

Form 990-PF

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

2016

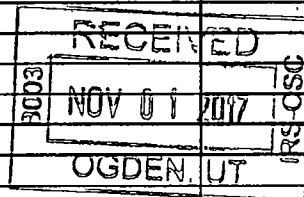
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Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning JUL 1, 2016, and ending JUN 30, 2017

Name of foundation ROLAND G. LABONTE AND MARILYN BIANCA LABONTE FAMILY FOUNDATION		A Employer identification number 05-0591190
Number and street (or P.O. box number if mail is not delivered to street address) CUMMINGS & LOCKWOOD 75 ISHAM RD 400	Room/suite	B Telephone number (860) 313-4930
City or town, state or province, country, and ZIP or foreign postal code WEST HARTFORD, CT 06107		C If exemption application is pending, check here ☐
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 569,941.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,603.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1.	1.		STATEMENT 1
	4 Dividends and interest from securities	12,320.	12,320.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	31,229.			
	b Gross sales price for all assets on line 6a	237,505.			
	7 Capital gain net income (from Part IV, line 2)		31,229.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	47,153.	43,550.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	3,603.	3,603.		0.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	2,665.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses STMT 5	3,629.	3,629.		0.	
24 Total operating and administrative expenses. Add lines 13 through 23	9,897.	7,232.		0.	
25 Contributions, gifts, grants paid	31,200.			31,200.	
26 Total expenses and disbursements. Add lines 24 and 25	41,097.	7,232.		31,200.	
27 Subtract line 26 from line 12	6,056.				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		36,318.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	12,640.	5,907.	5,907.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock STMT 6	502,728.	515,517.	564,034.	
	c Investments - corporate bonds				
		11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		515,368.	521,424.	569,941.	
Liabilities		17 Accounts payable and accrued expenses			
		18 Grants payable			
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	515,368.	521,424.		
	30 Total net assets or fund balances	515,368.	521,424.		
31 Total liabilities and net assets/fund balances	515,368.	521,424.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	515,368.
2 Enter amount from Part I, line 27a	2	6,056.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	521,424.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	521,424.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 237,505.		206,276.	31,229.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			31,229.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	31,229.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	15,500.	479,239.	.032343
2014	12,800.	451,540.	.028347
2013	10,500.	328,044.	.032008
2012	0.	0.	.000000
2011	0.	0.	.000000

2 Total of line 1, column (d)	2	.092698
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.018540
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	510,078.
5 Multiply line 4 by line 3	5	9,457.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	363.
7 Add lines 5 and 6	7	9,820.
8 Enter qualifying distributions from Part XII, line 4	8	31,200.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	363.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	363.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	363.
6 Credits/Payments.			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,628.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,628.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,265.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 1,265. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► PAUL L. BOURDEAU, ESQUIRE Telephone no. ► (860) 313-4930		
Located at ► CUMMINGS & LOCKWOOD 75 ISHAM 400, W HARTFORD CT, ZIP+4 ► 06107		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROLAND G. LABONTE	TRUSTEE			
CUMMINGS & LOCKWOOD, 75 ISHAM RD #400	0.00	0.	0.	0.
WEST HARTFORD, CT 06107				
MARILYN P. LABONTE	TRUSTEE			
CUMMINGS & LOCKWOOD, 75 ISHAM RD #400	0.00	0.	0.	0.
WEST HARTFORD, CT 06107				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	500,960.
b	Average of monthly cash balances	1b	16,886.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	517,846.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	517,846.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,768.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	510,078.
6	Minimum investment return. Enter 5% of line 5	6	25,504.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	25,504.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	363.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	363.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	25,141.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	25,141.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	25,141.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	31,200.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,200.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	363.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,837.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				25,141.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			21,636.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 31,200.				
a Applied to 2015, but not more than line 2a			21,636.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				9,564.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				15,577.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

2016.04030 ROLAND G. LABONTE AND MARIL 544678 1

ROLAND G. LABONTE AND MARILYN BIANCA

Form 990-PF (2016)

LABONTE FAMILY FOUNDATION

05-0591190 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ADMIRALS COVE FOUNDATION 200 ADMIRALS COVE BOULEVARD JUPITER, FL 33477	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	10,000.
ALZHEIMER'S DISEASE RESEARCH 22512 GATEWAY CENTER DRIVE CLARKSBURG, MD 20871	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	500.
ARTHRITIS FOUNDATION 35 COLD SPRING ROAD STE 411 ROCKY HILL, CT 06067	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	2,000.
CONNECTICUT CHILDREN'S MEDICAL CENTER 282 WASHINGTON STREET HARTFORD, CT 06106	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	1,000.
HABITAT FOR HUMANITY 121 HABITAT STREET AMERICUS, GA 31709	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	1,000.
Total	SEE CONTINUATION SHEET(S)			31,200.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2016)

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	1.		
4 Dividends and interest from securities			14	12,320.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	31,229.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		43,550.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	43,550.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

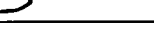
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	 Signature of officer or trustee		10/17/17 Date	TRUSTEE Title	
Paid Preparer Use Only	Print/Type preparer's name CATHERINE LEE SCHETTINI		Preparer's signature 		Date 10/16/17
	Check ☐ if self-employed				PTIN P01963499
	Firm's name ▶ CUMMINGS & LOCKWOOD LLC				Firm's EIN ▶ 06-0312590
	Firm's address ▶ 75 ISHAM #400 W HARTFORD, CT 06107				Phone no. 860-313-4900

ROLAND G. LABONTE AND MARILYN BIANCA
LABONTE FAMILY FOUNDATION

05-0591190

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARTFORD HOSPITAL PO BOX 5037 HARTFORD, CT 06102	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	1,000.
JUPITER MEDICAL CENTER FOUNDATION INC. 1210 SOUTH OLD DIXIE HIGHWAY JUPITER, FL 33458	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	2,500.
NATIONAL WORLD WAR II MUSEUM, INC 945 MAGAZINE STREET NEW ORLEANS, LA 70130	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	2,000.
RICHARD DAVID KAHN MELANOMA TASK FORCE INC 2751 S. DIXIE HIGHWAY STE 2A WEST PALM BEACH, FL 33405	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	1,500.
TICO TORRES CHILDREN FOUNDATION INC 8540 SW 52ND AVENUE MIAMI, FL 33143	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	2,200.
UNIVERSITY OF ST. JOSEPH 1678 ASYLUM AVENUE WEST HARTFORD, CT 06117	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	1,000.
WINNERS CIRCLE CHARITIES 825 PARKWAY STE 7 JUPITER, FL 33477	NONE	PUBLIC	CURRENT USE FOR GENERAL CHARITABLE PURPOSES	6,500.
Total from continuation sheets				16,700.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

ROLAND G. LABONTE AND MARILYN BIANCA
LABONTE FAMILY FOUNDATION

Employer identification number

05-0591190

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization ROLAND G. LABONTE AND MARILYN BIANCA LABONTE FAMILY FOUNDATION	Employer identification number 05-0591190
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROLAND G. & MARILYN BIANCA LABONTE CUMMINGS & LOCKWOOD, 75 ISHAM RD., STE 400 WEST HARTFORD, CT 06107	\$ 3,603.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

ROLAND G. LABONTE AND MARILYN BIANCA
LABONTE FAMILY FOUNDATION

Employer identification number

05-0591190

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	208 SHS CONSUMER DISCRETIONARY SELECT SECTOR SPDR	P	08/24/15	07/07/16
b	483.325 SHS JOHN HANCOCK INTL GROWTH	P	08/18/15	12/09/16
c	3,082.173 SHS NUVEEN NWQ LARGE CAP VALUE	P	VARIOUS	02/15/17
d	327.055 SHS NUVEEN NWQ LARGE CAP VALUE	P	VARIOUS	02/15/17
e	6,800.374 SHS LORD ABBETT SHORT DURATION INCOME	P	VARIOUS	03/01/17
f	109.405 SHS MAINSTAY MARKETFIELD	P	VARIOUS	03/01/17
g	844.446 SHS MFS NEW DISCOVERY	P	VARIOUS	03/01/17
h	598.918 SHS OPPENHEIMER DEVELOPING MARKETS	P	VARIOUS	03/01/17
i	382.348 SHS PUTNAM EQUITY SPECTRUM	P	VARIOUS	03/01/17
j	1,147.964 SHS TOUCHSTONE SANDS CAPITAL INSTL GROW	P	VARIOUS	03/01/17
k	539.125 SHS FRANKLIN UTILITIES ADVISOR	P	VARIOUS	03/01/17
l	300.191 SHS LORD ABBETT SHORT DURATION INCOME	P	VARIOUS	03/01/17
m	18.668 SHS MFS NEW DISCOVERY	P	12/14/16	03/01/17
n	3.163 SHS OPPENHEIMER DEVELOPING MARKETS	P	12/05/16	03/01/17
o	65.834 SHS TOUCHSTONE SANDS CAPITAL INSTL GROWTH	P	12/14/16	03/01/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,467.		14,974.	1,493.
b 10,000.		10,631.	<631.>
c 23,918.		41,965.	<18,047.>
d 2,538.		2,495.	43.
e 29,242.		31,008.	<1,766.>
f 1,636.		1,953.	<317.>
g 23,518.		24,722.	<1,204.>
h 20,693.		22,334.	<1,641.>
i 15,202.		16,606.	<1,404.>
j 23,602.		26,322.	<2,720.>
k 10,023.		10,144.	<121.>
l 1,291.		1,298.	<7.>
m 520.		493.	27.
n 109.		100.	9.
o 1,354.		1,231.	123.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,493.
b			<631.>
c			<18,047.>
d			43.
e			<1,766.>
f			<317.>
g			<1,204.>
h			<1,641.>
i			<1,404.>
j			<2,720.>
k			<121.>
l			<7.>
m			27.
n			9.
o			123.

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7)

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PROMISSORY NOTE FROM BANYON 1030-32 INVESTMENT LI	P		11/17/16
b	PROMISSORY NOTE FROM BANYON 1030-32 INVESTMENT LI	P		01/11/17
c	PROMISSORY NOTE FROM BANYON 1030-32 INVESTMENT LI	P		04/03/17
d	PFIZER DISTRIBUTION	P		04/04/17
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,030.			2,030.
b 13,698.			13,698.
c 33,952.			33,952.
d 61.			61.
e 7,651.			7,651.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,030.
b			13,698.
c			33,952.
d			61.
e			7,651.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	31,229.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LPL FINANCIAL ACCT #2618-6706	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LPL FINANCIAL ACCOUNT	19,971.	7,651.	12,320.	12,320.	
TO PART I, LINE 4	19,971.	7,651.	12,320.	12,320.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUMMINGS & LOCKWOOD LLC - LEGAL FEES	3,603.	3,603.		0.
TO FM 990-PF, PG 1, LN 16A	3,603.	3,603.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX ON FORM 990-PF FYE 6/30/16	1,037.	0.		0.
ESTIMATED TAX ON FORM 990-PF FYE 6/30/17	1,628.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,665.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LPL FINANCIAL - ADVISORY FEES	3,629.	3,629.		0.
TO FORM 990-PF, PG 1, LN 23	3,629.	3,629.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	6,026.	8,422.
SEE ATTACHED SCHEDULE	509,491.	555,612.
TOTAL TO FORM 990-PF, PART II, LINE 10B	515,517.	564,034.

Account Holdings as of June 30, 2017

CASH AND CASH EQUIVALENTS

Description	Interest Paid in June ¹	Blended Interest Rate ¹	Current Balance
Cash			\$823.20
Insured Cash Account ²			
SunTrust Bank			5,084.23
Total Insured Cash Account	0.04	0.010%	5,084.23
TOTAL CASH AND CASH EQUIVALENTS			\$5,907.43

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ³	Unrealized Gain or Loss	Estimated Annual Income ^a	Estimated 30-Day Yield ^a
04/05/17	WHOLE FOODS MARKET INC C WFM	200	\$42.11	\$8,422.00	\$30.1300	\$6,026.00 6,026.00	\$2,396.00	\$144	1.71%
TOTAL EQUITIES AND OPTIONS				\$8,422.00		\$6,026.00 \$6,026.00	\$2,396.00	\$144	

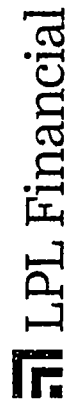
C Dividends and/or capital gains distributed by this security will be distributed as cash

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ³	Unrealized Gain or Loss	Estimated Annual Income ^a	Estimated 30-Day Yield ^a
10/29/13*	BLACKROCK R HIGH YIELD BOND INSTL CL BHYX	3,314.54	\$7.77	\$25,753.97	\$8.17	\$27,075.25 21,526.24	-\$1,321.28	\$1,429	5.65%
10/08/13*	HARBOR R CAP APPREC INSTL CL HACX	432.012	66.44	28,702.87	52.44	22,655.06 17,514.73	6,047.81	23	0.09%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 4

¹ Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.
² Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.
³ Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
^a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated



Questions? Contact Joan Valenti
 (860)677-7790

Account Holdings / Investment Account Strategic Asset Management II 2618-6706



Account Holdings as of June 30, 2017

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ³	Unrealized Gain or Loss	Estimated Annual Income ³	Estimated 30-Day Yield ^a
10/08/13* Purchases	HARTFORD R GROWTH OPPTY CL I HGOIX	1,348 348	43.63	58,828.42	39.18	52,832.24 41,525.24	5,996.18	—	—
03/03/17* Purchases	INVERSCO R DIVERSIFIED DIVIDEND CL Y LCEYX	1,315 576	19.79	26,035.24	19.93	26,219.76 26,000.00	-184.52	482	1.85%
11/22/13 Purchases	ISHARES U S REAL ESTATE ETF IYR	408	79.77	32,546.16	63.30	25,825.58 25,825.58	6,720.58	1,320	4.06%
03/03/17 Purchases	ISHARES U S FINANCIAL SERVICES ETF IYV	175	113.37	19,839.75	114.55	20,046.22 20,046.22	-206.47	247	1.25%
03/03/17* Purchases	ISHARES U S ETF IYV	260	121.33	31,545.80	119.35	31,032.25 31,032.25	513.55	534	1.70%
11/22/13 Purchases	ISHARES U S PREFERRED STOCK ETF PFF	1,132	39.17	44,340.44	38.01	43,026.19 43,026.19	1,314.25	2,485	5.60%
11/22/13* Purchases	ISHARES CORE S&P 500 ETF IIV	139	243.41	33,833.99	189.85	26,388.54 26,388.54	7,445.45	616	1.82%
04/05/17 Purchases	ISHARES RUSSELL 1000 GROWTH ETF IIVF	87	119.02	10,354.74	114.19	9,934.31 9,934.31	420.43	127	1.23%
10/29/13 Purchases	ISHARES S&P MID CAP 400 GROWTH ETF IJK	150	196.62	29,493.80	143.74	21,560.99 21,560.99	7,932.01	116	0.39%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 5

3 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
 a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

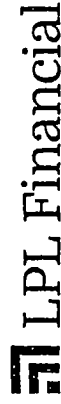
Account Holdings as of June 30, 2017

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ³	Unrealized Gain or Loss	Estimated Annual Income ^a	Estimated 30-Day Yield ^a
08/28/15*	JOHN HANCOCK R INTL GROWTH CLI GOGIX	869 934	24.69	21,478.67	21.22	18,457.72 18,156.23	3,020.95	166	0.78%
03/03/17*	MFS VALUE R CLI MEIIX	680 586	39.25	26,713.00	38.50	26,203.22 26,000.00	509.78	504	1.89%
10/08/13*	PIMCO R INCOME CLP PONPX	4,419 045	12.35	54,575.20	12.23	54,045.67 43,052.48	529.53	2,865	5.30%
03/03/17*	POWERSHARES RUSSELL 2000 EQUAL WEIGHT PORT ETF EQWS	662	39.99	26,473.38	39.24	25,977.08 25,977.08	496.30	327	1.24%
03/31/14	POWERSHARES DYNAMIC BUYBACK ACHIEVERS ETF PKW	344	53.67	18,462.48	43.58	14,993.14 14,993.14	3,469.34	201	1.09%
11/22/13*	T ROWE PRICE R HEALTH SCIENCES PRHSX	299 225	70.63	21,134.26	61.77	18,482.78 12,915.00	2,651.48	—	—
04/05/17*	T ROWE PRICE R SUMMIT MUN INTERMEDIATE PRSMX	596 313	11.89	7,090.16	11.78	7,024.80 7,000.00	65.36	184	2.61%
04/05/17	VANGUARD SHORT TERM INFLATION PROTECTED SECURITIES ETF VTIP	141	49.23	6,941.43	49.55	6,986.07 6,986.07	-44.64	52	0.76%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 6

3 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
 a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.



Questions? Contact Joan Valenti
 (860)677-7790

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Account Holdings as of June 30, 2017

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ³	Unrealized Gain or Loss	Estimated Annual Income ^a	Estimated 30-Day Yield ^e
03/03/17*	WESTERN ASSET R	2,671.429	11.78	31,469.43	11.50	30,724.32	745.11	1,433	4.56%
Purchases	CORE PLUS BOND CLI WACPX					30,500.00			

TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

\$555,612.39 \$46,121.20 \$13,111

* Date of Earliest Acquisition

R Dividends and/or capital gains distributed by this security will be reinvested

Value of Your LPL Financial Account

\$569,941.82 \$48,517.20 \$13,255

3 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

4 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

