



**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission

THE MISSION OF EPILEPSY FOUNDATION NEW ENGLAND IS TO STOP SEIZURE AND SUDDEN UNEXPECTED DEATH IN EPILEPSY (SUDEP), FIND A CURE AND OVERCOME THE CHALLENGES CREATED BY EPILEPSY THROUGH EFFORTS INCLUDING EDUCATION, ADVOCACY, AND RESEARCH TO ACCELERATE IDEAS INTO THERAPIES

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

|                     |                      |         |                        |                 |     |
|---------------------|----------------------|---------|------------------------|-----------------|-----|
| <b>4a</b>           | (Code ) (Expenses \$ | 414,910 | including grants of \$ | 0 ) (Revenue \$ | 0 ) |
| See Additional Data |                      |         |                        |                 |     |

|                     |                      |         |                        |                 |     |
|---------------------|----------------------|---------|------------------------|-----------------|-----|
| <b>4b</b>           | (Code ) (Expenses \$ | 190,779 | including grants of \$ | 0 ) (Revenue \$ | 0 ) |
| See Additional Data |                      |         |                        |                 |     |

|                     |                      |           |                        |                 |             |
|---------------------|----------------------|-----------|------------------------|-----------------|-------------|
| <b>4c</b>           | (Code ) (Expenses \$ | 3,419,634 | including grants of \$ | 0 ) (Revenue \$ | 5,115,577 ) |
| See Additional Data |                      |           |                        |                 |             |

|                      |         |                        |                      |          |
|----------------------|---------|------------------------|----------------------|----------|
| (Code ) (Expenses \$ | 475,017 | including grants of \$ | 43,665 ) (Revenue \$ | 34,566 ) |
|----------------------|---------|------------------------|----------------------|----------|

OTHER PROGRAM SERVICES - FINANCIAL AID & SCHOLARSHIPS FINANCIAL AID APPROXIMATELY 30 AWARDS OF \$50-\$1,000 IN ASSISTANCE PER YEAR TO FAMILIES IN FINANCIAL CRISIS - \$15,000 PER YEAR SCHOLARSHIPS AWARDS TO 23 STUDENTS PURSUING HIGHER EDUCATION, INCLUDING 6 AWARDS OF \$2,500 - WALKS FOR EPILEPSY SIX EPILEPSY WALKS AND RUNS PER YEAR ARE IMPORTANT OPPORTUNITIES TO BRING TOGETHER PEOPLE WITH EPILEPSY AND THEIR LOVED ONES, SHOWING SUPPORT OF EACH OTHER, BUILDING CONNECTIONS, AND RAISING AWARENESS MORE THAN 2,000 PEOPLE WALK WITH US ACROSS 4 STATES - CAMP - SLEEPAWAY FAMILY CAMPS FOR 13 FAMILIES IN MAINE IN JULY AND 10 FAMILIES IN MASSACHUSETTS IN AUGUST A)TWO 4-DAY THERAPEUTIC HORSEBACK RIDING CAMPS FOR 15 CHILDREN EACH B)KIDS CAMP FOR 18 CHILDREN IN MAINE AND 16 IN MASSACHUSETTS C)YOUNG ADULT RETREAT FOR 15 PEOPLE AGES 18-30 D)ADULT RETREAT FOR 10 ADULTS - EMPLOYMENT SERVICES EFNE'S CAREER NAVIGATOR OFFERS QUARTERLY HANDS-ON EDUCATIONAL PROGRAMMING, DESIGNED TO REMOVE BARRIERS TO EMPLOYMENT AND IMPROVE LONG TERM EMPLOYMENT OUTCOMES FOR PEOPLE WITH EPILEPSY OVER 50 CLIENTS ARE SERVED VIA 1 1 COACHING, SMALL GROUP COACHING, JOB REFERRAL, AND JOB PLACEMENT - VOLUNTEER ENGAGEMENT MORE THAN 300 VOLUNTEERS PER YEAR WORK WITH THE FOUNDATION TO PROVIDE CAMP, JOB COACHING, SUPPORT FOR OUR WALKS, COMMUNITY EDUCATION, CLIENT OUTREACH, AND GENERAL OFFICE SUPPORT

|           |  |              |         |                        |                      |          |
|-----------|--|--------------|---------|------------------------|----------------------|----------|
| <b>4d</b> | Other program services (Describe in Schedule O ) | (Expenses \$ | 475,017 | including grants of \$ | 43,665 ) (Revenue \$ | 34,566 ) |
|-----------|--|--------------|---------|------------------------|----------------------|----------|

|           |   |           |
|-----------|---|-----------|
| <b>4e</b> | <b>Total program service expenses ▶</b> | 4,500,340 |
|-----------|---|-----------|

**Part IV Checklist of Required Schedules**

|  | Yes            | No |
|--|----------------|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A   | <b>1</b> Yes   |    |
| <b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?   | <b>2</b>       | No |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I  | <b>3</b>       | No |
| <b>4 Section 501(c)(3) organizations.</b><br>Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II   | <b>4</b> Yes   |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III   | <b>5</b>       | No |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I  | <b>6</b>       | No |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II  | <b>7</b>       | No |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III   | <b>8</b>       | No |
| <b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV             | <b>9</b>       | No |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V   | <b>10</b> Yes  |    |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable   |                |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI   | <b>11a</b> Yes |    |
| <b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII   | <b>11b</b> Yes |    |
| <b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | <b>11c</b>     | No |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX  | <b>11d</b>     | No |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X   | <b>11e</b> Yes |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X  | <b>11f</b> Yes |    |
| <b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII  | <b>12a</b>     | No |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional   | <b>12b</b> Yes |    |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | <b>13</b>      | No |
| <b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?   | <b>14a</b>     | No |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | <b>14b</b>     | No |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV   | <b>15</b>      | No |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV   | <b>16</b>      | No |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)  | <b>17</b>      | No |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II   | <b>18</b> Yes  |    |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III   | <b>19</b>      | No |

**Part IV Checklist of Required Schedules** (continued)

|  | Yes | No |
|--|-----|----|
| <b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H . . . . .</i>  |     | No |
| <b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?  |     |    |
| <b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II . . . . .</i>   |     | No |
| <b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>   | Yes |    |
| <b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>  | Yes |    |
| <b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .</i>                           |     | No |
| <b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .   |     |    |
| <b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .  |     |    |
| <b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .   |     |    |
| <b>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b><br>Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>  |     | No |
| <b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>  |     | No |
| <b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II . . . . .</i>                                 |     | No |
| <b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of those persons? <i>If "Yes," complete Schedule L, Part III . . . . .</i> |     | No |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V ☐

|            |  | Yes        | No  |
|------------|--|------------|-----|
| <b>1a</b>  | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . . . .  | <b>1a</b>  | 26  |
| <b>b</b>   | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable . . . . .   | <b>1b</b>  | 0   |
| <b>c</b>   | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .   | <b>1c</b>  | Yes |
| <b>2a</b>  | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .  | <b>2a</b>  | 274 |
| <b>b</b>   | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?<br><b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)                   | <b>2b</b>  | Yes |
| <b>3a</b>  | Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .  | <b>3a</b>  | No  |
| <b>b</b>   | If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O . . . . .  | <b>3b</b>  |     |
| <b>4a</b>  | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . | <b>4a</b>  | No  |
| <b>b</b>   | If "Yes," enter the name of the foreign country <b>▶</b> _____<br>See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)   |            |     |
| <b>5a</b>  | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .  | <b>5a</b>  | No  |
| <b>b</b>   | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? . . . . .   | <b>5b</b>  | No  |
| <b>c</b>   | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .   | <b>5c</b>  |     |
| <b>6a</b>  | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . . .                                    | <b>6a</b>  | No  |
| <b>b</b>   | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .  | <b>6b</b>  |     |
| <b>7</b>   | <b>Organizations that may receive deductible contributions under section 170(c).</b>   |            |     |
| <b>a</b>   | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .  | <b>7a</b>  | Yes |
| <b>b</b>   | If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .  | <b>7b</b>  | Yes |
| <b>c</b>   | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .   | <b>7c</b>  | No  |
| <b>d</b>   | If "Yes," indicate the number of Forms 8282 filed during the year . . . . .  | <b>7d</b>  |     |
| <b>e</b>   | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  | <b>7e</b>  | No  |
| <b>f</b>   | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .   | <b>7f</b>  | No  |
| <b>g</b>   | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .   | <b>7g</b>  |     |
| <b>h</b>   | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .   | <b>7h</b>  |     |
| <b>8</b>   | <b>Sponsoring organizations maintaining donor advised funds.</b><br>Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . .  | <b>8</b>   |     |
| <b>9a</b>  | Did the sponsoring organization make any taxable distributions under section 4966? . . . . .   | <b>9a</b>  |     |
| <b>b</b>   | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . . .  | <b>9b</b>  |     |
| <b>10</b>  | <b>Section 501(c)(7) organizations.</b> Enter  |            |     |
| <b>a</b>   | Initiation fees and capital contributions included on Part VIII, line 12 . . . . .   | <b>10a</b> |     |
| <b>b</b>   | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . . . . .  | <b>10b</b> |     |
| <b>11</b>  | <b>Section 501(c)(12) organizations.</b> Enter   |            |     |
| <b>a</b>   | Gross income from members or shareholders . . . . .  | <b>11a</b> |     |
| <b>b</b>   | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . .   | <b>11b</b> |     |
| <b>12a</b> | <b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . .  | <b>12a</b> |     |
| <b>b</b>   | If "Yes," enter the amount of tax-exempt interest received or accrued during the year . . . . .  | <b>12b</b> |     |
| <b>13</b>  | <b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>  |            |     |
| <b>a</b>   | Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O . . . . .   | <b>13a</b> |     |
| <b>b</b>   | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .  | <b>13b</b> |     |
| <b>c</b>   | Enter the amount of reserves on hand . . . . .   | <b>13c</b> |     |
| <b>14a</b> | Did the organization receive any payments for indoor tanning services during the tax year? . . . . .   | <b>14a</b> | No  |
| <b>b</b>   | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . . .  | <b>14b</b> |     |

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructionsCheck if Schedule O contains a response or note to any line in this Part VI ☒**Section A. Governing Body and Management**

|  |   | Yes | No |
|--|---|-----|----|
| <b>1a</b>  | Enter the number of voting members of the governing body at the end of the tax year   | 17  |    |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O |   |     |    |
| <b>b</b>   | Enter the number of voting members included in line 1a, above, who are independent  | 17  |    |
| <b>2</b>   | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?   | Yes |    |
| <b>3</b>   | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? |     | No |
| <b>4</b>   | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?  |     | No |
| <b>5</b>   | Did the organization become aware during the year of a significant diversion of the organization's assets?  |     | No |
| <b>6</b>   | Did the organization have members or stockholders?  |     | No |
| <b>7a</b>  | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?  |     | No |
| <b>7b</b>  | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?   |     | No |
| <b>8</b>   | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following  |     |    |
| <b>a</b>   | The governing body?   | Yes |    |
| <b>b</b>   | Each committee with authority to act on behalf of the governing body?   | Yes |    |
| <b>9</b>   | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O        |     | No |

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

|  |  | Yes | No |
|--|--|-----|----|
| <b>10a</b>   | Did the organization have local chapters, branches, or affiliates?   |     | No |
| <b>10b</b>   | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?   |     |    |
| <b>11a</b>   | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | Yes |    |
| <b>b</b>   | Describe in Schedule O the process, if any, used by the organization to review this Form 990   |     |    |
| <b>12a</b>   | Did the organization have a written conflict of interest policy? If "No," go to line 13  | Yes |    |
| <b>12b</b>   | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?  | Yes |    |
| <b>12c</b>   | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done   | Yes |    |
| <b>13</b>  | Did the organization have a written whistleblower policy?  | Yes |    |
| <b>14</b>  | Did the organization have a written document retention and destruction policy?   | Yes |    |
| <b>15</b>  | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?   |     |    |
| <b>15a</b>   | The organization's CEO, Executive Director, or top management official   | Yes |    |
| <b>15b</b>   | Other officers or key employees of the organization  |     | No |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) |  |     |    |
| <b>16a</b>   | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  |     | No |
| <b>16b</b>   | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? |     |    |

**Section C. Disclosure**

**17** List the States with which a copy of this Form 990 is required to be filed: MA, RI, NH, ME

**18** Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

**20** State the name, address, and telephone number of the person who possesses the organization's books and records  
 ▶ SUSAN LINN 335 MAIN STREET UNIT 8 WILMINGTON, MA 01887 (617) 506-6041

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII ☐

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A)<br>Name and Title                 | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---------------------------------------|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|                                       |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (1) JAMES BOUDROT<br>CHAIR            | 1 50   | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (2) EDWARD BUNN<br>VICE CHAIR         | 1 50   | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (3) AUDREY KADIS<br>TREASURER         | 1 50   | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (4) STEVE SIRAVO<br>SECRETARY         | 1 50   | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (5) WILLIAM BRAUNLICH<br>DIRECTOR     | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (6) KERRY COLLINS<br>DIRECTOR         | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (7) NICOLE DEROSIERS<br>DIRECTOR      | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (8) MARK FINNEGAN<br>DIRECTOR         | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (9) ADAM GIANUNZIO<br>DIRECTOR        | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (10) JEFFREY GLIDDEN<br>DIRECTOR      | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (11) SUSAN KANTOR<br>DIRECTOR         | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (12) RICHARD MCILVEEN<br>DIRECTOR     | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (13) TRACEY MILLIGAN<br>DIRECTOR      | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (14) LISA PHILLIPS<br>DIRECTOR        | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (15) JOSEPH SIRAVO<br>DIRECTOR        | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (16) FRANCESCO SALITURO<br>DIRECTOR   | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (17) TELLY THEODOROPoulos<br>DIRECTOR | 0 40   | X   |                       |         |              |                              |        | 0  | 0   | 0   |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and Title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (18) SUSAN LINN<br>.....<br>PRESIDENT & CEO (AS OF 07/05/16)             | 50 00<br>.....   |   |                       | X       |              |                              |        | 160,000  | 0   | 8,252   |
| (19) BRADLEY RICH<br>.....<br>PRESIDENT - DONATION CENTER                | 50 00<br>.....   |   |                       |         |              | X                            |        | 194,553  | 0   | 4,989   |
| (20) LESLIE BRODY<br>.....<br>PRESIDENT & CEO, FORMER                    | 0 00<br>.....  |   |                       |         |              |                              | X      | 129,262  | 0   | 0   |
|  |  |   |                       |         |              |                              |        |  |   |   |
|  |  |   |                       |         |              |                              |        |  |   |   |
|  |  |   |                       |         |              |                              |        |  |   |   |
|  |  |   |                       |         |              |                              |        |  |   |   |
|  |  |   |                       |         |              |                              |        |  |   |   |
|  |  |   |                       |         |              |                              |        |  |   |   |
| <b>1b Sub-Total</b> . . . . .  |  |   |                       |         |              |                              |        |  |   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |  |   |                       |         |              |                              |        |  |   |   |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |  |   |                       |         |              |                              |        | 483,815  | 0   | 13,241  |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 3**

|  | Yes   | No |
|--|-------|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .  | 3 Yes |    |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . . | 4 Yes |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .                       | 5     | No |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address  | (B)<br>Description of services | (C)<br>Compensation |
|---|--------------------------------|---------------------|
| GRAPHIC COMMUNICATIONS<br>PO BOX 933233<br>ATLANTA, GA 31193            | ADVERTISING & OUTREACH         | 424,547             |
| RYDER TRANSPORTATION SERVICES<br>PO BOX 96723<br>CHICAGO, IL 60693      | TRUCK LEASES                   | 417,193             |
| BALANCE PROFESSIONAL<br>3325 HOLLYWOOD BOULEVARD<br>HOLLYWOOD, FL 33021 | TEMP STAFFING                  | 164,764             |
| THE FENWAY GROUP<br>870 COMMONWEALTH AVENUE<br>BOSTON, MA 02215         | ADVERTISING & OUTREACH         | 160,397             |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 4**

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☐

|   |   |  | (A)<br>Total revenue                                   | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from<br>tax under sections<br>512-514 |         |
|---|---|--|--|--|---|--|---------|
| Contributions, Gifts, Grants<br>and Other Similar Amounts | 1a  | Federated campaigns . . .  | 1a   |  |   |  |         |
|   | b   | Membership dues . . .  | 1b   |  |   |  |         |
|   | c   | Fundraising events . . .   | 1c   | 364,152  |   |  |         |
|   | d   | Related organizations  | 1d   |  |   |  |         |
|   | e   | Government grants (contributions)  | 1e   |  |   |  |         |
|   | f   | All other contributions, gifts, grants,<br>and similar amounts not included<br>above   | 1f   | 1,921,227  |   |  |         |
|   | g   | Noncash contributions included<br>in lines 1a-1f \$  | 1,033,166  |  |   |  |         |
|   | h   | Total. Add lines 1a-1f . . . . .   | 2,285,379  |  |   |  |         |
| Program Service Revenue                                   |   |  | Business Code  |  |   |  |         |
|   | 2a  | DONATION CENTER REVENUE  | 900099   | 5,115,577  | 5,115,577                               |  |         |
|   | b   | CAMP FEES AND CAMPERSHIPS  | 900099   | 8,594  | 8,594                                   |  |         |
|   | c   |  |  |  |   |  |         |
|   | d   |  |  |  |   |  |         |
|   | e   |  |  |  |   |  |         |
|   | f   | All other program service revenue  |  |  |   |  |         |
| g   | Total. Add lines 2a-2f . . . . .          | 5,124,171  |  |  |   |  |         |
| Other Revenue   | 3   | Investment income (including dividends, interest, and other<br>similar amounts) . . . . .  | 14,522   |  |   | 14,522   |         |
|   | 4   | Income from investment of tax-exempt bond proceeds   |  |  |   |  |         |
|   | 5   | Royalties . . . . .  |  |  |   |  |         |
|   | 6a  | Gross rents  | (i) Real   | (ii) Personal                                      |   |  |         |
|   |   | b  | Less rental expenses                                   |  |   |  |         |
|   |   | c  | Rental income or<br>(loss)                             |  |   |  |         |
|   |   | d  | Net rental income or (loss) . . . . .                  |  |   |  |         |
|   | 7a  | Gross amount<br>from sales of<br>assets other<br>than inventory  | (i) Securities   | (ii) Other   |   |  |         |
|   |   | b  | Less cost or<br>other basis and<br>sales expenses      |  |   |  |         |
|   |   | c  | Gain or (loss)   |  |   |  |         |
|   |   | d  | Net gain or (loss) . . . . .                           |  |   |  |         |
|   | 8a  | Gross income from fundraising events<br>(not including \$ 364,152 of<br>contributions reported on line 1c)<br>See Part IV, line 18 . . . . . | a  | 147,915  |   |  |         |
|   |   | b  | Less direct expenses . . . . .                         | b  | 200,831                                 |  |         |
|   |   | c  | Net income or (loss) from fundraising events . . . . . | -52,916  |   |  | -52,916 |
|   | 9a  | Gross income from gaming activities<br>See Part IV, line 19 . . . . .  | a  |  |   |  |         |
|   |   | b  | Less direct expenses . . . . .                         | b  |   |  |         |
|   |   | c  | Net income or (loss) from gaming activities . . . . .  |  |   |  |         |
|   | 10a                                       | Gross sales of inventory, less<br>returns and allowances . . . . .   | a  |  |   |  |         |
|   |   | b  | Less cost of goods sold . . . . .                      | b  |   |  |         |
|   |   | c  | Net income or (loss) from sales of inventory . . . . . |  |   |  |         |
| Miscellaneous Revenue                                     |   | Business Code  |  |  |   |  |         |
| 11a   | OTHER REVENUE                             | 900099   | 25,972   | 25,972   |   |  |         |
| b   |   |  |  |  |   |  |         |
| c   |   |  |  |  |   |  |         |
| d   | All other revenue . . . . .               |  |  |  |   |  |         |
| e   | Total. Add lines 11a-11d . . . . .        | 25,972   |  |  |   |  |         |
| 12  | Total revenue. See Instructions . . . . . | 7,397,128  |  | 5,150,143  | 0                                       | -38,394  |         |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

|   | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| <b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>   |                       |                                 |  |                             |
| <b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.  |                       |                                 |  |                             |
| <b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22.   | 43,665                | 43,665                          |  |                             |
| <b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.   |                       |                                 |  |                             |
| <b>4</b> Benefits paid to or for members.   |                       |                                 |  |                             |
| <b>5</b> Compensation of current officers, directors, trustees, and key employees.  | 168,252               | 117,250                         | 8,490                                  | 42,512                      |
| <b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).   |                       |                                 |  |                             |
| <b>7</b> Other salaries and wages.  | 2,760,005             | 1,924,137                       | 97,929                                 | 737,939                     |
| <b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).   |                       |                                 |  |                             |
| <b>9</b> Other employee benefits.   | 447,677               | 283,698                         | 18,976                                 | 145,003                     |
| <b>10</b> Payroll taxes.  | 95,426                | 65,722                          | 3,545                                  | 26,159                      |
| <b>11</b> Fees for services (non-employees):  |                       |                                 |  |                             |
| <b>a</b> Management.  |                       |                                 |  |                             |
| <b>b</b> Legal.   |                       |                                 |  |                             |
| <b>c</b> Accounting.  | 44,379                | 44,379                          |  |                             |
| <b>d</b> Lobbying.  | 2,700                 | 2,700                           |  |                             |
| <b>e</b> Professional fundraising services. See Part IV, line 17.   |                       |                                 |  |                             |
| <b>f</b> Investment management fees.  |                       |                                 |  |                             |
| <b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).  | 337,011               | 80,711                          | 125,452                                | 130,848                     |
| <b>12</b> Advertising and promotion.  | 147,589               | 4,845                           | 325                                    | 142,419                     |
| <b>13</b> Office expenses.  | 143,357               | 88,963                          | 7,988                                  | 46,406                      |
| <b>14</b> Information technology.   | 57,063                | 57,063                          |  |                             |
| <b>15</b> Royalties.  |                       |                                 |  |                             |
| <b>16</b> Occupancy.  | 273,676               | 200,828                         | 8,529                                  | 64,319                      |
| <b>17</b> Travel.   | 74,014                | 27,511                          | 2,478                                  | 44,025                      |
| <b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials.   |                       |                                 |  |                             |
| <b>19</b> Conferences, conventions, and meetings.   |                       |                                 |  |                             |
| <b>20</b> Interest.   |                       |                                 |  |                             |
| <b>21</b> Payments to affiliates.   |                       |                                 |  |                             |
| <b>22</b> Depreciation, depletion, and amortization.  | 295,227               | 263,539                         | 31,688                                 |                             |
| <b>23</b> Insurance.  |                       |                                 |  |                             |
| <b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):                                       |                       |                                 |  |                             |
| <b>a</b> POSTAGE, SHIPPING AND D  | 815,584               | 725,626                         | 670                                    | 89,288                      |
| <b>b</b> TRUCK EXPENSES   | 343,411               | 343,411                         |  |                             |
| <b>c</b> REPAIR AND RENTAL  | 119,883               | 40,124                          | 2,596                                  | 77,163                      |
| <b>d</b> LOGISTICS TRANSFER COST  | 106,393               | 106,393                         |  |                             |
| <b>e</b> All other expenses   | 182,642               | 79,774                          | 17,227                                 | 85,641                      |
| <b>25 Total functional expenses.</b> Add lines 1 through 24e.   | 6,457,954             | 4,500,340                       | 325,893                                | 1,631,722                   |
| <b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). |                       |                                 |  |                             |

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part IX ☐

|                                    |  |  |            | (A)<br>Beginning of year |            | (B)<br>End of year |
|------------------------------------|--|--|------------|--------------------------|------------|--------------------|
| <b>Assets</b>                      | <b>1</b>   | Cash—non-interest-bearing . . . . .  |            | 336,380                  | <b>1</b>   | 995,813            |
|                                    | <b>2</b>   | Savings and temporary cash investments . . . . .   |            | 1,645,391                | <b>2</b>   |                    |
|                                    | <b>3</b>   | Pledges and grants receivable, net . . . . .   |            |                          | <b>3</b>   | 80,000             |
|                                    | <b>4</b>   | Accounts receivable, net . . . . .   |            | 902,748                  | <b>4</b>   | 538,882            |
|                                    | <b>5</b>   | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.   |            |                          | <b>5</b>   |                    |
|                                    | <b>6</b>   | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L. |            |                          | <b>6</b>   |                    |
|                                    | <b>7</b>   | Notes and loans receivable, net . . . . .  |            |                          | <b>7</b>   |                    |
|                                    | <b>8</b>   | Inventories for sale or use . . . . .  |            |                          | <b>8</b>   |                    |
|                                    | <b>9</b>   | Prepaid expenses and deferred charges . . . . .  |            | 51,205                   | <b>9</b>   | 93,036             |
|                                    | <b>10a</b>   | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.   | <b>10a</b> | 1,356,721                |            |                    |
|                                    | <b>b</b>   | Less: accumulated depreciation   | <b>10b</b> | 1,114,080                |            |                    |
|                                    |  |  |            | 137,287                  | <b>10c</b> | 242,641            |
|                                    | <b>11</b>  | Investments—publicly traded securities . . . . .   |            | 313,022                  | <b>11</b>  |                    |
|                                    | <b>12</b>  | Investments—other securities. See Part IV, line 11 . . . . .   |            |                          | <b>12</b>  | 2,294,578          |
|                                    | <b>13</b>  | Investments—program-related. See Part IV, line 11 . . . . .  |            |                          | <b>13</b>  |                    |
|                                    | <b>14</b>  | Intangible assets . . . . .  |            |                          | <b>14</b>  |                    |
| <b>15</b>                          | Other assets. See Part IV, line 11 . . . . .   |  | 16,350     | <b>15</b>                | 121,441    |                    |
| <b>16</b>                          | <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .   |  | 3,402,383  | <b>16</b>                | 4,366,391  |                    |
| <b>Liabilities</b>                 | <b>17</b>  | Accounts payable and accrued expenses . . . . .  |            | 355,428                  | <b>17</b>  | 275,686            |
|                                    | <b>18</b>  | Grants payable . . . . .   |            |                          | <b>18</b>  |                    |
|                                    | <b>19</b>  | Deferred revenue . . . . .   |            |                          | <b>19</b>  | 6,505              |
|                                    | <b>20</b>  | Tax-exempt bond liabilities . . . . .  |            |                          | <b>20</b>  |                    |
|                                    | <b>21</b>  | Escrow or custodial account liability. Complete Part IV of Schedule D.   |            |                          | <b>21</b>  |                    |
|                                    | <b>22</b>  | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .   |            |                          | <b>22</b>  |                    |
|                                    | <b>23</b>  | Secured mortgages and notes payable to unrelated third parties . . . . .   |            |                          | <b>23</b>  |                    |
|                                    | <b>24</b>  | Unsecured notes and loans payable to unrelated third parties . . . . .   |            |                          | <b>24</b>  |                    |
|                                    | <b>25</b>  | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.   |            | 45,658                   | <b>25</b>  | 118,505            |
|                                    | <b>26</b>  | <b>Total liabilities.</b> Add lines 17 through 25 . . . . .  |            | 401,086                  | <b>26</b>  | 400,696            |
| <b>Net Assets or Fund Balances</b> | <b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b> |  |            |                          |            |                    |
|                                    | <b>27</b>  | Unrestricted net assets  |            | 2,941,041                | <b>27</b>  | 3,484,951          |
|                                    | <b>28</b>  | Temporarily restricted net assets . . . . .  |            | 60,256                   | <b>28</b>  | 480,744            |
|                                    | <b>29</b>  | Permanently restricted net assets  |            |                          | <b>29</b>  |                    |
|                                    | <b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>                          |  |            |                          |            |                    |
|                                    | <b>30</b>  | Capital stock or trust principal, or current funds . . . . .   |            |                          | <b>30</b>  |                    |
|                                    | <b>31</b>  | Paid-in or capital surplus, or land, building or equipment fund . . . . .  |            |                          | <b>31</b>  |                    |
|                                    | <b>32</b>  | Retained earnings, endowment, accumulated income, or other funds   |            |                          | <b>32</b>  |                    |
|                                    | <b>33</b>  | <b>Total net assets or fund balances</b> . . . . .   |            | 3,001,297                | <b>33</b>  | 3,965,695          |
| <b>34</b>                          | <b>Total liabilities and net assets/fund balances</b> . . . . .  |  | 3,402,383  | <b>34</b>                | 4,366,391  |                    |

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

|           |   |           |           |
|-----------|---|-----------|-----------|
| <b>1</b>  | Total revenue (must equal Part VIII, column (A), line 12)   | <b>1</b>  | 7,397,128 |
| <b>2</b>  | Total expenses (must equal Part IX, column (A), line 25)  | <b>2</b>  | 6,457,954 |
| <b>3</b>  | Revenue less expenses Subtract line 2 from line 1   | <b>3</b>  | 939,174   |
| <b>4</b>  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                     | <b>4</b>  | 3,001,297 |
| <b>5</b>  | Net unrealized gains (losses) on investments  | <b>5</b>  | 30,770    |
| <b>6</b>  | Donated services and use of facilities  | <b>6</b>  |           |
| <b>7</b>  | Investment expenses   | <b>7</b>  |           |
| <b>8</b>  | Prior period adjustments  | <b>8</b>  | -5,546    |
| <b>9</b>  | Other changes in net assets or fund balances (explain in Schedule O)  | <b>9</b>  | 0         |
| <b>10</b> | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | <b>10</b> | 3,965,695 |

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

|  | Yes | No |
|--|-----|----|
| <b>1</b> Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O   |     |    |
| <b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis |     | No |
| <b>b</b> Were the organization's financial statements audited by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis                 | Yes |    |
| <b>c</b> If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O   | Yes |    |
| <b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?   |     | No |
| <b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits  |     |    |

# Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 22-2505819  
**Name:** EPILEPSY FOUNDATION NEW ENGLAND

Form 990 (2016)

**Form 990, Part III, Line 4a:**

COMMUNITY EDUCATION EFNE PROVIDES WORKSHOPS AND EDUCATIONAL CONFERENCES FOR FAMILIES, CAREGIVERS, FIRST RESPONDERS, EMPLOYERS, AND THE COMMUNITY AT LARGE, APPROXIMATELY 2,000 PEOPLE RECEIVE TRAINING EACH YEAR OUR MATTY PROJECT IS DESIGNED TO TEACH YOUNG CHILDREN ABOUT EPILEPSY OUR COMMUNITY OUTREACH TRAINING PROGRAMS ASSIST PRESCHOOL TEACHERS, SCHOOL NURSES, EMTS, LAW ENFORCEMENT, AND ALL SCHOOL PERSONNEL LEARN ABOUT EPILEPSY AND RAISE AWARENESS EDUCATION CONFERENCES 250 CONSTITUENTS SERVED AWARENESS EVENTS IN MORE THAN A DOZEN CITIES ART THERAPY TWO 8-WEEK ART THERAPY SESSIONS FOR 30 PEOPLE YOUNG LEADERS NETWORKS MEET MONTHLY IN 3 STATES, SERVING ABOUT 85 YOUNG PEOPLE AGES 15-25

**Form 990, Part III, Line 4b:**

RESOURCE AND SUPPORT CENTER & ADVOCACY - HELPDESK 1,800 HELP DESK CALLS PER YEAR - SUPPORT GROUPS 16 RECURRING IN-PERSON AND PHONE/ONLINE SUPPORT GROUPS - PHYSICIAN OUTREACH TO EFNE "INBOX" - 181+ (REQUESTS FROM MEDICAL TEAMS TO REACH OUT TO PATIENTS, INFO ON PROGRAMS, SUDEP FAMILIES, EDUCATION, AND SUPPORT GROUP) - NEURO GRAND ROUNDS OR EPILEPSY ROUNDS MD (NEUROLOGY, NEUROSURGERY) RN, RESIDENTS/FELLOWS, TUFTS AND URI - APPROXIMATELY 90 ATTENDEES SUDEP OUTREACH OUTREACH TO 53 FAMILIES WITH EMOTIONAL SUPPORT, REFERRALS TO NATIONAL RESOURCES, LOCAL BEREAVEMENT SUPPORT, EFNE EFFORTS TO HONOR LOVED ONES - INTERN PROGRAM 8 COLLEGE INTERNS PARTICIPATED IN RESOURCE AND SUPPORT PROJECTS OVER THE SUMMER AND FALL OF 2017 (OUTREACH, EDUCATION, NEUROSCIENCE, AWARENESS, PHARMACY AND PATIENT ASSISTANCE, ART THERAPY) - LINKING WITH RESOURCES IN COMMUNITY TO SUPPORT FAMILIES - MONTHLY INFORMATION SESSIONS FIRST INFORMATION SESSION IN OCTOBER, OFFERED IN PARTNERSHIP WITH CAREGIVER HOMES TO PROVIDE RESOURCES TO FAMILIES CARING FOR LOVED ONES AT HOME, UPCOMING WORKSHOPS EDUCATION NAVIGATING IEP, 504C, HOUSING RESOURCES, TRANSPORTATION RESOURCES, RESPITE PROVIDER RESOURCES/PCA, PATIENT ASSISTANCE PROGRAMS FOR RX, FIRST AID FOR FAMILY MEMBERS (PARENTS, GRANDPARENTS, BABYSITTERS), SERVICE ANIMALS - TRAINING VOLUNTEER SUPPORT GROUP FACILITATION LEADERS 2 TRAINING SESSIONS HELD (MARCH 2017 AND SEPTEMBER 2017), 27 PARTICIPANTS TOTAL (24 IN PERSON, 3 REMOTE) - TRAINING EFNE STAFF TO PROVIDE MENTAL HEALTH SKILLS SUPPORT WORKSHOPS 4 EFNE STAFF MEMBERS COMPLETED UPLIFT TRAINING THROUGH EMORY UNIVERSITY TO BE ABLE TO PROVIDE REMOTE MENTAL HEALTH SKILLS (MINDFULNESS, MEDITATION, CBT) SUPPORT GROUPS NOT A TREATMENT PARADIGM, UPLIFT CAN BE APPLIED AS A MENTAL HEALTH SKILLS WORKSHOP TO ASSIST PATIENTS WITH EPILEPSY AND COMORBID DEPRESSION, ANXIETY THE GROUPS WILL BE CO-FACILITATED BY ONE EFNE STAFF MEMBER AND A PERSON LIVING WITH EPILEPSY - SPRING 2017 PILOT PHONE COACHING PROGRAM 43 PARTICIPANTS TOTAL - ADVOCACY EFNE IS COMMITTED TO PROTECTING THE RIGHTS OF PEOPLE WITH EPILEPSY AND ENSURING THAT THEY HAVE ACCESS TO NEEDED TREATMENTS AND SERVICES WE DO THIS BY HELPING INDIVIDUALS AND ALSO BY ADVOCATING WITH OUR ELECTED OFFICIALS, COMMUNITY LEADERS, AND POLICYMAKERS THROUGH OUR PAID DIRECTOR OF ADVOCACY AND PUBLIC POLICY, THROUGH VOLUNTEERS, AND THROUGH SOCIAL MEDIA AND E-MAIL MARKETING

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**Form 990, Part III, Line 4c:**

FUNDING SUPPORT & DONATION CENTER FUND EPILEPSY FOUNDATION OF NEW ENGLAND'S MISSION OF SUPPORTING EPILEPSY RESEARCH AND ADVOCACY THROUGH COLLECTING GENTLY USED CLOTHING AND HOUSEWARES TO BE RESOLD EFENE WORKS TO SHOWCASE ADVANCES AND RAISE MONEY FOR RESEARCH. IN PARTNERSHIP

**2** ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Section A. Public Support                        |   |           |           |           |           |           |           |
|--|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Calendar year<br>(or fiscal year beginning in) ► |   | (a)2012   | (b)2013   | (c)2014   | (d)2015   | (e)2016   | (f)Total  |
| 1  | Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")  | 1,038,088 | 1,274,373 | 1,241,460 | 1,512,360 | 2,285,379 | 7,351,660 |
| 2  | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |           |           |           |           |           |           |
| 3  | The value of services or facilities furnished by a governmental unit to the organization without charge   |           |           |           |           |           |           |
| 4  | <b>Total.</b> Add lines 1 through 3   | 1,038,088 | 1,274,373 | 1,241,460 | 1,512,360 | 2,285,379 | 7,351,660 |
| 5  | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) |           |           |           |           |           |           |
| 6  | <b>Public support.</b> Subtract line 5 from line 4  |           |           |           |           |           | 7,351,660 |

| Section B. Total Support                         |  |           |           |           |           |           |           |
|--|--|-----------|-----------|-----------|-----------|-----------|-----------|
| Calendar year<br>(or fiscal year beginning in) ► |  | (a)2012   | (b)2013   | (c)2014   | (d)2015   | (e)2016   | (f)Total  |
| 7  | Amounts from line 4  | 1,038,088 | 1,274,373 | 1,241,460 | 1,512,360 | 2,285,379 | 7,351,660 |
| 8  | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources   | 8,340     | 10,145    | 16,316    | 11,971    | 14,522    | 61,294    |
| 9  | Net income from unrelated business activities, whether or not the business is regularly carried on   |           |           |           |           |           |           |
| 10   | Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI )   |           |           |           |           | 25,972    | 25,972    |
| 11   | <b>Total support.</b> Add lines 7 through 10   |           |           |           |           |           | 7,438,926 |
| 12   | Gross receipts from related activities, etc (see instructions)   |           |           |           |           | 12        | 5,272,086 |
| 13   | <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . ► <input type="checkbox"/> |           |           |           |           |           |           |

| Section C. Computation of Public Support Percentage |   |             |
|---|---|-------------|
| 14  | Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))  | 14 98.830 % |
| 15  | Public support percentage for 2015 Schedule A, Part II, line 14   | 15 98.940 % |
| 16a   | <b>33 1/3% support test—2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>  |             |
| b   | <b>33 1/3% support test—2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>  |             |
| 17a   | <b>10%-facts-and-circumstances test—2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>      |             |
| b   | <b>10%-facts-and-circumstances test—2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> |             |
| 18  | <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>  |             |

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e)2016 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")  |         |         |         |         |         |          |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose |         |         |         |         |         |          |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513   |         |         |         |         |         |          |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf  |         |         |         |         |         |          |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge  |         |         |         |         |         |          |
| <b>6 Total.</b> Add lines 1 through 5   |         |         |         |         |         |          |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons  |         |         |         |         |         |          |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year           |         |         |         |         |         |          |
| <b>c</b> Add lines 7a and 7b  |         |         |         |         |         |          |
| <b>8 Public support.</b> (Subtract line 7c from line 6.)  |         |         |         |         |         |          |

**Section B. Total Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e)2016 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| <b>9</b> Amounts from line 6  |         |         |         |         |         |          |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources |         |         |         |         |         |          |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975                          |         |         |         |         |         |          |
| <b>c</b> Add lines 10a and 10b  |         |         |         |         |         |          |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on     |         |         |         |         |         |          |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)                                 |         |         |         |         |         |          |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)  |         |         |         |         |         |          |

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► ☐

**Section C. Computation of Public Support Percentage**

|  |           |  |
|--|-----------|--|
| <b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)) | <b>15</b> |  |
| <b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15                      | <b>16</b> |  |

**Section D. Computation of Investment Income Percentage**

|  |           |  |
|--|-----------|--|
| <b>17</b> Investment income percentage for <b>2016</b> (line 10c, column (f) divided by line 13, column (f)) | <b>17</b> |  |
| <b>18</b> Investment income percentage from <b>2015</b> Schedule A, Part III, line 17                        | <b>18</b> |  |

**19a 33 1/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**b 33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

- 1** Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2** Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c** Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- 4a** Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c** Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a** Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b), and

|           | Yes | No |
|-----------|-----|----|
| <b>1</b>  |     |    |
| <b>2</b>  |     |    |
| <b>3a</b> |     |    |
| <b>3b</b> |     |    |
| <b>3c</b> |     |    |
| <b>4a</b> |     |    |
| <b>4b</b> |     |    |
| <b>4c</b> |     |    |

**Part IV Supporting Organizations** (continued)

|  | Yes | No |
|--|-----|----|
| <b>11</b> Has the organization accepted a gift or contribution from any of the following persons?  |     |    |
| <b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? |     |    |
| <b>b</b> A family member of a person described in (a) above?   |     |    |
| <b>c</b> A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>   |     |    |
| <b>11a</b>   |     |    |
| <b>11b</b>   |     |    |
| <b>11c</b>   |     |    |

**Section B. Type I Supporting Organizations**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i> |     |    |
| <b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>  |     |    |
| <b>1</b>  |     |    |
| <b>2</b>  |     |    |

**Section C. Type II Supporting Organizations**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i> |     |    |
| <b>1</b>   |     |    |

**Section D. All Type III Supporting Organizations**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? |     |    |
| <b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>   |     |    |
| <b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>  |     |    |
| <b>1</b>  |     |    |
| <b>2</b>  |     |    |
| <b>3</b>  |     |    |

**Section E. Type III Functionally-Integrated Supporting Organizations**

|   |  |  |
|---|--|--|
| <b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year ( <b>see instructions</b> )  |  |  |
| <b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.   |  |  |
| <b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.  |  |  |
| <b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity ( <b>see instructions</b> ).   |  |  |
| <b>2</b> Activities Test <b>Answer (a) and (b) below.</b>   |  |  |
| <b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i> |  |  |
| <b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>  |  |  |
| <b>3</b> Parent of Supported Organizations <b>Answer (a) and (b) below.</b>   |  |  |
| <b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>  |  |  |
| <b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>  |  |  |
| <b>2a</b>   |  |  |
| <b>2b</b>   |  |  |
| <b>3a</b>   |  |  |
| <b>3b</b>   |  |  |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

**Section A - Adjusted Net Income**

|   | (A) Prior Year | (B) Current Year<br>(optional) |
|---|----------------|--------------------------------|
| <b>1</b> Net short-term capital gain  | <b>1</b>       |                                |
| <b>2</b> Recoveries of prior-year distributions   | <b>2</b>       |                                |
| <b>3</b> Other gross income (see instructions)  | <b>3</b>       |                                |
| <b>4</b> Add lines 1 through 3  | <b>4</b>       |                                |
| <b>5</b> Depreciation and depletion   | <b>5</b>       |                                |
| <b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | <b>6</b>       |                                |
| <b>7</b> Other expenses (see instructions)  | <b>7</b>       |                                |
| <b>8 Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)  | <b>8</b>       |                                |

**Section B - Minimum Asset Amount**

|   | (A) Prior Year | (B) Current Year<br>(optional) |
|---|----------------|--------------------------------|
| <b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) | <b>1</b>       |                                |
| <b>a</b> Average monthly value of securities  | <b>1a</b>      |                                |
| <b>b</b> Average monthly cash balances  | <b>1b</b>      |                                |
| <b>c</b> Fair market value of other non-exempt-use assets   | <b>1c</b>      |                                |
| <b>d Total</b> (add lines 1a, 1b, and 1c)   | <b>1d</b>      |                                |
| <b>e Discount</b> claimed for blockage or other factors (explain in detail in Part VI)  |                |                                |
| <b>2</b> Acquisition indebtedness applicable to non-exempt use assets   | <b>2</b>       |                                |
| <b>3</b> Subtract line 2 from line 1d   | <b>3</b>       |                                |
| <b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)                                 | <b>4</b>       |                                |
| <b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)   | <b>5</b>       |                                |
| <b>6</b> Multiply line 5 by .035  | <b>6</b>       |                                |
| <b>7</b> Recoveries of prior-year distributions   | <b>7</b>       |                                |
| <b>8 Minimum Asset Amount</b> (add line 7 to line 6)  | <b>8</b>       |                                |

**Section C - Distributable Amount**

|   |          | Current Year |
|---|----------|--------------|
| <b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)  | <b>1</b> |              |
| <b>2</b> Enter 85% of line 1  | <b>2</b> |              |
| <b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)   | <b>3</b> |              |
| <b>4</b> Enter greater of line 2 or line 3  | <b>4</b> |              |
| <b>5</b> Income tax imposed in prior year   | <b>5</b> |              |
| <b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)  | <b>6</b> |              |
| <b>7</b> <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) |          |              |

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions  | Current Year |
|--|--------------|
| 1 Amounts paid to supported organizations to accomplish exempt purposes  |              |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity    |              |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations  |              |
| 4 Amounts paid to acquire exempt-use assets  |              |
| 5 Qualified set-aside amounts (prior IRS approval required)  |              |
| 6 Other distributions (describe in Part VI) See instructions   |              |
| 7 Total annual distributions. Add lines 1 through 6  |              |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions |              |
| 9 Distributable amount for 2016 from Section C, line 6   |              |
| 10 Line 8 amount divided by Line 9 amount  |              |

| Section E - Distribution Allocations (see instructions)   | (i)<br>Excess Distributions | (ii)<br>Underdistributions<br>Pre-2016 | (iii)<br>Distributable<br>Amount for 2016 |
|---|-----------------------------|--|---|
| 1 Distributable amount for 2016 from Section C, line 6  |                             |  |   |
| 2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)   |                             |  |   |
| 3 Excess distributions carryover, if any, to 2016   |                             |  |   |
| a   |                             |  |   |
| b   |                             |  |   |
| c From 2013. . . . .  |                             |  |   |
| d From 2014. . . . .  |                             |  |   |
| e From 2015. . . . .  |                             |  |   |
| f Total of lines 3a through e   |                             |  |   |
| g Applied to underdistributions of prior years  |                             |  |   |
| h Applied to 2016 distributable amount  |                             |  |   |
| i Carryover from 2011 not applied (see instructions)  |                             |  |   |
| j Remainder Subtract lines 3g, 3h, and 3i from 3f   |                             |  |   |
| 4 Distributions for 2016 from Section D, line 7 \$  |                             |  |   |
| a Applied to underdistributions of prior years  |                             |  |   |
| b Applied to 2016 distributable amount  |                             |  |   |
| c Remainder Subtract lines 4a and 4b from 4   |                             |  |   |
| 5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) |                             |  |   |
| 6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)                        |                             |  |   |
| 7 Excess distributions carryover to 2017. Add lines 3j and 4c   |                             |  |   |
| 8 Breakdown of line 7   |                             |  |   |
| a   |                             |  |   |
| b Excess from 2013. . . . .   |                             |  |   |
| c Excess from 2014. . . . .   |                             |  |   |
| d Excess from 2015. . . . .   |                             |  |   |
| e Excess from 2016. . . . .   |                             |  |   |

**Part VI**   **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

|                                     |
|-------------------------------------|
| <b>Facts And Circumstances Test</b> |
|                                     |

**SCHEDULE C**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No 1545-0047

**2016**

**Open to Public Inspection**

**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations Complete Part III

|   |  |
|---|--|
| Name of the organization<br>EPILEPSY FOUNDATION NEW ENGLAND | Employer identification number<br>22-2505819 |
|---|--|

**Part I-A** Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ \$

3 Volunteer hours

**Part I-B** Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 7202 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

**Part I-C** Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|---|--|
|          |             |         |   |  |
|          |             |         |   |  |
| 2        |             |         |   |  |
|          |             |         |   |  |
| 3        |             |         |   |  |
|          |             |         |   |  |
| 4        |             |         |   |  |
|          |             |         |   |  |
| 5        |             |         |   |  |
|          |             |         |   |  |
| 6        |             |         |   |  |

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)**(a)** Filing  
organization's  
totals**(b)** Affiliated  
group totals

**1a** Total lobbying expenditures to influence public opinion (grass roots lobbying)

**b** Total lobbying expenditures to influence a legislative body (direct lobbying)

**c** Total lobbying expenditures (add lines 1a and 1b)

**d** Other exempt purpose expenditures

**e** Total exempt purpose expenditures (add lines 1c and 1d)

**f** Lobbying nontaxable amount Enter the amount from the following table in both columns

| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is:                |
|---|---|
| Not over \$500,000                              | 20% of the amount on line 1e                      |
| Over \$500,000 but not over \$1,000,000         | \$100,000 plus 15% of the excess over \$500,000   |
| Over \$1,000,000 but not over \$1,500,000       | \$175,000 plus 10% of the excess over \$1,000,000 |
| Over \$1,500,000 but not over \$17,000,000      | \$225,000 plus 5% of the excess over \$1,500,000  |
| Over \$17,000,000                               | \$1,000,000                                       |

**g** Grassroots nontaxable amount (enter 25% of line 1f)

**h** Subtract line 1g from line 1a If zero or less, enter -0-

**i** Subtract line 1f from line 1c If zero or less, enter -0-

**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

☐ Yes ☐ No**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

| Calendar year (or fiscal year beginning in)                         | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) Total |
|---|----------|----------|----------|----------|-----------|
| <b>2a</b> Lobbying nontaxable amount                                |          |          |          |          |           |
| <b>b</b> Lobbying ceiling amount<br>(150% of line 2a, column(e))    |          |          |          |          |           |
| <b>c</b> Total lobbying expenditures                                |          |          |          |          |           |
| <b>d</b> Grassroots nontaxable amount                               |          |          |          |          |           |
| <b>e</b> Grassroots ceiling amount<br>(150% of line 2d, column (e)) |          |          |          |          |           |
| <b>f</b> Grassroots lobbying expenditures                           |          |          |          |          |           |

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

|           |  | (a) | (b)       |
|-----------|--|-----|-----------|
|           |  | Yes | No Amount |
| <b>1</b>  | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of |     |           |
| <b>a</b>  | Volunteers?  | Yes |           |
| <b>b</b>  | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?   |     | No        |
| <b>c</b>  | Media advertisements?  | Yes | 1,200     |
| <b>d</b>  | Mailings to members, legislators, or the public?   | Yes | 1,000     |
| <b>e</b>  | Publications, or published or broadcast statements?  | Yes | 500       |
| <b>f</b>  | Grants to other organizations for lobbying purposes?   |     | No        |
| <b>g</b>  | Direct contact with legislators, their staffs, government officials, or a legislative body?  |     | No        |
| <b>h</b>  | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?  |     | No        |
| <b>i</b>  | Other activities?  |     | No        |
| <b>j</b>  | Total. Add lines 1c through 1i   |     | 2,700     |
| <b>2a</b> | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?  |     | No        |
| <b>b</b>  | If "Yes," enter the amount of any tax incurred under section 4912  |     |           |
| <b>c</b>  | If "Yes," enter the amount of any tax incurred by organization managers under section 4912   |     |           |
| <b>d</b>  | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?   |     |           |

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

|  | Yes      | No |
|--|----------|----|
| <b>1</b> Were substantially all (90% or more) dues received nondeductible by members?                      | <b>1</b> |    |
| <b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?                 | <b>2</b> |    |
| <b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year? | <b>3</b> |    |

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

|          |  |           |  |
|----------|--|-----------|--|
| <b>1</b> | Dues, assessments and similar amounts from members   | <b>1</b>  |  |
| <b>2</b> | Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).   | <b>2a</b> |  |
| <b>a</b> | Current year   | <b>2b</b> |  |
| <b>b</b> | Carryover from last year   | <b>2c</b> |  |
| <b>c</b> | Total  | <b>3</b>  |  |
| <b>3</b> | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues  | <b>4</b>  |  |
| <b>4</b> | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | <b>5</b>  |  |
| <b>5</b> | Taxable amount of lobbying and political expenditures (see instructions)   |           |  |

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

| Return Reference  | Explanation   |
|-------------------|---|
| PART II-B, LINE 1 | A 20-HOUR PER WEEK DIRECTOR OF ADVOCACY AND PUBLIC POLICY RAISES AWARENESS OF EPILEPSY AND ISSUES FACING PEOPLE WITH EPILEPSY WITH LOCAL AND STATE DECISION-MAKERS IN THE 4 STATES THE FOUNDATION WORKS. ADVOCACY EFFORTS ARE GEARED TO PROTECTING THE RIGHTS OF PEOPLE WITH EPILEPSY AND THEIR ACCESS TO TREATMENT AND SERVICES. THE DIRECTOR ENGAGES A CORE OF ABOUT 10 VOLUNTEERS IN EACH STATE TO CONDUCT "STATE HOUSE DAYS," TO TESTIFY AT HEARINGS/MEETINGS, AND TO CONDUCT OUTREACH. THE DIRECTOR USES SOCIAL MEDIA, WEBSITE, LETTERS TO THE EDITOR, AND E-NEWSLETTERS TO INFORM OUR STAKEHOLDERS ABOUT KEY ISSUES BEING CONSIDERED OR DECIDED ON IN THEIR STATE/AREA, AND SUGGESTS CONSTITUENTS CONTACT THEIR ELECTED OFFICIALS TO MAKE THEIR VIEWPOINTS HEARD. |

|  |  |  |  |  |  |
|--|--|--|--|--|--|
| efile GRAPHIC print - DO NOT PROCESS   |  | As Filed Data -  |  | DLN: 9349306800338                           |  |
| <div>SCHEDULE D<br/>(Form 990)</div> <div>Department of the Treasury<br/>Internal Revenue Service</div>  |  | <div>Supplemental Financial Statements</div> <div>► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.<br/>► Attach to Form 990.</div> <div>Information about Schedule D (Form 990) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div> |  |  | <div>OMB No 1545-0047</div> <div>2016</div> <div>Open to Public Inspection</div> |
| Name of the organization<br>EPILEPSY FOUNDATION NEW ENGLAND  |  |  |  | Employer identification number<br>22-2505819 |  |
| Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.<br>Complete if the organization answered "Yes" on Form 990, Part IV, line 6.              |  |  |  |  |  |
|  |  | (a) Donor advised funds  |  | (b) Funds and other accounts                 |  |
| 1  | Total number at end of year  |  |  |  |  |
| 2  | Aggregate value of contributions to (during year)  |  |  |  |  |
| 3  | Aggregate value of grants from (during year)   |  |  |  |  |
| 4  | Aggregate value at end of year   |  |  |  |  |
| 5  | Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?   |  |  |  | <input type="checkbox"/> Yes <input type="checkbox"/> No                         |
| 6  | Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  |  |  |  | <input type="checkbox"/> Yes <input type="checkbox"/> No                         |
| Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.  |  |  |  |  |  |
| 1  | Purpose(s) of conservation easements held by the organization (check all that apply)<br><input type="checkbox"/> Preservation of land for public use (e g , recreation or education) <input type="checkbox"/> Preservation of an historically important land area<br><input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure<br><input type="checkbox"/> Preservation of open space |  |  |  |  |
| 2  | Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year   |  |  |  |  |
| a  | Total number of conservation easements   | Held at the End of the Year  |  |  |  |
| b  | Total acreage restricted by conservation easements   | 2a   |  |  |  |
| c  | Number of conservation easements on a certified historic structure included in (a)   | 2b   |  |  |  |
| d  | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register   | 2c   |  |  |  |
|  |  | 2d   |  |  |  |
| 3  | Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►  |  |  |  |  |
| 4  | Number of states where property subject to conservation easement is located ►  |  |  |  |  |
| 5  | Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?   |  |  |  | <input type="checkbox"/> Yes <input type="checkbox"/> No                         |
| 6  | Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►  |  |  |  |  |
| 7  | Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$   |  |  |  |  |
| 8  | Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  |  |  |  | <input type="checkbox"/> Yes <input type="checkbox"/> No                         |
| 9  | In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements  |  |  |  |  |
| Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.<br>Complete if the organization answered "Yes" on Form 990, Part IV, line 8. |  |  |  |  |  |
| 1a   | If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items   |  |  |  |  |
| b  | If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items  |  |  |  |  |
| (i) Revenue included on Form 990, Part VIII, line 1  |  | ► \$   |  |  |  |
| (ii) Assets included in Form 990, Part X   |  | ► \$   |  |  |  |
| 2  | If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items  |  |  |  |  |
| a  | Revenue included on Form 990, Part VIII, line 1  | ► \$   |  |  |  |
| b  | Assets included in Form 990, Part X  | ► \$   |  |  |  |
| For Paperwork Reduction Act Notice, see the Instructions for Form 990.   |  |  |  |  |  |
|  |  | Cat No 52283D  |  | Schedule D (Form 990) 2016                   |  |

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐

Public exhibition

b

☐

Scholarly research

c

☐

Preservation for future generations

d

☐

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

|    | Amount |
|----|--------|
| 1c |        |
| 1d |        |
| 1e |        |
| 1f |        |

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

1a

Beginning of year balance

b

Contributions

c

Net investment earnings, gains, and losses

d

Grants or scholarships

e

Other expenditures for facilities and programs

f

Administrative expenses

g

End of year balance

|    | (a)Current year | (b)Prior year | (c)Two years back | (d)Three years back | (e)Four years back |
|----|-----------------|---------------|-------------------|---------------------|--------------------|
| 1a | 60,256          | 81,425        | 62,538            | 50,964              | 6,767              |
| b  | 541,624         | 38,280        | 68,675            | 54,064              | 44,197             |
| c  |                 |               |                   |                     |                    |
| d  |                 |               |                   |                     |                    |
| e  | 121,136         | 59,449        | 49,788            | 42,490              |                    |
| f  |                 |               |                   |                     |                    |
| g  | 480,744         | 60,256        | 81,425            | 62,538              | 50,964             |

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

0 %

b

Permanent endowment

0 %

c

Temporarily restricted endowment

100 000 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

(ii)

related organizations

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

|        | Yes | No |
|--------|-----|----|
| 3a(i)  |     | No |
| 3a(ii) |     | No |
| 3b     |     |    |

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property   | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land   |                                      |                                 |                              |                |
| b Buildings   |                                      |                                 |                              |                |
| c Leasehold improvements  |                                      |                                 |                              |                |
| d Equipment   |                                      | 112,031                         | 57,926                       | 54,105         |
| e Other   |                                      | 1,244,690                       | 1,056,154                    | 188,536        |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) |                                      |                                 |                              | 242,641        |

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.  
See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security) | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|---|----------------|---|
| (1) Financial derivatives . . . . .                                     |                |   |
| (2) Closely-held equity interests . . . . .                             |                |   |
| (3) Other _____   |                |   |
| (A) INVESTMENTS   | 2,294,578      | C   |
| (A)   |                |   |
| (B)   |                |   |
| (C)   |                |   |
| (D)   |                |   |
| (E)   |                |   |
| (F)   |                |   |
| (G)   |                |   |
| (H)   |                |   |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 12 )       | 2,294,578      |   |

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.  
See Form 990, Part X, line 13.

| (a) Description of investment                                     | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|---|----------------|---|
| (1)   |                |   |
| (2)   |                |   |
| (3)   |                |   |
| (4)   |                |   |
| (5)   |                |   |
| (6)   |                |   |
| (7)   |                |   |
| (8)   |                |   |
| (9)   |                |   |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 13 ) |                |   |

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description   | (b) Book value |
|---|----------------|
| (1)   |                |
| (2)   |                |
| (3)   |                |
| (4)   |                |
| (5)   |                |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 15 ) |                |

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.  
See Form 990, Part X, line 25.

| 1. (a) Description of liability                                   | (b) Book value |
|---|----------------|
| (1) Federal income taxes  |                |
| CAPITAL LEASE OBLIGATION  | 118,505        |
| (2)   |                |
| (3)   |                |
| (4)   |                |
| (5)   |                |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 25 ) | 118,505        |

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

☒

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |   |           |           |
|----------|---|-----------|-----------|
| <b>1</b> | Total revenue, gains, and other support per audited financial statements . . . . .                      | <b>1</b>  | 7,743,820 |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part VIII, line 12                                      |           |           |
| <b>a</b> | Net unrealized gains (losses) on investments . . . . .  | <b>2a</b> | 30,770    |
| <b>b</b> | Donated services and use of facilities . . . . .  | <b>2b</b> | 315,922   |
| <b>c</b> | Recoveries of prior year grants . . . . .   | <b>2c</b> |           |
| <b>d</b> | Other (Describe in Part XIII ) . . . . .  | <b>2d</b> |           |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .   | <b>2e</b> | 346,692   |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .  | <b>3</b>  | 7,397,128 |
| <b>4</b> | Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>                              |           |           |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                              | <b>4a</b> |           |
| <b>b</b> | Other (Describe in Part XIII ) . . . . .  | <b>4b</b> |           |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .   | <b>4c</b> | 0         |
| <b>5</b> | Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . . | <b>5</b>  | 7,397,128 |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |  |           |           |
|----------|--|-----------|-----------|
| <b>1</b> | Total expenses and losses per audited financial statements . . . . .                                     | <b>1</b>  | 6,773,876 |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part IX, line 25   |           |           |
| <b>a</b> | Donated services and use of facilities . . . . .   | <b>2a</b> | 315,922   |
| <b>b</b> | Prior year adjustments . . . . .   | <b>2b</b> |           |
| <b>c</b> | Other losses . . . . .   | <b>2c</b> |           |
| <b>d</b> | Other (Describe in Part XIII ) . . . . .   | <b>2d</b> |           |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .  | <b>2e</b> | 315,922   |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .   | <b>3</b>  | 6,457,954 |
| <b>4</b> | Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :                               |           |           |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                               | <b>4a</b> |           |
| <b>b</b> | Other (Describe in Part XIII ) . . . . .   | <b>4b</b> |           |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .  | <b>4c</b> | 0         |
| <b>5</b> | Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . . | <b>5</b>  | 6,457,954 |

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

| Return Reference          | Explanation |
|---------------------------|-------------|
| See Additional Data Table |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |

**Part XIII** Supplemental Information *(continued)*

| Return Reference | Explanation |
|------------------|-------------|
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 22-2505819  
**Name:** EPILEPSY FOUNDATION NEW ENGLAND

**Supplemental Information**

| Return Reference | Explanation   |
|------------------|---|
| PART V, LINE 4   | TO BE USED FOR FUTURE SCHOLARSHIPS, CAMP, E-STUDIO, AND ADVOCACY PROGRAMS |

## Supplemental Information

| Return Reference | Explanation  |
|------------------|--|
| PART X, LINE 2   | <p>THE FINANCIAL ACCOUNTING STANDARDS BOARD ("FASB") HAS ISSUED A STANDARD THAT CLARIFIES THE ACCOUNTING AND RECOGNITION OF INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN THE ORGANIZATION'S INCOME TAX RETURNS. THE ORGANIZATION ASSESSES THE LIKELIHOOD, BASED ON THEIR TECHNICAL MERIT, THAT TAX POSITIONS WILL BE SUSTAINED UPON EXAMINATION BASED ON THE FACTS, CIRCUMSTANCES AND INFORMATION AVAILABLE AT THE END OF EACH PERIOD. IF THE ORGANIZATION INCURS INTEREST OR PENALTIES AS A RESULT OF UNRECOGNIZED TAX POSITIONS THE POLICY IS TO CLASSIFY INTEREST ACCRUED WITH INTEREST EXPENSE AND PENALTIES THEREON WITH OPERATING EXPENSES.</p> <p>THE ORGANIZATION'S TAX FILINGS ARE SUBJECT TO AUDIT BY VARIOUS TAXING AUTHORITIES. THE ORGANIZATION IS NOT CURRENTLY UNDER EXAMINATION BY ANY TAXING JURISDICTION.</p> |

# 2016

**Open to Public Inspection**

|                                       |
|---------------------------------------|
| <b>Employer identification number</b> |
| 22-2505819                            |

**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

| Revenue         |   | (a) Event #1<br><b>GALA</b><br>(event type) | (b) Event #2<br><b>WALKS FOR<br/>EPILEPSY</b><br>(event type) | (c) Other events<br><b>1</b><br>(total number) | (d)<br>Total events<br>(add col (a) through<br>col (c)) |
|-----------------|---|---|---|--|---|
|                 |   |   |   |  |   |
|                 | <b>1</b> Gross receipts . . . . .   | 284,688                                     | 207,873   | 19,506   | 512,067   |
|                 | <b>2</b> Less Contributions . . . . .   | 191,261                                     | 153,385   | 19,506   | 364,152   |
|                 | <b>3</b> Gross income (line 1 minus<br>line 2) . . . . .                          | 93,427                                      | 54,488  |  | 147,915   |
| Direct Expenses | <b>4</b> Cash prizes . . . . .  |   |   |  |   |
|                 | <b>5</b> Noncash prizes . . . . .   |   |   |  |   |
|                 | <b>6</b> Rent/facility costs . . . . .  |   |   |  |   |
|                 | <b>7</b> Food and beverages . . . . .   | 58,813                                      | 3,201   |  | 62,014  |
|                 | <b>8</b> Entertainment . . . . .  | 15,039                                      |   |  | 15,039  |
|                 | <b>9</b> Other direct expenses . . . . .  | 63,412                                      | 56,665  | 3,701  | 123,778   |
|                 | <b>10</b> Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶  |   |   |  | 200,831   |
|                 | <b>11</b> Net income summary Subtract line 10 from line 3, column (d) . . . . . ▶ |   |   |  | -52,916   |

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| Revenue         |  | (a) Bingo   | (b) Pull tabs/Instant<br>bingo/progressive bingo                    | (c) Other gaming  | (d) Total gaming (add<br>col (a) through col (c)) |
|-----------------|--|---|---|---|---|
|                 |  |   |   |   |   |
|                 | <b>1</b> Gross revenue . . . . .   |   |   |   |   |
| Direct Expenses | <b>2</b> Cash prizes . . . . .   |   |   |   |   |
|                 | <b>3</b> Noncash prizes . . . . .  |   |   |   |   |
|                 | <b>4</b> Rent/facility costs . . . . .   |   |   |   |   |
|                 | <b>5</b> Other direct expenses . . . . .   |   |   |   |   |
|                 | <b>6</b> Volunteer labor . . . . .   | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |   |
|                 | <b>7</b> Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶        |   |   |   |   |
|                 | <b>8</b> Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ▶ |   |   |   |   |

**9** Enter the state(s) in which the organization conducts gaming activities \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states?

☐ Yes ☐ No

**b** If "No," explain \_\_\_\_\_

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

☐ Yes ☐ No

**b** If "Yes," explain \_\_\_\_\_

- 11** Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity conducted in
- |                                      |            |   |
|--------------------------------------|------------|---|
| <b>a</b> The organization's facility | <b>13a</b> | % |
| <b>b</b> An outside facility         | <b>13b</b> | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ► .....

Address ► .....

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_

- c** If "Yes," enter name and address of the third party

Name ► .....

Address ► .....

**16** Gaming manager information

Name ► .....

Gaming manager compensation ► \$ .....

Description of services provided ► .....

☐ Director/officer ☐ Employee ☐ Independent contractor

**17** Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV** **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference

Explanation

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
EPILEPSY FOUNDATION NEW ENGLAND

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number  
22-2505819

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|---------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (1)  |         |                               |                          |                                   |   |  |                                    |
| (2)  |         |                               |                          |                                   |   |  |                                    |
| (3)  |         |                               |                          |                                   |   |  |                                    |
| (4)  |         |                               |                          |                                   |   |  |                                    |
| (5)  |         |                               |                          |                                   |   |  |                                    |
| (6)  |         |                               |                          |                                   |   |  |                                    |
| (7)  |         |                               |                          |                                   |   |  |                                    |
| (8)  |         |                               |                          |                                   |   |  |                                    |
| (9)  |         |                               |                          |                                   |   |  |                                    |
| (10)   |         |                               |                          |                                   |   |  |                                    |
| (11)   |         |                               |                          |                                   |   |  |                                    |
| (12)   |         |                               |                          |                                   |   |  |                                    |

- 2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶
- 3

Enter total number of other organizations listed in the line 1 table . . . . . ▶

**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22  
Part III can be duplicated if additional space is needed

| (a) Type of grant or assistance  | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|----------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| (1) FINANCIAL AID & SCHOLARSHIPS | 53                       | 43,665                   |                                   |   |  |
| (1)                              |                          |                          |                                   |   |  |
| (2)                              |                          |                          |                                   |   |  |
| (3)                              |                          |                          |                                   |   |  |
| (4)                              |                          |                          |                                   |   |  |
| (5)                              |                          |                          |                                   |   |  |
| (6)                              |                          |                          |                                   |   |  |
| (7)                              |                          |                          |                                   |   |  |

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference | Explanation   |
|------------------|---|
| PART I, LINE 2   | THE ORGANIZATION DOCUMENTS FUNDS DISBURSED FOR FINANCIAL AID AND SCHOLARSHIPS |

Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
▶ Attach to Form 990.  
▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2015

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|   |  |
|---|--|
| Name of the organization<br>EPILEPSY FOUNDATION NEW ENGLAND | Employer identification number<br>22-2505819 |
|---|--|

Part I

Questions Regarding Compensation

|  | Yes           | No |
|--|---------------|----|
| <b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.<br><div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div> |               |    |
| <b>b</b> If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain  | <b>1b</b>     |    |
| <b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?  | <b>2</b>      |    |
| <b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.<br><div><div><input type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Approval by the board or compensation committee</div></div>  |               |    |
| <b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:<br><b>a</b> Receive a severance payment or change-of-control payment?   | <b>4a</b> Yes |    |
| <b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?   | <b>4b</b>     | No |
| <b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?  | <b>4c</b>     | No |
| If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.<br><br><b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>   |               |    |
| <b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:<br><b>a</b> The organization?  | <b>5a</b>     | No |
| <b>b</b> Any related organization?<br>If "Yes," on line 5a or 5b, describe in Part III   | <b>5b</b>     | No |
| <b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:<br><b>a</b> The organization?  | <b>6a</b>     | No |
| <b>b</b> Any related organization?<br>If "Yes," on line 6a or 6b, describe in Part III   | <b>6b</b>     | No |
| <b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III  | <b>7</b>      | No |
| <b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III   | <b>8</b>      | No |
| <b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?  | <b>9</b>      |    |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| (A) Name and Title                               |      | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column(B) reported as deferred on prior Form 990 |
|--|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
|  |      | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |  |
| 1 SUSAN LINN<br>PRESIDENT & CEO (AS OF 07/05/16) | (i)  | 160,000<br>-----                                   | 0<br>-----                          | 0<br>-----                          | 0<br>-----                                     | 8,252<br>-----          | 168,252<br>-----                | 0<br>-----   |
|  | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
| 2 BRADLEY RICH<br>PRESIDENT - DONATION CENTER    | (i)  | 194,553<br>-----                                   | 0<br>-----                          | 0<br>-----                          | 0<br>-----                                     | 4,989<br>-----          | 199,542<br>-----                | 0<br>-----   |
|  | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
| 3 LESLIE BRODY<br>PRESIDENT & CEO, FORMER        | (i)  | 0<br>-----   | 0<br>-----                          | 129,262<br>-----                    | 0<br>-----                                     | 0<br>-----              | 129,262<br>-----                | 0<br>-----   |
|  | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |

**Part III** **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference | Explanation   |
|------------------|---|
| PART I, LINE 4A  | LESLIE BRODY, FORMER PRESIDENT & CEO, RECEIVED A SEVERANCE PAYMENT OF \$129,262 DURING THE YEAR |

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
►Attach to Form 990.  
►Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization  
EPILEPSY FOUNDATION NEW ENGLAND

Employer identification number  
22-2505819

Part I

Types of Property

|   | (a)<br>Check if<br>applicable | (b)<br>Number of contributions or<br>items contributed | (c)<br>Noncash contribution<br>amounts reported on<br>Form 990, Part VIII, line<br>1g | (d)<br>Method of determining<br>noncash contribution amounts |
|---|-------------------------------|--|---|--|
| 1 Art—Works of art . . . .  |                               |  |   |  |
| 2 Art—Historical treasures . .  |                               |  |   |  |
| 3 Art—Fractional interests . .  |                               |  |   |  |
| 4 Books and publications . .  |                               |  |   |  |
| 5 Clothing and household<br>goods . . . . .   | X                             |  | 991,805   | FMV  |
| 6 Cars and other vehicles . .   |                               |  |   |  |
| 7 Boats and planes . . . .  |                               |  |   |  |
| 8 Intellectual property . . . .   |                               |  |   |  |
| 9 Securities—Publicly traded .  |                               |  |   |  |
| 10 Securities—Closely held stock .  |                               |  |   |  |
| 11 Securities—Partnership, LLC,<br>or trust interests . . . .   |                               |  |   |  |
| 12 Securities—Miscellaneous . .   |                               |  |   |  |
| 13 Qualified conservation<br>contribution—Historic<br>structures . . . . .  |                               |  |   |  |
| 14 Qualified conservation<br>contribution—Other . . . .   |                               |  |   |  |
| 15 Real estate—Residential . .  |                               |  |   |  |
| 16 Real estate—Commercial . .   |                               |  |   |  |
| 17 Real estate—Other . . . .  |                               |  |   |  |
| 18 Collectibles . . . . .   |                               |  |   |  |
| 19 Food inventory . . . .   |                               |  |   |  |
| 20 Drugs and medical supplies .   |                               |  |   |  |
| 21 Taxidermy . . . . .  |                               |  |   |  |
| 22 Historical artifacts . . . .   |                               |  |   |  |
| 23 Scientific specimens . . .   |                               |  |   |  |
| 24 Archeological artifacts . . .  |                               |  |   |  |
| 25 Other ► ( <u>FUNDRAISING ITEMS</u> )   | X                             | 86   | 27,756  | FMV  |
| 26 Other ► ( <u>VARIOUS ITEMS</u> )   | X                             | 1  | 13,605  | FMV  |
| 27 Other ► ( <u>                    </u> )  |                               |  |   |  |
| 28 Other ► ( <u>                    </u> )  |                               |  |   |  |
| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29                            | 0  |   |  |

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

30a

Yes

No

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

No

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

32a

Yes

No

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

**Part II****Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference

Explanation

**SCHEDULE O**  
(Form 990 or 990-EZ)Department of the Treasury  
Internal Revenue ServiceName of the organization  
EPILEPSY FOUNDATION NEW ENGLAND**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016****Open to Public  
Inspection****Employer identification number**

22-2505819

**990 Schedule O, Supplemental Information**

| Return Reference                              | Explanation  |
|---|--|
| FORM 990,<br>PART VI,<br>SECTION A,<br>LINE 2 | BOARD MEMBERS, STEVE SIRAVO AND JOSEPH SIRAVO HAVE A FAMILY RELATIONSHIP |

**990 Schedule O, Supplemental Information**

| Return<br>Reference                             | Explanation   |
|---|---|
| FORM 990,<br>PART VI,<br>SECTION B,<br>LINE 11B | THE FORM 990 IS REVIEWED IN DETAIL BY BOTH MANAGEMENT AND THE EXECUTIVE COMMITTEE THE RETURN IS APPROVED BY THE BOARD OF DIRECTORS UPON RECOMMENDATION BY THE EXECUTIVE COMMITTEE |

**990 Schedule O, Supplemental Information**

| Return<br>Reference                             | Explanation   |
|---|---|
| FORM 990,<br>PART VI,<br>SECTION B,<br>LINE 12C | ALL BOARD MEMBERS ANNUALLY SIGN A CONFLICT OF INTEREST STATEMENT INDICATING ACKNOWLEDGEMENT AND SUPPORT OF THE POLICY, AS WELL AS DISCLOSURE OF ANY POTENTIAL CONFLICTS THE MINUTE OF THE BOARD MEETINGS EVIDENCE RECUSALS FROM MEETINGS, WHEN APPROPRIATE, AND RECORD ANY WAIVERS IN THE MINUTES |

**990 Schedule O, Supplemental Information**

| Return<br>Reference                             | Explanation   |
|---|---|
| FORM 990,<br>PART VI,<br>SECTION B,<br>LINE 15A | THE COMPENSATION FOR THE CEO IS REVIEWED ANNUALLY THE BOARD USES COMPARABILITY DATA TO LOOK AT SALARIES IN SIMILAR CHARITABLE ORGANIZATIONS, AS WELL AS A PERFORMANCE REVIEW TO DETERMINE SALARY RATES THE PROCESS AND DECISIONS ARE BOTH DOCUMENTED IN THE BOARD MINUTES |

# 990 Schedule O, Supplemental Information

| Return<br>Reference                            | Explanation  |
|--|--|
| FORM 990,<br>PART VI,<br>SECTION C,<br>LINE 19 | THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST |

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization  
EPILEPSY FOUNDATION NEW ENGLAND

Employer identification number  
22-2505819

Part I Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity   | (b)<br>Primary activity   | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|---|---|--|---------------------|---------------------------|----------------------------------|
| (1) EPILEPSY FOUNDATION NEW ENGLAND DONATION CENTER LLC<br>ONE DUNHAM ROAD 2ND FLOOR<br>BILLERICA, MA 02118<br>27-0491645 | ALLOWS CITIZENS TO DONATE AND PURCHASE GENTLY USED ITEMS AT DISCOUNT PRICES | MA   | 6,173,866           | 3,604,220                 | EPILEPSY FOUNDATION NEW ENGLAND  |
|   |   |  |                     |                           |                                  |
|   |   |  |                     |                           |                                  |
|   |   |  |                     |                           |                                  |
|   |   |  |                     |                           |                                  |
|   |   |  |                     |                           |                                  |

Part II Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
|   |                         |  |                            |   |                                  | Yes  | No |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a)<br>Name, address, and EIN of<br>related organization | (b)<br>Primary<br>activity | (c)<br>Legal<br>domicile<br>(state<br>or<br>foreign<br>country) | (d)<br>Direct<br>controlling<br>entity | (e)<br>Predominant<br>income(related,<br>unrelated,<br>excluded from<br>tax under<br>sections 512-<br>514) | (f)<br>Share of<br>total income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Disproportionate<br>allocations? |    | (i)<br>Code V-UBI<br>amount in box<br>20 of<br>Schedule K-1<br>(Form 1065) | (j)<br>General or<br>managing<br>partner? |    | (k)<br>Percentage<br>ownership |
|--|----------------------------|---|--|--|---------------------------------|--|---|----|--|---|----|--------------------------------|
|  |                            |   |  |  |                                 |  | Yes                                     | No |  | Yes                                       | No |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |
|  |                            |   |  |  |                                 |  |   |    |  |   |    |                                |

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)<br>Name, address, and EIN of<br>related organization | (b)<br>Primary activity | (c)<br>Legal<br>domicile<br>(state or foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Type of entity<br>(C corp, S corp,<br>or trust) | (f)<br>Share of total<br>income | (g)<br>Share of end-of-<br>year<br>assets | (h)<br>Percentage<br>ownership | (i)<br>Section 512(b)<br>(13) controlled<br>entity? |    |
|--|-------------------------|---|-------------------------------------|--|---------------------------------|---|--------------------------------|---|----|
|  |                         |   |                                     |  |                                 |   |                                | Yes   | No |
|  |                         |   |                                     |  |                                 |   |                                |   |    |
|  |                         |   |                                     |  |                                 |   |                                |   |    |
|  |                         |   |                                     |  |                                 |   |                                |   |    |
|  |                         |   |                                     |  |                                 |   |                                |   |    |
|  |                         |   |                                     |  |                                 |   |                                |   |    |
|  |                         |   |                                     |  |                                 |   |                                |   |    |

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii)annuities, (iii) royalties, or(iv) rent from a controlled entity . . . . .

1a

b Gift, grant, or capital contribution to related organization(s) . . . . .

1b

c Gift, grant, or capital contribution from related organization(s) . . . . .

1c

d Loans or loan guarantees to or for related organization(s) . . . . .

1d

e Loans or loan guarantees by related organization(s) . . . . .

1e

f Dividends from related organization(s) . . . . .

1f

g Sale of assets to related organization(s) . . . . .

1g

h Purchase of assets from related organization(s) . . . . .

1h

i Exchange of assets with related organization(s) . . . . .

1i

j Lease of facilities, equipment, or other assets to related organization(s) . . . . .

1j

k Lease of facilities, equipment, or other assets from related organization(s) . . . . .

1k

l Performance of services or membership or fundraising solicitations for related organization(s) . . . . .

1l

m Performance of services or membership or fundraising solicitations by related organization(s) . . . . .

1m

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .

1n

o Sharing of paid employees with related organization(s) . . . . .

1o

p Reimbursement paid to related organization(s) for expenses . . . . .

1p

q Reimbursement paid by related organization(s) for expenses . . . . .

1q

r Other transfer of cash or property to related organization(s) . . . . .

1r

s Other transfer of cash or property from related organization(s) . . . . .

1s

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a)<br>Name of related organization | (b)<br>Transaction<br>type (a-s) | (c)<br>Amount involved | (d)<br>Method of determining amount involved |
|-------------------------------------|----------------------------------|------------------------|--|
|                                     |                                  |                        |  |
|                                     |                                  |                        |  |
|                                     |                                  |                        |  |
|                                     |                                  |                        |  |
|                                     |                                  |                        |  |
|                                     |                                  |                        |  |

Schedule R (Form 990) 2016

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

**Part VII**   **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|