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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning JUL 1, 2016, and ending JUN 30, 2017

Name of foundation THE MAXIMILIAN E. AND MARION O. HOFFMAN FOUNDATION, INC.		A Employer identification number 22-2648036
Number and street (or P.O. box number if mail is not delivered to street address) 970 FARMINGTON AVENUE	Room/suite 203	B Telephone number 860-521-2949
City or town, state or province, country, and ZIP or foreign postal code WEST HARTFORD, CT 06107		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 57,482,911. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		92.	92.		STATEMENT 1
4 Dividends and interest from securities		1,195,432.	1,195,432.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		8,468.			
b Gross sales price for all assets on line 6a	2,000,000.				
7 Capital gain net income (from Part IV, line 2)			8,468.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		446,702.	446,702.		STATEMENT 3
12 Total Add lines 1 through 11		1,650,694.	1,650,694.		
13 Compensation of officers, directors, trustees, etc		391,300.	231,845.		159,455.
14 Other employee salaries and wages		63,400.	31,700.		31,700.
15 Pension plans, employee benefits		114,207.	114,207.		0.
16a Legal fees					
b Accounting fees	STMT 4	12,225.	12,225.		0.
c Other professional fees	STMT 5	111,802.	111,802.		0.
17 Interest					
18 Taxes	STMT 6	32,446.	18,240.		12,705.
19 Depreciation and depletion		260.	0.		
20 Occupancy		43,001.	0.		0.
21 Travel, conferences, and meetings		541.	541.		0.
22 Printing and publications					
23 Other expenses	STMT 7	24,363.	13,532.		10,900.
24 Total operating and administrative expenses Add lines 13 through 23		793,545.	534,092.		214,760.
25 Contributions, gifts, grants paid		2,565,740.			2,565,740.
26 Total expenses and disbursements Add lines 24 and 25		3,359,285.	534,092.		2,780,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-1,708,591.			
b Net investment income (if negative, enter -0-)			1,116,602.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		3.	3.
	2 Savings and temporary cash investments	451,719.	297,583.	297,583.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	47,102,692.	45,106,979.	46,062,152.
	c Investments - corporate bonds			
	11 Investments - land, buildings and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	9,800,953.	10,242,469.	11,118,032.	
14 Land, buildings, and equipment basis ▶ 61,418.				
Less: accumulated depreciation STMT 10 ▶ 60,627.	1,052.	791.	791.	
15 Other assets (describe ▶ SECURITY DEPOSIT)	4,350.	4,350.	4,350.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	57,360,766.	55,652,175.	57,482,911.	
Liabilities	17 Accounts payable and accrued expenses	63,705.	63,705.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	63,705.	63,705.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	57,297,061.	55,588,470.	
30 Total net assets or fund balances	57,297,061.	55,588,470.		
31 Total liabilities and net assets/fund balances	57,360,766.	55,652,175.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	57,297,061.
2 Enter amount from Part I, line 27a	2	-1,708,591.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	55,588,470.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	55,588,470.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GMO - BENCHMARK FREE FUND	P	12/31/14	05/01/17
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,000,000.		1,991,532.	8,468.
b			
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			8,468.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	8,468.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	3,091,166.	56,044,813.	.055155
2014	3,050,084.	62,525,427.	.048781
2013	3,077,423.	64,006,446.	.048080
2012	3,124,225.	62,469,261.	.050012
2011	3,176,266.	63,567,094.	.049967

2 Total of line 1, column (d)	2	.251995
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050399
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	55,918,024.
5 Multiply line 4 by line 3	5	2,818,212.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,166.
7 Add lines 5 and 6	7	2,829,378.
8 Enter qualifying distributions from Part XII, line 4	8	2,780,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	22,332.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	22,332.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	22,332.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 64,213.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	64,213.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	41,881.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	41,881.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	☐	☒
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	☐	☒
c Did the foundation file Form 1120-POL for this year?	☐	☒
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	☐	☒
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	☐	☒
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	☐	☒
b If "Yes," has it filed a tax return on Form 990-T for this year?	☐	☐
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	☐	☒
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	☒	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	☒	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>CT, NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	☒	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	☐	☒
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	☐	☒

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JUNE KWIATKOWSKI Telephone no. ► 860-521-2949 Located at ► 970 FARMINGTON AVE., WEST HARTFORD, CT ZIP+4 ► 06107		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		391,300.	54,195.	47,472.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ISABELLA J. KWIATKOWSKI - 382 WARD STREET, WALLINGFORD, CT 06492	BOOKKEEPER 40.00	63,400.	9,510.	2,997.

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	
	0.
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	56,183,313.
b Average of monthly cash balances	1b	586,255.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	56,769,568.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	56,769,568.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	851,544.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	55,918,024.
6 Minimum investment return. Enter 5% of line 5	6	2,795,901.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,795,901.
2a Tax on investment income for 2016 from Part VI, line 5	2a	22,332.
b Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	22,332.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,773,569.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	2,773,569.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,773,569.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,780,500.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,780,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,780,500.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,773,569.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			2,563,712.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,780,500.				
a Applied to 2015, but not more than line 2a			2,563,712.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				216,788.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				2,556,781.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- 2016.04030 THE MAXIMILIAN E. AND MARIO G14100 1

**THE MAXIMILIAN E. AND MARION O. HOFFMAN
FOUNDATION, INC.**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED LIST FOR DETAIL	NONE	PUBLIC CHARITY	GENERAL	2,565,740.
Total			▶ 3a	2,565,740.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 11/6/17

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JUDITH E. SAUNDERS,
CPA

Preparer's signature

Wm. E. Sanders

Date

11/6/17

Check ☐ self-employed

PTIN	
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P00358546

Firm's name ► **FILOMENO & COMPANY, P.C., CPA'S**

Firm's EIN ► 06-0934773

Firm's address ► 80 SOUTH MAIN STREET
WEST HARTFORD, CT 06107

Phone no 860.561.0020

Form **990-PF** (2016)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA CHECKING	69.	69.	
BANK OF AMERICA MMKT FUND	23.	23.	
TOTAL TO PART I, LINE 3	92.	92.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GMO	1,195,432.	0.	1,195,432.	1,195,432.	
TO PART I, LINE 4	1,195,432.	0.	1,195,432.	1,195,432.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MSF ORDINARY GAINS	446,702.	446,702.	
TOTAL TO FORM 990-PF, PART I, LINE 11	446,702.	446,702.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILOMENO & CO	12,225.	12,225.		0.
TO FORM 990-PF, PG 1, LN 16B	12,225.	12,225.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GREER BENEFIT CONSULTANTS, INC	1,050.	1,050.		0.
BANK & CUSTODIAL FEES	9,507.	9,507.		0.
GRANTHAM, MAYO, VAN OTTERLOO & CO	101,245.	101,245.		0.
TO FORM 990-PF, PG 1, LN 16C	111,802.	111,802.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	30,946.	18,240.		12,705.
STATE FILING TAXES	1,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	32,446.	18,240.		12,705.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	11,985.	4,107.		7,878.
TRUSTEE EXPENSES	2,488.	0.		2,488.
OFFICE EXPENSE	4,682.	4,217.		534.
TELEPHONE	2,717.	2,717.		0.
PAYROLL PROCESSING FEES	2,491.	2,491.		0.
TO FORM 990-PF, PG 1, LN 23	24,363.	13,532.		10,900.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BENCHMARK FREE FUND III	45,106,979.	46,062,152.
TOTAL TO FORM 990-PF, PART II, LINE 10B	45,106,979.	46,062,152.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GMO MULTI STRATEGY HEDGE FUND	COST	10,242,469.	11,118,032.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,242,469.	11,118,032.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE & FIXTURES	17,627.	17,627.	0.
FURNITURE & FIXTURES	2,175.	2,175.	0.
OFFICE EQUIPMENT	3,664.	3,631.	33.
OFFICE EQUIPMENT & FURNITURE	21,022.	21,022.	0.
OFFICE FURNITURE	805.	805.	0.
OFFICE FURNITURE	1,366.	1,366.	0.
APPLE COMPUTER	2,506.	2,506.	0.
SOFTWARE	725.	725.	0.
IMAC COMPUTER	2,617.	2,618.	-1.
OFFICE RENOVATIONS	2,523.	2,011.	512.
OFFICE CHAIR-MICHAEL	1,264.	1,264.	0.
ACER PC COMPUTER	1,127.	1,127.	0.
OFFICE EQUIPMENT	1,478.	1,467.	11.
PHONE SYSTEM	4,012.	3,744.	268.
PPM MONO PRINTER	1,900.	1,900.	0.
TOTAL TO FM 990-PF, PART II, LN 14	64,811.	63,988.	823.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARION L. BARRAK 66 EDDY STREET AVON, CT 06001	PRESIDENT/DIRECTOR 40.00	136,800.	20,520.	22,335.
MICHAEL B. CHAHO 60 EDDY STREET AVON, CT 06001	TREASURER/CFO 40.00	122,200.	18,330.	18,216.
JOSEPH B. CHAHO 8 PORTER DRIVE WEST HARTFORD, CT 06107	VICE PRESIDENT/SECRETARY 40.00	102,300.	15,345.	6,921.
ROBERT M. JERESATY, M.D. 36 LAKEVIEW DRIVE WEST HARTFORD, CT 06117	DIRECTOR 2.00	10,000.	0.	0.
MARIE GUSTIN, PH.D. 365 SHUTTLEMEADOW AVENUE NEW BRITAIN, CT 06052	DIRECTOR 2.00	10,000.	0.	0.
ANNE MARIE FAULISO 799 PROSPECT AVENUE C4 WEST HARTFORD, CT 06105	DIRECTOR 2.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		391,300.	54,195.	47,472.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	FURNITURE & FIXTURES	06/30/87	SL	5.00		16	17,627.				17,627.	17,627.		0.	17,627.
2	FURNITURE & FIXTURES	06/01/88	SL	5.00		16	2,175.				2,175.	2,175.		0.	2,175.
3	OFFICE EQUIPMENT	11/05/93	SL	5.00		16	3,664.				3,664.	3,631.		0.	3,631.
4	OFFICE EQUIPMENT & FURNITURE	09/01/94	SL	7.00		16	21,022.				21,022.	21,022.		0.	21,022.
5	OFFICE FURNITURE	10/01/95	SL	7.00		16	805.				805.	805.		0.	805.
9	OFFICE FURNITURE	12/12/05	SL	7.00		16	1,366.				1,366.	1,366.		0.	1,366.
11	APPLE COMPUTER	12/05/05	SL	5.00		16	2,506.				2,506.	2,506.		0.	2,506.
13	SOFTWARE	03/12/07	SL	3.00		16	725.				725.	725.		0.	725.
14	IMAC COMPUTER	11/02/07	SL	5.00		16	2,617.				2,617.	2,618.		0.	2,618.
15	OFFICE RENOVATIONS	05/14/09	150DB	15.00	MQ	17	2,523.			1,262.	1,261.	675.		74.	749.
16	OFFICE CHAIR-MICHAEL	07/12/09	200DB	7.00	MQ	17	1,264.			632.	632.	625.		7.	632.
17	ACER PC COMPUTER	12/31/09	200DB	5.00	MQ	17	1,127.			564.	563.	563.		0.	563.
19	OFFICE EQUIPMENT	04/01/99	SL	7.00		16	1,478.				1,478.	1,467.		0.	1,467.
21	PHONE SYSTEM	02/29/12	200DB	7.00	HY	17	4,012.			2,006.	2,006.	1,559.		179.	1,738.
22	PPM MONO PRINTER	11/13/15	200DB	5.00	HY	17	1,900.		1,900.					0.	
	* TOTAL 990-PF PG 1 DEPR						64,811.		1,900.	4,464.	58,447.	57,364.		260.	57,624.

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

GRANT LIST 2017

TOTAL OF GRANTS APPROVED THRU JUNE 30, 2016: \$2,565,740

1) Multi – year 4 & 5	ARCHDIOCESE OF THE SYRIAN ORTHODOX CHURCH OF ANTIOCH FOR THE EASTERN UNITED STATES, 55 West Midland Street, Paramus NJ 07652 (Funding for renovations at the Archdiocesan Center.) Contact: Dionysius John Kawak, Archbishop. Ref. 042/14 Approved: May 14, 2014. 5 grants of \$200,000 (Total \$1,000,000 over five years). Implemented: 6/06/1 CK # 6232. # 4 of 5	\$200,000 # 4 of 5
2) Multi -year 4 of 5	CONNECTICUT SCIENCE CENTER, INC., 250 Columbus Boulevard, Hartford, CT 06103 (Funding for permanent naming for the Science Theater and for Hoffman Field Trip Scholarship Program). Contact: Matt Fleury, President & CEO Ref. 047/14 Approved: May 14, 2014. 4 grants of \$250,000 (\$1,000,000 over 4 years). Implemented: 6/09/17 CK #6231. # 4 of 5	\$200,000 4 of 5
3) Multi- year 1 of 2	QUINNIPIAC UNIVERSITY, Frank Netter School of Medicine 275 Mt. Carmel Drive, Hamden, CT., 06518-1908. Contact: John J. Lahey, President . REF. 067/17 (Funding for the SOAR for Science educational program.) Approved: May 18, 2017. Implemented: 6/13/17 CK # 6250.	\$250,000 # 1 of 2 over 2 fiscal years
4) Multi- year 1 of 4	HOSPITAL FOR SPECIAL CARE 1150 Corbin Avenue, New Britain, CT., 06053. Contact: . REF. 075/16 (Funding for a partial match for a grant from GNBA.) Approved: May 19, 2016. Implemented: 6/21/16. CU # 6021.	\$300,000 # 1 of 4 grants over 4 fiscal years
5)	ST. VINCENT DEPAUL PLACE, MIDDLETOWN 617 Main Street, Middletown, CT., 06457-0398 (Funding for the Soup Kitchen and Meals Program.) Contact: Ronald R. Krom, Executive Director Ref. 001/17 Approved: October 25, 2016. \$10,000. Implemented: 1/31/17. CK # 6130.	\$10,000
6)	THE ARC OF FARMINGTON VALLEY, INC., (FAVRAH) PO BOX 1099, 225 Commerce Drive, Canton, CT., 06019 (Funding for a Music and Pet Program.) Contact: Mr. Stephen Morris, Executive Director, Ref. 002/17 Approved: October 25, 2016. \$10,000. Implemented: 1/31/17. CK # 6131	\$10,000
7)	CONNECTICUT FOUNDATION FOR DENTAL OUTREACH, INC., 835 Queen Street, Southington, CT., 06489. (Funding for general support.) Ref. 003/17 Contact: Michael Perl, D.D.S., Secretary/Treasurer. Ref. 003/17 Approved: October 25, 2016. \$40,000 Implemented: 1/31/17. CK # 6139.	\$40,000

8)	HARTFORD YOUTH SCHOLARS, INC., 129 Allen Place, Hartford, CT., 06106 (Support for their primary academic program.) Contact: Anthony Byers, Co -Executive Director. Ref. 005/17 Approved: October 25, 2016. \$10,000 Implemented: picked up check in office 1/31/17. CK # 6133	\$10,000
9)	BIRTHRIGHT OF GREATER HARTFORD, INC., 914 Main Street, Rom 216, East Hartford, CT., 06108 (Support for their Special Resources Fund.) Ref. 006/17 Contact: Mary R. McNamara, President. Ref. 006/17 Approved: October 25, 2016. \$5,000. Implemented: 1/31/17. CK # 6134	\$5,000
10)	HARTFORD STAGE COMPANY 50 Church Street, Hartford, CT., 06103 (Funding for general support.) Contact: Michael Stotts, Managing Director. Ref. 007/17 Approved: October 25, 2016 \$25,000. Implemented: 1/31/17. CK # 6137	\$25,000
11)	SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 N. Torrey Pines Road, La Jolla, CA., 92037 (Funding for post-doctoral fellow for one year.) Contact: Amy Fouts-Wampler, Director Foundation Relations. Ref. 008/17 Approved: October 25, 2016 \$60,000. Implemented: 1/31/17. CK # 6142	\$60,000 1 TIME
12)	HARRIET BEECHER STOWE CENTER, INC., 77 Forest Street, Hartford, CT., 06105 (One-time support for preservation and upgrades.) Contact: Katherine Kane, Executive Director. Ref. 010/17 Approved: October 25, 2016 \$5,000. Implemented: 1/31/17. CK # 6138	\$5,000
13)	THE FAMILY CENTER INCORPORATED One Pleasant Street, Bristol, CT., 06010 (Support for renovation and operations of their Wildlife Studio at Imagine Nation.) Contact: Coral Richardson, Director. Ref. 011/17 Approved October 25, 2016. Implemented: 1/31/17. CK #6141	\$10,000
14)	HAITIAN HEALTH FOUNDATION, INC., 97 Sherman Avenue, Norwich, CT., 06360 (Support for their dental work in Haiti.) Contact: Jeremiah J. Lowney, Jr., Ref. 012/17 Approved: October 25, 2016. Implemented: 1/31/17. CK # 6140	\$25,000
15)	NETWORK AGAINST DOMESTIC ABUSE. INC., 139 Hazard Avenue, Bldg. 3, Enfield, CT., 06082 (Funding for general support.) Contact: Kathy Barron, CEO. Ref. 013/17 Approved: October 25, 2016. Implemented: 1/31/17. CK # 6143	\$10,000 One time

16)	OUR LADY OF LEBANON MARONITE CATHOLIC CHURCH 8 East Mountain Road, Waterbury, CT., 06706 (Funding for general support.) Contact: Father Joseph I. Khoueiry, Pastor. Ref/ 014/17 Approved: October 25, 2016. Implemented: 1/12/16 CK# 6149	\$50,000
17)	THE MASTER'S SCHOOL 16 Westledge Road, West Simsbury, CT., (General support.) Contact: Raymond Lagan, Head of School Ref. 015/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6150	\$5,000
18)	SUSAN B. ANTHONY, INC. 179 Water Street, Torrington, CT., 06790. (Rebuilding Lives Shelter & Transitional Living Program.) Contact: Jeanne Fusco, Executive Director. Ref. 016/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6134	\$10,000 One-time
19)	TRINITY ACADEMY OF HARTFORD 120 Sigourney Street, Hartford, CT., 06105 (Funding for school operations.) Contact: Karen Connal, Head of School. Ref. 018/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6155	\$20,000
20)	NEW HAVEN SYMPHONY ORCHESTRA, INC., 545 Long Wharf Drive, New Haven, CT., 06511. (Funding for the Comprehensive Music Education Programs.) Contact: Elaine C. Carroll, Executive Director. Ref. 020/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6156	\$5,000
21)	MALTA HOUSE OF CARE, INC., 19 Woodland Street, Hartford, CT., 06105. (Support for their services as a patient centered medical home & community based provider of free primary health care.) Contact: Michelle Murphy, Executive Director Ref. 021/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6153	\$100,000 One-time
22)	COUNCIL OF CHURCHES OF GREATER BRIDGEPORT, INC., 1718 Capitol Avenue, Bridgeport, CT., 06610-2654 (Support for to increase fresh & frozen food distribution to food pantries in Greater Bridgeport.) Contact: Cass L Shaw, CEO. Ref. 022/17 Approved: October 25, 2016. Implemented: 2/16/17 CK# 6157	\$10,000
23)	TINY MIRACLES FOUNDATION, INC., 381 Post Road, Second Floor, Darien, CT., 06820. (Financial Assistance Program.) Contact: George M. Colabella, Executive Director. Ref. 024/17 Approved: February 08, 2017 Implemented: 4/25/17 CK# 6190	\$10,000
24)	THE CHILDREN'S MUSEUM, INC. 950 Trout Brook Road, West Hartford, CT., 06119-1437. (Funding for a permanent education exhibit.) Contact: Paul Iadarola, Executive Director. Ref. 025/17 Approved: February 08, 2017. Implemented: 4/27/17 CK# 6200	\$25,000 One-time

25)	NORTHWEST CONNECTICUT ASSOCIATION FOR THE ARTS, INC. d/b/a/ Warner Theatre, 68 Main Street, PO BOX 1012, Waterbury, CT., 06709. (Funding for educational programs.) Contact: Suzie Woerz, Founding Executive Director. Ref. 26/17 Approved: February 08, 2016. Implemented: 4/25/17 CK # 6193	\$10,000
26)	NEW HORIZONS, INC., 37 Bliss Memorial Road, Unionville, CT., 06085 (General support.) Contact: Robert E. Maher, Executive Director. Ref. 029/17 Approved: February 08, 2015. Implemented: 4/27/17 CK # 6199	\$20,000
27)	EAST CATHOLIC HIGH SCHOOL. 115 New State Road, Manchester, CT. 06042-1820. (Funding for a Physics Lab.) Contact: Thomas E. Maynard, Interim Principal. Ref. 030/17. Approved: February 08, 2017 Implemented: 5/16/17 CK # 6217	\$100,000
28)	MY SISTER'S PLACE, INC., 237 Hamilton Street, Suite 203, Hartford, CT., 06106. (Funding for analysis to raise replacement funds cut the State of CT.) Contact: Diane Page Blonder, President. Ref. 031/17 Approved: February 08, 2017. Implemented: 5/16/17 CK # 6218	\$60,000
29)	HANDS ON HARTFORD, 55 Bartholomew Avenue, Hartford, CT., 06106 (Funding for Kitchen to Pantry Project.) Contact: Barbara A. Shaw, Executive Director Ref. 032/17 Approved: February 08, 2017. Implemented: 4/ 25 /17 CK # 6187	\$10,000
30)	WEST HARTFORD SYMPHONY ORCHESTRA, PO BOX 370036, West Hartford, CT., 06137 (Support for the Orchestra's 15 th year celebration.) Contact: Richard Chiarappa, Music Director Ref. 033/17 Approved: February 08. 2017. Implemented: 4/25 /17 CK # 6185	\$2,500
31)	WHEELER CLINIC, INC., 91 Northwood Drive, Plainville, CT., 06062 (General support.) Contact: Susan Wakama, President. Ref. 034/17 Approved: February 08, 2017. Implemented: 4/27 /16 CK # 6198	\$50,000
32)	SAINT CATHERINE CENTER FOR SPECIAL NEEDS 760 Tahmore Drive, Fairfield, CT., 06825 (Funding for after school programs.) Contact: Helen C. Burland, Executive Director REF. 035/17 Approved: February 08, 2017. Implemented: 4/27 /17 CK # 6197	\$30,000
33)	SAINT THOMAS SEMINARY 467 Bloomfield Avenue, Bloomfield, Connecticut, 06002 (General support.) Contact: Karen Lesiak, Library Director. Ref. 036/17 Approved: February 08, 2017. Implemented: 4/ 25 /17 CK # 6194	\$14,000

34)	SACRED HEART ACADEMY, 265 Benham Street, Hamden, CT., 06514-2833 (Support for their "Ever Higher-Campaign for Sacred Heart Academy".) Contact: David A. Engelson, CEO. Ref. 037/17 Approved: February 08, 2017. Implemented: 5/16 /16 CK # 5941	\$100,000 One-time
35)	FAMILY CENTERS, INC., 40 Arch Street, Greenwich, CT., 06830 Contact: Thomas Ashforth, Chairman. Ref. 038/17 (Funding for Den for Grieving Children.) Approved: February 08, 2017. Implemented: 4/25 /17 CK # 6192	\$10,000
36)	BOYS AND GIRLS CLUB OF MERIDEN 15 Lincoln Street, Meriden, CT., 06451 Contact: Lawrence McGoldrick, Executive Director. Ref. 039/17 (Funding for "Food for Thought" Dinner Program.) Approved: February 08, 2017. Implemented: 4/25 /17 CK # 6184	\$5,000
37)	SAINT PAUL SCHOOL 461 Ailling Road, Kensington, CT., 06037 Contact: Robert Schlageter, CEO. Ref. 040/17 (Funding for general support at Wheeler.) Approved: February 08, 2017. Implemented: 4/27/17 CK # 6196	\$46,000
38)	CULTURAL DANCE TROUPE OF THE WEST INDIES, INC., PO BOX 4046, Hartford, CT., 06147-4046 Contact: Valencia Coleman, Executive Director. REF. 041/17 (Funding for key program staff.) Approved: February 08, 2017. Implemented: 4/25 /17 CK # 6188	\$5,000
39)	SAINT BRIDGET SCHOOL 171 Main Street, Cheshire, CT., 06410. Contact: Jennifer Furlong, President. Ref. 042/17 (General support.) Approved: February 08, 2017. Implemented: 5/16 /17 CK # 6220	\$100,000
40)	FOUNDATION FOR THE ADVANCEMENT OF CATHOLIC SCHOOLS 467 Bloomfield Avenue, Bloomfield, Connecticut, 06002 Contact: Cynthia Basil Howard, Executive Director. Ref. 043/17 (\$96,000 to be added to Foundation Scholarship & \$20,000 for FACS operational support.) Approved: February 08, 2017. Implemented: 5/16/17 CK # 6221	\$116,000
41)	CONNECTICUT PLAYERS FOUNDATION 222 Sargent Drive, New Haven, CT., 06511-5919 Contact: Joshua Bornstein, Executive Director. Ref. 044/17 (Support for a Video Study Guide project.) Approved: February 08, 2017. Implemented: 4/25/17 CK # 6191	\$10,000

42)	CONNECTICUT CHOPIN FOUNDATION 20 Chestnut Court, Rocky Hill CT., 06067 Contact: Mike Killian, Executive Director. Ref. 045/1 (\$3,000 for a concert hall rental & \$2,000 to cover the 1 st prize award.) Approved: February 08, 2017. Implemented: 4/25 /17 CK # 6186	\$5,000
43)	BAY PATH UNIVERSITY 588 Longmeadow Street, Longmeadow, MA. 01106. Contact: Carol A. Leary. Ref. 047/17 (Funding for the Maximilian E. & Marion O Hoffman Foundation, Inc., Scholarship Fund.) Approved: May 18, 2017. Implemented: 6/19 /17 CK # 6257	\$25,000
44)	SAINT MARON CHURCH, 613 Main Street, Torrington, CT., 06790-3602 Contact: Fr. Tony Saab, Pastor. Ref. 048/17 (General support.) Approved: May 18, 2017. Implemented :6 /13 /17 CK # 6253	\$20,000
45)	SHORELINE ARTS ALLIANCE 725 Boston Post Road, Guilford, CT., 06437 Contact: Eric Dillner, CEO/, Executive Director. Ref. 049/17 (Funding to support their disabled services for Shakespeare on the Shoreline Summer Theatre.) Approved: May 18, 2017. Implemented: 6/8 /17 CK # 6243	\$5,000
46)	DANBURY HOSPITAL 24 Hospital Avenue, Danbury, CT., 06810 Contact: John M. Murphy, MD. Ref. 050/17 (Funding to expand the Hospital's Palliative Care program.) Approved: May 18. 2017. Implemented: 6/13 /17 CK # 6254	\$25,000
47)	BOYS AND GIRLS CLUB OF HARTFORD, INC., 170 Sigourney Street, Hartford, Connecticut, 0610 Contact: Samuel S. Gray, Jr., President & CEO. Ref. 051/17 (Funding for Pathways to Success post-secondary & workplace development program for FY 2017-2018.) Approved: May 18. 2017. Implemented: 6/ 5/17 CK # 6233	\$25,000
48)	THE OPEN HEARTH ASSOCIATION, 150 Charter Oak Avenue, Hartford, CT., 06106. Contact: Marilyn E. Rossetti, Executive Director. Ref. 052/17 (Funding for their food & meals program.) Approved: May 18. 2017. Implemented: 6/5 /17 CK # 6236	\$30,000
49)	GRACE ACADEMY, INC., 277 Main Street, Hartford, CT., 06106. Contact: Matthew Fitzsimmons, Head of School. Ref. 053/17 (Funding for Graduate Support Program.) Approved: May 18. 2017. Implemented: 6/5 /17 CK # 6235	\$10,000

50)	YALE UNIVERSITY, (Women's Wellness Health Research at Yale) Yale Grants & Contract Administration, 25 Science Park 3rd Floor, 150 Munson Street, New Haven, CT., 06511-3572 Ref. 054/17 Contact: Amy Ellis Associate Director (Yale Office of Sponsored Projects). (Funding for Women's Health Research at Yale Pilot Project& Operating funds.) Approved: May 18, 2017. Implemented: 6/27/17 CK # 6271	\$50,000
51)	MAIN STREET SINGERS 80 Main Street, New Britain, CT., 06051. Contact: Alex Lombardi, Executive Director. Ref. 055/17 (Funding for to help expand their diverse community of children's voices) Approved: May 18, 2017. Implemented: 6/19/17 CK# 6256	\$5,000
52)	MARC COMMUNITY RESOURCES LTD., 124 Washington Avenue, Middletown, CT., 06457 Contact: Linda Iovanna, President & CEO Ref. 056/17 (Funding for a theater arts training program (\$3,000) & for the Adult Skill Development & Leisure program's fitness club (\$3,000).) Approved: May 18, 2017. Implemented: 6/8/17 CK # 6244	\$6,000
53)	CONNECTICUT SUMMERFEST 30 Gianna Drive Manchester, CT., 06042. Contact: Gala Flagetto, Executive Director Ref. 057/17 (Support for the artist's residencies for exceptional musicians.) Approved: May 18, 2017. Implemented: 6/13/17 CK # 6251	\$5,000
54)	FIDELCO GUIDE DOG FOUNDATION, INC., 103 Vision Way, Bloomfield, CT., 06002 Contact: Eliot D. Russman, President & CEO. Ref. 061/16 (Support for to breed, train and place one Fidelco German Shepherd guide dog.) Approved: May 18, 2017. Implemented: 6/5/17. CK # 6234	\$50,000
55)	ACHIEVE HARTFORD, INC., 221 Main Street, 3rd Floor, Hartford, CT., 06016. Contact: Paul Diego Holzer, Executive Director. Ref. 059/17 (To support "ALL IN" a Coalition & College Career Readiness program.) Approved: May 18, 2017. Implemented: 6/06/16 CK # 5996.	\$5,000
56)	ARCHITECTURE RESOURCE CENTER, INC., 1221 Chapel Street, New Haven, CT., 06511 Contact: Anna Sanko, Artist, Executive Director. Ref. 060/17 (Support for the Design Connection Partnership in New Haven Public Schools.) Approved: May 18, 2017. Implemented: 6/8/17 CK # 6242	\$5,000
57)	SAFE FUTURES, INC., 16 Jay Street, New London, CT., 06320 Contact: Katherine Verano, Executive Director. Ref. 061/17 (Support for Genesis House Emergency Shelter.) Approved: May 18, 2017. Implemented: 6/8/17 CK # 6245	\$25,000

58)	CONNECTICUT VALLEY SYMPHONY ORCHESTRA 14 Vilen Drive, Windsor, CT., 06095 Contact: Signe Damdar, President. Ref. 062/17 (Funding to underwrite personnel and operating expenses for the 2017-2018 season concerts) Approved: May 18, 2017. Implemented: 6/13/17 CK # 6252	\$6,240
59)	HARTFORD INTERVAL HOUSE, INC., PO BOX 340207, Hartford, CT., 06134. Contact: Mary Jane Foster, Executive Director. Ref. 063/17 (General support.) Approved: May 18, 2017. Implemented: 6/5/17 CK # 6237	\$25,000
60)	OPERATION FUEL, INC. 75 Charter Oak Avenue, Suite 2-240, Hartford, CT. 06106 Contact: Katherine E. Adamson, Executive Director. Ref. 064/17 (Funding for emergency energy program.) Approved: May 18, 2017. Implemented: 6/8/17 CK # 6240	\$5,000
61)	CARAMOOR CENTER FOR MUSIC & THE ARTS, INC., PO BOX 816, Katonah, New York, 10536. Contact: Jeffrey P. Hayden, Executive Director Ref. 066/17 (Funding for the 2017 & 2018 Edward Arron and Friends program.) Approved: May 18, 2017. Implemented: 6/27/17 CK # 6270	\$50,000
62)	ELIZABETH PARK CONSERVANCY, INC., 1561 Asylum Street, West Hartford, CT., 06117. Contact: Christine M. Doty, Executive Director. Ref. 068/17 (To assist with construction costs and repurposing a historic building in Elizabeth Park into a Visitor Education & Welcome Center.) Approved: May 18, 2017. Implemented: 6/19/17. CK # 6258	\$100,000 One-time
<u>MINIMUM DISTRIBUTION FYE JUNE 30, 2017: \$2,563,712</u> <u>TOTAL OF GRANTS APPROVED THRU JUNE 30, 2017: \$2,565,740</u>		