



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Department of the Treasury  
Internal Revenue Service

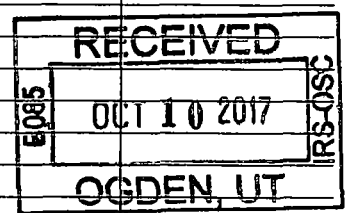
▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2016 or tax year beginning **07/01/16**, and ending **06/30/17**

Name of foundation <b>ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST</b>		A Employer identification number <b>02-6131630</b>
Number and street (or P O box number if mail is not delivered to street address) <b>2913 CRABAPPLE LANE</b>	Room/suite	B Telephone number (see instructions) <b>410-750-2554</b>
City or town state or province country and ZIP or foreign postal code <b>ELLICOTT CITY MD 21042</b>		C If exemption application is pending check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>	D <b>1</b> Foreign organizations check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A) check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>104,326</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here <input type="checkbox"/>
▶ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	5,135	(STMT #1)		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	18	18		
	4 Dividends and interest from securities	576	576		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,597			
	b Gross sales price for all assets on line 6a	2,597			
	7 Capital gain net income (from Part IV, line 2)		2,597		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	8,326	3,191	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) <b>SEE STMT #1</b>	600	600		
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) <b>STMT #1</b>	61	21		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) <b>STMT #1</b>	262	24		
	24 Total operating and administrative expenses. Add lines 13 through 23	923	645	0	0
25 Contributions, gifts, grants paid	12,000			12,000	
26 Total expenses and disbursements. Add lines 24 and 25	12,923	645	0	12,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-4,597				
b Net investment income (if negative, enter -0-)		2,546			
c Adjusted net income (if negative, enter -0-)			0		



SCANNED OCT 31 2017

G22 7

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	5,010	23,467	23,467
	2 Savings and temporary cash investments	56,334	30,128	30,128
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) <b>SEE STMT #2</b>	44,223	47,375	50,731
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch ) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land buildings, and equipment basis ▶ Less accumulated depreciation (attach sch ) ▶			
15 Other assets (describe ▶ )				
16 <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	105,567	100,970	104,326	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	105,567	100,970	
30 <b>Total net assets or fund balances</b> (see instructions)	105,567	100,970		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	105,567	100,970		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	105,567
2 Enter amount from Part I, line 27a	2	-4,597
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	100,970
5 Decreases not included in line 2 (itemize) ▶	5	
6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) – Part II, column (b), line 30	6	100,970

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate 2-story brick warehouse or common stock 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo day, yr)	(d) Date sold (mo day yr)
<b>1a CAPITAL GAIN DISTRIBUTIONS</b>				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,597			2,597	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			2,597	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		2	2,597
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	5,960	101,354	0.058804
2014	5,973	109,329	0.054633
2013	6,989	113,181	0.061751
2012	5,988	109,316	0.054777
2011	5,987	107,508	0.055689
2 Total of line 1, column (d)			2 0.285654
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.057131
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 103,146
5 Multiply line 4 by line 3			5 5,893
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 25
7 Add lines 5 and 6			7 5,918
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 12,000

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	25
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	25
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	25
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	25
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities (continued)**

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<b>X</b>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<b>X</b>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <b>N/A</b>	13	<b>X</b>	
14 The books are in care of ▶ <b>ELAINE M. MIDDLETON</b> Telephone no ▶ <b>410-750-2554</b> <b>2913 CRABAPPLE ROAD</b> Located at ▶ <b>ELLCOTT CITY</b> MD ZIP+4 ▶ <b>21042</b>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	N/A	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions )	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 )	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	<b>X</b>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here ▶

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6a, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **N/A**

	5b	<b>X</b>
	6b	<b>X</b>
	7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED STATEMENT #3	0.65	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** ▶ **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** Five highest-paid independent contractors for professional services (see instructions) If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
3 All other program related investments. See instructions	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	46,326
b	Average of monthly cash balances	1b	58,391
c	Fair market value of all other assets (see instructions)	1c	0
d	<b>Total</b> (add lines 1a b, and c)	1d	104,717
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	104,717
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	1,571
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	103,146
6	<b>Minimum investment return</b> Enter 5% of line 5	6	5,157

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

1	Minimum investment return from Part X, line 6	1	5,157
2a	Tax on investment income for 2016 from Part VI, line 5	2a	25
b	Income tax for 2016 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	25
3	Distributable amount before adjustments Subtract line 2c from line 1	3	5,132
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	5,132
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	5,132

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	12,000
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	12,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	25
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	11,975

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,132
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014			429	
e From 2015			972	
f Total of lines 3a through e	1,401			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>12,000</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2016 distributable amount				5,132
e Remaining amount distributed out of corpus	6,868			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,269			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	8,269			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014			429	
d Excess from 2015			972	
e Excess from 2016			6,868	

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling		▶ <b>N/A</b>			
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  
**N/A**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs.**  
Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
**N/A**

b The form in which applications should be submitted and information and materials they should include  
**N/A**

c Any submission deadlines  
**N/A**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**N/A**

**Part XV** Supplementary Information *(continued)*

**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> <b>SEE ATTACHED STATEMENT #4</b>				12,000
<b>Total</b>			▶ 3a	12,000
b <i>Approved for future payment</i> <b>N/A</b>				
<b>Total</b>			▶ 3b	





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

### Schedule of Contributors

OMB No 1545 0047

# 2016

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

<b>Name of the organization</b> <b>ASSOCIATED UTILITY CONTRACTORS</b> <b>EDUCATIONAL TRUST</b>	<b>Employer identification number</b> <b>02-6131630</b>
--	--

Organization type (check one)

- | Filers of          | Section.   |
|--------------------|--|
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)( ) (enter number) organization<br><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation<br><input type="checkbox"/> 527 political organization         |
| Form 990-PF        | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation<br><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation<br><input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

#### Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub> % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year.

▶ S

**Caution** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>ASSOCIATED UTILITY CONTRACTORS</b>	Employer identification number <b>02-6131630</b>
---	---

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	<b>W.F. WILSON AND SONS, INC.</b> <b>6865 MEADOWRIDGE ROAD</b>  <b>ELKRIDGE MD 21075</b>	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
 EIN: 02-6131630  
 FOR THE YEAR ENDED JUNE 30, 2017  
 2016 FORM 990-PF

SUPPORTING SCHEDULE #1

PAGE 1, PART I, LINE 1 - CONTRIBUTIONS RECEIVED

NAME & ADDRESS	DESCRIPTION	DATE OF CONTRIBUTION	AMOUNT
W.F. WILSON AND SONS, INC. 6865 MEADOWRIDGE ROAD ELKRIDGE, MD 21075	CASH	12/13/16	5,000.00
MISCELLANEOUS CASH CONTRIBUTIONS	CASH	VARIOUS	135.00
TOTAL CONTRIBUTIONS			<u>5,135.00</u>

PAGE 1, PART I, LINE 16a - LEGAL FEES

	COL (a)	COL (b)	COL (d)
FRIEDMAN & FRIEDMAN, LLP - TAX RETURN PREPARATION FEE (FYE 6/30/16)	600.00	600.00	0.00
TOTAL LEGAL FEES	<u>600.00</u>	<u>600.00</u>	<u>0.00</u>

PAGE 1, PART I, LINE 18 - TAXES

	COL (a)	COL (b)	COL (d)
U.S. TREASURY - FORM 990-PF (FYE 6/30/16)	40.00	0.00	0.00
FOREIGN TAXES ON INVESTMENT INCOME	21.05	21.05	0.00
TOTAL TAXES	<u>61.05</u>	<u>21.05</u>	<u>0.00</u>

PAGE 1, PART I, LINE 23 - OTHER EXPENSES

	COL (a)	COL (b)	COL (d)
BANK FEES - MONTHLY SERVICE FEE	24.00	24.00	0.00
U.S. TREASURY - FORM 990-PF PENALTY	238.12	0.00	0.00
TOTAL TAXES	<u>262.12</u>	<u>24.00</u>	<u>0.00</u>

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
EIN: 02-6131630  
FOR THE YEAR ENDED JUNE 30, 2017  
2016 FORM 990-PF

---

SUPPORTING SCHEDULE #2

---

CORPORATE STOCK/MUTUAL FUNDS - PAGE 2, PART II, LINE 10b

<u>PURCH DATE</u>	<u># SHS</u>	<u>DESCRIPTION</u>	<u>(b) BOOK</u>	<u>(c) MARKET</u>
VARIOUS	2,131.552	T. ROWE PRICE SPECTRUM GROWTH FUND	47,375.24	50,730.94
TOTAL CORPORATE STOCK/MUTUAL FUNDS			<u>47,375.24</u>	<u>50,730.94</u>

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
 EIN: 02-6131630  
 FOR THE YEAR ENDED JUNE 30, 2017  
 2016 FORM 990-PF

SUPPORTING SCHEDULE #3

PAGE 6, PART VIII - OFFICERS, DIRECTORS, TRUSTEES

(a) NAME & ADDRESS	(b) TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	(c) COMPENSATION	(d) CONTR. TO EMPLOYEE BENEFIT PLANS	(e) EXPENSE ACCOUNT
Robert F. Webbert 15425 Falls Road Sparks, MD 21152	Trustee 0.10 Hours	0.00	0.00	0.00
Robert J. Weller 1702 Bordeaux Court Fallston, MD 21047	Trustee 0.10 Hours	0.00	0.00	0.00
Donald Cross 47 East Telegraph Road Airville, PA 17302	Trustee 0.10 Hours	0.00	0.00	0.00
Elaine M. Middleton 2913 Crabapple Lane Ellicott City, MD 21042	Trustee 0.25 Hours	0.00	0.00	0.00
Arthur I. Bell, III 324 Central Avenue Glyndon, MD 21071	Trustee 0.10 Hours	0.00	0.00	0.00
Total	0.65 Hours	0.00	0.00	0.00

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
 EIN: 02-6131630  
 FOR THE YEAR ENDED JUNE 30, 2017  
 2016 FORM 990-PF

SUPPORTING SCHEDULE #4

PAGE 11, PART XV, #3a - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

	NAME & ADDRESS	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
1	DELAWARE VALLEY UNIVERSITY AND MARGUERITE RYAN 700 E. BUTLER AVENUE DOYLESTOWN, PA 18901	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
2	LIU BROOKLYN AND LYNDIA TROUT OFFICE OF ADMISSIONS 1 UNIVERSITY PLAZA BROOKLYN, NY 11201	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
3	CLEMSON UNIVERSITY AND CATLIN DARLING OFFICE OF STUDENT FINANCIAL SERVICES P.O. BOX 345307, G-08 SIKES HALL CLEMSON, SC 29634	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
4	GEORGE WASHINGTON UNIVERSITY AND CAMERON SWANSON OFFICE OF STUDENT FINANCIAL ASSISTANCE 800 21ST STREET, N.W. WASHINGTON, DC 20052	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
5	SALISBURY UNIVERSITY AND BRANDON WASHINGTON 1101 CAMDEN AVENUE SALISBURY, MD 21801	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
6	GEORGETOWN UNIVERSITY AND TIMOTHY COAN 3700 O STREET, N.W.. WASHINGTON, DC 20057	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
7	GETTYSBURG COLLEGE AND ARDEN SCHEETZ 300 N. WASHINGTON STREET GETTYSBURG, PA 17325	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
8	DUQUESNE UNIVERSITY AND EMILY KRIEGER 600 FORBES AVENUE PITTSBURGH, PA 15282	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
 EIN: 02-6131630  
 FOR THE YEAR ENDED JUNE 30, 2017  
 2016 FORM 990-PF

SUPPORTING SCHEDULE #4

PAGE 11, PART XV, #3a - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

NAME & ADDRESS	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
9 SAN DIEGO STATE UNIVERSITY AND TAYLOR WARCH 5500 CAMPANILE DRIVE SAN DIEGO, CA 92182	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
10 SAM HOUSTON STATE UNIVERSITY AND JESSICA RANDOLPH 1903 UNIVERSITY, ESTILL 201 BOX 2328 HUNTSVILLE, TX 77341	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
11 HARFORD COMMUNITY COLLEGE AND KARA ASHLEIGH TYREE 401 THOMAS RUN ROAD BEL AIR, MD 21014	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
12 HARFORD COMMUNITY COLLEGE AND MIKENZIE ROMANO 401 THOMAS RUN ROAD BEL AIR, MD 21014	NONE	501(c)(3)	EDUCATION (SCHOLARSHIP)	1,000.00
TOTAL				<u>12,000.00</u>

ASSOCIATED UTILITY CONTRACTORS EDUCATIONAL TRUST  
EIN: 02-6131630  
FOR THE YEAR ENDED JUNE 30, 2017  
2016 FORM 990-PF

---

SUPPORTING SCHEDULE #5

---

PAGE 13, PART XVII, #2b - ORGANIZATIONS DIRECTLY OR INDIRECTLY AFFILIATED

<u>(a) NAME OF ORGANIZATION</u>	<u>(b) TYPE OF ORGANIZATION</u>	<u>(c) DESCRIPTION OF RELATIONSHIP</u>
ASSOCIATED UTILITY CONTRACTORS ("AUC") OF MARYLAND	501(c)(6)	THE FOUNDATION SHARES COMMON TRUSTEES, DIRECTORS AND/OR OFFICERS WITH AUC OF MARYLAND