

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 07-01-2016, and ending 06-30-2017

Name of foundation FREDERICK J PFEIFFER FOUNDATION		A Employer identification number 35-6593983
Number and street (or P O box number if mail is not delivered to street address) 116 ALLEGHENY CENTER MALL P8-YB35-	Room/suite	B Telephone number (see instructions) (412) 768-5898
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15212		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 10,535,807	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	227,196	227,196		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	243,775			
	b Gross sales price for all assets on line 6a	3,841,302			
	7 Capital gain net income (from Part IV, line 2)		243,775		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	470,971	470,971			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	74,348	37,174		37,174
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	0
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	4,910	2,548		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	79,258	39,722	0	37,174
	25 Contributions, gifts, grants paid	461,246			461,246
26 Total expenses and disbursements. Add lines 24 and 25	540,504	39,722	0	498,420	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-69,533				
b Net investment income (if negative, enter -0-)		431,249			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	315,097	379,333	379,333
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,371,433	3,245,313	4,506,982
	c Investments—corporate bonds (attach schedule)	1,232,560	1,129,627	1,129,689
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,998,083	4,091,159	4,519,803
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,917,173	8,845,432	10,535,807	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,893,137	8,801,520	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	24,036	43,912		
30 Total net assets or fund balances (see instructions)	8,917,173	8,845,432		
31 Total liabilities and net assets/fund balances (see instructions) .	8,917,173	8,845,432		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,917,173
2 Enter amount from Part I, line 27a	2	-69,533
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	8,847,640
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,208
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	8,845,432

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 243,775
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	504,110	9,970,542	0 05056
2014	482,901	10,518,408	0 04591
2013	454,095	9,951,772	0 04563
2012	438,231	9,325,837	0 046991
2011	438,410	8,906,362	0 049224
2 Total of line 1, column (d)			2 0 238315
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 047663
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 10,128,219
5 Multiply line 4 by line 3			5 482,741
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,312
7 Add lines 5 and 6			7 487,053
8 Enter qualifying distributions from Part XII, line 4			8 498,420

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax based on investment income'. Total amount owed is 4,425, with 2,156 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include: 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', 'Has the foundation engaged in any activities that have not previously been reported to the IRS?', 'Did the foundation have at least \$5,000 in assets at any time during the year?', 'Enter the states to which the foundation reports or with which it is registered?', 'If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General?', 'Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016?', 'Did any persons become substantial contributors during the tax year?'.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of PNC BANK NA Telephone no (412) 768-5898

Located at 116 ALLEGHENY CENTER MALL PITTSBURGH PA ZIP+4 15212

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)</p>			
<p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870</p>	5b		No
<p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK 116 ALLEGHENY CENTER MALL PITTSBURGH, PA 15212	TRUSTEE 15	74,348		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	10,282,456
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,282,456
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,282,456
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	154,237
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	10,128,219
6	Minimum investment return. Enter 5% of line 5.	6	506,411

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	506,411
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	4,312
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,312
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	502,099
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	502,099
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	502,099

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	498,420
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	498,420
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	4,312
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	494,108

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				502,099
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			461,248	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>498,420</u>				
a Applied to 2015, but not more than line 2a			461,248	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				37,172
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				464,927
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	0			
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				461,246
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include items like Program service revenue, Fees and contracts from government agencies, Dividends and interest from securities, etc.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
(2) Other assets.

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

Table with columns Yes, No and rows 1a(1), 1a(2), 1b(1) through 1b(6), 1c.

Schedule table with columns (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Table with columns (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? Yes No

Paid Preparer Use Only table with fields: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1010 FOOT LOCKER INC		2014-04-04	2016-07-06
1025 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-01-30	2016-07-20
170 CELANESE CORP-SERIES A		2015-07-14	2016-07-22
241 DOW CHEMICAL CO		2015-05-01	2016-07-22
154 PPG INDS INC COM		2015-03-03	2016-07-22
16257 925 EATON VANCE FLOATING RATE FUND CLASS I		2010-07-09	2016-07-29
218 398 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2012-12-10	2016-07-29
15577 438 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2010-12-15	2016-07-29
6387 063 T ROWE PRICE REAL ESTATE FUND FD 122		2011-06-23	2016-07-29
51 462 TEMPLETON GLOBAL BOND FUND AD FUND		2012-12-19	2016-07-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,609		46,947	8,662
63,538		60,365	3,173
11,871		11,980	-109
12,762		12,451	311
16,656		18,078	-1,422
141,932		141,221	711
2,062		2,161	-99
147,051		152,812	-5,761
198,318		131,078	67,240
577		634	-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,662
			3,173
			-109
			311
			-1,422
			711
			-99
			-5,761
			67,240
			-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
24235 232 TEMPLETON GLOBAL BOND FUND AD FUND		2011-06-23	2016-07-29
14067 995 ALLIANCEBERNSTEIN GLOBAL BD FD INC ADV FD 456		2016-07-29	2016-09-13
5107 252 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO		2014-09-08	2016-09-13
350 CIGNA CORP		2014-07-23	2016-09-13
200 CELANESE CORP-SERIES A		2015-10-29	2016-09-13
433 CELANESE CORP-SERIES A		2015-07-14	2016-09-13
308 CINTAS CORP		2015-02-03	2016-09-13
3363 229 COLUMBIA FLOATING RATE FUND INSTITUTIONAL		2016-07-29	2016-09-13
590 DOLLAR GENERAL CORP		2016-07-06	2016-09-13
3400 FORD MTR CO DEL COM PAR \$0 01		2016-05-10	2016-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
271,677		325,756	-54,079
120,000		121,125	-1,125
50,000		52,809	-2,809
44,626		33,981	10,645
12,249		14,044	-1,795
26,519		30,513	-3,994
35,060		25,855	9,205
30,000		29,865	135
41,995		55,648	-13,653
42,465		45,924	-3,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-54,079
			-1,125
			-2,809
			10,645
			-1,795
			-3,994
			9,205
			135
			-13,653
			-3,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
480 GILEAD SCIENCES INC COM		2015-09-15	2016-09-13
400 KIMBERLY-CLARK CORP COM		2013-04-09	2016-09-13
3009 027 PACIFIC FUNDS FLOATING RATE INCOME		2016-07-29	2016-09-13
565 TRACTOR SUPPLY CO COM		2015-05-01	2016-09-13
652 WELLS FARGO & CO NEW COM		2005-03-28	2016-09-13
546 CHECK POINT SOFTWARE COM		2014-09-04	2016-09-13
1170 KROGER CO		2014-05-08	2016-09-20
143 EXXON MOBIL CORP		2014-12-22	2016-09-22
234 HONEYWELL INTL INC		2015-05-29	2016-09-22
158 SCHLUMBERGER LTD COM		2013-10-31	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,590		53,614	-16,024
49,108		38,106	11,002
30,000		29,850	150
38,833		49,142	-10,309
31,130		19,268	11,862
41,801		38,958	2,843
36,468		27,080	9,388
11,946		13,366	-1,420
27,347		24,707	2,640
12,178		14,795	-2,617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-16,024
			11,002
			150
			-10,309
			11,862
			2,843
			9,388
			-1,420
			2,640
			-2,617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
04 ADVANSIX INC - W/I		2015-02-03	2016-10-17
781 CVS CAREMARK CORP		2013-02-22	2016-10-25
219 LOCKHEED MARTIN CORP		2013-11-25	2016-10-25
346 PPG INDS INC COM		2015-03-03	2016-10-25
239 PUBLIC STORAGE INC		2015-09-08	2016-10-25
340 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-31	2016-10-28
691 AMERICAN ELECTRIC POWER INC		2015-10-22	2016-11-16
100000 FIFTH THIRD BANCORP BNDS		2007-06-07	2017-01-15
23 ADVANSIX INC - W/I		2015-02-03	2017-01-23
1510 D R HORTON INC		2015-10-22	2017-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	
68,470		40,151	28,319
53,333		30,947	22,386
31,468		40,616	-9,148
50,547		48,405	2,142
41,291		46,664	-5,373
41,110		40,907	203
100,000		96,717	3,283
586		327	259
43,140		46,384	-3,244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			28,319
			22,386
			-9,148
			2,142
			-5,373
			203
			3,283
			259
			-3,244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
157 EXXON MOBIL CORP		2009-08-13	2017-01-23
73 GENERAL DYNAMICS CORP		2015-05-29	2017-01-23
152 HONEYWELL INTL INC		2015-02-03	2017-01-23
132 JOHNSON & JOHNSON COM		2016-09-13	2017-01-23
56 NORTHROP GRUMMAN CORPORATION		2015-02-20	2017-01-23
348 TOTAL FINA S A		2015-08-14	2017-01-23
165 WEC ENERGY GROUP INC		2010-07-09	2017-01-23
1394 PFIZER INC COM		2015-10-29	2017-02-06
889 SCHLUMBERGER LTD COM		2013-09-06	2017-02-06
5 BIOVERATIV INC-W/I		2016-09-13	2017-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,348		14,156	-808
12,927		10,274	2,653
17,828		15,888	1,940
15,060		15,614	-554
12,947		9,521	3,426
17,685		17,170	515
9,689		4,370	5,319
44,928		47,856	-2,928
72,541		76,815	-4,274
22		24	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-808
			2,653
			1,940
			-554
			3,426
			515
			5,319
			-2,928
			-4,274
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
362 VULCAN MATERIALS CO		2016-07-22	2017-02-15
1568 GENERAL ELEC CO COM		2010-07-09	2017-02-24
88 BIOVERATIV INC-W/I		2016-09-13	2017-04-11
522 CINTAS CORP		2015-02-03	2017-04-11
719 DICK'S SPORTING GOODS, INC		2017-01-23	2017-04-11
248 O REILLY AUTOMOTIVE INC		2015-07-28	2017-04-11
1476 PFIZER INC COM		2015-10-29	2017-04-11
100000 JOHN DEERE CAPITAL CORP NTS SER MTN		2007-05-02	2017-04-13
4365 SCHWAB US REIT ETF		2016-07-29	2017-04-21
840 VANTIV INC CLASS A		2016-05-10	2017-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,378		45,811	-1,433
46,772		23,504	23,268
4,993		4,263	730
63,930		42,155	21,775
36,354		36,932	-578
63,038		61,277	1,761
50,047		45,530	4,517
100,000		100,000	
183,110		198,346	-15,236
52,830		46,818	6,012

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,433
			23,268
			730
			21,775
			-578
			1,761
			4,517
			-15,236
			6,012

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1548 VERIZON COMMUNICATIONS COM		2013-05-16	2017-05-04
1080 HD SUPPLY HOLDINGS INC		2017-01-23	2017-05-31
53 JOHNSON & JOHNSON COM		2016-09-13	2017-05-31
346 JOHNSON & JOHNSON COM		2010-12-15	2017-05-31
316 LAM RESEARCH CORP		2015-06-03	2017-05-31
1185 DEVON ENERGY CORP NEW		2016-09-22	2017-06-06
220 SIMON PROPERTY GROUP INC		2016-03-29	2017-06-06
99 ABBOTT LABORATORIES INC		2016-06-24	2017-06-12
29 AETNA INC NEW		2013-05-31	2017-06-12
73 AGILENT TECHNOLOGIES (IPO)		2017-05-31	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
71,114		80,970	-9,856
43,138		45,022	-1,884
6,795		6,269	526
44,361		21,998	22,363
48,986		26,468	22,518
39,057		49,912	-10,855
33,373		44,781	-11,408
4,680		3,769	911
4,254		1,789	2,465
4,242		4,382	-140

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9,856
			-1,884
			526
			22,363
			22,518
			-10,855
			-11,408
			911
			2,465
			-140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 ALPHABET INC/CA-CL A		2015-11-04	2017-06-12
109 AMERICAN WATER WORKS CO INC		2016-02-10	2017-06-12
40 AMGEN INC		2014-01-30	2017-06-12
114 APPLE INC		2017-05-04	2017-06-12
229 APPLIED MATERIALS INC		2016-07-20	2017-06-12
162 BANK NEW YORK MELLON CORP COM		2016-05-03	2017-06-12
111 BERRY GLOBAL GROUP INC		2016-10-25	2017-06-12
57 BURLINGTON STORES INC		2017-04-11	2017-06-12
73 CBS CORP CLASS B WI		2016-09-13	2017-06-12
216 CISCO SYS INC COM		2012-01-26	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,515		8,314	2,201
8,683		7,203	1,480
6,601		5,007	1,594
16,487		16,695	-208
9,927		6,130	3,797
7,982		6,409	1,573
6,358		5,042	1,316
5,588		5,342	246
4,596		3,817	779
6,800		4,289	2,511

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,201
			1,480
			1,594
			-208
			3,797
			1,573
			1,316
			246
			779
			2,511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
186 CITIZENS FINANCIAL GROUP		2017-01-23	2017-06-12
195 COMCAST CORPORATION CL A		2011-10-13	2017-06-12
41 CONSTELLATION BRANDS INC CL A		2014-05-16	2017-06-12
27 CUMMINS INC		2017-02-24	2017-06-12
59 DISNEY WALT CO		2014-03-07	2017-06-12
93 DOW CHEMICAL CO		2016-10-25	2017-06-12
65 DR PEPPER SNAPPLE GROUP INC		2014-10-31	2017-06-12
53 EDWARDS LIFESCIENCES CORP		2015-02-13	2017-06-12
58 EQUIFAX INC		2016-06-20	2017-06-12
66 FACEBOOK INC A		2016-05-10	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,823		6,623	200
8,040		4,581	3,459
7,283		3,353	3,930
4,289		4,014	275
6,287		4,857	1,430
5,981		5,044	937
5,972		4,740	1,232
5,973		3,518	2,455
7,852		7,207	645
9,711		7,919	1,792

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			200
			3,459
			3,930
			275
			1,430
			937
			1,232
			2,455
			645
			1,792

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
29 GENERAL DYNAMICS CORP		2015-05-29	2017-06-12
112 HALLIBURTON CO		2017-02-06	2017-06-12
48 HOME DEPOT INC COM		2015-11-16	2017-06-12
36 HONEYWELL INTL INC		2015-02-03	2017-06-12
79 ILLINOIS TOOL WORKS INC COM		2016-02-18	2017-06-12
133 J P MORGAN CHASE & CO COM		2014-10-31	2017-06-12
34 JOHNSON & JOHNSON COM		2010-12-15	2017-06-12
68 KRAFT HEINZ CO/THE		2016-10-25	2017-06-12
34 LAM RESEARCH CORP		2015-06-03	2017-06-12
138 MICROSOFT CORP		1995-03-07	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,742		4,076	1,666
5,080		6,293	-1,213
7,312		5,804	1,508
4,786		3,620	1,166
11,395		7,561	3,834
11,606		8,372	3,234
4,475		2,135	2,340
6,215		6,025	190
5,134		2,848	2,286
9,536		563	8,973

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,666
			-1,213
			1,508
			1,166
			3,834
			3,234
			2,340
			190
			2,286
			8,973

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23 MOHAWK INDS INC		2017-04-11	2017-06-12
125 MORGAN STANLEY		2016-10-25	2017-06-12
76 NIKE INC CL B		2015-08-05	2017-06-12
154 NORTHERN TRUST CORP		2016-05-24	2017-06-12
21 NORTHROP GRUMMAN CORPORATION		2015-02-20	2017-06-12
34 PARKER HANNIFIN CORP		2017-04-11	2017-06-12
58 PEPSICO INC COM		2016-10-25	2017-06-12
121 PROLOGIS INC		2017-06-06	2017-06-12
55 RAYTHEON COMPANY		2016-02-02	2017-06-12
42 S&P GLOBAL INC		2016-05-24	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,492		5,290	202
5,651		4,191	1,460
4,098		4,440	-342
14,163		11,156	3,007
5,351		3,571	1,780
5,323		5,459	-136
6,732		6,204	528
6,874		6,838	36
8,740		6,811	1,929
6,079		4,684	1,395

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			202
			1,460
			-342
			3,007
			1,780
			-136
			528
			36
			1,929
			1,395

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
80 STATE STR CORP COM		2016-09-13	2017-06-12
31 STRYKER CORP		2017-04-11	2017-06-12
139 SUNTRUST BANKS INC COM		2016-06-24	2017-06-12
82 T-MOBILE US INC		2017-05-04	2017-06-12
75 TEXAS INSTRS INC COM		2015-11-16	2017-06-12
27 THERMO ELECTRON CORP COM		2015-08-31	2017-06-12
118 TOTAL FINA S A		2015-08-14	2017-06-12
85 TRANSCANADA CORP (HOLDING CO)		2017-06-06	2017-06-12
101 TYSON FDS INC COM		2016-03-29	2017-06-12
34 UNITEDHEALTH GROUP INC COM		2017-02-06	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,981		5,587	1,394
4,297		4,048	249
7,870		5,673	2,197
5,263		5,368	-105
6,046		4,254	1,792
4,659		3,381	1,278
6,045		5,822	223
4,051		4,002	49
6,173		6,827	-654
6,030		5,456	574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,394
			249
			2,197
			-105
			1,792
			1,278
			223
			49
			-654
			574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
48 VISA INC CLASS A SHARES		2013-02-15	2017-06-12
110 WEC ENERGY GROUP INC		2010-07-09	2017-06-12
92 WELLS FARGO & CO NEW COM		2005-03-28	2017-06-12
49 WYNDHAM WORLDWIDE CORP		2013-03-28	2017-06-12
32 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-14	2017-06-12
111 INGERSOLL-RAND PLC SEDOL B633030		2016-05-31	2017-06-12
53 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2017-05-31	2017-06-12
56 ROYAL CARRIBEAN CRUISES LTD SEDOL 2754907 ISIN LR0008862868		2017-04-11	2017-06-12
1002 APPLIED MATERIALS INC		2016-07-20	2017-06-21
315 EQUIFAX INC		2016-06-20	2017-06-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,474		1,875	2,599
6,925		2,913	4,012
5,010		2,719	2,291
4,831		3,159	1,672
4,010		3,219	791
9,765		7,419	2,346
4,159		4,172	-13
6,042		5,324	718
43,496		26,823	16,673
43,579		39,143	4,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,599
			4,012
			2,291
			1,672
			791
			2,346
			-13
			718
			16,673
			4,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
96 LAM RESEARCH CORP		2015-06-03	2017-06-21
968 NIKE INC CL B		2015-08-05	2017-06-21
704 NUCOR CORP		2017-02-15	2017-06-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
14,425		8,041	6,384
50,558		56,551	-5,993
39,886		44,770	-4,884
			21,454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,384
			-5,993
			-4,884

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UNIVERSITY OF PENNSYLVANIA 2929 MARKET STREET STE 300 PHILADELPHIA, PA 191046205	NONE	PC	GENERAL SUPPORT	65,912
PARKVIEW FOUNDATION ATTN EXEC DIRECTOR 2200 RANDALLIA DRIVE FORT WAYNE, IN 468054699	NONE	PC	GENERAL SUPPORT	32,933
LUTHERAN SOCIAL SERVS INC 330 MADISON STREET FT WAYNE, IN 468571329	NONE	PC	GENERAL SUPPORT	32,933
LUTHERAN HOMES INC 6701 S ANTHONY BLVD FORT WAYNE, IN 468162012	NONE	PC	GENERAL SUPPORT	65,912
THE LUTHERAN FOUNDATION INC 3024 FAIRFIELD AVE FORT WAYNE, IN 468071697	NONE	PC	GENERAL SUPPORT	32,933
Total 3a				461,246

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASSOCIATED CHURCHES OF FT WAYNE AND ALLEN COUNTY INC 602 EAST WAYNE STREET FORT WAYNE, IN 468022016	NONE	PC	GENERAL SUPPORT	32,933
COMMUNITY FDN OF GRTR FT WAYNE 555 EAST WAYNE STREET FORT WAYNE, IN 468022013	NONE	PC	GENERAL SUPPORT	65,912
LUTHERAN SCHOOL OF THEOLOGY 1100 E 55TH ST CHICAGO, IL 606155112	NONE	PC	GENERAL SUPPORT	65,912
EVANGELICAL LUTH CHURCH IN AMER 8765 W HIGGINS RD CHICAGO, IL 606314101	NONE	PC	GENERAL SUPPORT	32,933
N CENTRAL IN HEALTH SYST 347 WEST BERRY STREET FORT WAYNE, IN 468022214	NONE	PC	GENERAL SUPPORT	32,933
Total 3a				461,246

TY 2016 Investments Corporate Bonds Schedule**Name:** FREDERICK J PFEIFFER FOUNDATION**EIN:** 35-6593983

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	1,129,627	1,129,689

TY 2016 Investments Corporate Stock Schedule**Name:** FREDERICK J PFEIFFER FOUNDATION**EIN:** 35-6593983

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	3,245,313	4,506,982

TY 2016 Investments - Other Schedule**Name:** FREDERICK J PFEIFFER FOUNDATION**EIN:** 35-6593983

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED	AT COST	1,750,350	1,786,067
MUTUAL FUNDS - EQUITY	AT COST	2,002,104	2,445,698
MUTUAL FUNDS - ALTERNATIVE	AT COST	338,705	288,038

TY 2016 Other Decreases Schedule**Name:** FREDERICK J PFEIFFER FOUNDATION**EIN:** 35-6593983

Description	Amount
SECURITIES RECEIVED/DELIVERED	2,208

TY 2016 Taxes Schedule**Name:** FREDERICK J PFEIFFER FOUNDATION**EIN:** 35-6593983

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,873	1,873		0
FEDERAL ESTIMATES - PRINCIPAL	2,362	0		0
FOREIGN TAXES ON QUALIFIED FOR	523	523		0
FOREIGN TAXES ON NONQUALIFIED	152	152		0