



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-EZ**Department of the Treasury
Internal Revenue Service**Short Form****Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-EZ and its instructions is at www.irs.gov/form990.

OMB No 1545-1150

2016**Open to Public
Inspection**

A For the 2016 calendar year, or tax year beginning July 1, 2016, and ending June 30, 20 17

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization Indianapolis Society of Financial Analysts Inc. AKA CFA Society of Indianapolis
 Number and street (or P.O. box, if mail is not delivered to street address) P.O. Box 1225 Room/suite _____
 City or town, state or province, country, and ZIP or foreign postal code Carmel, IN 46082

D Employer identification number 23-7119206

E Telephone number (317) 902-9311

F Group Exemption Number ▶ ?

G Accounting Method ☒ Cash ☐ Accrual Other (specify) ▶

I Website: www.cfasociety.org/Indianapolis

J Tax-exempt status (check only one) — ☐ 501(c)(3) ☒ 501(c) (6) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other _____

L Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ ▶ \$ 91,550

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I) ?Check if the organization used Schedule O to respond to any question in this Part I ▶

		1	2	3	4	5a	5b	5c	6a	6b	6c	6d	7a	7b	7c	8	9	10	11	12	13	14	15	16	17	18	19	20	21
Revenue	1	Contributions, gifts, grants, and similar amounts received															32,705												
	2	Program service revenue including government fees and contracts															23,312												
	3	Membership dues and assessments															25,342												
	4	Investment income																											
	5a	Gross amount from sale of assets other than inventory																											
	b	Less: cost or other basis and sales expenses																											
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)																											
	6	Gaming and fundraising events																											
	a	Gross income from gaming (attach Schedule G if greater than \$15,000)																											
	b	Gross income from fundraising events (not including \$ _____ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)																											
c	Less: direct expenses from gaming and fundraising events																												
d	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)																												
7a	Gross sales of inventory, less returns and allowances																												
b	Less: cost of goods sold																												
c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)																												
8	Other revenue (describe in Schedule O)															10,191													
9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8															91,550													
Expenses	10	Grants and similar amounts paid (list in Schedule O)															2,000												
	11	Benefits paid to or for members																											
	12	Salaries, other compensation, and employee benefits <u>?</u>																											
	13	Professional fees and other payments to independent contractors <u>?</u>															5,214												
	14	Occupancy, rent, utilities, and maintenance																											
	15	Printing, publications, postage, and shipping																											
	16	Other expenses (describe in Schedule O) <u>?</u>															61,552												
	17	Total expenses. Add lines 10 through 16															68,766												
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)															22,784												
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)															134,288												
	20	Other changes in net assets or fund balances (explain in Schedule O)															3,583												
	21	Net assets or fund balances at end of year. Combine lines 18 through 20															160,655												

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 106421

Form **990-EZ** (2016)

SCANNED DEC 05 2017

17

Part II Balance Sheets (see the instructions for Part II)Check if the organization used Schedule O to respond to any question in this Part II ☐

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	134,288	160,655
23 Land and buildings		
24 Other assets (describe in Schedule O)		
25 Total assets	134,288	160,655
26 Total liabilities (describe in Schedule O)		
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	134,288	160,655

Part III Statement of Program Service Accomplishments (see the instructions for Part III)Check if the organization used Schedule O to respond to any question in this Part III ☒What is the organization's primary exempt purpose? See Schedule O

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses
(Required for section 501(c)(3) and 501(c)(4) organizations, optional for others)

28 <u>Lunch program expenses - The CFA Society of Indianapolis hosted approximately 8 lunch events during the fiscal year that provided networking opportunities and presented information relevant to the investment profession including economic outlooks, industry best practices and ethical professional conduct.</u> (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	28a
29 <u>Investment forum expenses - The CFA Society of Indianapolis held a full-day event for approximately 80 people that featured presentations from nationally recognized industry practitioners and the opportunity for networking and Q&A.</u> (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	29a
30 <u>New charterholder ceremony expenses - The CFA Society of Indianapolis held a ceremony to recognize the accomplishment of the new charterholders.</u> (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	30a
31 <u>Other program services (describe in Schedule O)</u> (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	31a
32 Total program service expenses (add lines 28a through 31a)	32

Part IV List of Officers, Directors, Trustees, and Key Employees (list each one even if not compensated—see the instructions for Part IV)Check if the organization used Schedule O to respond to any question in this Part IV ☒

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
Mitch DePoy President	2	0	0	0
Andrew Thorne Vice President	2	0	0	0
Daniel Saller Treasurer	2	0	0	0
Anthony Bykovsky Secretary	2	0	0	0
Brad Ferguson Board of Directors	1	0	0	0
James Glueck, Jr. Board of Directors	1	0	0	0
Sunjay Goel Board of Directors	1	0	0	0
Matthew Henry Board of Directors	1	0	0	0
Tony Huang Board of Directors	1	0	0	0
Colin King Board of Directors	1	0	0	0
Timothy Voegelé Board of Directors	1	0	0	0
Megan Linnemeler Board of Directors	1	0	0	0

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Schedule O to respond to any question in this Part V. ☒

	Yes	No
33 Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	33	<input checked="" type="checkbox"/>
34 Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	34	<input checked="" type="checkbox"/>
35a Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	35a	<input checked="" type="checkbox"/>
b If "Yes," to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	35b	
c Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	35c	<input checked="" type="checkbox"/>
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36	<input checked="" type="checkbox"/>
37a Enter amount of political expenditures, direct or indirect, as described in the instructions ► 37a		
b Did the organization file Form 1120-POL for this year?	37b	<input checked="" type="checkbox"/>
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	38a	<input checked="" type="checkbox"/>
b If "Yes," complete Schedule L, Part II and enter the total amount involved	38b	
39 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on line 9	39a	
b Gross receipts, included on line 9, for public use of club facilities	39b	
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ► ; section 4912 ► ; section 4955 ►		
b Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b	
c Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ►		
d Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization ►		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e	<input checked="" type="checkbox"/>
41 List the states with which a copy of this return is filed ► Indiana		
42a The organization's books are in care of ► Megan Linnebler, CFA Telephone no. ► (317) 902-9311		
Located at ► 535 N. College Dr. Carmel, IN 46032 ZIP + 4 ►		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b	<input checked="" type="checkbox"/>
If "Yes," enter the name of the foreign country: ►		
See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
c At any time during the calendar year, did the organization maintain an office outside the United States?	42c	<input checked="" type="checkbox"/>
If "Yes," enter the name of the foreign country: ►		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the tax year ► 43		
44a Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44a	<input checked="" type="checkbox"/>
b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44b	<input checked="" type="checkbox"/>
c Did the organization receive any payments for indoor tanning services during the year?	44c	<input checked="" type="checkbox"/>
d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	44d	
45a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	45a	<input checked="" type="checkbox"/>
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	45b	<input checked="" type="checkbox"/>

46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I

	Yes	No
46		<input checked="" type="checkbox"/>

Part VI Section 501(c)(3) organizations only

All section 501(c)(3) organizations must answer questions 47–49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI ☐

47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II

	Yes	No
47		

48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E

	Yes	No
48		

49a Did the organization make any transfers to an exempt non-charitable related organization?

	Yes	No
49a		

b If "Yes," was the related organization a section 527 organization?

	Yes	No
49b		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation

f Total number of other employees paid over \$100,000 ▶

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000 ▶

52 Did the organization complete Schedule A? **Note:** All section 501(c)(3) organizations must attach a completed Schedule A ▶ ☐ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ?	<i>M. Linnemeier</i> Signature of officer	11/13/2017 Date
	Megan Linnemeier, CFA Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no			

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☐ Yes ☐ No

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Name of the organization

Indianapolis Society of Financial Analysts Inc. AKA CFA Society of Indianapolis

Employer identification number

23-7119206

Form 990-EZ, Part 1, Line 8, Other Revenue:

Miscellaneous revenue (job posting fees and miscellaneous sponsorship fees) **\$10,191**

Form 990-EZ, Part 1, Line 10, Grants and Similar Amounts Paid:

The CFA Society of Indianapolis selected two organizations to receive charitable grants to be used towards financial literacy programs. Recipients and grant amounts included the following:

United Way of Central Indiana **\$1,000**

Mary Rigg Community Center **\$1,000**

Subtotal, Grants and Similar Amounts Paid **\$2,000**

Form 990-EZ, Part 1, Line 16, Other Expenses:

Administrative expenses **\$6,371**

Technology expenses **\$875**

Golf outing expenses **\$10,690**

Lunch event expenses **\$23,202**

New charterholder ceremony **\$8,445**

Investment forum event expenses **\$2,582**

Annual meeting expenses **\$4,925**

Mock exam expenses **\$1,721**

Brand awareness expenses **\$1,741**

Compensation survey expenses **\$1,000**

Total Other Expenses **\$61,552**

Schedule O Continued on Page 2

Name of the organization

Indianapolis Society of Financial Analysts Inc. AKA CFA Society of Indianapolis

Employer identification number

23-7119206

Form 990-EZ, Part I, Line 20, Other Changes in Net Assets or Fund Balances

The CFA Society of Indianapolis held certain investment funds which appreciated in the amount of \$3,583 during the

fiscal year ending June 30, 2017.

Form 990-EZ, Part III, Primary Exempt Purpose: Professional development of Investment Analysts

Mission Statement: Advance professional excellence and ethics through education, collaboration and awareness of the CFA Charter for the benefit of the regional investment community and public.

Form 990-EZ, Part III, Line 31:

Other program service expenses - Other expenses for program services included disbursements for a ceremonial dinner for new CFA charterholders, an annual meeting event where society business is discussed and new officers are elected, and an after-exam event where examinees can network with society members.

Form 990-EZ, Part IV, List of Officers, Directors, etc. (List Continued)

Vincent Reldy, Board of Directors, 1,0,0,0

Robert Ramsey, Board of Directors, 1,0,0,0

Form 990-EZ, Part V, Information Regarding Personal Benefit Contracts:

The organization did not, during the year, receive any funds directly or indirectly to pay premiums on a personal benefit contract. The organization did not, during the year, pay any premiums directly or indirectly on a personal benefit contract.