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Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2016

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public
Information about Form 990 and its instructions is at [www.irs.gov/form990](#)

Department of the Treasury
Internal Revenue Service

A For the 2016 calendar year, or tax year beginning 07-01-2016 , and ending 06-30-2017

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final

☐ Return/terminated

☐ Amended return

☐ Application pending

C Name of organization

YALE UNIVERSITY

Doing business as

Number and street (or P O box if mail is not delivered to street address)

PO BOX 208239

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NEW HAVEN, CT 065208239

F Name and address of principal officer

PETER SALOVEY

PO BOX 208229

NEW HAVEN, CT 065208229

H(a) Is this a group return for subordinates?

☐ Yes ☒ No

H(b) Are all subordinates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶

D Employer identification number

06-0646973

E Telephone number

(203) 432-5530

G Gross receipts \$ 9,590,272,884

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.YALE.EDU

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1701

M State of legal domicile CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities

TO IMPROVE THE WORLD THROUGH RESEARCH, SCHOLARSHIP, EDUCATION, PRESERVATION, AND PRACTICE

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)

3

19

4 Number of independent voting members of the governing body (Part VI, line 1b)

4

16

5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)

5

28,878

6 Total number of volunteers (estimate if necessary)

6

7,900

7a Total unrelated business revenue from Part VIII, column (C), line 12

7a

14,933,287

7b Net unrelated business taxable income from Form 990-T, line 34

7b

-14,766,906

Revenue

8 Contributions and grants (Part VIII, line 1h)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

Prior Year

Current Year

8

963,188,552

1,080,694,163

9

1,713,524,959

1,820,888,362

10

2,066,923,157

1,715,889,875

11

64,361,062

60,858,413

12

4,807,997,730

4,678,330,813

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

16b Total fundraising expenses (Part IX, column (D), line 25) ▶48,730,767

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)

19 Revenue less expenses Subtract line 18 from line 12

Prior Year

Current Year

13

436,769,273

446,194,189

14

0

0

15

2,150,120,155

2,246,191,009

16a

0

0

17

1,094,719,066

1,211,513,422

18

3,681,608,494

3,903,898,620

19

1,126,389,236

774,432,193

Net Assets or Fund Balances

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances Subtract line 21 from line 20

Beginning of Current Year

End of Year

20

34,103,002,387

36,620,475,385

21

8,312,039,229

8,327,225,538

22

25,790,963,158

28,293,249,847

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2018-05-14

Date

STEPHEN C MURPHY VP FOR FINANCE AND CFO

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2016)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code) (Expenses \$ 1,750,729,274 including grants of \$ 3,905,729) (Revenue \$ 1,541,828,680)
See Additional Data	

4b	(Code) (Expenses \$ 564,774,000 including grants of \$ 60,511,885) (Revenue \$ 92,812,053)
See Additional Data	

4c	(Code) (Expenses \$ 693,603,919 including grants of \$ 377,890,785) (Revenue \$ 81,442,563)
See Additional Data	

(Code) (Expenses \$ 444,239,225 including grants of \$ 3,885,790) (Revenue \$ 120,089,815)

4d Other program services (Describe in Schedule O)
(Expenses \$ 444,239,225 including grants of \$ 3,885,790) (Revenue \$ 120,089,815)

4e Total program service expenses ▶ 3,453,346,418

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	No
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	No
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	No
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	No
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	No
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	Yes
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	No
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	27,798
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	28,878
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes
b	CA, CJ, CH, HK, IN, EI, JE, LU, MP, MJ, NL, PL, SN, SZ, UK, IM, ET, IC If "Yes," enter the name of the foreign country ► See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	Yes
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	1
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	Yes
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	No
9a	Did the sponsoring organization make any taxable distributions under section 4966?	9a	No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	No
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI. ☒**Section A. Governing Body and Management**

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	Yes	
b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13.	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official.	Yes	
b	Other officers or key employees of the organization.	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	Yes	
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	Yes	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed: ▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20	State the name, address, and telephone number of the person who possesses the organization's books and records. ▶STEPHEN C MURPHY VP FOR FINANCE A 25 SCIENCE PARK 150 MUNSON STREET NEW HAVEN, CT 06511 (203) 436-4488

Check if Schedule O contains a response or note to any line in this Part VII ☒

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								22,313,276	0	2,364,075

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 3,746

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
DIMEO CONSTRUCTION COMPANY 75 CHAPMAN STREET PROVIDENCE, RI 02905	CONSTRUCTION & RELATED SERVICES	192,767,564
SHAWMUT WOODWORKING & SUPPLY INC 560 HARRISON AVENUE BOSTON, MA 02118	CONSTRUCTION & RELATED SERVICES	63,968,899
CONSIGLI CONSTRUCTION CO INC 72 SUMNER STREET MILFORD, MA 01757	CONSTRUCTION & RELATED SERVICES	27,070,955
HILLHOUSE CAPITAL ADVISORS LTD 20 GENESIS CLOSE GEORGE TOWN CJ	INVESTMENT MANAGEMENT	26,631,796
THE WHITING-TURNER CONTRACTING COMPANY 300 EAST JOPPA ROAD BALTIMORE, MD 21286	CONSTRUCTION & RELATED SERVICES	21,544,085

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 448

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a	81,884			
	b Membership dues . . .	1b				
	c Fundraising events . . .	1c	341,560			
	d Related organizations	1d	299,843			
	e Government grants (contributions)	1e	496,472,571			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	583,498,305			
	g Noncash contributions included in lines 1a-1f \$ _____		184,107,912			
	h Total. Add lines 1a-1f		1,080,694,163			
Program Service Revenue		Business Code				
	2a MEDICAL SERVICE INCOME	541900	904,944,961	902,999,337	1,945,624	
	b STUDENT INCOME	611310	628,429,549	628,429,549		
	c CONTRACT INCOME-GOV'T	541700	108,285,283	108,285,283		
	d CONTRACT INC-NON-GOV'T	541700	76,369,127	76,369,127		
	e PUBLICATIONS INCOME	511130	19,427,442	19,349,840	77,602	
	f All other program service revenue		83,432,000	81,554,509	1,877,491	
	g Total. Add lines 2a-2f		1,820,888,362			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		397,069,017		-45,682,115	442,751,132
	4 Income from investment of tax-exempt bond proceeds		53,201			53,201
	5 Royalties		9,922,634			9,922,634
	6a Gross rents	(i) Real (ii) Personal				
		17,310,237				
	b Less rental expenses	13,766,520				
	c Rental income or (loss)	3,543,717				
	d Net rental income or (loss)		3,543,717			3,543,717
	7a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
		4,339,573,321 1,877,121,307				
	b Less cost or other basis and sales expenses	4,168,317,670 729,609,301				
	c Gain or (loss)	171,255,651 1,147,512,006				
	d Net gain or (loss)		1,318,767,657		54,815,176	1,263,952,481
	8a Gross income from fundraising events (not including \$ 341,560 of contributions reported on line 1c) See Part IV, line 18	a	162,392			
	b Less direct expenses	b	244,864			
	c Net income or (loss) from fundraising events		-82,472			-82,472
	9a Gross income from gaming activities See Part IV, line 19	a	14,018			
	b Less direct expenses	b	3,716			
	c Net income or (loss) from gaming activities		10,302			10,302
	10a Gross sales of inventory, less returns and allowances	a				
b Less cost of goods sold	b					
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue	Business Code					
11a EDUCATIONAL SUPPORT	611710	19,185,466	19,185,466			
b PARKING	812930	14,089,490		1,497,542	12,591,948	
c UTILITIES	221000	10,923,028			10,923,028	
d All other revenue		3,266,248		401,967	2,864,281	
e Total. Add lines 11a-11d		47,464,232				
12 Total revenue. See Instructions		4,678,330,813	1,836,173,111	14,933,287	1,746,530,252	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	53,374,182	53,374,182		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.	375,182,512	375,182,512		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	17,637,495	17,637,495		
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	16,774,304	1,445,239	14,737,551	591,514
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	15,076,588	12,548,842	2,278,480	249,266
7 Other salaries and wages.	1,644,376,733	1,516,144,458	104,671,799	23,560,476
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	189,989,903	174,421,688	12,528,584	3,039,631
9 Other employee benefits.	273,722,897	232,519,114	36,623,473	4,580,310
10 Payroll taxes.	106,250,584	97,082,825	7,452,420	1,715,339
11 Fees for services (non-employees):				
a Management.				
b Legal.	4,752,423	1,195,749	3,556,674	
c Accounting.	2,503,879	85,267	2,418,612	
d Lobbying.	100,198		100,198	
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees.	124,538,928		124,538,928	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	123,944,117	100,151,612	22,217,593	1,574,912
12 Advertising and promotion.	3,609,354	3,322,875	261,277	25,202
13 Office expenses.	25,945,196	14,746,661	10,071,565	1,126,970
14 Information technology.	53,538,463	38,637,031	14,325,306	576,126
15 Royalties.	16,124	16,124		
16 Occupancy.	80,373,310	77,485,320	1,381,429	1,506,561
17 Travel.	55,644,242	52,131,331	2,319,819	1,193,092
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.	12,474	12,474		
19 Conferences, conventions, and meetings.	5,853,688	5,533,170	271,330	49,188
20 Interest.	57,782,942	56,458,452	1,068,176	256,314
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	263,929,406	243,397,035	16,558,970	3,973,401
23 Insurance.	29,031,647	26,941,368	2,090,279	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a SUPPLIES-MED & RESEARCH	78,274,065	77,554,850	685,360	33,855
b BOOKS, PERIODICALS, ETC	54,005,204	53,818,891	69,721	116,592
c SUPPLIES-OTHER	42,153,281	39,079,661	2,878,485	195,135
d SUBSIDIES AND COMMUNITY	17,756,367	11,748,403	5,830,464	177,500
e All other expenses	187,748,114	170,673,789	12,884,942	4,189,383
25 Total functional expenses. Add lines 1 through 24e.	3,903,898,620	3,453,346,418	401,821,435	48,730,767
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	11,211,079	1	14,198,690
	2	Savings and temporary cash investments	177,345,169	2	361,980,588
	3	Pledges and grants receivable, net	637,707,592	3	677,413,458
	4	Accounts receivable, net	153,974,575	4	145,705,401
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.	80,847	5	71,766
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.	0	6	0
	7	Notes and loans receivable, net	148,558,027	7	143,334,884
	8	Inventories for sale or use	13,761,268	8	12,523,617
	9	Prepaid expenses and deferred charges	21,350,456	9	18,526,941
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	7,580,367,006		
	b	Less: accumulated depreciation	2,681,602,132		
			4,706,850,112	10c	4,898,764,874
	11	Investments—publicly traded securities	4,747,807,531	11	4,774,371,499
	12	Investments—other securities. See Part IV, line 11	23,064,622,983	12	25,154,632,525
	13	Investments—program-related. See Part IV, line 11	37,638,709	13	37,034,244
	14	Intangible assets	0	14	0
15	Other assets. See Part IV, line 11	382,094,039	15	381,916,898	
16	Total assets. Add lines 1 through 15 (must equal line 34)	34,103,002,387	16	36,620,475,385	
Liabilities	17	Accounts payable and accrued expenses	395,545,476	17	388,724,871
	18	Grants payable	6,600,625	18	4,977,853
	19	Deferred revenue	120,628,128	19	134,070,957
	20	Tax-exempt bond liabilities	2,877,350,520	20	2,864,350,410
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.	2,723,219	21	2,515,150
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23	Secured mortgages and notes payable to unrelated third parties	0	23	0
	24	Unsecured notes and loans payable to unrelated third parties	557,797,088	24	557,352,522
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.	4,351,394,173	25	4,375,233,775
	26	Total liabilities. Add lines 17 through 25	8,312,039,229	26	8,327,225,538
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	3,446,697,446	27	4,328,202,374
	28	Temporarily restricted net assets	18,685,880,925	28	20,070,457,889
	29	Permanently restricted net assets	3,658,384,787	29	3,894,589,584
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	25,790,963,158	33	28,293,249,847
34	Total liabilities and net assets/fund balances	34,103,002,387	34	36,620,475,385	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,678,330,813
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,903,898,620
3	Revenue less expenses Subtract line 2 from line 1	3	774,432,193
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	25,790,963,158
5	Net unrealized gains (losses) on investments	5	1,480,999,236
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	246,855,260
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	28,293,249,847

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990 (2016)

Form 990, Part III, Line 4a:

INSTRUCTION THE PROGRAM SERVICE COSTS INCLUDE SALARIES, FRINGE BENEFITS AND SUPPLIES OF TEACHING APPROXIMATELY 12,500 STUDENTS INCLUDING 5,446 UNDERGRADUATES, 2,890 GRADUATE STUDENTS AND 4,096 PROFESSIONAL SCHOOL STUDENTS A FACULTY OF MORE THAN 4,400 TEACH AND ADMINISTER PROGRAMS ACROSS A RANGE OF DISCIPLINES IN THE SCIENCES AND ENGINEERING, THE SOCIAL SCIENCES, THE HUMANITIES, AND THE VARIOUS LEARNED PROFESSIONS MORE THAN 80 MAJORS ARE AVAILABLE IN THE UNDERGRADUATE COLLEGE AND MORE THAN 35 DIFFERENT DEGREE PROGRAMS ARE OFFERED BY THE UNIVERSITY - CONTINUED ON SCHEDULE O

Form 990, Part III, Line 4b:

RESEARCH THE UNIVERSITY ENGAGES IN EXTENSIVE RESEARCH IN MEDICINE, SCIENCE, ENGINEERING, THE ARTS, SOCIAL SCIENCES, AND HUMANITIES YALE IS RENOWNED FOR BOTH DISCOVERY AND TEACHING, THE UNIVERSITY'S RESEARCH ACTIVITIES ARE AIMED AT THE ADVANCEMENT OF KNOWLEDGE AS WELL AS TRAINING STUDENTS IN THE RESEARCH PROCESS AS INTEGRAL PARTS OF A UNIFIED, INNOVATIVE, AND ACCESSIBLE STUDENT-CENTERED RESEARCH UNIVERSITY, YALE'S LABS AND CLASSROOMS ARE TURNING OUT GROUNDBREAKING MEDICAL AND SCIENTIFIC DISCOVERIES AND THE NEXT GENERATION OF INNOVATORS IN MORE THAN 20 AREAS OF RESEARCH EXCELLENCE - CONTINUED ON SCHEDULE O

Form 990, Part III, Line 4c:

STUDENT FINANCIAL AID AND SERVICES YALE COLLEGE ADMITS STUDENTS FOR THEIR ACADEMIC AND PERSONAL PROMISE WITHOUT REGARD TO THEIR ABILITY TO PAY YALE IS COMMITTED TO A NEED-BLIND ADMISSIONS POLICY AND MEETS 100% OF DEMONSTRATED NEED FOR ALL STUDENTS REGARDLESS OF CITIZENSHIP BY COMMITTING TO AN ADMISSIONS POLICY THAT DOES NOT CONSIDER A STUDENT'S ABILITY TO PAY, AND BY MEETING THE FULL FINANCIAL NEED OF ALL ADMITTED STUDENTS (WITH NO LOANS REQUIRED), YALE ENSURES THAT IT IS ACCESSIBLE TO THE MOST TALENTED STUDENTS FROM AROUND THE WORLD, REGARDLESS OF THEIR FAMILY'S INCOME WITH THIS POLICY, YALE STRIVES TO CREATE A LEARNING ENVIRONMENT THAT INCORPORATES THE WIDEST POSSIBLE RANGE OF STUDENT BACKGROUNDS - CONTINUED ON SCHEDULE O

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PETER SALOVEY TRUSTEE & PRESIDENT	50 00 2 20	X		X				1,233,462	0	245,646
JOSHUA BEKENSTEIN TRUSTEE	5 00 0 00	X						0	0	0
JEFFREY L BEWKES TRUSTEE	5 00 0 00	X						0	0	0
MAUREEN C CHIQUET TRUSTEE	5 00 0 00	X						0	0	0
PETER B DERVAN TRUSTEE	5 00 0 00	X						0	0	0
DONNA L DUBINSKY TRUSTEE	5 00 0 00	X						0	0	0
CHARLES W GOODYEAR IV TRUSTEE	5 00 0 00	X						0	0	0
CATHARINE B HILL TRUSTEE	5 00 0 00	X						0	0	0
PAUL L JOSKOW TRUSTEE	5 00 0 00	X						0	0	0
WILLIAM E KENNARD TRUSTEE	5 00 0 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DANNEL P MALLOY TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
GINA M RAIMONDO TRUSTEE	5 00 0 00	X						0	0	0
JOHN RICE JR TRUSTEE	5 00 0 00	X						0	0	0
EVE H RICE TRUSTEE	5 00 0 50	X						0	0	0
KEVIN P RYAN TRUSTEE	5 00 0 00	X						0	0	0
ANNETTE THOMAS TRUSTEE	5 00 0 00	X						0	0	0
DOUGLAS A WARNER III TRUSTEE	5 00 0 00	X						0	0	0
NANCY WYMAN TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
LEI ZHANG TRUSTEE	5 00 0 00	X						0	0	0
BRUCE D ALEXANDER VP FOR NEW HAVEN & STATE AFFAIRS	50 00 0 00			X				586,121	0	31,473

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JACK F CALLAHAN JR SR VP FOR OPERATIONS	50 00 0 00			X				302,504	0	24,998
ALEXANDER E DREIER SR VP FOR INSTITUTIONAL AFFAIRS	50 00 0 00			X				594,745	0	56,741
KIMBERLY M GOFF-CREWS SECRETARY & VP FOR STUDENT LIFE	50 00 1 00			X				408,756	0	32,357
STEPHEN C MURPHY VP FOR FINANCE & CFO	50 00 3 20			X				420,559	0	56,235
EILEEN O'CONNOR VP FOR COMMUNICATIONS	50 00 0 50			X				343,341	0	63,026
JOAN E O'NEILL VP FOR ALUMNI AFFAIRS & DEVELOPMENT	50 00 0 40			X				507,874	0	51,708
MICHAEL A PEEL VP FOR HR & ADMINISTRATION	50 00 0 00			X				615,584	0	46,966
BENJAMIN POLAK PROVOST	50 00 0 20			X				624,957	0	17,065
SCOTT A STROBEL VP FOR WEST CAMPUS PLANNING	50 00 0 00			X				470,098	0	43,314
ROBERT J ALPERN DEAN, SCHOOL OF MEDICINE	50 00 0 00				X			910,018	0	19,504

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN H BOLLIER VP FOR FACILITIES	50 00 0 00				X			400,263	0	47,323
DAVID F SWENSEN CHIEF INVESTMENT OFFICER	50 00 3 60				X			4,402,639	0	280,743
DEAN J TAKAHASHI SR DIRECTOR, INVESTMENTS	50 00 2 00				X			3,269,513	0	221,605
KHALID M ABBED CHIEF, NEUROSURGERY SPINE	50 00 0 00					X		1,381,035	0	66,123
UMER M DARR ASSISTANT PROFESSOR OF SURGERY	50 00 0 00					X		1,223,159	0	54,246
ALAN FORMAN DIRECTOR, INVESTMENTS	50 00 0 00					X		1,196,531	0	459,077
MURAT GUNEL CHAIR, NEUROSURGERY	50 00 0 00					X		1,176,700	0	51,946
TIMOTHY R SULLIVAN DIRECTOR, INVESTMENTS	50 00 0 00					X		1,361,507	0	484,197
LINDA K LORIMER FORMER VP FOR GLOBAL & STRAT INIT	50 00 0 00						X	883,910	0	9,782

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number
06-0646973

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2

☒

A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university _____
- 10

☐

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III)
- 11

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f

Enter the number of supported organizations _____
- g

Provide the following information about the supported organization(s) _____

(i)Name of supported organization	(ii)EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")	846,509,395	878,354,797	910,761,374	963,188,552	1,080,694,163	4,679,508,281
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3	846,509,395	878,354,797	910,761,374	963,188,552	1,080,694,163	4,679,508,281
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6	Public support. Subtract line 5 from line 4						4,679,508,281

Section B. Total Support							
Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
7	Amounts from line 4	846,509,395	878,354,797	910,761,374	963,188,552	1,080,694,163	4,679,508,281
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	558,241,125	731,938,276	433,042,326	639,514,386	470,037,204	2,832,773,317
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11	Total support. Add lines 7 through 10						7,512,281,598
12	Gross receipts from related activities, etc. (see instructions)					12	8,208,010,224
13	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage		
14	Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14 62.290 %
15	Public support percentage for 2015 Schedule A, Part II, line 14	15 60.830 %
16a	33 1/3% support test—2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. ► <input checked="" type="checkbox"/>	
b	33 1/3% support test—2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>	
17a	10%-facts-and-circumstances test—2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>	
b	10%-facts-and-circumstances test—2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>	
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ► <input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>	1	
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>	2	
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>	3a	
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>	3b	
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>	3c	
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>	4a	
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>	4b	
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>	4c	
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>	5a	
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	
c Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c	
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>	6	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	7	
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	8	
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>	9a	
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>	9b	
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>	9c	
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>	10a	
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>	10b	

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		
11a		
11b		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
2b		
3 Parent of Supported Organizations Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI)		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ \$

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 7202 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization fileForm 1120-POL for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☒ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	10,866	10,866												
1b Total lobbying expenditures to influence a legislative body (direct lobbying)	480,880	480,880												
c Total lobbying expenditures (add lines 1a and 1b)	491,746	491,746												
d Other exempt purpose expenditures	3,680,255,009	3,770,817,002												
e Total exempt purpose expenditures (add lines 1c and 1d)	3,680,746,755	3,771,308,748												
f Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000	1,000,000												
<table><thead><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr></thead><tbody><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></tbody></table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000													
Over \$17,000,000	\$1,000,000													
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	250,000												
h Subtract line 1g from line 1a If zero or less, enter -0-	0	0												
i Subtract line 1f from line 1c If zero or less, enter -0-	0	0												
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	506,069	435,170	468,867	491,746	1,901,852
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	10,448	5,821	10,077	10,866	37,212

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i.			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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TY 2016 Affiliated Group Schedule

Name: YALE UNIVERSITY
EIN: 06-0646973

Affiliated Group Business Name: YALE UNIVERSITY
Address. Either US or Foreign Type: PO BOX 208239
NEW HAVEN, CT 06520
EIN: 06-0646973
Electing Organization Checkbox: ☒
Total Grassroots Lobbying: 10,866
Total Direct Lobbying: 480,880
Total Lobbying Expenditures: 491,746
Other Exempt Purpose Expenditures: 3,680,255,009
Total Exempt Purpose Expenditures: 3,680,746,755
Lobbying Nontaxable Amount: 1,000,000
Grassroots Nontaxable Amount: 250,000
Tot Lobbying Grassroot Minus Non Tx: 0
Tot Lobby Expend Mns Lobbying Non Tx: 0
Share Of Excess Lobbying: 0

Affiliated Group Business Name: 3C CORPORATION
Address. Either US or Foreign Type: PO BOX 208239
NEW HAVEN, CT 06520
EIN: 41-2046529
Electing Organization Checkbox: ☐
Total Grassroots Lobbying: 0
Total Direct Lobbying: 0
Total Lobbying Expenditures: 0
Other Exempt Purpose Expenditures: 0
Total Exempt Purpose Expenditures: 0
Lobbying Nontaxable Amount: 0
Grassroots Nontaxable Amount: 0
Tot Lobbying Grassroot Minus Non Tx: 0
Tot Lobby Expend Mns Lobbying Non Tx: 0
Share Of Excess Lobbying: 0

Affiliated Group Business Name:	5C CORPORATION	
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520	
EIN:	20-0763050	
Electing Organization Checkbox:	<input type="checkbox"/>	
Total Grassroots Lobbying:		0
Total Direct Lobbying:		0
Total Lobbying Expenditures:		0
Other Exempt Purpose Expenditures:		0
Total Exempt Purpose Expenditures:		0
Lobbying Nontaxable Amount:		0
Grassroots Nontaxable Amount:		0
Tot Lobbying Grassroot Minus Non Tx:		0
Tot Lobby Expend Mns Lobbying Non Tx:		0
Share Of Excess Lobbying:		0
Affiliated Group Business Name:	ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY	
Address. Either US or Foreign Type:	157 CHURCH STREET NEW HAVEN, CT 06510	
EIN:	06-6078326	
Electing Organization Checkbox:	<input type="checkbox"/>	
Total Grassroots Lobbying:		0
Total Direct Lobbying:		0
Total Lobbying Expenditures:		0
Other Exempt Purpose Expenditures:		0
Total Exempt Purpose Expenditures:		0
Lobbying Nontaxable Amount:		0
Grassroots Nontaxable Amount:		0
Tot Lobbying Grassroot Minus Non Tx:		0
Tot Lobby Expend Mns Lobbying Non Tx:		0
Share Of Excess Lobbying:		0

Affiliated Group Business Name:	BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6032565		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		19,597,483	
Total Exempt Purpose Expenditures:		19,597,483	
Lobbying Nontaxable Amount:		1,000,000	
Grassroots Nontaxable Amount:		250,000	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0
Affiliated Group Business Name:	THE CHIEF EXECUTIVE LEADERSHIP INST OF THE YALE SCHOOL OF MANAGEMENT INC		
Address. Either US or Foreign Type:	101 CONSTITUTION AVUENUE SUITE 900 EAST WASHINGTON, DC 20001		
EIN:	58-2617787		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		2,159,631	
Total Exempt Purpose Expenditures:		2,159,631	
Lobbying Nontaxable Amount:		257,982	
Grassroots Nontaxable Amount:		64,496	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

Affiliated Group Business Name:	THE NEW CLINICAL PROGRAM DEVELOPMENT CORPORATION		
Address. Either US or Foreign Type:	789 HOWARD AVENUE NEW HAVEN, CT 06519		
EIN:	06-1565494		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		30,000,000	
Total Exempt Purpose Expenditures:		30,000,000	
Lobbying Nontaxable Amount:		1,000,000	
Grassroots Nontaxable Amount:		250,000	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0
Affiliated Group Business Name:	YALE SOUTHERN OBSERVATORY INC		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6068906		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:			0
Total Exempt Purpose Expenditures:			0
Lobbying Nontaxable Amount:			0
Grassroots Nontaxable Amount:			0
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

Affiliated Group Business Name:	YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6414276		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		31,864,763	
Total Exempt Purpose Expenditures:		31,864,763	
Lobbying Nontaxable Amount:		1,000,000	
Grassroots Nontaxable Amount:		250,000	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0
Affiliated Group Business Name:	YALE NEW HAVEN MEDICAL CENTER INC		
Address. Either US or Foreign Type:	20 YORK STREET NEW HAVEN, CT 06510		
EIN:	23-7440891		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		1,141,876	
Total Exempt Purpose Expenditures:		1,141,876	
Lobbying Nontaxable Amount:		189,188	
Grassroots Nontaxable Amount:		47,297	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

Affiliated Group Business Name:	THE GRUBER FOUNDATION
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520
EIN:	45-1540594
Electing Organization Checkbox:	<input type="checkbox"/>
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	5,798,240
Total Exempt Purpose Expenditures:	5,798,240
Lobbying Nontaxable Amount:	439,912
Grassroots Nontaxable Amount:	109,978
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493135052028	
<div>SCHEDULE D (Form 990)</div> <div>Department of the Treasury Internal Revenue Service</div>		<div>Supplemental Financial Statements</div> <div>► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990.</div> <div>Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.</div>			<div>OMB No 1545-0047</div> <div>2016</div> <div>Open to Public Inspection</div>
Name of the organization YALE UNIVERSITY				Employer identification number 06-0646973	
Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.					
		(a) Donor advised funds		(b) Funds and other accounts	
1	Total number at end of year	10			
2	Aggregate value of contributions to (during year)	23,250,000			
3	Aggregate value of grants from (during year)	4,917,253			
4	Aggregate value at end of year	100,431,149			
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.					
1 Purpose(s) of conservation easements held by the organization (check all that apply)					
<input type="checkbox"/> Preservation of land for public use (e g , recreation or education) <input type="checkbox"/> Preservation of an historically important land area					
<input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure					
<input type="checkbox"/> Preservation of open space					
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year					
		Held at the End of the Year			
a	Total number of conservation easements	2a			
b	Total acreage restricted by conservation easements	2b			
c	Number of conservation easements on a certified historic structure included in (a)	2c			
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d			
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►					
4 Number of states where property subject to conservation easement is located ►					
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►					
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$					
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements					
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.					
1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items					
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items					
(i) Revenue included on Form 990, Part VIII, line 1				► \$	
(ii) Assets included in Form 990, Part X				► \$	
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items					
a Revenue included on Form 990, Part VIII, line 1				► \$	
b Assets included in Form 990, Part X				► \$	
For Paperwork Reduction Act Notice, see the Instructions for Form 990.					
		Cat No 52283D		Schedule D (Form 990) 2016	

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV

Escrow and Custodial Arrangements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☒ Yes☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	25,657,499,000	25,789,811,000	24,089,614,000	20,993,584,000	19,606,479,000
b Contributions	204,249,000	182,188,000	117,434,000	131,476,000	147,031,000
c Net investment earnings, gains, and losses	2,747,729,000	753,230,000	2,579,415,000	4,037,639,000	2,294,825,000
d Grants or scholarships	188,164,318	178,364,898	165,168,000	162,357,000	157,718,000
e Other expenditures for facilities and programs	896,528,695	808,937,122	750,471,000	833,291,000	824,778,000
f Administrative expenses	83,733,987	80,427,980	81,013,000	77,437,000	72,255,000
g End of year balance	27,441,050,000	25,657,499,000	25,789,811,000	24,089,614,000	20,993,584,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

16 030 %

b

Permanent endowment

14 060 %

c

Temporarily restricted endowment

69 910 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

Yes

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

No

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		137,052,822		137,052,822
b Buildings	63,268,217	6,867,170,710	2,325,299,469	4,605,139,458
c Leasehold improvements		1,299,035	1,105,116	193,919
d Equipment		511,576,222	355,197,547	156,378,675
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				4,898,764,874

Schedule D (Form 990) 2016

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) FIXED INCOME	66,523,751	F
(B) COMMON STOCK	54,500,478	F
(C) EQUITY INVESTMENTS	24,743,527,541	F
(D) OTHER INVESTMENTS	290,080,755	F
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) ▶	25,154,632,525	

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.
See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
See Additional Data Table		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶	4,375,233,775	

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	5,928,246,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains (losses) on investments	2a	1,480,999,236
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	-79,980,348
e	Add lines 2a through 2d	2e	1,401,018,888
3	Subtract line 2e from line 1	3	4,527,227,112
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	166,363,262
b	Other (Describe in Part XIII)	4b	-15,259,561
c	Add lines 4a and 4b	4c	151,103,701
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	4,678,330,813

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	3,480,520,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	26,092,561
e	Add lines 2a through 2d	2e	26,092,561
3	Subtract line 2e from line 1	3	3,454,427,439
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	166,363,262
b	Other (Describe in Part XIII)	4b	283,107,919
c	Add lines 4a and 4b	4c	449,471,181
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	3,903,898,620

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Schedule D, Part X, - Other Liabilities

1	(a) Description of Liability	(b) Book Value
	EMPLOYEE BENEFIT OBLIGATIONS	1,243,692,450
	COMPENSATED ABSENCES	64,836,496
	FINANCIAL AID GRANT OBLIGATIONS	61,259,000
	ASSET RETIREMENT OBLIGATIONS	39,100,000
	CAPITAL LEASE OBLIGATIONS	41,089,960
	LIABILITIES UNDER SPLIT-INTEREST AGREEMENTS	134,416,963
	ADVANCES FROM FEDERAL GOV'T FOR STUDENT LOANS	30,571,625
	SECURITIES SOLD NOT YET PURCHASED	303,032,229
	DUE TO INSTITUTIONS	1,850,434,000
	OTHER LIABILITIES	606,801,052

Supplemental Information

Return Reference	Explanation
PART III, LINE 1A	YALE UNIVERSITY FINANCIAL STATEMENTS - COLLECTIONS FOOTNOTE COLLECTIONS AT YALE INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S MUSEUMS AND LIBRARIES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE COLLECTIONS ARE NOT CAPITALIZED, PURCHASES OF COLLECTION ITEMS ARE RECORDED AS OPERATING EXPENSES IN THE UNIVERSITY'S CONSOLIDATED STATEMENT OF ACTIVITIES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED

Supplemental Information

Return Reference	Explanation
PART III, LINE 4	<p>YALE UNIVERSITY COLLECTIONS ARE AN INTEGRAL PART OF TEACHING AND LEARNING ACROSS THE UNIVERSITY AND INCLUDE THE YALE UNIVERSITY ART GALLERY, THE YALE CENTER FOR BRITISH ART, THE PEABODY MUSEUM OF NATURAL HISTORY, AND THE COLLECTION OF MUSICAL INSTRUMENTS. ALL THE COLLECTIONS ARE OPEN TO THE PUBLIC. THE YALE UNIVERSITY ART GALLERY, THE YALE UNIVERSITY ART GALLERY, FOUNDED IN 1832, IS THE OLDEST U.S. COLLEGE ART MUSEUM. THE GALLERY HOUSES MORE THAN 200,000 OBJECTS REPRESENTING EASTERN AND WESTERN CULTURES AND RANGING IN DATE FROM ANCIENT TIMES TO THE PRESENT DAY. THE COLLECTION INCLUDES ANCIENT ART, ASIAN ART, AFRICAN ART, PHOTOGRAPHS, NUMISMATICS, WORKS OF EUROPEAN AND AMERICAN MASTERS FROM VIRTUALLY EVERY PERIOD, AND A RICH COLLECTION OF MODERN AND CONTEMPORARY ART. THE MISSION OF THE YALE UNIVERSITY ART GALLERY IS TO ENCOURAGE APPRECIATION AND UNDERSTANDING OF ART AND ITS ROLE IN SOCIETY THROUGH DIRECT ENGAGEMENT WITH ORIGINAL WORKS OF ART. THE GALLERY STIMULATES ACTIVE LEARNING ABOUT ART AND THE CREATIVE PROCESS THROUGH RESEARCH, TEACHING, AND DIALOGUE AMONG COMMUNITIES OF YALE STUDENTS, FACULTY, ARTISTS, SCHOLARS, ALUMNI, AND THE WIDER PUBLIC. THE GALLERY ORGANIZES EXHIBITIONS AND EDUCATIONAL PROGRAMS TO OFFER ENJOYMENT AND ENCOURAGE INQUIRY, WHILE BUILDING AND MAINTAINING ITS COLLECTIONS IN TRUST FOR FUTURE GENERATIONS. THE YALE CENTER FOR BRITISH ART. THE YALE CENTER FOR BRITISH ART IS A PUBLIC ART MUSEUM AND RESEARCH INSTITUTE FOR THE STUDY OF BRITISH ART AND CULTURE. THE YALE CENTER FOR BRITISH ART, WHICH OPENED IN 1977, HOUSES THE LARGEST AND MOST COMPREHENSIVE COLLECTION OF BRITISH ART OUTSIDE THE UNITED KINGDOM. THE COLLECTION OF PAINTINGS, SCULPTURE, PRINTS, DRAWINGS, RARE BOOKS, AND MANUSCRIPTS REFLECTS THE DEVELOPMENT OF BRITISH ART AND CULTURE FROM THE ELIZABETHAN PERIOD ONWARD. THE CENTER OFFERS A YEAR-ROUND SCHEDULE OF EXHIBITIONS AND PROGRAMS, INCLUDING LECTURES, CONFERENCES, FILMS, CONCERTS, AND PERFORMANCES. THE YALE CENTER FOR BRITISH ART OVERSEES AN ACTIVE RESEARCH PROGRAM THROUGH WHICH IT PROMOTES AND FOSTERS THE SCHOLARSHIP OF ALL ASPECTS OF BRITISH ART AND MATERIAL CULTURE. ACADEMIC RESOURCES OF THE CENTER INCLUDE THE REFERENCE LIBRARY AND ARCHIVES, CONSERVATION LABORATORIES, A STUDY ROOM FOR EXAMINING WORKS ON PAPER AS WELL AS RARE BOOKS AND MANUSCRIPTS FROM THE COLLECTION, AND AN ONLINE CATALOGUE OF THE COLLECTIONS. PEABODY MUSEUM. YALE'S PEABODY MUSEUM OF NATURAL HISTORY, FOUNDED IN 1866, IS ONE OF THE OLDEST AND LARGEST UNIVERSITY-BASED NATURAL HISTORY MUSEUMS WITH WORLDWIDE ACCLAIM FOR ITS DINOSAUR SKELETONS, MAMMAL DISPLAYS, AND NATURAL HISTORY COLLECTIONS. THE PEABODY MUSEUM HOUSES MORE THAN 13 MILLION SPECIMENS AND ARTIFACTS REPRESENTING MORE THAN 4 BILLION YEARS OF GEOLOGICAL, BIOLOGICAL, AND HUMAN HISTORY. THESE COLLECTIONS ARE AT THE HEART OF UNIVERSITY TEACHING AND ARE A RESOURCE FOR SCHOLARS AT YALE AND AROUND THE WORLD. MUSEUMS AND THE EXPERTISE THAT SURROUNDS THEM ARE VIBRANT ELEMENTS OF YALE'S CULTURE OF SCIENCE.</p>

Supplemental Information

Return Reference	Explanation
PART III, LINE 4	<p>HOLARSHIP AND TEACHING THE PEABODY IS A WORKING MUSEUM, WHERE PUBLIC EXHIBITION, RESEARCH , CONSERVATION, TEACHING, AND LEARNING INTERSECT ITS MISSION IS TO SERVE THE UNIVERSITY B Y ADVANCING THE UNDERSTANDING OF EARTH'S HISTORY THROUGH GEOLOGICAL, BIOLOGICAL, AND ANTHR OPOLOGICAL RESEARCH, AND BY COMMUNICATING THE RESULTS OF THIS RESEARCH TO THE WIDEST POSSI BLE AUDIENCE THROUGH PUBLICATION, EXHIBITION, AND EDUCATIONAL PROGRAMS FUNDAMENTAL TO THI S MISSION IS STEWARDSHIP OF THE MUSEUM'S RICH COLLECTIONS, WHICH PROVIDE A REMARKABLE RECO RD OF THE HISTORY OF THE EARTH, ITS LIFE, AND ITS CULTURES CONSERVATION, AUGMENTATION AND USE OF THESE COLLECTIONS BECOME INCREASINGLY URGENT AS MODERN THREATS TO THE DIVERSITY OF LIFE AND CULTURE CONTINUE TO INTENSIFY THE MUSEUM'S COLLECTIONS ARE A MAJOR COMPONENT OF THE RESEARCH AND TEACHING ACTIVITIES OF THE PEABODY AND YALE THE YALE PEABODY MUSEUM OFF ICE OF STUDENT PROGRAMS SUPPORTS YALE STUDENT PARTICIPATION IN THE ACTIVITIES OF THE MUSEU M ACROSS ALL OF ITS DEPARTMENTS AND DIVISIONS IN ADDITION, EACH YEAR THE YALE PEABODY MUS EUM PROVIDES EDUCATIONAL PROGRAMS ON BIOLOGY, PALEONTOLOGY, GEOLOGY, ANCIENT CIVILIZATIONS , AND SOCIAL STUDIES TO MORE THAN 25,000 STUDENTS FROM CONNECTICUT, MASSACHUSETTS, NEW YOR K, AND RHODE ISLAND ALL PROGRAMS DRAW ON THE MUSEUM'S EXHIBITS TO MEET THE INCREASINGLY S OPHISTICATED NEEDS OF SCIENCE AND SOCIAL STUDIES EDUCATION, AND MOST CAN BE ADAPTED TO ACC OMMODATE SPECIFIC GROUP NEEDS AS REQUESTED THE MUSEUM'S COLLECTIONS ARE AVAILABLE TO LEGI TIMATE RESEARCHERS AT ESTABLISHED INSTITUTIONS FOR SCHOLARLY USE COLLECTION OF MUSICAL IN STRUMENTS ESTABLISHED IN 1900, THE COLLECTION OF MUSICAL INSTRUMENTS TODAY COMPRISES NEAR LY ONE THOUSAND INSTRUMENTS, THE MAJORITY DOCUMENTING THE HISTORY OF WESTERN ART MUSIC IT IS COMMITTED TO FOSTERING AN UNDERSTANDING AND APPRECIATION OF MUSICAL INSTRUMENTS FROM A LL CULTURES AND PERIODS ONE OF THE FOREMOST INSTITUTIONS OF ITS KIND, THE COLLECTION ACQU IRES, PRESERVES, AND EXHIBITS MUSICAL INSTRUMENTS FROM ANTIQUITY TO THE PRESENT, FEATURING RESTORED EXAMPLES IN DEMONSTRATION AND LIVE PERFORMANCE IT PROVIDES ACCESS TO AND DISSEM INATES INFORMATION ABOUT ITS HOLDINGS TO YALE STUDENTS, FACULTY, AND STAFF, TO SCHOLARS, M USICIANS, AND INSTRUMENT MAKERS, AND TO THE BROADER PUBLIC AN IMPORTANT RESOURCE FOR THE MUSIC CURRICULA OF THE UNIVERSITY, THE COLLECTION SERVES AS A LABORATORY FOR COURSES IN TH E HISTORY OF MUSICAL INSTRUMENTS AND AS A SUPPLEMENTAL ARCHIVE FOR COURSES TAUGHT IN THE A RTS AND SCIENCES THE COLLECTION MAINTAINS REGULAR PUBLIC VISITING HOURS, MOUNTS EXHIBITS, AND PRESENTS AN ANNUAL SERIES OF CONCERTS LECTURES, TOURS, AND SPECIAL CONCERTS ARE OFFE RED THROUGHOUT THE YEAR IN RECENT YEARS THE COLLECTION HAS UNDERTAKEN AN ACTIVE PROGRAM O F DIGITIZATION OF THE OBJECTS THEMSELVES AND SUPPORTING DOCUMENTATION THIS INFORMATION IS STORED IN THE MUSEUM'S DATABASE, AND MUCH OF IT IS NOW AVAILABLE ON THE ONLINE DIGITAL CO LLECTION YALE UNIVERSITY LIBR</p>

Supplemental Information

Return Reference	Explanation
PART III, LINE 4	<p>ARY IN ADDITION TO ITS MUSEUMS, THE YALE UNIVERSITY LIBRARY PROVIDES OUTSTANDING SUPPORT FOR TEACHING, LEARNING, AND RESEARCH AT THE UNIVERSITY, AND FOR THE WORLD-WIDE SCHOLARLY COMMUNITY, THROUGH ITS STAFF, COLLECTIONS, PROGRAMS, SPACES, AND SERVICES THE COLLECTION OF NEARLY 15 MILLION PRINT AND ELECTRONIC VOLUMES IS HOUSED IN 15 LIBRARIES, INCLUDING STERLING MEMORIAL, BEINECKE, AND BASS LIBRARIES, THE CENTER FOR SCIENCE AND SOCIAL SCIENCE INFORMATION, AS WELL AS MANY OTHER SCHOOL AND DEPARTMENTAL LIBRARIES COLLECTIONS RANGE FROM ANCIENT PAPYRI AND EARLY PRINTED BOOKS TO DIGITAL COLLECTIONS AND ELECTRONIC DATABASES, AND THEY TRANSCEND A WIDE ARRAY OF FORMATS INCLUDING TEXT, PHOTOGRAPHS, VIDEO, AUDIO, DATA, MAPS, AND EPHEMERA IN BUILDING THE MAGNIFICENT COLLECTIONS OVER THE LAST THREE CENTURIES, YALE MADE A STEWARDSHIP COMMITMENT TO ASSIST IN THE PRESERVATION OF HUMAN KNOWLEDGE THE LIBRARY ALSO HAS A RESPONSIBILITY TO BRING DIGITAL RESOURCES TO THE YALE COMMUNITY AND TO ADDRESS THE CHALLENGES OF DIGITAL PRESERVATION</p>

Supplemental Information

Return Reference	Explanation
PART IV, LINE 2B	THE AMOUNT REPORTED ON FORM 990, PART X, LINE 21, ESCROW ACCOUNT LIABILITY, CONSISTS OF SECURITY DEPOSITS RELATED TO LEASE AGREEMENTS AND OTHER DEPOSITS PART V, LINE 1 ENDOWMENT FUNDS INCLUDE THE VALUE OF THE ENDOWMENT AND UNEXPENDED ENDOWMENT INCOME AT JUNE 30, 2017

Supplemental Information

Return Reference	Explanation
PART V, LINE 4	<p>ENDOWMENT SPENDING REMAINS THE SINGLE LARGEST SOURCE OF OPERATING REVENUE AND CONTINUED TO PROVIDE ESSENTIAL SUPPORT TO ACTIVITIES ACROSS THE ENTIRE CAMPUS - INCLUDING FINANCIAL AID FOR UNDERGRADUATE AND GRADUATE STUDENTS, SUPPORT FOR PROFESSORSHIPS AND RESEARCH, STUDENT ACTIVITIES AND RESIDENTIAL LIFE, ATHLETIC FACILITIES, LIBRARIES AND MUSEUMS, AND THE FULL RANGE OF OTHER ACTIVITIES THAT SUPPORT TEACHING AND RESEARCH AT YALE SPENDING FROM THE ENDOWMENT GREW DURING THE LAST DECADE FROM \$684 MILLION TO \$1 2 BILLION, AN ANNUAL GROWTH RATE OF 6 0% YALE'S SPENDING AND INVESTMENT POLICIES PROVIDE SUBSTANTIAL LEVELS OF CASH FLOW TO THE OPERATING BUDGET FOR CURRENT SCHOLARS WHILE PRESERVING ENDOWMENT PURCHASING POWER FOR FUTURE GENERATIONS THE YALE ENDOWMENT CONTAINS THOUSANDS OF FUNDS WITH VARIOUS PURPOSES AND RESTRICTIONS APPROXIMATELY 84% OF FUNDS CONSTITUTE TRUE ENDOWMENT, GIFTS RESTRICTED BY DONORS TO PROVIDE LONG-TERM FUNDING FOR DESIGNATED PURPOSES THE REMAINING FUNDS REPRESENT QUASI-ENDOWMENT, MONIES THAT THE YALE CORPORATION CHOOSES TO INVEST AND TREAT AS ENDOWMENT DONORS FREQUENTLY SPECIFY A PARTICULAR PURPOSE FOR GIFTS, CREATING ENDOWMENTS TO FUND PROFESSORSHIPS, TEACHING, AND LECTURESHIPS (24%), SCHOLARSHIPS, FELLOWSHIPS, AND PRIZES (17%), MAINTENANCE (4%), BOOKS (3%), AND MISCELLANEOUS SPECIFIC PURPOSES (27%) TWENTY-FIVE PERCENT OF FUNDS ARE UNRESTRICTED EIGHTEEN PERCENT OF THE ENDOWMENT BENEFITS THE OVERALL UNIVERSITY, WITH REMAINING FUNDS FOCUSED ON SPECIFIC UNITS, INCLUDING THE FACULTY OF ARTS AND SCIENCES (35%), THE PROFESSIONAL AND ARTS SCHOOLS (25%), THE LIBRARY (7%), AND OTHER ENTITIES (14%) PART X, LINE 2 THE UNIVERSITY WAS NOT REQUIRED TO INCLUDE A FIN 48 (ASC 740), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, FOOTNOTE IN ITS FINANCIAL STATEMENTS FOR THE FISCAL YEAR ENDED JUNE 30, 2017</p>

Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS	TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS (283,107,919) AFFILIATED ORGANIZATION INCOME(LOSS) 36,141,944 CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 246,399,000 ACTUARIAL ADJUSTMENT FOR SPLIT INTEREST LIABILITY 456,377 NON-CONTROLLING INTEREST (79,870,000) ROUNDING 250 TOTAL (79,980,348)

Supplemental Information	
Return Reference	Explanation
PART XI, LINE 4B - OTHER ADJUSTMENTS	EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 7B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (15,259,561)

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS	EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 7B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS 15,259,561 AFFILIATED ORGANIZATION EXPENSE 10,833,000 TOTAL 26,092,561

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 4B - OTHER ADJUSTMENTS	TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 283,107,919

SCHEDULE E (Form 990 or 990-EZ)	<h1>Schools</h1> <p>▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.</p> <p>▶ Attach to Form 990 or Form 990-EZ.</p> <p>▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.</p>	OMB No 1545-0047
		2016
	Department of the Treasury Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973

Part I		YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	Yes	
4	Does the organization maintain the following?		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	Yes	
5	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?		No
b	Admissions policies?		No
c	Employment of faculty or administrative staff?		No
d	Scholarships or other financial assistance?		No
e	Educational policies?		No
f	Use of facilities?		No
g	Athletic programs?		No
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II		No
6a	Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II		No
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	SEE SCHEDULE E PAGE 2
SCHEDULE E, LINE 3	YALE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT THE UNIVERSITY IS COMMITTED TO BASING JUDGMENTS CONCERNING THE ADMISSION, EDUCATION, AND EMPLOYMENT OF INDIVIDUALS UPON THEIR QUALIFICATIONS AND ABILITIES AND AFFIRMATIVELY SEEKS TO ATTRACT TO ITS FACULTY, STAFF, AND STUDENT BODY QUALIFIED PERSONS OF DIVERSE BACKGROUNDS. IN ACCORDANCE WITH THIS POLICY AND AS DELINEATED BY FEDERAL AND CONNECTICUT LAW, YALE DOES NOT DISCRIMINATE IN ADMISSIONS, EDUCATIONAL PROGRAMS, OR EMPLOYMENT AGAINST ANY INDIVIDUAL ON ACCOUNT OF THAT INDIVIDUAL'S SEX, RACE, COLOR, RELIGION, AGE, DISABILITY, STATUS AS A PROTECTED VETERAN, OR NATIONAL OR ETHNIC ORIGIN, NOR DOES YALE DISCRIMINATE ON THE BASIS OF SEXUAL ORIENTATION OR GENDER IDENTITY OR EXPRESSION. UNIVERSITY POLICY IS COMMITTED TO AFFIRMATIVE ACTION UNDER LAW IN EMPLOYMENT OF WOMEN, MINORITY GROUP MEMBERS, INDIVIDUALS WITH DISABILITIES, AND PROTECTED VETERANS. THE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT IS MADE KNOWN TO ALL SEGMENTS OF THE GENERAL COMMUNITY SERVICED BY THE UNIVERSITY. IT IS INCLUDED IN CATALOGUES AND BROCHURES DEALING WITH STUDENT ADMISSIONS, PROGRAMS AND SCHOLARSHIPS OF THE UNIVERSITY AS WELL AS ON ITS WEBSITE WHICH ARE ALL AVAILABLE TO THE GENERAL PUBLIC.
SCHEDULE E, LINE 6	THE UNIVERSITY HAS RECEIVED AND CONTINUES TO RECEIVE STUDENT FINANCIAL AID AND GRANTS FROM GOVERNMENTAL AGENCIES.

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Employer identification number

06-0646973

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	0	0			249,690
b Total from continuation sheets to Part I	5	142			3,739,748,864
c Totals (add lines 3a and 3b)	5	142			3,739,998,554

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)	Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter								16
(6)	Enter total number of other organizations or entities								50
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

Schedule F (Form 990) 2016

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) See Add'l Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* ☒ Yes ☐ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* ☒ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
SCHEDULE F, PART I, LINE 2	<p>WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND MANAGEMENT OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS, AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARD FUNDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.</p>

Return Reference	Explanation
SCHEDULE F, PART I, LINE 3	PURSUANT TO THE FORM INSTRUCTIONS, THE FOLLOWING IS A BREAKOUT OF AMOUNTS REPORTED AS INVESTMENTS INVESTMENTS EXPENDITURES CENTRAL AMERICA AND THE CARIBBEAN 2,932,743,940 4,553,863 EAST ASIA AND THE PACIFIC 4,650,024 276,279 EUROPE (INCLUDING ICELAND & GREENLAND) 172,412,543 1,002,312 NORTH AMERICA 123,066,004 38,179 SOUTH AMERICA 0 1,699,764 SOUTH ASIA 0 53,209 SUB-SAHARAN AFRICA 450,486,229 0

Return Reference	Explanation
SCHEDULE F, PART II, LINE 3	THE TOTAL NUMBER REPORTED ON LINE 3 INCLUDES TAX-EXEMPT ORGANIZATIONS AND PUBLIC INSTITUTIONS MOST OF THE SUBAWARD RECIPIENTS ARE UNIVERSITIES, HOSPITALS, AND RESEARCH INSTITUTIONS

Return Reference	Explanation
SCHEDULE F, PART II	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT SCHEDULE F, PART III, COLUMNS (C) AND (D) THE AMOUNTS REPORTED IN COLUMNS (C) AND (D) REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2017 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2017

Return Reference	Explanation
SCHEDULE F, PART I, II, AND III	THE UNIVERSITY'S FINANCIAL STATEMENTS ARE PREPARED USING THE ACCRUAL BASIS OF ACCOUNTING AMOUNTS LISTED IN PART I, PART II, AND PART III ARE REPORTED ON THE ACCRUAL BASIS OF ACCOUNTING

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	12,353
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	13,970
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	90,437

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	19,740
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	5,592
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	12,910

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	RESEARCH	82,540
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	12,148
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	5,761

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	GRANTMAKING		654,057
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		2,937,297,803
EAST ASIA AND THE PACIFIC	1	7	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	984,352

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	79,159
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	1,327,906
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	75,309

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	140,737
EAST ASIA AND THE PACIFIC	2	20	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	6,025,118
EAST ASIA AND THE PACIFIC	0	8	PROGRAM SERVICES	RESEARCH	600,925

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	151,778
EAST ASIA AND THE PACIFIC	0	1	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	526,926
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	11,242

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	FUNDRAISING		162,254
EAST ASIA AND THE PACIFIC	0	0	GRANTMAKING		3,627,279
EAST ASIA AND THE PACIFIC	0	0	INVESTMENTS		4,926,303

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	9	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	694,358
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	111,553
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	3,740,042

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	2	PROGRAM SERVICES	CULTURAL EXCHANGE	133,439
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	142,181
EUROPE (INCLUDING ICELAND & GREENLAND)	0	20	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	5,996,771

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	8	PROGRAM SERVICES	RESEARCH	1,180,312
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	102,589
EUROPE (INCLUDING ICELAND & GREENLAND)	0	3	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	464,567

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	32,516
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		130,743
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTMAKING		7,096,118

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENTS		173,414,856
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	31,644
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	13,316

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	298,536
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	22,906
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	16,860

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	3	PROGRAM SERVICES	RESEARCH	262,675
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	30,290
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	35,076

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	16,406
MIDDLE EAST AND NORTH AFRICA	0	0	GRANTMAKING		300,940
NORTH AMERICA	0	3	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	53,552

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	29,791
NORTH AMERICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	722,575
NORTH AMERICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	11,451

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	43,364
NORTH AMERICA	0	6	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	43,062
NORTH AMERICA	0	1	PROGRAM SERVICES	RESEARCH	91,992

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	96,772
NORTH AMERICA	0	3	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	63,670
NORTH AMERICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	5,115

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	FUNDRAISING		3,915
NORTH AMERICA	0	0	GRANTMAKING		735,618
NORTH AMERICA	0	0	INVESTMENTS		123,104,184

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	26,777
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	42,241
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	1,983

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	71,211
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	14,679
RUSSIA AND NEIGHBORING STATES	0	1	PROGRAM SERVICES	RESEARCH	81,675

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	6,986
RUSSIA AND NEIGHBORING STATES	0	1	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	6,374
RUSSIA AND NEIGHBORING STATES	0	0	FUNDRAISING		3,925

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND NEIGHBORING STATES	0	0	GRANTMAKING		609,254
SOUTH AMERICA	0	1	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	69,296
SOUTH AMERICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	242,072

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	52,040
SOUTH AMERICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	9,072
SOUTH AMERICA	0	5	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	135,307

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	1	PROGRAM SERVICES	RESEARCH	323,746
SOUTH AMERICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	21,266
SOUTH AMERICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	48,272

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	GRANTMAKING		1,869,688
SOUTH AMERICA	0	0	INVESTMENTS		1,699,764
SOUTH ASIA	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	79,131

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	46,078
SOUTH ASIA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	126,497
SOUTH ASIA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	27,256

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	26,596
SOUTH ASIA	0	1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	35,482
SOUTH ASIA	0	0	PROGRAM SERVICES	RESEARCH	120,510

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	81,290
SOUTH ASIA	0	1	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	44,011
SOUTH ASIA	0	0	FUNDRAISING		80,423

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	GRANTMAKING		796,261
SOUTH ASIA	0	0	INVESTMENTS		53,209
SUB-SAHARAN AFRICA	0	3	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	117,853

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	15,096
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	322,373
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	158,851

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	2	PROGRAM SERVICES	INSTRUCTION/TEACHING	67,049
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	23,644
SUB-SAHARAN AFRICA	2	32	PROGRAM SERVICES	RESEARCH	3,492,658

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	28,126
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	81,681
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	292,188

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	FUNDRAISING		3,352
SUB-SAHARAN AFRICA	0	0	GRANTMAKING		1,936,728
SUB-SAHARAN AFRICA	0	0	INVESTMENTS		450,486,229

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND THE CARIBBEAN	SUBAWARD - SPONSORED RESEARCH	20,313	WIRE TRANSFER			
		CENTRAL AMERICA AND THE CARIBBEAN	SUBAWARD - SPONSORED RESEARCH	330,519	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	52,220	WIRE TRANSFER			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	41,653	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	61,261	WIRE TRANSFER			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	91,187	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	280,338	WIRE TRANSFER			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	41,189	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	55,785	WIRE TRANSFER			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	16,599	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	36,554	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	43,272	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	201,817	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	167,467	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	20,670	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	82,944	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,356	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	35,947	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	115,254	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	1,053,978	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	49,705	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	101,741	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	47,767	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	92,368	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,123	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	15,800	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	37,866	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	145,635	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	103,945	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	81,324	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	39,925	WIRE TRANSFER			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,440	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	14,321	WIRE TRANSFER			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	32,235	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	52,673	WIRE TRANSFER			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	134,000	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	82,639	WIRE TRANSFER			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	34,256	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	236,827	WIRE TRANSFER			
		RUSSIA AND NEIGHBORING STATES	SUBAWARD - SPONSORED RESEARCH	11,376	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		RUSSIA AND NEIGHBORING STATES	SUBAWARD - SPONSORED RESEARCH	72,944	WIRE TRANSFER			
		RUSSIA AND NEIGHBORING STATES	SUBAWARD - SPONSORED RESEARCH	23,169	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		RUSSIA AND NEIGHBORING STATES	SUBAWARD - SPONSORED RESEARCH	223,154	WIRE TRANSFER			
		RUSSIA AND NEIGHBORING STATES	SUBAWARD - SPONSORED RESEARCH	44,930	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	6,788	WIRE TRANSFER			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	123,339	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	6,619	WIRE TRANSFER			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	14,376	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	231,580	WIRE TRANSFER			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	563,500	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	65,556	WIRE TRANSFER			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	21,162	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	268,198	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	10,000	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	19,930	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	120,098	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	219,742	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	21,600	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	48,442	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	56,717	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	287,236	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	255,238	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	54,216	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	34,179	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	10,909	WIRE TRANSFER			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	55,891	WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	47	216,616	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	EAST ASIA AND THE PACIFIC	406	2,606,492	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	429	3,084,430	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	MIDDLE EAST AND NORTH AFRICA	63	140,843	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	NORTH AMERICA	7	48,824	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	RUSSIA AND NEIGHBORING STATES	24	156,196	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	SOUTH AMERICA	84	544,785	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SOUTH ASIA	48	236,090	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	SUB-SAHARAN AFRICA	88	447,981	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	CENTRAL AMERICA AND THE CARIBBEAN	6	48,958	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	EAST ASIA AND THE PACIFIC	62	119,294	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	EUROPE (INCLUDING ICELAND AND GREENLAND)	56	589,421	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	MIDDLE EAST AND NORTH AFRICA	17	39,768	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	NORTH AMERICA	14	80,635	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	RUSSIA AND NEIGHBORING STATES	5	51,852	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SOUTH AMERICA	58	184,400	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	SOUTH ASIA	15	149,802	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SUB-SAHARAN AFRICA	30	130,979	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	2	35,387	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	EAST ASIA AND THE PACIFIC	18	210,584	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	44	806,420	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	MIDDLE EAST AND NORTH AFRICA	7	70,377	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	NORTH AMERICA	5	57,841	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	RUSSIA AND NEIGHBORING STATES	1	18,841	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	SOUTH AMERICA	9	97,913	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	SOUTH ASIA	11	124,059	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	SUB-SAHARAN AFRICA	6	155,646	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
OTHER ASSISTANCE - HEALTH FEES	CENTRAL AMERICA AND THE CARIBBEAN	1	2,264	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OTHER ASSISTANCE - HEALTH FEES	EAST ASIA AND THE PACIFIC	37	50,677	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
OTHER ASSISTANCE - HEALTH FEES	EUROPE (INCLUDING ICELAND AND GREENLAND)	33	78,947	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OTHER ASSISTANCE - HEALTH FEES	MIDDLE EAST AND NORTH AFRICA	2	3,396	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
OTHER ASSISTANCE - HEALTH FEES	NORTH AMERICA	4	7,924	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OTHER ASSISTANCE - HEALTH FEES	RUSSIA AND NEIGHBORING STATES	3	6,792	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
OTHER ASSISTANCE - HEALTH FEES	SOUTH AMERICA	6	9,670	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OTHER ASSISTANCE - HEALTH FEES	SOUTH ASIA	10	18,112	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
OTHER ASSISTANCE - HEALTH FEES	SUB-SAHARAN AFRICA	4	7,924	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

SCHEDULE G (Form 990 or 990-EZ)	<div>Supplemental Information Regarding Fundraising or Gaming Activities</div> <div>Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a</div> <div>▶ Attach to Form 990 or Form 990-EZ.</div> <div>▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.</div>	OMB No 1545-0047
		2016
		Open to Public Inspection

Department of the Treasury Internal Revenue Service	Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I Fundraising Activities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☐ Mail solicitations

e

☐ Solicitation of non-government grants

b

☐ Internet and email solicitations

f

☐ Solicitation of government grants

c

☐ Phone solicitations

g

☐ Special fundraising events

d

☐ In-person solicitations

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1 GOLF OUTING (event type)	(b) Event #2 GOLF CLASSIC (event type)	(c) Other events 14 (total number)	(d) Total events (add col (a) through col (c))
	1 Gross receipts	181,838	64,163	257,951	503,952
	2 Less Contributions	159,450	41,425	140,685	341,560
	3 Gross income (line 1 minus line 2)	22,388	22,738	117,266	162,392
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	514	322	3,644	4,480
	6 Rent/facility costs	8,460	8,452	17,063	33,975
	7 Food and beverages	10,237	20,688	149,925	180,850
	8 Entertainment				
	9 Other direct expenses	10,300	5,308	9,951	25,559
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				244,864
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-82,472

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states?

☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

☐ Yes ☐ No

b If "Yes," explain _____

- 11** Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity conducted in
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ►

Address ►

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____

- c** If "Yes," enter name and address of the third party

Name ►

Address ►

16 Gaming manager information

Name ►

Gaming manager compensation ► \$

Description of services provided ►

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference

Explanation

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493135052028

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number
06-0646973

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 215

3 Enter total number of other organizations listed in the line 1 table 14

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) STUDENT STIPENDS	3797	73,861,753			
(2) SCHOLARSHIPS	8142		281,530,851	COST	TUITION REDUCTION
(3) HEALTH PREMIUM AWARDS	3160		11,055,237	COST	HEALTHCARE
(4) FELLOWSHIPS	622	4,604,582			
(5) PRIZES AND AWARDS	1660	4,130,089			
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
SCHEDULE I, PART I, LINE 2	WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND MANAGEMENT OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS, AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARD FUNDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.
SCHEDULE I, PART II	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT.
SCHEDULE I, PART III	THE AMOUNTS REPORTED IN PART III REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2017 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS. SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2017.

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AIDS PROJECT NEW HAVEN INC 1302 CHAPEL STREET NEW HAVEN, CT 06511	22-2506184	501(C)(3)	392,425				SUBAWARD - SPONSORED RESEARCH
ALBERT EINSTEIN COLLEGE OF MEDICINE INC 1300 MORRIS PARK AVENUE BRONX, NY 10461	47-2209056	501(C)(3)	16,108				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALERE WELLBEING INC 999 THIRD AVENUE SUITE 2700 SEATTLE, WA 98104	20-0231080		34,374				SUBAWARD - SPONSORED RESEARCH
AMERICAN COLLEGE OF RADIOLOGY 1891 PRESTON WHITE DRIVE RESTON, VA 20191	36-2261602	501(C)(3)	24,053				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES 431 18TH STREET NW WASHINGTON, DC 20006	53-0196605	501(C)(3)	97,095				SUBAWARD - SPONSORED RESEARCH
ANN & ROBERT H LURIE CHILDREN'S HOSPITAL OF CHICAGO 225 EAST CHICAGO AVENUE BOX 205 CHICAGO, IL 606112606	36-2170833	501(C)(3)	34,757				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
APT FOUNDATION INC ONE LONG WHARF DRIVE SUITE 321 NEW HAVEN, CT 06511	23-7061218	501(C)(3)	337,995				SUBAWARD - SPONSORED RESEARCH
ARIZONA STATE UNIVERSITY PO BOX 876011 TEMPE, AZ 852876011	86-0196696	STATE OF AZ	50,780				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ATLANTA RESEARCH & EDUCATION FOUNDATION INC 1902 CLAIRMONT ROAD DECATUR, GA 30033	58-1857346	501(C)(3)	60,009				SUBAWARD - SPONSORED RESEARCH
AUGUSTA UNIVERSITY 1120 15TH STREET AUGUSTA, GA 30912	58-6002053	STATE OF GA	148,207				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AUGUSTA UNIVERSITY RESEARCH INSTITUTE INC PO BOX 945552 ATLANTA, GA 303945552	58-1418202	501(C)(3)	156,500				SUBAWARD - SPONSORED RESEARCH
BALTIMORE RESEARCH & EDUCATION FOUNDATION INC 10 NORTH GREENE STREET BALTIMORE, MD 21201	52-1705976	501(C)(3)	73,719				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BANNER HEALTH 901 E WILLETTA STREET PHOENIX, AZ 85006	45-0233470	501(C)(3)	78,735				SUBAWARD - SPONSORED RESEARCH
BATTELLE MEMORIAL INSTITUTE 505 KING AVENUE COLUMBUS, OH 43201	31-4379427	501(C)(3)	13,001				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030	74-1613878	501(C)(3)	542,585				SUBAWARD - SPONSORED RESEARCH
BAYLOR UNIVERSITY ONE BEAR PLACE 97041 WACO, TX 767987041	74-1159753	501(C)(3)	260,283				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVENUE BOSTON, MA 02215	04-2103881	501(C)(3)	150,976				SUBAWARD - SPONSORED RESEARCH
BLOODWORKS 1551 EASTLAKE AVENUE SUITE 100 SEATTLE, WA 98102	91-1019655	501(C)(3)	22,043				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION 4505 MARYLAND PARKWAY LAS VEGAS, NV 89154	88-6000024	501(C)(3)	108,110				SUBAWARD - SPONSORED RESEARCH
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH INC 533 TOWER ROAD ITHACA, NY 14853	13-1739923	501(C)(3)	10,697				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRANDEIS UNIVERSITY PO BOX 549110 WALTHAM, MA 024549110	04-2103552	501(C)(3)	90,409				SUBAWARD - SPONSORED RESEARCH
BRENTWOOD BIOMEDICAL RESEARCH INSTITUTE INC PO BOX 25027 LOS ANGELES, CA 90025	95-4183712	501(C)(3)	70,508				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIDGEPORT BOARD OF EDUCATION 45 LYON TERRACE BRIDGEPORT, CT 06604	06-6001865	CITY-BRIDGEPORT	117,548				SUBAWARD - SPONSORED RESEARCH
BRIDGEPORT HOSPITAL 267 GRANT STREET BRIDGEPORT, CT 06610	06-0646554	501(C)(3)	103,909				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIDGES A COMMUNITY SUPPORT SYSTEM INC 941-949 BRIDGEPORT AVENUE MILFORD, CT 06460	06-0867978	501(C)(3)	227,976				SUBAWARD - SPONSORED RESEARCH
BROOKHAVEN NATIONAL LABORATORY 20 BROOKHAVEN AVENUE UPTON, NY 119735000	11-3403915	US GOVT	198,333				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROWN UNIVERSITY PO BOX 1911 PROVIDENCE, RI 02912	05-0258809	501(C)(3)	733,544				SUBAWARD - SPONSORED RESEARCH
CARNEGIE MELLON UNIVERSITY PO BOX 371032 PITTSBURGH, PA 152507032	25-0969449	501(C)(3)	117,910				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CAROLINAS MEDICAL CENTER PO BOX 601979 CHARLOTTE, NC 282601979	56-1398929		38,914				SUBAWARD - SPONSORED RESEARCH
CEDARS-SINAI MEDICAL CENTER 6500 WILSHIRE SUITE 1150 LOS ANGELES, CA 90048	95-1644600	501(C)(3)	18,303				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDREN'S HOSPITAL CORPORATION 300 LONGWOOD AVENUE BOSTON, MA 02115	04-2774441	501(C)(3)	979,393				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES, CA 90027	95-1690977	501(C)(3)	100,732				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDREN'S HOSPITAL MEDICAL CENTER 3333 BURNET AVENUE CINCINNATI, OH 452293039	31-0833936	501(C)(3)	37,872				SUBAWARD - SPONSORED RESEARCH
CITY OF NEW HAVEN 54 MEADOW STREET NEW HAVEN, CT 06519	06-6001876	CITY-NEW HAVEN	778,871				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLIFFORD W BEERS GUIDANCE CLINIC INC 93 EDWARDS STREET NEW HAVEN, CT 06511	06-0646757	501(C)(3)	394,943				SUBAWARD - SPONSORED RESEARCH
COLORADO STATE UNIVERSITY 2002 CAMPUS DELIVERY FORT COLLINS, CO 805232002	84-6000545	STATE OF CO	49,479				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COOPERATIVE EDUCATIONAL SERVICES 40 LINDEMAN DRIVE TRUMBULL, CT 06611	23-7121166	STATE OF CT	7,500				SUBAWARD - SPONSORED RESEARCH
CORNELL SCOTT-HILL HEALTH CORPORATION 400 COLUMBUS AVENUE NEW HAVEN, CT 06519	06-0870990	501(C)(3)	182,723				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY 341 PINE TREE ROAD ITHACA, NY 14850	15-0532082	501(C)(3)	335,284				SUBAWARD - SPONSORED RESEARCH
DALLAS VA RESEARCH CORPORATION PO BOX 397776 DALLAS, TX 75339	75-2329831	501(C)(3)	40,705				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DARTMOUTH COLLEGE 11 ROPE FERRY ROAD HANOVER, NH 037551404	02-0222111	501(C)(3)	95,719				SUBAWARD - SPONSORED RESEARCH
DONALD DANFORTH PLANT SCIENCE CENTER 975 NORTH WARSON ROAD ST LOUIS, MO 63132	31-1584621	501(C)(3)	28,470				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DUKE UNIVERSITY 2200 WEST MAIN STREET SUITE 300 DURHAM, NC 27705	56-0532129	501(C)(3)	1,512,474				SUBAWARD - SPONSORED RESEARCH
EAST CAROLINA UNIVERSITY 2200 S CHARLES BLVD GREENVILLE, NC 278584353	56-6000403	STATE OF NC	11,960				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EMORY UNIVERSITY PO BOX 935084 ATLANTA, GA 311935084	58-0566256	501(C)(3)	100,654				SUBAWARD - SPONSORED RESEARCH
FAIR HAVEN COMMUNITY HEALTH CLINIC INC 374 GRAND AVENUE NEW HAVEN, CT 06513	06-0883545	501(C)(3)	162,663				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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FOX CHASE CANCER CENTER FOUNDATION 333 COTTMAN AVENUE PHILADELPHIA, PA 19111	23-2003072	501(C)(3)	35,000				SUBAWARD - SPONSORED RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVENUE NORTH SEATTLE, WA 981091024	23-7156071	501(C)(3)	51,452				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FREEDOM PHOTONICS LLC 41 AERO CAMINO GOLETA, CA 93117	20-2578468		111,439				SUBAWARD - SPONSORED RESEARCH
GEORGE MASON UNIVERSITY 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	54-0836354	STATE OF VA	52,182				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGIA TECH RESEARCH CORPORATION PO BOX 100117 ATLANTA, GA 30384	58-0603146	501(C)(3)	25,491				SUBAWARD - SPONSORED RESEARCH
GLOBAL HEALTHY LIVING FOUNDATION INC 515 NORTH MIDLAND AVENUE UPPER NYACK, NY 10960	20-4039120	501(C)(3)	43,651				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GRIFFIN HOSPITAL 130 DIVISION STREET DERBY, CT 06418	06-0647014	501(C)(3)	662,068				SUBAWARD - SPONSORED RESEARCH
HARTFORD HOSPITAL 80 SEYMOUR STREET HARTFORD, CT 061025037	06-0646668	501(C)(3)	1,643,842				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HASKINS LABORATORIES INC 300 GEORGE STREET NEW HAVEN, CT 06511	13-1628174	501(C)(3)	27,617				SUBAWARD - SPONSORED RESEARCH
HENRY M JACKSON FOUNDATION FOR THE ADVANCEMENT OF MILITARY MEDICINE 6720A ROCKLEDGE DRIVE SUITE 100 BETHESDA, MD 20817	52-1317896	501(C)(3)	16,402				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HYPERTROPHIC CARDIOMYOPATHY ASSOCIATION 18 EAST MAIN STREET DENVER, NJ 07834	41-1855605	501(C)(3)	11,085				SUBAWARD - SPONSORED RESEARCH
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI 1 GUSTAVE L LEVY PLACE NEW YORK, NY 100296574	13-6171197	501(C)(3)	702,225				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ILLINOIS INSTITUTE OF TECHNOLOGY 7562 SOLUTION CENTER CHICAGO, IL 606777005	36-2170136	501(C)(3)	15,915				SUBAWARD - SPONSORED RESEARCH
INDIANA UNIVERSITY 400 EAST SEVENTH STREET BLOOMINGTON, IN 47405	35-6001673	501(C)(3)	43,431				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INNOVATIONS FOR POVERTY ACTION 101 WHITNEY AVENUE NEW HAVEN, CT 06510	06-1660068	501(C)(3)	1,402,726				SUBAWARD - SPONSORED RESEARCH
INSTITUTE FOR CLINICAL RESEARCH INC PO BOX 29545 WASHINGTON, DC 200170745	52-1336656	501(C)(3)	72,792				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INSTITUTE FOR COMMUNITY RESEARCH INC 2 HARTFORD SQUARE WEST SUITE 100 HARTFORD, CT 061065128	06-0653116	501(C)(3)	39,667				SUBAWARD - SPONSORED RESEARCH
IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY 3607 ADMINISTRATIVE SERVICES BUILDING AMES, IA 500113609	42-6004224	STATE OF IA	73,304				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
J CRAIG VENTER INSTITUTE 4120 CAPRICORN LANE LA JOLLA, CA 92037	52-1842938	501(C)(3)	38,995				SUBAWARD - SPONSORED RESEARCH
JOHNS HOPKINS UNIVERSITY 3910 KESWICK ROAD BALTIMORE, MD 21211	52-0595110	501(C)(3)	925,229				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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KAISER FOUNDATION HOSPITALS 1800 HARRISON STREET OAKLAND, CA 94612	94-1105628	501(C)(3)	27,898				SUBAWARD - SPONSORED RESEARCH
L2 DIAGNOSTICS LLC PO BOX 8175 NEW HAVEN, CT 065300175	06-1509946		189,444				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LIBERTY COMMUNITY SERVICES 129 CHURCH STREET NEW HAVEN, CT 06510	22-2849124	501(C)(3)	245,145				SUBAWARD - SPONSORED RESEARCH
LOUISIANA STATE UNIVERSITY AND AGRICULTURAL & MECHANICAL COLLEGE 204 THOMAS BOYD HALL BATON ROUGE, LA 708030001	72-6000848	501(C)(3)	18,530				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOVELACE BIOMEDICAL & ENVIRONMENTAL RESEARCH INSTITUTE INC 2425 RIDGECREST DRIVE SE ALBUQUERQUE, NM 87108	51-0154068	501(C)(3)	320,776				SUBAWARD - SPONSORED RESEARCH
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	04-2697983	501(C)(3)	1,433,314				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	662,099				SUBAWARD - SPONSORED RESEARCH
MAYO CLINIC PO BOX 860307 MINNEAPOLIS, MN 55486	41-6011702	501(C)(3)	357,444				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL UNIVERSITY OF SOUTH CAROLINA 19 HAGOOD AVENUE CHARLESTON, SC 29425	57-6000722	STATE OF SC	151,218				SUBAWARD - SPONSORED RESEARCH
MICHIGAN STATE UNIVERSITY 301 ADMINISTRATION BLDG EAST LANSING, MI 48824	38-6005984	501(C)(3)	90,884				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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MIDWESTERN CONNECTICUT COUNCIL ON ALCOHOLISM INC 38 OLD RIDGEBURY ROAD DANBURY, CT 06810	06-0890278	501(C)(3)	80,227				SUBAWARD - SPONSORED RESEARCH
MIRAGEN THERAPEUTICS INC 6200 LOOKOUT ROAD SUITE 100 BOULDER, CO 80301	20-4362468		19,644				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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MONTANA STATE UNIVERSITY PO BOX 172470 BOZEMAN, MT 597172470	81-6010045	STATE OF MT	100,307				SUBAWARD - SPONSORED RESEARCH
NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH INC 800 POLY PLACE BROOKLYN, NY 11209	11-3059470	501(C)(3)	210,993				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL DIAPER BANK NETWORK INC 155 EAST STREET SUITE 101 NEW HAVEN, CT 06511	45-2823935	501(C)(3)	19,681				SUBAWARD - SPONSORED RESEARCH
NATIONAL INSTITUTES OF HEALTH 5601 FISHERS LANE BETHESDA, MD 208929804	52-0858115	U S GOVT	36,000				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206	74-2044647	501(C)(3)	138,700				SUBAWARD - SPONSORED RESEARCH
NEW HAVEN DIAPER BANK INCORPORATED PO BOX 9017 NEW HAVEN, CT 06532	20-1179912	501(C)(3)	107,232				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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NEW HAVEN FAMILY ALLIANCE INC 230 ASHMUN STREET NEW HAVEN, CT 06511	06-1324343	501(C)(3)	208,184				SUBAWARD - SPONSORED RESEARCH
NEW REACH INC 153 EAST STREET NEW HAVEN, CT 06511	22-3037451	501(C)(3)	53,684				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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NEW YORK MEDICAL COLLEGE 40 SUNSHINE COTTAGE ROAD VALHALLA, NY 10595	13-1099420	501(C)(3)	5,440				SUBAWARD - SPONSORED RESEARCH
NEW YORK UNIVERSITY 726 BROADWAY 9TH FLOOR NEW YORK, NY 100039580	13-5562308	501(C)(3)	813,431				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTH CAROLINA STATE UNIVERSITY CAMPUS BOX 7214 RALEIGH, NC 276957214	56-6000756	STATE OF NC	49,275				SUBAWARD - SPONSORED RESEARCH
NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVENUE BOSTON, MA 02115	04-1679980	501(C)(3)	29,359				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OAKLAND UNIVERSITY 529 WILSON HALL ROCHESTER, MI 483094401	38-1714400	STATE OF MI	13,151				SUBAWARD - SPONSORED RESEARCH
OCEAN STATE RESEARCH INSTITUTE INC 830 CHALKSTONE AVENUE PROVIDENCE, RI 029084734	05-0440574	501(C)(3)	14,545				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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PACIFIC INSTITUTE FOR STUDIES IN DEVELOPMENT ENVIRONMENTAL & SECURITY 11720 BELTSVILLE DRIVE SUITE 900 BELTSVILLE, MD 20705	94-3050434	501(C)(3)	61,708				SUBAWARD - SPONSORED RESEARCH
PENNSYLVANIA STATE UNIVERSITY 227 W BEAVER AVENUE SUITE 401 STATE COLLEGE, PA 168014819	24-6000376	STATE OF PA	288,372				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PHILLIPS ELECTRONICS NORTH AMERICA CORPORATION 2 CANAL PARK CAMBRIDGE, MA 02141	13-3429115		51,078				SUBAWARD - SPONSORED RESEARCH
PHOTON ACQUISITION CORPORATION 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123	47-1941203		135,004				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PORTLAND VA RESEARCH FOUNDATION PO BOX 69539 PORTLAND, OR 97239	94-3090170	501(C)(3)	9,182				SUBAWARD - SPONSORED RESEARCH
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	1,216,601				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PURDUE UNIVERSITY 1281 WIN HENTSCHEL BLVD SUITE 1100 WEST LAFAYETTE, IN 47906	35-6002041	501(C)(3)	445,488				SUBAWARD - SPONSORED RESEARCH
RECOVERY NETWORK OF PROGRAMS 2 TRAP FALLS ROAD SUITE 405 SHELTON, CT 06484	06-0910080	501(C)(3)	196,401				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 2195 HEARST AVENUE BERKELEY, CA 947201103	94-6002123	501(C)(3)	105,634				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT IRVINE 5251 CALIFORNIA AVENUE IRVINE, CA 92617	95-2226406	501(C)(3)	488,411				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093	95-6006144	501(C)(3)	285,448				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES 405 HILGARD AVENUE LOS ANGELES, CA 900957089	95-6006143	501(C)(3)	2,639,733				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN FRANCISCO PO BOX 0812 SAN FRANCISCO, CA 941430812	94-6036493	501(C)(3)	972,458				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT STREET SUITE 600 DENVER, CO 80203	84-6000555	501(C)(3)	707,636				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF MICHIGAN 24 FRANK LLOYD WRIGHT DRIVE ANN ARBOR, MI 48106	38-6006309	501(C)(3)	408,206				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF MINNESOTA PO BOX 1450 MINNEAPOLIS, MN 554855957	41-6007513	STATE OF MN	60,430				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH STREET TROY, NY 121803590	14-1340095	501(C)(3)	76,007				SUBAWARD - SPONSORED RESEARCH
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 WEST 41ST STREET NEW YORK, NY 10036	13-1988190	501(C)(3)	20,006				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH, NC 276759000	56-0686338	501(C)(3)	10,836				SUBAWARD - SPONSORED RESEARCH
RHODE ISLAND HOSPITAL 593 EDDY STREET PROVIDENCE, RI 02903	05-0258954	501(C)(3)	26,180				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROSALIND FRANKLIN UNIVERSITY OF MEDICINE AND SCIENCE 3333 GREEN BAY ROAD NORTH CHICAGO, IL 600643037	36-2181973	501(C)(3)	47,391				SUBAWARD - SPONSORED RESEARCH
RUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN STREET CHICAGO, IL 60612	36-2174823	501(C)(3)	21,460				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS THE STATE UNIVERSITY 33 KNIGHTSBRIDGE ROAD PISCATAWAY, NJ 08854	22-6001086	501(C)(3)	37,011				SUBAWARD - SPONSORED RESEARCH
RXGEN INC 4 SCIENCE PARK 4TH FLOOR NEW HAVEN, CT 06511	04-3682460		117,882				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAGE ANALYTICA 4915 ST ELMO AVENUE SUITE 205 BETHESDA, MD 20814	26-0603861		265,147				SUBAWARD - SPONSORED RESEARCH
SEATTLE CHILDREN'S HOSPITAL PO BOX 24728 SEATTLE, WA 981240728	91-0564748	501(C)(3)	590,680				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SEPULVEDA RESEARCH CORPORATION 16111 PLUMMER STREET NORTH HILLS, CA 91343	95-4246275	501(C)(3)	105,716				SUBAWARD - SPONSORED RESEARCH
SLOAN-KETTERING INSTUTUTE FOR CANCER RESEARCH PO BOX 26338 NEW YORK, NY 10087	13-1624182	501(C)(3)	705,317				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SMART POWER INC 1120 CONNECTICUT AVENUE WASHINGTON, DC 20036	03-0375454	501(C)(3)	164,459				SUBAWARD - SPONSORED RESEARCH
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE S W WASHINGTON, DC 20560	53-0206027	501(C)(3)	374,427				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTHERN CONNECTICUT STATE UNIVERSITY 501 CRESCENT STREET NEW HAVEN, CT 065151355	06-1363115	STATE OF CT	273,602				SUBAWARD - SPONSORED RESEARCH
SAINT BARNABAS MEDICAL CENTER 94 OLD SHORT HILLS ROAD LIVINGSTON, NJ 07039	22-1494440	501(C)(3)	21,870				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY 3145 PORTER DRIVE PALO ALTO, CA 94304	94-1156365	501(C)(3)	642,487				SUBAWARD - SPONSORED RESEARCH
STATE OF CONNECTICUT 165 CAPITAL AVENUE HARTFORD, CT 061061630	06-6000798	STATE OF CT	88,277				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TAMPA VA RESEARCH AND EDUCATION FOUNDATION INC 5620 E FOWLER AVENUE TEMPLE TERRACE, FL 336172373	59-3444354	501(C)(3)	147,283				SUBAWARD - SPONSORED RESEARCH
TEMPLE UNIVERSITY 1805 NORTH BROAD STREET PHILADELPHIA, PA 191226094	23-1365971	501(C)(3)	187,322				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEXAS A & M UNIVERSITY 400 HARVEY MITCHELL PKWY SOUTH COLLEGE STATION, TX 778454375	74-6000531	STATE OF TX	77,224				SUBAWARD - SPONSORED RESEARCH
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS 28392 NETWORK PLACE CHICAGO, IL 606731283	37-6000511	501(C)(3)	141,660				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE BRIGHAM AND WOMEN'S HOSPITAL INC 101 HUNTINGTON AVENUE SUITE 300 BOSTON, MA 02199	04-2312909	501(C)(3)	212,596				SUBAWARD - SPONSORED RESEARCH
THE BROAD INSTITUTE INC 415 MAIN STREET CAMBRIDGE, MA 02142	26-3428781	501(C)(3)	21,159				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE BRONX VETERANS MEDICAL RESEARCH FOUNDATION INC 130 WEST KINGSBRIDGE ROAD BRONX, NY 10468	13-3699250	501(C)(3)	148,543				SUBAWARD - SPONSORED RESEARCH
THE CONNECTICUT CENTER FOR PRIMARY CARE INC 3 FARM GLEN BLVD FARMINGTON, CT 06032	03-0441188	501(C)(3)	257,840				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE EVERGREEN STATE COLLEGE 2700 EVERGREEN PARKWAY NW OLYMPIA, WA 98505	91-0826533	STATE OF WA	26,681				SUBAWARD - SPONSORED RESEARCH
THE GEORGE WASHINGTON UNIVERSITY 45155 RESEARCH PLACE ASHBURN, VA 20147	53-0196584	501(C)(3)	309,799				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE HEBREW HOME FOR THE AGED AT RIVERDALE 5901 PALISADE AVENUE RIVERDALE, NY 10471	13-1739971	501(C)(3)	47,245				SUBAWARD - SPONSORED RESEARCH
THE HOSPITAL FOR SPECIAL SURGERY FUND INC 535 EAST 70TH STREET NEW YORK, NY 10021	13-6714749	501(C)(3)	30,152				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE JOHN B PIERCE LABORATORY INC 290 CONGRESS AVENUE NEW HAVEN, CT 06519	06-0646780	501(C)(3)	182,054				SUBAWARD - SPONSORED RESEARCH
THE MCLEAN HOSPITAL CORPORATION PO BOX 3951 BOSTON, MA 022413951	04-2697981	501(C)(3)	19,619				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE MIRIAM HOSPITAL ONE HOPPIN STREET PROVIDENCE, RI 029034141	05-0258905	501(C)(3)	25,048				SUBAWARD - SPONSORED RESEARCH
THE POPULATION COUNCIL INC 1 DAG HAMMARSKJOLD PLAZA NEW YORK, NY 10017	13-1687001	501(C)(3)	64,651				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK PO BOX 9 ALBANY, NY 122010009	14-1368361	501(C)(3)	94,555				SUBAWARD - SPONSORED RESEARCH
THE RESEARCH INSTITUTE AT NATIONWIDE CHILDREN'S HOSPITAL PO BOX 781653 DETROIT, MI 482781653	31-6056230	501(C)(3)	257,677				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021	13-1624158	501(C)(3)	68,725				SUBAWARD - SPONSORED RESEARCH
THE UNIVERSITY OF CENTRAL FLORIDA BOARD OF TRUSTEES 4000 CENTRAL FLORIDA BLVD ORLANDO, FL 32816	59-2924021	STATE OF FL	88,827				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF OKLAHOMA 201 STEPHENSON PARKWAY NORMAN, OK 73019	73-1377584	STATE OF OK	215,191				SUBAWARD - SPONSORED RESEARCH
TRUSTEES OF BOSTON UNIVERSITY 881 COMMONWEALTH AVENUE BOSTON, MA 022151303	04-2103547	501(C)(3)	235,339				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK PO BOX 27036 NEW YORK, NY 100877036	13-5598093	501(C)(3)	1,166,719				SUBAWARD - SPONSORED RESEARCH
TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER PRINCETON, NJ 08540	21-0634501	501(C)(3)	333,420				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 191046205	23-1352685	501(C)(3)	901,536				SUBAWARD - SPONSORED RESEARCH
TUFTS MEDICAL CENTER INC 800 WASHINGTON STREET BOSTON, MA 02111	04-3400617	501(C)(3)	116,333				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TUFTS UNIVERSITY 136 HARRISON AVENUE BOSTON, MA 02111	04-2103634	501(C)(3)	70,399				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH PO BOX 3000 BOULDER, CO 803073000	84-0412668	501(C)(3)	35,082				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES 4301 W MARKHAM STREET LITTLE ROCK, AR 72205	71-6046242	STATE OF AR	6,388				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CHICAGO 6054 S DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(C)(3)	251,088				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CONNECTICUT 343 MANSFIELD ROAD STORRS, CT 062692151	06-0772160	STATE OF CT	49,312				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CONNECTICUT HEALTH CENTER 263 FARMINGTON AVENUE FARMINGTON, CT 06030	52-1725543	STATE OF CT	1,104,405				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF DELAWARE 116 STUDENT SERVICES BLDG NEWARK, DE 19716	51-6000297	501(C)(3)	82,106				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF HAWAII 2440 CAMPUS ROAD HONOLULU, HI 96822	99-6000354	STATE OF HI	65,282				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF IOWA 118 S CLINTON STREET IOWA CITY, IA 52242	42-6004813	STATE OF IA	99,407				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF KANSAS MEDICAL CENTER RESEARCH INSTITUTE 3901 RAINBOW BLVD KANSAS CITY, KS 66160	48-1108830	501(C)(3)	12,434				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MAINE SYSTEM INC 5717 CORBETT HALL ORONO, ME 044695717	01-6000769	501(C)(3)	72,263				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MASSACHUSETTS 100 MORRISSEY BLVD BOSTON, MA 021253393	04-3167352	STATE OF MA	707,361				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MIAMI 1320 S DIXIE HIGHWAY CORAL GABLES, FL 33146	59-0624458	501(C)(3)	37,408				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 104B MARKET STREET CHAPEL HILL, NC 275997521	56-6001393	501(C)(3)	213,697				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CENTRAL OKLAHOMA PO BOX 26901 OKLAHOMA CITY, OK 731260901	73-6017987	STATE OF OK	343,985				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF PITTSBURGH 500 ROSS STREET PITTSBURGH, PA 152620001	25-0965591	501(C)(3)	788,848				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE ROAD KINGSTON, RI 028810811	05-6000522	501(C)(3)	413,548				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ROCHESTER 601 ELMWOOD AVENUE ROCHESTER, NY 14642	16-0743209	501(C)(3)	134,289				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTH CAROLINA 1600 HAMPTON STREET COLUMBIA, SC 29208	57-6001153	STATE OF SC	54,943				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF SOUTH FLORIDA PO BOX 864568 ORLANDO, FL 328864568	59-3102112	STATE OF FL	9,296				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CALIFORNIA 3500 S FIGUEROA STREET SUITE 102 LOS ANGELES, CA 900898001	95-1642394	501(C)(3)	1,802,388				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 787137159	74-6000203	STATE OF TX	584,869				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO PO BOX 1898 SAN ANTONIO, TX 782971898	74-1586031	STATE OF TX	17,175				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON 6431 FANNIN STREET HOUSTON, TX 77030	74-1761309	STATE OF TX	9,051				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON PO BOX 660120 DALLAS, TX 752660120	74-6000949	STATE OF TX	91,209				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS RIO GRANDE VALLEY 1201 WEST UNIVERSITY DRIVE EDINBURG, TX 785392999	46-5292740	501(C)(3)	101,840				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS PO BOX 841753 DALLAS, TX 752841765	75-6002868	STATE OF TX	301,032				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF THE VIRGIN ISLANDS 2 JOHN BREWERS BAY ST THOMAS, VI 00802	66-0432514	501(C)(3)	84,899				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF UTAH 201 S PRESIDENTS CIRCLE SALT LAKE CITY, UT 841129020	87-6000525	501(C)(3)	26,270				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF VERMONT AND STATE AGRICULTURAL COLLEGE 85 SOUTH PROSPECT STREET BURLINGTON, VT 054050160	03-0179440	501(C)(3)	149,897				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 229044195	54-6001796	501(C)(3)	457,995				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF WASHINGTON BOX 354966 SEATTLE, WA 981954966	91-6001537	STATE OF WA	1,790,941				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WISCONSIN SYSTEM 1220 LINDEN DRIVE MADISON, WI 53706	39-1805963	STATE OF WI	125,774				SUBAWARD - SPONSORED RESEARCH
UTAH STATE UNIVERSITY PO BOX 410027 SALT LAKE CITY, UT 841410027	87-6000528	501(C)(3)	93,235				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UT-BATTELLE LLC 1 BETHEL VALLEY ROAD OAK RIDGE, TN 37830	62-1788235		8,298				SUBAWARD - SPONSORED RESEARCH
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE NASHVILLE, TN 37240	62-0476822	501(C)(3)	52,018				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVENUE NASHVILLE, TN 37232	35-2528741	501(C)(3)	220,237				SUBAWARD - SPONSORED RESEARCH
VETERANS MEDICAL RESEARCH FOUNDATION OF SAN DIEGO 3350 LA JOLLA VILLAGE DRIVE SAN DIEGO, CA 921610001	33-0189397	501(C)(3)	254,204				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WASHINGTON UNIVERSITY 700 ROSEDALE AVENUE ST LOUIS, MO 631121408	43-0653611	501(C)(3)	170,162				SUBAWARD - SPONSORED RESEARCH
WAYNE STATE UNIVERSITY 5057 WOODWARD AVENUE DETROIT, MI 48202	38-6028429	501(C)(3)	191,650				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK, NY 10022	13-1623978	501(C)(3)	235,443				SUBAWARD - SPONSORED RESEARCH
YALE NEW HAVEN HEALTH SERVICES CORPORATION 1 CHURCH STREET NEW HAVEN, CT 06510	22-2529464	501(C)(3)	14,898				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DOROT INC 171 WEST 85TH STREET NEW YORK, NY 10024	13-3264005	501(C)(3)	50,000				DAF DISTRIBUTION
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	50,000				DAF DISTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF CONNECTICUT FOUNDATION INC 2390 ALUMNI DRIVE STORRS, CT 06269	06-6070722	501(C)(3)	200,000				DAF DISTRIBUTION
ACHIEVEMENT FIRST INC 495 BLAKE STREET NEW HAVEN, CT 06515	65-1203744	501(C)(3)	100,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALL OUR KIN INC PO BOX 8477 NEW HAVEN, CT 06530	06-1539280	501(C)(3)	16,400				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
ARTSPACE INC 50 ORANGE STREET NEW HAVEN, CT 06510	22-2533535	501(C)(3)	40,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BEULAH LAND DEVELOPMENT CORP 782 ORCHARD STREET NEW HAVEN, CT 065113306	06-1419774	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CALVIN HILL DAY CARE CENTER INC 150 HIGHLAND STREET NEW HAVEN, CT 06511	06-0865321	501(C)(3)	20,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHAPEL HAVEN INC 1040 WHALLEY AVENUE NEW HAVEN, CT 06515	06-0925031	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CITY OF NEW HAVEN 200 ORANGE STREET NEW HAVEN, CT 06510	06-6001876	CITY-NEW HAVEN	29,800				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF WEST HAVEN 355 MAIN STREET WEST HAVEN, CT 06516	06-6002126	CITY-WEST HAVEN	56,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CONNECTICUT MENTAL HEALTH CENTER FOUNDATION INC 34 PARK STREET NEW HAVEN, CT 06519	06-1397905	501(C)(3)	5,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DWIGHT HALL AT YALE PO BOX 209008 NEW HAVEN, CT 06520	06-0653140	501(C)(3)	26,050				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
EDITH B JACKSON CHILD CARE PROGRAM INC 405 CANNER STREET NEW HAVEN, CT 06511	06-0887416	501(C)(3)	20,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FIRST CALVARY BAPTIST CHURCH 605 DIXWELL AVENUE NEW HAVEN, CT 06511	06-1173497	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
GATEWAY COMMUNITY COLLEGE FOUNDATION INC 20 CHURCH STREET NEW HAVEN, CT 06510	22-3135128	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LEADERSHIP EDUCATION AND ATHLETICS IN PARTNERSHIP INC 31 JEFFERSON STREET NEW HAVEN, CT 06510	22-2906547	501(C)(3)	18,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
MARKET NEW HAVEN INC 900 CHAPEL STREET NEW HAVEN, CT 06510	06-1578847	501(C)(6)	275,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MUSIC HAVEN INC 117 WHALLEY AVENUE NEW HAVEN, CT 06511	01-0870395	501(C)(3)	12,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NATIONAL ASSOCIATION FOR THE ADVANCEMENT OF COLORED PEOPLE (NAACP) PO BOX 1012 HARTFORD, CT 06143	06-6099309	501(C)(4)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS INC 195 CHURCH STREET 12TH FLOOR NEW HAVEN, CT 06510	06-1444222	501(C)(3)	102,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN READS COMMUNITY BOOK BANK INC 45 BRISTOL STREET NEW HAVEN, CT 06511	76-0807330	501(C)(3)	5,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN SYMPHONY ORCHESTRA INC 545 LONG WHARF NEW HAVEN, CT 06511	06-6000592	501(C)(3)	6,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN WORKS INC 205 WHITNEY AVENUE NO 106 NEW HAVEN, CT 06511	46-2662124	501(C)(3)	117,791				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HYTES INC PO BOX 2928 NEW HAVEN, CT 06515	27-0772846	501(C)(3)	30,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
READ TO GROW INC 53 SCHOOL GROUND ROAD UNIT 3 BRANFORD, CT 06405	06-1572185	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SICKLE CELL ASSOC OF AMERICA OF SO CT INC 545 WHALLEY AVENUE NEW HAVEN, CT 06511	22-2699876	501(C)(3)	11,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
SQUASH HAVEN INC 70 TOWER PARKWAY NEW HAVEN, CT 06520	20-5500876	501(C)(3)	64,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ST MARTIN DE PORRES ACADEMY 208 COLUMBUS AVENUE NEW HAVEN, CT 06519	81-0666655	501(C)(3)	10,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
TEACH FOR AMERICA INC 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004	13-3541913	501(C)(3)	100,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE GREATER NEW HAVEN CHAMBER OF COMMERCE INC 900 CHAPEL STREET NEW HAVEN, CT 06510	06-0468900	501(C)(6)	66,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
THE TENNIS FOUNDATION OF CONNECTICUT INC 900 CHAPEL STREET SUITE 622 NEW HAVEN, CT 06510	06-1287098	501(C)(3)	225,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ULYSSES S GRANT FOUNDATION PO BOX 200082 YALE STATION NEW HAVEN, CT 06520	06-6071625	501(C)(3)	20,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
UNITED WAY OF GREATER NEW HAVEN INC 370 JAMES STREET NO 403 NEW HAVEN, CT 06513	06-0646761	501(C)(3)	101,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WALTER CAMP FOOTBALL FOUNDATION INC PO BOX 1663 NEW HAVEN, CT 06507	06-6099383	501(C)(4)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
WHEAT INC 674 WASHINGTON AVENUE WEST HAVEN, CT 06516	06-1197653	501(C)(3)	5,200				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN PROMISE INC 28 LINCOLN WAY NEW HAVEN, CT 06510	81-1267064	501(C)(3)	2,321,299				SCHOLARSHIPS

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <div><div><input type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Approval by the board or compensation committee</div></div>		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment?		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		No
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization?		No
b Any related organization? If "Yes," on line 5a or 5b, describe in Part III.		No
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization?		No
b Any related organization? If "Yes," on line 6a or 6b, describe in Part III.		No
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	Yes	
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	Yes	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
See Additional Data	

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Part III, Supplemental Information

Return Reference	Explanation
PART I, LINE 1A	EACH ONE OF THE BENEFITS THAT WAS CHECKED WAS PROVIDED PURSUANT TO A WRITTEN POLICY FIRST-CLASS OR CHARTER TRAVEL ON OCCASION DURING THE CALENDAR YEAR 2016, THE UNIVERSITY CHARTERED PRIVATE AIRCRAFT WHEN DEEMED NECESSARY FOR THE CONDUCT OF UNIVERSITY BUSINESS, PURSUANT TO A CORPORATION POLICY THREE OFFICERS TRAVELED ON SUCH FLIGHTS IN 2016 IN ACCORDANCE WITH THE POLICY UNDER APPLICABLE RULES, AMOUNTS FOR SUCH TRAVEL WERE NOT REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS TRAVEL FOR COMPANIONS THE SPOUSE OF ONE OFFICER ON OCCASION ACCOMPANIED THE OFFICER ON UNIVERSITY BUSINESS IN ADDITION, THE SPOUSE OF A HIGHLY COMPENSATED EMPLOYEE, WHO IS ALSO AN EMPLOYEE OF THE UNIVERSITY, ACCOMPANIED THE HIGHLY COMPENSATED EMPLOYEE ON UNIVERSITY BUSINESS IN BOTH CASES, THE PRIMARY PURPOSE OF THE TRAVEL WAS TO CONDUCT UNIVERSITY BUSINESS UNDER APPLICABLE RULES, THE COST OF TRAVEL WAS NOT REQUIRED TO BE REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS TAX INDEMNIFICATION AND GROSS-UP PAYMENTS FOUR OFFICERS RECEIVED TAX GROSS-UP PAYMENTS DURING THE CALENDAR YEAR 2016 FOR CERTAIN LIMITED TAXABLE BENEFITS BASED ON THEIR ESTIMATED RELATED TAX OBLIGATIONS THESE PAYMENTS WERE TREATED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE AS A CONDITION OF HIS EMPLOYMENT AND FOR THE CONVENIENCE OF THE UNIVERSITY, THE PRESIDENT IS REQUIRED TO LIVE ON CAMPUS IN AN APARTMENT WITHIN THE PRESIDENT'S HOUSE THE ESTIMATED FAIR MARKET RENTAL VALUE OF THIS HOUSING IS INCLUDED AS A NONTAXABLE BENEFIT IN SCHEDULE J, PART II, COLUMN (D) IN ADDITION, THREE OFFICERS WERE PROVIDED UNIVERSITY RESIDENCES FOR PERSONAL USE DURING THE CALENDAR YEAR 2016 THE VALUES OF THE RESIDENCES WERE DETERMINED PURSUANT TO IRC SECTION 119(D), AS REQUIRED, AND TREATED AS TAXABLE COMPENSATION IN ADDITION, ONE OFFICER RECEIVED A HOUSING ALLOWANCE WHICH WAS TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL DURING THE CALENDAR YEAR 2016

Part III, Supplemental Information

Return Reference	Explanation
PART I, LINE 4B	CERTAIN LISTED PERSONS PARTICIPATED IN SUPPLEMENTAL NONQUALIFIED RETIREMENT PLANS DURING THE CALENDAR YEAR 2016 AS DESCRIBED BELOW THE UNIVERSITY HAS AGREED TO SUPPLEMENT THE PRESIDENT'S RETIREMENT INCOME BY MAKING AN ANNUAL CONTRIBUTION TO A NONQUALIFIED DEFERRED COMPENSATION PLAN, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AND ADJUSTED FOR EARNINGS BASED ON POSITIVE PERFORMANCE OF THE ENDOWMENT THE CURRENT YEAR ACCRUAL OF COMPENSATION ASSOCIATED WITH THIS PLAN IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C) THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE RETIREMENT INCOME FOR TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO A PERCENTAGE OF SALARY AT VESTING, FOR A TERM OF 20 YEARS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFITS IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C) IN ADDITION, THE UNIVERSITY AWARDED BONUSES TO THE TWO PREVIOUSLY MENTIONED EMPLOYEES IN THE INVESTMENTS OFFICE THAT WERE TAXED IN 2016 AND SUBJECT TO DEFERRED PAYMENT WITH ADJUSTMENTS UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT THESE BONUSES FOR 2016 ARE INCLUDED IN SCHEDULE J, PART II, COLUMN B(III) SCHEDULE J, PART I, LINE 7 THE UNIVERSITY PAID TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BONUSES AWARDED UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR PAYMENTS WHICH ARE BASED ON QUALITATIVE AND QUANTITATIVE PERFORMANCE FACTORS THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) THE UNIVERSITY PAID TWO HIGHLY COMPENSATED EMPLOYEES BONUSES UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR DEFERRED VESTING CONTINGENT ON THE PERFORMANCE OF FUTURE SERVICES AND MAY CHANGE UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT PRIOR TO VESTING AND PAYMENT THE CURRENT YEAR PAYMENT THAT WAS AWARDED IN PREVIOUS YEARS IS REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) THE AMOUNT AWARDED IN THE CURRENT YEAR BUT SUBJECT TO DEFERRED VESTING IS INCLUDED AS DEFERRED COMPENSATION ON SCHEDULE J, PART II, COLUMN (C) THE UNIVERSITY ALSO PAID THREE HIGHLY COMPENSATED EMPLOYEES ADDITIONAL COMPENSATION PURSUANT TO CLINICAL INCENTIVE PLANS IN ADDITION, ONE OFFICER RECEIVED A SIGNING BONUS WHICH WAS APPROVED IN ACCORDANCE WITH THE PROCEDURES DESCRIBED IN SCHEDULE O, PART VI, SECTION B, LINE 15 THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II)

Part III, Supplemental Information

Return Reference	Explanation
PART I, LINE 8	THERE WERE TWO OFFICERS WITH RESPECT TO WHOM AMOUNTS WERE REPORTED IN PART VII WHERE THOSE AMOUNTS WERE PAID OR ACCRUED PURSUANT TO A CONTRACT THAT WAS SUBJECT TO THE INITIAL CONTRACT EXCEPTION DESCRIBED IN REGS SECTION 53 4958-4(A)(3) THE COMPENSATION WAS ALSO APPROVED PURSUANT TO PROCESSES CONSISTENT WITH THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN REGS SECTION 53 4958-6(C)

Part III, Supplemental Information

Return Reference	Explanation
SCHEDULE J, PART II (GENERAL NOTES)	COLUMN (D) IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990 THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E) IT IS, THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1PETER SALOVEY TRUSTEE & PRESIDENT	(i)	1,012,838	0	220,624	196,331	49,315	1,479,108	0
	(ii)	0	0	0	0	- 0	- 0	0
1BRUCE D ALEXANDER VP FOR NEW HAVEN & STATE AFFAIRS	(i)	531,537	0	54,584	16,331	15,142	617,594	0
	(ii)	0	0	0	0	- 0	- 0	0
2JACK F CALLAHAN JR SR VP FOR OPERATIONS	(i)	238,149	30,000	34,355	14,456	10,542	327,502	0
	(ii)	0	0	0	0	- 0	- 0	0
3ALEXANDER E DREIER SR VP FOR INSTITUTIONAL AFFAIRS	(i)	576,745	0	18,000	25,913	30,828	651,486	0
	(ii)	0	0	0	0	- 0	- 0	0
4KIMBERLY M GOFF-CREWS SECRETARY & VP FOR STUDENT LIFE	(i)	390,756	0	18,000	22,894	9,463	441,113	0
	(ii)	0	0	0	0	- 0	- 0	0
5STEPHEN C MURPHY VP FOR FINANCE & CFO	(i)	402,559	0	18,000	23,727	32,508	476,794	0
	(ii)	0	0	0	0	- 0	- 0	0
6EILEEN O'CONNOR VP FOR COMMUNICATIONS	(i)	335,166	0	8,175	29,619	33,407	406,367	0
	(ii)	0	0	0	0	- 0	- 0	0
7JOAN E O'NEILL VP FOR ALUMNI AFFAIRS & DEVELOPMENT	(i)	455,851	0	52,023	19,456	32,252	559,582	0
	(ii)	0	0	0	0	- 0	- 0	0
8MICHAEL A PEEL VP FOR HR & ADMINISTRATION	(i)	534,780	0	80,804	16,331	30,635	662,550	0
	(ii)	0	0	0	0	- 0	- 0	0
9BENJAMIN POLAKPROVOST	(i)	606,957	0	18,000	16,331	734	642,022	0
	(ii)	0	0	0	0	- 0	- 0	0
10SCOTT A STROBEL VP FOR WEST CAMPUS PLANNING	(i)	438,907	0	31,191	19,612	23,702	513,412	0
	(ii)	0	0	0	0	- 0	- 0	0
11ROBERT J ALPERN DEAN, SCHOOL OF MEDICINE	(i)	892,018	0	18,000	16,331	3,173	929,522	0
	(ii)	0	0	0	0	- 0	- 0	0
12JOHN H BOLLIER AVP FOR FACILITIES	(i)	400,263	0	0	23,227	24,096	447,586	0
	(ii)	0	0	0	0	- 0	- 0	0
13DAVID F SWENSEN CHIEF INVESTMENT OFFICER	(i)	844,579	2,974,672	583,388	243,830	36,913	4,683,382	0
	(ii)	0	0	0	0	- 0	- 0	0
14DEAN J TAKAHASHI SR DIRECTOR, INVESTMENTS	(i)	630,271	2,209,576	429,666	219,185	2,420	3,491,118	0
	(ii)	0	0	0	0	- 0	- 0	0
15KHALID M ABBED CHIEF, NEUROSURGERY SPINE	(i)	291,549	1,089,486	0	31,644	34,479	1,447,158	0
	(ii)	0	0	0	0	- 0	- 0	0
16UMER M DARR ASSISTANT PROFESSOR OF SURGERY	(i)	238,757	966,402	18,000	31,644	22,602	1,277,405	0
	(ii)	0	0	0	0	- 0	- 0	0
17ALAN FORMAN DIRECTOR, INVESTMENTS	(i)	584,327	594,204	18,000	426,331	32,746	1,655,608	193,333
	(ii)	0	0	0	0	- 0	- 0	0
18MURAT GUNEL CHAIR, NEUROSURGERY	(i)	670,137	481,000	25,563	19,331	32,615	1,228,646	0
	(ii)	0	0	0	0	- 0	- 0	0
19TIMOTHY R SULLIVAN DIRECTOR, INVESTMENTS	(i)	624,295	719,212	18,000	449,665	34,532	1,845,704	413,334
	(ii)	0	0	0	0	- 0	- 0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
21 LINDA K LORIMER FORMER VP FOR GLOBAL & STRAT INIT	(i) 488,314	0	395,596	2,000	7,782	893,692	0
	(ii) 0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service
Name of the organization
YALE UNIVERSITY

Supplemental Information on Tax Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number

06-0646973

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774LZC2	01-08-2003	250,000,000	TO FINANCE FACILITIES, (CONTINUED IN PART VI)		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UU60	02-24-2010	579,984,034	TO FINANCE FACILITIES, (CONTINUED IN PART VI)		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YPD3	07-02-2013	100,000,000	TO FULLY REDEEM CHEFA (CONTINUED IN PART VI)		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YRW9	07-23-2014	250,000,000	TO FINANCE FACILITIES, (CONTINUED IN PART VI)		X		X		X

Part II

Proceeds

					A		B		C		D	
1	Amount of bonds retired				125,000,000							
2	Amount of bonds legally defeased											
3	Total proceeds of issue				252,221,323		580,160,339		100,000,000		250,108,651	
4	Gross proceeds in reserve funds											
5	Capitalized interest from proceeds											
6	Proceeds in refunding escrows											
7	Issuance costs from proceeds											
8	Credit enhancement from proceeds											
9	Working capital expenditures from proceeds											
10	Capital expenditures from proceeds				252,221,323		490,643,522				250,108,651	
11	Other spent proceeds						89,516,817		100,000,000			
12	Other unspent proceeds											
13	Year of substantial completion				2009		2012		2007		2017	
					Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?					X	X		X			X
15	Were the bonds issued as part of an advance refunding issue?					X		X		X		X
16	Has the final allocation of proceeds been made?				X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?				X		X		X		X	

Part III

Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X			X	X			X

Part III

Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 100 %		0 %		0 %		0 %	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 100 %		0 %		0 %		0 %	
6 Total of lines 4 and 5	0 200 %		0 %		0 %		0 %	
7 Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X	X		X	
b Exception to rebate?	X			X	X			X
c No rebate due?		X	X			X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X		X		X	
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
<p>SCHEDULE K, PART I, BOND ISSUE, COLUMN (F)</p>	<p>CHEFA BONDS ISSUED 1/8/2003 (CUSIP# 20774LZC2) - TO FINANCE FACILITIES, ADDITIONS, IMPROVE MENTS, AND RENOVATIONS CHEFA BONDS ISSUED 2/24/2010 (CUSIP# 20774UU60) - TO FINANCE FACILI TIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS, AND TO DEFEASE CHEFA SERIES W BONDS ISSUED 4/2002 CHEFA BONDS ISSUED 7/2/2013 (CUSIP# 20774YPD3) - TO FULLY REDEEM CHEFA SERIES X1 B ONDS ISSUED 1/2003 CHEFA BONDS ISSUED 7/23/2014 (CUSIP# 20774YRW9) - TO FINANCE FACILITIES , ADDITIONS, IMPROVEMENTS, AND RENOVATIONS CHEFA BONDS ISSUED 7/1/2015 (CUSIP# 20774YWE3) - TO FULLY REDEEM CHEFA SERIES Y1, Y2, AND Y3 BONDS ISSUED 10/2005 CHEFA BONDS ISSUED 7/1/ 2016 (CUSIP# 20774YJ99) - TO FULLY REDEEM CHEFA SERIES Z1 BONDS ISSUED 10/2007 CHEFA BONDS ISSUED 6/7/2017 (CUSIP# 20774YX44) - TO FULLY REDEEM CHEFA SERIES Z2 AND Z3 BONDS ISSUED 10/2007 CHEFA BONDS ISSUED 6/7/2017 (CUSIP# 20774YX69) - TO FULLY REDEEM CHEFA SERIES T1 A ND X3 BONDS ISSUED 11/1997 AND 1/2003, RESPECTIVELY</p> <p>SCHEDULE K, PART II, PROCEEDS, QUESTIO N 3 TOTAL PROCEEDS OF ISSUE REPRESENT THE ISSUE PRICE (PART I, COLUMN (E)) PLUS ANY INVES TMENT EARNINGS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3B DURING THE 12-MON TH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED ANY MANAG EMENT OR SERVICE CONTRACTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USI NG EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3D DURING THE 12-MONTH PER IOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED RESEARCH AGREEM ENTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COU NSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL</p> <p>SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-5 IN CERTAIN CASES, A BOND ISSUE WITH AN ENTR Y OF 00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE IN EACH OF THESE CASE S, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0 05% AS THE AMOUNT S ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS SC HEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-6, BOND B, FIRST PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE, ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE NEW MONEY PORTION OF TH E 02/24/10 ISSUE IS REPORTED</p> <p>SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-6, B OND D, SECOND PAGE - CHEFA BONDS ISSUED 06/07/17 (CUSIP# 20774YX69) A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE, ONLY THE PRIVATE BUSINESS USE PERTAINI NG TO THE PORTION OF THE 06/07/17 ISSUE THAT REFUNDED A POST-2002 ISSUE IS REPORTED SCHED ULE K, PART IV, ARBITRAGE, QUESTION 2C, BOND B, FIRST PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJEC T TO REBATE THE REBATE LIABIL</p>

Return Reference	Explanation
SCHEDULE K, PART I, BOND ISSUE, COLUMN (F)	ITY COMPUTED AT FEBRUARY 24, 2015 WAS NEGATIVE

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service
Name of the organization
YALE UNIVERSITY

Supplemental Information on Tax Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number

06-0646973

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YWE3	07-01-2015	300,000,000	TO FULLY REDEEM CHEFA (CONTINUED IN PART VI)		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YJ99	07-01-2016	399,995,657	TO FULLY REDEEM CHEFA (CONTINUED IN PART VI)		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YX44	06-07-2017	199,998,620	TO FULLY REDEEM CHEFA (CONTINUED IN PART VI)		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YX69	06-07-2017	249,989,726	TO FULLY REDEEM CHEFA (CONTINUED IN PART VI)		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	300,000,000		399,995,657		199,998,620		249,989,726	
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds								
11	Other spent proceeds	300,000,000		399,995,657		199,998,620		249,989,726	
12	Other unspent proceeds								
13	Year of substantial completion	2012		2012		2012		2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III		Private Business Use							
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		X
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 200 %		0 %		0 100 %		0 100 %	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %		0 %		0 %		0 100 %	
6 Total of lines 4 and 5	0 200 %		0 %		0 100 %		0 200 %	
7 Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X		X		X		X	
b Exception to rebate?	X		X		X		X	
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X		X		X	
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

► Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

► \$

Part II

Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) SCOTT STROBEL	OFFICER	HOUSING		X	100,000	71,766		No		No	Yes	
Total						► \$ 71,766						

Part III

Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		15,700	SCHOLARSHIPS	(SEE PART V)

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
SCHEDULE L, PART II	ALL LOANS REPORTED ON SCHEDULE L, PART II WERE MADE AND APPROVED PURSUANT TO UNIVERSITY COMPENSATION POLICIES
SCHEDULE L, PART III	THE SCHOLARSHIP GRANTS REPRESENT AMOUNTS AWARDED TO INTERESTED PERSONS UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
STEFANIE MARKOVITS	(SEE PART V) SPOUSE OF BENJAMIN POLAK, AN OFFICER	166,170	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR OF ENGLISH		No
MARTA MORET	(SEE PART V) SPOUSE OF PETER SALOVEY, AN OFFICER	67,585	(SEE PART V) COMPENSATION AND BENEFITS, ASSOCIATE		No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
PATRICIA PREISIG	(SEE PART V) SPOUSE OF ROBERT ALPERN, A KEY EMPLOYEE	194,698	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR, MEDICINE (NEPHROLOGY) AND CELLULAR AND MOLECULAR PHYSIOLOGY		No
WENDY SHARP	(SEE PART V) SPOUSE OF DEAN TAKAHASHI, A KEY EMPLOYEE	104,639	(SEE PART V) COMPENSATION AND BENEFITS, DIRECTOR OF CHAMBER MUSIC, LECTURER IN MUSIC		No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons					
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
HILLHOUSE CAPITAL ADVISORS LTD	(SEE PART V) A TRUSTEE OWNS >35%	4,553,863	(SEE PART V) MANAGEMENT FEES PAID IN THE ORDINARY COURSE OF BUSINESS		No
RICECAN REALTY LP	(SEE PART V) A TRUSTEE AND FAMILY MEMBERS OWN >35%	114,130	(SEE PART V) YALE RECEIVED INCOME DISTRIBUTIONS IN THE ORDINARY COURSE OF BUSINESS		No

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	150	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		0	
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes	X	2	0	
8 Intellectual property				
9 Securities—Publicly traded	X	1,260	176,551,428	FAIR VALUE
10 Securities—Closely held stock	X	1	7,457,835	SALE OF COMP PROPERTY
11 Securities—Partnership, LLC, or trust interests	X	1	78,084	SALE OF COMP PROPERTY
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	14	20,565	SALE OF COMP PROPERTY
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (<u>EQUIPMENT</u>)	X	3	0	
26 Other ► (<u>MUSICAL INSTRUMENTS</u>)	X	1	0	
27 Other ► (<u> </u>)				
28 Other ► (<u> </u>)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

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30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

No

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II**Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B)	AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY
PART I, LINE 32B	OCCASIONALLY, THE UNIVERSITY ENGAGES THIRD PARTIES (E G , REAL ESTATE BROKERS, AUCTION HOUSES, INVESTMENT BROKERS) TO SELL CERTAIN NON-CASH CONTRIBUTIONS
PART I, LINE 33	PURSUANT TO ACCOUNTING STANDARDS CODIFICATION 958 (ASC 958), THE UNIVERSITY DOES NOT CAPITALIZE ITS COLLECTIONS, INCLUDING WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN ITS MUSEUMS AND LIBRARIES NON-CASH CONTRIBUTIONS OF THIS NATURE ARE, THEREFORE, NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE OTHER NON-CASH CONTRIBUTIONS WITH NO READILY ASCERTAINABLE FAIR VALUE OR WHICH ARE DEEMED IMMATERIAL ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Employer identification number

06-0646973

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART I, LINE 6	TOTAL NUMBER OF VOLUNTEERS VOLUNTEERS SUPPORT AND BENEFIT THE UNIVERSITY IN A WIDE VARIETY OF ACTIVITIES, PROGRAMS, AND SERVICES WHILE YALE UNIVERSITY DOES NOT TRACK THE NUMBER OF ITS VOLUNTEERS, THE UNIVERSITY ESTIMATES THAT THE NUMBER OF VOLUNTEERS WAS APPROXIMATELY 7,900 DURING FYE 6/30/17 FORM 990, PART III, LINE 1 DESCRIPTION OF ORGANIZATION MISSION YALE IS COMMITTED TO IMPROVING THE WORLD TODAY AND FOR FUTURE GENERATIONS THROUGH OUTSTANDING RESEARCH AND SCHOLARSHIP, EDUCATION, PRESERVATION, AND PRACTICE YALE EDUCATES ASPIRING LEADERS WORLDWIDE WHO SERVE ALL SECTORS OF SOCIETY WE CARRY OUT THIS MISSION THROUGH THE FREE EXCHANGE OF IDEAS IN AN ETHICAL, INTERDEPENDENT, AND DIVERSE COMMUNITY OF FACULTY, STAFF, STUDENTS, AND ALUMNI FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS IN ADDITION TO THE GRADUATE SCHOOL OF ARTS AND SCIENCES, THE UNIVERSITY HAS PROFESSIONAL SCHOOLS INCLUDING ARCHITECTURE, ART, DIVINITY, DRAMA, ENGINEERING AND APPLIED SCIENCE, FORESTRY AND ENVIRONMENTAL STUDIES, LAW, MANAGEMENT, MEDICINE, MUSIC, NURSING, AND PUBLIC HEALTH FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS INCLUDED AMONG THE UNIVERSITY'S OUTSTANDING RESEARCH FACILITIES ARE LABORATORIES, CENTERS, AND INSTITUTES DEVOTED TO RESEARCH IN GEOLOGY, CHEMISTRY, BIOLOGY, ENGINEERING AND APPLIED SCIENCE, ANTHROPOLOGY, PALEONTOLOGY, PSYCHOLOGY, MOLECULAR AND STRUCTURAL BIOLOGY, NUCLEAR STRUCTURE, CHILD DEVELOPMENT, SOCIAL POLICY, BIOSPHERIC STUDIES AND NUMEROUS BIOMEDICAL SCIENCES, TO NAME BUT A FEW FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS IN FY2017, 2,736 OR 50%, OF UNDERGRADUATES RECEIVED NEED-BASED SCHOLARSHIPS OR GRANTS FROM YALE SOURCES OF \$47,658 ON AVERAGE ALL OF YALE'S GRADUATE AND PROFESSIONAL SCHOOLS PROVIDE FINANCIAL AID TO THEIR STUDENTS BASED ON THEIR INDIVIDUAL POLICIES AND PROCEDURES THIS CATEGORY OF EXPENDITURES ALSO INCLUDES THE OPERATION OF DINING HALLS THAT PROVIDED 2,747,090 MEALS TO STUDENTS, FACULTY AND STAFF, MAINTENANCE OF DORMITORIES HOUSING 4,520 UNDERGRADUATES AND 1,036 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS, OPERATION AND MAINTENANCE OF ATHLETIC FACILITIES FOR THE 35 INTERCOLLEGIATE SPORTS, OVER 30 INTRAMURAL SPORTS, AND 50 CLUB SPORTS TEAMS OFFERED AT YALE ALSO INCLUDED ARE PHYSICAL EDUCATION INSTRUCTION, PARKING FACILITIES, LAUNDRY FACILITIES, STUDENT CENTERS, AND OTHER FACILITIES AND PROGRAMS (INCLUDING EXTRACURRICULAR ACTIVITIES AND STUDENT HEALTH SERVICES) THAT PROVIDE SUPPORT, SERVICES, AND ACADEMIC/CULTURAL ENRICHMENT TO THE UNIVERSITY'S STUDENTS FORM 990, PART III, LINE 4D OTHER PROGRAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE, AND OTHER INSTITUTIONAL SUPPORT

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 1	THERE ARE TWO EX-OFFICIO TRUSTEES, THE GOVERNOR OF CONNECTICUT AND THE LIEUTENANT GOVERNOR OF CONNECTICUT HISTORICALLY, THESE INDIVIDUALS DO NOT PARTICIPATE IN GOVERNANCE ACTIVITIES AT THE UNIVERSITY AND THEY ARE REPORTED AS INDEPENDENT

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	CATHARINE B HILL AND PAUL L JOSKOW HAD A BUSINESS RELATIONSHIP DURING THE FYE 6/30/17

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	DURING THE FYE 6/30/17, THE YALE CORPORATION BY-LAWS WERE AMENDED TO REFLECT THE ADDITION OF TWO NEW UNDERGRADUATE RESIDENTIAL COLLEGES AS WELL AS THE RENAMING OF A THIRD UNDERGRADUATE RESIDENTIAL COLLEGE IN ADDITION, CERTAIN TITLES IN THE BY-LAWS WERE DROPPED IN FAVOR OF MORE INCLUSIVE TITLES

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	THE GOVERNING BODY INCLUDES SIX ALUMNI TRUSTEES WHO ARE ELECTED BY THE ALUMNI

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 WAS REVIEWED BY SENIOR MANAGEMENT. IN ADDITION, THE UNIVERSITY DISTRIBUTED A DRAFT COPY OF FORM 990 TO THE CORPORATION AUDIT COMMITTEE, COMPRISED OF THREE MEMBERS OF THE UNIVERSITY'S GOVERNING BODY. MEMBERS OF SENIOR MANAGEMENT MET WITH CERTAIN UNIVERSITY OFFICERS AND THE CORPORATION AUDIT COMMITTEE TO DISCUSS THE DRAFT FORM 990 AND ANSWER ANY QUESTIONS PRIOR TO FILING.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>THE YALE UNIVERSITY CONFLICT OF INTEREST POLICY OBLIGATES EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE TO PROMPTLY DISCLOSE ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST AS DEFINED IN THE POLICY. EACH SUCH PERSON MUST SIGN A STATEMENT ANNUALLY AFFIRMING THAT HE OR SHE HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, HAS READ AND UNDERSTANDS THE POLICY, AGREES TO COMPLY WITH THE POLICY, AND UNDERSTANDS THAT THE CORPORATION IS CHARITABLE AND IN ORDER TO MAINTAIN ITS EXEMPTION IT MUST ENGAGE PRIMARILY IN ACTIVITIES WHICH ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES. IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF HIS OR HER FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTOR AND MEMBERS OF THE COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT. AFTER DISCLOSURE OF A POTENTIAL CONFLICT OF INTEREST, THE INTERESTED PERSON IS EXCUSED FROM THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS MADE, AND IS RECUSED FROM PARTICIPATING IN ANY VOTE ON THE MATTER.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	<p>THE PROCESS FOR DETERMINING THE COMPENSATION OF THE PRESIDENT INCLUDED INITIAL REVIEW BY THE COMMITTEE ON COMPENSATION (THE COMPENSATION COMMITTEE) OF THE YALE CORPORATION (YALE UNIVERSITY'S GOVERNING BOARD), A RECOMMENDATION VOTED BY THE COMMITTEE TO THE YALE CORPORATION, AND FULL REVIEW AND VOTE BY THE YALE CORPORATION. BOTH REVIEWS INCLUDED REVIEW OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER UNIVERSITIES, AS COMPILED BY A PROFESSIONAL COMPENSATION CONSULTANT RETAINED BY THE COMPENSATION COMMITTEE FOR THE PURPOSE, AND REVIEW OF INFORMATION ON SUCH COMPARABLE POSITIONS FROM IRS FORMS 990 FOR SIMILAR ORGANIZATIONS, BOTH REVIEWS CONSIDERED SUCH DATA IN LIGHT OF THE PERFORMANCE OF THE PRESIDENT, WHICH WAS REVIEWED BY THE COMPENSATION COMMITTEE AND BY THE YALE CORPORATION. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE, AND THE PROCEEDINGS OF THE YALE CORPORATION, EACH WERE DOCUMENTED IN MINUTES OF THE RESPECTIVE BODY, CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. THE PROCESS FOR DETERMINING COMPENSATION OF THE OFFICERS CONSISTED OF REVIEW BY THE COMPENSATION COMMITTEE OF THE RECOMMENDATIONS MADE BY THE PRESIDENT, CONSIDERING THE PERFORMANCE OF EACH OFFICER, AND REVIEW OF DATA ON COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS, AS COLLECTED BY A PROFESSIONAL COMPENSATION CONSULTANT THROUGH SURVEYS. THE COMPENSATION COMMITTEE VOTED RECOMMENDATIONS ON COMPENSATION OF SUCH INDIVIDUALS TO THE YALE CORPORATION. THE YALE CORPORATION RECEIVED THE RECOMMENDATIONS OF THE COMPENSATION COMMITTEE, AND DISCUSSED THESE WITH THE PRESIDENT, WHO REVIEWED THE PERFORMANCE OF EACH OFFICER WITH THE YALE CORPORATION, AND ALSO THE COMPARABLE COMPENSATION INFORMATION. THE YALE CORPORATION THEN VOTED ON THE COMPENSATION OF EACH OFFICER. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE AND OF THE CORPORATION EACH WERE DOCUMENTED IN MINUTES CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. OF THE KEY EMPLOYEES, THE COMPENSATION OF THE DEAN, SCHOOL OF MEDICINE WAS RECOMMENDED BY THE PRESIDENT TO THE COMPENSATION COMMITTEE, AND REVIEWED BY THAT COMMITTEE IN CONJUNCTION WITH ITS REVIEW OF THE PERFORMANCE OF THE DEAN AND CONSIDERATION OF DATA ON THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER INSTITUTIONS COMPILED BY AN INDEPENDENT COMPENSATION CONSULTANT. THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION, AND CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. WITH RESPECT TO THE CHIEF INVESTMENT OFFICER AND SENIOR DIRECTOR, INVESTMENTS, THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION AFTER CONSIDERATION OF THE RECOMMENDATIONS OF THE PRESIDENT AND OF THE FOLLOWING:</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	REVIEW OF THE PERFORMANCE OF EACH, INVESTMENT PERFORMANCE OF THE YALE ENDOWMENT OVER DESIGNATED PERIODS, OTHER PERFORMANCE-RELATED INFORMATION, AND AN ANALYSIS OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT TAXABLE AND TAX-EXEMPT ORGANIZATIONS PREPARED BY AN INDEPENDENT COMPENSATION CONSULTANT RETAINED FOR THE PURPOSE. THE COMPENSATION COMMITTEE CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. EXCEPT AS NOTED ABOVE, COMPENSATION DECISIONS FOR KEY EMPLOYEES WERE MADE BY THE EMPLOYEE'S SUPERVISOR IN ACCORDANCE WITH UNIVERSITY POLICIES AND PROCEDURES. NO MEMBER OF THE YALE CORPORATION WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO A COMPENSATION ARRANGEMENT DESCRIBED ABOVE (I.E., BY VIRTUE OF A FAMILY OR BUSINESS RELATIONSHIP) PARTICIPATED IN THE COMPENSATION COMMITTEE REVIEW OR THE VOTE OF THE YALE CORPORATION WITH RESPECT TO SUCH COMPENSATION ARRANGEMENT.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE UNIVERSITY'S GOVERNING DOCUMENTS, INCLUDING ANY AMENDMENTS, FINANCIAL STATEMENTS AND STANDARDS OF BUSINESS CONDUCT ARE AVAILABLE ON THE UNIVERSITY'S WEBSITE OR UPON REQUEST

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VII, SECTION A	COMPENSATION FOR THE FORMER OFFICER INCLUDES AMOUNTS RELATED TO CONTINUING SERVICE IN A NON-OFFICER POSITION FORM 990, PART VII, SECTION A, COLUMN (A) CONTINUATION OF TITLES FOR EACH LISTED PERSON PETER SALOVEY PROFESSOR OF PSYCHOLOGY BRUCE D ALEXANDER & CAMPUS DEVELOPMENT JACK F CALLAHAN, JR SR VP FOR OPERATIONS EFFECTIVE 8/10/16 ALEXANDER E DREIER GENERAL COUNSEL AND SR COUNSELOR TO THE PRESIDENT BENJAMIN POLAK PROFESSOR OF ECONOMICS, PROFESSOR, SCHOOL OF MANAGEMENT SCOTT A STROBEL & PROGRAM DEVELOPMENT, DEPUTY PROVOST FOR TEACHING & LEARNING, PROFESSOR OF MOLECULAR BIOPHYSICS AND BIOCHEMISTRY, PROFESSOR OF CHEMISTRY, HOWARD HUGHES MEDICAL INSTITUTE PROFESSOR ROBERT J ALPERN PROFESSOR OF MEDICINE (NEPHROLOGY) KHALID M ABBED ASSOCIATE PROFESSOR OF NEUROSURGERY, DIRECTOR, MINIMALLY INVASIVE SPINE SURGERY & SPINE TUMOR SURGERY UMER M DARR (CARDIAC SURGERY) MURAT GUNEL PROFESSOR OF NEUROSURGERY AND PROFESSOR OF GENETICS AND OF NEUROSCIENCE LINDA K LORIMER SENIOR COUNSELOR TO THE PRESIDENT AND PROVOST

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VII, SECTION A, COLUMN (B)	THE NUMBER OF HOURS REPORTED FOR EACH LISTED PERSON IS AN ESTIMATE THE ESTIMATE FOR TRUSTEES INCLUDES MEETING TIME AND PREPARATION, AS WELL AS ATTENDANCE AT CERTAIN OFFICIAL UNIVERSITY FUNCTIONS DURING THE YEAR, BUT DOES NOT INCLUDE TRAVEL TIME OR ATTENDANCE AT OTHER EVENTS AS TRUSTEES IN MANY CASES, THE ACTUAL AVERAGE HOURS PER WEEK FOR LISTED PERSONS, OTHER THAN TRUSTEES, EXCEEDED 50 HOURS FORM 990, PART VII, SECTION B, COLUMN (C) THE AMOUNT REPORTED REPRESENTS DIRECT CASH PAYMENTS MADE DURING THE CALENDAR YEAR 2016 TO INDEPENDENT CONTRACTORS FOR SERVICES FOR CONSTRUCTION SERVICES, THE FEES REPRESENT THE ENTIRE CONTRACT COST FORM 990, PART IX, LINE 24 OTHER EXPENSES INCLUDE \$1,315,410 OF STATE UNRELATED BUSINESS INCOME TAX

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9	CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 246,399,000 ACTUARIAL ADJUSTMENTS FOR SPLIT INTEREST LIABILITY 456,377 ROUNDING -117

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, SCHEDULE B, PART I	CONTRIBUTOR NO 1 AND 2 PORTIONS OF THESE CONTRIBUTIONS REPRESENT PLEDGES IT IS UNKNOWN AT THIS TIME WHETHER THE PLEDGES WILL BE SATISFIED WITH CASH OR NON-CASH PROPERTY

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493135052028

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number

06-0646973

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
See Additional Data Table					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
See Additional Data Table							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a		No
b	Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c	Gift, grant, or capital contribution from related organization(s)	1c	Yes	
d	Loans or loan guarantees to or for related organization(s)	1d		No
e	Loans or loan guarantees by related organization(s)	1e		No
f	Dividends from related organization(s)	1f		No
g	Sale of assets to related organization(s)	1g		No
h	Purchase of assets from related organization(s)	1h		No
i	Exchange of assets with related organization(s)	1i		No
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l	Yes	
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m	Yes	
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes	
o	Sharing of paid employees with related organization(s)	1o		No
p	Reimbursement paid to related organization(s) for expenses	1p	Yes	
q	Reimbursement paid by related organization(s) for expenses	1q	Yes	
r	Other transfer of cash or property to related organization(s)	1r	Yes	
s	Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SCHEDULE R, PART I-V	NAME, ADDRESS AND EIN THE FORM 990, SCHEDULE R, LISTS THE NAME OF EACH ENTITY AS OF THE DATE OF THE FORM 990 FILING SCHEDULE R, PART III GENERAL NOTE DISPROPORTIONATE ALLOCATIONS INDICATED IN COLUMN (H) OF PART III GENERALLY RELATE TO I) A GENERAL PARTNER'S TYPICAL CARRIED INTEREST WITH RESPECT TO PRIVATE FUND INVESTMENTS OR II) ALLOCATIONS AMONG 501(C)(3) ORGANIZATIONS THAT ARE PARTNERS OR MEMBERS IN AN INVESTMENT ENTITY SCHEDULE R, PART IV AS OF JUNE 30, 2017, YALE UNIVERSITY HELD A GREATER THAN 50% BENEFICIAL INTEREST IN 47 CHARITABLE REMAINDER TRUSTS (CRTS) THE UNIVERSITY WAS THE TRUSTEE OF 40 OF THESE CRTS, OF WHICH 38 WERE DOMICILED IN CONNECTICUT, ONE IN PENNSYLVANIA AND ONE IN MASSACHUSETTS SCHEDULE R, PART V GENERAL NOTE THIS SCHEDULE REFLECTS ALL TRANSFERS BY YALE UNIVERSITY TO CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX-EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES MADE FURTHER TRANSFERS TO OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY IN ADDITION, THIS SCHEDULE REFLECTS ALL TRANSFERS TO YALE UNIVERSITY BY CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX-EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES RECEIVED TRANSFERS FROM OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY



Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(1) BOYLSTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3324804	INVESTMENT	DE	-5,433,514	2,274,506,099	YALE UNIVERSITY
(1) YD SMA LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3365267	INVESTMENT	DE	2,880,953	394,354,801	YALE UNIVERSITY
(2) FENIX I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863129	INVESTMENT	DE	0	35,033,249	YALE UNIVERSITY
(3) HARBOR HILLS INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0099423	INVESTMENT	DE	0	20,503,353	YALE UNIVERSITY
(4) RENAISSANCE I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863298	INVESTMENT	DE	0	14,616,648	YALE UNIVERSITY
(5) WE 2 CHURCH STREET SOUTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0066065	INVESTMENT	CT	600,000	10,885,376	YALE UNIVERSITY
(6) SARDOUS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0580383	INVESTMENT	DE	961,816	7,905,210	YALE UNIVERSITY
(7) WE 135 COLLEGE STREET LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 30-0893138	INVESTMENT	DE	154,824	7,298,314	YALE UNIVERSITY
(8) ESV HOLDINGS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3980473	INVESTMENT	DE	0	6,345,265	YALE UNIVERSITY
(9) INVESTMENT HOLDCO LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 81-4546058	INVESTMENT	DE	0	6,149,662	YALE UNIVERSITY
(10) YALE GLOBAL ENTERPRISES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0859961	SUPPORTS HIGHER EDUCATION	CT	0	4,880,175	YALE UNIVERSITY
(11) ALPHA 9 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982227	INVESTMENT	CT	0	3,451,348	YALE UNIVERSITY
(12) S PROPERTY HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717440	INVESTMENT	CT	0	1,520,355	YALE UNIVERSITY
(13) GSWM INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717358	INVESTMENT	CT	0	1,069,247	YALE UNIVERSITY
(14) PASSIVE HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-3783661	INVESTMENT	NJ	0	965,602	YALE UNIVERSITY
(15) AMISTAD PARTNERS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 73-1683601	INVESTMENT	CT	0	599,975	YALE UNIVERSITY
(16) YALE STUDENT INVESTMENT FUND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3851735	INVESTMENT	DE	2,496	445,845	YALE UNIVERSITY
(17) 41 NORTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1594874	INVESTMENT	DE	0	5,327	YALE UNIVERSITY
(18) FLATIRON FUNDING II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 42-1688137	INVESTMENT	DE	-81,384	0	YALE UNIVERSITY
(19) 4610 SOUTH 133RD STREET LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	INVESTMENT	NE	0	0	YALE UNIVERSITY

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(21) BETA 14 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982129	INACTIVE	CT	0	0	YALE UNIVERSITY
(1) BLUEFIELD TIMBER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0520556	INVESTMENT	DE	0	0	41 NORTH LLC
(2) ENDURANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1606540	INVESTMENT	DE	0	0	YALE UNIVERSITY
(3) MEDICAL PRACTICE MANAGEMENT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-1545102	ADMINISTRATIVE SERVICES	CT	0	0	YALE UNIVERSITY
(4) MOMS PARTNERSHIP LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	SUPPORTS WELLNESS INITIATIVES	CT	0	0	YALE UNIVERSITY
(5) THE UK FRIENDS OF YALE UNIVERSITY LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0552738	ACCEPTS CHARITABLE CONTRIBUTIONS	UK	1,758,587	0	YALE UNIVERSITY
(6) YALE EDUCATIONAL CONSULTING (BEIJING) LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1006940	SUPPORTS HIGHER EDUCATION	CH	0	0	YALE GLOBAL ENTERPRISES LLC
(7) YALE LEADERSHIP (BEIJING) EDUCATIONAL CONSULTING CO LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1200465	SUPPORTS HIGHER EDUCATION	CH	0	0	YALE GLOBAL ENTERPRISES LLC
(8) OVERLEA LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 82-1445515	INVESTMENT	DE	0	0	YALE UNIVERSITY

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-6331695	SUPPORTS HIGHER EDUCATION	IL	501(C)(3)	PF	YALE UNIVERSITY	Yes	
(1) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 41-2046529	INVESTMENT	DC	501(C)(3)	12, TYPE I	YALE UNIVERSITY	Yes	
(2) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763050	INVESTMENT	DC	501(C)(3)	12, TYPE I	YALE UNIVERSITY	Yes	
(3) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6078326	ENCOURAGES AND SOLICITS CONTRIBUTIONS TO OR FOR YALE UNIVERSITY	CT	501(C)(3)	12, TYPE I	YALE UNIVERSITY	Yes	
(4) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1532073	INACTIVE	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(5) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6034841	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	12, TYPE III - O	YALE UNIVERSITY	Yes	
(6) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 84-6150096	SUPPORTS HIGHER EDUCATION	NC	501(C)(3)	PF	YALE UNIVERSITY	Yes	
(7) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-6194019	SUPPORTS HIGHER EDUCATION	MI	501(C)(3)	12, TYPE III - O	N/A		No
(8) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-6216169	SUPPORTS HIGHER EDUCATION	NC	501(C)(3)	PF	YALE UNIVERSITY	Yes	
(9) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-2365602	SUPPORTS AND FACILITATES PUBLICATIONS	NJ	501(C)(3)	12, TYPE I	N/A		No
(10) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-1540594	SUPPORTS HIGHER EDUCATION	DE	501(C)(3)	12, TYPE I	YALE UNIVERSITY	Yes	
(11) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1559155	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY		No
(12) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1341463	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(13) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6084676	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	12, TYPE III - FI	YALE UNIVERSITY	Yes	
(14) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-3783661	PROMOTES AND SUPPORTS STUDY OF BRITISH ART AND ARCHITECTURE	UK	501(C)(3)	N/A	YALE UNIVERSITY	Yes	
(15) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6366026	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	PF	YALE UNIVERSITY	Yes	
(16) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6366024	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	PF	YALE UNIVERSITY	Yes	
(17) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1424260	TITLE HOLDING COMPANY	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(18) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-2456949	SUPPORTS EDUCATION	MI	501(C)(3)	12, TYPE III - O	N/A		No
(19) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0768741	SUPPORTS EDUCATION	CT	501(C)(3)	12, TYPE III - FI	N/A		No

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(21) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1559156	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY		No
(1) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0653108	SUPPORTS ARTISTIC PROGRAMS	CT	501(C)(3)	12, TYPE III - FI	YALE UNIVERSITY	Yes	
(2) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6032565	PROMOTES THE STUDY OF THE PHYSICAL, NATURAL AND MATHEMATICAL SCIENCES	CT	501(C)(3)	12, TYPE II	YALE UNIVERSITY	Yes	
(3) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 58-2617787	PROVIDES RESEARCH AND PEER-DRIVEN EDU PROGRAMS TO BUSINESSES	DE	501(C)(3)	12, TYPE I	YALE UNIVERSITY	Yes	
(4) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-6073358	SUPPORTS EDUCATION	NY	501(C)(3)	12, TYPE III - O	N/A		No
(5) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 34-1218706	SUPPORTS EDUCATION	OH	501(C)(3)	12, TYPE III - FI	N/A		No
(6) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646970	DISTRIBUTES ALUMNI MAGAZINE	CT	501(C)(3)	12, TYPE III - FI	N/A		No
(7) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 34-6523145	FUNDS SCHOLARSHIPS	OH	501(C)(3)	12, TYPE III - FI	N/A		No
(8) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-6048686	FUNDS SCHOLARSHIPS	NJ	501(C)(3)	12, TYPE III - O	N/A		No
(9) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 23-7046816	FUNDS SCHOLARSHIPS	PA	501(C)(3)	12, TYPE III - FI	YALE UNIVERSITY	Yes	
(10) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-6113108	FUNDS SCHOLARSHIPS	MA	501(C)(3)	12, TYPE III - FI	YALE UNIVERSITY	Yes	
(11) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6068906	RESEARCH AND EDUCATION	CT	501(C)(3)	7	YALE UNIVERSITY	Yes	
(12) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0332975	PROMOTES APPRECIATION OF LITERATURE AMONG MEMBERSHIP AND COMMUNITY	CT	501(C)(3)	12, TYPE III - FI	YALE UNIVERSITY	Yes	
(13) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	PUBLISHES SERIOUS WORKS	UK	501(C)(3)	N/A	YALE UNIVERSITY	Yes	
(14) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6414276	501(A) TRUST THAT SUPPORTS YALE UNIVERSITY	CT	501(C)(3)	12, TYPE II	YALE UNIVERSITY	Yes	
(15) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 39-6065573	FUNDS SCHOLARSHIPS	WI	501(C)(3)	12, TYPE III - O	N/A		No
(16) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 23-7440891	SUPPORTS JOINT PROGRAMS OF YALE-NEW HAVEN HOSPITAL AND YALE UNIVERSITY	CT	501(C)(3)	12, TYPE III - FI	N/A		No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) FOURTH CENTURY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 41-2046537	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	566,416,165	9,458,317,504	Yes		-15,414,067		No	99 800 %
(1) FIFTH CENTURY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763076	INVESTMENT	NV	YALE UNIVERSITY	EXCLUDED FROM TAX	713,897,032	5,081,741,049	Yes		52,299,398		No	99 800 %
(2) LAUREL 2008 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1649501	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	277,663,439	1,632,324,157	Yes		38,786,896		No	99 600 %
(3) BBF I HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8497574	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	46,493,507	1,014,397,615		No			No	99 600 %
(4) 667 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1609184	INVESTMENT	DE	BBF I HOLDINGS LP	EXCLUDED FROM TAX	46,493,507	1,014,397,615		No			No	85 800 %
(5) LONG TERM VALUE FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0623624	INVESTMENT	CJ	LAUREL 2008 LP	EXCLUDED FROM TAX	44,357,589	934,897,148		No			No	99 600 %
(6) YB INSTITUTIONAL LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3431530	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	44,973,538	795,377,687	Yes		2,766,485		No	97 710 %
(7) YHG INVESTMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	GAOLING YALI FEEDER LTD	EXCLUDED FROM TAX	-4,944,684	762,158,774	Yes				No	84 200 %
(8) FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3106692	INVESTMENT	CA	YALE UNIVERSITY	EXCLUDED FROM TAX	19,652,782	687,594,791	Yes		4,920		No	90 880 %
(9) CYR HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0788802	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	127,953,926	526,467,993		No			No	99 600 %
(10) PROSPECT STREET PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3041479	INVESTMENT	FL	YALE UNIVERSITY	EXCLUDED FROM TAX	33,710,529	477,409,351		No			No	81 060 %
(11) CRS MASTER FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2658870	INVESTMENT	CJ	CRS FUND LTD	EXCLUDED FROM TAX	-44,358,121	468,698,029		No			No	88 280 %
(12) SP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0697863	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	32,998,090	414,277,199	Yes		1,295,120		No	99 600 %
(13) LUXIVER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-1504333	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-4,372,789	376,016,988		No	-7,881,093		No	99 730 %
(14) FOXWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3794906	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	14,770,477	370,970,247		No			No	99 630 %

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							Yes	No		Yes	No	
(16) YELLOW WARBLER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0342603	INVESTMENT	TX	FOURTH CENTURY LLC	EXCLUDED FROM TAX	415,915	327,583,693	Yes		-651,268		No	99 270 %
(1) KTOWN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4278453	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,711,596	296,453,034		No			No	98 020 %
(2) EASTON 2007 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0627974	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	36,247,425	265,460,057	Yes		-15,482		No	99 600 %
(3) NIFL HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8973999	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,984,065	198,582,695		No			No	99 600 %
(4) BLUEBART LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3979963	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	1,371,620	196,708,537	Yes				No	99 810 %
(5) MORRIS ISLAND PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-4538290	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,802,701	168,943,706		No			No	97 480 %
(6) STRATEGIC RESIDENTIAL FUND II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3584406	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-3,986,708	146,037,615		No			No	95 000 %
(7) NOGA PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1192059	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-30,350,175	144,574,022	Yes		-1,062,881		No	95 380 %
(8) HIGH STREET PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1332371	INVESTMENT	CJ	YALE UNIVERSITY	EXCLUDED FROM TAX	882,470	143,172,400		No			No	99 640 %
(9) KHP FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5882068	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX		134,173,707		No			No	60 180 %
(10) CYR FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0270646	INVESTMENT	DE	CYR HOLDINGS LP	EXCLUDED FROM TAX	72,974,687	125,550,288		No			No	90 940 %
(11) M&H REALTY PARTNERS VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 57-1182074	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-5,451,597	114,509,284		No			No	51 670 %
(12) JBG INVESTMENT FUND III LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 52-2384738	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	30,536,075	93,948,715	Yes		-169,050		No	58 210 %
(13) JBG INVESTMENT FUND IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0815265	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,084,446	84,416,490	Yes		-1,358,901		No	59 880 %
(14) CAUTHEN VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3653027	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,496,916	81,017,920	Yes		-46,636		No	79 840 %

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							Yes	No		Yes	No	
(31) RCP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0444200	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	34,142,095	69,000,686		No			No	99 600 %
(1) BAYROOT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0390410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	5,522,083	64,593,157		No			No	98 820 %
(2) TDRC II-A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025534	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX		59,179,417		No			No	99 600 %
(3) MOTUS FUND LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1253737	INVESTMENT	CJ	YALE UNIVERSITY	EXCLUDED FROM TAX	580,037	56,193,412		No		Yes		96 710 %
(4) SEDGWICK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0483594	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	27,368,411	55,311,171		No			No	79 840 %
(5) MANASLU FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1158285	INVESTMENT	CJ	FOURTH CENTURY LLC	EXCLUDED FROM TAX	25,818,703	50,466,172		No			No	50 780 %
(6) CAUTHEN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8202030	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,410,562	50,202,859	Yes		-106,391		No	79 840 %
(7) IVP VI HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8699381	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	11,049,458	48,767,757		No			No	99 600 %
(8) GLOSTER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263980	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-146,411	45,776,567	Yes		-79,831		No	79 840 %
(9) FULTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0165041	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	6,708,238	43,401,975		No			No	79 840 %
(10) OMAHA LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	EXCLUDED FROM TAX	-3,238,832	40,945,529		No			No	98 600 %
(11) SP 8 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0558478	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	114,193	40,221,831		No			No	99 600 %
(12) MEP IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 75-2537935	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,589,739	40,120,615		No			No	99 800 %
(13) RAMSGATE VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5259910	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		39,028,305		No			No	79 840 %
(14) CAUTHEN VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2750613	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	904,509	38,265,694	Yes		461,858		No	79 840 %

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							Yes	No		Yes	No	
(46) AEF 5 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4076676	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	9,921,266	38,140,185		No			No	99 600 %
(1) ABINGDON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1707283	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	556,316	37,481,080	Yes		-533,571		No	79 840 %
(2) RCP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008465	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	10,418,903	36,789,826		No			No	99 600 %
(3) VEITCH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-0999084	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,007,622	36,531,897	Yes		-407,965		No	79 840 %
(4) YADKIN IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-1569715	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,667,511	34,710,227	Yes		844		No	79 840 %
(5) CAUTHEN IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5376519	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-61,951	34,470,514	Yes		-7,272		No	79 840 %
(6) FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3388317	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-1,027,531	34,352,455	Yes		-353,806		No	87 260 %
(7) ALAMANCE VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2046522	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,977,783	34,347,868		No			No	79 840 %
(8) JPS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8909921	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	198,746	34,090,288		No			No	99 600 %
(9) AEF 6 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867531	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	258,681	34,034,995	Yes		-11,815		No	99 600 %
(10) UHLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3981909	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,328,643	31,576,740	Yes		-136,127		No	79 840 %
(11) VERNON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0904292	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	5,333,358	31,117,042	Yes		12,280		No	79 840 %
(12) RIDGEFIELD IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-1007364	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-2,641,372	30,662,586		No			No	79 840 %
(13) NLVF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1545222	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-478,965	30,213,504		No			No	99 600 %
(14) HEVP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1828824	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,423,678	26,492,244		No			No	99 600 %

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							Yes	No		Yes	No	
(61) POE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2765485	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,020,702	26,066,114	Yes		55,254		No	79 840 %
(1) WYNNWOOD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3294405	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	3,895,130	25,998,829	Yes		1,359,082		No	79 840 %
(2) BCVF 2007 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5965183	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,036,328	25,413,655		No			No	99 600 %
(3) KENWOOD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3863347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,399,777	25,261,928		No			No	79 840 %
(4) HERNDON IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 47-1698457	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	480,655	25,000,033		No			No	79 840 %
(5) RAMSGATE XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5209548	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		24,905,685		No			No	79 840 %
(6) TYPHOON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0685132	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-723,630	24,557,811		No			No	91 270 %
(7) JPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008388	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	4,403,164	24,484,225	Yes		3,532,342		No	99 600 %
(8) MERRIWEATHER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3664410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	534,111	24,134,739		No			No	98 800 %
(9) WOODWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1206761	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	5,882,334	23,916,341		No			No	79 840 %
(10) RIDGEFIELD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603389	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	13,591,923	23,362,423	Yes		199,067		No	79 840 %
(11) RADFORD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-5470954	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	902,842	22,722,463		No			No	79 840 %
(12) FIF IV (CFA) HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4764198	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	445,698	22,624,028	Yes		-49,559		No	99 600 %
(13) HEVP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4982016	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-243,420	21,184,125		No			No	99 600 %
(14) WINDOM LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1768804	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	8,035,262	20,788,519	Yes		7,257,872		No	79 840 %

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							Yes	No		Yes	No	
(76) HERNDON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1659934	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-108,462	20,576,201		No			No	79 840 %
(1) IRIS 2009 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4100777	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,109,705	20,177,794		No			No	99 600 %
(2) RAMSGATE X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616177	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,337,838	20,065,271		No			No	79 840 %
(3) CORBIN VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 47-2456807	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,083,345	19,851,248		No			No	79 840 %
(4) KENWOOD 2014 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5704870	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,608,376	19,502,736	Yes		4,040		No	79 840 %
(5) ARLINGTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3909979	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		19,191,347		No			No	79 840 %
(6) DANVILLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3531407	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	768,183	19,013,560	Yes		462,505		No	79 840 %
(7) TILDEN III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-2024260	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	189,890	18,605,216		No			No	79 840 %
(8) STRATEGIC RESIDENTIAL FUND I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3398268	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-1,739,627	17,757,359	Yes				No	100 000 %
(9) CALVERT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603346	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,071,461	17,353,947	Yes		-402,603		No	79 840 %
(10) WYNNWOOD II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5548320	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,028,837	16,908,185	Yes		114,309		No	79 840 %
(11) VOLTA IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0914710	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,778,092	16,845,125	Yes		698,233		No	79 840 %
(12) FILLMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3783115	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	6,170,734	16,640,640		No			No	79 840 %
(13) SCOTT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616316	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	7,452,297	16,318,254	Yes		174,451		No	79 840 %
(14) HARBOR HILLS DEVELOPMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453802	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-20,776	16,190,097	Yes		-21,727		No	99 000 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
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							Yes	No		Yes	No	
(91) MACOMB XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0958889	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-229,301	15,857,402	Yes		-501,157		No	79 840 %
(1) WHITEHAVEN XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1738599	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,133,826	15,836,867		No			No	79 840 %
(2) ALDERBROOK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2939768	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,054,346	15,822,621	Yes		-140,799		No	79 840 %
(3) CLEVELAND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0743297	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-85,541	15,467,325		No			No	79 840 %
(4) LORTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4238865	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	191,298	15,314,870	Yes		-19,533		No	79 840 %
(5) KCF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8861865	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-429,047	15,212,057		No			No	99 600 %
(6) CALVERT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3960036	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-558,763	14,349,816	Yes		-556,817		No	79 840 %
(7) CHICAGO LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	-171,889	14,031,619		No			No	98 800 %
(8) DENT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867610	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	104,853	13,794,554	Yes		-4,779		No	79 840 %
(9) GEF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0348232	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	320,492	13,743,672		No			No	99 600 %
(10) HUIDIKOPER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4196504	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	741,285	13,478,813		No			No	79 840 %
(11) CORBIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263962	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	55,716	12,530,872	Yes		-25,742		No	79 840 %
(12) QUINN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3879664	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-257,004	12,281,512		No			No	79 840 %
(13) FRESHET WIND ENERGY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200160	INVESTMENT	DE	FWEB LLC	EXCLUDED FROM TAX	-416,542	12,056,365		No			No	79 840 %
(14) WHITEHAVEN X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-5205346	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,669,777	11,920,218		No			No	79 840 %

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							Yes	No		Yes	No	
(106) BARTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 35-2497887	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	212,978	11,869,758		No			No	79 840 %
(1) OSCEOLA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2008431	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	1,253,765	11,545,083	Yes		1,662,274		No	79 840 %
(2) RIDGEFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2022850	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,082,004	11,292,695		No			No	79 840 %
(3) KHP FUND I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 65-1232049	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	54,976,434	10,523,895	Yes		8,516,237		No	50 800 %
(4) DENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4202230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,524,737	9,705,546		No			No	79 840 %
(5) WHITEHAVEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3915778	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,159,373	9,473,519		No			No	79 840 %
(6) CHANDLER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 32-0438514	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	36,291	9,377,604	Yes		-127,704		No	79 840 %
(7) HARBOR HILLS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0729602	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	-509,219	9,188,802	Yes		-510,170		No	100 000 %
(8) ORDWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5189347	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,470,862	9,166,715	Yes		-97,318		No	79 840 %
(9) MEP IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0646713	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-321,710	9,096,434		No			No	99 600 %
(10) GARFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592367	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-4,221,158	8,909,073		No			No	79 840 %
(11) BCAF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8453177	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-6,147,473	8,738,771		No			No	99 600 %
(12) FESSENDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2270383	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-380,793	8,358,793		No			No	79 840 %
(13) PEPF IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0290250	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,646	8,244,552		No			No	99 600 %
(14) IVA II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2652041	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-5,991,866	7,559,663		No			No	99 600 %

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							Yes	No		Yes	No	
(121) BE III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5495967	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-614,430	7,396,539		No			No	99 600 %
(1) EDMUNDS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4257832	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	6,655,940	7,381,251	Yes		74,355		No	79 840 %
(2) ODE IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1724296	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		7,334,679		No			No	79 840 %
(3) FRC II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1670120	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,500,227	7,246,272		No			No	99 600 %
(4) GLOSTER III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833953	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,215,158	7,034,246		No			No	79 840 %
(5) WYNNWOOD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1363230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,604,293	7,021,090	Yes		33,583		No	79 840 %
(6) ELM STREET VENTURES LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3843574	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	807,611	6,632,014		No	421		No	50 110 %
(7) RAMSGATE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0419757	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		6,504,672		No			No	79 840 %
(8) BARTON-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1732894	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	197,863	6,106,093		No			No	79 840 %
(9) HAWTHORNE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0854590	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		5,790,472		No			No	79 840 %
(10) AVON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4056103	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-50,461	5,461,394	Yes		-53,010		No	79 840 %
(11) CAUTHEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776143	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-32,837	5,275,434	Yes		10,436		No	79 840 %
(12) TILDEN II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4210952	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,983,767	5,091,949		No			No	79 840 %
(13) SANGAMORE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0753284	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	363,057	5,056,800		No			No	79 840 %
(14) YADKIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707395	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,350,660	4,984,089	Yes		4,507		No	79 840 %

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							Yes	No		Yes	No	
(136) MANASLU FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0615593	INVESTMENT	CJ	MP-MF HOLDINGS LP	EXCLUDED FROM TAX	37,391,224	4,871,961		No			No	95 350 %
(1) ALAMANCE VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558864	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,043,152	4,781,687		No			No	79 840 %
(2) GHEP III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3853985	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-4,653,969	4,757,968		No			No	99 600 %
(3) MP-MF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2099979	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	36,631,792	4,715,904		No			No	99 600 %
(4) MACOMB VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5681131	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-98,195	4,556,838	Yes		-320,554		No	79 840 %
(5) ALAMANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2575524	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,669,062	4,364,901		No			No	79 840 %
(6) WILSON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2232279	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-1,582,570	4,253,989		No			No	79 840 %
(7) VOLTA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3738749	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,900,617	3,841,440	Yes		-167,822		No	79 840 %
(8) KILIMANJARO FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1288980	INVESTMENT	CJ	YALE UNIVERSITY	EXCLUDED FROM TAX	11,407	3,786,630	Yes				No	79 790 %
(9) KENWOOD 2014-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5722301	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	257	3,772,393		No			No	79 840 %
(10) LORTON-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4239136	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	46,470	3,768,395		No			No	79 840 %
(11) GOLDSBORO LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1996058	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,252,023	3,515,775	Yes		-250,197		No	79 840 %
(12) LCPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776532	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-4,470,191	3,266,001		No			No	99 600 %
(13) RAMSGATE IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833887	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,604,009	2,847,272		No			No	79 840 %
(14) WESTPATH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2297672	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	209,643	2,839,680	Yes		-1,841		No	79 840 %

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							Yes	No		Yes	No	
(151) ODE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1567347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		2,703,126		No			No	79 840 %
(1) ALAMANCE A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3484520	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,027,118	2,686,093		No			No	79 840 %
(2) BOF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5923569	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	991,392	2,669,480		No			No	99 600 %
(3) DUMBARTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3919432	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	117,082	2,633,460		No			No	79 840 %
(4) OGDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212114	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,829,056	2,572,123		No			No	79 840 %
(5) DENT A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8725065	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	656,432	2,523,456		No			No	79 840 %
(6) MACOMB IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025458	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-334,645	2,454,306		No			No	79 840 %
(7) ECP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0151337	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,041,806	2,426,840		No			No	99 600 %
(8) KLINGLE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592461	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-464,323	2,199,529		No			No	79 840 %
(9) SF-NEW PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 92-0179258	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	488,009	1,919,663		No			No	96 210 %
(10) YUMA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1584783	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,801	1,717,155		No			No	79 840 %
(11) YEI INNOVATION FUND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 36-4773553	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	-15,369	1,263,038		No			No	62 500 %
(12) YADKIN III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2477930	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,584,332	1,203,444	Yes		3,507		No	79 840 %
(13) RAMSGATE IX A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1797786	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,674,185	1,035,377		No			No	79 840 %
(14) ROSELAND PROPERTY PARTNERS LP C/O YALE UNIVERSITY PO BOX 208240 NEW HAVEN, CT 065208239 22-3283867	INVESTMENT	NJ	YALE UNIVERSITY	UNRELATED	-1,051	895,374		No	-1,051		No	60 000 %

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							Yes	No		Yes	No	
(166) NEWINGTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763113	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-290,296	439,364		No			No	79 840 %
(1) 100 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1386762	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	178,369	414,435	Yes				No	97 080 %
(2) HARBOR HILLS UTILITIES LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453800	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-15,044	375,641		No	-15,044		No	99 000 %
(3) LUXIVER NAWAB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3117734	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	15,181	363,304		No			No	100 000 %
(4) IVP V CO-INVESTMENT HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707519	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,028,344	322,396		No			No	99 600 %
(5) 4620 ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-4036667	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	93,414	281,516		No			No	83 990 %
(6) WELBORN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212127	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	308,231	278,565		No			No	79 840 %
(7) CRANBROOK LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3115503	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,796,158	174,867		No			No	79 840 %
(8) 94 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1428914	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	41,828	139,573	Yes				No	91 930 %
(9) TILDEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558781	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,921,986	124,609		No			No	79 840 %
(10) STARFAR I PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3205101	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	-9,961	54,505		No	-9,961		No	60 830 %
(11) SANGAMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0357077	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	19,925	36,836		No			No	79 840 %
(12) CORBIN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0773197	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	436,882	28,573		No			No	79 840 %
(13) ANACONDA LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0596408	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	-1,960,844	-1,140,131		No			No	98 800 %
(14) SANGAMORE II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2672293	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	10,861,153	-4,644,427	Yes		-9,257		No	79 840 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(181) GREENFIELD LAND PARALLEL PARTNERS I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4411467	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-19,202,098	-4,823,445	Yes		-9,964,346		No	98 800 %
(1) OM HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5073267	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	131,283	-4,934,536		No			No	99 600 %
(2) HARBOR HILLS COUNTRY CLUB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453797	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-473,399	-7,419,481		No	-473,399		No	99 000 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h)	(i)	
							Percentage ownership	Section 512 (b)(13) controlled entity?	Yes
(1) NEW FYI LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YALE UNIVERSITY	C	210,623,029	1,633,700,786	100 000 %	Yes	
(1) GAOLING YALI FEEDER LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	-61,058,058	701,232,622	99 800 %	Yes	
(2) CRS FUND LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0314487	INVESTMENT	CJ	CYR HOLDINGS LP	C	77,982,858	498,818,632	99 600 %	Yes	
(3) THE CAERULEUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YALE UNIVERSITY	C	162,708,733	486,084,756	100 000 %	Yes	
(4) LTR FOCUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	MP	YALE UNIVERSITY	C	42,847,817	394,689,926	100 000 %	Yes	
(5) PWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304430	INVESTMENT	DE	FOURTH CENTURY LLC	C	2,826,517	139,794,104	99 800 %	Yes	
(6) FOURTH CENTURY TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-6699631	INVESTMENT	CT	YALE UNIVERSITY	T	10,623,432	97,612,964	100 000 %	Yes	
(7) YB CAYMAN LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YB INSTITUTIONAL LP	C	2,793,738	37,829,849	97 710 %		No
(8) 3285500 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C	5,980,600	19,459,304	98 600 %	Yes	
(9) NW UK REGIONAL II (NO1) LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1208249	INVESTMENT	UK	FOURTH CENTURY LLC	C	551,024	17,075,957	99 800 %	Yes	
(10) LP VALUE LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	VQ	YALE UNIVERSITY	C	-13,216,696	13,011,251	95 170 %	Yes	
(11) CONNECTICUT BOOLA INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0798544	INVESTMENT	CT	YALE UNIVERSITY	C	702,988	10,867,210	100 000 %	Yes	
(12) MANASLU PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	MP-MF HOLDINGS LP	C	10,456,786	10,432,834	99 600 %	Yes	
(13) 2089841 ONTARIO LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	C	-795,056	4,121,936	98 800 %	Yes	
(14) NCH INVESTORS FUND (YU) CORP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	-5,003,648	3,704,076	99 800 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(16) FWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304506	INVESTMENT	DE	FOURTH CENTURY LLC	C	140	3,534,900	99 800 %	Yes	
(1) HARBOR HILLS COUNTRY CLUB INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1452585	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	C	4	43,281	100 000 %	Yes	
(2) HARBOR HILLS SALES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3343340	INVESTMENT	FL	HARBOR HILLS HOLDINGS LP	C		1,000	100 000 %	Yes	
(3) YALE UNIVERSITY HONG KONG TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	ACCEPTS CHARITABLE CONTRIBUTIONS	HK	YALE UNIVERSITY	T	299,843	25	100 000 %	Yes	
(4) DRAMA PRODUCTIONS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0851103	PERFORMING ARTS	CT	YALE UNIVERSITY	C			100 000 %	Yes	
(5) CHARITABLE POOLED INCOME FUNDS (3) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	VARIOUS	T					No
(6) CHARITABLE REMAINDER TRUSTS (47) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	YALE UNIVERSITY	T					No

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	100 HOWE STREET ASSOCIATES LLC	S	168,300	CASH VALUE
(1)	3C CORPORATION	B	4,020,937	CASH VALUE
(2)	3C CORPORATION	S	14,400,968	CASH VALUE
(3)	4620 ASSOCIATES LLC	S	85,170	CASH VALUE
(4)	5C CORPORATION	B	1,049,299	CASH VALUE
(5)	5C CORPORATION	S	4,214,249	CASH VALUE
(6)	94 HOWE STREET ASSOCIATES LLC	S	58,905	CASH VALUE
(7)	BLUEBART LP	B	100,000,000	CASH VALUE
(8)	CAERULEUS FUND	B	18,837,700	CASH VALUE
(9)	CAERULEUS FUND	S	51,625,919	CASH VALUE
(10)	CHARLES A COFFIN MEMORIAL FUND	S	300,000	CASH VALUE
(11)	DRAMA PRODUCTIONS INC	P	1,048,973	CASH VALUE
(12)	ELM STREET VENTURES LP	B	1,001,650	CASH VALUE
(13)	ELM STREET VENTURES LP	S	1,500,571	CASH VALUE
(14)	FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	B	454,332	CASH VALUE
(15)	FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	S	5,300,778	CASH VALUE
(16)	FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	B	5,300,778	CASH VALUE
(17)	FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	S	8,784,671	CASH VALUE
(18)	FIFTH CENTURY LP	B	270,151,425	CASH VALUE
(19)	FIFTH CENTURY LP	S	1,118,276,722	CASH VALUE
(20)	FOURTH CENTURY LLC	B	2,006,447,626	CASH VALUE
(21)	FOURTH CENTURY LLC	S	1,836,755,014	CASH VALUE
(22)	FOXWAY LP	B	62,500,000	CASH VALUE
(23)	HARBOR HILLS HOLDINGS LP	B	250,000	CASH VALUE
(24)	HIGH STREET PARTNERS LTD	B	75,000,000	CASH VALUE

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(26)	JONATHAN EDWARDS TRUST	S	59,129	CASH VALUE
(1)	KILIMANJARO FUND LP	B	3,812,803	CASH VALUE
(2)	LTR FOCUS FUND	B	15,000,000	CASH VALUE
(3)	LUXIVER LP	B	20,000,000	CASH VALUE
(4)	LUXIVER LP	S	65,000,000	CASH VALUE
(5)	LUXIVER NAWAB LP	S	240,000	CASH VALUE
(6)	MOTUS FUND LTD	B	40,000,000	CASH VALUE
(7)	NEW FYI LTD	B	100,000,000	CASH VALUE
(8)	PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART	B	5,879,926	CASH VALUE
(9)	STOECKEL E B RESIDUE TRUST	S	345,000	CASH VALUE
(10)	THE BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL	S	18,159,317	CASH VALUE
(11)	THE CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF THE YALE SCHOOL OF MGMT INC	S	500,382	CASH VALUE
(12)	YALE UNIVERSITY ELIZABETHAN CLUB CORP	R	617,266	CASH VALUE
(13)	YALE UNIVERSITY HONG KONG TRUST	C	299,843	CASH VALUE
(14)	YALE UNIVERSITY PRESS LONDON	M	1,071,098	CASH VALUE
(15)	YALE UNIVERSITY PRESS LONDON	L	1,079,760	CASH VALUE
(16)	YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST	Q	26,896,097	CASH VALUE
(17)	YEI INNOVATION FUND LLC	B	769,062	CASH VALUE