971.99	
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jan 27, 17	5,000	845.100	4,225.50	929.680	4,648.40	422.90	ST
	Feb 1, 17	30,000	815.070	24,452.10	929.680	27,890.40	3,438.30	ST
Security total		35,000	819.360	28,677.60		32,538.80	3,861.20	
ALPHABET INC CL C								
Symbol GOOG Exchange OTC	Jan 27, 17	5,000	823.162	4,115.81	908.730	4,543.65	427.84	ST
	Feb 1, 17	30,000	795.000	23,850.00	908.730	27,261.90	3,411.90	ST
Security total		35,000	799.023	27,965.81		31,805.55	3,839.74	
AMAZON COM INC								
Symbol AMZN Exchange OTC	Jan 27, 17	11,000	835.383	9,189.22	968.000	10,648.00	1,458.78	ST

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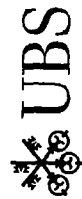
Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Loomis
Account number: Y1 37645 A8

Your Financial Advisor:
ADAM EPSTEIN ADVISELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 1, 17	75,000	828.985	62,173.89	968.000	72,600.00	10,426.11	ST
AMER EXPRESS CO		86,000	829.804	71,363.11		83,248.00	11,884.89	
Symbol AXP Exchange NYSE	Jan 27, 17	24,000	76.799	1,843.18	84.240	2,021.76	178.58	ST
EAI \$237 Current yield 1.52%	Feb 1, 17	161,000	76.810	12,366.41	84.240	13,562.64	1,196.23	ST
Security total		185,000	76.809	14,209.59		15,584.40	1,374.81	
AMGEN INC								
Symbol AMGN Exchange OTC	Jan 27, 17	16,000	157.408	2,518.54	172.230	2,755.68	237.14	ST
EAI \$575 Current yield 2.67%	Feb 1, 17	109,000	158.940	17,324.46	172.230	18,773.07	1,448.61	ST
Security total		125,000	158.744	19,843.00		21,528.75	1,685.75	
ANALOG DEVICES INC								
Symbol ADI Exchange OTC	Jan 27, 17	8,000	76.866	614.93	77.800	622.40	7.47	ST
EAI \$110 Current yield 2.32%	Feb 1, 17	53,000	75.960	4,025.88	77.800	4,123.40	97.52	ST
Security total		61,000	76.079	4,640.81		4,745.80	104.99	
AUTODESK INC								
Symbol ADSK Exchange OTC	Jan 27, 17	50,000	81.715	4,085.75	100.820	5,041.00	955.25	ST
Security total	Feb 1, 17	339,000	81.101	27,493.51	100.820	34,177.98	6,684.47	ST
Security total		389,000	81.181	31,579.26		39,218.98	7,639.72	
AUTOMATIC DATA PROCESSING INC								
Symbol ADP Exchange OTC	Jan 27, 17	12,000	101.585	1,219.02	102.460	1,229.52	10.50	ST
EAI \$207 Current yield 2.22%	Feb 1, 17	79,000	95.030	7,507.37	102.460	8,094.34	586.97	ST
Security total		91,000	95.894	8,726.39		9,323.86	597.47	
CERNER CORP								
Symbol CERN Exchange OTC	Jan 27, 17	50,000	53.006	2,650.34	66.470	3,323.50	673.16	ST
Security total	Feb 1, 17	338,000	53.563	18,104.40	66.470	22,466.86	4,362.46	ST
Security total		388,000	53.492	20,754.74		25,790.36	5,035.62	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Jan 27, 17	204,000	30.977	6,319.47	31.300	6,385.20	65.73	ST
EAI \$1,829 Current yield 3.71%								

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Loomis
Account number: Y1 37645 A8

Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

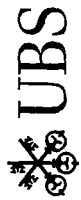
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 1, 17	1,373 000	30 496	41,871 97	31 300	42,974 90	1,102 93	ST
Security total		1,577 000	30 559	48,191 44		49,360 10	1,168 66	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$1,073 Current yield 3 30%	Jan 27, 17	94 000	41 445	3,895 83	44 850	4,215 90	320 07	ST
Security total	Feb 1, 17	631 000	41 409	26,129 08	44 850	28,300 35	2,171 27	ST
Security total		725 000	41 414	30,024 91		32,516 25	2,491 34	
DANONE SPON ADR								
Symbol DANOY Exchange OTC								
EAI \$575 Current yield 1 64%	Jan 27, 17	301 000	12 612	3,796 42	15 020	4,521 02	724 60	ST
Security total	Feb 1, 17	2,027 000	12 692	25,728 65	15 020	30,445 54	4,716 89	ST
Security total		2,328 000	12 683	29,525 07		34,966 56	5,441 49	
DEERE AND CO								
Symbol DE Exchange NYSE								
EAI \$557 Current yield 1 94%	Jan 27, 17	30 000	107 899	3,236 99	123 590	3,707 70	470 71	ST
Security total	Feb 1, 17	202 000	107 129	21,640 06	123 590	24,965 18	3,325 12	ST
Security total		232 000	107 229	24,877 05		28,672 88	3,795 83	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI \$458 Current yield 1 49%	Jan 27, 17	70 000	53 187	3,723 11	56 480	3,953 60	230 49	ST
Security total	Feb 1, 17	475 000	52 030	24,714 25	56 480	26,828 00	2,113 75	ST
Security total		545 000	52 179	28,437 36		30,781 60	2,344 24	
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
Security total	Jan 27, 17	64 000	132 030	8,449 92	150 980	9,662 72	1,212 80	ST
Security total	Feb 1, 17	434 000	132 743	57,610 64	150 980	65,525 32	7,914 68	ST
Security total		498 000	132 652	66,060 56		75,188 04	9,127 48	
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI \$244 Current yield 1 35%	Jan 27, 17	14 000	173 219	2,425 07	166 180	2,326 52	-98 55	ST
Security total	Feb 1, 17	95 000	172 669	16,403 64	166 180	15,787 10	-616 54	ST
Security total		109 000	172 740	18,828 71		18,113 62	-715 09	

continued next page

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE	Jan 27, 17	27,000	61 716	1,666 34	64 090	1,730 43	64 09	ST
EAI \$399 Current yield 2.94%	Feb 1, 17	185,000	61 955	11,461 84	64 090	11,856 65	394 81	ST
Security total		212,000	61 925	13,128 18		13,587 08	458 90	
MICROSOFT CORP								
Symbol MSFT Exchange OTC	Jan 27, 17	53,000	65 765	3,485 55	68 930	3,653 29	167 74	ST
EAI \$643 Current yield 2.26%	Feb 1, 17	359,000	63 576	22,824 04	68 930	24,745 87	1,921 83	ST
Security total		412,000	63 858	26,309 59		28,399 16	2,089 57	
MONSTER BEVERAGE CORP NEW COM								
Symbol MNST Exchange OTC	Jan 27, 17	105,000	42 286	4,440 10	49 680	5,216 40	776 30	ST
Security total	Feb 1, 17	706,000	41 940	29,609 64	49 680	35,074 08	5,464 44	ST
		811,000	41 985	34,049 74		40,290 48	6,240 74	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE	Jan 27, 17	34,000	72 160	2,453 44	83 470	2,837 98	384 54	ST
EAI \$603 Current yield 2.76%	Feb 1, 17	228,000	73 395	16,734 27	83 470	19,031 16	2,296 89	ST
Security total		262,000	73 236	19,187 71		21,869 14	2,681 43	
NOVO NORDISK ADR DENMARK ADR								
Symbol NVO Exchange NYSE	Jan 27, 17	101,000	35 740	3,609 74	42 890	4,331 89	722 15	ST
EAI \$632 Current yield 1.89%	Feb 1, 17	679,000	36 658	24,891 46	42 890	29,122 31	4,230 85	ST
Security total		780,000	36 540	28,501 20		33,454 20	4,953 00	
ORACLE CORP								
Symbol ORCL Exchange NYSE	Jan 27, 17	129,000	40 147	5,179 03	50 140	6,468 06	1,289 03	ST
EAI \$761 Current yield 1.52%	Feb 1, 17	872,000	39 816	34,720 34	50 140	43,722 08	9,001 74	ST
Security total		1,001,000	39 860	39,899 37		50,190 14	10,290 77	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE	Jan 27, 17	47,000	86 796	4,079 44	87 150	4,096 05	16 61	ST
EAI \$1,009 Current yield 3.16%	Feb 1, 17	319,000	87 469	27,902 64	87 150	27,800 85	-101 79	ST
Security total		366,000	87 383	31,982 08		31,896 90	-85 18	

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Loomis
Account number: Y1 37645 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$1,393 Current yield 4.13%	Jan 27, 17	79 000	54 216	4,283.12	55 220	4,362.38	79.26	ST
	Feb 1, 17	532 000	52 946	28,167.64	55 220	29,377.04	1,209.40	ST
Security total		611 000	53 111	32,450.76		33,739.42	1,288.66	
REGENERON PHARMACEUTICALS INC								
Symbol REGN Exchange OTC								
	Jan 27, 17	9 000	346 342	3,117.08	491 140	4,420.26	1,303.18	ST
	Feb 1, 17	60 000	362 750	21,765.00	491 140	29,468.40	7,703.40	ST
Security total		69 000	360 610	24,882.08		33,888.66	9,006.58	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$576 Current yield 3.04%	Jan 27, 17	37 000	84 455	3,124.84	65 840	2,436.08	-688.76	ST
	Feb 1, 17	251 000	83 017	20,837.39	65 840	16,525.84	-4,311.55	ST
Security total		288 000	83 202	23,962.23		18,961.92	-5,000.31	
SEI INVESTMENTS CO								
Symbol SEIC Exchange OTC								
EAI \$315 Current yield 1.04%	Jan 27, 17	73 000	49 066	3,581.87	53 780	3,925.94	344.07	ST
	Feb 1, 17	490 000	48 363	23,698.18	53 780	26,352.20	2,654.02	ST
Security total		563 000	48 455	27,280.05		30,278.14	2,998.09	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI \$770 Current yield 3.00%	Jan 27, 17	30 000	118 066	3,541.99	110 590	3,317.70	-224.29	ST
	Feb 1, 17	202 000	104 454	21,099.85	110 590	22,339.18	1,239.33	ST
Security total		232 000	106 215	24,641.84		25,656.88	1,015.04	
VAREX IMAGING CORP								
Symbol VREX Exchange OTC								
	Jan 27, 17	11 000	27 291	300.21	33 800	371.80	71.59	ST
	Jan 27, 17	1 000	27 290	27.29	33 800	33.80	6.51	ST
	Feb 8, 17	1 000	29 660	29.66	33 800	33.80	4.14	ST
Security total		13 000	27 474	357.16		439.40	82.24	
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange NYSE								
	Jan 27, 17	32 000	77 740	2,487.69	103 190	3,302.08	814.39	ST
	Feb 1, 17	214 000	78 502	16,799.51	103 190	22,082.66	5,283.15	ST

continued next page

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Loomis
Account number: Y1 37645 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$387 Current yield 0.70%	Jan 27, 17	76,000	83.666	6,358.66	93.780	7,127.28	768.62	ST
	Feb 1, 17	511,000	82.266	42,038.13	93.780	47,921.58	5,883.45	ST
Security total		587,000	82.448	48,396.79		55,048.86	6,652.07	
YUM CHINA HLDGS INC								
Symbol YUMC Exchange NYSE								
	Jan 27, 17	50,000	28.028	1,401.40	39.430	1,971.50	570.10	ST
	Feb 1, 17	340,000	27.576	9,376.04	39.430	13,406.20	4,030.16	ST
Security total		390,000	27.634	10,777.44		15,377.70	4,600.26	
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI \$318 Current yield 1.63%	Jan 27, 17	34,000	65.816	2,237.77	73.760	2,507.84	270.07	ST
	Feb 1, 17	231,000	64.835	14,977.09	73.760	17,038.56	2,061.47	ST
Security total		265,000	64.962	17,214.86		19,546.40	2,331.54	
Total				\$944,673.70		\$1,079,024.77	\$134,351.07	
Total estimated annual income: \$13,671								

Your total assets

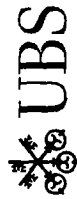
	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	17,464.83	1.59%	17,464.83		
Equities	1,079,024.77	98.41%	944,673.70	13,671.00	134,351.07
Total	\$1,096,489.60	100.00%	\$962,138.53	\$13,671.00	\$134,351.07

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$13,993.31
Jun 1	Sold	VAREX IMAGING CORP UNSOLICITED SYMBOL: VREX		-2.000	34,748.900	69.49	

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Core Eq
Account number: Y1 37646 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

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Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	568.04					
UBS BANK USA BUS ACCT	32,143.16	36,716.51					250,000.00
Total	\$32,143.16	\$37,284.55					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI \$1.029 Current yield 1.96%	Jan 26, 17	425,000	116.990	49,720.75	123.680	52,564.00	2,843.25	ST
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Jan 26, 17	203,000	113.790	23,099.37	141.440	28,712.32	5,612.95	ST
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jan 26, 17	28,000	860.940	24,106.32	929.680	26,031.04	1,924.72	ST
AMERICAN TOWER CORP REIT								
Symbol AMT Exchange NYSE								
EAI \$530 Current yield 1.93%	Mar 15, 17	207,000	114.130	23,624.91	132.320	27,390.24	3,765.33	ST
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$677 Current yield 2.61%	Jan 26, 17	204,000	117.480	23,965.92	127.290	25,967.16	2,001.24	ST

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC.
Friendly account name: LRB Core Eq
Account number: Y1 37646 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
AMERISOURCEBERGEN CORP								
Symbol ABC Exchange NYSE								
EAI \$403 Current yield 1 54%	Jan 26, 17	276 000	81 850	22,590 60	94 530	26,090 28	3,499 68	ST
BLACKROCK INC								
Symbol BLK Exchange NYSE	Jan 26, 17	130,000	386,000	50,180 00	422 410	54,913 30	4,733 30	ST
BRITISH AMER TOBACCO PLC GB								
SPON ADR								
Symbol BTI Exchange AMEX								
EAI \$914 Current yield 3 18%	Jan 26, 17	420 000	61 850	25,977 00	68 540	28,786 80	2,809 80	ST
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$989 Current yield 4 14%	Jan 31, 17	229 000	111 890	25,622 81	104 330	23,891 57	-1,731 24	ST
CHUBB LTD CHF								
Symbol CB Exchange NYSE								
EAI \$551 Current yield 1 95%								
CHF Exchange rate: 0.95765	Jan 26, 17	194 000	133 000	25,802 00	145 380	28,203 72	2,401 72	ST
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$916 Current yield 3 30%	Jan 26, 17	619 000	42 080	26,047 52	44 850	27,762 15	1,714 63	ST
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI \$1,208 Current yield 2 16%	Jan 26, 17	755 000	68 350	51,604 25	74 130	55,968 15	4,363 90	ST
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI \$387 Current yield 1 62%	Jan 26, 17	614 000	36 570	22,453 98	38 920	23,896 88	1,442 90	ST
CRANE CO								
Symbol CR Exchange NYSE								
EAI \$457 Current yield 1 66%	Jan 26, 17	346,000	75,200	26,019 20	79,380	27,465 48	1,446 28	ST
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI \$1,238 Current yield 2 49%	Jan 26, 17	619,000	79 080	48,950 52	80 460	49,804 74	854 22	ST
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI \$161 Current yield 0 66%	Jan 26, 17	287,000	80 860	23,206 82	84 390	24,219 93	1,013 11	ST

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Core Eq
Account number: Y1 37646 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$691 Current yield 2.52%	Jan 26, 17	229,000	112.780	25,826.62	119.830	27,441.07	1,614.45	ST
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI \$281 Current yield 1.11%	Jan 26, 17	190,000	122.010	23,181.90	132.750	25,222.50	2,040.60	ST
FACEBOOK INC CL A								
Symbol FB Exchange. OTC								
EAI \$1,253 Current yield 2.32%	Jan 26, 17	176,000	131.770	23,191.52	150.980	26,572.48	3,380.96	ST
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$1,253 Current yield 2.32%	Jan 26, 17	352,000	138.010	48,579.52	153.400	53,996.80	5,417.28	ST
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI \$516 Current yield 2.00%	Jan 26, 17	194,000	118.030	22,897.82	133.290	25,858.26	2,960.44	ST
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$746 Current yield 3.23%	Jan 26, 17	684,000	37.800	25,855.20	33.740	23,078.16	-2,777.04	ST
INTERCONTINENTAL EXCHANGE GROUP								
Symbol ICE Exchange NYSE								
EAI \$319 Current yield 1.21%	Jan 26, 17	399,000	58.210	23,225.79	65.920	26,302.08	3,076.29	ST
INVESCO LTD								
Symbol IVZ Exchange NYSE								
EAI \$1,934 Current yield 3.30%	Jan 26, 17	1,667,000	31.500	52,510.50	35.190	58,661.73	6,151.23	ST
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$618 Current yield 2.54%	Jun 12, 17	184,000	131.604	24,215.25	132.290	24,341.36	126.11	ST
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$735 Current yield 2.62%	Jan 26, 17	101,000	250.000	25,250.00	277.610	28,038.61	2,788.61	ST
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI \$515 Current yield 2.12%	Jan 26, 17	314,000	73.380	23,041.32	77.530	24,344.42	1,303.10	ST

continued next page



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Your assets ▶ **Equities** ▶ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange NYSE								
EAI \$563 Current yield 1.93%	Jan 26, 17	375,000	68.690	25,758.75	77.960	29,235.00	3,476.25	ST
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$782 Current yield 2.45%	Jan 26, 17	208,000	121.860	25,346.88	153.160	31,857.28	6,510.40	ST
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI \$569 Current yield: 2.07%	Jan 26, 17	309,000	74.490	23,017.41	88.750	27,423.75	4,406.34	ST
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$613 Current yield 2.26%	Jan 26, 17	393,000	64.120	25,199.16	68.930	27,089.49	1,890.33	ST
MONDELEZ INTL INC								
Symbol MDLZ Exchange. OTC								
EAI \$404 Current yield 1.76%	May 15, 17	532,000	44.970	23,924.52	43.190	22,977.08	-947.44	ST
MYLAN N.V. EUR								
Symbol MYL Exchange OTC								
EUR Exchange rate 0.87677	Jan 26, 17	636,000	36.540	23,239.44	38.820	24,689.52	1,450.08	ST
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI \$821 Current yield 2.80%	Jan 26, 17	209,000	118.700	24,808.30	140.130	29,287.17	4,478.87	ST
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI \$867 Current yield 3.09%	Jan 26, 17	586,000	45.010	26,375.86	47.830	28,028.38	1,652.52	ST
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$815 Current yield 2.76%	Jan 26, 17	354,000	72.230	25,569.42	83.470	29,548.38	3,978.96	ST
O'REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC								
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$795 Current yield 2.79%	Jan 26, 17	247,000	104.510	25,813.97	115.490	28,526.03	2,712.06	ST
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI \$677 Current yield 2.38%	Jan 26, 17	215,000	118.220	25,417.30	132.550	28,498.25	3,080.95	ST



Portfolio Management Program
June 2017

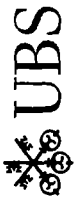
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Core Eq
Account number: Y1 37646 A8

Your Financial Advisor:
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Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
RED HAT INC								
Symbol RHT Exchange NYSE	Jan 26, 17	306 000	77 040	23,574.24	95 750	29,299 50	5,725 26	ST
ROCKWELL COLLINS INC								
Symbol COL Exchange NYSE	Jan 26, 17	254 000	90 690	23,035 26	105 080	26,690 32	3,655 06	ST
EAI \$335 Current yield 1.26%								
ROCKWELL AUTOMATION INC NEW								
Symbol ROK Exchange NYSE	Jan 26, 17	326 000	153 870	50,161 62	161 960	52,798 96	2,637 34	ST
EAI \$991 Current yield 1.88%								
STARBUCKS CORP								
Symbol SBUX Exchange OTC	Jan 26, 17	413 000	58 700	24,243.10	58 310	24,082.03	-161 07	ST
EAI \$413 Current yield 1.71%								
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE	Jan 31, 17	826 000	31 210	25,779 46	29 200	24,119 20	-1,660 26	ST
EAI \$798 Current yield 3.31%								
CAD Exchange rate 1.29870								
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC	Jan 26, 17	329 000	78 020	25,668 58	76 930	25,309 97	-358 61	ST
EAI \$658 Current yield 2.60%								
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE	Jan 26, 17	161 000	142 520	22,945 72	174 470	28,089 67	5,143 95	ST
EAI \$97 Current yield 0.35%								
TIX COS INC NEW								
Symbol TIX Exchange NYSE	Jan 26, 17	312 000	75 480	23,549 76	72 170	22,517 04	-1,032 72	ST
EAI \$390 Current yield 1.73%								
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE	Jan 26, 17	237 000	109,570	25,968 09	108 910	25,811 67	-156 42	ST
EAI \$574 Current yield 2.22%								
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE	Jan 26, 17	446,000	110 990	49,501 54	122 110	54,461.06	4,959 52	ST
EAI \$1,249 Current yield 2.29%								
VF CORP								
Symbol VFC Exchange NYSE	Jan 26, 17	499,000	52 700	26,297 30	57 600	28,742 40	2,445 10	ST
EAI \$838 Current yield 2.92%								

continued next page



Portfolio Management Program
June 2017

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$182 Current yield 0.70%	Jan 26, 17	276,000	83.910	23,159.16	93.780	25,883.28	2,724.12	ST
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$324 Current yield 1.47%	Jan 26, 17	208,000	108.320	22,530.56	106.250	22,100.00	-430.56	ST
Total				\$1,474,995.05		\$1,591,839.78	\$116,844.73	

Total estimated annual income: \$30,823

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 2000 ETF									
Symbol IWM									
Trade date Jan 31, 17	540,000	133.870	72,289.80	72,289.80	140.920	76,096.80	3,807.00	3,807.00	ST
EAI \$1,034 Current yield 1.36%									
ISHARES CORE S&P MIDCAP									
ETF									
Symbol IJH									
Trade date Jan 31, 17	432,000	167.340	72,290.88	72,290.88	173.950	75,146.40	2,855.52	2,855.52	ST
EAI \$1,141 Current yield 1.52%									
ISHARES EDGE MSCI MIN VOL USA									
ETF									
Symbol USMV									
Trade date Jan 31, 17	1,192,000	45.630	54,390.96	54,390.96	48.930	58,324.56	3,933.60	3,933.60	ST
EAI \$1,219 Current yield 2.09%									

continued next page



Portfolio Management Program
June 2017

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Your assets • **Equities** • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
SPDR S&P 500 ETF TR									
Symbol SPY									
Trade date Jan 31, 17	559 000	227 010	126,898 59	126,898 59	241 800	135,166 20	8,267 61		ST
Trade date Mar 15, 17	10 000	237 560	2,375 60	2,375 60	241 800	2,418 00	42 40		ST
EAI \$2.633 Current yield 1 91%									
Security total	569,000	227 195	129,274 19	129,274 19		137,584 20	8,310 01	8,310 01	
Total			\$328,245.83	\$328,245.83		\$347,151.96	\$18,906.13	\$18,906.13	

Total estimated annual income: \$6,027

Your total assets

Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	37,284.55	1.89%	37,284.55		
Common stock	1,591,839 78		1,474,995 05	30,823 00	116,844 73
Closed end funds & Exchange traded products	347,151 96		328,245 83	6,027 00	18,906 13
Total equities	1,938,991.74	98.11%	1,803,240.88	36,850.00	135,750.86
Total	\$1,976,276.29	100.00%	\$1,840,525.43	\$36,850.00	\$135,750.86

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$32,143.16
Jun 1	Dividend	INTEL CORP PAID ON SYMBOL INTC	684		186 39		
Jun 1	Dividend	TJX COS INC NEW PAID ON SYMBOL TJX	312		97 50		32,427 05
Jun 2	Foreign Dividend	INVESCO LTD PAID ON SYMBOL IVZ	1667		483 43		32,910 48
Jun 5	Dividend	AMERISOURCEBERGEN CORP PAID ON SYMBOL ABC	276		100 74		

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LR8 Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun. 1 (\$)	Closing balance on Jun. 30 (\$)	Price per share on Jun. 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	72,530.49	76,053.15					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun. 30 (\$)	Value on Jun. 30 (\$)	Unrealized gain or loss (\$)	Holding period
AIRCATTLE LTD								
Symbol: AYR Exchange: NYSE								
EAI \$915 Current yield 4.78%	Jan 25, 17	351,000	22.646	7,948.85	21.750	7,634.25	-314.60	ST
	Jan 31, 17	26,000	22.340	580.84	21.750	565.50	-15.34	ST
	Apr 7, 17	503,000	22.763	11,449.99	21.750	10,940.25	-509.74	ST
Security total		880,000	22.704	19,979.68		19,140.00	-839.68	
APPLE HOSPITALITY REIT INC								
Symbol: APLE Exchange: NYSE								
EAI \$1,104 Current yield 6.41%	May 16, 17	920,000	18.671	17,177.69	18.710	17,213.20	35.51	ST
CARS COM INC								
Symbol: CARS Exchange: NYSE								
	Jan 25, 17	94,667	24.249	2,295.64	26.630	2,520.97	225.33	ST
	Jan 31, 17	7,667	24.673	189.16	26.630	204.16	15.00	ST
	Apr 7, 17	129,667	27.224	3,530.10	26.630	3,453.02	-77.08	ST
Security total		232,000	25.926	6,014.90		6,178.16	163.25	

continued next page

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI \$652 Current yield 2.90%	May 16, 17	209,000	102.390	21,399.51	107.460	22,459.14	1,059.63	ST
CELANESE CORP NEW SER A								
Symbol CE Exchange NYSE								
EAI \$454 Current yield 1.94%	May 16, 17	247,000	87.381	21,583.30	94.940	23,450.18	1,866.88	ST
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$957 Current yield 3.71%	Jan 25, 17	333,000	30.816	10,261.79	31.300	10,422.90	161.11	ST
	Jan 31, 17	27,000	30.680	828.36	31.300	845.10	16.74	ST
	Apr 7, 17	465,000	33.017	15,353.18	31.300	14,554.50	-798.68	ST
Security total		825,000	32.053	26,443.33		25,822.50	-620.83	
CROWN CASTLE INTL CORP REIT								
Symbol CCI Exchange NYSE								
EAI \$851 Current yield 3.79%	May 16, 17	224,000	96.323	21,576.40	100.180	22,440.32	863.92	ST
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI \$570 Current yield 2.53%	Jan 25, 17	56,000	147.099	8,237.59	162.220	9,084.32	846.73	ST
	Jan 31, 17	5,000	146.990	734.95	162.220	811.10	76.15	ST
	Apr 7, 17	78,000	150.380	11,729.64	162.220	12,653.16	923.52	ST
Security total		139,000	148.937	20,702.18		22,548.58	1,846.40	
EATON CORP PLC								
Symbol ETN Exchange NYSE								
EAI \$624 Current yield 3.08%	Jan 25, 17	100,000	70.690	7,069.00	77.830	7,783.00	714.00	ST
	Jan 31, 17	8,000	70.820	566.56	77.830	622.64	56.08	ST
	Apr 7, 17	152,000	74.699	11,354.25	77.830	11,830.16	475.91	ST
Security total		260,000	73.038	18,989.81		20,235.80	1,245.99	
EPR PROPERTIES REIT								
Symbol EPR Exchange NYSE								
EAI \$1,591 Current yield 5.68%	Jan 25, 17	167,000	74.605	12,459.20	71.870	12,002.29	-456.91	ST
	Jan 31, 17	12,000	73.899	886.79	71.870	862.44	-24.35	ST
	Apr 7, 17	211,000	74.418	15,702.32	71.870	15,164.57	-537.75	ST
Security total		390,000	74.483	29,048.31		28,029.30	-1,019.01	

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
GAMING & LEISURE PPTYS INC								
REIT								
Symbol GLPI Exchange OTC								
EAI \$1,612 Current yield 6.58%	Jan 25, 17	265 000	31 279	8,288 94	37 670	9,982 55	1,693 61	ST
	Jan 31, 17	22 000	31 530	693 66	37 670	828 74	135.08	ST
	Apr 7, 17	363 000	34.162	12,400 95	37 670	13,674 21	1,273.26	ST
Security total		650 000	32.898	21,383.55		24,485 50	3,101 95	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$559 Current yield 2.32%	Jan 25, 17	64 000	138 700	8,876 80	153 400	9,817 60	940 80	ST
	Jan 31, 17	4 000	137 310	549 24	153 400	613.60	64 36	ST
	Apr 7, 17	89 000	147 058	13,088 25	153 400	13,652 60	564 35	ST
Security total		157 000	143 403	22,514 29		24,083 80	1,569 51	
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI \$431 Current yield 2 00%	Jan 25, 17	100 000	118 262	11,826 24	133 290	13,329.00	1,502 76	ST
	Jan 31, 17	8 000	118.220	945.76	133 290	1,066 32	120.56	ST
	Apr 7, 17	54 000	124.215	6,707 66	133 290	7,197.66	490 00	ST
Security total		162 000	120 245	19,479 66		21,592 98	2,113 32	
HOSPITALITY PROPERTIES TRUST								
REIT								
Symbol HPT Exchange NYSE								
EAI \$1,545 Current yield 7.13%	Jan 25, 17	300 000	31 640	9,492.00	29 150	8,745 00	-747 00	ST
	Jan 31, 17	23 000	31 036	713 84	29 150	670.45	-43.39	ST
	Apr 7, 17	420 000	32 172	13,512.41	29 150	12,243 00	-1,269 41	ST
Security total		743 000	31.922	23,718 25		21,658 45	-2,059 80	
IRON MTN INC NEW COM								
Symbol IRM Exchange NYSE								
EAI \$1,335 Current yield 6 40%	Jan 25, 17	250 000	35.346	8,836.65	34 360	8,590 00	-246 65	ST
	Jan 31, 17	20 000	35 750	715 00	34.360	687 20	-27.80	ST
	Apr 7, 17	337 000	35.525	11,971.93	34.360	11,579.32	-392.61	ST
Security total		607 000	35 459	21,523 58		20,856 52	-667 06	

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June 2017

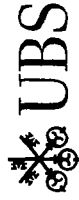
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Unplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$654 Current yield 2.93%	Jan 25, 17	133,000	61.076	8,123.19	64.090	8,523.97	400.78	ST
	Jan 31, 17	11,000	61.979	681.77	64.090	704.99	23.22	ST
	Apr 7, 17	204,000	63.056	12,863.59	64.090	13,074.36	210.77	ST
Security total		348,000	62.266	21,668.55		22,303.32	634.77	
OMEGA HEALTHCARE INVS INC								
Symbol OHI Exchange NYSE								
EAI \$1,850 Current yield 7.63%	Jan 25, 17	300,000	32.887	9,866.31	33.020	9,906.00	39.69	ST
	Jan 31, 17	22,000	32.000	704.00	33.020	726.44	22.44	ST
	Apr 7, 17	412,000	33.966	13,994.07	33.020	13,604.24	-389.83	ST
Security total		734,000	33.466	24,564.38		24,236.68	-327.70	
OUTFRONT MEDIA INC REIT								
Symbol OUT Exchange NYSE								
EAI \$1,316 Current yield 6.23%	Jan 25, 17	299,000	27.054	8,089.39	23.120	6,912.88	-1,176.51	ST
	Jan 31, 17	24,000	27.409	657.82	23.120	554.88	-102.94	ST
	Apr 7, 17	415,000	26.100	10,831.50	23.120	9,594.80	-1,236.70	ST
	May 16, 17	176,000	23.215	4,085.86	23.120	4,069.12	-16.74	ST
Security total		914,000	25.891	23,664.57		21,131.68	-2,532.89	
PRIZER INC								
Symbol PFE Exchange NYSE								
EAI \$1,167 Current yield 3.81%	Jan 25, 17	366,000	31.295	11,453.97	33.590	12,293.94	839.97	ST
	Jan 31, 17	29,000	31.657	918.07	33.590	974.11	56.04	ST
	Apr 7, 17	517,000	34.166	17,664.29	33.590	17,366.03	-298.26	ST
Security total		912,000	32.935	30,036.33		30,634.08	597.75	
SIMON PTY GROUP INC SBI								
Symbol SPG Exchange NYSE								
EAI \$748 Current yield 4.20%	Jan 25, 17	40,000	183.649	7,345.96	161.760	6,470.40	-875.56	ST
	Jan 31, 17	3,000	183.160	549.48	161.760	485.28	-64.20	ST
	Apr 7, 17	53,000	170.199	9,020.55	161.760	8,573.28	-447.27	ST
	May 16, 17	14,000	154.037	2,156.53	161.760	2,264.64	108.11	ST
Security total		110,000	173.387	19,072.52		17,793.60	-1,278.92	

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
TEGNA INC								
Symbol: TGNM Exchange: NYSE								
EAI: \$195 Current yield: 1.94%	Jan 25, 17	284,000	14,413	4,093.39	14,410	4,092.44	-0.95	ST
	Jan 31, 17	23,000	14,665	337.31	14,410	331.43	-5.88	ST
	Apr 7, 17	390,000	16,181	6,310.75	14,410	5,619.90	-690.85	ST
Security total		697,000	15,411	10,741.45		10,043.77	-697.68	
TIMKEN CO								
Symbol: TKR Exchange: NYSE								
EAI: \$473 Current yield: 2.33%	May 16, 17	438,000	49,062	21,489.33	46,250	20,257.50	-1,231.83	ST
UNITI GROUP INC								
Symbol: UNIT Exchange: OTC								
EAI: \$1,987 Current yield: 9.55%	Jan 25, 17	331,000	26,929	8,913.50	25,140	8,321.34	-592.16	ST
	Jan 31, 17	29,000	26,228	760.64	25,140	729.06	-31.58	ST
	Apr 7, 17	468,000	25,712	12,033.40	25,140	11,765.52	-267.88	ST
Security total		828,000	26,217	21,707.54		20,815.92	-891.62	
Total				\$484,479.11		\$487,410.98	\$2,931.86	
Total estimated annual income: \$21,590								

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN TOWER CORP								
5.500%								
DUE 02/15/18								
Symbol: AMT PRB Exchange: NYSE								
EAI: \$864 Current yield: 4.54%	Jan 25, 17	63,000	103,334	6,510.05	121,230	7,637.49	1,127.44	ST
	Jan 31, 17	5,000	101,514	507.57	121,230	606.15	98.58	ST
	Apr 7, 17	89,000	113,593	10,109.78	121,230	10,789.47	679.69	ST
Security total		157,000		17,127.40		19,033.11	1,905.71	
HUNTINGTON BANCSHARES INC CONV								
SER A 8.500% PREFERRED PAR								
VALUE - 1000.00 USD								
Symbol: HBANP Exchange: OTC								
EAI: \$765 Current yield: 5.79%	Jan 25, 17	3,000	1,389,000	4,167.00	1,468,870	4,406.61	239.61	ST

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC.
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 31, 17	1 000	1,385 000	1,385 00	1,468 870	1,468 87	83 87	ST
	Apr 7, 17	5 000	1,372 750	6,863 75	1,468 870	7,344 35	480 60	ST
Security total		9 000		12,415 75		13,219 83	804 08	
Total				\$29,543.15		\$32,252.94	\$2,709.79	

Total estimated annual income: \$1,629

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EATON VANCE TAX MANAGED GLOBAL									
BUY WRITE OPPORTUNITIES FUND									
Symbol ETW									
Trade date Jan 25, 17	599 000	10 447	6,258 17	6,258 17	11 380	6,816 62	558 45		ST
Trade date Jan 31, 17	47 000	10 598	498 15	498 15	11 380	534 86	36 71		ST
Trade date Apr 7, 17	824 000	10 975	9,044 14	9,044 14	11 380	9,377 12	332 98		ST
EAI \$1,605 Current yield 9 59%									
Security total	1,470 000	10 749	15,800 46	15,800 46		16,728 60	928 14		



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
CEDAR FAIR L P DEP UNIT								
Symbol FUN Exchange NYSE								
EAI \$1,183 Current yield 4.74%	Jan 25, 17	134,000	64,346	8,622.39	72.100	9,661.40	1,039.01	ST
	Jan 31, 17	10,000	62,610	626.10	72.100	721.00	94.90	ST
	Apr 7, 17	202,000	68,553	13,847.83	72.100	14,564.20	716.37	ST
Security total		346,000	66,752	23,096.32		24,946.60	1,850.28	
ENERGY TRANSFER PARTNERS, L P								
MLP								
Symbol ETP Exchange NYSE								
EAI \$1,943 Current yield 10.49%	Jan 25, 17	373,706	25.059	9,364.82	20.390	7,619.86	-1,744.96	ST
	Jan 31, 17	30,017	25.232	757.38	20.390	612.04	-145.34	ST
	Apr 7, 17	504,278	24.383	12,296.26	20.390	10,282.22	-2,014.04	ST
Security total		908,000	24.690	22,418.46		18,514.12	-3,904.34	
ENTERPRISE PRODUCTS PARTNER LP								
MLP								
Symbol EPD Exchange NYSE								
EAI \$1,363 Current yield 6.13%	Jan 25, 17	332,000	28.926	9,603.50	27.080	8,990.56	-612.94	ST
	Jan 31, 17	25,000	28.309	707.73	27.080	677.00	-30.73	ST
	Apr 7, 17	464,000	27.905	12,948.38	27.080	12,565.12	-383.26	ST
Security total		821,000	28.331	23,259.61		22,232.68	-1,026.93	
EQT MIDSTREAM PARTNERS L P MLP								
Symbol EQM Exchange NYSE								
EAI \$1,075 Current yield 4.77%	Jan 25, 17	117,000	77.985	9,124.25	74.630	8,731.71	-392.54	ST
	Jan 31, 17	9,000	77.758	699.83	74.630	671.67	-28.16	ST
	Apr 7, 17	176,000	78.839	13,875.82	74.630	13,134.88	-740.94	ST
Security total		302,000	78.476	23,699.90		22,538.26	-1,161.64	

continued next page

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities • Other equity investments** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
HOLLY ENERGY PARTNERS LP COM								
UNIT REPTG LP INT								
Symbol HEP Exchange NYSE								
EAI \$1,500 Current yield 7.63%	Jan 25, 17	248,000	36.780	9,121.59	32.480	8,055.04	-1,066.55	ST
	Jan 31, 17	20,000	36.919	738.38	32.480	649.60	-88.78	ST
	Apr 7, 17	337,000	36.232	12,210.35	32.480	10,945.76	-1,264.59	ST
Security total		605,000	36.480	22,070.32		19,650.40	-2,419.92	
MAGELLAN MIDSTREAM PARTNERS LP								
Symbol MMP Exchange NYSE								
EAI \$482 Current yield 4.90%	Jan 25, 17	56,000	78.845	4,415.32	71.270	3,991.12	-424.20	ST
	Jan 31, 17	5,000	79.660	398.30	71.270	356.35	-41.95	ST
	Apr 7, 17	77,000	77.498	5,967.42	71.270	5,487.79	-479.63	ST
Security total		138,000	78.123	10,781.04		9,835.26	-945.78	
MPLX LP MLP								
Symbol MPLX Exchange NYSE								
EAI \$1,212 Current yield 6.47%	Jan 25, 17	232,000	37.033	8,591.71	33.400	7,748.80	-842.91	ST
	Jan 31, 17	17,000	37.618	639.52	33.400	567.80	-71.72	ST
	Apr 7, 17	312,000	37.742	11,775.63	33.400	10,420.80	-1,354.83	ST
Security total		561,000	37.445	21,006.86		18,737.40	-2,269.46	
SPECTRA ENERGY PARTNERS LP								
Symbol SEP Exchange NYSE								
EAI \$1,091 Current yield 6.54%	Jan 25, 17	166,000	45.912	7,621.52	42.900	7,121.40	-500.12	ST
	Jan 31, 17	13,000	45.280	588.64	42.900	557.70	-30.94	ST
	Apr 7, 17	210,000	44.010	9,242.10	42.900	9,009.00	-233.10	ST
Security total		389,000	44.864	17,452.26		16,688.10	-764.16	
WESTERN REFIN LOGISTICS LP MLP								
Symbol WNRL Exchange NYSE								
EAI \$1,794 Current yield 7.06%	Jan 25, 17	400,000	23.431	9,372.40	25.650	10,260.00	887.60	ST
	Jan 31, 17	29,000	24.195	701.66	25.650	743.85	42.19	ST
	Apr 7, 17	562,000	25.008	14,055.00	25.650	14,415.30	360.30	ST
Security total		991,000	24.348	24,129.06		25,419.15	1,290.09	
Total				\$187,913.83		\$178,561.97	-\$9,351.86	
Total estimated annual income: \$11,643								



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Uniplan
Account number: Y1 37647 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
POWERSHARES SENIOR LN									
PORTFOLIO ETF									
Symbol	BKLN								
Trade date	Jan 25, 17	334 000	23.340	7,795 56	23 140	7,728 76	-66 80		ST
Trade date	Jan 31, 17	25 000	23 310	582 75	23 140	578 50	-4 25		ST
Trade date	Apr 7, 17	467 000	23 289	10,876 38	23 140	10,806 38	-70.00		ST
EAI	\$760 Current yield 3.98%								
Security total	826 000	23.311	19,254 69	19,254.69	19,113 64	-141 05		-141 05	

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN HOMES 4 RENT PF								
5.000% SER A								
DUE 12/31/49 CALLABLE								
Symbol	AMH PRA Exchange NYSE							
EAI	\$928 Current yield 4.38%							
	Jan 25, 17	302 000	28.183	8,511 48	28 560	8,625 12	113 64	ST
	Jan 31, 17	21 000	28 140	590 94	28 560	599 76	8 82	ST
	Apr 7, 17	419 000	28 488	11,936 64	28 560	11,966 64	30 00	ST
Security total		742 000		21,039 06	21,191 52		152 46	
NUSTAR ENERGY LP CUMUL 8.500%								
PREFERRED CLBL PAR VALUE -								
25.00 USD								
Symbol	NS PRA Exchange NYSE							
EAI	\$2.403 Current yield 8.13%							
	Jan 25, 17	316 000	26 501	8,374 63	26 130	8,257 08	-117 55	ST

continued next page

Your assets • **Fixed income** • Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 31, 17	26.000	26 770	696 02	26 130	679 38	-16 64	ST
	Apr 7, 17	789.000	26 963	21,274 28	26 130	20,616 57	-657 71	ST
Security total		1,131 000		30,344 93		29,553 03	-791 90	
Total				\$51,383.99		\$50,744.55	-\$639.44	

Total estimated annual income: \$3,331

Your total assets

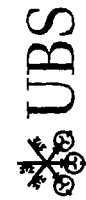
Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	76,053.15	8.83%	76,053.15		
Equities					
Common stock	487,410.98		484,479 11	21,590.00	2,931.86
Preferred securities	32,252 94		29,543 15	1,629 00	2,709 79
Closed end funds & Exchange traded products	16,728 60		15,800.46	1,605 00	928 14
Other equity investments	178,561 97		187,913 83	11,643 00	-9,351 86
Total equities	714,954.49	83.05%	717,736.55	36,467.00	-2,782.07
Fixed income					
Closed end funds & Exchange traded products	19,113 64		19,254 69	760 00	-141 05
Preferred securities	50,744 55		51,383 99	3,331 00	-639.44
Total fixed income	69,858.19	8.12%	70,638.68	4,091.00	-780.49
Total	\$860,865.83	100.00%	\$864,428.38	\$40,558.00	-\$3,562.56

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$72,530.49
Jun 1	Dividend	CUMMINS INC PAID ON SYMBOL CMI	139			142 48	
Jun 1	Cash In Lieu	CARS COM INC SYMBOL CARS CASH-IN-LIEU RATE 26 883 SYMBOL CARS				8 96	

continued next page



UBS Strategic Advisor
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB MF / ETF
Account number: Y1 37648 A8

Your Financial Advisor:
ADAM EPSTEIN ADVISELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-150,054.28	2,688.29					
UBS BANK USA BUS ACCT	20,421.76	21,574.72					250,000.00
Total	-\$129,632.52	\$24,263.01					

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Equities

Closed end funds & Exchange traded products

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

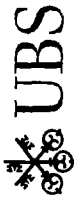
Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 2000 ETF									
Symbol IWM									
Trade date Nov 18, 15	1,779,000	115.959	206,292.66	206,292.66	140.920	250,696.68	44,404.02	44,404.02	LT
EAI \$3.407 Current yield 1.36%									

ISHARES EDGE MSCI MIN VOL USA

ETF

Symbol USMV

continued next page



UBS Strategic Advisor
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB MF / ETF
Account number: Y1 37648 A8

Your Financial Advisor:
ADAM EPSTEIN ADVISELINK
212-821-7000/800-308-3140

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Trade date	Jan 27, 17	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date	Jan 31, 17	1,092,000	45,809	50,024.41	48,930	53,431.56	3,407.15	ST			
EAI \$10,738 Current yield 2.09%		9,405,000	45,737	430,158.37	48,930	460,186.65	30,028.28	ST			
Security total		10,497,000	45,745	480,182.78		513,618.21	33,435.43			33,435.43	
SPDR S&P 500 ETF TR											
Symbol	SPY										
Trade date	Feb 6, 12	2,545,000	134,370	341,971.65	241,800	615,381.00	273,409.35	LT			
Trade date	May 7, 13	35,000	162,600	5,691.00	241,800	8,463.00	2,772.00	LT			
Trade date	Sep 9, 13	42,000	167,410	7,031.22	241,800	10,155.60	3,124.38	LT			
Trade date	Nov 22, 13	5,911,000	180,829	1,068,885.54	241,800	1,429,279.80	360,394.26	LT			
Trade date	Nov 22, 13	2,063,000	180,829	373,052.08	241,800	498,833.40	125,781.32	LT			
EAI \$49,028 Current yield 1.91%		10,596,000	169,558	1,796,631.49		2,562,112.80	765,481.31			765,481.31	
Security total											
Total											
Total estimated annual income:	\$63,173										

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Jan 23, 17	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date	Apr 5, 17	20,790,021	9,619	200,000.00	10,730	223,076.93	23,076.93	ST			
Trade date	Apr 5, 17	4,868,549	10,270	50,000.00	10,730	52,239.53	2,239.53	ST			
Total reinvested		272,584	10,679	2,911.18	10,730	2,924.83	13.65				
EAI \$9,464 Current yield 3.40%											
Security total		25,931,154	9,753	250,000.00		278,241.28	25,330.11			28,241.29	

continued next page



UBS Strategic Advisor
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC.
Friendly account name: LRB MF / ETF
Account number: Y1 37648 A8

Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
HARDING LOEVNER INSTL									
EMERG MKTS FUND CLASS I									
Symbol HLMEX									
Trade date: Jan 23, 17	11,344 299	17 630	200,000.00	200,000 00	20 100	228,020 40	28,020 40		ST
Trade date: Apr 5, 17	2,631 579	18 999	50,000 00	50,000 00	20 100	52,894 74	2,894 74		ST
EAI \$1,453 Current yield 0 52%									
Security total	13,975 878	17 888	250,000.00	250,000 00		280,915 14	30,915 14		
Total			\$500,000.00	\$502,911.18		\$559,156.42	\$56,245.25	\$59,156.42	

Total estimated annual income: \$10,917

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
LORD ABBETT SHORT									
DURATION INCOME FUND									
Symbol LDIFX									
Trade date Feb 14, 17	30,162 413	4.309	130,000 00	130,000 00	4.290	129,396 75	-603.25		ST
Total reinvested	337 591	4.301		1,452 13	4.290	1,448 27	-3 86		
EAI \$5,185 Current yield 3 96%									
Security total	30,500 004	4.310	130,000 00	131,452 13		130,845 01	-607.11	845 02	



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Your assets (continued)

Your total assets

Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	24,263.01	0.60%	24,263.01		
Equities					
Closed end funds & Exchange traded products	3,326,427.69		2,483,106.93	63,173.00	843,320.76
Mutual funds	559,156.42		502,911.18	10,917.00	56,245.25
Total equities	3,885,584.11	96.16%	2,986,018.11	74,090.00	899,566.01
Fixed income	4,016,479	96.16%	3,174.70	5,185.00	-607.11
Total	\$4,040,692.13	100.00%	\$3,141,733.25	\$79,275.00	\$898,958.90

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					-\$129,632.52
Jun 1	Transfer	TO Y1 37297 8200				-150,000.00	
Jun 1	Dividend	LORD ABBETT SHORT DURATION INCOME FUND CLASS F AS OF 05/31/17 SYMBOL: LDLPX				403.47	
Jun 1	Reinvestment	LORD ABBETT SHORT DURATION INCOME FUND CLASS F DIVIDEND REINVESTED AT 4 30 NAV ON 05/31/17 AS OF 05/31/17 SYMBOL: LDLPX		93.830		-403.47	
Jun 1	Sold	SPDR S&P 500 ETF TR SYMBOL: SPY		-1,246.000	241.700843	301,152.68	21,520.16
Jun 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/17				28	21,520.44
Jun 14	Correction Of Loan Charge	MARGIN INTEREST ADJUSTMENT				54.28	21,574.72
Jun 30	Dividend	CULLEN EMERGING MARKETS HIGH DIV FUND CLASS I SYMBOL: CEMFX				2,602.03	

continued next page

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	373.17					
UBS BANK USA BUS ACCT	66,833.85	64,648.74					250,000.00
Total	\$66,833.85	\$65,021.91					

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ACS ACTIV DE CONSTRUCT Y SERV								
ADR								
Symbol ACSAY Exchange OTC	Jan 26, 17	3,313,000	6.340	21,004.42	7.730	25,609.49	4,605.07	ST
ADECCO GROUP AG UNSPONSORED								
ADR								
Symbol AHXY Exchange OTC	Jan 26, 17	605,000	36.357	21,996.26	38.150	23,080.75	1,084.49	ST
EAI \$318 Current yield 1.16%	Apr 6, 17	115,000	34.935	4,017.53	38.150	4,387.25	369.72	ST
Security total		720,000	36.130	26,013.79		27,468.00	1,454.21	
AEGON NV ADR N Y SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE	Jan 26, 17	3,922,000	5.610	22,002.42	5.110	20,041.42	-1,961.00	ST
EAI \$1.254 Current yield 4.87%								<i>continued next page</i>



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June 2017

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 6, 17	1,113,000	5,010	5,576 13	5 110	5,687 43	111 30	ST
		5,035,000	5,477	27,578 55		25,728 85	-1,849 70	
AERCAP HOLDINGS NV SHS EUR								
Symbol AER Exchange NYSE	Jan 26, 17	495,000	44 262	21,909 74	46 430	22,982 85	1,073 11	ST
EUR Exchange rate: 0.87677	Mar 3, 17	221,000	46 133	10,195 50	46 430	10,261 03	65 53	ST
	Apr 6, 17	143,000	44 084	6,304 15	46 430	6,639 49	335 34	ST
Security total		859,000	44 714	38,409 39		39,883 37	1,473 98	
AIA GROUP LTD SPON ADR								
Symbol AAGIY Exchange OTC	Mar 10, 17	850,000	25 905	22,020 02	29 375	24,968 75	2,948 73	ST
EAI \$388 Current yield 1 35%	Apr 6, 17	127,000	25 700	3,263 90	29 375	3,730 62	466 72	ST
Security total		977,000	25 879	25,283 92		28,699 37	3,415 45	
AIRBUS SE UNSPONSORED ADR								
Symbol EADSY Exchange OTC	Jan 26, 17	1,241,000	17 262	21,422 68	20 670	25,651 47	4,228 79	ST
EAI: \$335 Current yield: 1 31%								
AMBEV SA SPON ADR								
Symbol ABEV Exchange NYSE	Jan 26, 17	4,067,000	5 400	21,961 80	5 490	22,327 83	366 03	ST
EAI \$951 Current yield 3 93%	Apr 6, 17	336,000	5 735	1,926 96	5 490	1,844 64	-82 32	ST
Security total		4,403,000	5 426	23,888 76		24,172 47	283 71	
ARCELMITTAL LUXEMBOURG								
Symbol MT Exchange NYSE	May 5, 17	568,000	23 248	13,205 32	22 730	12,910 64	-294 68	ST
	May 12, 17	613,000	21 979	13,473 65 ²	22 730	13,933 49	459 84	ST
Security total		1,181,000	22 590	26,678 97		26,844 13	165 16	
AXA ADR								
Symbol: AXAHY Exchange OTC	Jan 26, 17	897,000	25 074	22,491 75	27 560	24,721 32	2,229 57	ST
EAI \$1,020 Current yield 3 74%	Apr 6, 17	93,000	25 421	2,364 16	27 560	2,563 08	198 92	ST
Security total		990,000	25 107	24,855 91		27,284 40	2,428 49	
BAIDU INC ADS REPSNTG CL A ORD								
SHS SPON ADR								
Symbol BIDU Exchange OTC	Apr 28, 17	143,000	180 195	25,767 93	178 860	25,576 98	-190 95	ST
	May 12, 17	67,000	186 699	12,508 89	178 860	11,983 62	-525 27	ST

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ACCESS
June 2017

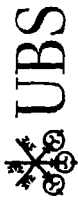
Account name: L R BAUMAN FOUNDATION, INC
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		210 000	182 271	38,276.82		37,560 60	-716 22	
BANCO BILBAO VIZCAYA								
ARGENTARIA S A SPON ADR								
Symbol BBVA Exchange NYSE								
EAI \$1,079 Current yield 3.90%	Jan 26, 17	3,191 000	6 859	21,887 07	8 360	26,676.76	4,789.69	ST
	Apr 6, 17	118 000	7 611	898 18	8.360	986 48	88 30	ST
Security total		3,309 000	6 886	22,785.25		27,663 24	4,877 99	
BHP BILLITON PLC NEW SPON ADR								
Symbol BBL Exchange NYSE								
EAI \$1,261 Current yield 3 51%	Jan 26, 17	603 000	36 355	21,922 37	30 760	18,548 28	-3,374 09	ST
	Feb 9, 17	365 000	33 828	12,347 44	30 760	11,227 40	-1,120 04	ST
	Apr 6, 17	200 000	32 105	6,421 04	30 760	6,152 00	-269 04	ST
Security total		1,168 000	34.838	40,690 85		35,927 68	-4,763 17	
CANADIAN NAT RESOURCES LTD CAD								
Symbol CNQ Exchange NYSE								
EAI \$638 Current yield 2.94%	Mar 24, 17	690 000	32 171	22,198 20	28 840	19,899 60	-2,298 60	ST
CAD Exchange rate 1 29870	Apr 6, 17	62 000	33 336	2,066.88	28 840	1,788 08	-278 80	ST
Security total		752 000	32 267	24,265 08		21,687 68	-2,577 40	
CARLSBERG AS SPON ADR								
Symbol CABGY Exchange OTC								
EAI \$256 Current yield 0 88%	Jan 26, 17	1,231 000	17 858	21,983 49	21 420	26,368.02	4,384 53	ST
	Apr 6, 17	121 000	18 580	2,248 18	21.420	2,591 82	343 64	ST
Security total		1,352 000	17 923	24,231 67		28,959 84	4,728 17	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC								
EAI \$581 Current yield 2.10%	Jan 26, 17	4,409 000	5,043	22,237 99	5 100	22,485 90	247 91	ST
	Apr 6, 17	1,023 000	4 638	4,745.57	5 100	5,217 30	471 73	ST
Security total		5,432 000	4 968	26,983.56		27,703 20	719 64	
CHINA MOBILE LTD SPON ADR								
Symbol CHL Exchange NYSE								
EAI \$754 Current yield 2 98%	Jan 26, 17	377 000	56.698	21,375 52	53 090	20,014 93	-1,360 59	ST
	Apr 6, 17	99 000	55 266	5,471 41	53 090	5,255 91	-215 50	ST

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June 2017

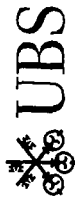
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Cambiar
Account number: Y1 37649 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		476 000	56 401	26,846 93		25,270.84	-1,576 09	
CK HUTCHISON HLDGS LTD								
UNSPONSORED ADR								
Symbol CKHUY Exchange OTC								
EAI \$613 Current yield 2.40%	Jan 26, 17	1,860,000	11.890	22,115 40	12.525	23,296.50	1,181 10	ST
	Apr 6, 17	182,000	12 300	2,238 60	12 525	2,279 55	40 95	ST
Security total		2,042,000	11.927	24,354.00		25,576 05	1,222 05	
DBS GROUP HLDGS LTD. SPON ADR								
Symbol DBSDY Exchange OTC								
EAI \$754 Current yield 2.72%	Jan 26, 17	413 000	53 450	22,074 85	60 500	24,986 50	2,911 65	ST
	Apr 6, 17	46 000	54 720	2,517 12	60 500	2,783 00	265.88	ST
Security total		459,000	53.577	24,591 97		27,769 50	3,177 53	
DNB ASA SPON ADR								
Symbol DNHBY Exchange OTC								
EAI \$885 Current yield 3.28%	Jan 26, 17	1,310,000	16 776	21,976 56	17 070	22,361 70	385 14	ST
	Apr 6, 17	270,000	15.852	4,280 04	17 070	4,608 90	328 86	ST
Security total		1,580 000	16 618	26,256 60		26,970 60	714.00	
E.ON SE SPON ADR								
Symbol EONGY Exchange OTC								
EAI \$552 Current yield 1.84%	Jan 26, 17	2,304 000	7.878	18,151 54	9 400	21,657 60	3,506.06	ST
	Jan 26, 17	486,000	7 878	3,828.84	9 400	4,568 40	739.56	ST
	Apr 6, 17	399,000	7.878	3,143 35	9 400	3,750 60	607 25	ST
Security total		3,189,000	7 878	25,123 73		29,976 60	4,852.87	
ENGIE SPON ADR								
Symbol ENGIIY Exchange OTC								
EAI \$1,598 Current yield 5.88%	Jan 26, 17	1,793,000	12.291	22,038 45	15.150	27,163.95	5,125 50	ST
ESSILOR INTL SPON ADR								
Symbol ESLOY Exchange OTC								
EAI \$277 Current yield 1.04%	Jan 31, 17	371,000	59 020	21,896.50	63 800	23,669 80	1,773.30	ST
	Apr 6, 17	47,000	60 470	2,842 13	63 800	2,998.60	156 47	ST
Security total		418 000	59.183	24,738 63		26,668.40	1,929 77	

continued next page



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June 2017

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR								
Symbol FMS Exchange NYSE								
EAI \$223 Current yield 0.76%	Jan 26, 17	537,000	40.849	21,936.24	48.330	25,953.21	4,016.97	ST
	Apr 6, 17	67,000	41.718	2,795.14	48.330	3,238.11	442.97	ST
Security total		604,000	40.946	24,731.38		29,191.32	4,459.94	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HSBC Exchange NYSE								
EAI \$1,533 Current yield 5.50%	Jan 26, 17	446,000	42.958	19,159.49	46.390	20,689.94	1,530.45	ST
	Apr 6, 17	155,000	40.729	6,356.10 ²	46.390	7,190.45	834.35	ST
Security total		601,000	42.455	25,515.59		27,880.39	2,364.80	
INTESA SANPAOLO SPON ADR								
Symbol ISNPA Exchange OTC								
EAI \$1,345 Current yield 4.51%	Jan 26, 17	1,514,000	14.423	21,837.78	19.190	29,053.66	7,215.88	ST
	Apr 6, 17	39,000	16.216	632.43	19.190	748.41	115.98	ST
Security total		1,553,000	14.469	22,470.21		29,802.07	7,331.86	
JULIUS BAER GROUP LTD ADR								
Symbol JBAXY Exchange OTC								
EAI \$546 Current yield 2.05%	Jan 26, 17	2,331,000	9.423	21,966.85	10.515	24,510.46	2,543.61	ST
	Apr 6, 17	196,000	9.938	1,948.03	10.515	2,060.94	112.91	ST
Security total		2,527,000	9.464	23,914.88		26,571.40	2,656.52	
KOMATSU LTD SPON ADR NEW								
Symbol KMTUY Exchange OTC								
EAI \$396 Current yield 1.60%	Jan 26, 17	883,000	24.960	22,039.68	25.650	22,648.95	609.27	ST
	Apr 6, 17	81,000	26.110	2,114.91	25.650	2,077.65	-37.26	ST
Security total		964,000	25.057	24,154.59		24,726.60	572.01	
MAZDA MTR CORP ADR								
Symbol MZDAY Exchange OTC								
EAI \$344 Current yield 1.33%	Jan 26, 17	2,907,000	7.640	22,209.60	6.970	20,261.79	-1,947.81	ST
	Apr 6, 17	795,000	6.778	5,389.06	6.970	5,541.15	152.09	ST
Security total		3,702,000	7.455	27,598.66		25,802.94	-1,795.72	

continued next page



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June 2017

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
MITSUBISHI ESTATE LTD ADR ADR								
Symbol MITEY Exchange OTC								
EAI \$169 Current yield 0.66%	Jan 26, 17	1,133,000	19.446	22,032.99	18.675	21,158.78	-874.21	ST
	Apr 6, 17	243,000	18.210	4,425.03	18.675	4,538.03	113.00	ST
Security total		1,376,000	19.228	26,458.02		25,696.80	-761.21	
MURATA MFG CO LTD ADR								
Symbol MRAAY Exchange OTC								
EAI \$281 Current yield 1.01%	Jan 26, 17	647,000	34.070	22,043.29	38.060	24,624.82	2,581.53	ST
	Apr 6, 17	84,000	34.365	2,886.66	38.060	3,197.04	310.38	ST
Security total		731,000	34.104	24,929.95		27,821.86	2,891.91	
ORANGE SPON ADR								
Symbol ORAN Exchange NYSE								
EAI \$697 Current yield 2.66%	Jan 26, 17	1,417,000	15.584	22,082.55	15.980	22,643.66	561.11	ST
	Apr 6, 17	223,000	15.355	3,424.37	15.980	3,563.54	139.17	ST
Security total		1,640,000	15.553	25,506.92		26,207.20	700.28	
OTSUKA HLDGS CO LTD								
UNSPOONED ADR								
Symbol OTSKY Exchange OTC								
EAI \$367 Current yield 1.59%	Jan 26, 17	946,000	23.250	21,994.50	21.340	20,187.64	-1,806.86	ST
	Apr 6, 17	136,000	23.180	3,152.48	21.340	2,902.24	-250.24	ST
Security total		1,082,000	23.241	25,146.98		23,089.88	-2,057.10	
PANASONIC CORP SPON ADR								
Symbol PCRFY Exchange OTC								
EAI \$411 Current yield 1.36%	Jan 26, 17	2,064,000	10.655	22,013.23	13.636	28,144.70	6,131.47	ST
	Apr 6, 17	159,000	11.326	1,800.86	13.636	2,168.12	367.26	ST
Security total		2,223,000	10.713	23,814.09		30,312.82	6,498.73	
ROCHE HLDG LTD SPONS ADR SWITZ								
ADR								
Symbol RHHBY Exchange OTC								
EAI \$992 Current yield 2.66%	Jan 26, 17	1,112,000	29.529	32,836.97	31.800	35,361.60	2,524.63	ST
	Apr 6, 17	60,000	32.098	1,925.93	31.800	1,908.00	-17.93	ST
Security total		1,172,000	29.661	34,762.90		37,269.60	2,506.70	

continued next page



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June 2017

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Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL DSM N V SPON ADR								
Symbol RDSMY Exchange OTC								
EAI \$579 Current yield 2 18%	Jan 26, 17	1,371 000	16 059	22,017 50	18 220	24,979 62	2,962 12	ST
	Apr 6, 17	87 000	17 250	1,500 75	18 220	1,585 14	84 39	ST
Security total		1,458 000	16 130	23,518 25		26,564 76	3,046 51	
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDS A Exchange NYSE								
EAI \$2,260 Current yield 6 01%	Jan 26, 17	598 000	55 026	32,905 97	53 190	31,807 62	-1,098 35	ST
	Apr 6, 17	109 000	53 056	5,783 19	53 190	5,797 71	14 52	ST
Security total		707 000	54 723	38,689 16		37,605 33	-1,083 83	
ROYAL KPN N V SPON ADR								
Symbol KKP NY Exchange OTC								
EAI \$3,507 Current yield 12 93%	Jan 26, 17	7,509 000	2 929	21,999 44	3 240	24,329 16	2,329 72	ST
	Apr 6, 17	862 000	3 010	2,594 62	3 240	2,792 88	198 26	ST
Security total		8,371 000	2 938	24,594 06		27,122 04	2,527 98	
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$1,138 Current yield 3 04%	Jan 26, 17	386 000	85 306	32,928 39	65 840	25,414 24	-7,514 15	ST
	Apr 6, 17	92 000	78 840	7,253 28	65 840	6,057 28	-1,196 00	ST
	May 30, 17	91 000	69 250	6,301 79	65 840	5,991 44	-310 35	ST
Security total		569 000	81 693	46,483 46		37,462 96	-9,020 50	
SECOM LTD ADR JAPAN ADR								
Symbol SOMLY Exchange OTC								
EAI \$285 Current yield 1 09%	Jan 26, 17	1,224 000	18 318	22,421 81	18 950	23,194 80	772 99	ST
	Apr 6, 17	160 000	18 145	2,903 28	18 950	3,032 00	128 72	ST
Security total		1,384 000	18 298	25,325 09		26,226 80	901 71	
SEIKO EPSON CORP UNSPONSORED								
ADR								
Symbol SEKEY Exchange OTC								
EAI \$471 Current yield 1 76%	Jan 26, 17	2,101 000	10 546	22,157 62	11 120	23,363 12	1,205 50	ST
	Apr 6, 17	312 000	10 373	3,236 49	11 120	3,469 44	232 95	ST

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ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Cambiar
Account number: Y1 37649 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

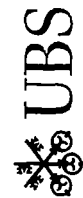
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,413,000	10 524	25,394 11		26,832 56	1,438 45	
SMITH & NEPHEW PLC NEW								
Symbol SNN Exchange NYSE								
EAI \$787 Current yield 2 80%	Jan 26, 17	734,000	29 858	21,916.38	34 850	25,579 90	3,663 52	ST
Security total	Apr 6, 17	72 000	31 216	2,247 61	34 850	2,509 20	261 59	ST
		806,000	29 980	24,163 99		28,089 10	3,925 11	
SUMITOMO MITSUI FINANCIAL SPON								
ADR								
Symbol SMFG Exchange NYSE								
EAI \$1,215 Current yield 3 03%	Jun 6, 17	3,556,000	7 604	27,043 02	7 860	27,950.16	907 14	ST
Security total	Jun 21, 17	1,547 000	7 644	11,825 27	7 860	12,159 42	334 15	ST
		5,103 000	7 617	38,868.29		40,109 58	1,241 29	
TATA MOTORS LTD SPON ADR								
Symbol TTM Exchange NYSE								
EAI \$8 Current yield 0.03%	Mar 10, 17	629,000	35 164	22,118 41	33 010	20,763 29	-1,355 12	ST
Security total	Apr 6, 17	69 000	35.982	2,482 77	33 010	2,277 69	-205 08	ST
		698,000	35 245	24,601 18		23,040 98	-1,560 20	
TOTAL S A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$1,084 Current yield: 4 52%	Jan 26, 17	430,000	51.188	22,011 10	49 590	21,323 70	-687 40	ST
Security total	Apr 6, 17	54 000	51.831	2,798 92	49 590	2,677 86	-121.06	ST
		484,000	51 260	24,810 02		24,001.56	-808 46	
Total				\$1,191,771.71		\$1,251,668.28	\$59,896.58	

Total estimated annual income: \$32,152

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	65,021.91	4.94%	65,021.91		
Cash and money balances	65,021.91				
Equities	1,251,668.28	95.06%	1,191,771.71	32,152.00	59,896.58
Common stock					
Total	\$1,316,690.19	100.00%	\$1,256,793.62	\$32,152.00	\$59,896.58



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	219.18					
UBS BANK USA BUS ACCT	75,486.65	79,294.60					250,000.00
Total	\$75,486.65	\$79,513.78					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
AIA GROUP LTD SPON ADR								
Symbol AAGIY Exchange OTC								
EAI \$703 Current yield 1.35%	Jan 26, 17	1,311,000	24.887	32,627.79	29.375	38,510.62	5,882.83	ST
	Feb 10, 17	114,000	24.515	2,794.71	29.375	3,348.75	554.04	ST
	Feb 14, 17	175,000	24.530	4,292.75	29.375	5,140.62	847.87	ST
	Apr 6, 17	171,000	25.720	4,398.12	29.375	5,023.12	625.00	ST
Security total		1,771,000	24.909	44,113.37		52,023.12	7,909.74	
AIR LIQUIDE ADR FRANCE ADR								
Symbol AIQUY Exchange OTC								
EAI \$125 Current yield 1.75%	Jan 26, 17	233,000	22.106	5,150.73	24.905	5,802.86	652.13	ST
	Feb 14, 17	54,000	21.775	1,175.86	24.905	1,344.87	169.01	ST
Security total		287,000	22.044	6,326.59		7,147.73	821.14	

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June 2017

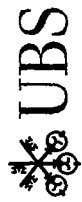
Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets ▶ **Equities** ▶ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
AIRBUS SE UNSPONSORED ADR								
Symbol EADSY Exchange OTC								
EAI \$338 Current yield 1 31%	Jan 26, 17	928.000	17 280	16,035 84	20 670	19,181 76	3,145 92	ST
	Feb 10, 17	86.000	17 090	1,469 74	20 670	1,777 62	307 88	ST
	Feb 14, 17	119 000	17 475	2,079 53	20 670	2,459 73	380 20	ST
	Apr 6, 17	117 000	19 225	2,249 33	20 670	2,418 39	169 06	ST
Security total		1,250 000	17 468	21,834 44		25,837 50	4,003.06	
AMADEUS IT GROUP UNSPONSORED ADR								
Symbol AMADY Exchange OTC								
EAI \$385 Current yield 1 82%	Jan 26, 17	261.000	46 650	12,175 65	60 000	15,660 00	3,484 35	ST
	Feb 10, 17	27 000	45 645	1,232 42	60 000	1,620 00	387 58	ST
	Feb 14, 17	33.000	45 575	1,503 98	60 000	1,980 00	476 02	ST
	Apr 6, 17	32.000	51 330	1,642 56	60 000	1,920 00	277 44	ST
Security total		353 000	46 897	16,554 61		21,180 00	4,625 39	
AMCOR LTD NEW AU ADR								
Symbol AMCRY Exchange OTC								
EAI \$250 Current yield 3 32%	Feb 27, 17	151.000	43 825	6,617 70	49 860	7,528 86	911 16	ST
ASAHI KAISEI CORP UNSPON ADR								
Symbol AHKSY Exchange OTC								
EAI \$204 Current yield 1 53%	Jan 26, 17	444.000	19 060	8,462 64	21 530	9,559 32	1,096 68	ST
	Feb 10, 17	62 000	19 285	1,195 67	21 530	1,334 86	139 19	ST
	Feb 14, 17	32.000	19 240	615 68	21 530	688 96	73 28	ST
	Apr 6, 17	83 000	18 885	1,567 46	21 530	1,786 99	219 53	ST
Security total		621 000	19 068	11,841 45		13,370 13	1,528 68	
ASML HLDG NV GDR EUR								
Symbol ASML Exchange OTC								
EAI \$291 Current yield 0 85%	Jan 26, 17	193.000	121 579	23,464 80	130 310	25,149 83	1,685 03	ST
EUR Exchange rate 0 87677	Feb 10, 17	18.000	125 088	2,251 60	130 310	2,345 58	93 98	ST
	Feb 14, 17	25 000	126 429	3,160 73	130 310	3,257 75	97 02	ST
	Apr 6, 17	26.000	131 120	3,409 12	130 310	3,388 06	-21.06	ST
Security total		262 000	123 230	32,286 25		34,141 22	1,854 97	

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ASSA ABLOY AB ADR								
Symbol ASAZY Exchange OTC								
EAI \$341 Current yield 1.12%	Jan 26, 17	2,035,000	9.570	19,474.95	11.000	22,385.00	2,910.05	ST
	Feb 10, 17	178,000	9.540	1,698.12	11.000	1,958.00	259.88	ST
	Feb 14, 17	306,000	9.610	2,940.66	11.000	3,366.00	425.34	ST
	Apr 6, 17	254,000	10.120	2,570.48	11.000	2,794.00	223.52	ST
Security total		2,773,000	9.623	26,684.21		30,503.00	3,818.79	
ASSOCIATED BRITISH FOODS PLC								
NEW ADR								
Symbol ASBFY Exchange OTC								
EAI \$144 Current yield 1.07%	Jan 26, 17	240,000	30.495	7,318.80	38.900	9,336.00	2,017.20	ST
	Feb 10, 17	39,000	31.450	1,226.55	38.900	1,517.10	290.55	ST
	Feb 14, 17	16,000	31.835	509.36	38.900	622.40	113.04	ST
	Apr 6, 17	50,000	31.385	1,569.25	38.900	1,945.00	375.75	ST
Security total		345,000	30.794	10,623.96		13,420.50	2,796.54	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$2,115 Current yield 4.02%	Jan 26, 17	923,000	27.286	25,185.16	34.090	31,465.07	6,279.91	ST
	Feb 10, 17	83,000	29.475	2,446.43	34.090	2,829.47	383.04	ST
	Feb 14, 17	123,000	29.635	3,645.11	34.090	4,193.07	547.96	ST
	Feb 22, 17	274,000	28.953	7,933.34	34.090	9,340.66	1,407.32	ST
	Apr 6, 17	141,000	30.536	4,305.60	34.090	4,806.69	501.09	ST
Security total		1,544,000	28.184	43,515.64		52,634.96	9,119.32	
BNP PARIBAS SA ADR								
Symbol BNPQY Exchange OTC								
EAI \$774 Current yield 3.52%	Jan 26, 17	449,000	32.864	14,755.98	36.360	16,325.64	1,569.66	ST
	Feb 10, 17	51,000	29.713	1,515.41	36.360	1,854.36	338.95	ST
	Feb 14, 17	45,000	30.210	1,359.47	36.360	1,636.20	276.73	ST
	Apr 6, 17	60,000	32.417	1,945.02	36.360	2,181.60	236.58	ST
Security total		605,000	32.357	19,575.88		21,997.80	2,421.92	
BRENNTAG AG UNSPON ADR								
Symbol BNTGY Exchange OTC								
EAI \$89 Current yield 1.30%	Jan 26, 17	474,000	11.710	5,550.54	11.660	5,526.84	-23.70	ST

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 14, 17	114,000	11 525	1,313 85	11 660	1,329 24	15 39	ST
BRITISH AMER TOBACCO PLC GB SPON ADR		588,000	11 674	6,864.39		6,856 08	-8 31	
Symbol: BTI Exchange: AMEX EAI \$916 Current yield 3 17%	Jan 26, 17 Feb 10, 17 Apr 6, 17	308,000 30,000 83,000	61.892 62 824 66 202	19,062 87 1,884 74 5,494 81	68 540 68 540 68 540	21,110 32 2,056 20 5,688.82	2,047 45 171 46 194 01	ST ST ST
Security total		421,000	62 809	26,442 42		28,855 34	2,412 92	
CARLSBERG AS SPON ADR								
Symbol CAGBY Exchange OTC EAI \$339 Current yield 0 88%	Jan 26, 17 Feb 10, 17 Feb 14, 17 Feb 22, 17 Apr 6, 17	920,000 97,000 115,000 498,000 163,000	17 845 17 560 17 335 17 804 18 580	16,417 40 1,703 32 1,993 53 8,866 84 3,028 54	21 420 21 420 21 420 21 420 21 420	19,706 40 2,077 74 2,463 30 10,667 16 3,491 46	3,289 00 374 42 469 77 1,800 32 462 92	ST ST ST ST ST
Security total		1,793,000	17 853	32,009 63		38,406 06	6,396 43	
CDN NATL RAILWAY CO CAD								
Symbol CNI Exchange NYSE EAI \$182 Current yield 1 57% CAD Exchange rate 1 29870	Jan 26, 17 Feb 10, 17 Feb 14, 17 Apr 6, 17	99,000 18,000 4,000 22,000	69 889 70 650 71 450 74 130	6,919 10 1,271 70 285 80 1,630 86	81 050 81 050 81 050 81 050	8,023 95 1,458 90 324 20 1,783 10	1,104 85 187 20 38 40 152 24	ST ST ST ST
Security total		143,000	70 682	10,107 46		11,590 15	1,482 69	
COMPAGNIE FINANCIERE RICHEMONT AG ADR								
Symbol CFRUY Exchange OTC EAI \$141 Current yield 1 21%	Jan 26, 17 Feb 10, 17 Feb 14, 17 Apr 6, 17	981,000 162,000 70,000 200,000	7 765 7 475 7 475 7 765	7,617 47 1,210 95 523 25 1,553 00	8 235 8 235 8 235 8 235	8,078 53 1,334 07 576 45 1,647 00	461 06 123 12 53 20 94 00	ST ST ST ST
Security total		1,413,000	7 717	10,904 67		11,636 05	731 38	

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

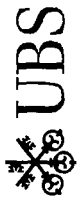
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
DANONE SPON ADR								
Symbol DANON Exchange OTC								
EAI \$235 Current yield 1.64%	Jan 26, 17	676,000	12,562	8,492.30	15,020	10,153.52	1,661.22	ST
	Feb 10, 17	93,000	12,913	1,200.97	15,020	1,396.86	195.89	ST
	Feb 14, 17	68,000	12,763	867.90	15,020	1,021.36	153.46	ST
	Apr 6, 17	115,000	13,605	1,564.66	15,020	1,727.30	162.64	ST
Security total		952,000	12,737	12,125.83		14,299.04	2,173.21	
DENSO CORP ADR								
Symbol DNZOY Exchange OTC								
EAI \$133 Current yield 2.20%	Jan 26, 17	235,000	22,000	5,170.00	21,150	4,970.25	-199.75	ST
	Feb 14, 17	51,000	22,435	1,144.19	21,150	1,078.65	-65.54	ST
Security total		286,000	22,078	6,314.19		6,048.90	-265.29	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$570 Current yield 2.52%	Jan 26, 17	140,000	112,167	15,703.44	119,830	16,776.20	1,072.76	ST
	Feb 10, 17	13,000	114,040	1,482.52	119,830	1,557.79	75.27	ST
	Feb 14, 17	19,000	113,550	2,157.45	119,830	2,276.77	119.32	ST
	Apr 6, 17	17,000	115,440	1,962.48	119,830	2,037.11	74.63	ST
Security total		189,000	112,730	21,305.89		22,647.87	1,341.98	
DNB ASA SPON ADR								
Symbol DNBHY Exchange OTC								
EAI \$375 Current yield 3.28%	Jan 26, 17	470,000	16,764	7,879.32	17,070	8,022.90	143.58	ST
	Feb 10, 17	80,000	16,802	1,344.16	17,070	1,365.60	21.44	ST
	Feb 14, 17	20,000	17,041	340.82	17,070	341.40	0.58	ST
	Apr 6, 17	100,000	15,835	1,583.55	17,070	1,707.00	123.45	ST
Security total		670,000	16,639	11,147.85		11,436.90	289.05	
DSV AS ADR								
Symbol DSDVY Exchange OTC								
EAI \$22 Current yield 0.27%	Mar 20, 17	267,000	26,316	7,026.53	30,735	8,206.24	1,179.71	ST
ENBRIDGE INC CAD								
Symbol ENB Exchange NYSE								
EAI \$1,286 Current yield 4.54%	Jan 26, 17	390,000	44,490	17,351.10	39,810	15,525.90	-1,825.20	ST
CAD Exchange rate 1.29870							continued next page	

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
ESSILOR INTL SPON ADR								
Symbol ESLOY Exchange OTC								
EAI \$280 Current yield 1.04%								
	Feb 10, 17	33.000	43.230	1,426.59	39.810	1,313.73	-112.86	ST
	Feb 14, 17	53.000	43.300	2,294.90	39.810	2,109.93	-184.97	ST
	Mar 17, 17	178.000	41.575	7,400.37	39.810	7,086.18	-314.19	ST
	Apr 6, 17	58.000	42.167	2,445.72	39.810	2,308.98	-136.74	ST
		712.000	43.425	30,918.68		28,344.72	-2,573.96	
Security total								
FANUC CORP ADR								
Symbol FANUY Exchange OTC								
EAI \$416 Current yield 1.36%								
	Jan 26, 17	229.000	57.943	13,269.02	63.800	14,610.20	1,341.18	ST
	Feb 10, 17	23.000	57.978	1,333.51	63.800	1,467.40	133.89	ST
	Feb 14, 17	26.000	58.675	1,525.56	63.800	1,658.80	133.24	ST
	Apr 6, 17	30.000	60.400	1,812.02	63.800	1,914.00	101.98	ST
	Jun 7, 17	115.000	66.698	7,670.35	63.800	7,337.00	-333.35	ST
		423.000	60.545	25,610.46		26,987.40	1,376.94	
Security total								
GEMALTO NV SPON ADR								
Symbol GTOMY Exchange OTC								
EAI \$95 Current yield 0.72%								
	Jan 26, 17	1,161.000	19.470	22,604.67	19.300	22,407.30	-197.37	ST
	Feb 10, 17	70.000	19.960	1,397.20	19.300	1,351.00	-46.20	ST
	Feb 14, 17	204.000	19.705	4,019.82	19.300	3,937.20	-82.62	ST
	Apr 6, 17	152.000	20.715	3,148.68	19.300	2,933.60	-215.08	ST
		1,587.000	19.641	31,170.37		30,629.10	-541.27	
Security total								
GENMAB A/S SPON ADR								
Symbol GMXAY Exchange OTC								
	Jan 26, 17	312.000	29.040	9,060.48	30.110	9,394.32	333.84	ST
	Feb 10, 17	42.000	29.085	1,221.57	30.110	1,264.62	43.05	ST
	Feb 14, 17	28.000	29.015	812.42	30.110	843.08	30.66	ST
	Apr 6, 17	56.000	27.790	1,556.24	30.110	1,686.16	129.92	ST
		438.000	28.883	12,650.71		13,188.18	537.47	
Security total								
GENMAB A/S SPON ADR								
Symbol GMXAY Exchange OTC								
	Jan 26, 17	143.000	94.235	13,475.61	106.920	15,289.56	1,813.95	ST
	Feb 10, 17	14.000	101.825	1,425.55	106.920	1,496.88	71.33	ST
	Feb 14, 17	19.000	101.745	1,933.16	106.920	2,031.48	98.32	ST
	Apr 6, 17	18.000	90.180	1,623.24	106.920	1,924.56	301.32	ST
		194.000	95.142	18,457.56		20,742.48	2,284.92	
Security total								

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
GIVAUDAN SA ADR								
Symbol GVDNY Exchange OTC								
EAI \$191 Current yield 1.69%	Jan 26, 17	194 000	36 320	7,046.08	40 190	7,796.86	750.78	ST
	Feb 10, 17	34 000	35 190	1,196.46	40 190	1,366.46	170.00	ST
	Feb 14, 17	9 000	35 265	317.39	40 190	361.71	44.32	ST
	Apr 6, 17	44 000	36 160	1,591.04	40 190	1,768.36	177.32	ST
Security total		281 000	36 124	10,150.97		11,293.39	1,142.42	
GRIFOLS S A CL B SPON ADR								
Symbol GRFS Exchange OTC								
EAI \$139 Current yield 1.32%	Jan 26, 17	347 000	16 929	5,874.68	21 130	7,332.11	1,457.43	ST
	Feb 14, 17	71 000	17 490	1,241.79	21 130	1,500.23	258.44	ST
	Apr 6, 17	82 000	19 289	1,581.77	21 130	1,732.66	150.89	ST
Security total		500 000	17 396	8,698.24		10,565.00	1,866.76	
HDFC BANK LTD ADR REPSTG 3 ORD								
SHS								
Symbol HDB Exchange NYSE								
EAI \$109 Current yield 0.57%	Jan 26, 17	163 000	69 445	11,319.55	86 970	14,176.11	2,856.56	ST
	Feb 10, 17	17 000	71 108	1,208.85	86 970	1,478.49	269.64	ST
	Feb 14, 17	19 000	71 330	1,355.27	86 970	1,652.43	297.16	ST
	Apr 6, 17	22 000	75 999	1,671.98	86 970	1,913.34	241.36	ST
Security total		221 000	70 388	15,555.65		19,220.37	3,664.72	
IMPERIAL BRANDS PLC SPON ADR								
Symbol IMBBY Exchange OTC								
EAI \$640 Current yield 4.24%	Jan 26, 17	245 000	47 250	11,576.48	45 740	11,206.30	-370.18	ST
	Feb 10, 17	25 000	47 940	1,198.50	45 740	1,143.50	-55.00	ST
	Feb 14, 17	28 000	47 200	1,321.60	45 740	1,280.72	-40.88	ST
	Apr 6, 17	32 000	49 280	1,576.96	45 740	1,463.68	-113.28	ST
Security total		330 000	47 496	15,673.54		15,094.20	-579.34	
ING GROEP N V NL SPON ADR								
Symbol ING Exchange NYSE								
EAI \$272 Current yield 3.41%	Jan 26, 17	378 000	14 638	5,533.54	17 390	6,573.42	1,039.88	ST
	Feb 14, 17	81 000	14 539	1,177.73	17 390	1,408.59	230.86	ST
Security total		459 000	14 622	6,711.27		7,982.01	1,270.74	

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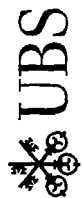
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
JAPAN TOBACCO INC UNSPONSORED ADR								
Symbol JAPAY Exchange OTC								
EAI \$132 Current yield 2.52%	Jan 26, 17	245,000	16.200	3,969.00	17.570	4,304.65	335.65	ST
	Feb 14, 17	53,000	16.640	881.92	17.570	931.21	49.29	ST
Security total		298,000	16.278	4,850.92		5,235.86	384.94	
JARDINE MATHESON HD-UNSP ADR								
Symbol JMHLY Exchange OTC								
EAI \$473 Current yield 2.18%	Jan 26, 17	253,000	60.815	15,386.20	64.265	16,259.04	872.84	ST
	Feb 10, 17	24,000	63.255	1,518.12	64.265	1,542.36	24.24	ST
	Feb 14, 17	35,000	63.885	2,235.98	64.265	2,249.27	13.29	ST
	Apr 6, 17	25,000	66.190	1,654.75	64.265	1,606.62	-48.13	ST
Security total		337,000	61.706	20,795.05		21,657.30	862.24	
KBC GROUP NV ADR								
Symbol KBCSY Exchange OTC								
EAI \$423 Current yield 2.56%	Jan 26, 17	316,000	32.675	10,325.30	38.190	12,068.04	1,742.74	ST
	Feb 10, 17	40,000	30.890	1,235.60	38.190	1,527.60	292.00	ST
	Feb 14, 17	29,000	31.060	900.74	38.190	1,107.51	206.77	ST
	Apr 6, 17	47,000	33.055	1,553.59	38.190	1,794.93	241.34	ST
Security total		432,000	32.443	14,015.23		16,498.08	2,482.85	
KDDI CORP ADR								
Symbol KDDIY Exchange OTC								
EAI \$157 Current yield 2.06%	Jan 26, 17	464,000	13.125	6,090.00	13.180	6,115.52	25.52	ST
	Feb 14, 17	114,000	12.975	1,479.15	13.180	1,502.52	23.37	ST
Security total		578,000	13.095	7,569.15		7,618.04	48.89	
KERING S A UN SPONSORED ADR								
Symbol PPRUY Exchange OTC								
EAI \$178 Current yield 1.02%	Jan 26, 17	365,000	24.603	8,980.31	34.200	12,483.00	3,502.69	ST
	Feb 10, 17	50,000	24.538	1,226.92	34.200	1,710.00	483.08	ST
	Feb 14, 17	34,000	24.598	836.35	34.200	1,162.80	326.45	ST
	Apr 6, 17	60,000	26.228	1,573.71	34.200	2,052.00	478.29	ST
Security total		509,000	24.788	12,617.29		17,407.80	4,790.51	

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ACCESS
June 2017

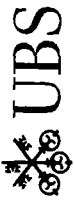
Account name: L R BAUMAN FOUNDATION, INC
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212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
KEYENCE CORP ORD JPY								
Symbol KYCCF Exchange OTC								
JPY Exchange rate 112 36000	Jan 27, 17	114 000	382 860	43,950.21 ²	439 124	50,060 13	6,109 92	ST
	Apr 6, 17	15 000	400 960	6,014 40	439 124	6,586 86	572 46	ST
Security total		129 000	387 323	49,964.61		56,646 99	6,682.38	
KUBOTA CORP ADR JAPAN ADR								
Symbol KUBTY Exchange OTC								
EAI \$100 Current yield 1 39%	Jan 26, 17	67 000	80 335	5,382 45	84 470	5,659.49	277 04	ST
	Feb 14, 17	18 000	79 500	1,431 00	84 470	1,520 46	89 46	ST
Security total		85 000	80 158	6,813 45		7,179 95	366 50	
LIBERTY GLOBAL PLC CL A								
Symbol LBTYA Exchange OTC								
	Jan 26, 17	113 000	35 816	4,047 23	32 120	3,629.56	-417 67	ST
	Feb 10, 17	38 000	35 560	1,351.28	32 120	1,220 56	-130 72	ST
	Feb 14, 17	64 000	35 549	2,275.14	32 120	2,055 68	-219 46	ST
	Apr 6, 17	57 000	35 569	2,027 48	32 120	1,830 84	-196 64	ST
Security total		272 000	35 666	9,701 13		8,736 64	-964 49	
LLOYDS BANKING GROUP PLC SPON ADR								
Symbol LYG Exchange NYSE								
EAI \$909 Current yield 3.74%	Jan 26, 17	5,128 000	3 368	17,276 23	3 530	18,101 84	825.61	ST
	Feb 10, 17	561 000	3 323	1,864 76	3 530	1,980.33	115.57	ST
	Feb 14, 17	532 000	3 396	1,807.04	3 530	1,877 96	70 92	ST
	Apr 6, 17	662 000	3 259	2,157 46	3 530	2,336 86	179 40	ST
Security total		6,883 000	3 357	23,105 49		24,296 99	1,191 50	
LOREAL CO ADR FRANCE ADR								
Symbol LRLCY Exchange OTC								
EAI \$396 Current yield 1 32%	Jan 26, 17	526 000	36 790	19,351 56	41 805	21,989 43	2,637 87	ST
	Feb 10, 17	65 000	36 313	2,360 39	41 805	2,717 33	356 94	ST
	Feb 14, 17	60 000	36 614	2,196 87	41 805	2,508.30	311.43	ST
	Apr 6, 17	65 000	38 209	2,483 61	41 805	2,717 33	233.72	ST
Security total		716 000	36 861	26,392.43		29,932.38	3,539.96	

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ACCESS
June 2017

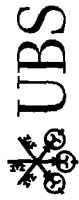
Account name: L R BAUMAN FOUNDATION, INC
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Account number: Y1 37650 A8

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212-821-7000/800-308-3140

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
LVMH MOET HENNESSY LOUIS NEW ADR								
Symbol LVMUY Exchange OTC								
EAI \$210 Current yield 1.24%	Jan 26, 17	246,000	41.659	10,248.26	50.220	12,354.12	2,105.86	ST
	Feb 10, 17	31,000	39.468	1,223.51	50.220	1,556.82	333.31	ST
	Feb 14, 17	23,000	40.386	928.88	50.220	1,155.06	226.18	ST
	Apr 6, 17	36,000	44.131	1,588.75	50.220	1,807.92	219.17	ST
Security total		336,000	41.635	13,989.40		16,873.92	2,884.52	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI \$309 Current yield 2.07%	Jan 26, 17	121,000	74.250	8,984.25	88.750	10,738.75	1,754.50	ST
	Feb 10, 17	16,000	76.138	1,218.22	88.750	1,420.00	201.78	ST
	Feb 14, 17	11,000	77.057	847.63	88.750	976.25	128.62	ST
	Apr 6, 17	20,000	80.299	1,605.98	88.750	1,775.00	169.02	ST
Security total		168,000	75.334	12,656.08		14,910.00	2,253.92	
MERCADOLIBRE INC								
Symbol MELI Exchange OTC								
EAI \$20 Current yield 0.24%	Mar 17, 17	33,000	212.046	6,997.54	250.880	8,279.04	1,281.50	ST
MURATA MFG CO LTD ADR								
Symbol MRAAY Exchange OTC								
EAI \$410 Current yield 1.01%	Jan 26, 17	764,000	34.180	26,113.52	38.060	29,077.84	2,964.32	ST
	Feb 10, 17	72,000	35.230	2,536.56	38.060	2,740.32	203.76	ST
	Feb 14, 17	115,000	35.140	4,041.10	38.060	4,376.90	335.80	ST
	Apr 6, 17	118,000	34.405	4,059.79	38.060	4,491.08	431.29	ST
Security total		1,069,000	34.379	36,750.97		40,686.14	3,935.17	
NASPER'S LTD SPON ADR								
Symbol NPSNY Exchange OTC								
EAI \$16 Current yield 0.14%	Jan 26, 17	401,000	16.210	6,500.21	20.350	8,160.35	1,660.14	ST
	Feb 14, 17	93,000	16.170	1,503.81	20.350	1,892.55	388.74	ST
	Apr 6, 17	88,000	17.770	1,563.76	20.350	1,790.80	227.04	ST
Security total		582,000	16.439	9,567.78		11,843.70	2,275.92	

continued next page



ACCESS
June 2017

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212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI \$652 Current yield 2 20%	Jan 26, 17	252,000	72 880	18,365 76	87 200	21,974 40	3,608 64	ST
	Feb 10, 17	24 000	73 650	1,767 60	87 200	2,092 80	325 20	ST
	Feb 14, 17	34,000	72 635	2,469 59	87 200	2,964 80	495 21	ST
	Apr 6, 17	30 000	76 375	2,291 25	87 200	2,616 00	324 75	ST
Security total		340 000	73 218	24,894 20		29,648 00	4,753 80	
NIDEC CORP ADR								
Symbol NIDCY Exchange OTC								
EAI \$95 Current yield 0 63%	Jan 26, 17	425 000	23 350	9,923 75	25 590	10,875 75	952 00	ST
	Feb 10, 17	52 000	23 183	1,205 53	25 590	1,330 68	125 15	ST
	Feb 14, 17	47 000	23 420	1,100 74	25 590	1,202 73	101 99	ST
	Apr 6, 17	66 000	23 860	1,574 76	25 590	1,688 94	114 18	ST
Security total		590 000	23 398	13,804 78		15,098 10	1,293 32	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$368 Current yield 2 76%	Jan 26, 17	114 000	71 927	8,199 75	83 470	9,515 58	1,315 83	ST
	Feb 10, 17	16 000	75 318	1,205 10	83 470	1,335 52	130 42	ST
	Feb 14, 17	9 000	75 160	676 44	83 470	751 23	74 79	ST
	Apr 6, 17	21 000	73 940	1,552 74	83 470	1,752 87	200 13	ST
Security total		160 000	72 713	11,634 03		13,355 20	1,721 17	
NOVO NORDISK ADR DENMARK ADR								
Symbol NVO Exchange NYSE								
EAI \$1,197 Current yield 1 89%	Jan 26, 17	1,081 000	35 266	38,122 76	42 890	46,364 09	8,241 33	ST
	Feb 10, 17	98 000	34 665	3,397 17	42 890	4,203 22	806 05	ST
	Feb 14, 17	142,000	34 599	4,913 19	42 890	6,090 38	1,177 19	ST
	Apr 6, 17	157 000	35 406	5,558 79	42 890	6,733 73	1,174 94	ST
Security total		1,478 000	35 177	51,991 91		63,391 42	11,399 51	
NITD DOCOMO INC ECH REPSTG								
1/200TH COM SHS SPON ADR								
Symbol DCM Exchange NYSE								
EAI \$315 Current yield 2 69%	Jan 26, 17	344 000	24 006	8,258 17	23 650	8,135 60	-122 57	ST

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 10, 17	50,000	24.199	1,209.95	23.650	1,182.50	-27.45	ST
	Feb 14, 17	34,000	23.520	799.68	23.650	804.10	4.42	ST
	Apr 6, 17	67,000	23.599	1,581.19	23.650	1,584.55	3.36	ST
Security total		495,000	23.937	11,848.99		11,706.75	-142.24	
PERNOD RICARD SA ADR								
Symbol PDRDY Exchange OTC								
EAI \$403 Current yield 1.09%	Jan 26, 17	1,015,000	23.655	24,010.60	26.600	26,999.00	2,988.40	ST
	Feb 10, 17	91,000	23.003	2,093.35	26.600	2,420.60	327.25	ST
	Feb 14, 17	156,000	22.778	3,553.39	26.600	4,149.60	596.21	ST
	Apr 6, 17	122,000	23.801	2,903.75	26.600	3,245.20	341.45	ST
Security total		1,384,000	23.527	32,561.09		36,814.40	4,253.31	
PRUDENTIAL PLC ADR								
UNITED KINGDOM								
Symbol PUK Exchange NYSE								
EAI \$616 Current yield 2.46%	Mar 7, 17	500,000	40.088	20,044.16	46.010	23,005.00	2,960.84	ST
	Apr 6, 17	45,000	41.830	1,882.35	46.010	2,070.45	188.10	ST
Security total		545,000	40.232	21,926.51		25,075.45	3,148.94	
RECKITT BENCKISER PLC SPON ADR								
Symbol RBGLY Exchange OTC								
EAI \$161 Current yield 1.80%	Jan 26, 17	347,000	17.480	6,065.56	20.700	7,182.90	1,117.34	ST
	Feb 10, 17	69,000	17.625	1,216.13	20.700	1,428.30	212.17	ST
	Feb 14, 17	17,000	17.345	294.87	20.700	351.90	57.03	ST
Security total		433,000	17.498	7,576.56		8,963.10	1,386.54	
RIO TINTO PLC SPON ADR								
Symbol RIO Exchange NYSE								
EAI \$361 Current yield 4.02%	Jun 7, 17	212,000	41.189	8,732.11	42.310	8,969.72	237.61	ST
ROCHE HLDG LTD SPONS ADR SWITZ								
ADR								
Symbol RHHBY Exchange OTC								
EAI \$1,319 Current yield 2.66%	Jan 26, 17	1,146,000	29.540	33,852.84	31.800	36,442.80	2,589.96	ST
	Feb 10, 17	110,000	29.960	3,295.60	31.800	3,498.00	202.40	ST
	Feb 14, 17	155,000	29.610	4,589.55	31.800	4,929.00	339.45	ST
	Apr 6, 17	148,000	32.049	4,743.34	31.800	4,706.40	-36.94	ST

continued next page



ACCESS
June 2017

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Your assets • Equities • Common stock (continued)

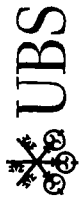
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,559,000	29.815	46,481.33		49,576.20	3,094.87	
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol RDS B Exchange NYSE	Jan 26, 17	104,000	58.366	6,070.10	54.430	5,660.72	-409.38	ST
EAI \$478 Current yield 6.91%	Feb 14, 17	23,000	57.840	1,330.32	54.430	1,251.89	-78.43	ST
Security total		127,000	58.271	7,400.42		6,912.61	-487.81	
RYOHIN KEIKAKU CO UNSPONSORED ADR								
Symbol RYKKY Exchange OTC	Jan 26, 17	407,000	37.940	15,441.58	49.920	20,317.44	4,875.86	ST
EAI \$229 Current yield 0.83%	Feb 10, 17	33,000	36.785	1,213.91	49.920	1,647.36	433.45	ST
	Feb 14, 17	55,000	37.975	2,088.63	49.920	2,745.60	656.97	ST
	Apr 6, 17	61,000	44.500	2,714.50	49.920	3,045.12	330.62	ST
Security total		556,000	38.595	21,458.62		27,755.52	6,296.90	
SAFRAN SA ADR								
Symbol SAFRY Exchange OTC	Jan 26, 17	1,405,000	17.096	24,020.49	23.030	32,357.15	8,336.66	ST
EAI \$553 Current yield 1.27%	Feb 10, 17	140,000	16.985	2,378.01	23.030	3,224.20	846.19	ST
	Feb 14, 17	167,000	17.156	2,865.11	23.030	3,846.01	980.90	ST
	Apr 6, 17	174,000	19.102	3,323.77	23.030	4,007.22	683.45	ST
Security total		1,886,000	17.279	32,587.38		43,434.58	10,847.20	
SAMPO OYJ ADR								
Symbol SAXPY Exchange OTC	Jan 26, 17	987,000	23.130	22,829.31	25.750	25,415.25	2,585.94	ST
EAI \$1,352 Current yield 3.92%	Feb 10, 17	93,000	23.155	2,153.42	25.750	2,394.75	241.33	ST
	Feb 14, 17	129,000	22.995	2,966.36	25.750	3,321.75	355.39	ST
	Apr 6, 17	131,000	23.630	3,095.53	25.750	3,373.25	277.72	ST
Security total		1,340,000	23.168	31,044.62		34,505.00	3,460.38	

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
SAMISONITE INTL SA UNSPONSORED ADR								
Symbol SMSEY Exchange OTC								
EAI \$200 Current yield 1 46%	Jan 26, 17	459,000	15 935	7,314 17	20 660	9,482 94	2,168 77	ST
	Feb 10, 17	80,000	15 220	1,217 60	20 660	1,652 80	435.20	ST
	Feb 14, 17	36,000	15 080	542 88	20 660	743 76	200 88	ST
	Apr 6, 17	86,000	18 185	1,563 91	20.660	1,776 76	212 85	ST
Security total		661 000	16.095	10,638 56		13,656 26	3,017 70	
SAP SE SPON ADR								
Symbol SAP Exchange NYSE								
EAI \$300 Current yield 0 94%	Jan 26, 17	225,000	90 791	20,427 98	104.670	23,550 75	3,122 77	ST
	Feb 10, 17	21,000	91.810	1,928 01	104 670	2,198 07	270 06	ST
	Feb 14, 17	30,000	92 440	2,773 20	104 670	3,140 10	366 90	ST
	Apr 6, 17	30,000	97 360	2,920.80	104 670	3,140 10	219 30	ST
Security total		306,000	91.667	28,049 99		32,029 02	3,979 03	
SIEMENS A G SPON ADR								
Symbol SIEGY Exchange OTC								
EAI \$186 Current yield 2.05%	Jan 26, 17	90,000	63.910	5,751 90	69 150	6,223 50	471 60	ST
	Feb 14, 17	18,000	64 615	1,163 07	69 150	1,244 70	81 63	ST
	Apr 6, 17	23,000	68 340	1,571.82	69 150	1,590 45	18 63	ST
Security total		131,000	64.785	8,486 79		9,058.65	571.86	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol SGAPY Exchange OTC								
EAI \$437 Current yield 4 34%	Jan 26, 17	246 000	27 125	6,672 75	28 285	6,958 11	285 36	ST
	Feb 10, 17	44,000	27 465	1,208 46	28 285	1,244 54	36.08	ST
	Feb 14, 17	10,000	27 650	276 50	28.285	282 85	6 35	ST
	Apr 6, 17	56,000	27.975	1,566.60	28 285	1,583 96	17 36	ST
Security total		356,000	27 315	9,724 31		10,069 46	345.15	
SMC CORP YEN SHS ORD JPY								
Symbol SMECF Exchange OTC								
JPY Exchange rate 112 36000	Jan 26, 17	99,000	272 000	26,928 00	303 933	30,089 36	3,161 36	ST
	Feb 10, 17	7 000	282 908	1,980 36	303 933	2,127 53	147 17	ST

continued next page



ACCESS
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 14, 17 Apr 6, 17	17,000 18,000	287.500 286.000	4,887.50 5,148.00	303.933 303.933	5,166.86 5,470.79	279.36 322.79	ST ST
Security total		141,000	276.198	38,943.86		42,854.55	3,910.68	
SOFTBANK GROUP CORP ADR								
Symbol SFTBY Exchange OTC								
EAI \$99 Current yield 0.33%	Jan 26, 17 Feb 10, 17 Feb 14, 17 Apr 6, 17	548,000 51,000 77,000 69,000	39.215 38.770 37.675 35.785	21,489.82 1,977.27 2,900.98 2,469.17	40.700 40.700 40.700 40.700	22,303.60 2,075.70 3,133.90 2,808.30	813.78 98.43 232.92 339.13	ST ST ST ST
Security total		745,000	38.708	28,837.24		30,321.50	1,484.26	
SONOVA HLDG AG ADR								
Symbol SONVY Exchange OTC								
EAI \$87 Current yield 0.82%	Feb 23, 17 Apr 6, 17	268,000 56,000	25.860 27.680	6,930.64 1,550.08	32.600 32.600	8,736.80 1,825.60	1,806.16 275.52	ST ST
Security total		324,000	26.175	8,480.72		10,562.40	2,081.68	
SSE PLC SPON ADR								
Symbol SSEZY Exchange OTC								
EAI \$507 Current yield 5.87%	May 5, 17	448,000	18.784	8,415.32	19.270	8,632.96	217.64	ST
SUMITOMO MITSUBI FINANCIAL SPON ADR								
Symbol SMFG Exchange NYSE								
EAI \$217 Current yield 3.02%	Jan 26, 17 Feb 14, 17	743,000 170,000	8.006 8.035	5,948.61 1,365.95	7.860 7.860	5,839.98 1,336.20	-108.63 -29.75	ST ST
Security total		913,000	8.012	7,314.56		7,176.18	-138.38	
SVENSKA HANDELBANKEN AB ADR								
Symbol SVNLY Exchange OTC								
EAI \$300 Current yield 2.77%	Jan 26, 17 Feb 10, 17 Feb 14, 17 Apr 6, 17	1,044,000 167,000 71,000 233,000	7.410 7.270 7.325 6.630	7,736.04 1,214.09 520.08 1,544.79	7.150 7.150 7.150 7.150	7,464.60 1,194.05 507.65 1,665.95	-271.44 -20.04 -12.43 121.16	ST ST ST ST
Security total		1,515,000	7.271	11,015.00		10,832.25	-182.75	

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June 2017

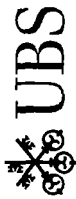
Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
SYSMEX CORP UNSPONSORED ADR								
Symbol SSMXY Exchange OTC								
EAI \$152 Current yield 0.65%	Jan 26, 17	566,000	28.905	16,360.23	29.910	16,929.06	568.83	ST
	Feb 10, 17	46,000	27.820	1,279.72	29.910	1,375.86	96.14	ST
	Feb 14, 17	92,000	28.215	2,595.78	29.910	2,751.72	155.94	ST
	Apr 6, 17	77,000	29.945	2,305.77	29.910	2,303.07	-2.70	ST
Security total		781,000	28.862	22,541.50		23,359.71	818.21	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol TSM Exchange NYSE								
EAI \$1,105 Current yield 2.65%	Jan 26, 17	877,000	31.106	27,280.58	34.960	30,659.92	3,379.34	ST
	Feb 10, 17	65,000	30.720	1,996.80	34.960	2,272.40	275.60	ST
	Feb 14, 17	142,000	31.306	4,445.49	34.960	4,964.32	518.83	ST
	Apr 6, 17	108,000	32.888	3,552.01	34.960	3,775.68	223.67	ST
Security total		1,192,000	31.271	37,274.88		41,672.32	4,397.44	
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$1,312 Current yield 4.51%	Jan 26, 17	438,000	51.097	22,380.85	49.590	21,720.42	-660.43	ST
	Feb 10, 17	36,000	51.302	1,846.88	49.590	1,785.24	-61.64	ST
	Feb 14, 17	63,000	50.882	3,205.58	49.590	3,124.17	-81.41	ST
	Apr 6, 17	49,000	51.784	2,537.46	49.590	2,429.91	-107.55	ST
Security total		586,000	51.145	29,970.77		29,059.74	-911.03	
UBS GROUP AG CHF								
Symbol UBS Exchange NYSE								
EAI \$258 Current yield 3.58%	Jan 26, 17	348,000	16.938	5,894.77	16.980	5,909.04	14.27	ST
CHF Exchange rate 0.95765	Feb 14, 17	77,000	15.898	1,224.22	16.980	1,307.46	83.24	ST
Security total		425,000	16.751	7,118.99		7,216.50	97.51	
VODAFONE GROUP PLC SPON ADR								
Symbol VOD Exchange OTC								
EAI \$1,693 Current yield 5.56%	Jan 26, 17	773,000	24.597	19,013.79	28.730	22,208.29	3,194.50	ST
	Feb 10, 17	79,000	25.126	1,985.00	28.730	2,269.67	284.67	ST
	Feb 14, 17	99,000	24.880	2,463.12	28.730	2,844.27	381.15	ST

continued next page



ACCESS
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Capital Grp
Account number: Y1 37650 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 6, 17	109 000	25 826	2,815 06	28 730	3,131 57	316 51	ST
		1,060 000	24,790	26,276 97		30,453.80	4,176 83	
WYNN MACAU LTD UNSPON ADR								
Symbol WYNIMY Exchange OTC								
EAI \$231 Current yield 2.07%	Jan 26, 17	343 000	17 860	6,125 98	23 600	8,094 80	1,968 82	ST
	Feb 14, 17	59 000	18 215	1,074 69	23 600	1,392 40	317 71	ST
	Apr 6, 17	72,000	21 780	1,568.16	23 600	1,699.20	131.04	ST
Security total		474 000	18 500	8,768 83		11,186 40	2,417 57	
Total				\$1,431,407.77		\$1,604,964.88	\$173,557.09	

Total estimated annual income: \$31,016

^z Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

Cash	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	79,513.78	4.72%	79,513.78		
Equities	1,604,964.88	95.28%	1,431,407.77	31,016.00	173,557.09
Total	\$1,684,478.66	100.00%	\$1,510,921.55	\$31,016.00	\$173,557.09

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$75,486.65
Jun 1	Fee	GEMALTO NV SPON ADR CUSIP 36863N208 / SYMBOL GTOMY			-6 24		
Jun 1	Foreign Tax Withheld	GEMALTO NV SPON ADR CUSIP 36863N208 / SYMBOL GTOMY			-13 10		
Jun 1	Foreign Dividend	GEMALTO NV SPON ADR PAID ON CUSIP 36863N208 / SYMBOL GTOMY	312		87.31		

continued next page



Portfolio Management Program
June 2017

Account name: L R BAUMAN FOUNDATION, INC
Friendly account name: LRB Bond Funds
Account number: Y1 37829 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	16,302.73	16,303.06					250,000.00

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DOUBLE LINE TOTAL RETURN									
FUND INSTL									
Symbol DBLTX									
Trade date Feb 9, 17	66,684.754	10.649	710,192.63	710,192.63	10.680	712,193.17	2,000.54		ST
Trade date Feb 10, 17	4,167.832	10.649	44,387.41	44,387.41	10.680	44,512.45	125.04		ST
Total reinvested	880.940	10.670		9,399.67	10.680	9,408.44	8.77		
EAI \$28,693 Current yield: 3.75%									
Security total	71,733.526	10.650	754,580.04	763,979.71		766,114.05	2,134.35	11,534.02	
GUGGENHEIM MACRO									

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Portfolio Management Program
June 2017

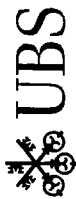
Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Bond Funds
Account number: Y1 37829 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
OPPORTUNITIES FUND CLASS									
INSTITUTIONAL									
Symbol: GIOIX									
Trade date Feb 9, 17	17,882.891	26.560	474,969.59	474,969.59	26.640	476,400.22	1,430.63		ST
Trade date Feb 10, 17	1,117.690	26.559	29,685.84	29,685.84	26.640	29,775.26	89.42		ST
Total reinvested	225.727	26.622		6,009.33	26.640	6,013.37	4.04		
EAI \$23.379 Current yield 4.56%									
Security total	19,226.308	26.561	504,655.43	510,664.76		512,188.84	1,524.09	7,533.42	
METROPOLITAN WEST TOTAL									
RETURN BOND FUND CLASS I									
Symbol: MWITX									
Trade date Feb 9, 17	45,408.635	10.569	479,969.27	479,969.27	10.650	483,601.96	3,632.69		ST
Trade date Feb 10, 17	2,840.750	10.560	29,998.32	29,998.32	10.650	30,253.99	255.67		ST
Total reinvested	313.839	10.620		3,333.08	10.650	3,342.39	9.31		
EAI \$10.198 Current yield 1.97%									
Security total	48,563.224	10.570	509,967.59	513,300.67		517,198.33	3,897.67	7,230.75	
PIMCO INCOME FUND									
CLASS P									
Symbol: PONPX									
Trade date Feb 9, 17	39,124.348	12.140	474,969.59	474,969.59	12.350	483,185.70	8,216.11		ST
Trade date Feb 10, 17	2,445.292	12.140	29,685.85	29,685.85	12.350	30,199.36	513.51		ST
Total reinvested	682.310	12.268		8,370.64	12.350	8,426.53	55.89		
EAI \$27.633 Current yield 5.30%									
Security total	42,251.950	12.142	504,655.44	513,026.08		521,811.58	8,785.51	17,156.15	
SHENKMAN SHORT DURATION									
HIGH INCOME FUND CLASS F									
Symbol: SCFFX									
Trade date Feb 9, 17	24,046.556	9.980	239,984.63	239,984.63	10.030	241,186.96	1,202.33		ST
Trade date Feb 10, 17	1,502.923	9.979	14,999.17	14,999.17	10.030	15,074.32	75.15		ST
Total reinvested	250.067	9.999		2,500.51	10.030	2,508.17	7.66		
EAI \$7.559 Current yield 2.92%									

continued next page



Portfolio Management Program
June 2017

Account name: L. R. BAUMAN FOUNDATION, INC
Friendly account name: LRB Bond Funds
Account number: Y1 37829 A8

Your Financial Advisor:
ADAM EPSTEIN ADVICELINK
212-821-7000/800-308-3140

Your assets ▶ Fixed income ▶ Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Security total	25,799.546	9.980	254,983.80	257,484.31		258,769.44	1,285.14	3,785.65	
Total			\$2,528,842.30	\$2,558,455.53		\$2,576,082.24	\$17,626.76	\$47,239.94	

Total estimated annual income: \$97,462

Your total assets

Cash	Cash and money balances	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
		16,303.06	0.63%	16,303.06		
Fixed income	Mutual funds	2,576,082.24	99.37%	2,558,455.53	97,462.00	17,626.76
Total		\$2,592,385.30	100.00%	\$2,574,758.59	\$97,462.00	\$17,626.76

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$16,302.73
Jun 1	Dividend	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I AS OF 05/31/17 SYMBOL MWITX			950.86		
Jun 1	Reinvestment	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I DIVIDEND REINVESTED AT 10.68 NAV ON 05/31/17 AS OF 05/31/17 SYMBOL MWITX		89.032	-950.86		
Jun 1	Dividend	PIMCO INCOME FUND CLASS P AS OF 05/31/17 SYMBOL PONPX			2,292.71		
Jun 1	Reinvestment	PIMCO INCOME FUND CLASS P DIVIDEND REINVESTED AT 12.34 NAV ON 05/31/17 AS OF 05/31/17 SYMBOL PONPX		185.795	-2,292.71		
Jun 1	Dividend	DOUBLE LINE TOTAL RETURN FUND INSTL AS OF 05/31/17 SYMBOL DBLTX			2,423.09		

continued next page

L.R. BAUMAN FOUNDATION, INC
ID# 47-1312674
PART IV CAPITAL GAINS AND LOSSES
YEAR ENDED JUNE 30, 2017

PUBLICLY TRADED SECURITIES

		<u>Sales Price</u>	<u>Cost Basis</u>	<u>Total Gain (Loss)</u>
Goldman Sachs				
Granite SCC	046-62759-2	113,384	121,016	(7,632)
IA Passive	046-62757-6	1,591,709	1,617,753	(26,044)
				0
Total Goldman Sachs		<u>1,705,093</u>	<u>1,738,769</u>	<u>(33,676)</u>
 UBS				
	VAR	<u>19,805,365</u>	<u>19,025,132</u>	<u>780,233</u>