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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning 07/01, 2016, and ending 06/30, 2017

Name of foundation: THE PATTIS FAMILY FOUNDATION

A Employer identification number: 36-3433310

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: 600 CENTRAL AVENUE, SUITE 316

B Telephone number (see instructions): (847) 432-8700

City or town, state or province, country, and ZIP or foreign postal code: HIGHLAND PARK, IL 60035

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 8,176,858.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc received (attach schedule)	866,862.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	231,976.	231,976.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	437,138.			
b	Gross sales price for all assets on line 6a 1,124,953.				
7	Capital gain net income (from Part IV, line 2)		437,138.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 2	-32,154.	132,866.		
12	**Total.** Add lines 1 through 11	1,503,822.	801,980.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) [3]	4,468.			4,468
17	Interest ATCH 4	3,606.	3,606.		
18	Taxes (attach schedule) (see instructions) [5]	25.			25
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 6	388.	36.		352.
24	**Total operating and administrative expenses.** Add lines 13 through 23	8,487.	3,642.		4,845.
25	Contributions, gifts, grants paid	337,738.			337,738.
26	Total expenses and disbursements Add lines 24 and 25	346,225.	3,642.		342,583.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	1,157,597.			
b	**Net investment income** (if negative, enter -0-)		798,338.		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	765,665.	46,548.	46,548.
	2	Savings and temporary cash investments	432,041.	800,521.	800,521.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	1,309,750.	1,656,284.	1,656,284.
	c	Investments - corporate bonds (attach schedule) ATCH 8	357,187.	1,175,620.	1,175,620.
	11	Investments - land, buildings, and equipment basis ▶ 14,650. Less accumulated depreciation (attach schedule) ▶ 14,650.	--	- - - -	
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 9	4,154,618.	4,497,885.	4,497,885.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶	-	-	
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	7,019,261.	8,176,858.	8,176,858.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	7,019,261.	8,176,858.	
	30	Total net assets or fund balances (see instructions)	7,019,261.	8,176,858.	
	31	Total liabilities and net assets/fund balances (see instructions)	7,019,261.	8,176,858.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,019,261.
2	Enter amount from Part I, line 27a	2	1,157,597.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	8,176,858.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,176,858.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	437,138.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 *Enter the appropriate amount in each column for each year, see the instructions before making any entries*

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	301,350.	6,805,620.	0.044280
2014	268,634.	6,518,587.	0.041210
2013	88,435.	5,812,666.	0.015214
2012	113,820.	4,557,514.	0.024974
2011	141,440.	4,464,411.	0.031682

2	Total of line 1, column (d)	2	0.157360
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.031472
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,781,527.
5	Multiply line 4 by line 3	5	244,900
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,983.
7	Add lines 5 and 6	7	252,883.
8	Enter qualifying distributions from Part XII, line 4	8	342,583.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	7,983.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	7,983
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,983
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 4,350.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 12,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	16,350.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	8,367.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 8,367. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____ (2) On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARK R. PATTIS Telephone no ▶ 847-432-8700
Located at ▶ 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL ZIP+4 ▶ 60035

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	[ ] Yes [X] No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	[ ] Yes [ ] No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	5,946,810.
b	Average of monthly cash balances	1b	1,011,873.
c	Fair market value of all other assets (see instructions)	1c	941,344.
d	**Total** (add lines 1a, b, and c)	1d	7,900,027.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,900,027
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	118,500.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,781,527
6	**Minimum investment return.** Enter 5% of line 5	6	389,076.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	389,076.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	7,983.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	7,983.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	381,093.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	381,093.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	381,093.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	342,583.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	342,583.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	7,983.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	334,600.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				381,093.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			328,015.	
b Total for prior years 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 342,583.				
a Applied to 2015, but not more than line 2a			328,015.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				14,568
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (*If an amount appears in column (d), the same amount must be shown in column (a)*)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				366,525.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 11				
Total . . . . ▶ **3a**				337,738.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	231,976.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	13,019.	14	119,848.	
8 Gain or (loss) from sales of assets other than inventory			18	437,138	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		13,019.		788,962.	

13 Total Add line 12, columns (b), (d), and (e) . . . 13 801,981.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 12/23/17 — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CONOR LEFEVOUR		12/23/17		P01497330

Firm's name ▶ ANDERSEN TAX LLC — Firm's EIN ▶ 33-1197384

Firm's address ▶ 222 WEST ADAMS STREET, SUITE 2250 CHICAGO, IL 60606 — Phone no 312-357-3950

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990.*	OMB No 1545-0047 **2016**

Name of the organization	Employer identification number
THE PATTIS FAMILY FOUNDATION	36-3433310

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEXT CHAPTER HOLDINGS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 580,123.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	PATTIS FAMILY INVESTMENTS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 26,092.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
3	MARK TRUST NO. 1 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 65,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
4	MARK TRUST NO. 2 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 65,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	MARK TRUST NO. 3 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 65,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
6	MARK TRUST NO. 4 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	$ 65,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MARKETABLE SECURITIES	$ 580,123.	06/05/2017
2	MARKETABLE SECURITIES	$ 26,092.	09/01/2016
		$	
		$	
		$	
		$	

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once. See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORBIMED		4,648.	4,648.
CVI CREDIT VALUE FUND		8,419.	8,419.
CIP VI INSTITUTIONAL FEEDER		2,334.	2,334.
VOGEN FUNDING		388.	388.
BURNHAM SECURITIES		20,318.	20,318
CAPITALSPRING DIRECT LENDING		59,168.	59,168.
L.A. SENIOR INVESTORS		44,943.	44,943.
FIDELITY		68,004.	68,004.
WESTERN		7.	7.
RIVER NORTH INSTITUTIONAL PARTNERS		23,008.	23,008.
OTHER INTEREST INCOME		739.	739.
	TOTAL	231,976.	231,976.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RUSSIA PARTNERS II EPAM FUND, LP	163,205.	163,205.
VOGEN FUNDING, LP	1,037.	1,037.
CAPITALSPRING DIRECT LENDING	-2,080.	-2,080.
RIVERNORTH INSTITUTIONAL PARTNERS	-20,518.	-20,518.
DALTON HIGH YIELD	32,155	
BRAUVIN	-282,441.	
RUSSIA PARTNERS II EPAM FUND, LP	-101,100.	
VOGEN FUNDING, LP	64.	
CAPITALSPRING DIRECT LENDING	-18,638.	
LA SENIOR INVESTORS	-18,976.	
RIVERNORTH INSTITUTIONAL PARTNERS	17,231.	
ARCUS ZENSEN FUND	51,729.	
MCGRAW HILL - ROYALTY INCOME	6.	6.
ORBIMED PARTNERS	52,951.	
NEWSER		-211.
CITADEL	82,968.	
L.A. SENIOR INVESTORS	276.	276.
ALDEN GLOBAL CRE OPP FUND CAYMAN	25,490.	
CVI CREDIT VALUE FUND	48,712.	
ORBIMED	-8,407.	-8,407
CVI CREDIT VALUE FUND	-29.	-29.
CIP VI INSTITUTIONAL FEEDER	-1,973.	-1,973
KARMIN	-126,771.	
WHITEBOX RELATIVE VALUE	44,766	
CERBERUS VI INSTITUTIONAL	26,629	
OTHER TAX REFUND	1,000	1,000.
ROYALTY INCOME - ORBIMED PARTNERS	560.	560.
TOTALS	-32,154.	132,866.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES		4,468	4,468
	TOTALS	4,468.	4,468.

ATTACHMENT 4

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CERBERUS VI INSTITUTIONAL	3,606.	3,606.
TOTALS	3,606.	3,606.

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
FILING FEE		25	25
	TOTALS	25.	25.

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
MISC. EXPENSES		352.		352.
BANK AND MANAGEMENT FEES		36.	36.	
	TOTALS	388.	36.	352.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SPDR TRUST UNIT SR 1	550,095.	550,095.
CANYON NATIONAL BANK	158.	158.
MB FINANCIAL INC NEW COM	84,249.	84,249
SOLAR SENIOR CAP	75,330.	75,330
SPDR GOLD TR GOLD SHS	200,634.	200,634
RANGE RESOURCES CORP.	269,467.	269,467.
SOLAR CAP LTD COM	65,610.	65,610.
VANECK VECTORS ETF TR GOLD	46,368.	46,368.
WISDOMTREE TRUST JAPAN HEDGED	57,200.	57,200.
BLACKSTONE MORTGAGE TRU-CL A	154,840	154,840.
ISHARES S&P 100 EFT	152,333.	152,333.
TOTALS	1,656,284.	1,656,284.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DOUBLELINE TOTAL RT BOND FD	580,000.	580,000
THIRD AVENUE FOCSED CREDIT INV	18,065.	18,065.
DOUBLELINE FLEXIBLE INCOME CL	354,410.	354,410.
DOUBLELINE LOW DURATION BOND C	100,199.	100,199.
FEDL NATL MTG ASSN SER SMBS-34	521	521.
ALTERNATIVE LOAN	369.	369.
CHL MORTGAGE PASS THRU	1,634.	1,634.
CWALT INC	1,997.	1,997.
CSMC MORTGAGE BACKED	1,050.	1,050
GREENPOINT MORTGAGE	73,489.	73,489.
GSAMP TRUST SERIES	33,517.	33,517.
INDYMAC INDX	2,559.	2,559.
RASC SERIES	601.	601.
KARMIN MTM	7,209.	7,209
TOTALS	1,175,620.	1,175,620.

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
NEWSER	3,317.	3,317.
VOGEN FUNDING, L P.	46,820.	46,820.
RUSSIA PARTNERS II EPAM FUND	157,283.	157,283.
WHITEBOX RELATIVE VALUE	544,766.	544,766.
ARCUS ZENSEN	210,386.	210,386.
CAPITALSPRING DIRECT LENDING P	439,691	439,691.
DALTON HIGH YIELD OFFSHORE	720,102.	720,102.
RIVER NORTH INSTITUTIONAL PART	724,260.	724,260.
ALDEN GLOBAL OPS FUND CAYMAN	164,002.	164,002.
ORBIMED	309,157.	309,157
CITADEL	660,077.	660,077.
CVI CREDIT VALUE FUND	403,480.	403,480
CEREBERUS VI INSTITUTIONAL	114,544.	114,544.
TOTALS	4,497,885.	4,497,885.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description / Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired / Gain or (loss)	Date sold
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-26,907.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					30,028.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					917.	
		SALES OF STOCK OF PUBLICLY HELD COMPANIE					VAR	VAR
363,971.		269,164.					94,807.	
		SALES OF STOCK OF PUBLICLY HELD COMPANIE					VAR	VAR
166,865.		111,329.					55,536.	
		GAIN ON BRAUVIN LIQUIDATION					VAR	VAR
590,079.		307,322.					282,757.	
TOTAL GAIN(LOSS)							437,138.	

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
S WILLIAM PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	VICE PRESIDENT 2 00			
BETTE L PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	SECRETARY 2 00			
MARK R PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	PRESIDENT 2 00			
ROBIN Q PATTIS HIMOVITZ 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	VICE PRESIDENT 2 00			
LISA J PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	VICE PRESIDENT 2 00			
MADELEINE PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	DIRECTOR 2 00			
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE DREAM FOUNDATION 621 CHAPALA ST, STE 304 SANTA BARBARA, CA 93101	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	11,000
LYMPHOMA RESEARCH FOUNDATION 111 BROADWAY STE 1301 NEW YORK, NY 10006	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
INTERLOCHEN CENTER FOR THE ARTS PO BOX 199 INTERLOCHEN, MI 49643	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
EVANSTON HOSPITAL ONCOLOGY FUND 1301 CENTRAL STREET EVANSTON, IL 60201	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5 000
LAKE FOREST ACADEMY 1500 WEST KENNEDY ROAD LAKE FOREST, IL 60045	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	11,000
LAKE FOREST COUNTRY DAY SCHOOL 145 SOUTH GREEN BAY ROAD LAKE FOREST IL 60045	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WOMEN EMPLOYED 65 E WACKER PLACE, SUITE 1500 CHICAGO, IL 60601	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	26,350
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	14,000
WBEZ LEADERSHIP CIRCLE 848 EAST GRAND AVENUE NAVY PIER CHICAGO, IL 60611	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,200
JEWISH FEDERATION OF THE DESERT 69710 HIGHWAY 111 RANCHO MIRAGE, CA 92270	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
CHILDREN'S HOME AND AID 125 SOUTH WACKER DRIVE, 14TH FLOOR CHICAGO, IL 60606	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
PRINCETON UNIVERSITY OFFICE OF DEVELOPMENT, THE HELM BUILDING 330 ALEXANDER STREET PRINCETON, NJ 08540	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1 588

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S MICHIGAN AVENUE SUITE 1100 CHICAGO, IL 60604-4416	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
LEADERSHIP GREATER CHICAGO 111 E UPPER WACKER DR SUITE 1220 CHICAGO, IL 60601	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK IL 60035	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	4,000
THE ORIENTAL INSTITUTE 1155 E 58TH ST CHICAGO, IL 60637	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	100
PATTIS FAMILY CHARITABLE FUND 100 CROSBY PARKWAY COVINGTON KY 41015-9325	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	25,000
JEWISH FAMILY SERVICE OF THE DESERT 490 S FARREL DRIVE C 208 PALM SPRINGS, CA 92262	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,100

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEWBERRY LIBRARY 60 W WALTON S CHICAGO, IL 60610	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
BARBARA SINATRA CHILDRENS CENTER 39000 BOB HOPE DR RANCHO MIRAGE, CA 92270	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1 000
EVANSTON HISTORY CENTER 225 GREENWOOD ST EVANSTON IL 60201	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
AM SHALOM 840 VERNON AVE GLENCOE, IL 60022	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	35,250
CARLETON COLLEGE 1 NORTH COLLEGE STREET NORTHFIELD, MN 55057	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
SWIM ACROSS AMERICA 11600 N COMMUNITY HOUSE ROAD, SUITE 100 CHARLOTTE, NC 28277	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500

THE PATTIS FAMILY FOUNDATION 2016 FORM 990-PF 36-3433310

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BENNINGTON COLLEGE 1 COLLEGE DRIVE BENNINGTON, VT 05201	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
REGUFEE ONE 4753 N BROADWAY ST #401 CHICAGO, IL 60640	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	750
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
THE FIRST TEE OF GREATER CHICAGO 2901 W LAKE AVE GLENVIEW, IL 60026	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
PLANNED PARENTHOOD FEDERATION OF AMERICA 18 S MICHIGAN AVE CHICAGO, IL 60603	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	650
SANTA BARBARA INTERNATIONAL FILM FAIR 1528 CHAPALA ST SANTA BARBARA, CA 93101	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	10 000

ATTACHMENT 11

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
EISENHOWER MEDICAL CENTER 39000 BOB HOPE DR RANCHO MIRAGE, CA 92270	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
INTERNATIONAL THYROID ONCOLOGY GROUP 5166 COMMERCIAL DRIVE YORKVILLE, NY 13495	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	10 000
KARAM FOUNDATION 230 NORTHGATE #742 LAKE FOREST, IL 60045	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
FIDELITY CHARITABLE	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
LURIE CHILDREN'S FOUNDATION 225 E CHICAGO AVE CHICAGO, IL 60611	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SUSAN G KOMEN BREAST CANCER FOUNDATION 5005 LBJ FREEWAY, SUITE 250 DALLAS, TX 75244	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
EISENHOWER MEDICAL CENTER FOUNDATION 39000 BOB HOPE DR RANCHO MIRAGE, CA 92270	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	150,000
CITY YEAR, INC 545 N MICHIGAN AVE CHICAGO, IL 60611	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
		TOTAL CONTRIBUTIONS PAID	337,738.