Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning June 1 **, 2016, and ending** May 31 **, 20** 17

Name of foundation: Joyce S Mudd Foundation

A Employer identification number: 72-1485643

Number and street (or P.O. box number if mail is not delivered to street address): 10003 Cristincoates | Room/suite:

B Telephone number (see instructions): (318) 687-1081

City or town, state or province, country, and ZIP or foreign postal code: Shreveport, LA 71118

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . . . ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation . . ▶☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☑ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 2,325,851.39

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ____ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . ▶☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	61,165.62			
	2 Check ▶☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	14.98	14.98		
	4 Dividends and interest from securities	44,770.72	44,770.72		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	12,244.69			
	b Gross sales price for all assets on line 6a 12,244.69				
	7 Capital gain net income (from Part IV, line 2) . .		12,244.69		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold . . .				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) see below . .	358.91	358.91		
	12 **Total.** Add lines 1 through 11	118,554.92	57,389.30		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) . . .				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) see below	1,089.67	252.05		
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	304.50			
	22 Printing and publications	259.13			
	23 Other expenses (attach schedule) ADR fees= $ 69.	69.00	69.00		
	24 **Total operating and administrative expenses.** Add lines 13 through 23 .	1,722.30	321.05		
	25 Contributions, gifts, grants paid	110,000.00			110,000.00
	26 **Total expenses and disbursements.** Add lines 24 and 25	111,722.30	321.05		110,000.00
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	6,832.62			
	b **Net investment income** (if negative, enter -0-) .		57,068.25		
	c **Adjusted net income** (if negative, enter -0-) . .				

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SCANNED JUN 30 2017

11 Other Income is **$ 358.91** for O & G Royalties from Gerard Associates (EIN 72-1488969)

18 Taxes are $ 837.62 for 2015 Excise Tax
$ 252.05 for Foreign Taxes Paid

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	693.82	5,099.32	5,099.32
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	942,835.27	945,262.39	2,320,752.07
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	943,529.09	950,361.71	2,325,851.39
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	943,529.09	950,361.71	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	**Total net assets or fund balances** (see instructions)	943,529.09	950,361.71	
	31	**Total liabilities and net assets/fund balances** (see instructions)	943,529.09	950,361.71	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	943,529.09
2	Enter amount from Part I, line 27a	2	6,832.62
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	950,361.71
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	950,361.71

Part IV Capital Gains and Losses for Tax on Investment Income

Description	Dates PURCHASED	Date Sold	Gross Sales Price
507.8671 shares EMC Corp	02/04/05 07/24/13 10/24/13 01/24/14 04/24/14	EMC Corp acquired by Dell Technologies on **Sept 9, 2016**	$ 12,244.69

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	12,244.69
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

Part V is NOT APPLICABLE

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,141	36
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	1,141	36
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,141	36
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 0			
b	Exempt foreign organizations—tax withheld at source — 6b: 0			
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0			
d	Backup withholding erroneously withheld — 6d: 0			
7	Total credits and payments. Add lines 6a through 6d	7	0	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,141	36
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	-	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	-	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year? not applicable	4a		
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? not applicable	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Louisiana			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	✓	

(10) John Mudd, 10003 Cristincoates Ct, Shreveport, LA 71118

re: contributed $ 23,729.58 cash in Fiscal Yr Jun 2015 to May 2016 & contributed $ 60,837.62 cash in FY Jun 2016 to May 2017

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ NOT APPLICABLE

14 The books are in care of ▶ John Mudd Telephone no. ▶ (318) 687-1081

Located at ▶ 10003 Cristincoates Ct, Shreveport, LA ZIP+4 ▶ 71118

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 NOT APPLICABLE

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ NOT APPLICABLE	16		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? not applicable	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? not applicable	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) not applicable	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* not applicable	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		✓

4 b The foundation has NEVER made an investment that could jeopardize its charitable purpose.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? not applicable — 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? not applicable ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? — 7b — n/a

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John S Mudd, Director & Registered Agent 10003 Cristincoates Ct, Shreveport, LA 71118	Minimal hours	0	0	0
Linda Turley, Director 8627 Turning Leaf, Boerne, TX 78015	Minimal hours	0	0	0
Susan Holland, Director 631 Pickett's Mill, Shreveport, LA 71118	Minimal hours	0	0	0
David Mudd, Director 2006 Pine Ridge Way, Benton, LA 71006	Minimal hours	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,304,492
b	Average of monthly cash balances	1b	31,634
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,336,126
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,336,126
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	35,042
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,301,084
6	**Minimum investment return.** Enter 5% of line 5	6	115,054.20

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	115,054.20
2a	Tax on investment income for 2016 from Part VI, line 5 . . . 2a 1,141.36		
b	Income tax for 2016. (This does not include the tax from Part VI.) . . . 2b 0		
c	Add lines 2a and 2b	2c	1,141.36
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	113,912.84
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	113,912.84
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	113,912.84

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	110,000.00
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	110,000.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	110,000.00

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7					113,912.84
2	Undistributed income, if any, as of the end of 2016:					
a	Enter amount for 2015 only				106,831.93	
b	Total for prior years: 20___,20___,20___			0		
3	Excess distributions carryover, if any, to 2016:					
a	From 2011	0				
b	From 2012	0				
c	From 2013	0				
d	From 2014	0				
e	From 2015	0				
f	**Total** of lines 3a through e		0			
4	Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 110,000.00					
a	Applied to 2015, but not more than line 2a				106,831.93	
b	Applied to undistributed income of prior years (Election required—see instructions)			0		
c	Treated as distributions out of corpus (Election required—see instructions)		0			
d	Applied to 2016 distributable amount					3,168.07
e	Remaining amount distributed out of corpus		0			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					110,744.77
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)		0			
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		0			
10	Analysis of line 9:					
a	Excess from 2012	0				
b	Excess from 2013	0				
c	Excess from 2014	0				
d	Excess from 2015	0				
e	Excess from 2016	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . . ▶ not applicable

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

John Mudd(director) contributed $23,729.58 (cash) in FY Jun 2015 to May 2016 & contributed $60,837.62 (cash) in FY Jun 2016-May 2017

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

not applicable

b The form in which applications should be submitted and information and materials they should include:

not applicable

c Any submission deadlines:

not applicable

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

not applicable

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year

Charitable Activities of The Joyce S. Mudd Foundation

June 1, 2016 to May 31, 2017

Recipient	Status of Recipient	Purpose of Contribution	Amount Distributed
Austin Community Foundation 4315 Guadalupe, Austin, TX 78751 *cash donation*	501(c)(3) corp	donated to the Eugene Frank Sommerlatte Endowment Fund	$ 10,000.00
Camp Rainman of NW Louisiana 2400 Old Minden Rd, Bossier City, LA 71112 *cash donation*	501(c)(3) corp	to fund a Summer Camp attended by children and adults who are living with autism	$ 15,000.00
Centenary College 2911 Centenary Blvd, Shreveport, LA 71104 *cash donation*	Public	donated to Frost School of Business Adjunct Faculty Fund	$ 40,000.00
Christ United Methodist Church 1204 Crabapple, Shreveport, LA 71118 *cash donation to the Unity Account*	Religious	to fund the Special Olympic activities that are sponsored by the Church	$ 15,000.00
Cystic Fibrosis Foundation - Northeast Texas Chapter 4040 North Central Expressway, Dallas, TX 75204 *cash donation*	501(c)(3) corp	to fund the Therapeutics Program in Dallas/Ft Worth	$ 15,000.00
Presbyterian Church of La Porte 307 Kingsbury Ave, La Porte, IN 46350 *cash donation*	Religious	to fund the CROP Hunger Walk program	$ 15,000.00
			==========
Total		Total 3a	**$ 110,000.00**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	14.98	
4 Dividends and interest from securities			14	44,770.72	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income Oil & Gas Royalties			15	358.91	
8 Gain or (loss) from sales of assets other than inventory			18	12,244.69	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		57,389.30	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 57,389.30

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	NOT APPLICABLE		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NOT APPLICABLE		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ John A Mudd | 06-11-17 ▶ Director & registered agent

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
Joyce S Mudd Foundation	72-1485643

Organization type (check one)·

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☑ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
Joyce S Mudd Foundation	72-1485643

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	John Mudd 10003 Cristincoates Court Shreveport, LA 71118	$ 60,837.62	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	David Mudd 2006 Pine Ridge Way Benton, LA 71006	$ 328.00	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Form 990-PF (2016)
Part II. Line 10(b)

Joyce S Mudd Foundation
72-1485643

1 of 3

Shares	Security	Method of Acquisition [] Date Acquired	Book Value May 31, 2017	Ind. Fair Market Value
500	**Amgen**	[P] 3/19/01	$ 32,658 20	$ 77,620 00
882	Amgen	[D] 12/28/01	$ 52,090 92	$ 136,921 68
2220	Amgen	[D] 7/11/05	$ 152,086 00	$ 344,632.80
835	Amgen	[D] 6/26/07	$ 46,008 50	$ 129,625 40
22 7919	Amgen	9/09/11 [r]	$ 1,242 36	$ 3,538 21
21 3429	Amgen	12/09/11 [r]	$ 1,248 74	$ 3,313 27
23 8566	Amgen	3/08/12 [r]	$ 1,613 21	$ 3,703 50
23 4839	Amgen	6/08/12 [r]	$ 1,621 80	$ 3,645 64
19 2478	Amgen	9/10/12 [r]	$ 1,630 25	$ 2,988 03
18 4047	Amgen	12/10/12 [r]	$ 1,637 18	$ 2,857 15
6 4258	Amgen	3/08/13 [r]	$ 2,146 08	$ 997 54
4572 5536				
706	**ATT**	[P] Bell South merger	$ 14,367 41	$ 27,202 18
6 6342	ATT	2/02/07 [r]	$ 250 63	$ 255 62
6 4775	ATT	5/02/07 [r]	$ 252 99	$ 249 58
400	ATT	[P] 7/10/07	$ 16,477 65	$ 15,412 00
9.8919	ATT	8/02/07 [r]	$ 397 28	$ 381 13
9 917	ATT	11/02/07 [r]	$ 400 80	$ 382 10
11 917	ATT	2/04/08 [r]	$ 455 57	$ 459 16
11 5239	ATT	5/02/08 [r]	$ 460 34	$ 444 02
15.389	ATT	8/04/08 [r]	$ 464 94	$ 592 94
16 2193	ATT	11/04/08 [r]	$ 471 10	$ 624 93
19 3977	ATT	2/03/09 [r]	$ 489 53	$ 747 39
18 6913	ATT	5/04/09 [r]	$ 497 48	$ 727 88
19 1868	ATT	8/04/09 [r]	$ 505 23	$ 739 27
20 1764	ATT	11/03/09 [r]	$ 513 09	$ 777 40
20 9634	ATT	2/02/10 [r]	$ 534.08	$ 807 72
20 8259	ATT	5/04/10 [r]	$ 542 89	$ 802 42
20 585	ATT	8/03/10 [r]	$ 551 63	$ 793 14
19 2907	ATT	11/01/10 [r]	$ 560 28	$ 743 27
20 9166	ATT	2/02/11 [r]	$ 581 91	$ 805 92
18 786	ATT	5/03/11 [r]	$ 590 91	$ 723 82
20 4393	ATT	8/02/11 [r]	$ 598 99	$ 787 53
20 795	ATT	11/02/11 [r]	$ 607 77	$ 801 23
21 1185	ATT	2/02/12 [r]	$ 631 06	$ 813 70
19 4129	ATT	5/02/12 [r]	$ 640 35	$ 747 98
17 2951	ATT	8/02/12 [r]	$ 648 89	$ 666 38
18 6024	ATT	11/02/12 [r]	$ 656 50	$ 716 75
19 3584	ATT	2/04/13 [r]	$ 679 79	$ 745 88
18 3281	ATT	5/02/13 [r]	$ 688 51	$ 706 22
19 5611	ATT	8/02/13 [r]	$ 696 75	$ 753 69
19 3669	ATT	11/04/13 [r]	$ 705 56	$ 746 21
22 6142	ATT	2/04/14 [r]	$ 730 14	$ 871 33
20 7586	ATT	5/02/14 [r]	$ 740 55	$ 799 83
1630 6411				
329	**TEVA**	[P] 12/23/08	$ 13,814 71	$ 9,165 94
920	**Biogen Idec Inc**	[D] 12/28/01	$ 43,529 90	$ 227,948 40
460	**BIOVERATIV**	**[spin-off] 2/2/2016**	**$ 3,882.10**	**$ 25,341.40**

Shares	Security	Date Acquired	Book Value	Ind. Fair Market Value
85 4423	**Bristol Meyers**	[D] 2/21/06	$ 1,972 01	$ 4,609 61
2 9969	Bristol Meyers	8/04/08 [r]	$ 64 00	$ 161 68
3 0928	Bristol Meyers	11/04/08 [r]	$ 64 93	$ 166 86
2 9776	Bristol Meyers	2/03/09 [r]	$ 65 88	$ 160 64
3 4336	Bristol Meyers	5/04/09 [r]	$ 66 81	$ 185 24
3 094	Bristol Meyers	8/04/09 [r]	$ 67 87	$ 166 92
3 1652	Bristol Meyers	11/03/09 [r]	$ 68 83	$ 170 76
1 3715	Bristol Meyers	2/02/10 [r]	$ 33 34	$ 73 99
1 3291	Bristol Meyers	5/04/10 [r]	$ 33 78	$ 71 70
1 3324	Bristol Meyers	8/03/10 [r]	$ 34 21	$ 71 88
1 2834	Bristol Meyers	11/02/10 [r]	$ 34 64	$ 69 24
1 4241	Bristol Meyers	2/02/11 [r]	$ 36 14	$ 76 83
1 2763	Bristol Meyers	5/03/11 [r]	$ 36 61	$ 68 86
1 2857	Bristol Meyers	8/02/11 [r]	$ 37 03	$ 69 36
1 2013	Bristol Meyers	11/02/11 [r]	$ 37 46	$ 64 81
1 2025	Bristol Meyers	2/02/12 [r]	$ 39 00	$ 64 87
1 1748	Bristol Meyers	5/02/12 [r]	$ 39 41	$ 63 38
1 1961	Bristol Meyers	8/02/12 [r]	$ 39 81	$ 64 53
1 1949	Bristol Meyers	11/02/12 [r]	$ 40 22	$ 64 46
1 1438	Bristol Meyers	2/02/13 [r]	$ 41 82	$ 61 71
1 0591	Bristol Meyers	5/02/13 [r]	$ 42 22	$ 57 14
0 9652	Bristol Meyers	8/02/13 [r]	$ 42 59	$ 52 07
0 8087	Bristol Meyers	11/04/13 [r]	$ 42 92	$ 43 63
0,9099	Bristol Meyers	2/04/14 [r]	$ 44 44	$ 49 09
0 9013	Bristol Meyers	5/02/14 [r]	$ 44 77	$ 48 63
125 2625				
526	**Berkshires**	[P] 2/16/10	$ 42,148 38	$ 86,937 28
500	**Cisco**	[P] 03/19/01	$ 10,330 26	$ 15,765 00
3465	Cisco	[D] 12/28/01	$ 64,241 10	$ 109,251 45
14 0274	Cisco	4/21/11 [r]	$ 237 90	$ 442 28
14 8681	Cisco	7/28/11 [r]	$ 238 74	$ 468 79
13 145	Cisco	10/27/11 [r]	$ 239 63	$ 414 46
12 0694	Cisco	1/26/12 [r]	$ 240 42	$ 380 55
16 5226	Cisco	4/26/12 [r]	$ 321 53	$ 520 96
20 6713	Cisco	7/26/12 [r]	$ 322 85	$ 651 77
32 8447	Cisco	10/25/12 [r]	$ 567 88	$ 1,035 59
28 274	Cisco	12/20/12 [r]	$ 572 48	$ 891 48
33 9514	Cisco	4/25/13 [r]	$ 698 96	$ 1,070 49
27 6847	Cisco	7/25/13 [r]	$ 705 73	$ 872 90
31 8741	Cisco	10/24/13 [r]	$ 710 44	$ 1,004 99
31 6272	Cisco	1/23/14 [r]	$ 715 86	$ 997 21
34 3117	Cisco	4/24/14 [r]	$ 806 09	$ 1,081 85
100	Cisco	[P] 05/22/14	$ 2,444 45	$ 3,153 00
4376 8716				
200	**Coca Cola**	[D] 06/21/04	$ 2,569 00	$ 9,094 00
1 2883	Coca Cola	10/04/05 [r]	$ 28 00	$ 58 58
1 3596	Coca Cola	12/16/05 [r]	$ 28 18	$ 61 82
1 5043	Coca Cola	4/04/06 [r]	$ 31 41	$ 68 40
1 4606	Coca Cola	7/05/06 [r]	$ 31 65	$ 66 41
1 4239	Coca Cola	10/05/06 [r]	$ 31 87	$ 64 74
1 3095	Coca Cola	12/18/06 [r]	$ 32 09	$ 59 54

1 4464	Coca Cola	4/03/07 [r]	$	35 42	$	65 77
1 3502	Coca Cola	7/03/07 [r]	$	35 67	$	61 39
1.2376	Coca Cola	10/02/07 [r]	$	35 90	$	56 27
1 1526	Coca Cola	12/18/07 [r]	$	36 11	$	52 41
1 3365	Coca Cola	4/02/08 [r]	$	40 57	$	60 77
1 5979	Coca Cola	7/02/08 [r]	$	40 83	$	72 66
1 5331	Coca Cola	10/02/08 [r]	$	41 13	$	69 71
1 8027	Coca Cola	12/16/08 [r]	$	41 42	$	81 97
1 9873	Coca Cola	4/02/09 [r]	$	45 06	$	90 38
1 8447	Coca Cola	7/02/09 [r]	$	45 47	$	83 88
1 7096	Coca Cola	10/02/09 [r]	$	45 85	$	77 74
1 5621	Coca Cola	12/16/09 [r]	$	46 20	$	71 03
1 8048	Coca Cola	4/05/10 [r]	$	49 92	$	82 06
1 998	Coca Cola	7/02/10 [r]	$	50 32	$	90 85
1 7207	Coca Cola	10/04/10 [r]	$	50 76	$	78 24
1 579	Coca Cola	12/16/10 [r]	$	51 14	$	71 80
1 6298	Coca Cola	4/04/11 [r]	$	54 99	$	74 11
1 618	Coca Cola	7/05/11 [r]	$	55 38	$	73 57
1 753	Coca Cola	10/04/11 [r]	$	55 76	$	79 71
1 663	Coca Cola	12/16/11 [r]	$	56 17	$	75 62
1 6599	Coca Cola	4/03/12 [r]	$	61 37	$	75 48
1 5653	Coca Cola	7/03/12 [r]	$	61 80	$	71 17
243	Coca Cola	**8/13/12 [split]**	$	6,358 37	$	11,049 21
3.2295	Coca Cola	10/02/12 [r]	$	124 16	$	146 85
3 3424	Coca Cola	12/18/12 [r]	$	124 98	$	151.98
3 3966	Coca Cola	4/02/13 [r]	$	138 17	$	154.44
3 4187	Coca Cola	7/02/13 [r]	$	139 12	$	155 45
3 7404	Coca Cola	10/02/13 [r]	$	140 08	$	170 08
3 6198	Coca Cola	12/16/13 [r]	$	141 13	$	164 59
4 026	Coca Cola	4/02/14 [r]	$	154 83	$	183 06
511 6718						
303 3087	**Conoco**	[D] 12/29/06	$	16,803 76	$	13,554 87
1 9001	Conoco	3/02/07 [r]	$	95 75	$	84 92
1 5872	Conoco	6/04/07 [r]	$	96 35	$	70 93
1 5246	Conoco	9/05/07 [r]	$	96 85	$	68 13
1 5756	Conoco	12/04/07 [r]	$	97 33	$	70 41
1 7602	Conoco	3/04/08 [r]	$	112 15	$	78 66
1 5821	Conoco	6/03/08 [r]	$	112 78	$	70 70
1 8593	Conoco	9/03/08 [r]	$	113 35	$	83 09
2 9937	Conoco	12/02/08 [r]	$	114 03	$	133 79
4 1941	Conoco	3/03/09 [r]	$	115 11	$	187 43
3 1362	Conoco	6/02/09 [r]	$	116 63	$	140 16
3 445	Conoco	9/02/09 [r]	$	117 77	$	153 96
3 1622	Conoco	12/02/09 [r]	$	126 61	$	141 32
3 3536	Conoco	3/02/10 [r]	$	127 82	$	149 87
3 6266	Conoco	6/02/10 [r]	$	142 03	$	162 07
3 4242	Conoco	9/02/10 [r]	$	143 57	$	153 03
2 9804	Conoco	12/02/10 [r]	$	145 02	$	133 19
2 9068	Conoco	3/02/11 [r]	$	175 53	$	129 90
3 158	Conoco	6/02/11 [r]	$	177 01	$	141 13
3 5003	Conoco	9/02/11 [r]	$	178 62	$	156 43
3 2463	Conoco	12/02/11 [r]	$	180 40	$	145 08
3 0371	Conoco	3/02/12 [r]	$	182 05	$	135 73

4 6598	Conoco	6/04/12 [r]	$	238 43	$	208 25
4 3421	Conoco	9/05/12 [r]	$	241 51	$	194 05
4 2886	Conoco	12/04/12 [r]	$	244 37	$	191 66
4 2657	Conoco	3/04/13 [r]	$	247 20	$	190 63
4 0357	Conoco	6/04/13 [r]	$	250 02	$	180 36
3 9221	Conoco	9/04/13 [r]	$	264 17	$	175 28
3 6535	Conoco	12/03/13 [r]	$	266 88	$	163.27
4 0392	Conoco	3/04/14 [r]	$	269 40	$	180 51
394 469						
180	***PHILLIPS 66***	[spin-off] 5/01/12	$	5,845 87	$	13,699 80
0 8565	Phillips 66	6/04/13 [r]	$	56 25	$	65 19
0 9702	Phillips 66	9/04/13 [r]	$	56 52	$	73 84
0 9982	Phillips 66	12/04/13 [r]	$	70 91	$	75 97
0 9454	Phillips 66	3/04/14 [r]	$	71 30	$	71 95
183 7703						
600	***CSX***	[D] 12/29/06	$	6,886 00	$	32,502 00
1200	*CSX*	6/16/11 [split]	$	13,772 00	$	65,004 00
1 8783	*CSX*	3/16/07 [r]	$	24 00	$	101 75
1 6117	*CSX*	6/18/07 [r]	$	24 08	$	87 31
2 3175	*CSX*	9/17/07 [r]	$	30 17	$	125 54
2 1395	*CSX*	12/17/07 [r]	$	30 29	$	115 90
1 86	*CSX*	3/17/08 [r]	$	30 40	$	100 76
1 6889	*CSX*	6/16/08 [r]	$	36 59	$	91 49
2 3506	*CSX*	9/16/08 [r]	$	44 84	$	127 33
4 2392	*CSX*	12/16/08 [r]	$	45 02	$	229 64
5 4685	*CSX*	3/16/09 [r]	$	45 33	$	296 23
3 8981	*CSX*	6/16/09 [r]	$	45 73	$	211 16
2 9834	*CSX*	9/16/09 [r]	$	46 01	$	161 61
2 7981	*CSX*	12/16/09 [r]	$	46 23	$	151 57
2 9563	*CSX*	3/16/10 [r]	$	50 60	$	160 14
2 8334	*CSX*	6/16/10 [r]	$	50 90	$	153 49
2 7967	*CSX*	9/16/10 [r]	$	51 12	$	151 50
2 6461	*CSX*	12/16/10 [r]	$	55 62	$	143 34
2 2402	*CSX*	3/16/11 [r]	$	55 86	$	121 35
93	*CSX*	6/16/11 [split]	$	1,425 75	$	5,037 81
	.413 sh of CSX	**sold 6/17/11 @ 10.18**				
		buy 6/16/08 @ 8 94				
9 4986	*CSX*	6/16/11 [r]	$	232 81	$	514 54
11 0653	*CSX*	9/16/11 [r]	$	233 90	$	599 41
11 5366	*CSX*	12/16/11 [r]	$	235 23	$	624 94
10 7165	*CSX*	3/16/12 [r]	$	236 62	$	580 51
12 472	*CSX*	6/18/12 [r]	$	277 55	$	675 61
12 0928	*CSX*	9/17/12 [r]	$	279 30	$	655 07
14 0708	*CSX*	12/17/12 [r]	$	280 99	$	762 22
11 8471	*CSX*	3/18/13 [r]	$	282 96	$	641 76
12 2768	*CSX*	6/17/13 [r]	$	304 95	$	665 03
11 599	*CSX*	9/16/13 [r]	$	306 79	$	628 32
11 0586	*CSX*	12/16/13 [r]	$	308 53	$	599 04
10 772	*CSX*	3/17/14 [r]	$	310 19	$	583 52
2078 7126						

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200 **Disney**		[D] 6/21/04	$	5,000 00	$	21,588 00
800	Disney	[P] 2/04/05	$	22,955 95	$	86,352 00
10 8648	Disney	1/09/06 [r]	$	270 00	$	1,172 75
8 9198	Disney	1/16/07 [r]	$	313 37	$	962 80
11 753	Disney	1/14/08 [r]	$	356 92	$	1,268 62
17 1089	Disney	1/21/09 [r]	$	361 04	$	1,846.73
11 7884	Disney	1/20/10 [r]	$	367 03	$	1,272 44
10 7987	Disney	1/19/11 [r]	$	424 17	$	1,165 61
16 4184	Disney	1/19/12 [r]	$	642 74	$	1,772.20
16 5287	Disney	12/31/12 [r]	$	815 74	$	1,784 11
12 7719	Disney	1/17/14 [r]	$	949 60	$	1,378 60
1116 9526						
56 DELL		**[P] 9/7/2016**	**$**	**6,726.13**	**$**	**3,885.84**
1000 **Emcor**		[P] 3/19/01	$	7,692 13	$	63,020 00
500	Emcor	[D] 6/21/04	$	5,563 75	$	31,510 00
1500	Emcor	2/13/06 [split]	$	13,255 88	$	94,530 00
3000	Emcor	7/10/07 [split]	$	26,511 75	$	189,060 00
13 1435	Emcor	10/26/11 [r]	$	300 00	$	828 30
10 3416	Emcor	2/01/12 [r]	$	300 66	$	651.73
10 2823	Emcor	5/01/12 [r]	$	301 17	$	647 99
11 4912	Emcor	7/31/12 [r]	$	301 69	$	724 18
9 3666	Emcor	10/31/12 [r]	$	302 26	$	590 28
10 6319	Emcor	12/31/12 [r]	$	363 28	$	670 02
9 9462	Emcor	5/01/13 [r]	$	363 92	$	626 81
8 7686	Emcor	7/29/13 [r]	$	364 51	$	552 60
9 7974	Emcor	10/31/13 [r]	$	365 04	$	617 43
11 5139	Emcor	2/03/14 [r]	$	487 50	$	725 61
10 7466	Emcor	5/01/14 [r]	$	488 42	$	677 25
6116 0298						
300 **Exxon**		[P] 2/04/05	$	15,796 95	$	24,150 00
1 3261	Exxon	3/11/05 [r]	$	81 00	$	106 75
1 5085	Exxon	6/13/05 [r]	$	87 38	$	121 43
1 3969	Exxon	9/13/05 [r]	$	87 82	$	112 45
1 5026	Exxon	12/12/05 [r]	$	88 23	$	120 96
1 6387	Exxon	3/13/06 [r]	$	97 83	$	131 92
1 6601	Exxon	6/12/06 [r]	$	98 36	$	133 64
1 5217	Exxon	9/12/06 [r]	$	98 89	$	122 50
1 3089	Exxon	12/12/06 [r]	$	99 38	$	105 37
1 4075	Exxon	3/12/07 [r]	$	99 80	$	113 30
1 3289	Exxon	6/12/07 [r]	$	109 64	$	106 98
1 2929	Exxon	9/11/07 [r]	$	110 11	$	104 08
1 1953	Exxon	12/11/07 [r]	$	110 56	$	96 22
1 3091	Exxon	3/11/08 [r]	$	110 98	$	105 38
1 4362	Exxon	6/11/08 [r]	$	127 36	$	115 61
1 7185	Exxon	9/11/08 [r]	$	127 93	$	138 34
1 5903	Exxon	12/11/08 [r]	$	128 62	$	128 02
1 9101	Exxon	3/11/09 [r]	$	129 26	$	153 76
1 8347	Exxon	6/11/09 [r]	$	136 52	$	147 69
1 9404	Exxon	9/11/09 [r]	$	137 29	$	156 20
1 8979	Exxon	12/11/09 [r]	$	138 11	$	152 78
2 0665	Exxon	3/11/10 [r]	$	138 90	$	166 35

2 3847	Exxon	6/11/10 [r]	$	146 43	$	191 97
2 4278	Exxon	9/13/10 [r]	$	147 48	$	195 44
2 0532	Exxon	12/14/10 [r]	$	148 55	$	165 28
1 8225	Exxon	3/11/11 [r]	$	149 45	$	146 71
1 994	Exxon	6/13/11 [r]	$	160 50	$	160 52
2 2739	Exxon	9/12/11 [r]	$	161 43	$	183 05
2 0188	Exxon	12/12/11 [r]	$	162 50	$	162 51
1 9279	Exxon	3/12/12 [r]	$	163 45	$	155 20
2 455	Exxon	6/12/12 [r]	$	199 33	$	197 63
2 2335	Exxon	9/11/12 [r]	$	200 73	$	179 80
2 2698	Exxon	12/11/12 [r]	$	202 00	$	182 72
2 2633	Exxon	3/12/13 [r]	$	203 29	$	182 20
2 4886	Exxon	6/11/13 [r]	$	226 12	$	200 33
2 581	Exxon	9/11/13 [r]	$	227 69	$	207 77
2 4055	Exxon	12/11/13 [r]	$	229 31	$	193 64
2 4296	Exxon	3/12/14 [r]	$	230 83	$	195 58
368 8209						
500 **Gentex**		[D] 6/21/04	$	4,578 75	$	9,490 00
500	Gentex	5/09/05 [split]	$	4,578 75	$	9,490 00
4 8537	Gentex	10/24/05 [r]	$	45 00	$	92 12
4 6338	Gentex	1/23/06 [r]	$	45 22	$	87 95
6 094	Gentex	4/24/06 [r]	$	45 43	$	115 66
6 7854	Gentex	7/23/06 [r]	$	45 70	$	128 79
6 6987	Gentex	10/24/06 [r]	$	48 56	$	127 14
6 1415	Gentex	1/22/07 [r]	$	48 88	$	116 57
5 1744	Gentex	4/23/07 [r]	$	49 17	$	98 21
4 5157	Gentex	7/23/07 [r]	$	49 42	$	85 71
5 2044	Gentex	10/22/07 [r]	$	54 86	$	98 78
7 5842	Gentex	1/22/08 [r]	$	55 13	$	143 95
6 7803	Gentex	4/21/08 [r]	$	55 53	$	128 69
7 228	Gentex	7/21/08 [r]	$	55 88	$	137 19
10 2988	Gentex	10/20/08 [r]	$	58 94	$	195 47
13 8922	Gentex	1/21/09 [r]	$	59 51	$	263 67
10 3122	Gentex	4/20/09 [r]	$	60 28	$	195 73
9 8237	Gentex	7/20/09 [r]	$	60 84	$	186 45
8 4793	Gentex	10/19/09 [r]	$	61 38	$	160 94
6 7685	Gentex	1/21/10 [r]	$	61 85	$	128 47
5 7363	Gentex	4/19/10 [r]	$	62 22	$	108 87
6 6365	Gentex	7/19/10 [r]	$	62 54	$	125 96
5 8675	Gentex	10/18/10 [r]	$	62 90	$	111 37
4 1556	Gentex	1/24/11 [r]	$	63 22	$	78 87
4 6034	Gentex	4/25/11 [r]	$	69 22	$	87 37
4 4854	Gentex	7/25/11 [r]	$	69 49	$	85 13
4 7159	Gentex	10/24/11 [r]	$	69 77	$	89 51
4 4948	Gentex	1/23/12 [r]	$	70 05	$	85 31
7 2624	Gentex	4/23/12 [r]	$	76 18	$	137 84
7 1402	Gentex	7/23/12 [r]	$	76 65	$	135 52
9 0569	Gentex	10/22/12 [r]	$	77 12	$	171 90
8 0947	Gentex	1/22/13 [r]	$	77 70	$	153 64
8 176	Gentex	4/22/13 [r]	$	84 24	$	155 18
7 2469	Gentex	7/22/13 [r]	$	84 82	$	137 55
6 3728	Gentex	10/21/13 [r]	$	85 33	$	120 96
5 0535	Gentex	1/21/14 [r]	$	85 77	$	95 92

5 8377	Gentex	4/22/14 [r]	$	86 12	$	110 80
1236	Gentex	1/02/15 [split]	$	11,378 82	$	23,459 28
2472 2053						
1537 **Intel**		[D] 6/21/04	$	42,282 87	$	55,501 07
4 8653	Intel	9/09/05 [r]	$	122 86	$	175 69
4 5298	Intel	12/02/05 [r]	$	123 35	$	163 57
7 4519	Intel	3/02/06 [r]	$	154 64	$	269 09
8 6322	Intel	6/30/06 [r]	$	155 38	$	311 71
7 9104	Intel	9/05/06 [r]	$	156 25	$	285 64
7 4829	Intel	12/04/06 [r]	$	157 04	$	270 21
9 1278	Intel	3/02/07 [r]	$	177 51	$	329 60
7 9592	Intel	6/04/07 [r]	$	178 54	$	287 41
6 917	Intel	9/05/07 [r]	$	179 43	$	249 77
6 8301	Intel	12/04/07 [r]	$	180 21	$	246 63
10 3503	Intel	3/04/08 [r]	$	205 11	$	373 75
9 6188	Intel	6/03/08 [r]	$	226 67	$	347 33
10 2287	Intel	9/03/08 [r]	$	228 01	$	369 36
17 9737	Intel	12/03/08 [r]	$	229 45	$	649 03
18 6309	Intel	3/03/09 [r]	$	231 96	$	672 76
14 2367	Intel	6/02/09 [r]	$	234 57	$	514 09
11 9918	Intel	9/02/09 [r]	$	236 56	$	433 02
12 0561	Intel	12/02/09 [r]	$	238 24	$	435 35
12 9079	Intel	3/02/10 [r]	$	269 92	$	466 10
12 7757	Intel	6/02/10 [r]	$	271 96	$	461 33
14 9823	Intel	9/02/10 [r]	$	273 97	$	541 01
12 7319	Intel	12/02/10 [r]	$	276 33	$	459 75
14 8347	Intel	3/02/11 [r]	$	320 22	$	535 68
14 5569	Intel	6/02/11 [r]	$	322 90	$	525 65
18 9648	Intel	9/02/11 [r]	$	377 28	$	684 82
15 2305	Intel	12/02/11 [r]	$	381 27	$	549 97
14 2812	Intel	3/02/12 [r]	$	384 46	$	515 69
15 3868	Intel	6/04/12 [r]	$	387 46	$	555 62
17 1104	Intel	9/05/12 [r]	$	418 60	$	617 86
21 2318	Intel	12/04/12 [r]	$	422 45	$	766 68
20 2864	Intel	3/04/13 [r]	$	427 23	$	732 54
16 9186	Intel	6/04/13 [r]	$	431 79	$	610 93
19 3256	Intel	9/04/13 [r]	$	435 60	$	697 85
18 6301	Intel	12/04/13 [r]	$	439 95	$	672 73
17 9436	Intel	3/04/14 [r]	$	444 14	$	647 94
1991 8928						
100 **ITT**		[P] 5/12/06	$	2,178 22	$	3,801 00
0 2248	ITT	7/05/06 [r]	$	4 21	$	8 54
0 2177	ITT	10/03/06 [r]	$	4 22	$	8 27
0 1959	ITT	1/03/07 [r]	$	4 23	$	7 45
0 22955	ITT	4/03/07 [r]	$	5 39	$	8 73
0 20315	ITT	7/03/07 [r]	$	5 40	$	7 72
0 2033	ITT	10/02/07 [r]	$	5 41	$	7 73
0 21985	ITT	1/03/08 [r]	$	5 43	$	8 36
0 3303	ITT	4/02/08 [r]	$	6 80	$	12 55
0 2838	ITT	7/02/08 [r]	$	6 82	$	10 79
0 3359	ITT	7/02/08 [r]	$	6 84	$	12 77
0 36175	ITT	1/05/09 [r]	$	6 86	$	13 75

0 0871	ITT	4/02/09 [r]	$	8 36	$	3 31
0 50515	ITT	7/02/09 [r]	$	8 41	$	19 20
0 4489	ITT	10/02/09 [r]	$	8 45	$	17 06
0 45045	ITT	1/05/10 [r]	$	8 49	$	17 12
0 48205	ITT	4/05/10 [r]	$	10 03	$	18 32
0 5859	ITT	7/02/10 [r]	$	10 07	$	22 27
0 5629	ITT	10/04/10 [r]	$	10 13	$	21 40
0 50475	ITT	1/04/11 [r]	$	10 18	$	19 19
0 441	ITT	4/04/11 [r]	$	10 27	$	16 76
0 45135	ITT	7/05/11 [r]	$	10 23	$	17 16
0 67445	ITT	10/04/11 [r]	$	10 32	$	25 64
0 4965	ITT	1/04/12 [r]	$	9 83	$	18 87
0 4286	ITT	4/03/12 [r]	$	9 87	$	16 29
0 551	ITT	7/03/12 [r]	$	9 91	$	20 94
0 498	ITT	10/02/12 [r]	$	9 96	$	18 93
0 4135	ITT	01/02/13 [r]	$	10 01	$	15 72
0 3917	ITT	4/02/13 [r]	$	11 04	$	14 89
0 3672	ITT	7/01/13 [r]	$	11 08	$	13 96
0 3076	ITT	10/02/13 [r]	$	11 11	$	11 69
0 2586	ITT	1/02/14 [r]	$	11 15	$	9 83
0 2788	ITT	4/02/14 [r]	$	12 29	$	10 60
111 9915						
216	***XYL***	[ITT SPINOFF] 11/01/12	$	7,053 91	$	11,262 24
76 **Mead Johnson**		[P] 12/23/09	$	3,321 20	$	6,795 92
0 2942	Mead Johnson	10/04/11 [r]	$	19 76	$	26 31
0 2787	Mead Johnson	1/04/12 [r]	$	19 84	$	24 92
0 275	Mead Johnson	4/04/12 [r]	$	22 97	$	24 59
0 2897	Mead Johnson	7/09/12 [r]	$	23 05	$	25 90
0 3168	Mead Johnson	10/02/12 [r]	$	23 14	$	28 33
0 3306	Mead Johnson	1/04/13 [r]	$	23 24	$	29 56
0 3402	Mead Johnson	4/02/13 [r]	$	26 45	$	30 42
0 3786	Mead Johnson	7/08/13 [r]	$	26 56	$	33 85
0 3601	Mead Johnson	10/02/13 [r]	$	26 69	$	32 20
0 3206	Mead Johnson	1/06/14 [r]	$	26 81	$	28 67
0 3468	Mead Johnson	4/02/14 [r]	$	29 69	$	31 01
79 5313						
508 **EXPRESS SCRIPT**		[P] 4/3/12	$	7,329 78	$	30,353 00
1000 **Pfizer Inc**		[D] 2/25/04	$	37,250 00	$	32,650 00
246	Pfizer Inc	[D] 6/21/04	$	8,710 86	$	8,031 90
9 0593	Pfizer Inc	9/07/05 [r]	$	236 74	$	295 79
11 2854	Pfizer Inc	12/07/05 [r]	$	238 46	$	368 47
11 6562	Pfizer Inc	3/08/06 [r]	$	303 92	$	380 57
12 7329	Pfizer Inc	6/07/06 [r]	$	306 72	$	415 73
11 0718	Pfizer Inc	9/06/06 [r]	$	309 78	$	361 49
12 6001	Pfizer Inc	12/06/06 [r]	$	312 43	$	411 39
15 0068	Pfizer Inc	3/07/07 [r]	$	381 18	$	489 97
14 2365	Pfizer Inc	6/06/07 [r]	$	385 53	$	464 82
15 7547	Pfizer Inc	9/06/07 [r]	$	389 66	$	514 39
16 5501	Pfizer Inc	12/05/07 [r]	$	394 23	$	540 36
19 718	Pfizer Inc	3/05/08 [r]	$	440 31	$	643 79

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23 4707	Pfizer Inc	6/04/08 [r]	$	446 61	$	766 32
23 7232	Pfizer Inc	9/04/08 [r]	$	454 13	$	774 56
28 3503	Pfizer Inc	12/03/08 [r]	$	461 72	$	925 64
38 9239	Pfizer Inc	3/04/09 [r]	$	470 79	$	1,270 87
16 4225	Pfizer Inc	6/03/09 [r]	$	241 62	$	536 19
15 0795	Pfizer Inc	9/03/09 [r]	$	244 25	$	492 35
13 0579	Pfizer Inc	12/02/09 [r]	$	246 66	$	426 34
15 9528	Pfizer Inc	3/03/10 [r]	$	279 85	$	520 86
18 8245	Pfizer Inc	6/03/10 [r]	$	282 72	$	614 62
17 452	Pfizer Inc	9/02/10 [r]	$	286 11	$	569 81
17 2386	Pfizer Inc	12/02/10 [r]	$	289 25	$	562 84
16 9354	Pfizer Inc	3/02/11 [r]	$	324 83	$	552 94
15 7971	Pfizer Inc	6/08/11 [r]	$	328 22	$	515 78
17 5065	Pfizer Inc	9/07/11 [r]	$	331 38	$	571 59
16 4253	Pfizer Inc	12/07/11 [r]	$	334 88	$	536 29
17 2483	Pfizer Inc	3/07/12 [r]	$	371 98	$	563 16
17 2604	Pfizer Inc	6/06/12 [r]	$	375 78	$	563 55
15 6485	Pfizer Inc	9/06/12 [r]	$	379 57	$	510 92
15 1141	Pfizer Inc	12/05/12 [r]	$	383 02	$	493 48
14 9515	Pfizer Inc	3/06/13 [r]	$	421 46	$	488 17
15 2373	Pfizer Inc	6/06/13 [r]	$	425 05	$	497 50
15 1182	Pfizer Inc	9/05/13 [r]	$	428 71	$	493 61
13 8286	Pfizer Inc	12/04/13 [r]	$	432 34	$	451 50
14 4154	Pfizer Inc	3/06/14 [r]	$	471 96	$	470 66
1829 6543						
300	**Roche**	[P] 5/12/06	$	6,009 99	$	10,314 00
300	Roche	10/09/09 [s]	$	6,009 99	$	10,314 00
600	Roche	02/27/14 [s]	$	12,019 98	$	20,628 00
1200						
7	Silverbow Resources	[B] 5/10/16	$	-	$	193 83
236 744	***USAA Aggressive Growth***	[D] 3/14/06	$	7,587 65	$	10,092 40
0 579	*USAA Aggressive Growth*	12/27/07 [r]	$	22 03	$	24 68
1 757	*USAA Aggressive Growth*	12/18/08 [r]	$	38 38	$	74 90
1 053	*USAA Aggressive Growth*	12/21/09 [r]	$	29 40	$	44 89
0 07	*USAA Aggressive Growth*	12/20/10 [r]	$	2 30	$	2 98
25 212	*USAA Aggressive Growth*	12/07/12 [r]	$	822 93	$	1,074 79
0 644	*USAA Aggressive Growth*	12/18/12 [r]	$	21 34	$	27 45
19 454	*USAA Aggressive Growth*	12/06/13 [r]	$	773 30	$	829 32
6 592	*USAA Aggressive Growth*	12/18/13 [r]	$	253 66	$	281 02
36 293	*USAA Aggressive Growth*	12/16/14 [r]	$	1,451 04	$	1,547 17
19 063	*USAA Aggressive Growth*	12/08/15 [r]	$	766 32	$	812 66
1 946	*USAA Aggressive Growth*	12/15/15 [r]	$	115 47	$	82 96
25 77	*USAA Aggressive Growth*	12/08/16 [r]	$	963 15	$	1,098 58
375 177						
545 134	***USAA Extended Market***	[D] 3/14/06	$	6,994 07	$	9,905 08
20 404	*USAA Extended Market*	12/13/06 [r]	$	275 25	$	370 74
5 919	*USAA Extended Market*	12/27/06 [r]	$	79 32	$	107 55
28 18	*USAA Extended Market*	12/13/07 [r]	$	378 46	$	512 03
5 561	*USAA Extended Market*	12/27/07 [r]	$	73 52	$	101 04
26 892	*USAA Extended Market*	12/11/08 [r]	$	189 32	$	488 63

9 437	*USAA Extended Market*	12/29/08 [r]	$	67 00	$	171 47
3 672	*USAA Extended Market*	12/29/09 [r]	$	37 93	$	66 72
17 481	*USAA Extended Market*	12/09/10 [r]	$	217 12	$	317 63
5 502	*USAA Extended Market*	12/29/10 [r]	$	69 77	$	99 97
25 133	*USAA Extended Market*	12/08/11 [r]	$	287 77	$	456 67
5 473	*USAA Extended Market*	12/28/11 [r]	$	62 88	$	99 44
4 951	*USAA Extended Market*	12/07/12 [r]	$	65 35	$	89 96
9 954	*USAA Extended Market*	12/28/12 [r]	$	131 09	$	180 86
21 747	*USAA Extended Market*	12/06/13 [r]	$	374 04	$	395 14
5 24	*USAA Extended Market*	12/27/13 [r]	$	91 96	$	95 21
36 023	*USAA Extended Market*	12/27/14 [r]	$	645 43	$	654 54
54 615	*USAA Extended Market*	12/09/15 [r]	$	886 95	$	992 35
7 415	*USAA Extended Market*	12/29/15 [r]	$	121 02	$	134 73
58 309	*USAA Extended Market*	12/09/16 [r]	$	1,036 98	$	1,059 47
897 042						
543 618	***USAA Total Return***	[D] 3/14/06	$	5,414 44	$	4,588 14
4 228	*USAA Total Return*	3/29/06 [r]	$	41 86	$	35 68
5 146	*USAA Total Return*	6/28/06 [r]	$	50 95	$	43 43
5 748	*USAA Total Return*	9/27/06 [r]	$	56 96	$	48 51
6 288	*USAA Total Return*	12/27/06 [r]	$	62 88	$	53 07
1 307	*USAA Total Return*	3/28/07 [r]	$	13 00	$	11 03
1 184	*USAA Total Return*	6/27/07 [r]	$	12 46	$	9 99
2 594	*USAA Total Return*	9/26/07 [r]	$	27 81	$	21 89
58 807	*USAA Total Return*	12/13/07 [r]	$	561 02	$	496 33
2 453	*USAA Total Return*	12/27/07 [r]	$	23 18	$	20 70
2 375	*USAA Total Return*	3/27/08 [r]	$	21 47	$	20 05
1 176	*USAA Total Return*	6/26/08 [r]	$	10 77	$	9 93
1 584	*USAA Total Return*	9/26/08 [r]	$	13 97	$	13 37
6 037	*USAA Total Return*	12/11/08 [r]	$	42 62	$	50 95
5 561	*USAA Total Return*	12/29/08 [r]	$	39 15	$	46 93
1 451	*USAA Total Return*	3/27/09 [r]	$	9 72	$	12 25
0 451	*USAA Total Return*	6/26/09 [r]	$	3 25	$	3 81
0 084	*USAA Total Return*	9/28/09 [r]	$	0 65	$	0 71
7 42	*USAA Total Return*	12/10/09 [r]	$	58 84	$	62 62
0 594	*USAA Total Return*	12/29/09 [r]	$	4 76	$	5 01
0 805	*USAA Total Return*	4/30/10 [r]	$	6 58	$	6 79
1 499	*USAA Total Return*	12/29/10 [r]	$	12 50	$	12 65
1 054	*USAA Total Return*	12/28/11 [r]	$	8 66	$	8 90
0 459	*USAA Total Return*	3/28/12 [r]	$	3 97	$	3 87
1 185	*USAA Total Return*	6/27/12 [r]	$	9 93	$	10 00
0 367	*USAA Total Return*	9/26/12 [r]	$	3 32	$	3 10
2 734	*USAA Total Return*	12/27/12 [r]	$	24 63	$	23 07
0 363	*USAA Total Return*	3/27/13 [r]	$	3 33	$	3 06
0 981	*USAA Total Return*	6/26/13 [r]	$	8 39	$	8 28
1 242	*USAA Total Return*	9/26/13 [r]	$	10 98	$	10 48
0 67	*USAA Total Return*	12/17/13 [r]	$	5 89	$	5 65
2 477	*USAA Total Return*	3/27/14 [r]	$	22 71	$	20 91
2 726	*USAA Total Return*	6/26/14 [r]	$	25 84	$	23 01
0 138	*USAA Total Return*	9/26/14 [r]	$	1 28	$	1 16
0 016	*USAA Total Return*	12/19/14 [r]	$	0 15	$	0 14
1 802	*USAA Total Return*	3/27/15 [r]	$	16 31	$	15 21
1 342	*USAA Total Return*	6/26/15 [r]	$	11 96	$	11 33
1 845	*USAA Total Return*	9/28/15 [r]	$	14 83	$	15 57

0 246	*USAA Total Return*	3/29/16 [r]	$ 2 10	$ 2 08
0 639	*USAA Total Return*	6/28/16 [r]	$ 5 75	$ 5 39
1 817	*USAA Total Return*	9/28/16 [r]	$ 16 46	$ 15 34
682 513				
375 466	***USAA World Growth***	[D] 3/14/06	$ 7,152 63	$ 11,703 28
31 763	*USAA World Growth*	12/13/06 [r]	$ 643 52	$ 990 05
8 524	*USAA World Growth*	12/27/06 [r]	$ 170 48	$ 265 69
27 02	*USAA World Growth*	12/13/07 [r]	$ 560 12	$ 842 21
3 879	*USAA World Growth*	12/27/07 [r]	$ 78 90	$ 120 91
11 025	*USAA World Growth*	12/11/08 [r]	$ 136 49	$ 343 65
7 901	*USAA World Growth*	12/29/08 [r]	$ 98 29	$ 246 27
2 31	*USAA World Growth*	12/29/09 [r]	$ 39 16	$ 72 00
1 165	*USAA World Growth*	3/29/10 [r]	$ 20 06	$ 36 31
2 798	*USAA World Growth*	12/29/10 [r]	$ 52 54	$ 87 21
4 439	*USAA World Growth*	12/28/11 [r]	$ 77 69	$ 138 36
0 208	*USAA World Growth*	12/07/12 [r]	$ 4 46	$ 6 48
4 369	*USAA World Growth*	12/27/12 [r]	$ 94 32	$ 136 18
7 978	*USAA World Growth*	12/06/13 [r]	$ 209 66	$ 248 67
3 375	*USAA World Growth*	12/27/13 [r]	$ 90 76	$ 105 20
12 678	*USAA World Growth*	12/29/14 [r]	$ 353 11	$ 395 17
10 614	*USAA World Growth*	12/08/15 [r]	$ 282 98	$ 330 84
3 793	*USAA World Growth*	12/15/15 [r]	$ 98 88	$ 118 23
14 747	*USAA World Growth*	12/08/16 [r]	$ 404 78	$ 459 66
534 052				
148	**Zimmer Holdings**	[D] 2/25/04	$ 11,459 64	$ 17,643 08
9	Zimmer	[D] 2/21/06	$ 628 20	$ 1,072 89
0 4497	Zimmer	4/30/12 [r]	$ 28 26	$ 53 61
0 4819	Zimmer	7/30/12 [r]	$ 28 34	$ 57 45
0 4444	Zimmer	10/31/12 [r]	$ 28 43	$ 52 98
0 3791	Zimmer	1/28/13 [r]	$ 28 51	$ 45 19
0 4196	Zimmer	4/29/13 [r]	$ 31 75	$ 50 02
0 3818	Zimmer	7/29/13 [r]	$ 31 83	$ 45 51
0 3665	Zimmer	10/28/13 [r]	$ 31 91	$ 43 69
0 3466	Zimmer	2/03/14 [r]	$ 31 98	$ 41 32
0 3552	Zimmer	4/29/14 [r]	$ 35 26	$ 42 34
160 6248				
			============	============
			$ 945,262.39	$ 2,320,752.07
			Total Book Value	Total FMV

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