

2949113915201 8

EXTENDED TO MAY 15, 2018  
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

JUL 1, 2016

, and ending

JUN 30, 2017

Name of foundation

THE ALPAUGH FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

525 VINE STREET

Room/suite

1925

City or town, state or province, country, and ZIP or foreign postal code

CINCINNATI, OH 45202

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

\$ 5,041,256. (Part I, column (d) must be on cash basis.)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

1,255,539.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

46.

46.

STATEMENT 1

4 Dividends and interest from securities

109,949.

109,949.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

1,111,394.

b Gross sales price for all assets on line 6a

1,640,201.

7 Capital gain net income (from Part IV, line 2)

1,111,394.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

2,476,928.

1,221,389.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Acquisition fees

STMT 3

3,099.

1,550.

1,549.

c Other professional fees

STMT 4

33,914.

32,263.

1,651.

17 Interest

18 Taxes

STMT 5

346.

85.

200.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 6

551.

0.

0.

24 Total operating and administrative expenses. Add lines 13 through 23

37,910.

33,898.

3,400.

25 Contributions, gifts, grants paid

436,810.

436,810.

26 Total expenses and disbursements

Add lines 24 and 25

474,720.

33,898.

440,210.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

2,002,208.

b Net investment income (if negative, enter -0-)

1,187,491.

c Adjusted net income (if negative, enter -0-)

N/A

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	100,734.	176,624.	176,624.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 7</b>	1,827,105.	3,759,093.	4,864,632.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,927,839.	3,935,717.	5,041,256.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>			
	<b>and complete lines 24 through 26 and lines 30 and 31</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			
	<b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	1,927,839.	3,935,717.		
30 <b>Total net assets or fund balances</b>	1,927,839.	3,935,717.		
31 <b>Total liabilities and net assets/fund balances</b>	1,927,839.	3,935,717.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,927,839.
2 Enter amount from Part I, line 27a	2	2,002,208.
3 Other increases not included in line 2 (itemize) ▶ <b>OTHER ADJUSTMENT</b>	3	5,670.
4 Add lines 1, 2, and 3	4	3,935,717.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,935,717.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SALE OF SECURITIES</b>	<b>P</b>		
<b>b SALE OF SECURITIES</b>	<b>D</b>		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 553,447.</b>		<b>513,807.</b>	<b>39,640.</b>
<b>b 1,086,754.</b>		<b>15,000.</b>	<b>1,071,754.</b>
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>39,640.</b>
<b>b</b>			<b>1,071,754.</b>
c			
d			
e			

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	<b>1,111,394.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	334,726.	3,686,466.	.090799
2014	345,294.	3,531,619.	.097772
2013	363,637.	3,108,842.	.116969
2012	408,195.	2,735,829.	.149203
2011	540,249.	2,542,661.	.212474

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.667217</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.133443</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	<b>4,473,976.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>597,021.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>11,875.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>608,896.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>440,210.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	23,750.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	23,750.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	23,750.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 7,539.	7	22,539.
b Exempt foreign organizations - tax withheld at source	6b	8	1.
c Tax paid with application for extension of time to file (Form 8868)	6c 15,000.	9	1,212.
d Backup withholding erroneously withheld	6d	10	
7 Total credits and payments. Add lines 6a through 6d		11	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 8			
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► PETER A ALPAUGH Telephone no. ► 513-621-1826 Located at ► 525 VINE STREET, SUITE 1925, CINCINNATI, OH ZIP+4 ► 45202		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
	4b	X

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER A ALPAUGH 525 VINE STREET, SUITE 1925 CINCINNATI, OH 45202	MANAGER 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

(c) Compensation

**NONE**

**Total** number of others receiving over \$50,000 for professional services

## Part IX-A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A
---	-----

**2**

**3**

4

## Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A
---	-----

**2**

All other program-related investments. See instructions.

**3**

**Total.** Add lines 1 through 3

0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,409,098.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	133,010.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,542,108.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,542,108.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	68,132.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,473,976.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	223,699.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	223,699.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	23,750.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	23,750.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	199,949.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	199,949.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	199,949.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	440,210.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	440,210.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	440,210.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				199,949.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011	414,132.			
<b>b</b> From 2012	272,428.			
<b>c</b> From 2013	210,748.			
<b>d</b> From 2014	171,825.			
<b>e</b> From 2015	151,984.			
<b>f</b> Total of lines 3a through e	1,221,117.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	440,210.			
<b>a</b> Applied to 2015, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2016 distributable amount				199,949.
<b>e</b> Remaining amount distributed out of corpus	240,261.			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,461,378.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7	414,132.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,047,246.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012	272,428.			
<b>b</b> Excess from 2013	210,748.			
<b>c</b> Excess from 2014	171,825.			
<b>d</b> Excess from 2015	151,984.			
<b>e</b> Excess from 2016	240,261.			



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
PARTNERS IN DEVELOPMENT SEE ATTACHED LIST FOR ADDRESSES		PC	COMMUNITY OUTREACH FOR HAWAIIAN FAMILIES	200.
HOSPICE OF CINCINNATI		PC	END OF LIFE CARE	100.
UNITED BREAST CANCER FOUNDATION		PC	FIGHT AGAINST BREAST CANCER	25.
CHILDREN'S WISH FOUNDATION		PC	SUPPORT OF DYING CHILDREN	25.
CITY GOSPEL MISSION		PC	HOMELESS OUTREACH SERVICE	4,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>		<b>3a</b>	<b>436,810.</b>
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]




**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule

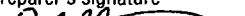
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**    **OFFICER**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>CHARLES R. STEVENS II, CPA</b>	Preparer's signature 	Date <b>2/19/18</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00358450</b>
	Firm's name ▶ <b>MCM CPAS &amp; ADVISORS LLP</b>			Firm's EIN ▶ <b>27-1235638</b>	
	Firm's address ▶ <b>3536 EDWARDS ROAD CINCINNATI, OH 45208</b>			Phone no. <b>(513) 898-8800</b>	

Form **990-PF** (2016)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

Employer identification number

**THE ALPAUGH FOUNDATION**

**31-6314074**

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization <b>THE ALPAUGH FOUNDATION</b>	Employer identification number <b>31-6314074</b>
---	---

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE ALPAUGH FAMILY 15 YEAR CHARITABLE LEAD TRUST  P.O BOX 3428  CINCINNATI, OH 43201	\$ 238,779.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	PETER ALPAUGH  525 VINE ST., STE. 1925  CINCINNATI, OH 45202	\$ 1,016,760.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Employer identification number

31-6314074

[illegible]



Name of organization

Employer identification number

**THE ALPAUGH FOUNDATION****31-6314074****Part III**

**Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF COLORADO FOUNDATION		PC	POST-SECONDARY EDUCATION INSTITUTION	2,000.
UNITED BREAST CANCER FOUNDATION		PC	FIGHT AGAINST BREAST CANCER	25.
HOSPICE OF CINCINNATI		PC	END OF LIFE CARE	200.
NATIONAL WHEELCHAIR BASKETBALL		PC	ENRICHMENT OF LIFE	25.
MISHAWAKA CATHOLIC SCHOOL		PC	ADVANCEMENT OF RELIGION	100.
GREEN AREAS INDIAN HILL, OHIO		PC	PRESERVATION OF RURAL AREA	250.
HOSPICE OF CINCINNATI		PC	END OF LIFE CARE	100.
MADD		PC	FIGHT TO END DRUNK DRIVING	25.
AFTER THE FINISH LINE		PC	WELFARE OF HORSES	2,000.
CINCINNATI OPERA		PC	ENRICHMENT OF COMMUNITY	3,500.
<b>Total from continuation sheets</b>				<b>432,460.</b>

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DUNN SCHOOL		PC	EARLY EDUCATION	1,000.
DILLSBORO UNITED METHODIST CHURCH		PC	ADVANCEMENT OF RELIGION	100.
CITY GOSPEL MISSION		PC	HOMELESS OUTREACH SERVICE	5,000.
BUCKEYE INSTITUTE		PC	EDUCATION OF FREE MARKET	250.
HERITAGE FOUNDATION		PC	EDUCATION OF PUBLIC POLICY	250.
LAKE LEELANAU LAKE ASSN.		PC	PRESERVATION OF ENVIRONMENT	500.
TAFT MUSEUM		PC	ENRICHMENT OF COMMUNITY THROUGH THE ARTS	100.
GREAT PARKS CINCINNATI		PC	ENHANCEMENT OF CITY PARKS	500.
STEPPING STONES		PC	EARLY EDUCATION	300.
SCPARTS		PC	ENRICHMENT OF LIFE THROUGH ART AND EDUCATION	200.
Total from continuation sheets				

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DUNN SCHOOL		PC	EARLY EDUCATION	1,000.
OHIO POLICE & FIRE RETIREES		PC	PROTECTION OF POLICE AND FIREMAN PENSIONS	50.
UC COLLEGE OF BUSINESS		PC	BUSINESS EDUCATION	500.
OHIO CANCER RESEARCH ASSN		PC	FIGHT FOR CURE OF CANCER	500.
SPCA-CINCINNATI		PC	ANIMAL RESCUE AND EDUCATION	300.
UNIVERSITY OF CINCINNATI FOUNDATION		PC	POST-SECONDARY EDUCATION INSTITUTION	100.
PARTNERS IN DEVELOPMENT		PC	COMMUNITY OUTREACH FOR HAWAIIAN FAMILIES	1,000.
RESTAVEK FOUNDATION		PC	ENDING CHILD SLAVERY IN HAITI	500.
CINCINNATI ART MUSEUM		PC	INSPIRATION OF COMMUNITY THROUGH ART	100.
CINCINNATI ART MUSEUM		PC	INSPIRATION OF COMMUNITY THROUGH ART	250.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CINCINNATI ZOO SOCIETY		PC	EDUCATION OF ANIMALS AND NATURE	3,000.
MILITARY VETERANS RESOURCE CENTET		PC	VETERAN OUTREACH AND REORIENTATION	100.
FREE STORE FOOD BANK		PC	FIGHTING HUNGER	100.
GREEN AREAS INDIAN HILL, OHIO		PC	PRESERVATION OF RURAL AREA	250.
WARREN COUNTY OF HUMANE SOCIETY		PC	ANIMAL RESCUE AND EDUCATION	100.
CINCINNATI CHILDREN'S HOSPITAL		PC	IMPROVING CHILDREN'S LIVES	100.
INTERLOCHEN CENTERS FOR THE ARTS		PC	ENRICHMENT OF COMMUNITY	250.
SHRINER'S CHILDREN HOSPITAL		PC	QUALITY HEALTH CARE FOR CHILDREN	100.
BEECH ACRES		PC	SUPPORT OF THE FAMILY UNIT	50,000.
CROSSROAD CHURCH		PC	ADVANCEMENT OF RELIGION	20,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	20,000.
CINCINNATI HISTORICAL SOCIETY		PC	PRESERVATION OF HISTORY	2,000.
WESTON ART GALLERY		PC	ENRICHMENT OF COMMUNITY THROUGH THE ARTS	2,000.
O'BRYONVILLE ANIMAL RESCUE		PC	HEALTH AND WELFARE OF CATS	1,000.
HELEN WOODWARD ANIMAL		PC	ANIMAL RESCUE AND EDUCATION	1,000.
UNIVERSITY OF COLORADO FOUNDATION		PC	POST-SECONDARY EDUCATION INSTITUTION	1,000.
WORLD WILDLIFE FUND		PC	CONSERVATION OF ENVIRONMENT	250.
ALLEY CATS ALLIES		PC	HEALTH AND WELFARE OF CATS	250.
HUMANE SOCIETY OF US		PC	ANIMAL RESCUE AND EDUCATION	250.
SPCA-CINCINNATI		PC	ANIMAL RESCUE AND EDUCATION	20,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MOUNT VERNON		PC	HISTORICAL HERITAGE FOUNDATION	100.
CINCINNATI GYRO/CITY FOUNDATION		PC	COMMUNITY SUPPORT OF CHARITIES	1,000.
CINCINNATI MUSEUM OF ATHLETIC		PC	HISTORY OF CINCINNATI SPORTS	500.
ANGEL WATCH		PC	PET CREMATIONS	100.
JUNIOR LEAGUE OF CINCINNATI		PC	EMPOWERMENT OF WOMEN AND COMMUNITIES	100.
AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS		PC	PROTECTION OF ANIMALS	100.
CINCINNATI NATURE CENTER		PC	NATURAL WORLD EDUCATION FOR THE YOUNG	100.
ALZHEIMER CENTER		PC	CARE OF ALZHEIMER PATIENTS	100.
OPPORTUNITY OHIO		PC	ENHANCING PROSPERITY OF OHIOANS	100.
1851 CENTER - OHIO CONSTITUTION		PC	OHIO HISTORICAL PRESERVATION	100.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAPITAL RESERACH CENTER		PC	EDUCATION OF GOVERNMENT	100.
LEADERSHIP INSTITUTE		PC	EDUCATION OF PUBLIC POLICY	100.
NATURE CONSERVENCY		PC	PRESERVATION OF ENVIRONMNET	100.
UNITED WAY		PC	COMMUNITY ADVOCACY PROGRAMS	100,000.
REASON FOUNDATION		PC	EDUCATION OF FREE MARKET	250.
INDEPENDENT INSTITUTE		PC	EDUCATION OF GOVERNMENT	500.
UNITED BREAST CANCER FOUNDATION		PC	FIGHT AGAINST BREAST CANCER	25.
NATIONAL RIGHT TO WORK FOUNDATION		PC	SUPPORT OF RIGHT TO WORK	500.
NATIONAL SPACE SOCIETY		PC	EDUCATION ON SPACE COLONIZATION	500.
CINCINNATI GYRO/CITY FOUNDATION		PC	COMMUNITY SUPPORT OF CHARITIES	250.
Total from continuation sheets				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN HEART ASSOC		PC	FIGHT OF HEART DISEASE	1,000.
PHILANTHROPY ROUNDTABLE		PC	PHILANTHROPY EDUCATION AND SUPPORT	500.
UC COLLEGE OF BUSINESS		PC	BUSINESS EDUCATION	500.
UNITED BREAST CANCER FOUNDATION		PC	FIGHT AGAINST BREAST CANCER	25.
MADD		PC	FIGHT TO END DRUNK DRIVING	25.
ST JUDE'S CHILDREN'S HOSPITAL		PC	FIGHT AGAINST CHILDHOOD CANCER	100.
CHILDREN'S WISH FOUNDATION		PC	SUPPORT OF DYING CHILDREN	25.
EVANS SCHOLARS FOUNDATION		PC	SCHOLARSHIPS FO CADDIES	250.
FOUNDATION FOR TEACHING ECONOMICS		PC	EARLY EDUCATION ON FINANCE ED.	100.
CINCINNATI ART MUSEUM		PC	INSPIRATION OF COMMUNITY THROUGH ART	100.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY GOSPEL MISSION		PC	HOMELESS OUTREACH SERVICE	100.
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	50,000.
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	2,500.
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	2,000.
FUND FOR AMERICAN STUDIES		PC	EDUCATION OF FREE MARKET	100.
VALLEY VIEW NATURE PRESERVE		PC	HISTORICAL HERITAGE PRESERVATION	250.
UC LINDNER COLLEGE OF BUSINESS		PC	BUSINESS EDUCATION	250.
CITY GOSPEL MISSION		PC	HOMELESS OUTREACH SERVICE	1,000.
ACCURACY IN MEDIA		PC	OBJECTIVITY IN MEDIA	250.
MULTIPLE SCLEROSIS ASSN		PC	SUPPORT OF MS COMMUNITY	25.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TAX FOUNDATION		PC	EDUCATION OF PUBLIC POLICY	4,000.
HERITAGE FOUNDATION		PC	EDUCATION OF PUBLIC POLICY	4,000.
CITIZENS AGAINST GOVERNMENT WASTE		PC	EDUCATION OF GOVERNMENT	2,500.
BUCKEYE INSTITUTE		PC	EDUCATION OF FREE MARKET	5,000.
CET-TV		PC	ENRICHMENT OF COMMUNITY	1,000.
MEDIA RESEARCH CENTER		PC	OBJECTIVITY OF MEDIA	7,500.
MERCATUS CENTER		PC	EDUCATION OF FREE MARKET	100.
MAUI ARTS AND CULTURAL CENTER		PC	ENRICHMENT OF COMMUNITY THROUGH THE ARTS	100.
JUDICIAL WATCH		PC	EDUCATION OF GOVERNMENT	500.
ST LAWRENCE BUILDING FUND		PC	ADVANCEMENT OF RELIGION	100.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CATO INSTITUTE		PC	EDUCATION OF PUBLIC POLICY	2,500.
HEARING & SPEECH DEAF		PC	ADVOCACY OF COMMUNICATION	1,000.
STATE POLICY NETWORK		PC	EDUCATION OF PUBLIC POLICY	500.
NORWICH PUBLIC LIBRARY		PC	ENRICHMENT OF COMMUNITY	100.
BISHOP MUSEUM		PC	CUTURAL & NATURAL HISTORY	100.
HANA CULTURAL CENTER		PC	HISTORICAL HERITAGE PRESERVATION	100.
ASHBROOK CENTER		PC	EDUCATION OF PUBLIC POLICY	250.
SPCA-CINCINNATI		PC	ANIMAL RESCUE AND EDUCATION	1,600.
BOY SCOUTS, DAN BEARD		PC	INTEGRITY IN YOUNG PEOPLE	200.
CINCINNATI NATURE CENTER		PC	NATURAL WORLD EDUCATION FOR THE YOUNG	9,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CINCINNATI PARKS FOUNDATION		PC	ENHANCEMENT OF CITY PARKS	500.
PREVENTION FIRST		PC	DRUG ABUSE PREVENTION	100.
ECONOMIC CENTER AT UC		PC	EARLY EDUCATION ON FINANCE' ED	3,200.
CINCINNATI OPERA		PC	ENRICHMENT OF COMMUNITY	25,000.
ST VINCENT DE PAUL		PC	HELP FOR THE POOR AND NEEDY	100.
SPCA-CINCINNATI		PC	ANIMAL RESCUE AND EDUCATION	1,000.
ECONOMIC CENTER AT UC		PC	EARLY EDUCATION ON FINANCE ED	100.
LEELANAU CONSERVANCY		PC	CONSERVATION OF ENVIRONMENT	500.
FISHTOWN PRESERVATION SOCIETY		PC	HISTORICAL HERITAGE PRESERVATION	250.
HEARTLAND INSTITUTE		PC	EDUCATION OF FREE MARKET	100.
Total from continuation sheets				

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST JUDE'S HOSPITAL		PC	FIGHT AGAINST CHILDHOOD CANCER	100.
AFTER THE FINISH LINE		PC	WELFARE OF HORSES	2,000.
QUITMAN UNITED METHODIST CHURCH		PC	ADVANCEMENT OF RELIGION	100.
SPCA-CINCINNATI		PC	ANIMAL RESCUE AND EDUCATION	260.
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	50,000.
CINCINNATI ECONOMIC ED & RES		PC	EARLY EDUCATION ON FINANCE ED	2,500.
GILDEON BIBLE		PC	ADVANCEMENT OF RELIGION	100.
CINCINNATI CHILDREN'S HOSPITAL		PC	IMPROVING CHILDREN'S LIVES	100.
INSTITUTE FOR JUSTICE		PC	EDUCATION OF GOVERNMENT	100.
Total from continuation sheets				

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	46.	46.	
TOTAL TO PART I, LINE 3	46.	46.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND	109,949.	0.	109,949.	109,949.	
TO PART I, LINE 4	109,949.	0.	109,949.	109,949.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	3,099.	1,550.		1,549.
TO FORM 990-PF, PG 1, LN 16B	3,099.	1,550.		1,549.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	30,611.	30,611.		0.
MANAGEMENT FEES	3,303.	1,652.		1,651.
TO FORM 990-PF, PG 1, LN 16C	33,914.	32,263.		1,651.

FORM 990-PF	TAXES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	346.	85.		200.
TO FORM 990-PF, PG 1, LN 18	346.	85.		200.

FORM 990-PF	OTHER EXPENSES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	472.	0.		0.
MEALS & ENTERTAINMENT	79.	0.		0.
TO FORM 990-PF, PG 1, LN 23	551.	0.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
UBS 32183	1,051,079.		1,187,726.	
UBS 43931	491,824.		532,079.	
UBS 32447	2,215,940.		3,144,577.	
VAULT STOCK	250.		250.	
UBS 70093	0.		0.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,759,093.		4,864,632.	

FORM 990-PF	INTEREST AND PENALTIES		STATEMENT	8
TAX DUE FROM FORM 990-PF, PART VI			1,211.	
UNDERPAYMENT PENALTY			1.	
LATE PAYMENT INTEREST			32.	
LATE PAYMENT PENALTY			48.	
TOTAL AMOUNT DUE			1,292.	



FORM 990-PF		LATE PAYMENT PENALTY			STATEMENT	9
DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY	
TAX DUE	11/15/17	22,211.	22,211.			
EXTENSION PAYMENT	11/15/17	-15,000.	7,211.	1	36.	
PAYMENT	12/15/17	-6,000.	1,211.	2	12.	
DATE FILED	02/15/18		1,211.			
TOTAL LATE PAYMENT PENALTY					48.	

FORM 990-PF		LATE PAYMENT INTEREST			STATEMENT	10
DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	11/15/17	22,211.	22,211.	.0400		
EXTENSION PAYMENT	11/15/17	-15,000.	7,211.	.0400	30	24.
PAYMENT	12/15/17	-6,000.	1,235.	.0400	62	8.
DATE FILED	02/15/18		1,243.			
TOTAL LATE PAYMENT INTEREST					32.	