Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning JUL 1, 2016 , and ending JUN 30, 2017

Name of foundation: DON AND MARILYN ALLAN FAMILY FOUNDATION

A Employer identification number: 46-1505684

Number and street (or P O box number if mail is not delivered to street address): CUMMINGS & LOCKWOOD 75 ISHAM RD 400 — Room/suite:

B Telephone number: 860-313-4927

City or town, state or province, country, and ZIP or foreign postal code: WEST HARTFORD, CT 06107

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 267,389.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,991.	1,991.		STATEMENT 1
	4 Dividends and interest from securities	7,119.	7,119.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	21,665.			
	b Gross sales price for all assets on line 6a 178,284.				
	7 Capital gain net income (from Part IV, line 2)		21,665.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	30,775.	30,775.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	10,295.	10,295.		0.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,552.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	5,491.	5,491.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,338.	15,786.		0.
	25 Contributions, gifts, grants paid	148,500.			148,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	165,838.	15,786.		148,500.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<135,063.>			
	b **Net investment income** (if negative, enter -0-)		14,989.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	9,023.	12,870.	12,870.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 6	145,604.	91,643.	123,594.
	c	Investments - corporate bonds STMT 7	87,827.	48,917.	45,753.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	118,983.	72,944.	85,172.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	361,437.	226,374.	267,389.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	361,437.	226,374.	
	30	**Total net assets or fund balances**	361,437.	226,374.	
	31	**Total liabilities and net assets/fund balances**	361,437.	226,374.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	361,437.
2	Enter amount from Part I, line 27a	2	<135,063.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	226,374.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	226,374.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	THRU MERRILL LYNCH - SEE ATTACHED	P		
b	THRU MERRILL LYNCH - SEE ATTACHED	P		
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,019.		10,723.	1,296.
b	165,526.		145,896.	19,630.
c	739.			739.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				1,296.
b				19,630.
c				739.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	21,665.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) *If gain, also enter in Part I, line 8, column (c)* If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	92,790.	401,487.	.231116
2014	79,005.	410,049.	.192672
2013	46,611.	428,721.	.108721
2012	6,300.	394,778.	.015958
2011			

2 *Total of line 1, column (d)*	2	.548467
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.137117
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	341,126.
5 Multiply line 4 by line 3	5	46,774.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	150.
7 Add lines 5 and 6	7	46,924.
8 *Enter qualifying distributions from Part XII, line 4*	8	148,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	150.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	150.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	150.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 824.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	824.
8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	674.
11 Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	674. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PAUL L. BOURDEAU, ESQ. Telephone no ▶860-313-4930

Located at ▶75 ISHAM ROAD, SUITE 400, WEST HARTFORD, CT ZIP+4 ▶06107

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD ALLAN, JR. CUMMINGS & LOCKWOOD, 75 ISHAM RD 400 WEST HARTFORD, CT 06107	TRUSTEE 0.00	0.	0.	0.
MARILYN N. ALLAN CUMMINGS & LOCKWOOD, 75 ISHAM RD 400 WEST HARTFORD, CT 06107	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	336,098.
b	Average of monthly cash balances	1b	10,223.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	346,321.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	346,321.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	5,195.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	341,126.
6	**Minimum investment return.** Enter 5% of line 5	6	17,056.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	17,056.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 150.		
b	Income tax for 2016 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	150.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	16,906.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	16,906.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	16,906.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	148,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	148,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	150.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	148,350.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					16,906.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2016					
a From 2011					
b From 2012					
c From 2013	20,507.				
d From 2014	58,693.				
e From 2015	74,364.				
f Total of lines 3a through e		153,564.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 148,500.					
a Applied to 2015, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2016 distributable amount					16,906.
e Remaining amount distributed out of corpus		131,594.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		285,158.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		285,158.			
10 Analysis of line 9					
a Excess from 2012					
b Excess from 2013	20,507.				
c Excess from 2014	58,693.				
d Excess from 2015	74,364.				
e Excess from 2016	131,594.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] *if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds* If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOY SCOUTS OF AMERICA/CONNECTICUT RIVERS COUNCIL 60 DARLIN STREET EAST HARTFORD, CT 06108	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	10,000.
CLEAN WATER FUND 1444 EYE ST. NW, SUITE 400 WASHINGTON, DC 20005	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	15,000.
CONNECTICUT AUDUBON SOCIETY 314 UNQUOWA ROAD FAIRFIELD, CT 06824	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	200.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON, CT 06111	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,000.
EASTER SEALS CAPITAL REGION & EASTERN CONNECTICUT INC 100 DEERFIELD RD WINDSOR, CT 06095	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	35,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	148,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,991.	
4 Dividends and interest from securities			14	7,119.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	21,665.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		30,775.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 30,775.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 11/10/17 — Title Trustee

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ALISON A. SANKNER	Alison Sankner	11/14/17		P01608435
Firm's name ▶ CUMMINGS & LOCKWOOD LLC			Firm's EIN ▶ 06-0312590	
Firm's address ▶ 75 ISHAM ROAD, SUITE 400 WEST HARTFORD, CT 06107			Phone no 860-313-4900	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ENVIRONMENTAL DEFENSE FUND INCORPORATED 1875 CONNECTICUT AVENUE NW, SUITE 600 WASHINGTON, DC 20009	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
FARM SANCTUARY, INC. P.O. BOX 150 WATKINS GLEN, NY 14891	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
FOODSHARE, INC 450 WOODLAND AVE BLOOMFIELD, CT 06002	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
FRONT RANGE EQUINE RESCUE P.O. BOX 458 OCALA, FL 34478	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	6,000.
GLAUCOMA RESEARCH FOUNDATION 251 POST STREET, STE 600 SAN FRANCISCO, CA 94108	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	100.
JACKIE SPELLMAN SCHOLARSHIP FOUNDATION 935 ELDRIDGE RD SUGAR LAND, TX 77478	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
NATURAL RESOURCES DEFENSE COUNCIL, INC. 40 WEST 20TH STREET NEW YORK, NY 10011	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	12,000.
PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. 123 WILLIAM STREET NEW YORK, NY 10038	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
TURNING POINTE DONKEY RESCUE 216 N MEECH ROAD DANSVILLE, MI 48819	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	200.
UNITED WAY INC. 30 LAUREL STREET HARTFORD, CT 06106	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	10,000.
Total from continuation sheets				86,300.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF HARTFORD 200 BLOOMFIELD AVENUE WEST HARTFORD, CT 06117	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	45,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH #814-04083	0.	0.	
MERRILL LYNCH #814-04117	2.	2.	
MERRILL LYNCH #814-04117 - BOND INTEREST	1,989.	1,989.	
TOTAL TO PART I, LINE 3	1,991.	1,991.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH #814-04117	7,858.	739.	7,119.	7,119.	
TO PART I, LINE 4	7,858.	739.	7,119.	7,119.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUMMINGS & LOCKWOOD LLC	10,295.	10,295.		0.
TO FM 990-PF, PG 1, LN 16A	10,295.	10,295.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
US TREASURY - EXCISE TAX FYE 6/30/15	728.	0.		0.
US TREASURY - ESTIMATED EXCISE TAX FYE 6/30/16	824.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,552.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH WEALTH MANAGEMENT, ADVISORY FEES	5,491.	5,491.		0.
TO FORM 990-PF, PG 1, LN 23	5,491.	5,491.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THRU MERRILL LYNCH - STOCKS/EQUITIES, PER ATTACHED	91,643.	123,594.
TOTAL TO FORM 990-PF, PART II, LINE 10B	91,643.	123,594.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THRU MERRILL LYNCH - BONDS, PER ATTACHED	48,917.	45,753.
TOTAL TO FORM 990-PF, PART II, LINE 10C	48,917.	45,753.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
THRU MERRILL LYNCH - MUTUAL FUNDS, PER ATTACHED	COST	72,944.	85,172.
TOTAL TO FORM 990-PF, PART II, LINE 13		72,944.	85,172.

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term						
Advansix Inc						
	11/6/2015	10/13/2016	0.08	$1.23	$1.14	$0.09
			Total	**$1.23**	**$1.14**	**$0.09**
Blackrock Inc.						
	2/24/2016	7/6/2016	1	$335 03	$311.41	$23.62
	2/24/2016	12/5/2016	1	$369.58	$311.41	$58.17
	2/24/2016	1/5/2017	1	$379.21	$311.42	$67.79
			Total	**$1,083.82**	**$934.24**	**$149.58**
Calamos Market Neutral Income Fund Cl I						
	11/3/2015	7/6/2016	22.635	$289.50	$293.80	($4.30)
			Total	**$289.50**	**$293.80**	**($4.30)**
Cisco Systems Inc.						
	2/24/2016	7/8/2016	3	$84.83	$79.38	$5.45
	2/24/2016	12/5/2016	2	$59.72	$52.92	$6.80
	2/24/2016	1/5/2017	4	$121.27	$105.84	$15.43
	2/24/2016	1/11/2017	3	$90.84	$79.38	$11.46
			Total	**$356.66**	**$317.52**	**$39.14**
Cognizant Tech Solutns A						
	12/9/2015	7/8/2016	2	$112.91	$125.63	($12 72)
	12/9/2015	12/5/2016	1	$55.04	$62.81	($7 77)
			Total	**$167.95**	**$188.44**	**($20.49)**
Danaher Corp Del						
	2/24/2016	7/5/2016	1	$79.61	$86.82	($7 21)
	2/24/2016	12/5/2016	1	$78.15	$65.78	$12.37
	2/24/2016	1/5/2017	2	$156.21	$131.56	$24.65
	2/24/2016	1/9/2017	5	$393.64	$328.90	$64.74
	2/24/2016	1/11/2017	1	$80.62	$65.78	$14.84
			Total	**$788.23**	**$678.84**	**$109.39**
Devon Energy Corp New						
	1/13/2016	7/8/2016	2	$72.07	$55.89	$16.18
	1/13/2016	10/13/2016	5	$212.90	$139.73	$73.17
	1/13/2016	12/5/2016	2	$93.47	$55.89	$37.58
	1/13/2016	12/9/2016	5	$234.90	$139.74	$95.16
	1/13/2016	1/5/2017	3	$138.18	$83.84	$54.34
	2/4/2016	1/9/2017	9	$422.45	$238.03	$184.42
	2/4/2016	1/11/2017	2	$97.83	$52.90	$44.93
			Total	**$1,271.80**	**$766.02**	**$505.78**

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Ecolab Inc						
	11/16/2015	7/8/2016	1	$117.42	$116.03	$1.39
	11/16/2015	10/13/2016	2	$237.74	$232.06	$5.68
			Total	**$355.16**	**$348.09**	**$7.07**
Fortive Corp						
	2/24/2016	12/5/2016	1	$55.26	$41.20	$14.06
	2/24/2016	1/5/2017	1	$53.62	$41.20	$12.42
	2/24/2016	1/9/2017	2	$108 23	$82.41	$25.82
	2/24/2016	1/11/2017	1	$53.85	$41.21	$12.64
			Total	**$270.96**	**$206.02**	**$64.94**
JP Morgan Chase & Co.						
	9/22/2016	12/5/2016	1	$79.75	$66.30	$13.45
	9/22/2016	1/5/2017	2	$171.64	$132.60	$39.04
	9/22/2016	1/9/2017	5	$435.39	$331.49	$103.90
	9/22/2016	1/11/2017	1	$86.47	$66.30	$20.17
	9/22/2016	2/27/2017	2	$180.93	$132.60	$48.33
	9/22/2016	4/5/2017	2	$176.85	$132.60	$44.25
	9/22/2016	4/18/2017	5	$426.16	$331.49	$94.67
	9/22/2016	4/25/2017	2	$170.09	$132.60	$37.49
			Total	**$1,727.28**	**$1,325.98**	**$401.30**
Janus Flexible Bond						
	11/3/2015	7/6/2016	18.248	$195.07	$190 51	$4.56
	11/3/2015	10/11/2016	47.17	$500.00	$492.45	$7.55
	5/20/2016	12/1/2016	57.361	$590.82	$600.00	($9.18)
			Total	**$1,285.89**	**$1,282.96**	**$2.93**
Janus Global Uncnstrnd Bond fund Cl I						
	1/6/2017	4/3/2017	4.514	$43.33	$43.33	$0.00
	1/6/2017	4/13/2017	10.532	$101.00	$101.11	($0.11)
	1/6/2017	4/21/2017	4.636	$44.55	$44.51	$0.04
			Total	**$188.88**	**$188.95**	**($0.07)**
Lockheed Martin Corp						
	2/24/2016	7/8/2016	1	$249.54	$213.90	$35.64
	2/24/2016	12/5/2016	1	$267.12	$213.90	$53.22
	2/24/2016	1/5/2017	1	$250.07	$213.89	$36.18
	2/24/2016	1/9/2017	2	$508.19	$427.79	$80.40
			Total	**$1,274.92**	**$1,069.48**	**$205.44**
Nextera Energy Inc						
	2/24/2016	7/8/2016	1	$130.92	$114.99	$15.93
	2/24/2016	12/5/2016	1	$115.41	$114.99	$0.42

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
2/24/2016	1/5/2017	1	$120.28	$114.99	$5.29
2/24/2016	1/9/2017	3	$357.77	$344.97	$12.80
2/24/2016	1/9/2017	5	$596.29	$574.96	$21.33
2/24/2016	1/11/2017	1	$118.63	$114.99	$3.64
		Total	**$1,439.30**	**$1,379.89**	**$59.41**
T Rowe Price Diversified Small Cp Growth Fd					
11/4/2015	7/6/2016	12.916	$334.52	$349.89	($15.37)
5/20/2016	4/21/2017	1.063	$32.48	$26.46	$6.02
		Total	**$367.00**	**$376.35**	**($9.35)**
Wells Fargo & Co New					
11/5/2015	9/22/2016	20	$919.99	$1,091.80	($171.81)
11/6/2015	9/22/2016	5	$230.00	$274.05	($44.05)
		Total	**$1,149.99**	**$1,365.85**	**($215.86)**
		Total Short Term	**$12,018.57**	**$10,723.57**	**$1,295.00**

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Long Term						
Advansix Inc						
	1/31/2013	10/11/2016	0.12	$1.86	$1.13	$0.73
	1/31/2013	10/13/2016	0 96	$14.81	$9.08	$5.73
	2/6/2013	10/13/2016	0.96	$14.82	$9.05	$5.77
			Total	**$31.49**	**$19.26**	**$12.23**
American Tower REIT Inc						
	11/14/2014	7/6/2016	1	$113.92	$99.02	$14.90
	11/14/2014	10/13/2016	2	$216.74	$198.04	$18.70
	11/14/2014	12/1/2016	1	$104.61	$99.02	$5.59
	11/14/2014	1/3/2017	2	$212.01	$198.04	$13.97
	11/14/2014	1/9/2017	3	$319.22	$297.06	$22.16
	11/14/2014	1/11/2017	1	$105.74	$99.02	$6.72
	11/14/2014	2/27/2017	2	$222.71	$198.04	$24.67
	11/14/2014	4/5/2017	1	$120.61	$99.02	$21.59
	11/14/2014	4/18/2017	3	$368.02	$297.07	$70.95
	11/14/2014	4/25/2017	1	$124.83	$99.02	$25.81
			Total	**$1,908.41**	**$1,683.35**	**$225.06**
American Water Works Co Inc						
	1/31/2013	7/6/2016	1	$84.71	$37.45	$47.26
	1/31/2013	10/13/2016	5	$353.87	$187.25	$166.62
	1/31/2013	12/5/2016	1	$74.19	$37.45	$36.74
	1/31/2013	12/7/2016	3	$217.94	$112.35	$105.59
	1/31/2013	1/5/2017	2	$145.01	$74.90	$70.11
	1/31/2013	1/9/2017	5	$362 44	$187.25	$175.19
	1/31/2013	1/11/2017	1	$72.89	$37.45	$35.44
	1/31/2013	2/27/2017	2	$149.99	$74.90	$75.09
	1/31/2013	4/5/2017	2	$155.19	$74.90	$80.29
	1/31/2013	4/18/2017	5	$391.87	$187.25	$204.62
	1/31/2013	4/25/2017	2	$158.53	$74.90	$83.63
			Total	**$2,166.63**	**$1,086.05**	**$1,080.58**
Blackrock Inc.						
	2/24/2016	4/18/2017	1	$382.59	$311.41	$71.18
	2/24/2016	4/25/2017	1	$378.34	$311.42	$66.92
			Total	**$760.93**	**$622.83**	**$138.10**
CVS Caremark Corp						
	1/31/2013	7/8/2016	1	$94.93	$51.39	$43.54
	1/31/2013	12/5/2016	1	$76.78	$51.39	$25.39

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	1/31/2013	1/5/2017	2	$158.00	$102.78	$55.22
	1/31/2013	1/11/2017	1	$82.28	$51.39	$30 89
	1/31/2013	4/18/2017	5	$389.51	$256.95	$132.56
	1/31/2013	4/25/2017	2	$155.69	$102.78	$52.91
			Total	**$957.19**	**$616.68**	**$340.51**
Calamos Market Neutral Income Fund Cl I						
	11/3/2015	12/1/2016	3.33	$43.52	$43.22	$0.30
	11/3/2015	1/5/2017	10.939	$141.22	$141.99	($0 77)
	11/3/2015	2/23/2017	5.069	$66.25	$65.80	$0.45
	11/3/2015	4/21/2017	5.226	$68.20	$67.83	$0.37
			Total	**$319.19**	**$318.84**	**$0.35**
Calamos Strat Tot Return						
	11/5/2015	12/5/2016	34	$342.71	$349.02	($6 31)
	11/5/2015	1/5/2017	48	$495.95	$492.73	$3.22
	11/5/2015	1/9/2017	113	$1,185.34	$1,159.97	$25.37
	11/5/2015	2/27/2017	49	$538.01	$502 99	$35.02
	11/5/2015	4/5/2017	40	$446.69	$410.61	$36.08
	11/5/2015	4/18/2017	106	$1,184.05	$1,088.11	$95.94
	11/5/2015	4/25/2017	47	$524.98	$482.46	$42.52
			Total	**$4,717.73**	**$4,485.89**	**$231.84**
Cardinal Health Inc Ohio						
	2/6/2013	7/6/2016	1	$78.21	$44.06	$34.15
	2/6/2013	12/5/2016	1	$71.30	$44.06	$27.24
	2/6/2013	1/5/2017	2	$144.31	$88.12	$56.19
	2/6/2013	1/9/2017	4	$297.99	$176.24	$121.75
	2/6/2013	1/11/2017	1	$74.84	$44.06	$30.78
	2/6/2013	2/27/2017	2	$162.37	$88.12	$74.25
	2/6/2013	4/5/2017	1	$81.71	$44.06	$37.65
	2/6/2013	4/18/2017	4	$324.30	$176.24	$148.06
	2/6/2013	4/25/2017	2	$145.51	$88.12	$57.39
			Total	**$1,380.54**	**$793.08**	**$587.46**
Chubb Ltd						
	1/31/2013	7/8/2016	1	$128.73	$85.59	$43.14
	1/31/2013	12/5/2016	1	$128.38	$85.59	$42.79
	1/31/2013	1/5/2017	1	$132.32	$85.59	$46.73
	2/6/2013	1/9/2017	3	$396.32	$257.49	$138.83
	2/6/2013	1/11/2017	1	$131.42	$85.83	$45.59
	2/6/2013	2/27/2017	2	$271.99	$171.66	$100.33
	2/6/2013	4/5/2017	1	$136.40	$85.83	$50.57

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	2/6/2013	4/18/2017	3	$409.72	$257.49	$152.23
	2/6/2013	4/25/2017	1	$136.87	$85.83	$51.04
			Total	**$1,872.15**	**$1,200.90**	**$671.25**
Cisco Systems Inc.						
	2/24/2016	2/27/2017	4	$136.43	$105.84	$30.59
	2/24/2016	4/5/2017	3	$101.00	$79.38	$21.62
	2/24/2016	4/18/2017	10	$325.93	$264.60	$61.33
	2/24/2016	4/25/2017	4	$131.15	$105.84	$25.31
			Total	**$694.51**	**$555.66**	**$138.85**
Cognizant Tech Solutns A						
	12/9/2015	1/5/2017	2	$111.98	$125.63	($13.65)
	12/9/2015	1/9/2017	5	$282.39	$314.07	($31.68)
	12/9/2015	1/11/2017	1	$57.61	$62.81	($5 20)
	12/9/2015	2/27/2017	2	$116.45	$125.63	($9 18)
	12/9/2015	4/5/2017	2	$118.35	$125.63	($7.28)
	12/9/2015	4/18/2017	5	$292.81	$314.07	($21 26)
	12/9/2015	4/25/2017	2	$116.01	$125.63	($9 62)
			Total	**$1,095.60**	**$1,193.47**	**($97.87)**
Comcast Corp New Cl A						
	1/31/2013	7/6/2016	1	$64.69	$39.90	$24.79
	1/31/2013	10/13/2016	3	$195.66	$119.70	$75.96
	1/31/2013	12/5/2016	2	$139.33	$79.80	$59.53
	1/31/2013	1/5/2017	2	$138.36	$79.80	$58.56
	1/31/2013	1/9/2017	6	$417.05	$239.40	$177.65
	1/31/2013	1/11/2017	1	$70.33	$39.90	$30.43
	1/31/2013	2/27/2017	5	$189.34	$99.75	$89.59
	1/31/2013	4/5/2017	4	$149.67	$79.80	$69.87
	1/31/2013	4/18/2017	11	$410.55	$219.45	$191.10
	1/31/2013	4/25/2017	5	$188.84	$99.75	$89.09
			Total	**$1,963.82**	**$1,097.25**	**$866.57**
Costco Wholesale Corp						
	1/31/2013	7/8/2016	1	$155.50	$102.62	$52.88
	1/31/2013	12/5/2016	1	$151.30	$102.62	$48.68
	1/31/2013	12/7/2016	2	$301.52	$205.24	$96.28
	1/31/2013	1/5/2017	1	$160.49	$102.62	$57.87
	1/31/2013	1/9/2017	3	$479.39	$307.86	$171.53
	1/31/2013	1/11/2017	1	$162.57	$102.62	$59.95
	1/31/2013	2/27/2017	1	$177.06	$102.62	$74.44
	1/31/2013	4/5/2017	1	$167.62	$102.62	$65.00

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	1/31/2013	4/18/2017	2	$341.19	$205.24	$135.95
	1/31/2013	4/25/2017	1	$170.32	$102.62	$67.70
			Total	**$2,266.96**	**$1,436.68**	**$830.28**
Danaher Corp Del						
	2/24/2016	2/27/2017	2	$169.27	$131.56	$37.71
	2/24/2016	4/5/2017	2	$170.81	$131.56	$39.25
	2/24/2016	4/18/2017	5	$428.89	$328 89	$100.00
	2/24/2016	4/25/2017	2	$170.99	$131.56	$39.43
			Total	**$939.96**	**$723.57**	**$216.39**
Devon Energy Corp New						
	2/4/2016	2/27/2017	4	$177.99	$105.79	$72.20
	2/4/2016	4/5/2017	3	$125.35	$79.34	$46.01
	2/4/2016	4/18/2017	8	$340.04	$211.59	$128.45
	2/4/2016	4/25/2017	3	$118.37	$79.34	$39.03
			Total	**$761.75**	**$476.06**	**$285.69**
Disney Walt Co						
	9/29/2014	7/8/2016	1	$97.52	$88.68	$8.84
	9/29/2014	12/5/2016	1	$99.94	$88.68	$11.26
	9/29/2014	1/5/2017	2	$208.45	$177.36	$31.09
	9/29/2014	1/9/2017	4	$425.99	$354.72	$71.27
	9/29/2014	1/11/2017	1	$109.15	$88.68	$20.47
	9/29/2014	2/27/2017	2	$219.37	$177.36	$42.01
	9/29/2014	4/5/2017	1	$113.14	$88.68	$24.46
	9/29/2014	4/18/2017	1	$113.07	$88.68	$24.39
	10/20/2014	4/18/2017	2	$226.14	$163.06	$63.08
	10/20/2014	4/25/2017	2	$228.33	$163.06	$65.27
			Total	**$1,841.10**	**$1,478.96**	**$362.14**
Dominion Res Inc New						
	10/24/2014	7/8/2016	1	$78.27	$69.94	$8.33
	10/24/2014	12/5/2016	1	$74.67	$69.94	$4.73
	10/24/2014	1/5/2017	1	$76.89	$69.94	$6.95
	10/24/2014	1/9/2017	3	$229.28	$209.82	$19.46
	10/24/2014	1/11/2017	1	$76.77	$69.94	$6.83
	10/24/2014	2/27/2017	1	$74.74	$69.94	$4.80
	10/24/2014	4/5/2017	1	$77.59	$69.94	$7.65
	10/24/2014	4/18/2017	3	$232.47	$209.82	$22.65
	10/24/2014	4/25/2017	1	$77.23	$69.94	$7.29
			Total	**$997.91**	**$909.22**	**$88.69**

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Ecolab Inc					
11/16/2015	12/5/2016	1	$116.85	$116.03	$0.82
11/16/2015	1/5/2017	1	$117.65	$116.03	$1.62
11/16/2015	1/9/2017	3	$354.74	$348.09	$6.65
11/16/2015	1/11/2017	1	$119.38	$116.03	$3.35
11/16/2015	2/27/2017	1	$121.65	$116.03	$5.62
11/16/2015	4/5/2017	1	$125.47	$116.03	$9.44
11/16/2015	4/18/2017	3	$374.59	$348.09	$26.50
11/16/2015	4/25/2017	1	$125.92	$116.03	$9.89
		Total	**$1,456.25**	**$1,392.36**	**$63.89**
Exxon Mobil Corp					
9/29/2014	7/8/2016	1	$92.79	$95.23	($2 44)
9/29/2014	12/5/2016	2	$175.05	$190.46	($15 41)
9/29/2014	12/9/2016	2	$173.92	$190.46	($16 54)
9/29/2014	1/5/2017	3	$271.64	$285.69	($14 05)
9/29/2014	1/9/2017	6	$546 35	$571.38	($25.03)
9/29/2014	1/11/2017	1	$88.59	$95.23	($6.64)
9/29/2014	2/27/2017	3	$245.09	$285.69	($40.60)
10/20/2014	4/5/2017	2	$165.35	$178.74	($13.39)
10/20/2014	4/18/2017	6	$498.19	$536.22	($38 03)
10/20/2014	4/25/2017	2	$161.99	$178.74	($16 75)
		Total	**$2,418.96**	**$2,607.84**	**($188.88)**
FedEx Corp Delaware					
4/10/2013	7/8/2016	1	$152.04	$95.10	$56.94
4/10/2013	12/5/2016	1	$191.68	$95.10	$96.58
4/10/2013	1/5/2017	1	$186.80	$95.10	$91.70
4/10/2013	1/9/2017	3	$567.02	$285.30	$281.72
4/10/2013	1/11/2017	1	$191.49	$95 10	$96.39
4/10/2013	2/27/2017	1	$194.40	$95.10	$99.30
4/10/2013	4/5/2017	1	$194.51	$95.10	$99.41
4/10/2013	4/18/2017	2	$379.75	$190.20	$189.55
4/10/2013	4/25/2017	1	$184.63	$95.10	$89.53
		Total	**$2,242.32**	**$1,141.20**	**$1,101.12**
Federal Farm Credit Bank bond @ 3.0% due 06/02/2017					
12/18/2012	4/13/2017	10000	$10,126.97	$10,955.58	($828.61)
12/18/2012	6/2/2017	5000	$5,000.00	$5,477.79	($477 79)
		Total	**$15,126.97**	**$16,433.37**	**($1,306.40)**
Fortive Corp					

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
2/24/2016	2/27/2017	1	$57.76	$41.20	$16.56
2/24/2016	4/5/2017	1	$59.56	$41.21	$18.35
2/24/2016	4/18/2017	2	$121.28	$82.41	$38.87
2/24/2016	4/25/2017	1	$60.25	$41.20	$19.05
		Total	**$298.85**	**$206.02**	**$92.83**
General Elec Cap Corp Bond @ 4.75% due 02/15/2018					
12/20/2012	7/11/2016	5000	$5,344.87	$5,563.75	($218 88)
		Total	**$5,344.87**	**$5,563.75**	**($218.88)**
General Electric Co.					
1/30/2013	7/8/2016	4	$125.55	$88.98	$36.57
1/30/2013	12/5/2016	4	$123.96	$88.98	$34.98
1/30/2013	12/9/2016	12	$373.21	$266.94	$106.27
1/30/2013	1/5/2017	7	$222.47	$155.71	$66.76
1/30/2013	1/9/2017	16	$508.79	$355.92	$152.87
1/30/2013	1/11/2017	3	$95.10	$66.74	$28.36
1/30/2013	2/27/2017	7	$213.63	$155.71	$57.92
1/30/2013	4/5/2017	5	$149.59	$111.23	$38.36
1/30/2013	4/18/2017	15	$447.51	$333.67	$113.84
1/30/2013	4/25/2017	6	$180.29	$133.47	$46.82
		Total	**$2,440.10**	**$1,757.35**	**$682.75**
Goldman Sachs Group Inc					
6/3/2015	7/8/2016	1	$144.32	$206.73	($62 41)
6/3/2015	12/5/2016	1	$218.72	$206.73	$11.99
6/3/2015	12/9/2016	3	$689.35	$620.19	$69.16
6/3/2015	1/5/2017	1	$237.80	$206.73	$31.07
6/3/2015	1/9/2017	3	$726.01	$620.19	$105.82
6/3/2015	1/11/2017	1	$245.78	$206.73	$39.05
6/3/2015	2/27/2017	1	$249.99	$206.73	$43.26
6/3/2015	4/5/2017	1	$229.27	$206.73	$22.54
6/3/2015	4/18/2017	1	$226.36	$206.73	$19.63
7/1/2015	4/18/2017	2	$452.73	$428.94	$23.79
7/1/2015	4/25/2017	1	$215.49	$214.47	$1.02
		Total	**$3,635.82**	**$3,330.90**	**$304.92**
Halliburton Company					
7/1/2015	7/8/2016	2	$86.76	$85.93	$0.83
7/1/2015	12/5/2016	3	$157.68	$128.90	$28.78
7/1/2015	1/5/2017	4	$214.51	$171.86	$42.65
7/1/2015	1/9/2017	10	$556.09	$429.66	$126.43

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
7/1/2015	1/11/2017	2	$112.96	$85.93	$27.03
7/1/2015	2/27/2017	4	$213.79	$171.87	$41.92
7/1/2015	4/5/2017	3	$147.89	$128.90	$18.99
7/1/2015	4/18/2017	10	$497 59	$429.66	$67.93
7/1/2015	4/25/2017	3	$143.45	$128.90	$14.55
7/14/2015	4/25/2017	1	$47.82	$41.57	$6.25
		Total	**$2,178.54**	**$1,803.18**	**$375.36**
Henderson International Opportunities Fund Cl I					
1/29/2013	7/6/2016	15.665	$378.78	$342.28	$36.50
1/29/2013	12/1/2016	12.043	$299.75	$263.14	$36.61
1/29/2013	1/3/2017	17.058	$427.13	$372.72	$54.41
1/29/2013	1/5/2017	39.665	$1,003.52	$866.68	$136.84
1/29/2013	1/9/2017	10.137	$257.68	$221.49	$36.19
1/29/2013	4/3/2017	13.718	$364.08	$299.74	$64.34
1/29/2013	4/13/2017	23.16	$612.12	$506.05	$106.07
2/5/2013	4/13/2017	15.343	$405.51	$335.25	$70.26
2/5/2013	4/21/2017	16.951	$447.51	$370.38	$77.13
		Total	**$4,196.08**	**$3,577.73**	**$618.35**
Home Depot Inc.					
1/31/2013	7/8/2016	1	$129.20	$67.95	$61.25
1/31/2013	12/5/2016	1	$129.01	$67.95	$61.06
1/31/2013	12/9/2016	3	$389.07	$203.85	$185.22
1/31/2013	1/5/2017	2	$269.42	$135.90	$133.52
1/31/2013	1/9/2017	4	$537.35	$271.80	$265.55
1/31/2013	1/11/2017	1	$134.12	$67.95	$66.17
1/31/2013	2/27/2017	2	$290.25	$135.90	$154.35
1/31/2013	4/5/2017	1	$146.82	$67.95	$78.87
1/31/2013	4/18/2017	4	$588.91	$271.80	$317.11
1/31/2013	4/25/2017	2	$295.45	$135.90	$159.55
		Total	**$2,909.60**	**$1,426.95**	**$1,482.65**
Honeywell International Inc					
1/31/2013	7/8/2016	1	$115.06	$69.00	$46.06
1/31/2013	12/5/2016	1	$113.78	$68.62	$45.16
1/31/2013	1/5/2017	2	$231.81	$137.24	$94.57
1/31/2013	1/9/2017	4	$466.71	$274.49	$192.22
1/31/2013	1/11/2017	1	$118.62	$68.62	$50.00
1/31/2013	2/27/2017	2	$248.01	$137.25	$110.76
1/31/2013	4/5/2017	1	$125.31	$68.62	$56.69
1/31/2013	4/18/2017	4	$494.57	$274.49	$220.08

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	1/31/2013	4/25/2017	2	$246 61	$137.25	$109.36
			Total	**$2,160.48**	**$1,235.58**	**$924.90**
Ishares MSCI EAFE Index Fund						
	1/31/2013	7/8/2016	3	$164.06	$176.43	($12 37)
	1/31/2013	12/5/2016	1	$56.89	$58.81	($1.92)
	1/31/2013	1/5/2017	2	$115.68	$117.62	($1.94)
	1/31/2013	1/9/2017	4	$233.59	$235.24	($1 65)
	1/31/2013	1/11/2017	1	$58.98	$58.81	$0.17
	1/31/2013	2/27/2017	2	$120.77	$117.62	$3.15
	1/31/2013	4/5/2017	1	$62.13	$58.81	$3.32
	1/31/2013	4/18/2017	4	$247.52	$235.24	$12.28
	1/31/2013	4/25/2017	2	$123.91	$117.62	$6.29
			Total	**$1,183.53**	**$1,176.20**	**$7.33**
Ishares Tr Russell 2000						
	1/31/2013	7/8/2016	2	$227.36	$180.00	$47.36
	1/31/2013	12/5/2016	1	$132.40	$90.00	$42.40
	1/31/2013	1/5/2017	1	$134.75	$90.00	$44.75
	1/31/2013	1/9/2017	2	$272.09	$180.00	$92.09
	1/31/2013	1/11/2017	1	$136.25	$90.00	$46.25
	1/31/2013	2/27/2017	1	$139.99	$90.00	$49.99
	1/31/2013	4/5/2017	1	$137.04	$90.00	$47.04
	1/31/2013	4/18/2017	1	$135.31	$90.00	$45.31
	1/31/2013	4/25/2017	1	$136.33	$90.00	$46.33
			Total	**$1,451.52**	**$990.00**	**$461.52**
Janus Flexible Bond						
	11/3/2015	12/1/2016	505.696	$5,208.67	$5,279.47	($70 80)
	12/1/2015	12/1/2016	2.577	$26.54	$26.77	($0 23)
			Total	**$5,235.21**	**$5,306.24**	**($71.03)**
Janus High Yield Fund Cl I						
	1/28/2013	7/6/2016	41.222	$338.02	$814.14	($476 12)
	1/28/2013	10/11/2016	13.08	$110.39	$123.47	($13 08)
	1/29/2013	10/11/2016	46.162	$389.61	$435.31	($45 70)
	1/29/2013	12/1/2016	60.297	$502.88	$568.60	($65 72)
	1/29/2013	12/7/2016	119.19	$1,000.00	$1,123.96	($123 96)
	1/29/2013	1/3/2017	236.128	$2,000.00	$2,226.69	($226 69)
	1/29/2013	1/5/2017	107.422	$913.09	$1,012.99	($99.90)
	2/5/2013	1/5/2017	127.872	$1,086.91	$1,196.88	($109 97)
	2/5/2013	1/5/2017	175.932	$1,495.42	$1,646.72	($151 30)
	2/5/2013	1/9/2017	16.709	$142.36	$156.40	($14 04)

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	10/16/2014	1/9/2017	22.154	$188.75	$197.61	($8 86)
	10/16/2014	2/23/2017	66.36	$568.04	$591.93	($23 89)
	10/16/2014	4/3/2017	23.594	$200.31	$210.46	($10.15)
	11/3/2015	4/3/2017	27.123	$230.28	$225.39	$4.89
	11/3/2015	4/13/2017	143.183	$1,219.92	$1,189.85	$30.07
	11/3/2015	4/21/2017	147.059	$1,250.00	$1,222.06	$27.94
	11/3/2015	4/21/2017	63.036	$535.81	$523.83	$11.98
			Total	**$12,171.79**	**$13,466.29**	**($1,294.50)**
Janus Triton Fund Cl I						
	1/28/2013	12/1/2016	18.452	$452.81	$355.75	$97.06
	1/28/2013	1/3/2017	26.839	$640.65	$517.46	$123.19
	1/28/2013	1/5/2017	62.409	$1,522.16	$1,203.25	$318.91
	1/28/2013	1/9/2017	15.95	$387.27	$307.52	$79.75
	1/28/2013	2/23/2017	27.103	$689.77	$522.55	$167.22
	1/28/2013	4/3/2017	20.607	$525.89	$397.30	$128.59
	1/28/2013	4/13/2017	57.841	$1,458.17	$1,115.17	$343.00
	1/28/2013	4/21/2017	25.464	$655.19	$490.95	$164.24
			Total	**$6,331.91**	**$4,909.95**	**$1,421.96**
John Deere Capital Corp bond @ 5.75% due 09/10/2018						
	1/31/2013	1/3/2017	10000	$10,858.38	$12,189.00	($1.330.62)
			Total	**$10,858.38**	**$12,189.00**	**($1,330.62)**
Lockheed Martin Corp						
	2/24/2016	4/18/2017	2	$537.62	$427.79	$109.83
	2/24/2016	4/25/2017	1	$270.14	$213.90	$56.24
			Total	**$807.76**	**$641.69**	**$166.07**
Lord Abbett Bond Debenture Fund Cl F						
	12/18/2012	7/6/2016	24.539	$188.46	$199.26	($10.80)
	1/28/2013	7/6/2016	0 611	$4.69	$5.05	($0.36)
	1/28/2013	12/1/2016	34.586	$271.50	$286.03	($14.53)
	1/28/2013	1/5/2017	119.389	$951.53	$987.35	($35 82)
	1/28/2013	2/23/2017	53.436	$431.76	$441.91	($10 15)
	1/28/2013	4/13/2017	119.225	$959.76	$985.99	($26 23)
	1/28/2013	4/21/2017	35.51	$285.86	$293.67	($7.81)
	1/29/2013	4/21/2017	16.978	$136.67	$140.41	($3 74)
			Total	**$3,230.23**	**$3,339.67**	**($109.44)**
MFS International Value Fund Cl I						
	12/18/2012	12/1/2016	11.867	$435.16	$331.81	$103.35
	12/18/2012	1/3/2017	1.802	$65.84	$50.38	$15.46

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	1/29/2013	1/3/2017	15.154	$553 73	$444.77	$108.96
	1/29/2013	1/5/2017	39.114	$1,439.40	$1,148.00	$291.40
	1/29/2013	1/9/2017	9.996	$370.35	$293.38	$76.97
	1/29/2013	2/23/2017	16.987	$649.58	$498.57	$151.01
	1/29/2013	4/3/2017	12.915	$506 40	$379.05	$127.35
	1/29/2013	4/13/2017	36.357	$1,438.28	$1,067.08	$371.20
	1/29/2013	4/21/2017	15.954	$632.10	$468.25	$163 85
			Total	**$6,090.84**	**$4,681.29**	**$1,409.55**
McDonald's Corp						
	1/31/2013	7/8/2016	1	$120.46	$93.91	$26.55
	1/31/2013	12/5/2016	1	$119.98	$93.91	$26.07
	1/31/2013	12/9/2016	3	$357.76	$281.73	$76.03
	1/31/2013	1/5/2017	1	$122.71	$93 91	$28.80
	1/31/2013	1/9/2017	3	$356.72	$281.73	$74.99
	1/31/2013	1/11/2017	1	$121.16	$93.91	$27.25
	1/31/2013	2/27/2017	1	$127.45	$93.91	$33.54
	1/31/2013	4/5/2017	1	$129.80	$93.91	$35.89
	1/31/2013	4/18/2017	3	$394.09	$281.73	$112.36
	1/31/2013	4/25/2017	1	$132.64	$93.91	$38.73
			Total	**$1,982.77**	**$1,502.56**	**$480.21**
McDonald's Corp Ser Mtn 1.875% due 5/29/19						
	11/6/2015	1/9/2017	10000	$10,020.33	$9,994.40	$25.93
			Total	**$10,020.33**	**$9,994.40**	**$25.93**
Medtronic PLC						
	1/27/2015	7/8/2016	1	$87.14	$76.96	$10.18
	1/27/2015	10/13/2016	5	$428.75	$384.80	$43.95
	1/27/2015	12/5/2016	1	$72.84	$76.96	($4 12)
	1/27/2015	1/5/2017	2	$143.09	$153.92	($10 83)
	1/27/2015	1/9/2017	5	$354.49	$384.80	($30 31)
	1/27/2015	2/27/2017	3	$241.04	$230.88	$10.16
	1/27/2015	4/5/2017	2	$161.34	$153.92	$7.42
	1/27/2015	4/18/2017	5	$399.59	$384.80	$14.79
	1/27/2015	4/25/2017	2	$160.55	$153.92	$6.63
			Total	**$2,048.83**	**$2,000.96**	**$47.87**
Microsoft Corp						
	1/31/2013	7/8/2016	2	$101.99	$56.00	$45.99
	1/31/2013	10/13/2016	5	$287.60	$140.00	$147.60
	1/31/2013	12/5/2016	2	$121.52	$56.00	$65.52
	1/31/2013	12/9/2016	2	$120.13	$56.00	$64.13

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
	2/6/2013	12/9/2016	1	$60.07	$27.67	$32.40
	2/6/2013	1/5/2017	3	$186.90	$83.01	$103.89
	2/6/2013	1/9/2017	7	$437.35	$193.69	$243.66
	2/6/2013	1/11/2017	2	$126.06	$55.34	$70.72
	2/6/2013	2/27/2017	3	$192.98	$83.01	$109.97
	2/6/2013	4/5/2017	2	$131.48	$55.34	$76.14
	2/6/2013	4/18/2017	7	$457.62	$193.69	$263.93
	2/6/2013	4/25/2017	3	$195.83	$83.01	$112.82
			Total	**$2,419.53**	**$1,082.76**	**$1,336.77**
Mondelez International Inc						
	7/1/2014	7/8/2016	2	$90.02	$74.79	$15.23
	7/1/2014	12/5/2016	2	$85.03	$74.79	$10.24
	7/1/2014	1/5/2017	3	$133.68	$112.18	$21.50
	7/1/2014	1/9/2017	9	$403.64	$336.55	$67.09
	7/1/2014	1/11/2017	2	$90.10	$74.79	$15.31
	7/1/2014	2/27/2017	4	$178.75	$149.58	$29.17
	7/1/2014	4/5/2017	3	$130.35	$112.18	$18.17
	7/1/2014	4/18/2017	8	$354.40	$299.16	$55.24
	7/1/2014	4/25/2017	3	$134.15	$112.19	$21.96
			Total	**$1,600.12**	**$1,346.21**	**$253.91**
Nextera Energy Inc						
	2/24/2016	2/27/2017	1	$127.97	$114.99	$12.98
	2/25/2016	4/5/2017	1	$128.61	$115.18	$13.43
	2/25/2016	4/18/2017	2	$259.53	$230.36	$29.17
	2/25/2016	4/25/2017	1	$131.07	$115.18	$15.89
			Total	**$647.18**	**$575.71**	**$71.47**
Nike Inc Cl B						
	1/31/2013	7/8/2016	2	$110.18	$55.45	$54.73
	1/31/2013	10/13/2016	8	$414.97	$221.80	$193.17
	1/31/2013	12/5/2016	2	$101.01	$55.45	$45.56
	1/31/2013	12/9/2016	10	$504.33	$277.25	$227.08
	1/31/2013	1/5/2017	3	$153.18	$83.18	$70.00
	1/31/2013	1/9/2017	7	$365.74	$194.07	$171.67
	1/31/2013	1/11/2017	1	$53.90	$27.73	$26.17
	1/31/2013	2/27/2017	3	$172.49	$83.17	$89.32
	1/31/2013	4/5/2017	2	$111.63	$55.45	$56.18
	1/31/2013	4/18/2017	6	$332.27	$166.35	$165.92
	1/31/2013	4/25/2017	2	$111.91	$55.45	$56.46
			Total	**$2,431.61**	**$1,275.35**	**$1,156.26**

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Occidental Pete Corp						
	7/1/2015	7/8/2016	2	$150.03	$155.40	($5 37)
	7/1/2015	12/5/2016	1	$70.03	$77.70	($7.67)
	7/1/2015	1/5/2017	2	$143.29	$155.40	($12.11)
	7/1/2015	1/9/2017	2	$143.98	$155.39	($11.41)
	7/14/2015	1/9/2017	3	$215.96	$219.83	($3.87)
	7/14/2015	1/11/2017	1	$71.16	$73.27	($2 11)
	7/14/2015	2/27/2017	2	$133.15	$146.55	($13 40)
	8/12/2015	4/5/2017	2	$128.28	$138.17	($9 89)
	8/12/2015	4/18/2017	1	$65.27	$69 08	($3 81)
	11/5/2015	4/18/2017	4	$261 07	$301.13	($40.06)
	11/5/2015	4/25/2017	2	$124.13	$150.56	($26.43)
			Total	**$1,506.35**	**$1,642.48**	**($136.13)**
Principal Midcap Fund Cl P						
	10/29/2013	7/6/2016	13.327	$286.80	$268.14	$18.66
	10/29/2013	12/1/2016	15.952	$364.18	$320.95	$43.23
	10/29/2013	1/3/2017	23.058	$516 96	$463.93	$53.03
	10/29/2013	1/5/2017	49.956	$1,139.50	$1,005.12	$134.38
	11/27/2013	1/5/2017	3.66	$83.48	$74.66	$8.82
	11/27/2013	1/9/2017	13.702	$312.95	$279.52	$33.43
	11/27/2013	4/3/2017	18.543	$447.07	$378.28	$68.79
	11/27/2013	4/13/2017	52.045	$1,248.56	$1,061.72	$186.84
	11/27/2013	4/21/2017	22.913	$557.47	$467.43	$90.04
			Total	**$4,956.97**	**$4,319.75**	**$637.22**
SPDR S&P Midcap 400 ETF Trust						
	12/20/2012	7/8/2016	1	$269.30	$184.21	$85.09
	12/20/2012	12/5/2016	1	$297.62	$184.21	$113.41
	12/20/2012	1/5/2017	1	$302.04	$184.21	$117.83
	12/20/2012	1/9/2017	2	$608.53	$368.42	$240.11
	1/31/2013	1/9/2017	1	$304.26	$199.92	$104.34
	1/31/2013	1/11/2017	1	$306.38	$199.92	$106.46
	1/31/2013	2/27/2017	1	$317.41	$199.92	$117.49
	1/31/2013	4/5/2017	1	$311.97	$199.92	$112.05
	1/31/2013	4/18/2017	2	$619.47	$399.84	$219.63
	1/31/2013	4/25/2017	1	$310.94	$199.92	$111.02
			Total	**$3,647.92**	**$2,320.49**	**$1,327.43**
Schlumberger Ltd						
	1/31/2013	7/8/2016	1	$77.50	$79.80	($2 30)
	1/31/2013	12/5/2016	2	$164.52	$159.60	$4.92

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
1/31/2013	1/5/2017	4	$335.91	$319.20	$16.71
1/31/2013	1/9/2017	8	$679.98	$638.40	$41.58
2/6/2013	1/9/2017	1	$85 00	$78.96	$6.04
2/6/2013	1/11/2017	2	$173.29	$157.92	$15.37
2/6/2013	2/27/2017	4	$321.31	$315 84	$5.47
2/6/2013	4/5/2017	3	$233.47	$236.88	($3 41)
2/6/2013	4/18/2017	6	$482.30	$473.76	$8.54
4/10/2013	4/18/2017	2	$160.77	$148.04	$12.73
4/10/2013	4/25/2017	3	$229.58	$222.06	$7.52
		Total	**$2,943.63**	**$2,830.46**	**$113.17**
T Rowe Price Diversified Small Cp Growth Fd					
11/4/2015	12/1/2016	0.867	$24.70	$23.49	$1.21
11/4/2015	1/3/2017	1.098	$31.40	$29.74	$1.66
11/4/2015	1/9/2017	1.303	$37.85	$35.30	$2.55
11/4/2015	2/23/2017	1.618	$49.17	$43.83	$5.34
11/4/2015	4/13/2017	3.582	$107.60	$97.04	$10.56
11/4/2015	4/21/2017	0.807	$24.65	$21.86	$2.79
		Total	**$275.37**	**$251.26**	**$24.11**
Thermo Fisher Scientific Inc					
3/11/2013	7/8/2016	1	$147.26	$76.65	$70.61
3/11/2013	12/5/2016	1	$141.10	$76.66	$64.44
3/11/2013	1/5/2017	1	$141.14	$76.65	$64.49
3/11/2013	1/9/2017	2	$287 89	$153.31	$134.58
3/12/2013	1/9/2017	1	$143 95	$76.44	$67.51
3/12/2013	1/11/2017	1	$147.38	$76.44	$70.94
3/12/2013	2/27/2017	2	$316.67	$152.88	$163.79
3/12/2013	4/5/2017	1	$153.85	$76.44	$77.41
3/12/2013	4/18/2017	3	$456.54	$229.32	$227.22
3/12/2013	4/25/2017	1	$154.76	$76.44	$78.32
		Total	**$2,090.54**	**$1,071.23**	**$1,019.31**
United Techs Corp					
1/31/2013	7/8/2016	1	$100.63	$90.00	$10.63
1/31/2013	12/5/2016	1	$109.19	$90.00	$19.19
1/31/2013	1/5/2017	2	$221.16	$180.00	$41.16
1/31/2013	1/9/2017	3	$334.58	$270.00	$64.58
1/31/2013	1/11/2017	1	$112.56	$90.00	$22.56
1/31/2013	4/18/2017	4	$449.60	$360.00	$89.60
1/31/2013	4/25/2017	2	$226.03	$180.00	$46.03
		Total	**$1,553.75**	**$1,260.00**	**$293.75**

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
VISA Inc Cl A						
	12/20/2012	7/8/2016	2	$149.31	$74.50	$74.81
	1/31/2013	12/5/2016	2	$156.45	$80.19	$76.26
	1/31/2013	1/5/2017	3	$234.26	$120.29	$113.97
	1/31/2013	1/9/2017	7	$556.07	$280.66	$275.41
	1/31/2013	1/11/2017	2	$164.74	$80.19	$84.55
	1/31/2013	2/27/2017	3	$262 79	$120.29	$142 50
	1/31/2013	4/5/2017	2	$178.16	$80.19	$97.97
	1/31/2013	4/18/2017	7	$622.58	$280.66	$341.92
	1/31/2013	4/25/2017	3	$270.41	$120.29	$150.12
			Total	**$2,594.77**	**$1,237.26**	**$1,357.51**
Verizon Communications						
	2/24/2014	7/8/2016	2	$113.29	$96.23	$17.06
	2/24/2014	12/5/2016	3	$151.53	$144.35	$7.18
	2/24/2014	1/5/2017	5	$267.80	$240.57	$27.23
	2/24/2014	1/9/2017	6	$327.42	$288.69	$38.73
	9/29/2014	1/9/2017	6	$327.41	$299.49	$27.92
	9/29/2014	1/11/2017	3	$159.52	$149.75	$9.77
	9/29/2014	2/27/2017	5	$247.49	$249.57	($2 08)
	9/29/2014	4/5/2017	4	$195.81	$199.66	($3 85)
	9/29/2014	4/18/2017	11	$533.87	$549.07	($15 20)
	9/29/2014	4/25/2017	5	$239.99	$249.57	($9.58)
			Total	**$2,564.13**	**$2,466.95**	**$97.18**
Wells Fargo & Co New						
	12/20/2012	7/8/2016	2	$92.05	$68.42	$23.63
	1/31/2013	7/8/2016	1	$46.03	$35.24	$10.79
	1/31/2013	9/22/2016	60	$2,759.99	$2,114.40	$645.59
	2/6/2013	9/22/2016	35	$1,609.99	$1,226.75	$383.24
	10/20/2014	9/22/2016	5	$230.00	$239.10	($9 10)
	10/27/2014	9/22/2016	23	$1,058.00	$1,160.12	($102.12)
			Total	**$5,796.06**	**$4,844.03**	**$952.03**
			Total Long Term	**$165,525.74**	**$145,896.17**	**$19,629.57**

	Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Capital Gain Distributions						
Long Term						
Calamos Market Neutral Income Fund Cl I						
	12/18/2015	12/16/2016	0	$14.00	$0.00	$14.00
			Total	**$14.00**	**$0.00**	**$14.00**
Janus Triton Fund Cl I						
	12/18/2015	12/20/2016	0	$446.83	$0.00	$446.83
			Total	**$446.83**	**$0.00**	**$446.83**
Principal Midcap Fund Cl P						
	5/20/2016	12/21/2016	0	$277.95	$0.00	$277.95
			Total	**$277.95**	**$0.00**	**$277.95**
			Total Long Term	**$738.78**	**$0.00**	**$738.78**
		Total Capital Gains Distributions		**$738.78**	**$0.00**	**$738.78**

Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

DON & MARILYN ALLAN FAMILY

Account Number: 814-04117

Merrill Lynch
Bank of America Corporation

June 01, 2017 - June 30, 2017

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	6.95	6.95		6.95		
+ML BANK DEPOSIT PROGRAM	12,863.00	12,863.00	1.0000	~~12,863.00~~	10	.08
+FDIC INSURED NOT SIPC COVERED						
TOTAL		12,869.95		**12,869.95**	10	.08

GOVERNMENT AND AGENCY SECURITIES [1] Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL HOME LOAN BANK	12/17/12	15,000	15,235.30	101.3460	**15,201.90**	(33.40)	150.31	**488**	3 20
BONDS 03 250% MAR 09 2018									
MOODY'S· AAA S&P. AA+ CUSIP· 313372SN5									
ORIGINAL UNIT/TOTAL COST· 111.6490/16,747.35									
Δ FEDERAL FARM CREDIT BANK	02/01/13	5,000	5,211.44	104.0060	**5,200.30**	(11.14)	99 72	**208**	3 99
BONDS 04.150% JAN 07 2019									
MOODY'S· AAA S&P· AA+ CUSIP: 31331JAW3									
ORIGINAL UNIT/TOTAL COST· 116 0520/5,802 60									
Δ FEDERAL FARM CREDIT BANK	06/05/17	5,000	5,264.09	104.2640	**5,213.20**	(50.89)	16.25	**163**	3.11
BONDS 03.250% NOV 24 2020									
MOODY'S AAA S&P: AA+ CUSIP: 31331J3H4									
ORIGINAL UNIT/TOTAL COST· 105.3870/5,269 35									
TOTAL		25,000	25,710.83		**25,615.40**	(95.43)	266.28	**859**	3.35

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
θ ANHEUSER-BUSCH INBEV WOR	11/03/15	10,000	9,969.80	99.9910	**9,999.10**	29.30	63.02	**138**	1 37
COMPANY GUARANT GLB 01 375% JUL 15 2017									
MOODY'S A3 S&P· A- CUSIP. 03523TBN7									
ORIGINAL UNIT/TOTAL COST· 99 6980/9,969.80									
Δ GENERAL ELEC CAP CORP	12/17/12	10,000	10,143 51	101.3890	**10,138.90**	(4 61)	178 13	**475**	4 68
SER NOT1 04.750% FEB 15 2018									
MOODY'S A1 S&P: AA- CUSIP: 36966R5S1									
ORIGINAL UNIT/TOTAL COST. 111.2750/11,127 50									
TOTAL		20,000	20,113.31		**20,138.00**	24.69	241 15	**613**	3 04

cost - 48,916.60 market - 45,753.40

Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

Merrill Lynch
Bank of America Corporation

DON & MARILYN ALLAN FAMILY

Account Number: 814-04117

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMERICAN TOWER REIT INC	AMT	11/10/14	21	99.0219	2,079.46	132.3200	2,778.72	699 26	54	1.93
(HLDG CO) SHS		11/03/15	2	103.4600	206.92	132.3200	264.64	57 72	6	1 93
		09/19/16	6	109.0500	654 30	132.3200	793 92	139 62	16	1 93
Subtotal			*29*		*2,940.68*		***3,837.28***	*896.60*	***76***	*1.93*
AMERICAN WTR WKS CO INC	AWK	01/28/13	4	37.4500	149.80	77.9500	311.80	162.00	7	2 12
NEW		02/01/13	32	38.5200	1,232.64	77 9500	2,494.40	1,261.76	54	2 12
		11/03/15	3	57.1166	171.35	77.9500	233.85	62.50	5	2 12
Subtotal			*39*		*1,553.79*		***3,040.05***	*1,486.26*	***66***	*2 12*
BLACKROCK INC	BLK	02/19/16	1	311.4100	311.41	422.4100	422.41	111.00	10	2 36
		02/22/16	5	321.0400	1,605.20	422.4100	2,112.05	506.85	50	2.36
Subtotal			*6*		*1,916.61*		***2,534.46***	*617.85*	***60***	*2.36*
CARDINAL HEALTH INC OHIO	CAH	02/01/13	10	44.0600	440.60	77 9200	779.20	338.60	19	2.37
		03/06/13	2	46.1050	92.21	77.9200	155.84	63 63	4	2 37
		04/05/13	20	42.3000	846.00	77.9200	1,558.40	712.40	37	2.37
		11/03/15	2	85.4300	170.86	77.9200	155.84	(15.02)	4	2.37
Subtotal			*34*		*1,549.67*		***2,649.28***	*1,099.61*	***64***	*2.37*
CHUBB LTD	CB	02/01/13	3	85.8300	257.49	145.3800	436.14	178 65	9	1 95
		09/12/13	11	91.5100	1,006.61	145.3800	1,599.18	592.57	32	1.95
		09/24/14	4	105.7600	423.04	145.3800	581.52	158.48	12	1.95
		11/03/15	2	113.5400	227.08	145.3800	290.76	63.68	6	1.95
Subtotal			*20*		*1,914.22*		***2,907.60***	*993.38*	***59***	*1.95*
CISCO SYSTEMS INC COM	CSCO	02/19/16	52	26.4601	1,375.93	31.3000	1,627.60	251.67	61	3.70
		05/19/16	30	27.8000	834.00	31.3000	939 00	105.00	35	3.70
Subtotal			*82*		*2,209.93*		***2,566.60***	*356 67*	***96***	*3 70*
COGNIZANT TECH SOLUTNS A	CTSH	12/04/15	25	62.8132	1,570.33	66.4000	1,660.00	89.67	15	.90
		12/17/15	16	60.7075	971.32	66.4000	1,062.40	91.08	10	.90
Subtotal			*41*		*2,541.65*		***2,722.40***	*180.75*	***25***	*.90*

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YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
COMCAST CORP NEW CL A	CMCSA	01/28/13	7	19.9500	139.65	38.9200	272.44	132.79	5	1.61
		02/01/13	64	19.2000	1,228.80	38.9200	2,490.88	1,262.08	41	1.61
		09/24/14	16	27.1700	434.72	38.9200	622.72	188 00	11	1 61
		11/03/15	6	31.1500	186 90	38.9200	233.52	46.62	4	1.61
Subtotal			*93*		*1,990.07*		**3,619.56**	*1,629.49*	**61**	*1 61*
COSTCO WHOLESALE CRP DEL	COST	01/28/13	1	102.6200	102.62	159.9300	159.93	57.31	2	1.25
		02/01/13	16	102.8900	1,646.24	159.9300	2,558.88	912.64	32	1.25
		11/03/15	1	157.7500	157.75	159.9300	159.93	2.18	2	1.25
Subtotal			*18*		*1,906.61*		**2,878.74**	*972.13*	**36**	*1.25*
CVS HEALTH CORP	CVS	01/28/13	4	51.3900	205.56	80.4600	321.84	116.28	8	2.48
		02/01/13	32	51.6200	1,651.84	80.4600	2,574.72	922.88	64	2.48
		11/03/15	2	99.7500	199.50	80.4600	160.92	(38 58)	4	2.48
Subtotal			*38*		*2,056.90*		**3,057.48**	*1,000.58*	**76**	*2.48*
DANAHER CORP DEL COM	DHR	02/19/16	2	66.2150	132.43	84.3900	168.78	36.35	2	.66
		02/22/16	25	67.0184	1,675.46	84.3900	2,109.75	434.29	14	.66
		09/19/16	13	77.0700	1,001.91	84.3900	1,097.07	95.16	8	66
Subtotal			*40*		*2,809.80*		**3,375.60**	*565.80*	**24**	*.66*
DEVON ENERGY CORP NEW	DVN	02/01/16	71	26.4481	1,877 82	31.9700	**2,269.87**	392.05	**18**	.75
DISNEY (WALT) CO COM STK	DIS	10/15/14	20	81.5300	1,630.60	106.2500	2,125.00	494.40	32	1 46
		06/29/15	7	114.1600	799.12	106.2500	743.75	(55 37)	11	1 46
		11/03/15	2	114 6900	229.38	106.2500	212.50	(16 88)	4	1 46
Subtotal			*29*		*2,659.10*		**3,081.25**	*422.15*	**47**	*1.46*
DOMINION ENERGY INC	D	10/22/14	19	70.5868	1,341.15	76 6300	1,455.97	114 82	58	3 94
		11/03/15	2	70.9600	141.92	76 6300	153.26	11.34	7	3 94
Subtotal			*21*		*1,483.07*		**1,609.23**	*126.16*	**65**	*3 94*

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Merrill Lynch
Bank of America Corporation

DON & MARILYN ALLAN FAMILY

Account Number: 814-04117

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ECOLAB INC	ECL 11/11/15	1	116 0300	116.03	132.7500	132.75	16.72	2	1.11
	12/17/15	14	116.4500	1,630.30	132.7500	1,858.50	228.20	21	1.11
	02/01/16	5	106.3180	531.59	132.7500	663 75	132.16	8	1.11
Subtotal		*20*		*2,277.92*		**2,655.00**	*377.08*	**31**	*1.11*
EXXON MOBIL CORP COM	XOM 10/15/14	5	89.3700	446 85	80.7300	403.65	(43.20)	16	3 81
	10/28/14	14	94.5000	1,323.00	80.7300	1,130.22	(192.78)	44	3.81
	06/29/15	5	83.2000	416.00	80.7300	403.65	(12.35)	16	3 81
	07/09/15	4	82.1800	328.72	80.7300	322.92	(5 80)	13	3 81
	11/03/15	2	86.2400	172.48	80.7300	161.46	(11.02)	7	3 81
	12/17/15	22	78.4400	1,725.68	80.7300	1,776.06	50.38	68	3 81
Subtotal		*52*		*4,412.73*		**4,197.96**	*(214.77)*	**164**	*3 81*
FEDEX CORP DELAWARE COM	FDX 06/24/13	16	95.3300	1,525.28	217.3300	3,477.28	1,952.00	32	.92
	11/03/15	1	158.8400	158.84	217.3300	217.33	58 49	2	92
	02/01/16	3	130.9600	392.88	217.3300	651.99	259.11	6	.92
Subtotal		*20*		*2,077.00*		**4,346.60**	*2,269.60*	**40**	*.92*
FORTIVE CORP	FTV 02/19/16	1	41.2000	41.20	63.3500	63.35	22.15	1	.44
SHS	02/22/16	13	41.6823	541.87	63.3500	823.55	281.68	4	.44
Subtotal		*14*		*583.07*		**886.90**	*303.83*	**5**	*44*
GENERAL ELECTRIC	GE 01/25/13	114	22.2450	2,535.93	27.0100	**3,079.14**	543.21	**110**	3.55
GOLDMAN SACHS GROUP INC	GS 06/26/15	3	214.4700	643.41	221.9000	665.70	22 29	9	1.35
	07/09/15	3	206.4600	619.38	221.9000	665.70	46.32	9	1.35
	07/29/15	2	206.6600	413.32	221.9000	443.80	30.48	6	1.35
	08/07/15	2	205.3000	410.60	221.9000	443.80	33.20	6	1 35
	11/02/15	3	189.0300	567.09	221 9000	665.70	98.61	9	1 35
	11/03/15	1	190.5100	190.51	221.9000	221.90	31.39	3	1 35
	05/19/16	2	156.1600	312 32	221.9000	443.80	131.48	6	1.35
	09/19/16	6	166.4350	998 61	221.9000	1,331.40	332.79	18	1.35
Subtotal		*22*		*4,155.24*		**4,881.80**	*726.56*	**66**	*1.35*

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Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HALLIBURTON COMPANY	HAL	07/09/15	11	41.5700	457.27	42.7100	469.81	12.54	8	1.68
		07/29/15	10	41.5980	415.98	42.7100	427.10	11 12	8	1.68
		11/02/15	25	39.1100	977.75	42.7100	1,067.75	90.00	18	1.68
		11/03/15	4	39.6775	158 71	42.7100	170.84	12.13	3	1 68
		02/01/16	32	31.1375	996.40	42.7100	1,366.72	370.32	24	1 68
Subtotal			*82*		*3,006.11*		***3,502.22***	*496.11*	***61***	*1 68*
HOME DEPOT INC	HD	01/28/13	8	67.9500	543.60	153.4000	1,227.20	683.60	29	2.32
		02/01/13	19	67.4300	1,281.17	153.4000	2,914.60	1,633.43	68	2.32
		11/03/15	2	124.2400	248.48	153.4000	306.80	58.32	8	2.32
Subtotal			*29*		*2,073.25*		***4,448.60***	*2,375.35*	***105***	*2.32*
HONEYWELL INTL INC DEL	HON	01/28/13	10	68.6320	686.32	133.2900	1,332.90	646.58	27	1.99
		02/01/13	24	68.4429	1,642.63	133.2900	3,198.96	1,556 33	64	1.99
		11/03/15	2	103.4150	206.83	133.2900	266.58	59 75	6	1 99
Subtotal			*36*		*2,535.78*		***4,798.44***	*2,262.66*	***97***	*1.99*
JPMORGAN CHASE & CO	JPM	09/19/16	41	66.2982	2,718.23	91.4000	**3,747.40**	1,029.17	**82**	2 18
LOCKHEED MARTIN CORP	LMT	02/19/16	1	213.8900	213.89	277.6100	277.61	63.72	8	2.62
		02/22/16	10	215.9400	2,159.40	277.6100	2,776.10	616.70	73	2.62
Subtotal			*11*		*2,373.29*		***3,053.71***	*680 42*	***81***	*2 62*
MCDONALDS CORP COM	MCD	01/28/13	2	93.9100	187.82	153.1600	306.32	118.50	8	2.45
		02/01/13	17	95.7000	1,626.90	153.1600	2,603.72	976.82	64	2 45
		11/03/15	1	111.6100	111.61	153.1600	153.16	41.55	4	2.45
Subtotal			*20*		*1,926.33*		***3,063.20***	*1,136.87*	***76***	*2 45*
MEDTRONIC PLC SHS	MDT	01/28/15	35	75.6720	2,648.52	88 7500	3,106.25	457.73	65	2.07
		01/28/15	2	75.2750	150.55✦	88.7500	177.50	26 95	4	2 07
		01/28/15	1	76.4600	76.46✦	88.7500	88.75	12 29	2	2.07
		02/03/15	1	73.9800	73.98✦	88.7500	88.75	14 77	2	2.07
		11/03/15	3	74.8800	224.64	88 7500	266 25	41 61	6	2.07
Subtotal			*42*		*3,174.15*		***3,727.50***	*553.35*	***79***	*2 07*

Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

Merrill Lynch
Bank of America Corporation

DON & MARILYN ALLAN FAMILY

Account Number: 814-04117

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MICROSOFT CORP	MSFT	02/01/13	31	27.6700	857.77	68.9300	2,136.83	1,279.06	49	2.26
		11/02/15	20	53.2175	1,064.35	68.9300	1,378.60	314.25	32	2 26
		11/03/15	4	53.1900	212.76	68.9300	275.72	62.96	7	2.26
		05/19/16	5	50.0100	250.05	68.9300	344.65	94.60	8	2.26
Subtotal			*60*		*2,384.93*		***4,135.80***	*1,750.87*	***96***	*2.26*
MONDELEZ INTERNATIONAL	MDLZ	06/26/14	43	36.8651	1,585.20	43 1900	1,857.17	271.97	33	1.75
INC		06/26/14	16	39.3056	628.89✦	43.1900	691.04	62.15	13	1.75
		09/24/14	9	34.1700	307.53	43.1900	388.71	81.18	7	1.75
		11/03/15	4	45.4100	181.64	43.1900	172.76	(8.88)	4	1.75
Subtotal			*72*		*2,703.26*		***3,109.68***	*406.42*	***57***	*1.75*
NEXTERA ENERGY INC SHS	NEE	02/22/16	14	115.1800	1,612.52	140.1300	**1,961.82**	349.30	**56**	2.80
NIKE INC CL B	NKE	01/28/13	6	27.7250	166.35	59.0000	354.00	187.65	5	1.22
		02/01/13	46	27.2500	1,253.50	59.0000	2,714.00	1,460.50	34	1.22
		11/03/15	2	65.5450	131.09	59.0000	118.00	(13.09)	2	1.22
Subtotal			*54*		*1,550.94*		***3,186.00***	*1,635.06*	***41***	*1.22*
OCCIDENTAL PETE CORP CAL	OXY	11/02/15	4	75.2875	301 15	59.8700	239.48	(61.67)	13	5 07
		11/03/15	3	76.5833	229.75	59.8700	179.61	(50.14)	10	5.07
		02/01/16	30	67.2766	2,018 30	59.8700	1,796.10	(222 20)	92	5.07
		05/19/16	5	74.1980	370 99	59.8700	299.35	(71.64)	16	5.07
Subtotal			*42*		*2,920.19*		***2,514.54***	*(405.65)*	***131***	*5.07*
SCHLUMBERGER LTD	SLB	04/05/13	9	74.0200	666 18	65.8400	592.56	(73.62)	18	3 03
		10/28/14	8	94.2700	754.16	65.8400	526.72	(227 44)	16	3 03
		11/03/15	2	80.1800	160.36	65.8400	131.68	(28 68)	4	3 03
		12/17/15	55	69.9598	3,847.79	65.8400	3,621.20	(226 59)	110	3.03
Subtotal			*74*		*5,428.49*		***4,872.16***	*(556.33)*	***148***	*3.03*

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Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
THERMO FISHER SCIENTIFIC	TMO	03/07/13	16	76.4400	1,223.04	174.4700	2,791.52	1,568 48	10	.34
INC		09/24/14	6	121.4400	728.64	174.4700	1,046.82	318.18	4	.34
		11/03/15	2	133.1600	266.32	174.4700	348 94	82.62	2	34
Subtotal			24		2,218.00		**4,187.28**	1,969 28	**16**	.34
UNITED TECHS CORP COM	UTX	01/28/13	6	90.0000	540.00	122.1100	732.66	192.66	17	2 29
		02/01/13	18	88.3000	1,589.40	122.1100	2,197.98	608.58	51	2.29
		11/03/15	2	99.3800	198.76	122.1100	244.22	45.46	6	2 29
		12/06/16	3	107.3866	322.16	122.1100	366.33	44 17	9	2.29
Subtotal			29		2,650.32		**3,541.19**	890.87	**83**	2 29
VERIZON COMMUNICATNS COM	VZ	09/24/14	46	49.9150	2,296.09	44.6600	2,054.36	(241.73)	107	5.17
		10/21/14	27	48.7781	1,317.01	44.6600	1,205.82	(111.19)	63	5 17
		11/03/15	4	46.4700	185.88	44.6600	178.64	(7 24)	10	5 17
		12/17/15	15	46.3973	695.96	44.6600	669.90	(26 06)	35	5 17
Subtotal			92		4,494.94		**4,108.72**	(386.22)	**215**	5.17
VISA INC CL A SHRS	V	01/28/13	23	40.0947	922.18	93.7800	2,156.94	1,234.76	16	.70
		02/01/13	32	39.7525	1,272.08	93.7800	3,000.96	1,728.88	22	70
		11/03/15	3	75.5600	226.68	93.7800	281.34	54.66	2	.70
Subtotal			58		2,420.94		**5,439.24**	3,018.30	**40**	.70
TOTAL					91,643.48		**123,594.30**	31,950.82	**2,653**	2.15

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CALAMOS STRAT TOT RETURN FD SYMBOL. CSQ Initial Purchase:11/02/15 Fixed Income 22% Equity 78%	863	8,458.41	11.7400	**10,131.62**	1,673.21	8,458	**1,673**	**855**	8.43
CALAMOS MARKET NEUTRAL INCOME FD CL I	96	1,242.62✦	13.1500	**1,262.40**	19.78	1,103	**159**	**17**	1.33

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Merrill Lynch
Bank of America Corporation

DON & MARILYN ALLAN FAMILY

Account Number:814-04117

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL. CMNIX Initial Purchase 11/02/15									
Alternative Investments 100%									
0990 Fractional Share		*1.29*	*13.1500*	**1.30**	*01*			**1**	*1 33*
COHEN & STEERS REAL	100	1,507.93	15.2500	**1,525.00**	17.07	1.492	**32**	**45**	2.89
ESTATE SECURITIES FD I									
SYMBOL CSDIX Initial Purchase.01/05/17									
Equity 100%									
1870 Fractional Share		*2.80*	*15.2500*	**2.85**	*.05*			**1**	*2 89*
ISHARES MSCI EAFE	36	2,129.46	65.2000	**2,347.20**	217.74	2,129	**217**	**60**	2.54
SYMBOL. EFA Initial Purchase:01/28/13									
Equity 100%									
ISHARES TR RUSSELL 2000	9	892.68	140.9200	**1,268.28**	375 60	892	**375**	**18**	1.35
SYMBOL. IWM Initial Purchase:01/28/13									
Equity 100%									
JANUS HENDERSON	311	8,215.40	28.0700	**8,729.77**	514.37	8,215	**514**	**154**	1.75
INTERNATL OPPS FD CL I									
SYMBOL· HFOIX Initial Purchase·02/04/13									
Equity 100%									
6840 Fractional Share		*14.95*	*28.0700*	**19.20**	*4.25*			**1**	*1 75*
JANUS HENDERSON HIGH	1,022	8,419.21✦	8.5000	**8,687.00**	267.79	7,974	**712**	**531**	6 10
YIELD FUND I									
SYMBOL JHYFX Initial Purchase 03/10/13									
Fixed Income 100%									
5100 Fractional Share		*4 36*	*8 5000*	**4.34**	*(0.02)*			**1**	*6 10*
JANUS HENDERSON TRITON	468	9,825 71	27.1600	**12,710.88**	2,885.17	5,991	**6,719**	**19**	.14

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Don and Marilyn Allan Family Foundation
Form 990-PF FYE 6/30/2017
Attachement to Balance Sheet, End of Year Values

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
FUND I									
SYMBOL: JSMGX Initial Purchase:04/05/13									
Equity 100%									
2170 Fractional Share		4.18	27.1600	**5.89**	1.71			**1**	.14
JANUS HENDERSON GLOBAL	85	816.00	9.6400	**819.40**	3.40	816	**3**	**30**	3 54
UNCONSTRAINED BOND FD I									
SYMBOL JUCIX Initial Purchase:01/05, 17									
Alternative Investments 100%									
6290 Fractional Share		6 05	9 6400	**6.06**	01			**1**	3 54
LORD ABBETT BOND	972	7,641.97 ✦	8 1200	**7,892.64**	250.67	7,342	**550**	**362**	4 58
DEBENTURE FD CL F									
SYMBOL: LBDFX Initial Purchase 01/25 '13									
Fixed Income 100%									
6030 Fractional Share		4.90	8 1200	**4.90**				**1**	4 58
MFS INTERNATIONAL VALUE	293	10,047 81	42.4900	**12,449.57**	2,401 76	10,047	**2,401**	**185**	1 48
FUND CL I									
SYMBOL: MINIX Initial Purchase:01/28/13									
Equity 100%									
3540 Fractional Share		10.39	42 4900	**15.04**	4 65			**1**	1 48
PRINCIPAL MIDCAP FUND	420	9,190.64	25.3800	**10,659.60**	1,468.96	8,148	**2,510**	**39**	.35
CLASS I									
SYMBOL: PCBIX Initial Purchase:11/26/13									
Equity 100%									
.0930 Fractional Share		2.14	25.3800	**2.36**	.22			**1**	35
SPDR S&P MIDCAP 400 ETF	18	3,731.02	317.6200	**5,717.16**	1,986.14	3,731	**1,986**	**66**	1.14
TRUST									

Merrill Lynch
Bank of America Corporation

DON & MARILYN ALLAN FAMILY

Account Number: 814-04117

YOUR EMA ASSETS

June 01, 2017 - June 30, 2017

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: MDY Initial Purchase: 01/28/13									
Equity 100%									
T ROWE PRICE QM US SMALL CAP GROWTH EQUITY FD	28	756.72	31.6600	**886.48**	129.76	728	**158**		
SYMBOL: PRDSX Initial Purchase 05/19/16									
Equity 100%									
.7030 Fractional Share		17.50	31.6600	**22.26**	4.76				
Subtotal (Fixed Income)				18,817.84					
Subtotal (Equities)				64,264.20					
Subtotal (Alternative Investments)				2,089.16					
TOTAL		72,944.14		**85,171.20**	12,227.06		**18,009**	**2,390**	2.81

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated ~~Market Value~~	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	226,374.17	**267,388.85**	44,107.14	507.43	**6,525**	2.44

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