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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
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 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 07-01-2016, and ending 06-30-2017

Name of foundation SYLVIA E ROSS TRUST		A Employer identification number 01-6017314	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1802		B Telephone number (see instructions) (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 7,145,906		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	139,854	140,744		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	171,271			
	b Gross sales price for all assets on line 6a	4,432,617			
	7 Capital gain net income (from Part IV, line 2)		171,271		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)			446		
12 Total. Add lines 1 through 11	311,125	312,461			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	58,396	35,038		23,359
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,500	900	0	600
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	6,495	5,295		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	2,738	4,061		
	24 Total operating and administrative expenses. Add lines 13 through 23	69,129	45,294	0	23,959
	25 Contributions, gifts, grants paid	550,912			550,912
26 Total expenses and disbursements. Add lines 24 and 25	620,041	45,294	0	574,871	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-308,916				
b Net investment income (if negative, enter -0-)		267,167			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	95,887	166,501	166,501
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,226,124	6,104,815	6,461,334
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	400,709	401,996	518,071
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,722,720	6,673,312	7,145,906	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	6,722,720	6,673,312	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	6,722,720	6,673,312		
31 Total liabilities and net assets/fund balances (see instructions) .	6,722,720	6,673,312		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,722,720
2 Enter amount from Part I, line 27a	2	-308,916
3 Other increases not included in line 2 (itemize) ▶ _____	3	287,544
4 Add lines 1, 2, and 3	4	6,701,348
5 Decreases not included in line 2 (itemize) ▶ _____	5	28,036
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	6,673,312

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	171,271
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	3	
{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	305,733	6,744,562	0 04533
2014	286,795	7,241,701	0 039603
2013	257,036	7,056,104	0 036427
2012	239,541	6,514,701	0 036769
2011	337,766	6,225,645	0 054254
2 Total of line 1, column (d)			0 212383
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 042477
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			7,018,444
5 Multiply line 4 by line 3			298,122
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,672
7 Add lines 5 and 6			300,794
8 Enter qualifying distributions from Part XII, line 4			574,871

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', and 'Total credits and payments'. Total amount owed is 629, with 629 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Questions include: 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', 'Has the foundation engaged in any activities that have not previously been reported to the IRS?', 'Did the foundation have at least \$5,000 in assets at any time during the year?', 'Enter the states to which the foundation reports or with which it is registered?', 'If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General?', 'Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016?', 'Did any persons become substantial contributors during the tax year?'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of US TRUST FIDUCIARY TAX SERVICES Telephone no (888) 866-3275
Located at PO BOX 1802 PROVIDENCE RI ZIP+4 02901
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	7,017,134
b	Average of monthly cash balances.	1b	108,190
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,125,324
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,125,324
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	106,880
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,018,444
6	Minimum investment return. Enter 5% of line 5.	6	350,922

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	350,922
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,672
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,672
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	348,250
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	348,250
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	348,250

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	574,871
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	574,871
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	2,672
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	572,199

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				348,250
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			309,641	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>574,871</u>				
a Applied to 2015, but not more than line 2a			309,641	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				265,230
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				83,020
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	0			
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ROSSCARE INC ACCTG DEPT SUITE 400 43 WHITING HILL RD BREWSTER, ME 04401	N/A	EOF	UNRESTRICTED GENERAL	550,337
BANGOR HUMANE SOCIETY 693 MOUNT HOPE AVE BANGOR, ME 04401	N/A	PC	UNRESTRICTED GENERAL	200
SALVATION ARMY 440 W NYACK ROAD WEST NYACK, NY 109941753	N/A	PC	UNRESTRICTED GENERAL	50
UNITARIAN UNIVERSALIST SOCIETY OF BANGOR 120 PARK ST BANGOR, ME 04401	N/A	PC	UNRESTRICTED GENERAL	325
Total			▶ 3a	550,912
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1850 519 INTERNATIONAL EQUITY CTF		2004-02-20	2016-10-14
2370 767 INTERNATIONAL EQUITY CTF		2004-01-31	2016-10-14
1435 065 INTERNATIONAL EQUITY CTF		2004-01-31	2017-06-16
2748 838 INTERNATIONAL EQUITY CTF		2013-08-09	2017-06-16
3457 421 INTERNATIONAL EQUITY CTF		2013-06-21	2017-06-16
792 132 INTERNATIONAL EQUITY CTF		2017-03-24	2017-06-16
221 692 AGGREGATE BOND CTF		2016-10-14	2017-03-24
710 021 AGGREGATE BOND CTF		2016-10-14	2017-06-16
1284 852 SMALL CAP GROWTH LEADERS CTF		2004-01-09	2016-10-14
258 305 SMALL CAP GROWTH LEADERS CTF		2017-03-24	2017-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,769		60,557	-19,788
52,231		77,420	-25,189
36,585		47,783	-11,198
70,078		78,320	-8,242
88,142		93,349	-5,207
20,194		19,774	420
3,700		3,801	-101
12,000		12,161	-161
30,000		31,010	-1,010
7,000		6,886	114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19,788
			-25,189
			-11,198
			-8,242
			-5,207
			420
			-101
			-161
			-1,010
			114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3753 7 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-10-14	2017-03-24
667 827 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-10-14	2017-06-16
5815 78 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-04-30	2017-06-16
644 179 EMERGING MARKETS STOCK COMMON TRUST FUND		2014-01-31	2017-06-16
204 69 MID CAP VALUE CTF		2017-03-24	2017-06-16
1032 343 SMALL CAP VALUE CTF		2013-06-21	2016-10-14
212 766 SMALL CAP VALUE CTF		2017-03-24	2017-06-16
370 465 MID CAP GROWTH CTF		2014-01-24	2017-06-16
557 533 DIVIDEND INCOME COMMON TRUST FUND		2016-04-30	2016-10-14
1591 734 DIVIDEND INCOME COMMON TRUST FUND		2016-04-30	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
185,000		176,399	8,601
35,322		32,631	2,691
307,606		260,643	46,963
34,072		27,471	6,601
7,000		7,023	-23
27,000		24,693	2,307
6,500		6,376	124
12,000		12,109	-109
30,000		30,001	-1
94,000		97,487	-3,487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,601
			2,691
			46,963
			6,601
			-23
			2,307
			124
			-109
			-1
			-3,487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
9451 95 DIVIDEND INCOME COMMON TRUST FUND		2016-04-30	2017-06-16
62 ISHARES CORE S&P MID CAP ETF		2017-03-23	2017-06-15
395 ISHARES RUSSELL 2000 ETF		2016-04-29	2016-10-11
130 ISHARES RUSSELL 2000 ETF		2017-03-23	2017-06-15
8999 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2013-12-23	2017-03-23
2013 5 SHORT TERM TAXABLE BOND COMMON TRUST FUND		2014-01-24	2017-03-24
477 546 SHORT TERM TAXABLE BOND COMMON TRUST FUND		2014-01-24	2017-06-16
583 VANGUARD FTSE DEVELOPED MARKETS ETF		2013-06-19	2016-10-11
731 VANGUARD FTSE DEVELOPED MARKETS ETF		2012-06-19	2016-10-11
830 VANGUARD FTSE EMERGING MKTS ETF		2016-10-11	2017-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
579,000		581,713	-2,713
10,843		10,543	300
47,960		44,235	3,725
18,204		17,590	614
133,587		231,454	-97,867
21,000		21,231	-231
5,000		5,032	-32
21,277		21,690	-413
26,679		22,809	3,870
33,339		31,030	2,309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,713
			300
			3,725
			614
			-97,867
			-231
			-32
			-413
			3,870
			2,309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
118 VANGUARD FTSE EMERGING MKTS ETF		2008-12-22	2017-03-23
154 VANGUARD S&P 500 ETF		2016-04-29	2016-10-11
344 VANGUARD S&P 500 ETF		2016-04-29	2017-03-23
65 VANGUARD REIT ETF		2013-06-19	2017-03-23
1157 VANGUARD REIT ETF		2013-08-07	2017-03-23
1044 VANGUARD REIT ETF		2014-01-23	2017-03-23
1797 VANGUARD REIT ETF		2012-09-28	2017-03-23
959 VANGUARD REIT ETF		2012-06-19	2017-03-23
1153 935 HIGH QUALITY CORE COMMON TRUST FUND		2013-06-21	2016-10-14
2066 446 HIGH QUALITY CORE COMMON TRUST FUND		2013-06-21	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,740		2,879	1,861
30,080		29,014	1,066
74,106		64,810	9,296
5,356		4,239	1,117
95,339		75,186	20,153
86,028		67,035	18,993
148,077		109,613	38,464
79,024		57,979	21,045
16,000		15,244	756
31,000		30,152	848

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,861
			1,066
			9,296
			1,117
			20,153
			18,993
			38,464
			21,045
			756
			848

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
9492 567 HIGH QUALITY CORE COMMON TRUST FUND		2013-06-21	2017-06-16
23431 514 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2014-01-24	2016-10-14
17733 463 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2017-03-24	2017-06-16
1454 099 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2014-01-24	2017-06-16
2639 056 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2014-01-31	2017-06-16
31799 693 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-04-30	2017-06-16
1767 219 STRATEGIC GROWTH COMMON TRUST FUND		2013-12-31	2016-10-14
5668 649 STRATEGIC GROWTH COMMON TRUST FUND		2013-12-31	2017-03-24
40480 838 STRATEGIC GROWTH COMMON TRUST FUND		2013-12-31	2017-06-16
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
147,000		140,915	6,085
263,000		263,762	-762
223,874		211,714	12,160
18,357		16,283	2,074
33,317		29,329	3,988
401,452		352,877	48,575
23,000		22,499	501
79,000		82,216	-3,216
591,000		592,379	-1,379
			1,630

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,085
			-762
			12,160
			2,074
			3,988
			48,575
			501
			-3,216
			-1,379

TY 2016 Accounting Fees Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,500	900		600

TY 2016 Compensation Explanation**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Person Name	Explanation
BANK OF AMERICA N A	SEE ATTACHED FOOTNOTE

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Expenditure Responsibility Statement

Name: SYLVIA E ROSS TRUST

EIN: 01-6017314

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-07-28	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015	1900-01-01	
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-08-26	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-09-28	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-10-28	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			7/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-11-28	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2016-12-28	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			7/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-01-27	21,223	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-02-28	18,427	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-03-28	18,427	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-04-28	18,427	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-05-26	18,427	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-06-28	18,427	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		
LISA HARVEY MCPHERSON	43 WHITING HILL ROAD BREWER, ME 04412	2017-06-22	309,641	SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME			07/30/2015		

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TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: SYLVIA E ROSS TRUST

EIN: 01-6017314

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
8999 POWERS	2017-03		2013-12	PURCHASER	133,587	231,454			-97,867	

TY 2016 General Explanation Attachment**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

TY 2016 Investments Corporate Stock Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287507 ISHARES CORE S&P MID	182,579	236,050
464287655 ISHARES RUSSELL 2000	242,084	303,260
921943858 VANGUARD FTSE DEVELO	968,589	998,291
922042858 VANGUARD FTSE EMERGI	363,459	394,867
922908553 VANGUARD REIT ETF		
1261292H7 INTERNATIONAL EQUITY	68,414	70,279
207543877 SMALL CAP GROWTH LEA	193,692	205,102
302993993 MID CAP VALUE CTF	231,089	237,344
303995997 SMALL CAP VALUE CTF	188,588	205,576
99Z466163 HIGH QUALITY CORE CO	69,427	71,840
73935S105 POWERSHARES DB COMMO	84,635	73,218
693390841 PIMCO HIGH YIELD FD	70,000	71,267
202671913 AGGREGATE BOND CTF	496,364	499,471
82599C991 SHORT TERM TAXABLE B	360,175	357,551
29099J109 EMERGING MARKETS STO	137,554	172,031
323991307 MID CAP GROWTH CTF	236,222	237,347
99Z466197 INTERNATIONAL FOCUSE	57,585	65,460
99Z501647 STRATEGIC GROWTH COM	69,117	68,591
922908363 VANGUARD S&P 500 ETF	1,725,500	1,825,111
38145C646 GOLDMAN SACHS STRATE	180,000	182,701
94987W737 WELLS FARGO ABSOLUTE	104,000	111,309
45399C107 DIVIDEND INCOME COMM	75,742	74,668

TY 2016 Investments - Other Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
8EQQ69994 GROSVENOR REGISTERED	AT COST	401,996	518,071

TY 2016 Other Decreases Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Description	Amount
TYE PSHP GAIN ADJUSTMENT	22,715
TYE SECURITIES ADJUSTMENT	5,321

TY 2016 Other Expenses Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	1,369	1,369		0
OTHER ALLOCABLE EXPENSE-INCOME	1,369	1,369		0
PARTNERSHIP EXPENSES		1,323		0

TY 2016 Other Income Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME		446	

TY 2016 Other Increases Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Description	Amount
CTF COST ADJUSTMENT	287,541
TYE ROUNDING ADJUSTMENT	3

TY 2016 Taxes Schedule**Name:** SYLVIA E ROSS TRUST**EIN:** 01-6017314

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	5,027	5,027		0
EXCISE TAX ESTIMATES	1,200	0		0
FOREIGN TAXES ON QUALIFIED FOR	166	166		0
FOREIGN TAXES ON NONQUALIFIED	102	102		0