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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at *www IRS gov/form990*

OMB No 1545-0047

2016

Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 07-01-2016 , and ending 06-30-2017

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
PRATT INSTITUTE

% THOMAS NAWABI

Doing business as

Number and street (or P O box if mail is not delivered to street address) | Room/suite
200 WILLOUGHBY AVE

City or town, state or province, country, and ZIP or foreign postal code
BROOKLYN, NY 11205

D Employer identification number
11-1630822

E Telephone number
(718) 636-3600

G Gross receipts $ 333,106,554

F Name and address of principal officer
FRANCES BRONET
200 WILLOUGHBY AVE
BROOKLYN, NY 11205

H(a) Is this a group return for subordinates? ☐ Yes ☑ No
H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website: ▶** WWW PRATT EDU

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1887 **M** State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE MISSION OF PRATT INSTITUTE IS TO EDUCATE ARTISTS AND CREATIVE PROFESSIONALS TO BE RESPONSIBLE CONTRIBUTORS TO SOCIETY FOR MORE INFORMATION, SEE SCHEDULE O

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	33
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	28
5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	4,233
6	Total number of volunteers (estimate if necessary)	6	28
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	124,909
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-112,543

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	11,275,838	10,240,674
	9	Program service revenue (Part VIII, line 2g)	220,147,813	233,386,457
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,059,275	11,333,124
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	3,820,976	3,756,427
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	238,303,902	258,716,682
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	49,913,361	55,356,454
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	116,307,442	125,436,026
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	231,000	59,213
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶2,713,551		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	60,567,047	63,224,635
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	227,018,850	244,076,328
	19	Revenue less expenses Subtract line 18 from line 12	11,285,052	14,640,354

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	609,410,718	645,826,227
	21	Total liabilities (Part X, line 26)	280,146,683	288,121,049
	22	Net assets or fund balances Subtract line 21 from line 20	329,264,035	357,705,178

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2018-05-14
Signature of officer Date

CATHLEEN M KENNY VP FINANCE AND ADMIN
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
devin l duncan	devin l duncan	2018-05-14		P01249521

Firm's name ▶ KPMG LLP | Firm's EIN ▶
Firm's address ▶ 345 Park Avenue New York, NY 101540102 | Phone no (212) 758-9700

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

THE MISSION OF PRATT INSTITUTE IS TO EDUCATE ARTISTS AND CREATIVE PROFESSIONALS TO BE RESPONSIBLE CONTRIBUTORS TO SOCIETY FOR MORE INFORMATION, SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 136,743,964 including grants of $ 55,356,454) (Revenue $ 211,882,185)

See Additional Data

4b (Code) (Expenses $ 25,763,801 including grants of $) (Revenue $)

See Additional Data

4c (Code) (Expenses $ 5,491,911 including grants of $) (Revenue $)

See Additional Data

See Additional Data Table

4d Other program services (Describe in Schedule O)

(Expenses $ 42,663,736 including grants of $) (Revenue $ 25,470,646)

4e **Total program service expenses ▶** 210,663,412

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	Yes	
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services?*If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a*	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	1a	467		
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	4,233		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8		
9a	Did the sponsoring organization make any taxable distributions under section 4966?			9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year — **1a** 33			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent — **1b** 28			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	**2**	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	**5**		No
6	Did the organization have members or stockholders?	**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?	**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**		No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶ NY

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request ☑ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records
▶THOMAS NAWABI 200 WILLOUGHBY AVE BROOKLYN, NY 11205 (718) 636-3523

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☑

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

	(D)	(E)	(F)
1b Sub-Total ▶			
c Total from continuation sheets to Part VII, Section A ▶			
d Total (add lines 1b and 1c) ▶	2,881,015	0	459,960

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 136

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
LEGACY BUILDERS DEVELOPERS, 519 8TH AVENUE SEVENTH FLOOR NEW YORK, NY 10018	CONSTRUCTION	5,082,485
CULINART INC, 175 SUNNYSIDE BOULEVARD PLAINVIEW, NY 11803	FOOD SERVICES	4,368,650
Windsor Construction Enterprises, 5 Lyons Mall Suite 317 BASKING RIDGE, NJ 07920	Construction	2,638,797
SAFE ENVIRONMENT BUSINESS SOLUTIONS, 8 Revolutionary Road OSSINING, NY 10562	Outsourced Security	1,731,050
CANNON DESIGN, DEPARTMENT CHICAGO 19824 PALATINE, IL 600559824	DESIGN SERVICES	1,219,736

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 66

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

Contributions, Gifts, Grants and Other Similar Amounts

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
1a Federated campaigns . .	1a					
b Membership dues . .	1b					
c Fundraising events . .	1c	725,155				
d Related organizations	1d					
e Government grants (contributions)	1e	3,119,091				
f All other contributions, gifts, grants, and similar amounts not included above	1f	6,396,428				
g Noncash contributions included in lines 1a-1f $ 1,231,722						
h **Total.** Add lines 1a-1f . . . . ▶			10,240,674			

Program Service Revenue

	Business Code	(A)	(B)	(C)	(D)
2a TUITION & FEES	900099	211,882,185	211,882,185		
b AUXILIARY ENTERPRISES	451211	19,612,064	19,361,125	250,939	
c RELATED STUDENT ACCOUNT REVENUES	900099	1,892,208	1,892,208		
d					
e					
f All other program service revenue					
g **Total.** Add lines 2a–2f . . . . ▶		233,386,457			

Other Revenue

	(i)	(ii)	(A)	(B)	(C)	(D)
3 Investment income (including dividends, interest, and other similar amounts) . . . . . . ▶			8,798,522		-126,030	8,924,552
4 Income from investment of tax-exempt bond proceeds ▶			211,618			211,618
5 Royalties . . . . ▶			4,792			4,792
	(i) Real	(ii) Personal				
6a Gross rents	594,057					
b Less rental expenses	658,222					
c Rental income or (loss)	-64,165	0				
d Net rental income or (loss) . . . . ▶			-64,165			-64,165
	(i) Securities	(ii) Other				
7a Gross amount from sales of assets other than inventory	75,537,581					
b Less cost or other basis and sales expenses	73,214,597					
c Gain or (loss)	2,322,984					
d Net gain or (loss) . . . . . ▶			2,322,984			2,322,984
8a Gross income from fundraising events (not including $ 725,155 of contributions reported on line 1c) See Part IV, line 18 . . . . a		115,540				
b Less direct expenses . . . b		517,053				
c Net income or (loss) from fundraising events . . ▶			-401,513			-401,513
9a Gross income from gaming activities See Part IV, line 19 . . . a		0				
b Less direct expenses . . . b		0				
c Net income or (loss) from gaming activities . . ▶			0			
10a Gross sales of inventory, less returns and allowances . . a		0				
b Less cost of goods sold . . b		0				
c Net income or (loss) from sales of inventory . . ▶			0			
Miscellaneous Revenue		Business Code				
11a OTHER PROGRAM SERVICE REVENUES		900099	4,217,313	4,217,313		
b						
c						
d All other revenue						
e **Total.** Add lines 11a–11d . . . . ▶			4,217,313			
12 **Total revenue.** See Instructions . . . . . ▶			258,716,682	237,352,831	124,909	10,998,268

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraisingexpenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	0			
2 Grants and other assistance to domestic individuals See Part IV, line 22	55,356,454	55,356,454		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	1,757,631	1,472,871	284,760	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	87,231,079	71,909,498	13,902,723	1,418,858
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	3,277,881	2,707,153	523,391	47,337
9 Other employee benefits	27,068,251	22,182,667	4,288,717	596,867
10 Payroll taxes	6,101,184	5,038,877	974,198	88,109
11 Fees for services (non-employees)				
a Management	0			
b Legal	1,231,486	1,031,969	199,517	
c Accounting	269,044	225,455	43,589	
d Lobbying	66,457		66,457	
e Professional fundraising services See Part IV, line 17	59,213			59,213
f Investment management fees	818,340		818,340	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	7,808,030	6,543,541	1,198,648	65,841
12 Advertising and promotion	3,240,079	2,620,106	506,562	113,411
13 Office expenses	8,632,002	7,173,797	1,386,956	71,249
14 Information technology	1,187,059	931,198	180,035	75,826
15 Royalties . .	0			
16 Occupancy	6,539,717	5,480,196	1,059,521	
17 Travel	1,282,452	1,056,180	204,198	22,074
18 Payments of travel or entertainment expenses for any federal, state, or local public officials .	0			
19 Conferences, conventions, and meetings	1,869,416	1,500,482	290,098	78,836
20 Interest	4,100,772	3,436,392	664,380	
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization . .	13,091,277	10,970,316	2,120,961	
23 Insurance . . .	3,366,068	2,820,720	545,348	0
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a FOOD SERVICE	3,982,942	3,337,652	645,290	
b CAPITAL EXPENSES	1,872,093	1,540,217	297,780	34,096
c BAD DEBTS	1,793,132	1,502,621	290,511	
d STUDY ACTIVITIES	752,385	752,385		
e All other expenses	1,321,884	1,072,665	207,385	41,834
25 **Total functional expenses.** Add lines 1 through 24e	244,076,328	210,663,412	30,699,365	2,713,551
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		9,775,377	1	15,478,443
	2	Savings and temporary cash investments		139,891,600	2	142,032,681
	3	Pledges and grants receivable, net		8,930,927	3	4,773,437
	4	Accounts receivable, net		2,846,190	4	2,084,335
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		1,498,813	9	1,303,537
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 417,211,056			
	b	Less accumulated depreciation	10b 142,353,193	263,155,927	10c	274,857,863
	11	Investments—publicly traded securities		70,647,210	11	104,165,174
	12	Investments—other securities See Part IV, line 11		99,916,752	12	89,182,568
	13	Investments—program-related See Part IV, line 11		12,747,922	13	11,948,189
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		0	15	0
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		609,410,718	16	645,826,227
Liabilities	17	Accounts payable and accrued expenses		25,592,102	17	30,555,646
	18	Grants payable		0	18	0
	19	Deferred revenue		7,907,748	19	9,055,819
	20	Tax-exempt bond liabilities		140,516,490	20	138,696,988
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		11,000,696	24	10,739,534
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		95,129,647	25	99,073,062
	26	**Total liabilities.** Add lines 17 through 25		280,146,683	26	288,121,049
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		250,124,864	27	273,656,469
	28	Temporarily restricted net assets		31,749,298	28	33,776,515
	29	Permanently restricted net assets		47,389,873	29	50,272,194
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		329,264,035	33	357,705,178
	34	Total liabilities and net assets/fund balances		609,410,718	34	645,826,227

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	**1**	258,716,682
2	Total expenses (must equal Part IX, column (A), line 25)	**2**	244,076,328
3	Revenue less expenses Subtract line 2 from line 1	**3**	14,640,354
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	**4**	329,264,035
5	Net unrealized gains (losses) on investments	**5**	13,142,619
6	Donated services and use of facilities	**6**	
7	Investment expenses	**7**	
8	Prior period adjustments	**8**	
9	Other changes in net assets or fund balances (explain in Schedule O)	**9**	658,170
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	**10**	357,705,178

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	**No**
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	**2a**		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	**2b**	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	**2c**	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	**3a**	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	**3b**	Yes	

Additional Data

Software ID:

Software Version:

EIN: 11-1630822

Name: PRATT INSTITUTE

Form 990 (2016)

Form 990, Part III, Line 4a:

INSTRUCTION PRATT INSTITUTE IS A COEDUCATIONAL PRIVATE INSTITUTION CHARTERED AND EMPOWERED TO CONFER ACADEMIC DEGREES BY THE BOARD OF REGENTS OF NYS THE CERTIFICATES AND DEGREES CONFERRED ARE REGISTERED BY THE NYS DEPARTMENT OF EDUCATION PRATT IS ACCREDITED BY THE COMMISSION ON HIGHER EDUCATION OF THE MIDDLE STATES ASSOCIATION OF COLLEGES AND SCHOOLS THE COMMISSION ON HIGHER EDUCATION IS AN INSTITUTIONAL ACCREDITING AGENCY RECOGNIZED BY THE US SECRETARY OF EDUCATION AND THE COMMISSION ON RECOGNITION OF POSTSECONDARY ACCREDITATION (SEE CONTINUATION IN SCHEDULE O)

Form 990, Part III, Line 4b:

ACADEMIC SUPPORT INCLUDES ALL EXPENSES INCURRED IN SUPPORT OF ACADEMIC AND INSTRUCTIONAL PROGRAMS AS WELL AS RESEARCH, LIBRARY AND INFORMATION TECHNOLOGY SERVICES PRATT LIBRARIES PROVIDE OUTSTANDING SERVICES AND ACCESS TO A RESOURCE-RICH ENVIRONMENT THAT FACILITATES CRITICAL THINKING AND CREATIVE TEACHING AND LEARNING IN THE PRATT COMMUNITY PRATT ALSO OFFERS ONGOING SUPPORT AND INITIATIVES THROUGH THE WRITING AND TUTORIAL CENTER, INTENSIVE ENGLISH PROGRAM AND WRITING ACROSS THE CURRICULUM (SEE CONTINUATION IN SCHEDULE O)

Form 990, Part III, Line 4c:

PUBLIC SERVICE IN VIEW OF PRATT'S MISSION TO EDUCATE ARTISTS AND CREATIVE PROFESSIONALS TO BE RESPONSIBLE CONTRIBUTORS TO SOCIETY, PRATT INSTITUTE PLAYS AN ACTIVE ROLE IN COMMUNITY-BASED PLANNING AND RESEARCH PROGRAMS THAT EMPOWER LOCAL RESIDENTS AND BUSINESSES TO DEVELOP ROBUST URBAN CENTERS IN WHICH TO WORK, PLAY AND LIVE (SEE CONTINUATION IN SCHEDULE O)

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code	) (Expenses $	20,946,224	including grants of $		) (Revenue $	6,109,521	)
STUDENT SERVICES							
(Code	) (Expenses $	21,717,512	including grants of $		) (Revenue $	19,361,125	)
AUXILIARY SERVICES							

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
THOMAS F SCHUTTE PRESIDENT AND TRUSTEE	35 0 0 0	X		X				696,289	0	118,434
BRUCE J GITLIN CHAIR OF THE BOARD	1 0 0 0	X		X				0	0	0
MIKE PRATT VICE CHAIR OF THE BOARD	1 0 0 0	X		X				0	0	0
ROBERT H SIEGEL VICE CHAIR OF THE BOARD	1 0 0 0	X		X				0	0	0
DR JOSHUA L SMITH SECRETARY	1 0 0 0	X		X				0	0	0
HOWARD S STEIN TREASURER	1 0 0 0	X		X				0	0	0
KURT ANDERSEN TRUSTEE	1 0 0 0	X						0	0	0
DEBORAH J BUCK TRUSTEE	1 0 0 0	X						0	0	0
AMY CAPPELLAZZO TRUSTEE	1 0 0 0	X						0	0	0
KATHRYN C CHENAULT TRUSTEE	1 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ANNE N EDWARDS TRUSTEE	1 0 0 0	X						0	0	0
SUSAN HAKKARAINEN TRUSTEE	1 0 0 0	X						0	0	0
GARY S HATTEM TRUSTEE	1 0 0 0	X						0	0	0
JUNE KELLY TRUSTEE	1 0 0 0	X						0	0	0
CAROLYN BRANSFORD MACDONALD TRUSTEE	1 0 0 0	X						0	0	0
DAVID S MACK TRUSTEE	1 0 0 0	X						0	0	0
KATHARINE L MCKENNA TRUSTEE	1 0 0 0	X						0	0	0
KELSEY MILLER Recent Graduate Trustee	1 0 0 0	X						0	0	0
DAVID O PRATT TRUSTEE	1 0 0 0	X						0	0	0
RALPH PUCCI TRUSTEE	1 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
STAN RICHARDS TRUSTEE	1 0 0 0	X						0	0	0
CHRISTOPHER D SHYER TRUSTEE	1 0 0 0	X						0	0	0
MARK D STUMER TRUSTEE	1 0 0 0	X						0	0	0
JULIANA C TERIAN TRUSTEE	1 0 0 0	X						0	0	0
ADAM D TIHANY TRUSTEE	1 0 0 0	X						0	0	0
ANNE H VAN INGEN TRUSTEE	1 0 0 0	X						0	0	0
ELLERY WASHINGTON FACULTY TRUSTEE	1 0 0 0	X						92,941	0	41,601
Diana Wege Trustee	1 0 0 0	X						0	0	0
SUSAN G YOUNG FACULTY TRUSTEE	1 0 0 0	X						89,465	0	11,108
MICHAEL ZETLIN TRUSTEE	1 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Tara Ali-Khan Undergraduate Student Trustee	1 0 0 0	X						0	0	0
Mahogany L Browne RECENT GRADUATE TRUSTEE	1 0 0 0	X						6,923	0	0
ADRIANA GREEN GRADUATE STUDENT TRUSTEE	1 0 0 0	X						3,360	0	0
CATHLEEN M KENNY VP FOR FINANCE AND ADMIN	35 0 0 0			X				307,564	0	54,765
KIRK E PILLOW PROVOST	35 0 0 0			X				310,277	0	25,987
JUDITH AARON VP FOR ENROLLMENT	35 0 0 0					X		263,131	0	30,295
ANDREW W BARNES DEAN SCH OF LIB ARTS & SCI	35 0 0 0					X		215,251	0	52,405
ANITA COONEY DEAN SCH OF DESIGN	35 0 0 0					X		220,649	0	47,370
JOSEPH M HEMWAY VP of IT AND CIO	35 0 0 0					X		228,034	0	39,177
Joan B McCormick VP of Instl ADVANCEMENT	35 0 0 0					X		287,973	0	14,665

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Peter Barna PROVOST - END 8/31/15	0 0 0 0						X	159,158	0	24,153

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SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☑ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ))

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170 (b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university

10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

11 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f Enter the number of supported organizations

g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	(iv) No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Cat No 11285F **Schedule A (Form 990 or 990-EZ) 2016**

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")	14,516,432	6,302,309	16,480,234	11,275,838	10,240,674	58,815,487
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 **Total.** Add lines 1 through 3	14,516,432	6,302,309	16,480,234	11,275,838	10,240,674	58,815,487
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						3,919,084
6 **Public support.** Subtract line 5 from line 4						54,896,403

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	14,516,432	6,302,309	16,480,234	11,275,838	10,240,674	58,815,487
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,995,563	1,960,851	2,247,343	2,698,849	9,608,989	18,511,595
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)	3,170,852	14,418,897	4,518,590	4,260,001	4,332,853	30,701,193
11 **Total support.** Add lines 7 through 10						108,028,275

12 Gross receipts from related activities, etc (see instructions) | 12 | 1,046,849,833

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	50 817 %
15 Public support percentage for 2015 Schedule A, Part II, line 14	15	54 251 %

16a **33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

b **33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2016** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2015** Schedule A, Part III, line 17	18	

19a **331/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I If you checked 12a of Part I, complete Sections A and B If you checked 12b of Part I, complete Sections A and C If you checked 12c of Part I, complete Sections A, D, and E If you checked 12d of Part I, complete Sections A and D, and complete Part V)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in **Part VI** how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509 (a)(1) or (2)? *If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2)*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in **Part VI** when and how the organization made the determination*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in **Part VI,** including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in **Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in **Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in **Part VI.***	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in **Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)*	10b		

Part IV **Supporting Organizations** (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s)*	**2**		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**

- **a** ☐ The organization satisfied the Activities Test Complete **line 2** below
- **b** ☐ The organization is the parent of each of its supported organizations Complete **line 3** below
- **c** ☐ The organization supported a governmental entity Describe in **Part VI** how you supported a government entity (see instructions)

			Yes	No
2	Activities Test **Answer (a) and (b) below.**			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities*	**2a**		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement*	**2b**		
3	Parent of Supported Organizations **Answer (a) and (b) below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard*	**3b**		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 **Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d **Total** (add lines 1a, 1b, and 1c)	1d		
e **Discount** claimed for blockage or other factors (explain in detail in Part VI)			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by 035	6		
7 Recoveries of prior-year distributions	7		
8 **Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 **Total annual distributions.** Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2016**	**(iii) Distributable Amount for 2016**
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 $			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 **Excess distributions carryover to 2017.** Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶**Complete if the organization is described below.** ▶**Attach to Form 990 or Form 990-EZ.**
▶**Information about Schedule C (Form 990 or 990-EZ) and its instructions is at** ***www.irs.gov/form990*.**

OMB No 1545-0047

2016

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
PRATT INSTITUTE	11-1630822

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☑ **No**

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2013	**(b)** 2014	**(c)** 2015	**(d)** 2016	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		69,854
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		362
j	Total Add lines 1c through 1i			70,216
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
PART II-B, LINE 1G	LOBBYING ACTIVITY LOBBYING EXPENSES WERE INCURRED TO ENSURE FUNDING AND SUPPORT FROM LEGISLATORS FOR THE INSTITUTE AND PRATT CENTER ADDITIONALLY, A PORTION OF ANNUAL DUES PAID TO NACUBO ARE ATTRIBUTABLE TO LOBBYING AND ARE REPORTED ON LINE 1I ABOVE

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047
2016
Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ____________

4 Number of states where property subject to conservation easement is located ▶ ____________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ____________

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ____________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ 1,060,000

(ii) Assets included in Form 990, Part X ▶ $ 1,060,000

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $ ____________

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☑ Public exhibition
b ☑ Scholarly research
c ☐ Preservation for future generations
d ☑ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☑ No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V **Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	150,985,228	152,178,643	150,738,871	121,301,643	95,529,632
b Contributions	2,758,244	7,707,959	1,464,457	1,211,592	5,957,667
c Net investment earnings, gains, and losses	22,054,389	-5,594,111	2,055,627	29,701,932	21,087,962
d Grants or scholarships	2,715,415	3,307,263	2,080,312	1,476,296	1,273,618
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	173,082,446	150,985,228	152,178,643	150,738,871	121,301,643

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 57 070 %

b Permanent endowment ▶ 27 710 %

c Temporarily restricted endowment ▶ 15 220 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI **Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		21,098,556		21,098,556
b Buildings		351,736,229	123,600,937	228,135,292
c Leasehold improvements				
d Equipment		22,951,808	18,752,256	4,199,552
e Other		21,424,463		21,424,463
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c))* . . ▶				274,857,863

Part VII **Investments—Other Securities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) MUTUAL FUNDS	30,048,379	F
(B) LIMITED PARTNERSHIPS	35,481,978	F
(C) OTHER ALTERNATIVE INVESTMENTS	23,652,211	F
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	89,182,568	

Part VIII **Investments—Program Related.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX **Other Assets.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* ▶	

Part X **Other Liabilities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	0
ACCRUED POST RETIREMENT BEN OBLIG	91,151,043
U S GOVERNMENT GRANTS REFUNDABLE	7,922,019
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	99,073,062

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	212,859,782
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments	2a	13,142,619		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	-59,356,454		
e	Add lines **2a** through **2d**			2e	-46,213,835
3	Subtract line **2e** from line **1**			3	259,073,617
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	818,340		
b	Other (Describe in Part XIII)	4b	-1,175,275		
c	Add lines **4a** and **4b**			4c	-356,935
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	258,716,682

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	184,418,639
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d	-3,482,895		
e	Add lines **2a** through **2d**			2e	-3,482,895
3	Subtract line **2e** from line **1**			3	187,901,534
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	818,340		
b	Other (Describe in Part XIII)	4b	55,356,454		
c	Add lines **4a** and **4b**			4c	56,174,794
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	244,076,328

Part XIII **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 11-1630822
Name: PRATT INSTITUTE

Supplemental Information

Return Reference	Explanation
PART IV, LINE 4	WORKS OF ART The works of art, historical treasures, sculptures or similar assets acquired or accessioned into the Institutes collections or items, are generally available at the Institute for study and inspection by the general public or educational purposes Founded in 1887, Pratt Institute is a global leader in higher education dedicated to preparing its 4,600 undergraduate and graduate students for successful careers in art, design, architecture, information and library science, and liberal arts and sciences The sculptures and other works of art provide a broad intellectual and creative context for students at Pratt Institute As long as the Institute owns the works of art or similar assets, the works of art will be utilized by the Institute for its educational and cultural purposes, including the lending of the items to museums open to general public, and in furtherance of its exempt purposes

Supplemental Information

Return Reference	Explanation
PART V, LINE 4	ENDOWMENT FUND THE EARNINGS FROM ENDOWMENT FUNDS ARE USED TO PROVIDE SCHOLARSHIPS TO STUD ENTS, FUND CAPITAL EXPENDITURES AND SUPPORT GENERAL OPERATING EXPENSES

Supplemental Information

Return Reference	Explanation
PART X, LINE 2	FIN 48 (ASC 740)- INCOME TAXES THE INSTITUTE IS EXEMPT FROM FEDERAL INCOME TAXES UNDER TH E PROVISIONS OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE ACCORDINGLY, THE INSTITUTE IS NOT SUBJECT TO INCOME TAXES EXCEPT TO THE EXTENT IT HAS TAXABLE INCOME FROM ACTIVITIES THAT ARE NOT RELATED TO ITS EXEMPT PURPOSES THE INSTITUTE UTILIZES A THRESHOLD OF MORE L IKELY THAN NOT FOR RECOGNITION AND DERECOGNITION OF TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR FISCAL YEARS 2017 OR 2016

Supplemental Information

Return Reference	Explanation
RECONCILIATION OF REVENUE PER AUDITED FINANCIAL STATEMENTS TO FORM 990	PART XI, LINE 2D SCHOLARSHIPS $ (55,356,454) ADJUSTMENT TO CONTRIBUTIONS RECEIVABLE (4,00 0,000) ------------ Total $(59,356,454) ============== PART XI, LINE 4B RENTAL EXPENSES $ (658,222) FUNDRAISING EVENT EXPENSES (517,053) ------------ Total $(1,175,275) ========= ==== RECONCILIATION OF EXPENSE PER AUDITED FINANCIAL STATEMENTS TO FORM 990

Supplemental Information

Return Reference	Explanation
PART XII, LINE 2D	RENTAL EXPENSES $ 658,222 FUNDRAISING EVENT EXPENSES 517,053 POSTRETIREMENT RELATED CHANGE S OTHER THAN NET PERIODIC POSTRETIREMENT BENEFIT COST (4,658,170) ------------ Total $(3,4 82,895) ============= PART XII, LINE 4B SCHOLARSHIPS $55,356,454 =============

SCHEDULE E (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Schools

▶Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I

			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	3	Yes	
4	Does the organization maintain the following?			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	4d	Yes	
5	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5c		No
d	Scholarships or other financial assistance?	5d		No
e	Educational policies?	5e		No
f	Use of facilities?	5f		No
g	Athletic programs?	5g		No
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II	5h		No
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II	6b		No
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	7	Yes	

Part II **Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable Also provide any other additional information (see instructions)

Return Reference	Explanation
PART 1, LINE 3	RACIALLY NONDISCRIMINATORY POLICY NEWSPAPER ADVERTISEMENTS, WEBSITE, AND CATALOGS
PART I, LINE 6A	GOVERNMENTAL AID OR ASSISTANCE THE INSTITUTE RECEIVES FEDERAL TITLE IV STUDENT FINANCIAL AID GRANTS FROM SEVERAL SOURCES, INCLUDING THE U S DEPARTMENT OF EDUCATION, NYS BUNDY AID, NYS HEOP GRANT, NYS TAP, THE SCHOOL OF INFORMATION AND LIBRARY SCIENCE

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees, agents, and independent contractors in region	**(d)** Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region	**(f)** Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	1	5			13,575,884
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)	1	5			13,575,884

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☑ Yes ☐ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☑ Yes ☐ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☑ Yes ☐ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☐ Yes ☑ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* ☑ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 3, COLUMN (F)	ACCOUNTING METHOD ACCRUAL

Additional Data

Software ID:
Software Version:
EIN: 11-1630822
Name: PRATT INSTITUTE

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	1	5	Program Services	INSTRUCTIONAL	400,174
Central America and the Caribbean			Investments		13,175,710

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a
▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www irs gov/form990.

OMB No 1545-0047
2016
Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I **Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☑ Mail solicitations
b ☑ Internet and email solicitations
c ☑ Phone solicitations
d ☑ In-person solicitations
e ☑ Solicitation of non-government grants
f ☑ Solicitation of government grants
g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☑ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
1 KX Associates Inc PO BOX 231557 NEW YORK, NY 10023	EVENT MANAGEMENT		No	239,730	32,294	207,436
2 Projects Plus Inc FIFTH FLOOR 254 W 29TH ST NEW YORK, NY 10001	EVENT MANAGEMENT		No	45,300	26,919	18,381
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶				285,030	59,213	225,817

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

NY

Part II **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1 PRATT LEGENDS (event type)	(b) Event #2 (event type)	(c) Other events 2 (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	551,250	239,730	49,715	840,695
	2 Less Contributions	484,375	201,970	38,810	725,155
	3 Gross income (line 1 minus line 2)	66,875	37,760	10,905	115,540
Direct Expenses	4 Cash prizes		15,000	4,000	19,000
	5 Noncash prizes				
	6 Rent/facility costs	172,007	67,184	55,661	294,852
	7 Food and beverages	3,456	1,203	3,450	8,109
	8 Entertainment	35,825	10,275	6,649	52,749
	9 Other direct expenses	39,431	49,320	53,592	142,343
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				517,053
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-401,513

Part III **Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities ____________

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain ____________

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain ____________

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity conducted in

a	The organization's facility	**13a**	%
b	An outside facility	**13b**	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000 Part II can be duplicated if additional space is needed

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . ▶

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) STUDENT FINANCIAL AID	3456	55,356,454			
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
PART I, LINE 2	MONITORING THE USE OF GRANTS The OFFICE of Financial Aid processes all student financial aid awards based on guidelines set forth by federal, state and local governments These awards are included in the student financial package and are applied to the students' accounts once all eligibility requirements are met The Office of Financial Aid reconciles all federal funds to the US Department of Education Common Origination and Disbursement system on a monthly basis In addition, the Controller's Office reconciles the award disbursement activities posted to the Institute's General Ledger account to the Students' Accounts receivable ledgers and Financial Aid awards reports The Controller's Office also processes the reimbursement REQUESTS AND RECONCILIATION OF FUNDS RECEIVED, AND MONITORS THE SPENDING LEVEL to ensure that actual disbursements does not exceed the authorized government grant

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Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☑ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**		No
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	**2**		No
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☐ Written employment contract ☑ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," on line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," on line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column(B) reported as deferred on prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
1 THOMAS F SCHUTTE PRESIDENT AND TRUSTEE	**(i)**	683,928	0	12,361	13,250	105,184	814,723	0
	(ii)	0	0	0	0	0	0	0
2 JUDITH AARON VP FOR ENROLLMENT	**(i)**	260,013	0	3,118	14,748	15,547	293,426	0
	(ii)	0	0	0	0	0	0	0
3 CATHLEEN M KENNY VP FOR FINANCE AND ADMIN	**(i)**	306,844	0	720	14,442	40,323	362,329	0
	(ii)	0	0	0	0	0	0	0
4 ANDREW W BARNES DEAN SCH OF LIB ARTS & SCI	**(i)**	214,778	0	473	12,010	40,395	267,656	0
	(ii)	0	0	0	0	0	0	0
5 ANITA COONEY DEAN SCH OF DESIGN	**(i)**	219,847	0	802	11,142	36,228	268,019	0
	(ii)	0	0	0	0	0	0	0
6 JOSEPH M HEMWAY VP of IT AND CIO	**(i)**	227,539	0	495	12,467	26,710	267,211	0
	(ii)	0	0	0	0	0	0	0
7 KIRK E PILLOWPROVOST	**(i)**	309,808	0	469	14,442	11,545	336,264	0
	(ii)	0	0	0	0	0	0	0
8 Joan B McCormick VP of Instl ADVANCEMENT	**(i)**	287,334	0	639	13,530	1,135	302,638	0
	(ii)	0	0	0	0	0	0	0
9 Peter Barna PROVOST - END 8/31/15	**(i)**	157,471	0	1,687	9,056	15,097	183,311	0
	(ii)		0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

Return Reference	Explanation
PART I, LINE 1	Housing Allowance Pratt Institute provides housing to the President, THOMAS F SCHUTTE Housing is a condition of his employment and is provided for the convenience of the Institute, therefore, it is not included in taxable income INSURANCE PREMIUMS PRATT INSTITUTE PAID FOR THE PRESIDENT'S PERSONAL LIFE AND HOME OWNERS INSURANCE PREMIUMS IN FY2016-17 THE PREMIUMS PAID ON THE PRESIDENT'S LIFE AND HOMEOWNER'S POLICIES ARE NOT INCLUDED IN THE NONTAXABLE BENEFITS AND WERE REFLECTED AS TAXABLE INCOME ON HIS 2016 FORM W-2 PART I, LINE 3 COMPENSATION FOR OFFICERS AND KEY EMPLOYEES OF THE INSTITUTE IS DETERMINED WITH THE USE OF COMPARATIVE MARKET DATA AND REVIEWED BY A SUBCOMMITTEE OF THE BOARD

Additional Data

Software ID:
Software Version:
EIN: 11-1630822
Name: PRATT INSTITUTE

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
1THOMAS F SCHUTTE PRESIDENT AND TRUSTEE	(i)	683,928	0	12,361	13,250	105,184	814,723	0
	(ii)	0	0	0	0	0	0	0
1JUDITH AARON VP FOR ENROLLMENT	(i)	260,013	0	3,118	14,748	15,547	293,426	0
	(ii)	0	0	0	0	0	0	0
2CATHLEEN M KENNY VP FOR FINANCE AND ADMIN	(i)	306,844	0	720	14,442	40,323	362,329	0
	(ii)	0	0	0	0	0	0	0
3ANDREW W BARNES DEAN SCH OF LIB ARTS & SCI	(i)	214,778	0	473	12,010	40,395	267,656	0
	(ii)	0	0	0	0	0	0	0
4ANITA COONEY DEAN SCH OF DESIGN	(i)	219,847	0	802	11,142	36,228	268,019	0
	(ii)	0	0	0	0	0	0	0
5JOSEPH M HEMWAY VP of IT AND CIO	(i)	227,539	0	495	12,467	26,710	267,211	0
	(ii)	0	0	0	0	0	0	0
6KIRK E PILLOWPROVOST	(i)	309,808	0	469	14,442	11,545	336,264	0
	(ii)	0	0	0	0	0	0	0
7Joan B McCormick VP of Instl ADVANCEMENT	(i)	287,334	0	639	13,530	1,135	302,638	0
	(ii)	0	0	0	0	0	0	0
8Peter Barna PROVOST - END 8/31/15	(i)	157,471	0	1,687	9,056	15,097	183,311	0
	(ii)	0	0	0	0	0	0	0

Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990.
▶Information about Schedule K (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased Yes	(g) Defeased No	(h) On behalf of issuer Yes	(h) On behalf of issuer No	(i) Pool financing Yes	(i) Pool financing No
A	DASNY - Series 2015A	14-6000293	6499073M2	01-07-2015	82,585,148	Refd 09A&B purch dorm rnvtn std		X		X		X
B	DASNY - SERIES 2016	14-6000293	64990BR70	06-08-2016	61,336,413	Refund 2009C, construction		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	2,430,000	0		
2	Amount of bonds legally defeased	0	0		
3	Total proceeds of issue	82,585,148	61,336,413		
4	Gross proceeds in reserve funds	0	0		
5	Capitalized interest from proceeds	0	0		
6	Proceeds in refunding escrows	0	0		
7	Issuance costs from proceeds	1,040,452	767,914		
8	Credit enhancement from proceeds	0	0		
9	Working capital expenditures from proceeds	0	0		
10	Capital expenditures from proceeds	23,010,739	6,745,531		
11	Other spent proceeds	39,715,696	45,493,499		
12	Other unspent proceeds	18,818,261	8,329,469		
13	Year of substantial completion	2018	2018		

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue?	X			X				
15	Were the bonds issued as part of an advance refunding issue?		X	X					
16	Has the final allocation of proceeds been made?		X		X				
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?		X		X				

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X				

Part III **Private Business Use** (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X				
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %					
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X		X				
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X				
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X				
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X					

Part IV **Arbitrage**

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X				
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?	X		X					
b	Exception to rebate?		X		X				
c	No rebate due?		X		X				
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X				
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b	Name of provider	0		0					
c	Term of hedge								
d	Was the hedge superintegrated?		X		X				
e	Was the hedge terminated?		X		X				

Part IV Arbitrage (Continued)

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b	Name of provider	0		0					
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X				
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X					

Part V Procedures To Undertake Corrective Action

	A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
PART I, COLUMN (F), 2016 BOND ISSUE	DESCRIPTION OF PURPOSE (I) FULLY REDEEM OUTSTANDING SERIES 2009C BONDS, (II) CONSTRUCT AND EQUIP OF A TWO-STORY EXPANSION OF AN ORIGINAL 260-BED RESIDENTIAL FACILITY AND(III) RENOVATE AND EQUIP NINE TOWNHOUSES TO HOUSE APPROXIMATELY 54 STUDENTS

Return Reference	Explanation
PART II, LINES 13 & 17	FINAL ALLOCATION OF PROCEEDS THE FINAL ALLOCATION OF PROCEEDS IS EXPECTED IN 2018, THEREFORE, BOOKS AND RECORDS TO SUPPORT THE FINAL ALLOCATION ARE NOT YET AVAILABLE

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at *www.irs.gov/form990*

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	2	1,060,000	Appraisal
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	11	171,722	FMV
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ( )				
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29	1

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN B	NUMBER OF CONTRIBUTORS THE AMOUNT IN COLUMN (B) REPRESENTS THE NUMBER OF CONTRIBUTORS

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
PRATT INSTITUTE

Employer identification number
11-1630822

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART I AND PART III, LINE 1	ORGANIZATION'S MISSION THE MISSION OF PRATT INSTITUTE IS TO EDUCATE ARTISTS AND CREATIVE PROFESSIONALS TO BE RESPONSIBLE CONTRIBUTORS TO SOCIETY PRATT SEEKS TO INSTILL IN ALL GRADUATES AESTHETIC JUDGMENT, PROFESSIONAL KNOWLEDGE, COLLABORATIVE SKILLS, AND TECHNICAL EXPERTISE WITH A FIRM GROUNDING IN THE LIBERAL ARTS AND SCIENCES, A PRATT EDUCATION BLENDS THEORY WITH CREATIVE APPLICATION IN PREPARING GRADUATES TO BECOME LEADERS IN THEIR PROFESSIONS PRATT ENROLLS A DIVERSE GROUP OF HIGHLY TALENTED AND DEDICATED STUDENTS, CHALLENGING THEM TO ACHIEVE THEIR FULL POTENTIAL

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS LINE 4A INSTRUCTION --------------------- PRATT OFFERS 24 UNDERGRADUATE DEGREE AND 45 GRADUATE DEGREE PROGRAMS IN ART & DESIGN, ARCHITECTURE, LIBERAL ARTS & SCIENCES (UG), INFORMATION & LIBRARY SCIENCE (G) AND CENTER FOR CONTINUING & PROFESSIONAL STUDIES IT OFFERS MORE THAN 20 STUDY ABROAD AND INTERNATIONAL EXCHANGE PROGRAMS IN MORE THAN A DOZEN LOCATIONS INCLUDING ROME, FLORENCE, MILAN, COPENHAGEN, LONDON AND TOKYO IN ADDITION, PRATT PROVIDES STUDENTS WITH OPPORTUNITIES TO ENROLL IN FIVE DUAL DEGREE PROGRAMS IT ALSO OFFERS FIVE PROGRAMS LEADING TO AN ADVANCED CERTIFICATE AWARD ART & DESIGN EDUCATION, ARCHIVES PROGRAMS, LIBRARY & INFORMATION SPECIALIST AND MUSEUM LIBRARIES, MINOR PROGRAMS IN CONSTRUCTION MANAGEMENT, CULTURAL STUDIES AND HISTORY OF ART & DESIGN IT ALSO OFFERS TWO FULL-TIME ENGLISH AS A SECOND LANGUAGE (ESL) PROGRAMS FOR INTERNATIONAL STUDENTS THAT FOCUS ON THE LANGUAGE OF ART, DESIGN AND ARCHITECTURE PRATT INSTITUTE'S OFFICIAL ENROLLMENT FOR THE FY2016-17 WAS 4,528 STUDENTS *UNDERGRADUATE (3,202 STUDENTS) - ART 849, DESIGN 1,419, ARCHITECTURE 669, LIBERAL ARTS & SCIENCES 146 AND ASSOCIATE DEGREE PROGRAMS 119 *GRADUATE (1,287 STUDENTS) - ART 350, DESIGN 370, ARCHITECTURE 355, INFORMATION & LIBRARY SCIENCE 119, LAS 93 *FOUNDATION 30 *PRE-COLLEGE - HIGH SCHOOL PROGRAM 9 PRATT INSTITUTES SCHOOL OF CONTINUING AND PROFESSIONAL STUDIES (SCPS) PROVIDES HIGH-QUALITY CREDIT AND NON-CREDIT COURSES AND PROGRAMS TO THE NONTRADITIONAL STUDENT IN A VARIETY OF SUBJECTS FOR EDUCATIONAL ADVANCEMENT, CAREER CHANGE, AND ENRICHMENT INCLUDED IN ITS PROGRAMS IS THE PRECOLLEGE PROGRAM THAT PROVIDES HIGH SCHOOL STUDENTS WITH A RIGOROUS COLLEGE LEVEL ART, DESIGN AND CREATIVE THINKING COURSES, MODELED AFTER PRATT INSTITUTES UNDERGRADUATE OFFERINGS

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS LINE 4B ACADEMIC SUPPORT -------------------------- SCHOLARSHIPS ARE VITAL TO FOSTERING THE UNIQUE ABILITIES OF THE MORE THAN 4,000 TALENTED YOUNG MEN AND WOMEN ENROLLED AT PRATT, THE MAJORITY OF WHOM WOULD OTHERWISE BE UNABLE TO BENEFIT FROM THE WORLD-CLASS, CUTTING EDGE EDUCATION THAT THE INSTITUTE OFFERS CURRENTLY, OVER 80% OF PRATT STUDENTS RECEIVE SOME FORM OF FINANCIAL AID SCHOLARSHIPS PROVIDE THESE STUDENTS WITH MEANINGFUL SUPPORT THAT ENABLE THEM TO PURSUE THEIR EDUCATION WHILE REDUCING THEIR FINANCIAL BURDENS PRATT INSTITUTES ENDOWED FACULTY DEVELOPMENT FUNDS PROVIDE INCREASED RESOURCES FOR THE COMPETITIVELY AWARDED ANNUAL GRANTS THAT NOT ONLY ADVANCE PRATT FACULTY MEMBERS' RESEARCH AND CREATIVE INTERESTS BUT ALSO STRENGTHEN THE INSTITUTE'S CURRICULUM OVERALL WITH THE SUPPORT OF THESE FUNDS, PRATT FACULTY CAN UNDERTAKE RESEARCH AND PROJECTS TO ADVANCE THE CURRICULUM AND THEIR FIELDS, EXPLORE NEW DIRECTIONS IN TEACHING, AND INSPIRE NEW INTERDISCIPLINARY WORK THAT WILL CONTRIBUTE TO THE LEARNING ENVIRONMENT SPECIFIC ACTIVITIES MIGHT INCLUDE STUDIO PROJECTS, PUBLICATIONS, TRAVEL, RESEARCH, AND CROSS-DISCIPLINARY COURSES AND STUDIES BY FACULTY TEAMS

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS LINE 4C PUBLIC SERVICE ------------------ ----- PRATT'S DEPARTMENT OF ART AND DESIGN EDUCATION OFFERS A VARIETY OF PROGRAMS FOR THE COMMUNITY IT PROVIDES OPPORTUNITIES FOR ARTISTS AND DESIGNERS OF ALL AGES TO RECOGNIZE PR ATT INSTITUTE OFFERS A NUMBER OF PROGRAMS, CENTERS AND INITIATIVES THAT BENEFIT THE PUBLIC AND COMMUNITIES A NUMBER OF THEM ARE LISTED BELOW THE ART EDUCATION PROGRAM PRATT'S DE PARTMENT OF ART AND DESIGN EDUCATION OFFERS A VARIETY OF PROGRAMS FOR THE COMMUNITY IT PR OVIDES OPPORTUNITIES FOR ARTISTS AND DESIGNERS OF ALL AGES TO RECOGNIZE AND DEVELOP THEIR CREATIVE POTENTIAL CLASSES ARE HELD ON PRATT'S CAMPUS WHERE PARTICIPANTS LEARN IN A COLLE GE ENVIRONMENT THESE PROGRAMS PREPARE PRATT STUDENTS STUDYING ART & DESIGN EDUCATION TO W ORK AS TEACHERS AND ARTISTS WHILE SIMULTANEOUSLY BRINGING ART AND DESIGN CLASSES TO THE CO MMUNITY FEATURED PROGRAMS ARE DESIGN INITIATIVE FOR COMMUNITY EMPOWERMENT (DICE), PRATT Y OUNG SCHOLARS, SATURDAY ART SCHOOL, AND SUMMER DESIGN THE SPATIAL ANALYSIS AND VISUALIZAT ION INITIATIVE (SAVI) SAVI AT PRATT INSTITUTE IS A GEOGRAPHIC INFORMATION SYSTEMS (GIS)-C ENTERED INITIATIVE THAT PROVIDES STUDENTS AND FACULTY ACROSS DISCIPLINES ACCESS TO GIS AND VISUALIZATION RESOURCES SAVI IS DEDICATED TO PRATT'S COMMITMENT TO BENEFIT THE GREATER C OMMUNITY AND PROVIDES SERVICES AND GIS TECHNICAL ASSISTANCE TO NEW YORK CITY-BASED NONPROF IT, CIVIC, AND COMMUNITY-BASED PLANNING ORGANIZATIONS, AND OFFERS A GIS AND DESIGN CERTIFI CATE PROGRAM THROUGH THE SCHOOL OF CONTINUING AND PROFESSIONAL STUDIES SAVI MAINTAINS A D ATABASE OF SPATIAL AND INFORMATIONAL DATA SETS, AVAILABLE FOR PUBLIC USE ON MOST NETWORKED COMPUTERS AT THE PRATT BROOKLYN CAMPUS BELOW ARE LISTED ACHIEVEMENTS IN FY16/17 MUSEUM OF THE CITY OF NEW YORK SAVI PERFORMED DATA ANALYSIS AND MAPPING FOR THE MUSEUM OF THE CI TY OF NEW YORKS (MCNY) FIRST PERMANENT EXHIBITION, NEW YORK AT ITS CORE SAVI WORKED WITH MCNY CURATORS FOR SIX MONTHS TO ANALYZE SPATIAL DATA AND DEVELOP STORIES FOR THE FUTURE CI TY LAB, WHICH IS ONE OF THREE GALLERIES IN THE EXHIBITION AND COVERS THE YEARS 2000-2050 THE PROJECT RESULTED IN NEARLY 100 MAPS AROUND FIVE THEMES LIVING TOGETHER, HOUSING A GRO WING CITY, MAKING A LIVING, GETTING AROUND, AND LIVING WITH NATURE NEIGHBORS ALLIED FOR G OOD GROWTH/ TOXICITY MAP NEIGHBORS ALLIED FOR GOOD GROWTH (NAG) ENLISTED SAVI TO DETERMIN E THE EXTENT OF POLLUTION THAT HAS OCCURRED THROUGHOUT THE HISTORY OF GREENPOINT AND WILLI AMSBURG SAVI UNDERWENT AN ARDUOUS PROCESS OF DATA MINING AND ASSESSMENT OF DEP, EPA, AND OTHER AGENCY RECORDS TO LOCATE AREAS OF CONCERN SAVI THEN COMBINED THIS CLEANED AND DISTI LLED DATA, WITH OTHER DEMOGRAPHIC INFORMATION, INTO A SIMPLE STORY TOLD THROUGH A WEBSITE CALLED TOXICITY COLLECTIVE FOR COMMUNITY, CULTURE AND THE ENVIRONMENT - PROSPECT LEFFERTS GARDENS NEIGHBORHOOD ASSOCIATION SAVI CREATED MAPS AND CHARTS OF NEIGHBORHOOD DATA FOR P ROSPECT LEFFERTS GARDENS TO EN

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Return Reference	Explanation
FORM 990, PART III	HANCE THE COLLECTIVE FOR COMMUNITY, CULTURE AND THE ENVIRONMENTS PROJECT WITH THE NEIGHBOR HOOD ASSOCIATION SAVI'S MAPS SPECIFICALLY HIGHLIGHTED ZONING, LAND USE, TRANSPORTATION, B UILDING AND PUBLIC HOUSING INFORMATION AS WELL AS A SERIES TO HIGHLIGHT DEMOGRAPHIC CHANGE OVER TIME THE COLLECTIVE USED THIS INFORMATION TO INFORM THEIR RECOMMENDATIONS FOR THE N EIGHBORHOOD HESTER STREET COLLABORATIVE - TAKECARE NY 2020 IN 2016, HESTER STREET COLLAB ORATIVE REQUESTED SAVIS ASSISTANCE ON TAKE CARE NEW YORK 2020, A HEALTH BLUEPRINT PLAN THE Y WERE CREATING FOR NYC DEPARTMENT OF HEALTH SAVI DESIGNED AND RAN TWO TRAINING SESSIONS FOR EIGHT COMMUNITY-BASED ORGANIZATIONS (CBOS) THAT WERE PARTICIPATING IN THE PLANNING PRO CESS THE GOAL WAS TO TEACH THE CBOS HOW TO FIND DATA, HOW TO MAKE CONNECTIONS BETWEEN DAT ASETS AND, IN SOME CASES, MAP THE DATA FOR THE TOP HEALTH PRIORITY FOR THEIR CATCHMENT ARE A BROOKLYN NAVY YARD/MAPPING WORKER ORIGINS THE BROOKLYN NAVY YARD DEVELOPMENT CORPORATI ON AIMS TO PROMOTE LOCAL ECONOMIC DEVELOPMENT AND JOB CREATION IN AN EFFORT TO BETTER UND ERSTAND THEIR WORKFORCE, BNY CATALOGED EACH EMPLOYEES HOME ADDRESS, WHICH SAVI THEN GEOCOD ED AND MAPPED THE MAPS HIGHLIGHTED THE DENSITY AND DISPERSION OF RESIDENCES FOR BNY EMPLO YEES ACROSS THE CITY AND THE REGION, AND BNY CAN NOW TARGET UNDERREPRESENTED AREAS TO SOUR CE THEIR WORKFORCE IN THE FUTURE PRATT CENTER FOR COMMUNITY DEVELOPMENT ESTABLISHED IN 1 963, THE CENTER WORKS FOR A MORE SUSTAINABLE AND EQUITABLE NEW YORK CITY IN PARTNERSHIP WI TH COMMUNITY-BASED ORGANIZATIONS, SMALL BUSINESSES, AND POLICYMAKERS AS PART OF PRATT INS TITUTE, WE USE URBAN PLANNING, ARCHITECTURE, AND PUBLIC POLICY TO SUPPORT COMMUNITY-BASED ORGANIZATIONS IN THEIR EFFORTS TO IMPROVE QUALITY OF LIFE, CREATE ECONOMIC OPPORTUNITY, AN D ADVANCE SUSTAINABLE DEVELOPMENT OUR WORK IS GROUNDED IN FOUR CORE VALUES EQUITY, SUSTA INABILITY, LOCAL KNOWLEDGE, AND DIVERSE ECONOMY Equitable innovation Partnership Partner ed with Myrtle Avenue Revitalization Partnership and Pratt Institutes K-12 center to devel op programmatic pipelines between local high school students and opportunities in the crea tive economy, as part of one pilot program, placed 20 of students in internships with 15 c reative and/or advanced manufacturing companies Made in NYC Received $750,000 in funding from the New York City Council to support approximately 350 manufacturers in New York Cit y with technical assistance workshops, networking events, and campaigns to raise visibilit y Equitable Innovation Economies Partnered with the Urban Manufacturing Alliance and Pol icy Link to continue strategic support of four cities across the US with equity based econ omic development programming Rezoning Provided technical assistance to communities in Ea st New York, Gowanus, Bushwick, Jerome Avenue, East Harlem, Bay Street, Inwood, Long Islan d City and others to help shape neighborhood-wide rezoning plans Informal Dwelling Units Successfully lobbied for a pil

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	ot project to explore pathways to legalization for informal basement units and joined a Ma yoral Task force to guide a pilot to address these issues in East New York Neighborhood D ata Portal Create the Neighborhood Data Portal, a free online mapping tool for neighborho od-based groups and other individuals without access to professional data visualization pl atforms, and started development of community data trainings to accompany the data portal in building greater data understanding and expertise THE BROOKLYN FASHION AND DESIGN ACCE LERATOR LAUNCHED IN 2013, THE BROOKLYN FASHION AND DESIGN ACCELERATOR (BF+DA) IS A VIBRAN T HUB WHERE DESIGNERS CAN BUILD SUCCESSFUL BUSINESSES THAT INTEGRATE THE ENVIRONMENT AND S OCIETY INTO THEIR BOTTOM LINE AND EXPLORE THE RELATIONSHIP OF TECHNOLOGY, PRODUCT, AND FAS HION THE ACCELERATOR PROVIDES SPACE, MENTORSHIP, AND ACCESS TO A NETWORK OF EXPERTS THAT RANGE FROM DESIGN, PRODUCTION, FUTURE TECHNOLOGIES, BUSINESS DEVELOPMENT, AND ENVIRONMENTA L AND SOCIAL IMPACT THE BF+DA WELCOMES CREATIVE ENTREPRENEURS FROM THROUGHOUT NEW YORK CI TY THE BF+DA PROVIDES TRADITIONAL APPAREL PRODUCTION FOR RUNS FROM ONE TO ONE HUNDRED UNI TS ITS DIGITAL FABRICATION LAB INCLUDES LASER CUTTERS AND 3-D PRINTERS AND A LAB FOR TEXT ILE INNOVATION THAT INCLUDES A TEXTILE PRINTER, KNITTING MACHINES, AND AN EXTENSIVE SAMPLE S LIBRARY THE ACCELERATOR OFFERS SHOWROOM SPACE, RETAIL SPACE, CONFERENCE ROOMS, AND 30 O NSITE STUDIO SPACES EQUIPPED FOR FASHION, ACCESSORY, PRODUCT, AND MEDIA DESIGNERS BF+DA V ENTURE FELLOWS, RESEARCH FELLOWS, AND VIRTUAL FELLOWS GET ONSITE SUPPORT TO GROW THEIR FAS HION, ACCESSORY, AND PRODUCT DESIGN COMPANIES INTO VIABLE ENTERPRISES WITHIN A COMMUNITY O F LIKE-MINDED ENTREPRENEURS MENTORS WORK ONE-ON-ONE WITH CLIENT COMPANIES CONNECTING THEM TO A NETWORK OF INDUSTRY EXPERTS, LEGAL ADVISORS, INVESTORS, AND STRATEGIC ADVISORS THAT UNDERSTAND ZERO WASTE MANUFACTURING, SUPPLY CHAINS, LOCAL RESOURCING, AND LIFE-CYCLES ASSE SSMENT

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS LINE 4D OTHER PROGRAM SERVICES ----------- -- STUDENT SERVICES INCLUDES EX PENSES INCURRED FOR STUDENTS' ACTIVITIES AND EVENTS BY THE FOLLOWING STUDENT SUPPORT SERVI CES DEPARTMENTS ADMISSIONS, REGISTRAR, BURSAR, FINANCIAL AID, ATHLETICS, INTERNATIONAL AF FAIRS, HEALTH & COUNSELING, CAREER SERVICES AND OTHER STUDENT SERVICES DEPARTMENTS THE ST UDENT AFFAIRS DIVISION PROVIDES PROGRAMS AND SERVICES THAT PROMOTE STUDENT PERSONAL AND PR OFESSIONAL DEVELOPMENT, FOSTERS A CAMPUS ENVIRONMENT THAT IS CONDUCIVE TO STUDENT LEARNING AND ADVOCATES FOR AND SUPPORTS STUDENTS AS THEY MEET THE CHALLENGES OF THE PRATT EXPERIEN CES THE OFFICE OF INTERNATIONAL AFFAIRS PROVIDES SERVICES TO ABOUT 1,335 INTERNATIONAL ST UDENTS FROM OVER 75 COUNTRIES AS WELL AS J1 EXCHANGE VISITORS, EXCHANGE STUDENTS, PROFESSO RS AND SCHOLARS THIS OFFICE IS RESPONSIBLE TO ENSURE PRATT'S COMPLIANCE WITH THE DEPARTME NT OF HOMELAND SECURITY AND DEPARTMENT OF STATE INSTITUTIONAL SUPPORT INCLUDES EXPENDITU RES PRIMARILY INCURRED BY THE SECURITY OFFICE, STRATEGIC PLANNING, OFFICE OF INSTITUTIONAL RESEARCH INFORMATION TECHNOLOGY, ACADEMIC MARKETING, SUSTAINABILITY AND OTHER PROGRAM SER VICES RELATED GENERAL INSTITUTE EXPENSES TREMENDOUS PROGRESS WITH THE IMPLEMENTATION OF T HE APPROVED STRATEGIC PLAN FOR 2012-17 HAS BEEN ACHIEVED AND MOST GOALS WERE BEING IMPLEME NTED AS SCHEDULED INSTITUTIONAL RESEARCH HAS SUBMITTED ALL FEDERALLY AND STATE REQUIRED E NROLLMENT & FINANCIAL SURVEYS, LAUNCHED THE ONLINE PRATT FACT BOOK IN MAY AND LAUNCHED THR EE SURVEYS EMPLOYEE SATISFACTION WITH PRATT RETIREMENT BENEFITS, STUDENT SATISFACTION WIT H FINANCIAL AID AND BURSAR SERVICES AND FACULTY PREFERENCES FOR TRAINING NEEDS IN COMPUTER ASSISTED INSTRUCTION AT PRATT'S SUMMER TECHNOLOGY INSTITUTE PROVIDED BY THE EDUCATIONAL T ECHNOLOGY DEPARTMENT OPERATION AND MAINTENANCE OF PLANT INCLUDES EXPENSES FOR THE MAINTE NANCE AND UPKEEP OF BUILDINGS, MANAGEMENT AND SUPPORT OF THE OPERATIONS OF PHYSICAL PLANT FACILITIES, UTILITIES, CLEANING AND OTHER RELATED FUNCTIONS, CAPITAL RENOVATION PROJECTS, ETC AUXILIARY ENTERPRISES THE RESIDENTIAL LIFE AND STUDENT HOUSING EXPENDITURES ARE REPO RTED UNDER AUXILIARY ENTERPRISES ITS MISSION IS TO EFFICIENTLY AND EFFECTIVELY ADMINISTER A HOUSING PROGRAM IN A LEARNING CENTERED ENVIRONMENT THAT CHALLENGES AND SUPPORTS STUDENT S TO 1) ENHANCE SELF-UNDERSTANDING 2) VALUE COMMUNITY RESPONSIBILITY AND 3) LEARN FROM THE IR EXPERIENCES THE POLICIES, PROCEDURES AND PROGRAMS ESTABLISHED AND ENCOURAGED BY RESIDE NTIAL LIFE ARE THOSE WHICH ENHANCE STUDENT LEARNING AND INVOLVEMENT OUTSIDE THE CLASSROOM THE INSTITUTE MANAGES AND MAINTAINS NUMEROUS DORMITORIES ON AND OFF CAMPUS AND TOWNHOUSES THAT PROVIDE HOUSING FOR PRATT STUDENTS IN FY16/17 CONTINUE RENOVATION TOWNHOUSES AND ST ARTED THE DESIGN STAGE OF THE NEW EMERSON DORMITORY INCLUDED ALSO IN THE AUXILIARY ENTERP RISE IS THE MANAGEMENT OF THE

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III	STUDENT MEAL PLAN PROGRAM, AND ACTIVITY RESOURCE CENTER ACTIVITIES WHICH ARE PRIMARILY MAI NTAINED FOR THE USE AND CONVENIENCE OF STUDENTS, FACULTY AND STAFF

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, LINE 2	FAMILY RELATIONSHIP MIKE PRATT, David O Pratt, CAROLYN BRANSFORD MACDONALD AND ANNE H VAN INGEN HAVE A FAMILY RELATIONSHIP

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, LINE 11	REVIEW OF 990 THE RETURN IS PREPARED BY AN INDEPENDENT FIRM BASED ON INFORMATION PROVIDED BY THE ORGANIZATION AND IN CONSULTATION WITH THE ORGANIZATION'S STAFF THE DRAFT PREPARED BY THE ACCOUNTING FIRM IS THEN SUBMITTED TO THE CONTROLLER, VP OF FINANCE, THE FINANCE AND AUDIT COMMITTEE FOR THEIR REVIEW, AND THEN TO THE FULL BOARD OF DIRECTORS BEFORE FILING THE COMPLETED FORM 990 WITH THE IRS

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, LINE 12	CONFLICT OF INTEREST POLICY THE ORGANIZATION HAS A WRITTEN CONFLICT OF INTEREST POLICY COVERING ALL TRUSTEES, OFFICERS, AND EMPLOYEES THAT PROVIDES THAT NO INDIVIDUAL MAY PARTICIPATE IN DISCUSSION OR DECISION ON ANY MATTER IN WHICH HE OR SHE HAS A MATERIAL FINANCIAL INTEREST ALL TRUSTEES, OFFICERS AND INDIVIDUALS WHO HAVE PURCHASING AUTHORITY ARE REQUIRED TO CERTIFY COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY ON AN ANNUAL BASIS

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, LINE 15	PROCESS OF DETERMINING COMPENSATION COMPENSATION FOR THE PRESIDENT, OFFICERS AND KEY EMPLOYEES IS DETERMINED BY A PROCESS THAT INCLUDES THE USE OF EXTERNAL COMPARABILITY DATA, REVIEW AND APPROVAL BY THE BOARD AND EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES, AND CONTEMPORANEOUS RECORDKEEPING OF THEIR DELIBERATIONS AND DECISIONS THE PRESIDENT'S COMPENSATION IS REVIEWED AND APPROVED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES BASED UPON THE REVIEW, PRESIDENT'S COMPENSATION IS THEN DETERMINED AND APPROVED BY THE BOARD

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, LINE 19	DISCLOSURE THE ORGANIZATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS ARE AVAILABLE TO THE PUBLIC UPON REQUEST FROM THE OFFICE OF THE VP OF FINANCE OR THE CONTROLLER'S OFFICE IN ADDITION, THE FORM 990 IS AVAILABLE AT GUIDESTAR ORG

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9	OTHER CHANGES IN NET ASSETS OR FUND BALANCE ADJUSTMENT TO CONTRIBUTIONS RECEIVABLE (4,000,000) POSTRETIREMENT RELATED CHANGES 4,658,170 ------------ TOTAL 658,170 ============