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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning 7/1/2016, and ending 6/30/2017

Name of foundation **CARITA FOUNDATION-IMA**

Number and street (or P O box number if mail is not delivered to street address) **C/O BNY MELLON TRUST OF DELAWARE - P O. Box 185**

City or town, state or province, country, and ZIP or foreign postal code **Pittsburgh PA 15230-0185**

Foreign country name Foreign province/state/country Foreign postal code

A Employer identification number 02-0733877

B Telephone number (see instructions) (610) 254-1199

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,214,614

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	509,165			
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	79,563	78,834		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	155,592			
b	Gross sales price for all assets on line 6a 1,082,423				
7	Capital gain net income (from Part IV, line 2)		155,592		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	744,320	234,426	0	
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	24,670	14,802		9,868
17	Interest				
18	Taxes (attach schedule) (see instructions)	1,931	1,189		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	487	60		427
24	Total operating and administrative expenses. Add lines 13 through 23	27,088	16,051	0	10,295
25	Contributions, gifts, grants paid	220,300			220,300
26	Total expenses and disbursements. Add lines 24 and 25	247,388	16,051	0	230,595
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	496,932			
b	Net investment income (if negative, enter -0-)		218,375		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	83,794	22,743	22,743
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,232,954	3,725,655	5,191,871
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,316,748	3,748,398	5,214,614
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	3,316,748	3,748,398	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	3,316,748	3,748,398	
	31	Total liabilities and net assets/fund balances (see instructions)	3,316,748	3,748,398	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,316,748
2	Enter amount from Part I, line 27a	2	496,932
3	Other increases not included in line 2 (itemize) ▶ RECOVERY OF PRIOR YEAR GRANT	3	1,000
4	Add lines 1, 2, and 3	4	3,814,680
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	66,282
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,748,398

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Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	155,592
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	235,777	4,244,526	0.055548
2014	273,524	4,577,938	0.059748
2013	214,487	4,369,959	0.049082
2012	199,094	3,974,220	0.050096
2011	266,365	3,769,415	0.070665
2 Total of line 1, column (d)			2 0.285139
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.057028
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 4,527,024
5 Multiply line 4 by line 3			5 258,167
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,184
7 Add lines 5 and 6			7 260,351
8 Enter qualifying distributions from Part XII, line 4			8 230,595
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		4,368
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		4,368
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		4,368
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,631	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		1,631
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <i>PL w/Ect</i>	9		2,737
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (610) 254-1199 Located at ▶ P O BOX 185 PITTSBURGH, PA ZIP+4 ▶ 15230-0185			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MR. & MRS. ROBERT C. LEGNINI 179 GRUBB ROAD MALVERN, PA 19355	TRUSTEE 1 00	0	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	NONE

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	4,517,157
b	Average of monthly cash balances	1b	78,806
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,595,963
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,595,963
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	68,939
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,527,024
6	Minimum investment return. Enter 5% of line 5	6	226,351

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	226,351
2a	Tax on investment income for 2016 from Part VI, line 5	2a	4,368
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,368
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	221,983
4	Recoveries of amounts treated as qualifying distributions	4	1,000
5	Add lines 3 and 4	5	222,983
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	222,983

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	230,595
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	230,595
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	230,595

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				222,983
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011	60,776			
b From 2012	1,557			
c From 2013	3,171			
d From 2014	49,667			
e From 2015	26,841			
f Total of lines 3a through e	142,012			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 230,595				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				222,983
e Remaining amount distributed out of corpus	7,612			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	149,624			
b Prior years' undistributed income Subtract line 4b from line 2b			0	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	60,776			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	88,848			
10 Analysis of line 9				
a Excess from 2012	1,557			
b Excess from 2013	3,171			
c Excess from 2014	49,667			
d Excess from 2015	26,841			
e Excess from 2016	7,612			

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total				3a 220,300
b <i>Approved for future payment</i> NONE				
Total				3b NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	79,563	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	155,592	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		235,155	0
13	Total. Add line 12, columns (b), (d), and (e)				13	235,155

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

CARITA FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GESU SCHOOL

Street

1700 W THOMPSON STREET

City

PHILADELPHIA

State

PA

Zip Code

19121

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

14,000

Name

THE SHIPLEY SCHOOL

Street

814 YARROW STREET

City

BRYN MAWR

State

PA

Zip Code

19010

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

VILLANOVA UNIVERSITY

Street

800 LANCASTER AVENUE

City

VILLANOVA

State

PA

Zip Code

19085

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

AMERICAN PHILOSOPHICAL SOCIETY

Street

104 S 5TH STREET

City

PHILADELPHIA

State

PA

Zip Code

19106

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

NORTH CAROLINA OUTWARD BOUND SCHOOL

Street

2582 RICEVILLE ROAD

City

ASHEVILLE

State

NC

Zip Code

28805

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

ACADEMY OF NATURAL SCIENCES

Street

1900 BENJAMIN FRANKLIN PARKWAY

City

PHILADELPHIA

State

PA

Zip Code

19103

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

CARITA FOUNDATION-IMA

02-0733877 Page 2 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

PARTNERS IN HEALTH

Street

P O BOX 996

City

FREDERICK

State

MD

Zip Code

21705-9942

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

THE TRUST FOR PUBLIC LAND

Street

101 MONTGOMERY STREET, SUITE 900

City

SAN FRANCISCO

State

CA

Zip Code

94104

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

ST JAMES SCHOOL

Street

201 BROAD STREET

City

SEWICKLEY

State

PA

Zip Code

15143

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

CRADLES TO CRAYONS

Street

30 CLIPPER ROAD, P O BOX 799

City

WEST CONSHOHOCKEN

State

PA

Zip Code

19428

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

STARFINDER FOUNDATION

Street

4015 MAIN STREET

City

PHILADELPHIA

State

PA

Zip Code

19127

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

MLAR

Street

1149 PIKE SPRINGS ROAD

City

CHESTER SPRINGS

State

PA

Zip Code

19425

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

13,800

CARITA FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

WORLD WILDLIFE FUND

Street

1250 24TH STREET, N W

City

WASHINGTON

State

DC

Zip Code

20037

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

STRONG WOMEN STRONG GIRLS

Street

2200 SARAH STREET

City

PITTSBURGH

State

PA

Zip Code

15203

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

MONTANA RAPTOR CONSERVATION CENTER

Street

161 BENT WING ROAD

City

BOZEMAN

State

MT

Zip Code

59715

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

NATIONAL WOMEN'S HISTORY MUSEUM

Street

205 S WHITING STREET, SUITE 254

City

ALEXANDRIA

State

VA

Zip Code

22304

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

ELMWOOD PARK ZOO

Street

1661 HARDING BOULEVARD

City

NORRISTOWN

State

PA

Zip Code

19401

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

WNYC RADIOLAB

Street

160 VARICK STREET

City

NEW YORK

State

NY

Zip Code

10013

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,500

CARITA FOUNDATION-IMA

02-0733877 Page 4 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CHILD'S PLAY

Street

8040 161ST AVENUE NE PMB #418

City

REDMOND

State

WA

Zip Code

98052

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

ED SNIDER YOUTH HOCKEY FOUNDATION

Street

3601 S BROAD STREET

City

PHILADELPHIA

State

PA

Zip Code

19148

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

PEOPLE AND CARNIVORES

Street

104 E MAIN STREET #307

City

BOZEMAN

State

MT

Zip Code

59715

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

AGNES IRWIN SCHOOL

Street

275 S ITHAN AVENUE

City

BRYN MAWR

State

PA

Zip Code

19010

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

FOOD & FRIENDS, INC

Street

219 RIGGS ROAD NE

City

WASHINGTON

State

DC

Zip Code

20011

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

LAUREL HOUSE

Street

197 W MAIN STREET

City

UNIONTOWN

State

PA

Zip Code

15401

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

CARITA FOUNDATION-IMA

02-0733877 Page 5 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

D C ABORTIONS FUND

Street

P O BOX 65061

City

WASHINGTON

State

DC

Zip Code

20035

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

D C CENTRAL KITCHEN

Street

425 2ND STREET, NW

City

WASHINGTON

State

DC

Zip Code

20001

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

WASHINGTON HUMANE SOCIETY

Street

71 OGLETHORPE STREET NW

City

WASHINGTON

State

DC

Zip Code

20011

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

PLANNED PARENTHOOD OF METROPOLITAN WASHINGTON, DC, INC

Street

1225 4TH STREET NE

City

WASHINGTON

State

DC

Zip Code

20002

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

CAPITAL AREA FOOD BANK

Street

4900 PUERTO RICO AVENUE NE

City

WASHINGTON

State

DC

Zip Code

20017

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

THRIVE DC

Street

1525 NEWTON STREET NW G1

City

WASHINGTON

State

DC

Zip Code

20010

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

CARITA FOUNDATION-IMA

02-0733877 Page 6 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

TAHIRIH JUSTICE CENTER

Street

6402 ARLINGTON BOULEVARD, SUITE 300

City

FALLS CHURCH

State

VA

Zip Code

22042

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

OPEN ARMS HOUSING

Street

57 O STREET NW

City

WASHINGTON

State

DC

Zip Code

20001

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

MID-ATLANTIC INNOCENCE PROJECT

Street

325 CARE BOULEVARD

City

NEW YORK

State

NY

Zip Code

10000

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

LEGAL AID SOCIETY OF THE DISTRICT OF COLUMBIA

Street

1331 H STREET NW

City

WASHINGTON

State

DC

Zip Code

20005

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

BRAINFOOD

Street

900 MASSACHUSETTS AVENUE NW

City

WASHINGTON

State

DC

Zip Code

20001

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

AYUDA

Street

1700 N MOORE STREET, SUITE 2000

City

ARLINGTON

State

VA

Zip Code

22209

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

CARITA FOUNDATION-IMA

02-0733877 Page 7 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SENTENCING PROJECT

Street

1705 DESALES STREET NW, 8TH FLOOR

City

WASHINGTON

State

DC

Zip Code

20036

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

GRADUATE SCHOOL OF SOCIAL WORK & SOCIAL RESEARCH

Street

300 AIRDALE ROAD

City

BRYN MAWR

State

PA

Zip Code

19010

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

MPBN - MAINE PUBLIC BROADCASTING NETWORK

Street

63 TEXAS AVENUE

City

BANGOR

State

ME

Zip Code

04401

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

SEED SAVERS EXCHANGE

Street

3094 NORTH WINN ROAD

City

DECORAH

State

IA

Zip Code

52101

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

LAFAYETTE COLLEGE

Street

730 HIGH STREET

City

EASTON

State

PA

Zip Code

18042

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

WESTERN SUSTAINABILITY EXCHANGE

Street

207 S C STREET

City

LIVINGSTON

State

MT

Zip Code

59047

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

CARITA FOUNDATION-IMA

02-0733877 Page 8 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MIDCOAST HUNGER PREVENTION PROGRAM

Street

12 TENNEY WAY

City

BRUNSWICK

State

ME

Zip Code

04011

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

BEARTOOTH HUMANE ALLIANCE

Street

P O BOX 2333

City

RED LODGE

State

MT

Zip Code

59068

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

UVA - BLUE RIDGE SCHOLARS

Street

400 RAY C HUNT DRIVE

City

CHARLOTTESVILLE

State

VA

Zip Code

22904

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

FEEDING AMERICA

Street

35 E UPPER WACKER DRIVE

City

CHICAGO

State

IL

Zip Code

60601

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

HOME OF THE SPARROW

Street

969 SWEDES FORD ROAD

City

EXTON

State

PA

Zip Code

19341

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

LOYOLA COLLEGE

Street

4501 N CHARLES STREET

City

BALTIMORE

State

MD

Zip Code

21210

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

CARITA FOUNDATION-IMA

02-0733877 Page 9 of 9

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year****Name**

PHILADELPHIA ZOO

Street

3400 W GIRARD AVENUE

City

PHILADELPHIA

State

PA

Zip Code

19104

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

PRI SCIENCE FRIDAY

Street

19 WEST 44TH STREET, SUITE 412

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

THE CHESTER FUND

Street

1500 HIGHLAND AVENUE

City

CHESTER

State

PA

Zip Code

19013

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,000

Name

VIRGINIA ATHLETICS FOUNDATION

Street

1815 STADIUM ROAD

City

CHARLOTTESVILLE

State

VA

Zip Code

22903

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals													Net Gain or Loss		
Short Term CG Distributions		5,687	0	Capital Gains/Losses Other sales													1,082,423	928,831	155,592
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss					
1 CHEVRON CORPORATION	188784100	X				8/22/2011	7/27/2016	6,642	6,241					401					
2 CHEVRON CORPORATION	188784100	X				9/22/2011	6/22/2017	941	864					77					
3 CITIZENS FINANCIAL GROUP	174610105	X				1/19/2016	1/24/2017	11,958	7,353					4,605					
4 CITIZENS FINANCIAL GROUP	174610105	X				6/22/2017	6/22/2017	1,052	668					384					
5 COGNIZANT TECHNOLOGY	192448102	X				9/30/2016	11/28/2016	7,973	6,738					1,235					
6 COMCAST CORP CL A	20030N101	X				7/19/2016	8/19/2016	6,651	6,712					-61					
7 COMCAST CORP CL A	20030N101	X				5/1/2012	9/2/2016	1,981	921					1,060					
8 COMCAST CORP CL A	20030N101	X				4/18/2012	9/2/2016	3,301	1,484					1,817					
9 COMCAST CORP CL A	20030N101	X				4/18/2012	11/15/2016	6,698	2,968					3,730					
10 CONSTELLATION BRANDS INC	21036P108	X				1/6/2017	8/22/2017	1,288	1,044					244					
11 COSTCO WHSL CORP NEW	22160K105	X				12/4/2015	6/22/2017	1,143	1,168					-25					
12 DEERE & CO	244199105	X				6/16/2017	6/22/2017	749	767					-18					
13 DEVON ENERGY CORP	25179M103	X				3/17/2016	9/27/2016	17,949	12,840					5,109					
14 WALT DISNEY CO THE	254687106	X				12/6/2016	6/22/2017	942	903					39					
15 DOMINION RES INC VA NEW	25746U109	X				1/6/2016	8/23/2016	5,313	4,798					515					
16 DOMINION ENERGY INC	25746U109	X				1/6/2016	8/22/2017	788	666					102					
17 DOW CHEMICAL CO	260543103	X				10/7/2014	6/22/2017	1,273	1,000					273					
18 DYNEGY INC/NEW	26817R108	X				5/31/2016	12/7/2016	1,376	3,194					-1,818					
19 DYNEGY INC/NEW	26817R108	X				6/1/2016	12/7/2016	1,052	2,552					-1,500					
20 DYNEGY INC/NEW	26817R108	X				7/27/2016	12/8/2016	3,213	6,455					-3,242					
21 DYNEGY INC/NEW	26817R108	X				3/18/2016	12/8/2016	965	1,845					-860					
22 DYNEGY INC/NEW	26817R108	X				3/18/2016	12/8/2016	817	1,371					-554					
23 DYNEGY INC/NEW	26817R108	X				3/17/2016	12/9/2016	4,876	7,753					-2,877					
24 EQUINIX INC	29444U700	X				6/21/2017	6/22/2017	870	872					-2					
25 EXELON CORP	302161N101	X				8/20/2015	6/22/2017	368	341					25					
26 EXXON MOBIL CORP	30231G102	X				8/21/2015	6/22/2017	813	740					73					
27 FACEBOOK INC-A	30303M102	X				6/2/2015	9/30/2016	384	242					142					
28 FACEBOOK INC-A	30303M102	X				5/4/2015	9/30/2016	3,073	1,853					1,180					
29 FACEBOOK INC-A	30303M102	X				5/4/2015	11/14/2016	6,148	4,182					1,964					
30 FACEBOOK INC-A	30303M102	X				5/4/2015	11/16/2016	1,522	1,026					496					
31 FACEBOOK INC-A	30303M102	X				12/16/2014	11/16/2016	3,044	1,960					1,084					
32 FACEBOOK INC-A	30303M102	X				12/16/2014	1/3/2017	3,981	2,563					1,398					
33 FACEBOOK INC-A	30303M102	X				12/16/2014	6/22/2017	2,303	1,131					1,172					
34 GENERAL ELECTRIC CO	36960A103	X				1/22/2016	6/16/2017	22,541	21,960					581					
35 HALLIBURTON CO	406218101	X				10/26/2011	1/6/2017	1,132	700					432					
36 HALLIBURTON CO	406218101	X				2/22/2007	1/6/2017	1,698	931					767					
37 HALLIBURTON CO	406218101	X				6/21/2017	8/22/2017	422	423					-1					
38 HARTFORD FINL SVCS GROUP	416515104	X				12/12/2016	6/22/2017	1,546	1,454					92					
39 HOME DEPOT INC	437078102	X				8/22/2011	6/22/2017	1,721	358					1,363					
40 HONEYWELL INTL INC	438516106	X				12/1/2004	6/22/2017	1,743	468					1,277					
41 ILLINOIS TOOL WKS INC	452308109	X				8/4/2015	6/22/2017	2,633	1,595					1,038					
42 INCYTE CORPORATION	45337C102	X				12/19/2016	6/22/2017	674	515					159					
43 INTEL CORP	458140100	X				10/7/2016	11/11/2016	5,511	6,099					-588					
44 INTEL CORP	458140100	X				10/7/2016	11/16/2016	347	381					-34					
45 INTEL CORP	458140100	X				6/23/2016	11/16/2016	6,251	5,937					314					
46 INTEL CORP	458140100	X				6/23/2016	1/25/2017	7,165	6,287					898					
47 INTEL CORP	458140100	X				6/23/2016	2/10/2017	6,337	5,937					400					
48 INTEL CORP	458140100	X				6/23/2016	3/13/2017	9,484	8,905					559					
49 INTEL CORP	458140100	X				6/30/2016	3/13/2017	2,454	2,271					183					
50 HALLIBURTON CO	406218101	X				12/31/2014	1/6/2017	3,396	2,358					1,038					
51 INTERCONTINENTAL EXCHANGE	45866F104	X				1/17/2013	7/20/2016	11,041	5,504					5,537					
52 INTERCONTINENTAL EXCHANGE	45866F104	X				1/17/2013	6/22/2017	1,281	524					757					
53 JOHNSON & JOHNSON	478160104	X				8/3/2015	10/6/2016	3,438	2,890					548					
54 JOHNSON & JOHNSON	478160104	X				7/9/2015	10/6/2016	948	792					156					
55 JOHNSON & JOHNSON	478160104	X				7/9/2015	1/31/2017	18,285	16,040					2,245					
56 JOHNSON & JOHNSON	478160104	X				8/24/2015	1/31/2017	6,772	5,535					1,237					
57 KIMCO REALTY CORP	49446R109	X				6/16/2017	6/22/2017	175	185					-10					
58 LILLY ELI & CO	532457108	X				1/20/2016	6/7/2017	6,345	6,541					-196					
59 LILLY ELI & CO	532457108	X				1/20/2016	8/22/2017	829	618					11					
60 MARATHON PETROLEUM CO	56585A102	X				11/12/2015	1/30/2017	13,541	15,323					-1,782					
61 MARATHON PETROLEUM CO	56585A102	X				11/12/2015	2/1/2017	1,424	1,642					-218					
62 MARATHON PETROLEUM CO	56585A102	X				2/11/2016	6/17/2016	6,170	3,920					2,250					
63 MARATHON PETROLEUM CO	56585A102	X				5/3/2016	2/1/2017	6,170	4,945					1,225					
64 MERCK & CO INC	58933Y105	X				9/1/2016	6/22/2017	1,308	1,274					34					
65 MICROSOFT CORPORATION	594918104	X				9/22/2015	9/22/2015	6,904	5,234					1,670					
66 PALO ALTO NETWORKS INC	687435105	X				3/31/2015	7/2/2016	2,154	2,501					-347					
67 PALO ALTO NETWORKS INC	687435105	X				3/31/2015	8/22/2016	5,827	6,768					-941					
68 PALO ALTO NETWORKS INC	687435105	X				3/25/2015	8/22/2016	633	684					-61					
69 PALO ALTO NETWORKS INC	687435105	X				3/25/2015	3/1/2017	9,302	11,109					-1,807					
70 PALO ALTO NETWORKS INC	687435105	X				5/6/2016	3/1/2017	4,070	4,887					-817					
71 PANERA BREAD CO CL A	69840W108	X				4/20/2016	4/6/2017	6,240	4,358					1,882					
72 PANERA BREAD CO CL A	69840W108	X				4/20/2016	5/25/2017	2,508	1,743					765					

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss				
Short Term CG Distributions		5,667		Capital Gains/Losses Other sales		1,082,423		928,831		155,592				
		0				0		0		0				
Description	CUSIP #	Check "x" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 PANERA BREAD CO CL A	69840W108	X				4/19/2016	5/25/2017	10,346	7,153					3,193
74 PANERA BREAD CO CL A	69840W108	X				4/19/2016	6/5/2017	942	850					92
75 PANERA BREAD CO CL A	69840W108	X				5/9/2016	6/5/2017	6,594	4,547					2,047
76 PANERA BREAD CO CL A	69840W108	X				5/9/2016	6/22/2017	315	217					98
77 PEPSICO INC	713448108	X				6/4/2012	1/6/2017	10,881	816					230
78 PEPSICO INC	713448108	X				6/1/2012	1/6/2017	10,881	7,025					3,856
79 PEPSICO INC	713448108	X				6/1/2012	6/22/2017	1,171	875					296
80 PFIZER INC	717081103	X				1/8/2015	9/14/2016	4,069	3,901					168
81 PFIZER INC	717081103	X				6/26/2015	9/14/2016	4,747	4,792					-45
82 PFIZER INC	717081103	X				10/22/2013	9/14/2016	2,034	1,844					190
83 PFIZER INC	717081103	X				7/7/2009	10/6/2016	1,510	662					848
84 PFIZER INC	717081103	X				10/22/2013	10/6/2016	1,877	1,536					341
85 PFIZER INC	717081103	X				7/7/2009	10/6/2016	168	73					95
86 PFIZER INC	717081103	X				7/7/2009	5/24/2017	1,922	880					1,042
87 PFIZER INC	717081103	X				7/7/2009	5/24/2017	1,762	805					957
88 PFIZER INC	717081103	X				7/7/2009	5/24/2017	1,057	485					572
89 PFIZER INC	717081103	X				7/7/2009	5/24/2017	14,643	6,692					7,951
90 PHILIP MORRIS INTERNAT	718172109	X				4/30/2015	10/3/2016	10,703	9,157					1,546
91 PHILIP MORRIS INTERNAT	718172109	X				9/29/2015	10/3/2016	2,919	2,358					561
92 PHILIP MORRIS INTERNAT	718172109	X				5/12/2017	6/22/2017	1,559	1,446					113
93 TAIWAN SEMICONDUCTOR M	874039100	X				6/30/2016	9/23/2016	5,436	4,726					710
94 TAIWAN SEMICONDUCTOR M	874039100	X				11/16/2016	6/8/2017	4,043	3,283					760
95 TAIWAN SEMICONDUCTOR M	874039100	X				11/16/2016	6/16/2017	2,501	2,089					412
96 TAIWAN SEMICONDUCTOR M	874039100	X				11/16/2016	6/22/2017	1,104	895					209
97 TARGA RESOURCES CORP	876123101	X				3/7/2017	6/22/2017	590	590					-179
98 TESARO INC	881569107	X				1/31/2017	6/22/2017	280	322					-42
99 T-MOBILE US INC	872990104	X				6/8/2017	6/22/2017	1,272	1,314					-42
100 3M CO	88579Y101	X				9/3/2015	6/22/2017	1,275	855					420
101 TIME WARNER INC	887317303	X				8/14/2014	3/16/2017	17,716	13,640					4,076
102 TIME WARNER INC	887317303	X				8/13/2014	3/17/2017	12,790	9,640					3,150
103 TIME WARNER INC	887317303	X				8/13/2014	6/22/2017	993	742					251
104 TIME WARNER INC	887317303	X				5/9/2016	6/29/2017	3,994	2,963					1,031
105 TWENTY-FIRST CENTURY FC	90130A101	X				3/17/2017	6/22/2017	272	310					-38
106 UNITED TECHNOLOGIES CO	913017109	X				8/22/2011	1/13/2017	27,426	17,168					10,258
107 UNITEDHEALTH GROUP INC	91324P102	X				10/19/2016	6/22/2017	2,032	1,603					429
108 UNIVERSAL HEALTH SERVIC	913903100	X				9/22/2015	6/16/2017	5,419	6,531					-1,112
109 UNIVERSAL HEALTH SERVIC	913903100	X				9/21/2015	6/19/2017	8,767	10,539					-1,772
110 UNIVERSAL HEALTH SERVIC	913903100	X				9/22/2015	6/19/2017	2,768	3,335					-567
111 VALERO ENERGY CORP NEV	91913Y100	X				5/3/2016	10/28/2016	5,277	5,247					30
112 VALERO ENERGY CORP NEV	91913Y100	X				2/11/2016	10/28/2016	586	547					39
113 VALERO ENERGY CORP NEV	91913Y100	X				2/11/2016	11/3/2016	1,727	1,641					86
114 VALERO ENERGY CORP NEV	91913Y100	X				6/22/2016	11/3/2016	3,454	3,180					274
115 VALERO ENERGY CORP NEV	91913Y100	X				6/22/2016	6/22/2017	554	530					24
116 VERIZON COMMUNICATIONS	92343V104	X				9/29/2015	9/2/2016	5,782	4,795					987
117 VERIZON COMMUNICATIONS	92343V104	X				8/22/2011	5/4/2017	8,721	6,714					2,007
118 VERIZON COMMUNICATIONS	92343V104	X				9/29/2015	5/4/2017	918	872					46
119 VISA INC-CLASS A SHRS	92826C839	X				1/24/2017	6/22/2017	944	830					114
120 VOYA FINANCIAL INC	929089100	X				10/22/2015	6/22/2017	1,627	1,627					-212
121 WELLS FARGO & COMPANY	949746101	X				9/10/2015	7/20/2016	5,331	5,788					-457
122 WELLS FARGO & COMPANY	949746101	X				1/24/2017	5/22/2017	5,808	6,051					-243
123 WELLS FARGO & COMPANY	949746101	X				9/10/2015	5/22/2017	528	526					2
124 WELLS FARGO & COMPANY	949746101	X				9/10/2015	6/22/2017	1,054	1,052					2
125 WILLIAMS COS INC	969457100	X				9/27/2016	1/18/2017	17,183	18,809					-1,626
126 YUM BRANDS INC	988498101	X				4/8/2017	6/22/2017	1,486	1,292					194
127 YUM CHINA HOLDINGS INC	98850P109	X				8/22/2011	12/6/2016	6,990	3,591					3,399
128 YUM CHINA HOLDINGS INC	98850P109	X				2/12/2013	12/6/2016	1,398	934					484
129 MONSTER BEVERAGE CORP	61174X109	X				1/13/2014	6/22/2017	1,019	465					554
130 MICROSOFT CORPORATION	594918104	X				9/22/2015	10/13/2016	3,991	3,053					938
131 MICROSOFT CORPORATION	594918104	X				9/22/2015	6/22/2017	704	436					268
132 NETFLIX COM INC	64110L106	X				1/7/2016	7/19/2016	4,526	6,286					-1,760
133 MICRON TECHNOLOGY INC	595112103	X				1/3/2017	6/13/2017	2,495	1,792					703
134 MICRON TECHNOLOGY INC	595112103	X				1/3/2017	6/22/2017	2,561	1,792					769
135 MONDELEZ INTERNATIONAL	609207105	X				5/12/2017	6/22/2017	1,348	1,348					0
136 HARTFORD FINL SVCS GROU	416515104	X				10/20/2014	7/20/2016	5,674	4,742					932
137 NETFLIX COM INC	64110L106	X				7/13/2016	7/19/2016	3,872	4,653					-981
138 NETFLIX COM INC	64110L106	X				1/21/2016	7/19/2016	2,306	2,873					-567
139 NIKE INC CLASS B	654106103	X				12/4/2015	6/22/2017	1,051	1,324					-273
140 NUCOR CORP	670346105	X				4/24/2013	6/22/2017	1,123	871					252
141 NVIDIA CORP	67066G104	X				1/11/2016	6/13/2017	4,635	2,693					1,942
142 NVIDIA CORP	67066G104	X				11/11/2016	6/16/2017	2,588	1,477					1,111
143 NVIDIA CORP	67066G104	X				11/11/2016	6/22/2017	2,233	1,216					1,017
144 PALO ALTO NETWORKS INC	697435105	X				10/27/2015	7/21/2016	4,054	5,074					-1,020

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions Short Term CG Distributions		Description	CUSIP #	Check "X" if in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss			
										Capital Gains/Losses Other sales	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss		
Amount			5,897	0													1,082,423	928,931	155,592
																	0	0	0
145	ACCENTURE PLC-CL A	G1151C101	X					1/16/2014	6/29/2017	12,287	8,319						3,968		
146	ACCENTURE PLC-CL A	G1151C101	X					1/28/2016	6/29/2017	1,597	1,574						23		
147	INVESCO LTD	G491B1T08	X					7/20/2016	6/22/2017	1,733	1,403						330		
148	NABORS INDUSTRIES LTD	G8359F103	X					10/28/2016	11/30/2016	5,616	4,352						1,484		
149	NABORS INDUSTRIES LTD	G8359F103	X					10/28/2016	12/6/2016	1,882	1,330						552		
150	NABORS INDUSTRIES LTD	G8359F103	X					8/23/2016	12/6/2016	3,592	2,156						1,436		
151	NABORS INDUSTRIES LTD	G8359F103	X					3/6/2017	6/22/2017	817	1,617						-800		
152	NABORS INDUSTRIES LTD	G8359F103	X					7/21/2016	10/13/2016	6,805	5,659						-1,144		
153	NXP SEMICONDUCTORS N V	N6596X109	X					7/12/2016	10/27/2016	300	253						47		
154	NXP SEMICONDUCTORS N V	N6596X109	X					7/12/2016	10/27/2016	6,700	5,405						1,295		
155	NXP SEMICONDUCTORS N V	N6596X109	X					7/12/2016	10/28/2016	289	242						47		
156	NXP SEMICONDUCTORS N V	N6596X109	X					11/28/2016	6/26/2017	5,887	5,813						74		
157	ACCENTURE PLC-CL A	G1151C101	X					11/7/2014	10/7/2016	6,506	4,673						1,833		
158	ACCENTURE PLC-CL A	G1151C101	X					11/7/2014	10/7/2016	2,013	2,125						-112		
159	ACCENTURE PLC-CL A	G1151C101	X					3/17/2017	6/8/2017	3,778	3,749						29		
160	ACCENTURE PLC-CL A	G1151C101	X					3/17/2017	6/21/2017	5,200	5,124						76		
161	ACCENTURE PLC-CL A	G1151C101	X					3/17/2017	6/22/2017	860	875						-15		
162	ACCENTURE PLC-CL A	G1151C101	X					3/17/2017	6/26/2017	4,047	4,124						-77		
163	ACCENTURE PLC-CL A	G1151C101	X					8/13/2014	6/29/2017	1,997	1,483						514		
164	TIME WARNER INC	887317303	X					4/24/2014	12/6/2016	1,398	1,089						309		
165	YUM CHINA HOLDINGS INC	98850P109	X					5/27/2016	6/22/2017	769	738						31		
166	ZIMMER BIOMET HOLDINGS	98956P102	X					5/19/2016	6/22/2017	1,219	1,137						82		
167	ALLERGAN PLC	G0177J108	X					2/17/2015	8/25/2016	5,588	4,874						714		
168	PIONEER NATURAL RESOUR	723787107	X					11/3/2016	3/6/2017	5,809	5,164						645		
169	PIONEER NATURAL RESOUR	723787107	X					2/17/2015	3/6/2017	387	314						73		
170	PIONEER NATURAL RESOUR	723787107	X					2/17/2015	6/22/2017	466	472						6		
171	PIONEER NATURAL RESOUR	723787107	X					1/13/2015	5/12/2017	3,445	3,644						-189		
172	PROCTER & GAMBLE CO	742718109	X					1/26/2016	5/12/2017	6,028	5,536						492		
173	PROCTER & GAMBLE CO	742718109	X					1/6/2016	5/12/2017	3,121	3,445						324		
174	PROCTER & GAMBLE CO	742718109	X					10/14/2016	4/20/2017	2,097	2,624						-527		
175	QUALCOMM INC	747525103	X					1/6/2016	6/22/2017	892	780						112		
176	PROCTER & GAMBLE CO	742718109	X					10/27/2016	3/17/2017	5,792	7,026						-1,234		
177	QUALCOMM INC	747525103	X					10/14/2016	3/17/2017	1,738	1,968						-230		
178	QUALCOMM INC	747525103	X					9/2/2016	4/20/2017	5,244	6,538						-1,094		
179	QUALCOMM INC	747525103	X					9/2/2016	5/11/2017	6,000	6,972						-972		
180	QUALCOMM INC	747525103	X					9/2/2016	5/30/2017	5,735	6,338						-603		
181	QUALCOMM INC	747525103	X					9/8/2016	5/30/2017	5,162	5,649						-487		
182	QUALCOMM INC	747525103	X					3/30/2016	7/12/2016	1,643	1,482						161		
183	SALESFORCE COM INC	794661302	X					3/13/2016	7/12/2016	1,643	1,477						186		
184	SALESFORCE COM INC	794661302	X					8/19/2016	10/13/2016	5,657	6,190						-533		
185	SALESFORCE COM INC	794661302	X					3/31/2016	10/13/2016	707	738						-31		
186	SALESFORCE COM INC	794661302	X					3/31/2016	10/14/2016	720	738						-18		
187	SALESFORCE COM INC	794661302	X					8/22/2011	10/14/2016	4,320	1,720						2,600		
188	SALESFORCE COM INC	794661302	X					8/22/2011	10/18/2016	6,543	2,560						3,963		
189	SALESFORCE COM INC	794661302	X					8/22/2011	8/22/2016	879	287						592		
190	SALESFORCE COM INC	794661302	X					5/26/2015	8/23/2016	3,272	3,647						-375		
191	SCHLUMBERGER LTD	806857108	X					7/22/2015	8/23/2016	2,454	2,537						-83		
192	SCHLUMBERGER LTD	806857108	X					1/19/2017	5/19/2017	5,050	6,106						-1,056		
193	SCHLUMBERGER LTD	806857108	X					1/16/2017	5/19/2017	1,443	1,733						-290		
194	SCHLUMBERGER LTD	806857108	X					7/22/2015	6/21/2017	2,616	3,382						-768		
195	SCHLUMBERGER LTD	806857108	X					1/6/2017	6/21/2017	3,271	4,332						-1,061		
196	SCHLUMBERGER LTD	806857108	X					8/22/2011	6/21/2017	654	749						-95		
197	SCHLUMBERGER LTD	806857108	X					6/29/2015	6/22/2017	2,075	1,618						457		
198	SCHWAB CHARLES CORP	808513105	X					3/12/2017	6/22/2017	1,163	1,272						-109		
199	SPLUNK INC	848837104	X					10/6/2016	6/22/2017	886	827						59		
200	SYNCHRONY FINANCIAL	87165B103	X					2/4/2016	10/28/2016	10,653	8,136						2,527		
201	NXP SEMICONDUCTORS N V	N6596X109	X					2/4/2016	11/2/2016	10,931	8,384						2,567		
202	NXP SEMICONDUCTORS N V	N6596X109	X					2/4/2016	11/11/2016	5,176	4,030						1,146		
203	NXP SEMICONDUCTORS N V	N6596X109	X					2/4/2016	11/11/2016	5,176	4,030						2,571		
204	NXP SEMICONDUCTORS N V	N6596X109	X					2/4/2016	11/11/2016	5,176	4,030						2,571		
205	BROADCOM LTD	Y08827109	X					3/17/2016	9/2/2016	2,071	1,618						253		
206	EATON CORP PLC	G29163103	X					8/4/2015	6/22/2017	1,518	1,121						397		
207	INGERSOLL-RAND PLC	G47791101	X					12/3/2013	6/22/2017	2,711	1,680						1,031		
208	BROADCOM LTD	Y09827109	X					3/17/2016	3/17/2017	6,431	4,393						2,038		
209	BROADCOM LTD	Y09827109	X					12/2/2016	3/17/2017	8,205	6,071						2,134		
210	BROADCOM LTD	Y09827109	X					2/9/2016	3/17/2017	2,439	1,456						983		
211	BROADCOM LTD	Y08827108	X					2/9/2016	4/5/2017	3,521	2,118						1,403		
212	BROADCOM LTD	Y08827109	X					2/9/2016	6/22/2017	2,209	1,191						1,018		
213	AT&T INC	Y09827109	X					6/8/2016	6/22/2017	11,938	12,356						-418		
214	AT&T INC	00206R102	X					6/8/2016	6/22/2017	763	797						-34		
215	ABBOTT LABORATORIES	00246F101	X					1/31/2017	6/22/2017	1,455	1,246						209		
216	ABBOTT LABORATORIES	00724F101	X					3/30/2016	7/12/2016	1,950	1,878						72		

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Cost or Other Basis, Expenses, Depreciation and Adjustments			Net Gain or Loss
											Gross Sales	1,082,423	928,831	
Long Term CG Distributions	Amount	5,667												
Short Term CG Distributions		0												
Totals														
Capital Gains/Losses														
Other sales														
217 ADOBE SYSTEMS INC	00724F101	X				3/31/2016	7/12/2016	975	938					37
218 ADOBE SYSTEMS INC	00724F101	X				3/31/2016	9/30/2016	2,161	1,876					285
219 ADOBE SYSTEMS INC	00724F101	X				8/4/2015	9/30/2016	1,729	1,313					416
220 ADVANSIX INC	00773T101	X				12/1/2004	10/6/2016	188	58					110
221 ADVANSIX INC	00773T101	X				12/1/2004	11/3/2016	15	5					10
222 AETNA INC	00817Y108	X				1/13/2016	11/4/2016	17,902	17,744					158
223 AGILENT TECHNOLOGIES INC	00846U101	X				12/9/2015	10/6/2016	2,830	2,476					354
224 AGILENT TECHNOLOGIES INC	00846U101	X				12/9/2015	10/18/2016	5,908	5,365					541
225 AGILENT TECHNOLOGIES INC	00846U101	X				12/9/2015	10/18/2016	1,198	1,210					-12
226 ALEXION PHARMACEUTICAL	015351109	X				11/4/2016	6/22/2017	745	820					-75
227 ALUN TECHNOLOGY INC	016255101	X				6/19/2017	6/22/2017	600	606					-6
228 ALLY FINANCIAL INC	02005N100	X				1/7/2015	6/22/2017	1,202	1,366					-164
229 ALPHABET INC/CA-CL A	02079K305	X				9/23/2016	12/2/2016	4,578	4,890					-314
230 ALPHABET INC/CA-CL A	02079K305	X				8/2/2016	12/2/2016	1,525	1,594					-69
231 AT&T INC	00206R102	X				6/8/2017	6/8/2017	773	797					-24
232 ALPHABET INC/CA-CL A	02079K305	X				8/2/2016	12/7/2016	4,662	4,782					-120
233 ALPHABET INC/CA-CL A	02079K305	X				7/17/2015	1/3/2017	3,234	2,785					449
234 ALPHABET INC/CA-CL A	02079K305	X				7/17/2015	6/22/2017	2,933	2,088					845
235 AMAZON COM INC	023135108	X				10/30/2015	6/22/2017	2,513	2,513					0
236 BIOGEN INC	09062X103	X				2/4/2014	12/19/2016	14,830	15,995					-1,165
237 BIOGEN INC	09062X103	X				8/3/2015	12/19/2016	570	647					-77
238 BRISTOL-MYERS SQUIBB CO	110122108	X				1/20/2016	6/22/2017	1,124	1,253					-129
239 C S X CORP	126408103	X				1/13/2017	6/22/2017	520	387					133
240 CVS HEALTH CORPORATION	126850100	X				8/25/2015	8/11/2016	6,114	6,512					-398
241 CVS HEALTH CORPORATION	126850100	X				9/22/2015	8/11/2016	6,308	6,492					-184
242 ALPHABET INC/CA-CL A	02079K305	X				7/17/2015	12/7/2016	1,554	1,392					162
243 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	5,533	5,662					-129
244 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	2,305	2,375					-70
245 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	4,610	4,836					-226
246 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	5,071	5,397					-326
247 CAPITAL ONE FINANCIAL CO	14040H105	X				12/9/2016	10/4/2016	3,650	3,636					14
248 CAPITAL ONE FINANCIAL CO	14040H105	X				12/22/2014	10/4/2016	2,190	2,181					9
249 CAPITAL ONE FINANCIAL CO	14040H105	X				4/15/2013	10/6/2016	24,041	17,752					6,289
250 CARDINAL HEALTH INC	14148Y108	X				6/5/2014	9/14/2016	11,301	10,636					665
251 CARDINAL HEALTH INC	14148Y108	X				8/22/2011	6/22/2017	1,887	795					374
252 CELANESE CORP SER A	151020104	X				12/19/2016	6/22/2017	932	820					1,092
253 CELGENE CORP	037833100	X				7/28/2008	9/8/2016	5,917	1,278					4,639
254 APPLE INC	037833100	X				7/28/2008	11/14/2016	6,332	1,370					4,962
255 APPLE INC	037833100	X				3/13/2017	6/8/2017	5,118	4,592					526
256 APPLE INC	037833100	X				3/13/2017	6/16/2017	2,426	2,366					60
257 APPLE INC	037833100	X				3/13/2017	6/21/2017	2,626	2,505					121
258 APPLE INC	037833100	X				3/13/2017	6/22/2017	1,312	1,252					60
259 APPLE INC	037833100	X				2/25/2015	12/12/2016	11,566	8,415					3,171
260 BANK OF AMERICA CORP	060505104	X				6/18/2015	6/22/2017	1,845	1,320					525
261 BANK OF AMERICA CORP	060505104	X				6/18/2015	3/6/2017	6,530	5,473					1,057
262 AMERICAN TOWER CORP	03027X100	X				6/18/2015	6/21/2017	1,331	96					35
263 AMERICAN TOWER CORP	03027X100	X				6/24/2015	6/21/2017	1,966	1,145					821
264 AMERICAN TOWER CORP	03027X100	X				6/25/2015	6/21/2017	3,002	2,189					813
265 AMERICAN TOWER CORP	03027X100	X				7/6/2015	6/21/2017	1,827	1,330					497
266 AMERICAN TOWER CORP	03027X100	X				7/6/2015	6/22/2017	653	475					178
267 AMERICAN TOWER CORP	03027X100	X				10/12/2015	7/8/2016	5,257	5,074					183
268 AMGEN INC	031162100	X				2/4/2014	10/19/2016	11,466	8,258					3,208
269 AMGEN INC	031162100	X				10/12/2015	10/19/2016	807	769					38
270 AMGEN INC	031162100	X				10/12/2015	10/19/2016	807	769					38

Part I, Line 16c (990-PF) - Other Professional Fees

		24,670	14,802	0	9,868
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	BNY MELLON INVESTMENT MANAGER FEES	26,271	14,802		9,868
2	BNY MELLON FEE REIMBURSEMENTS	-1,601			0

Part I, Line 18 (990-PF) - Taxes

		1,931	1,189	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	1,189	1,189		
2	ESTIMATED EXCISE PAYMENTS	742			

Part I, Line 23 (990-PF) - Other Expenses

		487	60	0	427
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	60	60		
2	STATUTORY REPRESENTATION DE CORP FEE	427			427

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1				0	
2	ALLERGAN PLC		0	19,995	28,928
3	ACCENTURE PLC IRELAND SHS CL A		0	9,893	13,976
4	EATON CORP PLC		0	21,226	35,802
5	INGERSOLL-RAND PLC		0	16,216	45,695
6	INVESCO LTD		0	19,848	38,357
7	NABORS INDUSTRIES LTD COM		0	30,323	21,978
8	BROADCOM LTD		0	13,137	52,902
9	AT&T INC		0	17,006	19,997
10	ABBOTT LABORATORIES		0	29,273	35,971
11	ADOBE SYS INC COM		0	19,620	50,353
12	DREYFUS OPPORT SM CAP-Y		0	67,512	91,015
13	AGILENT TECHNOLOGIES INC		0	25,522	31,434
14	ALEXION PHARMACEUTICALS INC		0	21,269	19,467
15	ALIGN TECHNOLOGY INC		0	16,807	16,964
16	ALLY FINANCIAL INC		0	32,837	32,604
17	ALPHABET INC/CA		0	50,388	80,882
18	AMAZON COM INC		0	18,828	75,504
19	AMERICAN TOWER CORP-CL A		0	12,964	18,260
20	APPLE COMPUTER INC COM		0	6,507	36,581
21	BNY MELLON MID CAP STK FD CL M		0	398,128	617,919
22	BNY MELLON SMALL CAP STK FD CL M S		0	70,658	96,439
23	BNY MELLON INTERMEDIATE BOND FD C		0	665,541	674,680
24	BNY MELLON BOND FD CL M		0	13,890	13,155
25	BNY MELLON EMERGING MKTS FD CL M		0	112,698	211,418
26	BNY MELLON INTL FD CL M SHSS		0	23,186	25,255
27	BANK AMER CORP		0	33,318	49,005
28	BIOMARIN PHARMACEUTICAL INC		0	13,431	11,988
29	BRISTOL MYERS SQUIBB CO COM		0	15,384	17,273
30	CSX CORP COM		0	27,074	38,192
31	CELANESE CORP DEL		0	13,508	32,280
32	CELGENE CORP		0	18,495	22,078
33	CHEVRONTXACO CORP		0	18,476	21,179
34	CITIZENS FINANCIAL GROUP INC		0	15,489	25,690
35	COMCAST CORP NEW CL A		0	38,735	51,374
36	CONSTELLATION BRANDS INC		0	24,034	35,453
37	COSTCO WHSL CORP NEW		0	22,535	29,107
38	DEERE & COMPANY		0	21,755	21,010
39	DISNEY (WALT) COMPANY HOLDING CO		0	13,681	26,775
40	DOMINION RES INC VA NEW		0	18,500	20,690
41	DOW CHEMICAL COMPANY COMMON		0	21,060	27,120
42	DREYFUS BASIC S&P 500 STK I		0	233,285	248,840
43	DREYFUS PREM LT TRM HI YLD-R		0	121,048	142,702
44	DREYFUS GLOBAL REAL ES SEC-Y		0	8,333	10,473
45	DREYFUS INTL SMALL CAP-Y		0	4,981	6,325
46	DREYFUS/NEWTON INTERN EQTY-Y		0	155,964	193,210

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
47	ELECTRONIC ARTS		0	34,122	44,402
48	EMPIRE STATE REALTY TRUST -A		0	11,354	11,631
49	EQUINIX INC		0	13,514	13,304
50	EXELON CORP		0	20,840	23,446
51	EXXON MOBIL CORP		0	9,675	19,214
52	FACEBOOK INC		0	18,156	59,637
53	GLAXO SMITHKLINE PLC		0	0	129,360
54	HALLIBURTON COMPANY COM		0	15,912	19,946
55	HARTFORD FINL SVCS GROUP INC COM		0	27,784	37,325
56	HILL ROM HLDGS		0	12,414	12,738
57	HOME DEPOT INC USD 0 05		0	8,130	38,350
58	HONEYWELL INTL INC		0	11,148	41,453
59	ILLINOIS TOOL WORKS INC COM		0	28,022	46,126
60	INCYTE GENOMICS INC		0	13,767	18,005
61	INTERCONTINENTAL EXCHANGE GRO		0	15,202	38,234
62	KIMCO RLTY CORP		0	7,040	6,973
63	ELI LILLY & CO COM		0	19,302	19,752
64	MERCK & CO INC		0	27,375	31,404
65	MICROSOFT CORP COM		0	8,723	13,786
66	MICRON TECHNOLOGY		0	35,729	57,928
67	MONDELEZ INTERNATIONAL INC		0	29,319	30,233
68	MONSTER BEVERAGE CORP		0	12,939	28,069
69	NIKE INC CL B		0	26,026	28,792
70	NUCOR CORP		0	15,685	20,833
71	NVIDIA CORP		0	25,027	54,644
72	PANERA BREAD CO		0	4,888	7,237
73	PEPSICO INC		0	11,279	30,143
74	PHILIP MORRIS INTERNATIONAL		0	19,811	38,054
75	PIONEER NAT RES CO		0	11,869	12,926
76	PROCTER & GAMBLE CO COM		0	12,914	22,559
77	SALESFORCE COM INC		0	6,994	21,130
78	SCHLUMBERGER LIMITED COM		0	15,737	14,682
79	SCHWAB CHARLES CORP NEW		0	30,745	41,242
80	SPLUNK INC		0	29,630	27,307
81	STARBUCKS CORP COM		0	19,671	18,076
82	DREYFUS SELECT MGR S/C GR-Y		0	8,207	12,360
83	DREYFUS INTENATION STOCK-Y		0	95,000	135,948
84	STRATEGIC GBL STOCK-Y		0	8,301	12,550
85	DREYFUS SELECT MGR S/C VL-Y		0	80,172	104,315
86	SYNCHRONY FINANCIAL		0	29,327	31,907
87	T -MOBILE US INC		0	24,315	22,429
88	TAIWAN SEMICONDUCTOR ADR		0	20,712	27,618
89	TARGA RESOURCES INVESTMENTS		0	18,259	14,012
90	TESARO INC		0	9,646	8,392
91	TESORO PETROLEUM CORPORATION CO		0	27,009	30,888
92	3M CO		0	19,242	28,106

3,232,954

3,725,655

5,191,871

Part II, Line 13 (990-PF) - Investments - Other

		3,232,954		3,725,655		5,191,871
		Book Value		Book Value		FMV
		Beg. of Year		End of Year		End of Year
Asset Description	Basis of Valuation					
93 TIME WARNER INC		0		10,998		15,062
94 TWENTY FIRST CENTURY FOX INC		0		30,210		27,773
95 UNITED HEALTH GROUP INC		0		40,269		53,030
96 VALERO ENERGY CORP NEW		0		7,810		24,286
97 VISA INC		0		34,254		41,263
98 VOYA FINANCIAL INC		0		26,665		28,774
99 WELLS FARGO & CO NEW		0		12,860		25,267
100 YUM BRANDS INC		0		18,698		30,979
101 ZIMMER HLDGS INC		0		20,600		21,571

Part III (990-PF) - Changes in Net Assets or Fund Balances**Line 3 - Other increases not included in Part III, Line 2**

1	RECOVERY OF PRIOR YEAR GRANT	1	1,000
2	Total	2	1,000

Line 5 - Decreases not included in Part III, Line 2

1	EXCESS FMV OVER COST OF ASSETS CONTRIBUTED	1	58,790
2	GAIN ON CY SALES NOT SETTLED AT YEAR END	2	5,536
3	LOSS ON PY SALES SETTLED IN CY	3	514
4	BASIS CHANGE ON DIVS TREATED AS ROC - MONDELEZ INTERNATIONAL	4	193
5	BASIS CHANGE ON DIVS TREATED AS ROC - NVIDIA CORP	5	38
6	BASIS CHANGE ON DIVS TREATED AS ROC - EATON CORP PLC	6	1,095
7	COST BASIS ADJUSTMENT	7	116
8	Total	8	66,282

Long Term CG Distributions										Amount			
Short Term CG Distributions										5,667	0		
	Description of Property Sold	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis or Losses
1	CHEVRON CORPORATION		8/22/2011	7/27/2016	6,642			6,241	401		0	0	149,925
2	CHEVRON CORPORATION		8/22/2011	6/22/2017	941			864	77		0	0	77
3	CITIZENS FINANCIAL GROUP		1/19/2016	1/24/2017	11,958			7,353	4,605		0	0	4,605
4	CITIZENS FINANCIAL GROUP		1/19/2016	6/22/2017	1,052			668	384		0	0	384
5	COGNIZANT TECHNOLOGY		9/30/2016	11/28/2016	7,973			6,738	1,235		0	0	1,235
6	COMCAST CORP CL A		7/19/2016	8/19/2016	6,651			6,712	-61		0	0	-61
7	COMCAST CORP CL A		5/12/2012	9/2/2016	1,991			921	1,069		0	0	1,069
8	COMCAST CORP CL A		4/16/2012	9/2/2016	3,301			2,968	3,730		0	0	1,817
9	COMCAST CORP CL A		2030N101	11/15/2016	6,698			1,484	3,730		0	0	3,730
10	CONSTELLATION BRANDS INC		1/6/2017	6/22/2017	1,288			1,044	244		0	0	244
11	COSTCO WHSL CORP NEW		21036P108	6/22/2017	1,143			1,168	-25		0	0	-25
12	DEERE & CO		6/16/2017	6/22/2017	749			767	-18		0	0	-18
13	DEVON ENERGY CORPORATION		3/17/2016	9/2/2016	17,949			12,840	5,109		0	0	5,109
14	WALT DISNEY CO/THE		12/6/2016	6/22/2017	942			903	39		0	0	39
15	DOMINION RES INC VA NEW		1/6/2016	6/23/2016	5,313			4,798	515		0	0	515
16	DOMINION ENERGY INC		16/2016	6/22/2017	788			686	102		0	0	102
17	DOW CHEMICAL CO		10/7/2014	6/22/2017	1,273			1,000	273		0	0	273
18	DYNEGY INC/NEW		5/31/2016	12/7/2016	1,376			3,194	-1,818		0	0	-1,818
19	DYNEGY INC/NEW		6/1/2016	12/7/2016	1,052			2,552	-1,500		0	0	-1,500
20	DYNEGY INC/NEW		7/27/2016	12/8/2016	3,213			6,455	-3,242		0	0	-3,242
21	DYNEGY INC/NEW		3/19/2016	12/8/2016	865			1,645	-880		0	0	-880
22	DYNEGY INC/NEW		6/17/2016	12/8/2016	817			1,371	-554		0	0	-554
23	DYNEGY INC/NEW		3/21/2017	6/22/2017	870			7,753	-2,877		0	0	-2,877
24	EQUINIX INC		29444U700	6/22/2017	870			872	-2		0	0	-2
25	EXELON CORP		30161N101	6/22/2017	366			341	25		0	0	25
26	EXXON MOBIL CORP		8/21/2015	6/22/2017	813			740	73		0	0	73
27	FACEBOOK INC-A		6/2/2015	9/30/2016	384			242	142		0	0	142
28	FACEBOOK INC-A		5/4/2015	30303M102	3,073			1,893	1,180		0	0	1,180
29	FACEBOOK INC-A		5/4/2015	11/14/2016	6,146			4,182	1,964		0	0	1,964
30	FACEBOOK INC-A		5/4/2015	30303M102	1,522			1,026	496		0	0	496
31	FACEBOOK INC-A		12/16/2014	11/16/2016	3,044			1,960	1,084		0	0	1,084
32	FACEBOOK INC-A		12/16/2014	1/3/2017	3,961			2,563	1,398		0	0	1,398
33	FACEBOOK INC-A		12/16/2014	6/16/2017	22,541			21,960	581		0	0	1,172
34	GENERAL ELECTRIC CO		10/26/2011	1/6/2017	1,132			700	432		0	0	432
35	HALLIBURTON CO		2/22/2007	1/6/2017	1,698			931	767		0	0	767
36	HALLIBURTON CO		6/21/2017	6/22/2017	422			423	-1		0	0	-1
37	HALLIBURTON CO		12/1/2016	6/22/2017	1,546			1,454	92		0	0	92
38	HARTFORD FINL SVCS GROUP		12/1/2016	6/22/2017	358			358	1,363		0	0	1,363
39	HOMER DEPOT INC		8/22/2011	6/22/2017	1,721			466	1,277		0	0	1,277
40	HONEYWELL INTL INC		12/1/2004	6/22/2017	1,743			1,596	1,038		0	0	1,038
41	ILLINOIS TOOL WORKS INC		8/4/2015	6/22/2017	2,633			515	198		0	0	198
42	INCYTE CORPORATION		12/19/2016	6/22/2017	674			6,099	-588		0	0	-588
43	INTEL CORP		10/7/2016	11/11/2016	5,511			381	-34		0	0	-34
44	INTEL CORP		10/7/2016	11/16/2016	347			5,937	314		0	0	314
45	INTEL CORP		6/23/2016	11/16/2016	6,251			6,267	898		0	0	898
46	INTEL CORP		6/23/2016	1/25/2017	7,165			5,937	400		0	0	400
47	INTEL CORP		6/23/2016	2/10/2017	6,337			8,905	559		0	0	559
48	INTEL CORP		6/23/2016	3/13/2017	9,464			2,271	183		0	0	183
49	INTEL CORP		6/23/2016	3/13/2017	2,454			2,358	1,038		0	0	1,038
50	HALLIBURTON CO		12/31/2014	1/6/2017	3,396			5,504	5,537		0	0	5,537
51	INTERCONTINENTAL EXCHANGE		11/1/2013	7/20/2016	11,041			524	757		0	0	757
52	INTERCONTINENTAL EXCHANGE		11/1/2013	6/22/2017	1,281			2,890	548		0	0	548
53	JOHNSON & JOHNSON		8/3/2015	10/6/2016	3,438			792	156		0	0	156
54	JOHNSON & JOHNSON		7/9/2015	10/6/2016	948			16,040	2,245		0	0	2,245
55	JOHNSON & JOHNSON		7/9/2015	1/31/2017	18,285			5,535	1,237		0	0	1,237
56	JOHNSON & JOHNSON		8/24/2015	1/31/2017	6,772			185	-10		0	0	-10
57	KIMCO REALTY CORP		6/16/2017	6/22/2017	175			6,541	-196		0	0	-196
58	LILLY ELI & CO		12/20/2016	6/7/2017	829			818	11		0	0	11
59	LILLY ELI & CO		532457/108	6/22/2017	829			15,323	-1,782		0	0	-1,782
60	MARATHON PETROLEUM CO		11/12/2015	1/30/2017	13,541			1,642	-218		0	0	-218
61	MARATHON PETROLEUM CO		11/12/2015	2/1/2017	1,424			3,920	2,250		0	0	2,250
62	MARATHON PETROLEUM CO		2/1/2016	2/1/2017	6,170			4,945	1,225		0	0	1,225
63	MARATHON PETROLEUM CO		5/3/2016	6/22/2017	6,170			1,274	34		0	0	34
64	MERCK & CO INC		58933Y/105	9/2/2016	1,308			5,234	1,670		0	0	1,670
65	MICROSOFT CORPORATION		9/22/2015	7/21/2016	6,904			2,501	-347		0	0	-347
66	PALO ALTO NETWORKS INC		3/31/2015	7/21/2016	2,154			6,768	-941		0	0	-941
67	PALO ALTO NETWORKS INC		3/31/2015	8/2/2016	5,627			694	-61		0	0	-61
68	PALO ALTO NETWORKS INC		697435/105	8/2/2016	633			11,109	-1,807		0	0	-1,807
69	PALO ALTO NETWORKS INC		3/25/2015	3/1/2017	9,302						0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		5,667		0		1,076,756		0		0		926,831		149,925		0		0		0		0		149,925		Gains Minus		Excess FMV Over		Adj Basis or Losses	
Description of Property Sold		CUSIP #		Acquisition Method		Date		Date		Gross Sales Price		Depreciation Allowed		Adjustments		Cost or Other Basis Plus Expense of Sale		Gain or Loss		F M V as of 12/31/69		Adjusted Basis as of 12/31/69		Excess of FMV Over Adjusted Basis		Gains Minus Excess FMV Over Adj Basis or Losses		149,925		0		0			
70	PALO ALTO NETWORKS INC	697435105				5/6/2016	3/1/2017	4,070		4,070				0	4,587	4,587	-617																		
71	PANERA BREAD CO CL A	69840W108				4/20/2016	4/6/2017	6,240		6,240				0	4,358	4,358	1,882																		
72	PANERA BREAD CO CL A	69840W108				4/20/2016	5/25/2017	2,508		2,508				0	1,743	1,743	765																		
73	PANERA BREAD CO CL A	69840W108				4/19/2016	5/25/2017	10,346		10,346				0	7,153	7,153	3,193																		
74	PANERA BREAD CO CL A	69840W108				4/19/2016	6/5/2017	942		942				0	650	650	292																		
75	PANERA BREAD CO CL A	69840W108				5/9/2016	6/5/2017	6,594		6,594				0	4,547	4,547	2,047																		
76	PANERA BREAD CO CL A	69840W108				6/4/2013	6/22/2017	315		315				0	217	217	98																		
77	PEPSICO INC	713448108				6/1/2012	1/6/2017	10,881		10,881				0	816	816	230																		
78	PEPSICO INC	713448108				6/1/2012	6/22/2017	1,171		1,171				0	7,025	7,025	3,856																		
79	PEPSICO INC	713448108				1/8/2015	9/14/2016	4,069		4,069				0	3,901	3,901	168																		
80	PFIZER INC	717081103				6/26/2015	9/14/2016	4,747		4,747				0	4,792	4,792	45																		
81	PFIZER INC	717081103				10/22/2013	9/14/2016	2,034		2,034				0	1,844	1,844	190																		
82	PFIZER INC	717081103				7/7/2009	10/6/2016	1,510		1,510				0	662	662	848																		
83	PFIZER INC	717081103				10/22/2013	10/6/2016	1,677		1,677				0	1,536	1,536	141																		
84	PFIZER INC	717081103				7/7/2009	10/6/2016	168		168				0	73	73	95																		
85	PFIZER INC	717081103				7/7/2009	5/24/2017	1,922		1,922				0	880	880	1,042																		
86	PFIZER INC	717081103				7/7/2009	5/24/2017	1,762		1,762				0	805	805	957																		
87	PFIZER INC	717081103				7/7/2009	5/24/2017	1,057		1,057				0	485	485	572																		
88	PFIZER INC	717081103				7/7/2009	5/24/2017	14,643		14,643				0	6,692	6,692	7,951																		
89	PHILIP MORRIS INTERNAT	718172109				4/30/2015	10/3/2016	10,703		10,703				0	9,157	9,157	1,546																		
90	PHILIP MORRIS INTERNAT	718172109				9/29/2015	10/3/2016	2,919		2,919				0	2,358	2,358	561																		
91	PHILIP MORRIS INTERNAT	718172109				5/12/2017	6/22/2017	1,559		1,559				0	1,446	1,446	113																		
92	PHILIP MORRIS INTERNAT	718172109				6/30/2016	9/23/2016	5,436		5,436				0	4,726	4,726	710																		
93	TAIWAN SEMICONDUCTOR N	874039100				11/16/2016	6/8/2017	4,043		4,043				0	3,283	3,283	760																		
94	TAIWAN SEMICONDUCTOR N	874039100				11/16/2016	6/16/2017	2,501		2,501				0	2,089	2,089	412																		
95	TAIWAN SEMICONDUCTOR N	874039100				11/16/2016	6/22/2017	1,104		1,104				0	895	895	209																		
96	TAIWAN SEMICONDUCTOR N	874039100				3/7/2017	6/22/2017	411		411				0	590	590	-179																		
97	TARGA RESOURCES CORP	87612G101				1/31/2017	6/22/2017	280		280				0	322	322	-42																		
98	TESARO INC	881569107				6/8/2017	6/22/2017	1,272		1,272				0	1,314	1,314	-42																		
99	T-MOBILE US INC	872590104				9/3/2015	6/22/2017	1,275		1,275				0	855	855	420																		
100	3M CO	88579Y101				8/14/2014	3/16/2017	17,716		17,716				0	13,640	13,640	4,076																		
101	TIME WARNER INC	887317303				8/13/2014	3/17/2017	12,790		12,790				0	9,640	9,640	3,150																		
102	TIME WARNER INC	887317303				8/13/2014	6/22/2017	993		993				0	742	742	251																		
103	TIME WARNER INC	887317303				5/9/2016	6/29/2017	3,994		3,994				0	2,963	2,963	1,031																		
104	TIME WARNER INC	887317303				3/7/2017	6/22/2017	272		272				0	310	310	-38																		
105	UNITED TECHNOLOGIES CO	913017109				8/22/2011	11/3/2017	27,426		27,426				0	17,168	17,168	10,258																		
106	UNITED HEALTH GROUP INC	91324P102				10/19/2016	6/22/2017	2,032		2,032				0	1,603	1,603	429																		
107	UNIVERSAL HEALTH SERVICE	913903100				9/22/2015	6/16/2017	5,419		5,419				0	6,531	6,531	-1,112																		
108	UNIVERSAL HEALTH SERVICE	913903100				9/21/2015	6/19/2017	8,767		8,767				0	10,539	10,539	-1,772																		
109	UNIVERSAL HEALTH SERVICE	913903100				9/22/2015	6/19/2017	2,768		2,768				0	3,335	3,335	-567																		
110	VALERO ENERGY CORP NEV	91913Y100				5/3/2016	10/28/2016	5,277		5,277				0	5,247	5,247	30																		
111	VALERO ENERGY CORP NEV	91913Y100				2/11/2016	11/3/2017	586		586				0	547	547	39																		
112	VALERO ENERGY CORP NEV	91913Y100				2/11/2016	11/3/2017	1,727		1,727				0	1,641	1,641	86																		
113	VALERO ENERGY CORP NEV	91913Y100				6/22/2016	11/3/2017	3,454		3,454				0	3,180	3,180	274																		
114	VALERO ENERGY CORP NEV	91913Y100				6/22/2016	6/22/2017	654		654				0	530	530	124																		
1151																																			

[illegible]

Long Term CG Distributions	5,667
Short Term CG Distributions	0

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	MR & MRS ROBERT C LEGNINI		179 GRUBB ROAD	MALVERN	PA	19355		TRUSTEE	1 00		NONE	NONE

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		889
2 First quarter estimated tax payment	11/9/2016	185
3 Second quarter estimated tax payment	12/8/2016	185
4 Third quarter estimated tax payment	3/8/2017	186
5 Fourth quarter estimated tax payment	6/8/2017	186
6 Other payments		
7 Total		1,631

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2016

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

CARITA FOUNDATION-IMA

Employer identification number

02-0733877

Organization type (check one)

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Page **2**

Name of organization CARITA FOUNDATION-IMA	Employer identification number 02-0733877
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BRYN CLOVIS FOUNDATION, INC -IMA C/O BNY MELLON, N A, P O BOX 185 PITTSBURGH PA 15230-0185 Foreign State or Province Foreign Country	\$ 503,009	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
2	BRYN CLOVIS FOUNDATION, INC -IMA C/O BNY MELLON, N A, P O BOX 185 PITTSBURGH PA 15230-0185 Foreign State or Province Foreign Country	\$ 6,156	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

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Name of organization
CARITA FOUNDATION-IMA

Employer identification number
02-0733877

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2997 SH BNY MELLON MID CAP STK FD CL M	\$ 49,510	6/21/2017
1	4158 SH BNY MELLON INTERMEDIATE BD-M	\$ 52,266	6/21/2017
1	1035 SH BNY MELLON BOND FD CL M	\$ 13,238	6/21/2017
1	2418 SH BNY MELLON EMERGING MKTS FD CL M SH	\$ 24,228	6/21/2017
1	1998 SH BNY MELLON INTL FD CL M SHSS	\$ 25,235	6/21/2017
1	5067 SH DREYFUS BASIC S&P 500 STK I	\$ 250,158	6/21/2017

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

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Name of organization CARITA FOUNDATION-IMA	Employer identification number 02-0733877
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Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	591 SH DREYFUS PREM LT TRM HI YLD-R	\$ 3,741	6/21/2017
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1182 SH DREYFUS GLOBAL REAL ES SEC-Y	\$ 10,555	6/21/2017
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	420 SH DREYFUS INTL SMALL CAP-Y	\$ 6,321	6/21/2017
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1185 SH DREYFUS/NEWTON INTERN EQTY-Y	\$ 24,115	6/21/2017
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	480 SH DREYFUS SELECT MGER S/C GR-Y	\$ 12,254	6/21/2017
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	630 SH STRATEGIC GLBL STOCK-Y	\$ 12,543	6/21/2017

Name of organization

CARITA FOUNDATION-IMA

Employer identification number

02-0733877

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	