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OMB No 1545-0052

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

**2016**

**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 06-01-2016 , and ending 05-31-2017**

Name of foundation
JOHN & RUTH KLOSS CHARITABLE TR UMA

**A Employer identification number**
39-6790033

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO BOX 0634

**B** Telephone number (see instructions)
(414) 765-5672

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 8,918,210

**J** Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ____
*(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 193,993 | 192,542 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 94,125 | | | |
| | b | Gross sales price for all assets on line 6a 1,202,478 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 94,125 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 288,118 | 286,667 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 102,689 | 92,420 | | 10,269 |
| | 14 | Other employee salaries and wages | | 0 | 0 | 0 |
| | 15 | Pension plans, employee benefits | | 0 | 0 | |
| | 16a | Legal fees (attach schedule) | 707 | 0 | 0 | 707 |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | 0 |
| | 17 | Interest | | | | 0 |
| | 18 | Taxes (attach schedule) (see instructions) | 5,594 | 4,351 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion | 0 | 0 | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | 0 | 0 | |
| | 22 | Printing and publications | | 0 | 0 | |
| | 23 | Other expenses (attach schedule) | 1,010 | 1,010 | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 110,000 | 97,781 | 0 | 10,976 |
| | 25 | Contributions, gifts, grants paid | 435,000 | | | 435,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 545,000 | 97,781 | 0 | 445,976 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -256,882 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 188,886 | | |
| | c | **Adjusted net income**(if negative, enter -0-) | | | 0 | |

| Part II | Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | | | | |
| 1 | Cash—non-interest-bearing | 0 | | |
| 2 | Savings and temporary cash investments | 583,651 | 157,333 | 157,333 |
| 3 | Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | 0 | 0 |
| 4 | Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ 0 | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U S and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) | 1,337,079 | 4,639,723 | 6,660,518 |
| c | Investments—corporate bonds (attach schedule) | 818,021 | 2,045,167 | 2,100,331 |
| 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | 4,321,083 | 1 | 28 |
| 14 | Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 7,059,834 | 6,842,224 | 8,918,210 |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities**(add lines 17 through 22) | | 0 | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | 7,059,834 | 6,842,224 | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 7,059,834 | 6,842,224 | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 7,059,834 | 6,842,224 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,059,834 |
| 2 | Enter amount from Part I, line 27a | 2 | -256,882 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 39,274 |
| 4 | Add lines 1, 2, and 3 | 4 | 6,842,226 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 2 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 6,842,224 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } **2** 94,125

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . **3**

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2015 | 443,762 | 8,687,946 | 0 051078 |
| 2014 | 446,756 | 9,317,464 | 0 047948 |
| 2013 | 440,036 | 9,105,136 | 0 048328 |
| 2012 | 426,074 | 8,694,556 | 0 049005 |
| 2011 | 407,633 | 8,544,382 | 0 047708 |

| | | |
|---|---|---|
| **2 Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . . . . . | 2 | 0 244067 |
| **3** Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . . . | 3 | 0 048813 |
| **4** Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . . . . . . | 4 | 8,667,018 |
| **5** Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . . . . | 5 | 423,063 |
| **6** Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . . . | 6 | 1,889 |
| **7** Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | 424,952 |
| **8** Enter qualifying distributions from Part XII, line 4 , . . . . . . . . . . . . . . . | 8 | 445,976 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | | | 1 | 1,889 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0 |
| 3 | Add lines 1 and 2 | | | 3 | 1,889 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 1,889 |
| 6 | Credits/Payments | | | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | 2,482 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0 | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 2,482 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | 0 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 593 |
| 11 | Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 593 | **Refunded** ▶ | | 11 | 0 |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WI | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶US BANK NA, MK-WI-TWPT Telephone no ▶(414) 765-5672

Located at ▶PO BOX 2043 MILWAUKEE WI ZIP+4 ▶532010634

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☑ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ | ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? | ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | | 2b | | No |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 )* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000. ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | |
| a | Average monthly fair market value of securities. | | 1a | 8,518,754 |
| b | Average of monthly cash balances. | | 1b | 280,249 |
| c | Fair market value of all other assets (see instructions). | | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | | 1d | 8,799,003 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | | 3 | 8,799,003 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | | 4 | 131,985 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 8,667,018 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | | 6 | 433,351 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | 1 | 433,351 |
| 2a | Tax on investment income for 2016 from Part VI, line 5. | 2a 1,889 | | |
| b | Income tax for 2016 (This does not include the tax from Part VI ). | 2b | | |
| c | Add lines 2a and 2b. | | 2c | 1,889 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | | 3 | 431,462 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | 4 | 0 |
| 5 | Add lines 3 and 4. | | 5 | 431,462 |
| 6 | Deduction from distributable amount (see instructions). | | 6 | 0 |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | | 7 | 431,462 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 445,976 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 445,976 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 1,889 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 444,087 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|---|---|---|---|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 431,462 |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only. | | | 388,507 | |
| b Total for prior years 2014 , 20___ , 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2016 | | | | |
| a From 2011. . . . . . 0 | | | | |
| b From 2012. . . . . . 0 | | | | |
| c From 2013. . . . . . 0 | | | | |
| d From 2014. . . . . . 0 | | | | |
| e From 2015. . . . . . 0 | | | | |
| f **Total** of lines 3a through e. | 0 | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 445,976 | | | | |
| a Applied to 2015, but not more than line 2a | | | 388,507 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2016 distributable amount. | | | | 57,469 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | 0 | | | 0 |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount —see instructions | | 0 | | |
| e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 | | | | 373,993 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0 | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2012. . . . 0 | | | | |
| b Excess from 2013. . . . 0 | | | | |
| c Excess from 2014. . . . 0 | | | | |
| d Excess from 2015. . . . 0 | | | | |
| e Excess from 2016. . . . 0 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2016 | **(b)** 2015 | **(c)** 2014 | **(d)** 2013 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test—enter **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

U S BANK N A FOUNDATION TEAM
777 E WISCONSIN AVE - MK-WI-TWPT
MILWAUKEE, WI 53202
(414) 765-5672

**b** The form in which applications should be submitted and information and materials they should include

APPLICANTS SHOULD COMPLETE A GRANT APPLICATION FORM WHICH CAN BE OBTAINED BY WRITING TO THE JOHN J AND RUTH F KLOSS CHARITABLE TRUST % U S BANK, N A , FOUNDATION TEAM, AT THE ABOVE ADDRESS

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS TO CHARITABLE ORGANIZATIONS IN THE SOUTHEASTERN WISCONSIN AREA, PRIMARILY BUT NOT EXCLUSIVELY KENOSHA COUNTY AND THE SURROUNDING AREA FOR THE ORGANIZATIONS' OPERATIONS AND ACTIVITIES

**Part XV** **Supplementary Information** (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 435,000 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 193,993 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 94,125 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 288,118 | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 288,118

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | No |
| (2) Other assets | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | No |
| (4) Reimbursement arrangements | 1b(4) | | No |
| (5) Loans or loan guarantees | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | No |

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2017-10-02 | ****** | May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No |
|---|---|---|---|
| Signature of officer or trustee | Date | Title | |

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☑ | PTIN |
|---|---|---|---|---|
| JOSEPH J CASTRIANO | | 2017-10-02 | | P01251603 |
| Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | | | | Firm's EIN ▶13-4008324 |
| Firm's address ▶ 600 GRANT STREET<br>PITTSBURGH, PA 15219 | | | | Phone no (412) 355-6000 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 4589 INTERVAL LEISURE GROUP INC | | 2009-04-27 | 2016-06-29 |
| 1 PFIZER INC | | 1911-11-11 | 2016-07-14 |
| 451 LIBERTY LILAC GROUP C | | 2016-05-31 | 2016-07-19 |
| 7 PRAIRIESKY ROYALTY LTD | | 2016-06-06 | 2016-07-27 |
| 4 PRAIRIESKY ROYALTY LTD | | 2016-06-06 | 2016-07-27 |
| 73 STARWOOD HOTELS & RESORTS | | 2009-04-27 | 2016-09-23 |
| 04 ADVANSIX INC | | 2016-05-31 | 2016-11-04 |
| 4 MARRIOTT INTL INC | | 2009-04-27 | 2016-11-17 |
| 1 ADVANSIX INC | | 2016-05-31 | 2016-12-22 |
| 1 REGIONS FINL CORP | | 1911-11-11 | 2016-12-30 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 7 | | 2 | 5 |
| 95 | | | 95 |
| 16 | | 19 | -3 |
| 13 | | 14 | -1 |
| 76 | | 77 | -1 |
| 1,533 | | | 1,533 |
| 1 | | 1 | |
| 31 | | 9 | 22 |
| 23 | | 15 | 8 |
| 36 | | | 36 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 5 |
| | | | 95 |
| | | | -3 |
| | | | -1 |
| | | | -1 |
| | | | 1,533 |
| | | | |
| | | | 22 |
| | | | 8 |
| | | | 36 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 56 ST JUDE MED INC COM W/RTS ATTACHED EXP 07/15/2007 | | 2016-05-31 | 2017-01-05 |
| 1 PFIZER INC | | 1911-11-11 | 2017-01-09 |
| 13443 514 AQR MANAGED FUTURES STR I | | 2013-07-24 | 2017-01-26 |
| 24 ATT INC | | 2008-09-16 | 2017-01-26 |
| 48 ABBOTT LABORATORIES | | 2016-05-31 | 2017-01-26 |
| 44 ALLSTATE CORP COM W/RIGHTS ATTACHED EXP 2/12/2009 | | 2012-10-23 | 2017-01-26 |
| 976 ASTRAZENECA PLC SPONSORED ADR | | 2016-05-31 | 2017-01-26 |
| 423 ATOS ORIGIN SA UNSP ADR | | 2016-05-31 | 2017-01-26 |
| 81 BALCHEM CORP | | 2009-03-30 | 2017-01-26 |
| 4 BIOGEN, INC | | 2008-12-04 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,537 | | 4,383 | 154 |
| 22 | | | 22 |
| 127,445 | | 137,124 | -9,679 |
| 1,001 | | 331 | 670 |
| 1,967 | | 1,889 | 78 |
| 3,330 | | 1,820 | 1,510 |
| 26,089 | | 29,153 | -3,064 |
| 9,052 | | 7,727 | 1,325 |
| 6,827 | | 1,253 | 5,574 |
| 1,116 | | 169 | 947 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 154 |
| | | | 22 |
| | | | -9,679 |
| | | | 670 |
| | | | 78 |
| | | | 1,510 |
| | | | -3,064 |
| | | | 1,325 |
| | | | 5,574 |
| | | | 947 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 64 C H ROBINSON WORLDWIDE INC | | 2016-05-31 | 2017-01-26 |
| 41 CVS CORP COM | | 2016-05-31 | 2017-01-26 |
| 23 CAL MAINE FOODS INC | | 2011-06-24 | 2017-01-26 |
| 58 CAPITAL ONE FINL CORP COM RTS EXP 11/29/2005 | | 2016-05-31 | 2017-01-26 |
| 46 CARDINAL HLTH INC COM | | 2016-05-31 | 2017-01-26 |
| 927 CARLSBERG AS A D R | | 2016-05-31 | 2017-01-26 |
| 151 CELGENE CORP | | 2011-06-24 | 2017-01-26 |
| 108 CERNER CORP COM | | 2008-09-16 | 2017-01-26 |
| 193 CERNER CORP COM | | 2008-10-09 | 2017-01-26 |
| 78 CONSTELLATION BRANDS INC A | | | 2017-01-26 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,849 | | 4,785 | 64 |
| 3,258 | | 3,956 | -698 |
| 972 | | 346 | 626 |
| 5,194 | | 4,261 | 933 |
| 3,361 | | 3,622 | -261 |
| 16,491 | | 18,030 | -1,539 |
| 16,898 | | 4,448 | 12,450 |
| 5,650 | | 1,234 | 4,416 |
| 10,096 | | 1,817 | 8,279 |
| 11,817 | | 1,293 | 10,524 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 64 |
| | | | -698 |
| | | | 626 |
| | | | 933 |
| | | | -261 |
| | | | -1,539 |
| | | | 12,450 |
| | | | 4,416 |
| | | | 8,279 |
| | | | 10,524 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 56 CROWN HOLDINGS INC | | 2016-05-31 | 2017-01-26 |
| 276 DARDEN RESTAURANTS INC COM | | 2016-05-31 | 2017-01-26 |
| 149 DASSAULT SYSTEMES SA A D R | | | 2017-01-26 |
| 116 DELTA AIR LINES INC | | 2016-05-31 | 2017-01-26 |
| 22 DOLLAR TREE INC | | 2015-07-07 | 2017-01-26 |
| 20485 444 DOUBLELINE TOTAL RET BD I | | 2016-05-31 | 2017-01-26 |
| 1919 E ON AG A D R | | 2016-05-31 | 2017-01-26 |
| 41 EQUITABLE CORP | | 2016-05-31 | 2017-01-26 |
| 11063 121 EATON VANCE GLOBAL MACRO FD CL I | | 2016-05-31 | 2017-01-26 |
| 662 EMBRAER SA A D R | | 2016-05-31 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,033 | | 2,925 | 108 |
| 20,276 | | 18,555 | 1,721 |
| 11,285 | | 4,201 | 7,084 |
| 5,904 | | 4,968 | 936 |
| 1,679 | | 1,762 | -83 |
| 217,555 | | 222,062 | -4,507 |
| 14,987 | | 19,017 | -4,030 |
| 2,678 | | 2,981 | -303 |
| 100,232 | | 99,568 | 664 |
| 15,385 | | 13,928 | 1,457 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 108 |
| | | | 1,721 |
| | | | 7,084 |
| | | | 936 |
| | | | -83 |
| | | | -4,507 |
| | | | -4,030 |
| | | | -303 |
| | | | 664 |
| | | | 1,457 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 2466 ERICSSON LM TEL SP A D R | | 2016-05-31 | 2017-01-26 |
| 74 EXPRESS SCRIPTS HLDGS C | | 2008-09-10 | 2017-01-26 |
| 50 FLOWERS FOODS INC | | 2009-03-30 | 2017-01-26 |
| 28 FRESENIUS MED AKTIENGESELLSCHAFT ADR | | 2016-05-31 | 2017-01-26 |
| 611 GEMALTO NV SPONSORED ADR | | 2016-05-31 | 2017-01-26 |
| 114 GORMAN RUPP CO | | 2009-03-30 | 2017-01-26 |
| 533 HAIN CELESTIAL GROUP INC COM | | 2016-05-31 | 2017-01-26 |
| 26 HONEYWELL INTL INC COM | | 2016-05-31 | 2017-01-26 |
| 1409 INFINEON TECHNOLOGIES A G A D R | | 2016-05-31 | 2017-01-26 |
| 117 J & J SNACK FOODS CORP | | 2009-03-30 | 2017-01-26 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 14,849 | | 19,161 | -4,312 |
| 5,120 | | 2,687 | 2,433 |
| 995 | | 508 | 487 |
| 1,139 | | 1,218 | -79 |
| 17,749 | | 18,739 | -990 |
| 3,642 | | 1,587 | 2,055 |
| 21,325 | | 26,521 | -5,196 |
| 3,064 | | 2,942 | 122 |
| 25,488 | | 21,093 | 4,395 |
| 15,340 | | 3,981 | 11,359 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -4,312 |
| | | | 2,433 |
| | | | 487 |
| | | | -79 |
| | | | -990 |
| | | | 2,055 |
| | | | -5,196 |
| | | | 122 |
| | | | 4,395 |
| | | | 11,359 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 13 KANSAS CITY SOUTHERN | | 2009-02-27 | 2017-01-26 |
| 46 KANSAS CITY SOUTHERN | | 2009-03-18 | 2017-01-26 |
| 27 LOREAL UNSPONSORED A D R | | 2009-10-01 | 2017-01-26 |
| 30 LOCKHEED MARTIN CORP | | 2016-05-31 | 2017-01-26 |
| 289 LONZA GROUP AG UNSPON A D R | | 2013-01-14 | 2017-01-26 |
| 2352 MAZDA MOTOR CORP-UNSPON ADR | | 2016-05-31 | 2017-01-26 |
| 55 MCKESSON HBOC INC COM | | 2016-05-31 | 2017-01-26 |
| 391 NATIONAL GRID PLC SP A D R | | 2016-05-31 | 2017-01-26 |
| 205 NESTLE SA SPONSORED A D R | | | 2017-01-26 |
| 131 NOVARTIS AG SPONSORED ADR | | 2016-05-31 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,134 | | 236 | 898 |
| 4,011 | | 675 | 3,336 |
| 987 | | 524 | 463 |
| 7,642 | | 7,128 | 514 |
| 5,352 | | 1,695 | 3,657 |
| 17,757 | | 20,110 | -2,353 |
| 7,598 | | 10,002 | -2,404 |
| 22,616 | | 28,953 | -6,337 |
| 14,942 | | 8,736 | 6,206 |
| 9,419 | | 10,392 | -973 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 898 |
| | | | 3,336 |
| | | | 463 |
| | | | 514 |
| | | | 3,657 |
| | | | -2,353 |
| | | | -2,404 |
| | | | -6,337 |
| | | | 6,206 |
| | | | -973 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 50 815 NUVEEN MID CAP GRWTH OPP FD CL I | | 2016-12-16 | 2017-01-26 |
| 223 OCCIDENTAL PETE CORP | | 2016-05-31 | 2017-01-26 |
| 337 OIL DRI CORP AMER | | 2012-10-23 | 2017-01-26 |
| 44 OMNICOM GROUP INC | | 2016-05-31 | 2017-01-26 |
| 710 ORANGE SPON A D R | | 2016-05-31 | 2017-01-26 |
| 61 PPG INDS INC COM W/RTS ATTACHED EXP 04/30/2008 | | 2016-05-31 | 2017-01-26 |
| 5 THE PRICELINE GROUP INC | | 2009-01-23 | 2017-01-26 |
| 16 PROCTER & GAMBLE CO | | 2016-05-31 | 2017-01-26 |
| 202 PROCTER & GAMBLE CO | | 2013-01-14 | 2017-01-26 |
| 346 PULTE GROUP INC | | 2016-05-31 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,207 | | 2,175 | 32 |
| 15,258 | | 16,981 | -1,723 |
| 11,503 | | 7,624 | 3,879 |
| 3,831 | | 3,648 | 183 |
| 11,034 | | 12,405 | -1,371 |
| 6,198 | | 6,578 | -380 |
| 7,898 | | 346 | 7,552 |
| 1,385 | | 1,296 | 89 |
| 17,491 | | 14,128 | 3,363 |
| 7,301 | | 6,535 | 766 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 32 |
| | | | -1,723 |
| | | | 3,879 |
| | | | 183 |
| | | | -1,371 |
| | | | -380 |
| | | | 7,552 |
| | | | 89 |
| | | | 3,363 |
| | | | 766 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 159 QEP RESOURCES INC | | 2016-05-31 | 2017-01-26 |
| 4720 KONINKLIJKE ROYAL KPN NV SP ADR | | 2016-05-31 | 2017-01-26 |
| 122 SCHEIN HENRY INC COM W/RIGHTS ATTACHED EXPIRING 11/30/2008 | | | 2017-01-26 |
| 314 SECOM COMPANY LIMITED A D R | | 2016-05-31 | 2017-01-26 |
| 52 SIX FLAGS ENTERTAINMENT | | 2016-05-31 | 2017-01-26 |
| 336 SKY PLC SPN A D R | | 2016-05-31 | 2017-01-26 |
| 38 STURM RUGER CO INC | | | 2017-01-26 |
| 818 SUNTORY BEVERAGE FOOD UADR | | 2016-05-31 | 2017-01-26 |
| 85 TARGET CORP | | 2016-05-31 | 2017-01-26 |
| 266 TEVA PHARMACEUTICAL INDS LTD ADR | | 2016-05-31 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,946 | | 2,995 | -49 |
| 13,593 | | 19,305 | -5,712 |
| 19,427 | | 6,837 | 12,590 |
| 5,658 | | 6,142 | -484 |
| 3,157 | | 2,993 | 164 |
| 16,968 | | 18,999 | -2,031 |
| 1,977 | | 449 | 1,528 |
| 17,129 | | 19,313 | -2,184 |
| 5,451 | | 5,885 | -434 |
| 9,012 | | 13,726 | -4,714 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -49 |
| | | | -5,712 |
| | | | 12,590 |
| | | | -484 |
| | | | 164 |
| | | | -2,031 |
| | | | 1,528 |
| | | | -2,184 |
| | | | -434 |
| | | | -4,714 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 26 TRAVELERS COS INC | | 2016-05-31 | 2017-01-26 |
| 89 TYSON FOODS INC CL A | | 2016-05-31 | 2017-01-26 |
| 701 UNIBAIL RODAMCO SE UNSP A D R | | 2016-05-31 | 2017-01-26 |
| 32 UNITEDHEALTH GRP INC COM | | 2009-02-27 | 2017-01-26 |
| 96 UTAH MED PRODS INC | | 2009-03-30 | 2017-01-26 |
| 19 VANGUARD GLBL EX US REAL ESTATE ETF | | 2015-06-05 | 2017-01-26 |
| 145 VERIZON COMMUNICATIONS | | 2016-05-31 | 2017-01-26 |
| 960 VIVENDI SA UNSPON ADR | | 2016-05-31 | 2017-01-26 |
| 841 VODAFONE GROUP PLC A D R | | 2016-05-31 | 2017-01-26 |
| 51 WALGREENS BOOTS ALLIANCE INC | | 2009-01-23 | 2017-01-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,069 | | 2,965 | 104 |
| 5,494 | | 5,753 | -259 |
| 15,870 | | 19,000 | -3,130 |
| 5,206 | | 646 | 4,560 |
| 5,952 | | 2,213 | 3,739 |
| 969 | | 1,077 | -108 |
| 7,106 | | 7,405 | -299 |
| 17,808 | | 19,094 | -1,286 |
| 20,706 | | 28,733 | -8,027 |
| 4,149 | | 1,362 | 2,787 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 104 |
| | | | -259 |
| | | | -3,130 |
| | | | 4,560 |
| | | | 3,739 |
| | | | -108 |
| | | | -299 |
| | | | -1,286 |
| | | | -8,027 |
| | | | 2,787 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 314 WELLS FARGO & CO NEW | | 2016-05-31 | 2017-01-26 |
| 1039 WILLIAM HILL PLC UNSPON A D R | | 2016-05-31 | 2017-01-26 |
| 29 ZIMMER HLDGS INC | | 2016-05-31 | 2017-01-26 |
| 35 AON PLC | | 2016-05-31 | 2017-01-26 |
| 108 LIBERTY LILAC GROUP C | | | 2017-01-26 |
| 7648 ABBOTT LABORATORIES | | 2016-05-31 | 2017-02-14 |
| 1 STATE STR CORP COM | | 1911-11-11 | 2017-02-17 |
| 4 VAREX IMAGING CORP | | 2009-07-23 | 2017-03-23 |
| 5 BIOVERATIV INC | | 2008-12-04 | 2017-03-27 |
| 1 AMERICAN INTL GROUP INC | | 1911-11-11 | 2017-04-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 17,870 | | 15,968 | 1,902 |
| 13,590 | | 19,232 | -5,642 |
| 3,346 | | 3,544 | -198 |
| 3,951 | | 3,806 | 145 |
| 2,451 | | 4,492 | -2,041 |
| 33 | | 30 | 3 |
| 17 | | | 17 |
| 12 | | 4 | 8 |
| 25 | | 3 | 22 |
| 61 | | | 61 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 1,902 |
| | | | -5,642 |
| | | | -198 |
| | | | 145 |
| | | | -2,041 |
| | | | 3 |
| | | | 17 |
| | | | 8 |
| | | | 22 |
| | | | 61 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 AMERICAN INTL GROUP INC | | 1911-11-11 | 2017-04-27 |
| 6193 DXC TECHNOLOGY CO | | 2016-05-31 | 2017-05-10 |
| CAPITAL GAIN DIVIDENDS | P | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 71 | | | 71 |
| 48 | | 33 | 15 |
| | | | 40,264 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 71 |
| | | | 15 |
| | | | |

## Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MARY PLUNKETT KLOSS CHARITABLE<br>US BANK NA 777 E WISCONSIN AVE<br>MILWAUKEE, WI 53202 | ADVISORY BOARD MEMBER<br>1 | 0 | | |
| JOHN ANTARAMIAN KLOSS CHARITABLE<br>US BANK NA 777 E WISCONSIN AVE<br>MILWAUKEE, WI 53202 | ADVISORY BOARD MEMBER<br>1 | 0 | | |
| BRYAN ALBRECHT KLOSS CHARITABL<br>US BANK NA 777 E WISCONSIN AVE<br>MILWAUKEE, WI 53202 | ADVISORY BOARD MEMBER<br>1 | 0 | | |
| JANE HARRINGTON-HEIDE KLOSS CHARI<br>US BANK NA 777 E WISCONSIN AVE<br>MILWAUKEE, WI 53202 | ADVISORY BOARD MEMBER<br>1 | 0 | | |
| US BANK NA<br>777 E WISCONSIN AVE-MK-WI-TWPT<br>MILWAUKEE, WI 53202 | TRUSTEE<br>4 | 102,689 | | |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| BIRDS OF A FEATHER<br>6530 SHERIDAN ROAD<br>SHERIDAN ROAD, WI 53143 | NONE | PC | GENERAL OPERATING | 5,000 |
| EASTER SEALS KENOSHA<br>7101 53RD STREET<br>KENOSHA, WI 53144 | NONE | PC | GENERAL OPERATING | 3,000 |
| CEREBRAL PALSY RESPITE OF KENOSHA COUNTY 3403 13TH PLACE<br>KENOSHA, WI 53144 | NONE | PC | GENERAL OPERATING | 3,000 |
| FUSION-KENOSHA PERFORMING-ARTS ASSOCIATI<br>5014 SEVENTH AVENUE<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 5,000 |
| KENOSHA LITERACY COUNCIL<br>2419 63RD STREET<br>KENOSHA, WI 53143 | NONE | PC | GENERAL OPERATING | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 435,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| OPEN WINGS ACADEMY<br>7951 36TH AVENUE<br>KENOSHA, WI 53142 | NONE | PC | GENERAL OPERATING | 15,000 |
| RHODE CENTER FOR THE ARTS-LAKESIDE<br>PLAYERS INC 514 56TH STREET<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 5,000 |
| SHALOM CENTER 1713 62ND STREET<br>KENOSHA, WI 53143 | NONE | PC | GENERAL OPERATING | 40,000 |
| YMCA LEARN TO SWIM<br>7101 53RD STREET<br>KENOSHA, WI 53144 | NONE | PC | GENERAL OPERATING | 5,000 |
| KENOSHA CREATIVE SPACE<br>600 52ND STREET<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 22,000 |
| **Total . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a** | | | | 435,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| KENOSHA HUMAN DEVELOPMENT CENTER<br>5407 8TH AVENUE<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 25,000 |
| BIG BROTHERS & BIG SISTERS OF RACINE & KENOSHA INC<br>2302 DE KOVEN AVE<br>RACINE, WI 53403 | NONE | PC | GENERAL OPERATING | 5,000 |
| CARTHAGE COLLEGE<br>2001 ALFORD PARK DR<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 10,000 |
| GATEWAY TECHNICAL COLLEGE FOUNDATION INC 3520 30TH AVE<br>KENOSHA, WI 53144 | NONE | PC | GENERAL OPERATING | 100,000 |
| KENOSHA AREA FAMILY AND AGING SERVICES INC<br>7730 SHERIDAN RD<br>KENOSHA, WI 53143 | NONE | PC | GENERAL OPERATING | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 435,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| KENOSHA COMMUNITY FOUNDATION ARTS FUND-SCULPTURE WALK<br>600 52ND ST STE 110<br>KENOSHA, WI 53140 | NONE | PC | 2 YEAR SPONSORSHIP FOR | 15,000 |
| KENOSHA COMMUNITY SAILING CENTER<br>5130 4TH AVE<br>KENOSHA, WI 53140 | NONE | PC | YOUTH SAILING PROG-REPLACE | 20,000 |
| KINDRED KITTIES LTD 614 59TH ST<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 3,000 |
| LEMON STREET GALLERY<br>4601 SHERIDAN RD<br>KENOSHA, WI 53140 | NONE | PC | GENERAL OPERATING | 5,000 |
| SAFE HARBOR HUMANE SOCIETY<br>7811 60TH AVE<br>KENOSHA, WI 53142 | NONE | PC | OPERATIONS SUPPORT | 5,000 |
| **Total . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a** | | | | 435,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SHARING CENTER INC 7001 236TH AVE<br>SALEM, WI 53168 | NONE | PC | OPERATIONS SUPPORT | 11,000 |
| TWIN LAKES AREA FOOD PANTRY INC<br>701 N LAKE AVE<br>TWIN LAKES, WI 53181 | NONE | PC | GENERAL OPERATING | 4,000 |
| UNITED WAY OF KENOSHA COUNTY<br>5500 6TH AVE STE 210<br>KENOSHA, WI 53140 | NON | PC | OPERATIONS SUPPORT | 20,000 |
| WESTOSHA SENIOR CENTER<br>19200 93RD ST<br>BRISTOL, WI 53104 | NONE | PC | OPERATIONS SUPPORT | 2,000 |
| WESTOSHA LAKES CHURCH FOOD<br>PANTRY 24823 74TH ST<br>SALEM, WI 53168 | NONE | PC | OPERATIONS SUPPORT | 2,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 435,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| WISCONSIN WOMEN'S BUSINESS INITIATIVE<br>2745 N DR MARTIN LUTHER KING DR<br>MILWAUKEE, WI 53212 | NONE | PC | GENERAL OPERATING | 10,000 |
| WOMEN AND CHILDREN'S HORIZON INC 2525 63RD ST<br>KENOSHA, WI 53143 | NONE | PC | GENERAL OPERATING | 75,000 |
| KENOSHA SYMPHONY ASSOC<br>723 58TH STREET 301<br>KENOSHA, WI 53140 | NONE | PC | OPERATIONS SUPPORT | 10,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 435,000 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491277009587 |
|---|---|---|

# TY 2016 Investments Corporate Bonds Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| XTRA FIN CORP 5.15 04/01/17 | | |
| CISCO SYSTEMS 4.45 01/15/20 | | |
| LOWES COMPANIES 4.625 04/15/20 | | |
| TARGET CORP 3.875 07/15/20 | | |
| INTEL CORP 1.35 12/15/17 | | |
| BMO 3Y EN LEV 02/28/18 | | |
| BMO 5Y EN LEV 02/28/20 | | |
| 057071854 BAIRD AGGREGATE BOND | 433,830 | 434,490 |
| 464287242 ISHARES IBOXX INVEST | 311,891 | 315,536 |
| 56063J344 MAINSTAY FLOATING RA | 377,193 | 383,833 |
| 31420B300 FEDERATED INST HI YL | 305,524 | 340,932 |
| 87234N765 TCW EMERGING MARKETS | 403,996 | 414,966 |
| 258620103 DOUBLELINE TOTAL RET | 212,733 | 210,574 |

# TY 2016 Investments Corporate Stock Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| DIAGO PLC SPSD ADR | | |
| DOVER CORP | | |
| CELGENE CORP | | |
| COMCAST CORP CL A | | |
| E BAY INC | | |
| INTL BUSINESS MACHINES CORP | | |
| J P MORGAN CHASE & CO | | |
| KANSAS CITY SOUTHERN | | |
| MCDONALDS CORP | | |
| MERCK & CO INC | | |
| NIKE INC | | |
| VERIZON COMMUNICATIONS INC | | |
| WELLS FARGO & CO | | |
| ARM HLDGS PLC ADR | | |
| ABB LTD ADR REPSTG ORD | | |
| ATLAS COPCO AB ADR CL B | | |
| BRITISH AMERICAN TOB PLC ADR | | |
| ROCHE HLDG LTD SPON ADR | | |
| AFLAC INC | | |
| AMERICAN ELECTRIC POWER CO | | |
| AMGEN INC | | |
| ANADARKO PETROLEUM CORP | | |
| APPLE INC | | |
| APTAR GROUP INC | | |
| BALCHEM CORP | | |
| BIOGEN IDEC INC | | |
| BORG WARNER INC | | |
| CABELAS INC | | |
| CERNER CORP | | |
| CHEVRON CORP | | |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| COGNIZANT TECH SOLUTIONS CORP | | |
| DANAHER CORP | | |
| EMC CORP | | |
| EAGLE MATERIALS INC | | |
| ECOLAB INC | | |
| EMERSON ELEC CO | | |
| ENERGIZER HOLDINGS INC | | |
| EXPRESS SCRIPTS HLDGS | | |
| EXXON MOBIL CORP | | |
| FACTSET RESEARCH SYS INC | | |
| FLOWER FOODS INC | | |
| FLOWSERVE CORP | | |
| GORMAN RUPP CO | | |
| INTEL CORP | | |
| J & J SNACK FOODS CORP | | |
| KINDER MORGAN MANAGEMENT LLC | | |
| MASTERCARD INC | | |
| ORACLE CORP | | |
| PEPSICO INC | | |
| PFIZER INC | | |
| PIONEER NAT RES CO | | |
| PRAXAIR INC | | |
| PRUDENTIAL FINANCIAL INC | | |
| PVH CORP | | |
| QUALCOMM INC | | |
| SCHEIN HENRY INC | | |
| STARBUCKS CORP | | |
| STARWOOD HOTELS & RESORTS | | |
| STATE STR CORP | | |
| STURM RUGER & CO INC | | |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| SUNTRUST BKS INC | | |
| TJX COS INC | | |
| TEXAS INSTRUMENTS INC | | |
| THERMO FISHER SCIENTIFIC INC | | |
| UNION PACIFIC CORP | | |
| UNITED HEALTH GROUP INC | | |
| UTAH MED PRODS INC | | |
| V F CORP | | |
| VARIAN MED SYS INC | | |
| WHITING PETROLEUM CORP | | |
| YUM BRANDS INC | | |
| ACCENTURE LTD | | |
| GILEAD SCIENCES INC | | |
| INGERSOLL RAND PLC | | |
| SCHLUMBERGER LTD | | |
| CBS CORP CL B | | |
| CSL LIMITED ADR REPSTG | | |
| CONSTELLATION BRANDS INC A | | |
| LONZA GROUP AG UNSPON ADR | | |
| CAL MAINE FOODS INC | | |
| DASSAULT SYSTEMES SA ADR | | |
| IMPERIAL OIL LTD | | |
| LOREAL CO UNSPONS ADR | | |
| NOVO NORDISK AS ADR | | |
| SASOL LTD SPONS ADR | | |
| WPP PLC SPONS ADR | | |
| POST HOLDINGS INC | | |
| ALLSTATE CORP | | |
| DISNEY WALT CO | | |
| LOWES CO INC | | |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| OIL DRI CORP AMER | | |
| PROCTER & GAMBLE CO | | |
| ROCKWELL AUTOMATION INC | | |
| ATLAS COPCO AB SPON ADR | | |
| SWATCH GROUP AG ADR | | |
| AMBEV SA SPN ADR | | |
| MATTHEWS ASIA GRWTH FD IS | | |
| 30219G108 EXPRESS SCRIPTS HLDG | 6,997 | 16,969 |
| 05946K101 BANCO BILBAO VIZCAYA | 18,778 | 23,246 |
| 91324P102 UNITED HEALTH GROUP | 4,242 | 36,788 |
| 92343V104 VERIZON COMMUNICATIO | 4,396 | 7,602 |
| 478160104 JOHNSON JOHNSON | 34,424 | 39,245 |
| 534187109 LINCOLN NATL CORP IN | 21,869 | 30,930 |
| 580135101 MCDONALDS CORP | 9,175 | 26,406 |
| 742718109 PROCTER GAMBLE CO | 1,945 | 2,114 |
| 548661107 LOWES CO INC | 16,980 | 41,275 |
| 009279100 AIRBUS GROUP NV A D | 35,679 | 42,333 |
| G47567105 IHS MARKIT LTD | 19,251 | 25,584 |
| 06828M876 BARON EMERGING MARKE | 334,216 | 408,451 |
| 12541W209 C H ROBINSON WORLDWI | 21,159 | 18,964 |
| 124857202 CBS CORP CLASS B NON | 32,638 | 47,666 |
| 02079K107 ALPHABET INC CL C | 10,313 | 56,927 |
| 09062X103 BIOGEN, INC | 1,596 | 10,159 |
| 670690759 NUVEEN MID CAP GRWTH | 30,389 | 61,338 |
| 006754204 ADECCO SA A D R | 22,022 | 22,560 |
| 89151E109 TOTAL SA SPON A D R | 35,017 | 36,819 |
| 771195104 ROCHE HOLDINGS LTD S | 22,660 | 37,234 |
| 670100205 NOVO NORDISK AS A D | 9,068 | 30,930 |
| 513272104 LAMB WESTON HOLDING | 7,445 | 10,999 |
| 099724106 BORG WARNER INC | 9,545 | 9,947 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
| --- | --- | --- |
| 857477103 STATE STR CORP | 2,762 | 11,323 |
| 007924103 AEGON N V NY REG SHR | 20,406 | 19,424 |
| 151020104 CELGENE CORPORATION | 2,798 | 10,869 |
| 404280406 HSBC HOLDINGS PLC SP | 18,977 | 25,355 |
| 142795202 CARLSBERG AS A D R | 1,089 | 1,220 |
| 30231G102 EXXON MOBIL CORP | 9,189 | 13,524 |
| 45662N103 INFINEON TECHNOLOGIE | 3,263 | 4,829 |
| 254687106 DISNEY WALT CO | 5,407 | 11,442 |
| 369550108 GENERAL DYNAMICS COR | 13,105 | 18,699 |
| 413875105 HARRIS CORP DEL | 6,995 | 9,982 |
| 654106103 NIKE INC | 8,067 | 39,637 |
| 745867101 PULTE GROUP INC | 925 | 1,111 |
| 689164101 OTSUKA HOLDINGS LTD | 19,250 | 21,090 |
| 22822V101 CROWN CASTLE INTL CO | 2,569 | 14,739 |
| 755111507 RAYTHEON COMPANY | 10,354 | 13,121 |
| 565849106 MARATHON OIL CORPORA | 8,582 | 6,289 |
| 12562Y100 CK HUTCHISON HOLDING | 19,291 | 21,586 |
| 464287804 I SHARES S P SMALLC | 267,730 | 315,958 |
| 084670702 BERKSHIRE HATHAWAY I | 37,055 | 42,808 |
| N53745100 LYONDELLBASELL INDUS | 5,712 | 5,636 |
| 92937A102 WPP PLC SPONSORED A | 18,315 | 47,347 |
| 988498101 YUM BRANDS INC | 1,039 | 3,995 |
| 83088M102 SKYWORKS SOLUTIONS I | 25,342 | 39,379 |
| 057665200 BALCHEM CORP | 4,271 | 21,727 |
| 20030N101 COMCAST CORP CL A | 2,838 | 17,927 |
| 29265N108 ENERGEN CORP | 4,873 | 5,134 |
| 00089H106 ACS ACTIVIDADES CONS | 9,143 | 11,392 |
| 128030202 CAL MAINE FOODS INC | 1,443 | 3,571 |
| 813113206 SECOM COMPANY LIMITE | 13,086 | 12,236 |
| 04962A105 ATOS ORIGIN SA UNSP | 11,490 | 18,103 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 071813109 BAXTER INTERNATIONAL | 31,071 | 42,881 |
| 260543103 DOW CHEM CO | 37,277 | 44,797 |
| 883556102 THERMO FISHER SCIENT | 8,338 | 39,223 |
| 577081102 MATTEL INC | 17,053 | 12,371 |
| N00985106 AERCAP HOLDINGS NV | 24,227 | 26,588 |
| 46625H100 J P MORGAN CHASE CO | 73,747 | 100,634 |
| 744320102 PRUDENTIAL FINANCIAL | 2,901 | 13,526 |
| 02079K305 ALPHABET INC CL A | 14,656 | 63,174 |
| 02319V103 AMBEV SA SPN A D R | 14,927 | 23,440 |
| 780259206 ROYAL DUTCH SHELL PL | 32,071 | 35,203 |
| 126804301 CABELAS INCORPORATED | 1,436 | 8,347 |
| 98850P109 YUM CHINA HOLDINGS I | 449 | 2,113 |
| 032511107 ANADARKO PETROLEUM C | 1,528 | 2,173 |
| 278865100 ECOLAB INC | 2,426 | 10,096 |
| 641069406 NESTLE SA SPONSORED | 16,350 | 41,728 |
| 101137107 BOSTON SCIENTIFIC CO | 24,135 | 28,868 |
| 907818108 UNION PACIFIC CORP | 3,664 | 18,751 |
| 594918104 MICROSOFT CORP | 17,125 | 22,838 |
| 247361702 DELTA AIR LINES INC | 10,065 | 11,546 |
| 96145D105 WESTROCK CO | 3,153 | 4,299 |
| G7945M107 SEAGATE TECHNOLOGY | 16,481 | 16,339 |
| 910047109 UNITED CONTINENTAL H | 4,512 | 8,047 |
| 25470M109 DISH NETWORK CORP CL | 19,317 | 21,427 |
| 670678507 NUVEEN REAL ESTATE S | 419,796 | 480,685 |
| 54338V101 LONZA GROUP AG UNSPO | 2,429 | 8,581 |
| 693656100 PVH CORP | 2,584 | 13,879 |
| 74005P104 PRAXAIR INC | 11,242 | 27,252 |
| 020002101 ALLSTATE CORP | 16,461 | 34,363 |
| 375558103 GILEAD SCIENCES INC | 11,770 | 28,292 |
| 311900104 FASTENAL CO | 21,690 | 20,290 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 237194105 DARDEN RESTAURANTS I | 941 | 1,245 |
| 887317303 TIME WARNER INC | 8,614 | 11,342 |
| 91913Y100 VALERO ENERGY CORP | 2,809 | 3,135 |
| G7S00T104 PENTAIR PLC | 19,263 | 21,124 |
| 571903202 MARRIOTT INTL INC | 1,262 | 6,244 |
| 502117203 LOREAL UNSPONSORED A | 8,822 | 19,474 |
| 718546104 PHILLIPS 66 | 15,490 | 14,689 |
| 83175M205 SMITH NEPHEW P L C | 23,921 | 25,140 |
| 260003108 DOVER CORP | 1,284 | 4,953 |
| 670346105 NUCOR CORP | 3,030 | 2,905 |
| 001055102 AFLAC INC | 5,792 | 11,005 |
| 46115H107 INTESA SANPAOLO A D | 37,572 | 43,362 |
| 864159108 STURM RUGER CO INC | 1,258 | 6,880 |
| 156782104 CERNER CORP COM | 4,209 | 30,257 |
| 806407102 SCHEIN HENRY INC COM | 12,753 | 53,535 |
| 466032109 J & J SNACK FOODS CO | 5,103 | 19,515 |
| 92220P105 VARIAN MED SYS INC C | 2,440 | 8,516 |
| 254709108 DISCOVER FINL SVCS | 22,199 | 21,836 |
| 269246401 E TRADE FINANCIAL CO | 18,586 | 23,016 |
| 80105N105 SANOFI A D R | 1,629 | 2,033 |
| 949915482 WFA SPECIAL MID CAP | 283,236 | 322,641 |
| 525327102 LEIDOS HOLDINGS INC | 3,720 | 4,167 |
| 02005N100 ALLY FINANCIAL INC | 5,417 | 5,636 |
| 780249108 KONINKLIJKE DSM NV A | 19,177 | 23,788 |
| 054536107 AXA A D R | 27,272 | 29,320 |
| 192446102 COGNIZANT TECHNOLOGY | 2,795 | 19,738 |
| 38141G104 GOLDMAN SACHS GROUP | 17,683 | 15,633 |
| 23355L106 DXC TECHNOLOGY CO | 10,824 | 16,822 |
| 26875P101 E O G RES INC | 16,744 | 18,514 |
| 59156R108 METLIFE INC | 4,345 | 4,806 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| G5876H105 MARVELL TECHNOLOGY G | 29,146 | 33,084 |
| 53931R103 LIXIL GROUP CORP A D | 19,377 | 26,363 |
| 037833100 APPLE INC | 4,067 | 21,234 |
| 316773100 FIFTH THIRD BANCORP | 3,697 | 4,653 |
| 717081103 PFIZER INC | 3,641 | 8,228 |
| 806857108 SCHLUMBERGER LTD | 40,521 | 42,241 |
| 918204108 V F CORP | 3,749 | 15,710 |
| 69832A205 PANASONIC CORP A D R | 20,630 | 24,929 |
| 12626K203 CRH PLC ADR | 2,843 | 3,359 |
| 881609101 TESORO CORPORATION | 2,131 | 2,164 |
| 237545108 DASSAULT SYSTEMES SA | 14,296 | 48,039 |
| 25243Q205 DIAGEO PLC SPONSORED | 3,149 | 7,315 |
| 09075E100 BIOVERATIV INC | 131 | 1,102 |
| 92214X106 VAREX IMAGING CORP | 338 | 1,168 |
| 58933Y105 MERCK AND CO INC | 16,726 | 22,854 |
| 025537101 AMERICAN ELECTRIC PO | 6,424 | 17,443 |
| 574599106 MASCO CORP | 34,145 | 38,926 |
| 882508104 TEXAS INSTRUMENTS IN | 2,019 | 11,466 |
| 651290108 NEWFIELD EXPL CO COM | 9,982 | 7,698 |
| 25278X109 DIAMONDBACK ENERGY I | 10,239 | 9,925 |
| 04623U102 ASTELLAS PHARMA INC | 24,024 | 22,251 |
| 031162100 AMGEN INC | 2,442 | 7,607 |
| 469814107 JACOBS ENGR GROUP IN | 3,602 | 3,722 |
| 086516101 BEST BUY CO INC | 2,961 | 5,464 |
| 855244109 STARBUCKS CORP | 1,559 | 22,136 |
| 59151K108 METHANEX CORP | 4,144 | 5,198 |
| 235851102 DANAHER CORP | 20,703 | 74,407 |
| 14040H105 CAPITAL ONE FINANCIA | 21,010 | 21,999 |
| 874039100 TAIWAN SEMICONDUCTOR | 10,608 | 35,289 |
| 303075105 FACTSET RESEARCH SYS | 2,280 | 9,279 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 57636Q104 MASTERCARD INC | 4,883 | 37,724 |
| 651229106 NEWELL RUBBERMAID IN | 2,943 | 19,221 |
| 68389X105 ORACLE CORPORATION | 9,501 | 23,149 |
| 00687A107 ADIDAS AG A D R | 18,925 | 28,497 |
| 44967H101 ILG INC | 102 | 835 |
| 00130H105 A E S CORP | 9,087 | 9,508 |
| 383082104 GORMAN RUPP CO | 3,453 | 5,969 |
| 66987V109 NOVARTIS AG A D R | 3,905 | 8,749 |
| 723787107 PIONEER NAT RES CO | 9,704 | 19,022 |
| 858119100 STEEL DYNAMICS INC | 3,990 | 5,031 |
| 500458401 KOMATSU LTD A D R RE | 21,638 | 21,369 |
| 278642103 EBAY INC. | 4,739 | 14,337 |
| 949746101 WELLS FARGO CO | 7,762 | 15,444 |
| G1151C101 ACCENTURE PLC CL A | 10,209 | 35,474 |
| 23304Y100 DBS GROUP HLDGS LTD | 22,791 | 25,155 |
| 485170302 KANSAS CITY SOUTHERN | 807 | 5,236 |
| 682189105 ON SEMICONDUCTOR COR | 22,152 | 35,062 |
| 34959J108 FORTIVE CORP WI | 6,441 | 27,353 |
| 00206R102 ATT INC | 14,420 | 44,387 |
| 343498101 FLOWERS FOODS INC | 7,094 | 13,065 |
| 42824C109 HEWLETT PACKARD ENTE | 5,502 | 7,580 |
| 684060106 ORANGE SPON A D R | 6,936 | 6,963 |
| 49456B101 KINDER MORGAN INC | 603 | 1,107 |
| H84989104 TE CONNECTIVITY LTD | 13,718 | 15,691 |
| 81603X108 SEIKO EPSON A D R | 20,328 | 23,554 |
| 172967424 CITIGROUP INC | 27,686 | 35,961 |
| 125509109 CIGNA CORP | 7,807 | 9,835 |
| 872540109 TJX COMPANIES INC | 3,111 | 20,006 |
| 867914103 SUNTRUST BKS INC | 12,710 | 33,410 |
| 20825C104 CONOCOPHILLIPS | 15,382 | 13,586 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 983134107 WYNN RESORTS LTD | 26,247 | 35,264 |
| 464287234 ISHARES MSCI EMERGIN | 5,489 | 9,517 |
| 29082A107 EMBRAER SA A D R | 5,155 | 4,841 |
| 922042676 VANGUARD GLBL EX US | 137,153 | 138,717 |
| 144430204 CARREFOUR SA SP A D | 19,791 | 20,238 |
| 402635304 GULFPORT ENERGY CORP | 3,472 | 2,239 |
| 756577102 RED HAT INC COM | 29,073 | 33,947 |
| 500472303 KONINKLIJKE PHILIPS | 22,928 | 29,563 |
| 171779309 CIENA CORPORATION NE | 15,885 | 20,733 |
| 713448108 PEPSICO INC | 11,091 | 26,646 |
| 883203101 TEXTRON INC | 4,035 | 5,067 |
| 521865204 LEAR CORP | 5,904 | 7,452 |
| 48137C108 JULIUS BAER GROUP LT | 19,332 | 22,445 |
| 626425102 MURATA MANUFACTUR UN | 19,972 | 23,630 |
| 166764100 CHEVRON CORPORATION | 23,198 | 24,732 |
| 70450Y103 PAYPAL HOLDINGS INC | 7,328 | 21,824 |
| 606783207 MITSUBISHI ESTATE LT | 19,335 | 18,837 |
| 74316J458 CONGRESS MID CAP GRO | 267,153 | 299,019 |
| 741503403 THE PRICELINE GROUP | 416 | 11,263 |
| 26969P108 EAGLE MATERIALS INC | 1,651 | 6,790 |
| H1467J104 CHUBB LTD | 7,180 | 22,481 |
| 02665T306 AMERICAN HOMES 4 REN | 2,348 | 2,854 |
| 78409V104 S P GLOBAL INC | 1,489 | 10,711 |
| 447011107 HUNTSMAN CORP | 2,829 | 4,469 |
| 74925K581 ROBECO BOSTON PARTNE | 282,481 | 342,249 |
| 28035Q102 EDGEWELL PERSONAL CA | 1,427 | 3,584 |
| 531229607 LIBERTY MEDIA CORP D | 2,335 | 3,084 |
| 038336103 APTAR GROUP INC | 12,395 | 35,364 |
| Y2573F102 FLEXTRONICS INTL LTD | 8,447 | 11,720 |
| 060505104 BANK OF AMERICA CORP | 30,029 | 45,044 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 904767704 UNILEVER PLC SPSD AD | 9,454 | 25,140 |
| G47791101 INGERSOLL RAND PLC | 3,831 | 23,296 |
| 049255805 ATLAS COPCO AB A D R | 1,048 | 5,281 |
| 50540R409 LABORATORY CRP OF AM | 2,944 | 3,197 |
| 16941M109 CHINA MOBILE LIMITED | 33,254 | 32,570 |
| 205887102 CONAGRA FOODS INC | 25,281 | 27,402 |
| 29286D105 ENGIE A D R | 23,051 | 28,630 |
| G5480U120 LIBERTY GLOBAL PLC S | 6,688 | 6,301 |
| 92927K102 WABCO HLDGS INC | 3,387 | 3,776 |
| 067901108 BARRICK GOLD CORP | 8,340 | 8,121 |
| 917488108 UTAH MED PRODS INC | 5,240 | 15,523 |
| 23331A109 D R HORTON INC | 3,146 | 3,367 |
| 803054204 SAP SE SPONSORED A D | 7,832 | 23,771 |
| 358029106 FRESENIUS MED AKTIEN | 9,973 | 19,661 |
| 83364L109 SOCIETE GENERALE SPO | 19,105 | 24,472 |
| 036752103 ANTHEM INC | 2,893 | 4,012 |
| 931427108 WALGREENS BOOTS ALLI | 6,715 | 22,524 |
| 25157Y202 DEUTSCHE POST AG A D | 20,242 | 24,950 |
| 136385101 CANADIAN NATURAL RES | 7,024 | 6,782 |
| 21036P108 CONSTELLATION BRANDS | 2,513 | 29,971 |
| 29272W109 ENERGIZER HLDGS INC | 610 | 2,626 |
| 111621306 BROCADE COMMUNICATIO | 1,980 | 2,715 |
| 05545E209 B H P BILLITON PLC S | 24,016 | 19,937 |
| 88023U101 TEMPUR-PEDIC INTL IN | 14,763 | 11,656 |
| 56585A102 MARATHON PETROLEUM C | 8,446 | 10,876 |
| 63938C108 NAVIENT CORP W D | 3,214 | 3,405 |
| 81211K100 SEALED AIR CORP | 3,331 | 3,154 |
| 737446104 POST HOLDINGS INC | 40,978 | 51,819 |
| 110448107 BRITISH AMERN TOB PL | 1,445 | 4,183 |
| 677864100 OIL DRI CORP AMER | 8,257 | 12,582 |

# TY 2016 Investments - Other Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA
**EIN:** 39-6790033

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| NUVEEN MID CAP GRWTH OPP | | | |
| ISHARES MSCI EMERGING MKTS | | | |
| NUVEEN DIVIDEND VALUE FD | | | |
| T ROWE PRICE INTL GR&INC FD | | | |
| OPPENHEIMER SR FLOATING RATE I | | | |
| ROBECO BOSTON PARTNERS LS RSCH | | | |
| AQR MANANGED FUTURES STR I | | | |
| VANGUARD 500 INDEX | | | |
| CAUSEWAY EMRG MKTS INSTL | | | |
| CROWN CASTLE INTL CORP | | | |
| LOOMIS SAYLES STRGC ALPHA FD | | | |
| TCW EMRG MKTS INC FD | | | |
| T ROWE PRICE INTL BD FD | | | |
| ISHARES SPUS PREF STCK | | | |
| ISHARES IBOXX INVST GRD BD | | | |
| BAIRD AGGREGATE BD FD | | | |
| ALLERGAN PLC | | | |
| ALPHABET INC CL A | | | |
| ALPHABET INC CL C | | | |
| AT&T INC | | | |
| BMO 3Y EN LEV MTN 12/08/17 | | | |
| BMO 5Y EN LEV MTN 12/09/19 | | | |
| CHUBB LTD | | | |
| DOLLAR TREE INC | | | |
| EDGEWELL PERSONAL CARE CO | | | |
| FEDERATED INST HI YLD BD FD | | | |
| FRESENIUS AKTIENGESELLSCHAFT A | | | |
| LVMH MOET HENNESSY A D R | | | |
| NESTLE SA SPONSORED A D R | | | |
| NEWELL BRANDS, INC | | | |

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| NOVARTIS AG A D R | | | |
| NUVEEN REAL ESTATE SECS I | | | |
| PAYPAL HOLDINGS INC | | | |
| SP GLOBAL INC | | | |
| SANOFI CVR RT 12/31/20 | | | |
| SAP SE SPON A D R | | | |
| SCHNEIDER ELECT SE UNSP A D R | | | |
| SVENSKA HENDELSBANKEN A UNSP A | | | |
| TAIWAN SEMICONDUCTOR A D R | | | |
| THE PRICELINE GROUP INC | | | |
| UNILEVER PLC SPON A D R | | | |
| VANGUARD GLBL EX US REAL ESTAT | | | |
| WALGREENS BOOTS ALLIANCE INC | | | |
| 80105N113 SANOFI | AT COST | 1 | 28 |

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# TY 2016 Legal Fees Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| LEGAL FEES - INCOME (ALLOCABLE | 707 | | | 707 |

# TY 2016 Other Decreases Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Description | Amount |
|---|---|
| COST BASIS ADJUSTMENT | 2 |

# TY 2016 Other Expenses Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ADR FEES | 1,010 | 1,010 | | 0 |

# TY 2016 Other Increases Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Description | Amount |
|---|---|
| GAIN ON CY SALES SETTLED AT YEAR END | 39,274 |

# TY 2016 Taxes Schedule

**Name:** JOHN & RUTH KLOSS CHARITABLE TR UMA

**EIN:** 39-6790033

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 4,034 | 4,034 | | 0 |
| FEDERAL ESTIMATES - PRINCIPAL | 1,243 | 0 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 317 | 317 | | 0 |