| <i>i</i> * | O | n | n |
|------------|---|---|---|
| Form | y | y | U |

632001 11-11-16

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No 1545-0047 16

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public. § Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

| <u>A</u> | For the | 2016 calendar year, or tax year beginning M | LAY 1, 2016 and | ending A | PR 30, 2017 | |
|--------------------------|---------------------|---|--------------------------------------|-----------------------|------------------------------|-------------------------------|
| В | Check if applicable | C Name of organization | | | D Employer identifi | cation number |
| | Addre chang | HUMOR TO FIGHT THE TUM | OR FOUNDATION I | NC. | | |
| 닏 | chang lnitial | | | | | 143612 |
| | Final return | Number and street (or P.O. box if mail is not de 16404 EAGLE RIDGE DRIV | · · | Room/suite | E Telephone numbe 952- | 474-6178 |
| _ | termin | City or town, state or province, country, and | ZIP or foreign postal code | | G Gross receipts \$ | 476,367. |
| Ļ | Amen | MINNETONKA, MN 33343 | | | H(a) Is this a group re | |
| L | Application pendu | I F Name and address of principal officer | | | for subordinates | |
| _ | | | | 10 | H(b) Are all subordinates in | |
| | | 7p. states: 2 | | <u>ór [_ /] 527</u> | 1 | list (see instructions) |
| | Websit | | ssociation Other | I. Vass | H(c) Group exemptio | |
| | art i | Summary | SSUCIATION UTILE | L Year | or formation: ZUISIN | State of legal domicile: MN |
| _ | | Briefly describe the organization's mission or most | t significant activities PROV | TDE EU | NDING FOR R | ESEARCH AND |
| Activities & Governance | ' | PATIENT CARE FOR THOSE AF | FECTED BY BRAIN | TIMOR | S | BOBARCH MID |
| 'n | | Check this box fithe organization disco | | | | sets |
| Vel | | Number of voting members of the governing body | | ,500 Oore | 3 | 10 |
| Ğ | ì | Number of independent voting members of the go | , , | | 4 | 10 |
| es & | | Total number of individuals employed in calendar | • • • • • | • | 5 | 0 |
| Ϋ́ | 6 | Total number of volunteers (estimate if necessary) | | | 6 | 75 |
| ζĘ | 7 a | Total unrelated business revenue from Part VIII, co | olumn (C), line 12 | | 7a | 0. |
| _ | b | Net unrelated business taxable income from Form | | | 7b | 0. |
| | } | | 1 | ل_ا ثـ` | Prior Year | Current Year |
| e | 8 | Contributions and grants (Part VIII, line 1h) | c | | 29,835. | 33,835. |
| Revenue | 9 | Program service revenue (Part VIII, line 2g) | MAN 3 O 23 | | 0. | 0. |
| Вè | 10 | Investment income (Part VIII, column (A), lines 3, 4 | , and [7d) | 10. | 0. 283,376. | 0. 314,453. |
| | 1 | Other revenue (Part VIII, column (A), lines 5, 6d, 8d | 1 () () | | 313,211. | 348,288. |
| _ | | Total revenue - add lines 8 through 11 (must equal | | <u></u> | 0. | 0. |
| | 1 | Grants and similar amounts paid (Part IX, column (Benefits paid to or for members (Part IX, column (/ | <u> </u> | 0. | 0. | |
| מי | 1 | Salaries, other compensation, employee benefits (| • | | <u>0.</u> | 0. |
| Expenses | 16a | Professional fundraising fees (Part IX, column (A), | | | 0. | 0. |
| be i | Ь | Total fundraising expenses (Part IX, column (D), lin | | 0. | | |
| ΄ ω | 17 | Other expenses (Part IX, column (A), lines 11a-11d | | | 388,109. | 369,040. |
| € | | Total expenses Add lines 13-17 (must equal Part I | | | 388,109. | 369,040. |
| } | 19 | Revenue less expenses Subtract line 18 from line | 12 | | -74,898. | -20,752. |
| Ses | | | | Ве | ginning of Current Year | End of Year |
| Set | 20 | Total assets (Part X, line 16) | | <u> </u> | 98,348. | 91,376. |
| Net Assets Fund Balan | 21 | Total liabilities (Part X, line 26) | | <u> </u> | 25,050. | 38,830. |
| 걆 | 22 | Net assets or fund balances Subtract line 21 from | line 20 , | | 73,298. | 52,546. |
| | art II | Signature Block | | | | the state of the state of the |
| | | Ities of perjury, I declare that I have examined this return, | | | | y knowleage and belief, it is |
| true | , correc | t, and complete. Declaration of preparer (other than office | er) is based divali information of w | nich preparer | 3/5/19 | 7 |
| Ci~ | | Signature of officer | AX CAU | | Date | |
| Sig Her | | FOIC A. GIDION | 2- MOASURGE | | | |
| | | Type or print name and title | 2 recensional | | | |
| | | Print/Type preparer's name | Preparer's signature | | Date Check | PTIN |
| Paid | đ | | | | if self-employ | ed |
| Pre | parer | Firm's name | | | Firm's EIN ▶ | |
| Use | Опіу | Firm's address | | | | |
| | | | | | Phone no. | |
| May | v the IF | S discuss this return with the preparer shown abo | ove? (see instructions) | | | Yes No |

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2016)

| | 1990 (2016) HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 Page 2 |
|----|---|
| Pa | rt III Statement of Program Service Accomplishments |
| | Check if Schedule O contains a response or note to any line in this Part III |
| 1 | Briefly describe the organization's mission HUMOR TO FIGHT THE TUMOR (HTFT) IS DEDICATED TO FUNDING RESEARCH AND PATIENT SERVICES THAT WILL IMPROVE THE LIVES OF BRAIN TUMOR PATIENTS. |
| | |
| | WE DO THIS BY RAISING AWARENESS, PROVIDING SUPPORT AND ENCOURAGEMENT, |
| | AND OFFERING UPLIFTING EVENTS THAT WILL PROVIDE A SENSE OF COMMUNITY |
| 2 | Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O |
| 2 | |
| 3 | |
| | If "Yes," describe these changes on Schedule O |
| 4 | Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. |
| | Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and |
| | revenue, if any, for each program service reported |
| 4a | (Code) (Expenses \$ including grants of \$) (Revenue \$) |
| | SUPPORTING BRAIN TUMOR RESEARCH AT THE UNIVERSITY OF MINNESOTA. |
| | SUPPORTING BRAIN TUMOR RESEARCH AT THE MAYO CLINIC. SUPPORTING |
| | |
| | ONGOING RESEARCH IN ASSOCIATION WITH THE AMERICAN BRAIN TUMOR |
| | ASSOCIATION |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| 4b | (Code) (Expenses \$ including grants of \$) (Revenue \$) |
| | |
| | |
| | |
| | |
| | |
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| | |
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| | |
| 4c | (Code) (Expenses \$ |
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| | |
| | |
| | |
| | |
| 4d | Other program services (Describe in Schedule O) |
| | (Expenses \$ including grants of \$) (Revenue \$) |
| 40 | Total program service expenses |

Form 990 (2016)



Form 990 (2016) HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612

Part IV Checklist of Required Schedules

| | | | 162 | NO |
|-----|---|--|-----|-----------|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A | | х | _ |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors | 2 | X | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for | | | |
| | public office? If "Yes," complete Schedule C, Part I | 3 | | х |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect | <u> </u> | | - |
| | during the tax year? If "Yes," complete Schedule C, Part II | 4 | | Х |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or | - | | |
| | similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | 5 | | Х |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to | | | |
| | provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6 | | Х |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, | | | |
| | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete | | | |
| | Schedule D, Part III | 8 | | Х |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for | | | |
| | amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? | | | |
| | If "Yes," complete Schedule D, Part IV | 9 | | X |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent | | | |
| | endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | 10 | | X |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X | | | |
| _ | as applicable | | | |
| а | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI | 44. | | х |
| h | Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total | 11a | | |
| J | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | 11b | | х |
| С | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total | 110 | | |
| - | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | 11c | | х |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in | | | |
| | Part X, line 16? If "Yes," complete Schedule D, Part IX | 11d | х | |
| е | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | 11e | Х | |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses | | | |
| | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | 11f | | Х |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete | | | |
| | Schedule D, Parts XI and XII | 12a | | Х |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? | | | |
| | If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | 12b | | <u> X</u> |
| | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | 13 | | X |
| | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | Х |
| b | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, | | | |
| | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 | | | v |
| 15 | or more? If "Yes," complete Schedule F, Parts I and IV Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any | 14b | | <u>X</u> |
| 13 | foreign organization? If "Yes," complete Schedule F, Parts II and IV | 15 | | Х |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to | 15 | | |
| | or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | 16 | | х |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, | | | |
| | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I | 17 | | Х |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines | | | |
| | 1c and 8a? If "Yes," complete Schedule G, Part II | 18 | х | |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," | | | |
| | complete Schedule G, Part III | 19 | | Х |
| | | Form | 990 | 2016) |

Form 990 (2016) HUMOR TO FIGHT THE Part IV Checklist of Required Schedules (continued)

| | | | Yes | No |
|-----|--|-----|----------------|----------------|
| 20- | Did the expensetion energy are as a way beautel facilities? If "You " complete Schodule H | 20a | 162 | No X |
| | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H | 20a | | _ <u>^`</u> _ |
| | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 200 | | |
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or | 21 | | x |
| ~~ | domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | | | ^ |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on | | | х |
| | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | ^ |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current | | | |
| | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete | | | x |
| • | Schedule J | 23 | - | <u>^</u> |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the | | | |
| | last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete | ١,, | ł | x |
| | Schedule K If "No", go to line 25a | 24a | | <u> </u> |
| | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | - | ├─ |
| С | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit | | | |
| | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and | | | [|
| | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete | | | |
| | Schedule L, Part I | 25b | | X |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or | | | |
| | former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," | | | |
| | complete Schedule L, Part II | 26 | | X |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial | | | |
| | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member | | | |
| | of any of these persons? If "Yes," complete Schedule L, Part III | 27 | | X |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV | | 1 | |
| | instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| а | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | | X |
| C | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, | | | |
| | director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | | Х |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation | | | |
| | contributions? If "Yes," complete Schedule M | 30 | <u> </u> | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? | | | l |
| | If "Yes," complete Schedule N, Part I | 31 | <u> </u> | X |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete | | | |
| | Schedule N, Part II | 32 | ļ | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations | | | l |
| | sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I | 33 | <u> </u> | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and | | | |
| | Part V, line 1 | 34 | | X |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | Х |
| b | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity | | | |
| | within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35b | <u> </u> | ļ |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? | 1 | | |
| | If "Yes," complete Schedule R, Part V, line 2 | 36 | | X |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization | | | |
| | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | - | X |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? | 1. | | ,, |
| | Note. All Form 990 filers are required to complete Schedule O | 38 | 000 | (2016) |
| | | | | |

| | Check if Schedule O contains a response or note to any line in this Part V | | | |
|----------|---|------|-----|--------------|
| | | ŤΠ | Yes | No |
| 1a | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable 1a 0 | | | |
| ь | Enter the number of Forms W-2G included in line 1a. Enter -0 if not applicable 1b 0 | | | |
| С | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming | | | |
| | (gambling) winnings to prize winners? | 1c | | |
| 2a | Enter the number of employees reported on Form W·3, Transmittal of Wage and Tax Statements, | | | |
| | filed for the calendar year ending with or within the year covered by this return | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | 2b | | |
| | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | |
| За | Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3a | | _X_ |
| b | If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O | 3b | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a | | | |
| | financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4a | | <u>X</u> |
| þ | If "Yes," enter the name of the foreign country | | | |
| | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a | | X |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | X |
| | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit | | | Х |
| h | any contributions that were not tax deductible as charitable contributions? | 6a | | |
| D | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6ь | | |
| 7 | Organizations that may receive deductible contributions under section 170(c). | | | |
| а | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7a | Х | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | Х | |
| С | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required | | | |
| | to file Form 8282? | 7c | | X |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | | | |
| е | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | | |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | 7h | | |
| 8 | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the | | | |
| 0 | sponsoring organization have excess business holdings at any time during the year? | 8 | | |
| 9 | Sponsoring organizations maintaining donor advised funds. Did the sponsoring organization make any taxable distributions under section 4966? | 9a | | |
| a h | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 9b | | |
| 10 | Section 501(c)(7) organizations. Enter | _55_ | | |
| а | Initiation fees and capital contributions included on Part VIII, line 12 | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b | | | |
| 11 | Section 501(c)(12) organizations. Enter | | | |
| а | Gross income from members or shareholders | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against | | | |
| | amounts due or received from them) | | | |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a | | <u> </u> |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | | | |
| 13 | Section 501(c)(29) qualified nonprofit health insurance issuers. | | | |
| а | Is the organization licensed to issue qualified health plans in more than one state? | 13a | - | |
| 1. | Note. See the instructions for additional information the organization must report on Schedule O | | ' | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the | | | |
| ^ | organization is licensed to issue qualified health plans Enter the amount of reserves on hand 136 | | | |
| | Did the organization receive any payments for indoor tanning services during the tax year? | 14a | | X |
| | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O | 14b | | <u> </u> |
| | | | 990 | (2016) |

| Form | 990 | (2016) | |
|------|-----|--------|--|
| OHIL | 550 | (2010) | |

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Form 990 (2016) HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 2 through 7b below 7b belo

| Га | to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. | NO I | espon | se |
|-----|---|------------|--------------|-----------------|
| | Check if Schedule O contains a response or note to any line in this Part VI | | | X |
| Sec | tion A. Governing Body and Management | | - | لقف |
| | | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year 10 | | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing | | | |
| | body delegated broad authority to an executive committee or similar committee, explain in Schedule O. | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent 1b 10 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other | | | |
| | officer, director, trustee, or key employee? | 2 | X | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision | | | |
| | of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | Х |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | Х |
| 6 | Did the organization have members or stockholders? | 6 | | X |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or | | | |
| | more members of the governing body? | 7a | | X |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or | | | |
| | persons other than the governing body? | 7b_ | | Х |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | |
| а | The governing body? | 8a | X | L |
| b | Each committee with authority to act on behalf of the governing body? | 8b | Х | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the | | | |
| | organization's mailing address? If "Yes, " provide the names and addresses in Schedule O | 9 | | X |
| Sec | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) | | | |
| | | | Yes | No |
| | Did the organization have local chapters, branches, or affiliates? | 10a | | X |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, | | | |
| | and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | X | ļ |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | X | ļ |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Х | |
| С | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe | | х | |
| 40 | In Schedule O how this was done | 12c | | x |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | A | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent | | | |
| _ | persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | 45. | Х | |
| | The organization's CEO, Executive Director, or top management official Other officers or key employees of the organization | 15a 15b | X | |
| U | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | 130 | | <u> </u> |
| 162 | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a | | | |
| 100 | taxable entity during the year? | 16a | | x |
| h | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation | 100 | | - - |
| - | In joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's | | | |
| | exempt status with respect to such arrangements? | 16b | | • |
| Sec | tion C. Disclosure | 100 | | L |
| 17 | List the states with which a copy of this Form 990 is required to be filed ► NONE | | | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only); | availab | le | |
| | for public inspection Indicate how you made these available. Check all that apply | 2741146 | | |
| | Own website Another's website Upon request Other (explain in Schedule O) | | | |
| 19 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and | d finan | cıal | |
| - | statements available to the public during the tax year | • | | |
| 20 | State the name, address, and telephone number of the person who possesses the organization's books and records | | | |
| | | | | |
| | | | | |

| Form 990 (2016) | HUMOR | TO | FIGHT | |
|-----------------|-------|----|-------|--|
| | | | | |

HUMOR TO FIGHT THE TUMOR FOUNDATION INC.

46-3143612

Page 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
 - List all of the organization's current key employees, if any See instructions for definition of "key employee"
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order, individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

| X Check this box if neither the organization n | or any related | orga | anıza | ation | COI | mpe | nsat | ted any current officer, of | director, or trustee | |
|--|------------------------|--------------------------------|-----------------------|----------|--------------|---------------------------------|----------|--|----------------------------------|--------------------------|
| (A) | (B) | (C) | | | | | | (D) | (E) | (F) |
| Name and Title | Average | (do | not c | Pos | ition | than | one | Reportable | Reportable | Estimated |
| | hours per | box | , unle | ss pe | rson | is bot | h an | compensation | compensation | amount of |
| | week | ├─ | er an | C a u | recit | Ji/a us | lee, | from | from related | other |
| | (list any hours for | recto | İ | ŀ | ļ | L | 1 | the organization | organizations (W-2/1099-MISC) | compensation from the |
| | related | e or d | ag Eg | } | | sated | 1 | (W-2/1099-MISC) | (***271099****13C) | organization |
| | organizations | truste | al trus | | yee | mper. | | (** 2. ********************************* | | and related |
| | below | individual trustee or director | Institutional trustee | | Key employee | est co oyee | 횰 | | | organizations |
| | line) | ğ | Insti | Olficer | ě, | Highest compensated employee | Former | | | |
| (1) JOELLE SYVERSON | 5.00 | | | | l | | | | | |
| PRESIDENT | | X | | X | | 乚 | L. | 0. | 0. | 0. |
| (2) KRISTEN THRUBER | 1.00 | | | | | | | _ | | |
| VP | | X | | Х | _ | | <u> </u> | 0. | 0. | 0. |
| (3) TRACY KING | 2.00 | | | | | İ | | | _ | |
| SECRETARY | | X | Ш | Х | | _ | L. | _0. | 0. | 0. |
| (4) ERIC GIDLOW | 1.00 | | | | ŀ | | | | | • |
| TREASURER | 0.00 | X | _ | X | | <u> </u> | <u> </u> | 0. | 0. | 0. |
| (5) KRISTIN GABRIEL | 2.00 | ,, | 1 | | | | | | 0 | 0 |
| DIRECTOR | 2 00 | X | <u> </u> | <u> </u> | <u> </u> | | ┝ | 0. | 0. | 0. |
| (6) LISA GIDLOW | 2.00 | X | | | | | | 0. | 0. | 0. |
| (7) KRISTIN GRAY | 2.00 | ҈ | <u> </u> | <u> </u> | | ├ | ⊢ | 0. | 0. | |
| DIRECTOR | 2.00 | х | | | ł | | | 0. | 0. | 0. |
| (8) MATTHEW THURBER | 3.00 | ₽ | <u> </u> | - | ┢ | ├ | ┝ | - 0. | 0. | |
| DIRECTOR | 3.00 | х | | ĺ | ĺ | ĺ | [| 0. | 0. | 0. |
| (9) CHRISSIE WEISS | 2.00 | | \vdash | \vdash | ├ | ┢ | | | | |
| DIRECTOR | | х | | |] | ļ |] | 0. | 0. | 0. |
| | - | | | | _ | ╁┈ | ┢ | | | |
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| | | | | \vdash | \vdash | | \vdash | | | |
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| | | | | | | | 1 | | | |
| | | Щ. | | <u> </u> | <u> </u> | | L., | L | | |

| | | Check if Schedule O contain | is a response | or note to any lin | e in this Part VIII | _ | | . 🗀 |
|--|------|--|---------------------------------------|--------------------|----------------------|--|---|---|
| | - | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | Revenue excluded from tax under sections 512 - 514 |
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a | Federated campaigns . | 1a | | | | | |
| ìrai our | b | Membership dues | 1b | | | | | |
| S, C | С | Fundraising events | 1c | | | | | |
| ar / | d | Related organizations | 1d | | | | | 1 |
| s, C | | Government grants (contribution | - - | | į | | | 1 |
| อีเ | | All other contributions, gifts, grants, | · — | | | | | |
| the | | similar amounts not included above | 1f | 33,835. | | | | İ |
| 20 | a | Noncash contributions included in lines 1s- | | | | | | İ |
| ang | _ | Total. Add lines 1a-1f | " " ——— | | 33,835. | | | |
| _ | | 154 | | Business Code | | | | |
| g. | 2 a | | | | | | | 1 |
| Š | b | | | | | | · | |
| Sei | c | | | | | | | |
| e e | d | | | | | - | | |
| Program Service Revenue | e | | | | | | | |
| ž | | All other program service revenu | е | | | | | |
| 1 | | Total. Add lines 2a-2f | _ | | | | | |
| | 3 | Investment income (including dis | ridends, inter | | | | | |
| | | other similar amounts) | | ▶ | | | | |
| ĺ | 4 | Income from investment of tax-e | xempt bond : | · | | | | |
| - 1 | 5 | Royalties . | | > | | | | |
| - 1 | | ſ | (i) Real | (II) Personal | | | | |
| | 6 a | Gross rents | | | | | | |
| | b | Less rental expenses | _ | | | | | |
| l | С | Rental income or (loss) | · · · · · · · · · · · · · · · · · · · | | | | | |
| | | Net rental income or (loss) | | | | | | |
| | | · · · · · | (i) Securities | (II) Other | | | | |
| İ | | assets other than inventory | | | | | | |
| | b | Less cost or other basis | | | | | | |
| ļ | | and sales expenses | | | | | | |
| Ì | С | Gain or (loss) | | | | | | |
| | d | Net gain or (loss) | <u> </u> | | | | | |
| venue | 8 a | Gross income from fundraising e including \$ | vents (not of | | | | | |
| | | contributions reported on line 1c | - | i | | | | |
| <u>ب</u> | | Part IV, line 18 | , a | 442,532. | | | | ŀ |
| Other Re | b | Less direct expenses | b | 128,079. | | | | } |
| ٩l | | Net income or (loss) from fundral | | • | 314,453. | | | 314,453. |
| ł | | Gross income from gaming activ | = | | | | | |
| ĺ | | Part IV, line 19 | а | 1 | | | | 1 |
| Ì | b | Less direct expenses | b | | | | | 1 |
| - 1 | | Net income or (loss) from gaming | activities | • | | | | |
| | | Gross sales of inventory, less ret | | | | | | |
| | | and allowances | а | | | | | 1 |
| Ì | b | Less cost of goods sold | b | | | | | |
| | С | Net income or (loss) from sales of | f inventory | • | | | | <u> </u> |
| [| | Miscellaneous Revenue | | Business Code | | , | | |
| | 11 a | | | | | | <u></u> | |
| } | b | | | | | | | |
| İ | С | | | | | | | |
| | d | All other revenue | | | | | | |
| ł | е | Total. Add lines 11a-11d | | > | | | | |
| | 12 | Total revenue See instructions. | | <u> </u> | 348,288. | 0. | 0 | . 314,453. |

| Sect | ion 501(c)(3) and 501(c)(4) organizations must com | plete all columns. All oti | her organizations must c | omplete column (A) | |
|-----------------|--|----------------------------|------------------------------|-------------------------------------|---------------------------------------|
| | Check if Schedule O contains a respor | | | | |
| | not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
| 1 | Grants and other assistance to domestic organizations | | • | | |
| | and domestic governments. See Part IV, line 21 | | | | |
| 2 | Grants and other assistance to domestic | | | <u> </u> | |
| | individuals See Part IV, line 22 | | | | |
| 3 | Grants and other assistance to foreign | | | | |
| | organizations, foreign governments, and foreign | | , | | |
| | individuals. See Part IV, lines 15 and 16 | | | i | |
| 4 | Benefits paid to or for members | | | | |
| 5 | Compensation of current officers, directors, | | | | |
| | trustees, and key employees | | | | |
| 6 | Compensation not included above, to disqualified | | | | |
| | persons (as defined under section 4958(f)(1)) and | | | | |
| | persons described in section 4958(c)(3)(B) | | | | |
| 7 | Other salaries and wages | | | | |
| 8 | Pension plan accruals and contributions (include | | | | |
| | section 401(k) and 403(b) employer contributions) | | | | |
| 9 | Other employee benefits | | | | |
| 10 | Payroll taxes | | | | |
| 11 | Fees for services (non-employees) | | | | |
| а | Management | | | | |
| b | Legal | | | | |
| С | Accounting | | | | |
| d | Lobbying | | | | |
| е | Professional fundraising services. See Part IV, line 17 | | | | |
| f | Investment management fees | | | | |
| g | Other (If line 11g amount exceeds 10% of line 25, | | | | |
| | column (A) amount, list line 11g expenses on Sch O.) | | | | |
| 12 | Advertising and promotion | | | | |
| 13 | Office expenses | 1,440. | | 1,440. | |
| 14 | Information technology | | | | |
| 15 | Royalties | | | | · · · · · · · · · · · · · · · · · · · |
| 16 | Occupancy | | | | |
| 17 | Travel | | | | <u> </u> |
| 18 | Payments of travel or entertainment expenses | | | | |
| | for any federal, state, or local public officials | | | | |
| 19 | Conferences, conventions, and meetings | | | | |
| 20 | Interest | · · · · | | | |
| 21 | Payments to affiliates | | | | |
| 22 | Depreciation, depletion, and amortization | | · | | |
| 23 | Other expenses. Itemize expenses not covered | | | | |
| 24 | above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule ().) | | | | |
| а | MEDICAL RESEARCH GRANTS | 345,000. | 345,000. | | |
| b | FUND A CAUSE GRANTS | 22,500. | 22,500. | | |
| C | FILING FEES | 100. | 22,300 | 100. | |
| d | | | | | |
| | All other expenses | | | | |
| 25 | Total functional expenses. Add lines 1 through 24e | 369,040. | 367,500. | 1,540. | 0. |
| <u>25</u> 26 | Joint costs Complete this line only if the organization | | | | |
| | reported in column (B) joint costs from a combined | | | | |
| | educational campaign and fundraising solicitation | | | | |
| | Check here if following SOP 98-2 (ASC 958-720) | | _ | | _ |

| | | Check if Schedule O contains a response or note to any line in this Part X | | | |
|-----------------------------|-----|---|--------------------------|-----|--------------------|
| | | | (A) Beginning of year | | (B) End of year |
| | 1 | Cash · non-interest-bearing | 84,856. | 1 | 77,970. |
| | 2 | Savings and temporary cash investments | | 2 | |
| | 3 | Pledges and grants receivable, net | | 3 | |
| | 4 | Accounts receivable, net . | | 4 | |
| | 5 | Loans and other receivables from current and former officers, directors, | 1 | 1 1 | |
| | | trustees, key employees, and highest compensated employees. Complete | | 1 1 | |
| | 1 | Part II of Schedule L | | 5 | |
| | 6 | Loans and other receivables from other disqualified persons (as defined under | er | l f | |
| | ļ | section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contribution | ng | ļ ļ | |
| | | employers and sponsoring organizations of section 501(c)(9) voluntary | | li | |
| ţ | ļ | employees' beneficiary organizations (see instr) Complete Part II of Sch L | | 6 | |
| Assets | 7 | Notes and loans receivable, net . | <u> </u> | 7 | |
| ⋖ | 8 | Inventories for sale or use | | 8 | |
| | 9 | Prepaid expenses and deferred charges | <u> </u> | 9 | |
| | 10a | Land, buildings, and equipment cost or other | | | |
| | | basis Complete Part VI of Schedule D 10a | | li | |
| | ь | Less accumulated depreciation 10b | | 10c | |
| | 11 | Investments - publicly traded securities | | 11 | |
| | 12 | Investments - other securities See Part IV, line 11 | | 12 | |
| | 13 | Investments - program-related See Part IV, line 11 | | 13 | |
| | 14 | Intangible assets | | 14 | |
| 1 | 15 | Other assets See Part IV, line 11 | 13,492. | 15 | 13,406. |
| | 16 | Total assets. Add lines 1 through 15 (must equal line 34) | 98,348. | 16 | 91,376. |
| | 17 | Accounts payable and accrued expenses . | | 17 | |
| i | 18 | Grants payable | <u> </u> | 18 | |
| | 19 | Deferred revenue | | 19 | |
| | 20 | Tax-exempt bond liabilities | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | ļ | 21 | |
| ies | 22 | Loans and other payables to current and former officers, directors, trustees, | | 1 | |
| jį | | key employees, highest compensated employees, and disqualified persons. | | | |
| Liabilities | | Complete Part II of Schedule L | | 22 | |
| - | 23 | Secured mortgages and notes payable to unrelated third parties | ļ | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 24 | |
| | 25 | Other liabilities (including federal income tax, payables to related third | | [[| |
| | | parties, and other liabilities not included on lines 17-24) Complete Part X of | 25,050. | _ | 20 020 |
| | | Schedule D | 25,050. | | 38,830. 38,830. |
| _ | 26 | Total liabilities. Add lines 17 through 25 | | 26 | 30,030. |
| . | | Organizations that follow SFAS 117 (ASC 958), check here and | 1 | | |
| ces | | complete lines 27 through 29, and lines 33 and 34. | | | |
| lan | 27 | Unrestricted net assets | <u> </u> | 27 | |
| Ва | 28 | Temporarily restricted net assets | \ | 28 | |
| pur | 29 | Permanently restricted net assets | ┐├─── | 29 | |
| ٠ ۲ | | Organizations that do not follow SFAS 117 (ASC 958), check here | ' | 1 1 | |
| s o | | and complete lines 30 through 34. | 0. | | 0 |
| se | 30 | Capital stock or trust principal, or current funds | 0. | 30 | <u> </u> |
| YS | 31 | Paid-in or capital surplus, or land, building, or equipment fund | 73,298. | 31 | 52,546. |
| Net Assets or Fund Balances | 32 | Retained earnings, endowment, accumulated income, or other funds | 73,298. | 32 | 52,546. |
| | 33 | Total lab lites and and access (final balances | 98,348. | 33 | 91,376. |
| | 34_ | Total liabilities and net assets/fund balances | 30,340. | 34 | 91,370. |

| | 1990 (2016) HUMOR TO FIGHT THE TUMOR FOUNDATION INC. | 46-3 | 143612 | Pag | _{re} 12 |
|----|---|---------------|--------|-------|-------------------|
| Pa | rt XI Reconciliation of Net Assets | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XI | | | | <u> </u> |
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 348 | 3,2 | 88. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 369 | 9,0 | $\overline{40}$. |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | -20 | 7.7 | 52. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 7: | 3,2 | 98. |
| 5 | Net unrealized gains (losses) on investments | 5 | | | |
| 6 | Donated services and use of facilities | 6 | | | |
| 7 | Investment expenses | 7 | | | |
| 8 | Prior period adjustments | 8 | | | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | | | 0. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, | | | | |
| | column (B)) | | | | 46. |
| Pa | rt XII Financial Statements and Reporting | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XII | | | | ᆜ |
| 1 | Accounting method used to prepare the Form 990 X Cash Accrual Other | | _ | Yes | No |
| | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule | 0 | } } | ŀ | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | | 2a | | <u>X</u> |
| | If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewe | d on a | | i | |
| | separate basis, consolidated basis, or both | | | l | |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | 1 | 37 |
| b | Were the organization's financial statements audited by an independent accountant? | | 2b | | _ <u>x</u> _ |
| | If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separa | te basis, | | I | |
| | consolidated basis, or both | | | - { | |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | - 1 | |
| С | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the | ie audit, | | l | |
| | review, or compilation of its financial statements and selection of an independent accountant? | - 1-1-0 | 2c | | |
| _ | If the organization changed either its oversight process or selection process during the tax year, explain in Sch | | | - 1 | |
| sa | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si | ngie Audit | | - [| х |
| h- | Act and OMB Circular A-133? If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits? | عناصين المسين | 3a | | |
| D | or audits, explain why in Schedule O and describe any steps taken to undergo such audits | meu audit | 3ь | ļ | |
| | or addits, explain why in ochedule of and describe any steps taken to undergo such addits | | Form | 990 / | 2016) |

632012 11-11-16

SCHEDULE A

Department of the Treasury

Internal Revenue Service

(Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-004

2010

Open to Public Inspection

Name of the organization Employer identification number 46-3143612 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. Reason for Public Charity Status (All organizations must complete this part) See instructions The organization is not a private foundation because it is (For lines 1 through 12, check only one box) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 1 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ)) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university 10 X An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) You must complete Part IV, Sections A, D, and E. ☐ Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s). that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type II, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization f Enter the number of supported organizations g Provide the following information about the supported organization(s) (iv) Is the organization listed (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other in your governing documen (described on lines 1-10 organization support (see instructions) support (see instructions) Yes Nο above (see instructions))

| | edule A (Form 990 or 990 EZ) 2016 | IUMOR TO F | IGHT THE | TUMOR FOU | JNDATION I | NC.46-314 | 3612 Page 2 |
|------------|---|------------------------|---------------------|-----------------------|----------------------|----------------------|----------------|
| Pa | Irt II Support Schedule for | | | | | | |
| | (Complete only if you checke | | | - | on failed to qualify | under Part III If th | e organization |
| _ | fails to qualify under the test | s listed below, plea | ise complete Part | III) | | | |
| Sec | ction A. Public Support | | | | | | / |
| Cale | ndar year (or fiscal year beginning in) 🕨 | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 1 | Gifts, grants, contributions, and | | 1 | | | | |
| | membership fees received (Do not | | | | | | |
| | include any "unusual grants ") | | | | | | |
| 2 | Tax revenues levied for the organ- | | | | | | |
| | ization's benefit and either paid to | | | | | 1 | |
| | or expended on its behalf | | | | 1 | | |
| 3 | The value of services or facilities | | | | | | |
| | furnished by a governmental unit to | | | | <i>f</i> * | | |
| | the organization without charge | | | | 1 | İ | |
| 4 | Total. Add lines 1 through 3 | | | | 1 | | |
| 5 | The portion of total contributions | | | | 1 | | |
| | by each person (other than a | | | | | | |
| | governmental unit or publicly | | | | | | |
| | supported organization) included | | | | | | |
| | on line 1 that exceeds 2% of the | | | | | | |
| | amount shown on line 11, | | | | 1 | | |
| | column (f) | | gr. | y | İ | | 1 |
| 6 | Public support. Subtract line 5 from line 4 | | | | | | |
| Sec | ction B. Total Support | · | / | | | | |
| Cale | ndar year (or fiscal year beginning in) 🕨 | (a) 2012 | (b),2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 7 | Amounts from line 4 | | 1 | | | | |
| 8 | Gross income from interest, | | 1 | | | | |
| | dividends, payments received on | ار ا | 1 | : | | ľ | |
| | securities loans, rents, royalties | 1 1 | | | • | | |
| | and income from similar sources | | | | | | |
| 9 | Net income from unrelated business | 1 | | | | | |
| | activities, whether or not the | | | | | | |
| | business is regularly carried on | / | | | | | |
| 10 | Other income Do not include gain | | | | | | |
| | or loss from the sale of capital | ĺ | | | | | 1 |
| | assets (Explain in Part VI) | | | | | | <u></u> |
| 11 | Total support. Add lines 7 through 10 | | | | | | |
| 12 | Gross receipts from related activities, | , etc (see instruction | ons) . | | | 12 | |
| 13 | First five years. If the Form 990 is fo | r the organization's | first, second, thir | d, fourth, or fifth t | ax year as a sectio | | |
| | organization, check this box and stop | | | | | | ▶□_ |
| Sec | tion C. Computation of Publ | ic Support Pe | rcentage | _ | | | |
| 14 | Public support percentage for 2016 (| line 6, column (f) di | vided by line 11, c | olumn (f)) | | 14 | % |
| 15 | Public support percentage from 2015 | 5 Schedule A, Part | II, line 14 | | | 15 | .00 % |
| 16a | 33 1/3% support test - 2016. If the | organization did no | t check the box o | n line 13, and line | 14 is 33 1/3% or r | nore, check this b | ox and |
| | stop here. The organization qualifies | as a publicly supp | orted organization | 1 | • | | ightharpoons |
| b | 33 1/3% support test - 2015. If the | organization did no | t check a box on l | ine 13 or 16a, and | d line 15 is 33 1/3% | 6 or more, check t | his box |
| | and stop here. The organization qual | lifies as a publicly s | supported organiza | ation | | | |
| 17a | 10% /facts-and-circumstances tes | t - 2016. If the org | anization did not d | heck a box on lin | e 13, 16a, or 16b, | and line 14 is 10% | or more, |
| | and if the organization meets the "fac | ts-and-circumstan | ces" test, check th | nis box and stop I | here. Explain in Pa | rt VI how the orga | nization |
| | meets the "facts-and-circumstances" | test The organiza | tion qualifies as a | publicly supporte | d organization | | ightharpoons |
| b | /10% -facts-and-circumstances tes | t - 2015. If the org | anization did not c | heck a box on lin | e 13, 16a, 16b, or | 17a, and line 15 is | 10% or |
| | more, and if the organization meets the | | | | | | |
| / | organization meets the "facts-and-circ | | | | | | ▶□ |
| <u> 18</u> | Private foundation. If the organization | | | | | | ns 🕨 🗌 |
| | | | | · | | edule A (Form 990 | |

Schedule A (Form 990 or 990-EZ) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 Page 3 Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II If the organization fails to qualify under the tests listed below, please complete Part II)

| Se | ction A. Public Support | | | | | | |
|---------|--|----------------------|-----------------------|-----------------------|----------------------|--|--------------|
| Cale | ndar year (or fiscal year beginning in) 🕨 | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 1 | Gifts, grants, contributions, and | | | | | | |
| | membership fees received (Do not | | | | Ì | | |
| | include any "unusual grants ") | | | 1 | | | 1 |
| 2 | Gross receipts from admissions, | | _ | | | | |
| | merchandise sold or services per- | | | | } | | |
| | formed, or facilities furnished in | | | | | | |
| | any activity that is related to the organization's tax-exempt purpose |] | | İ | | | |
| 2 | Gross receipts from activities that | | | | | | |
| 3 | are not an unrelated trade or bus- | | | | | | |
| | iness under section 513 | | | | | | |
| | | | | | | | |
| 4 | Tax revenues levied for the organ- | | | | | ļ | |
| | ization's benefit and either paid to | | | | | 1 | |
| | or expended on its behalf | | | | | | |
| 5 | The value of services or facilities | | | | | | |
| | furnished by a governmental unit to | | } | i I | 1 | | |
| | the organization without charge | <u> </u> | | | | | |
| 6 | Total. Add lines 1 through 5 | | | <u> </u> | | <u> </u> | |
| 7a | Amounts included on lines 1, 2, and | | | | | | |
| | 3 received from disqualified persons | | | | | | |
| b | Amounts included on lines 2 and 3 received | | | | | | |
| | from other than disqualified persons that exceed the greater of \$5,000 or 1% of the | | ļ | | } | | |
| | amount on line 13 for the year | | l | | į | | |
| c | Add lines 7a and 7b | | | | | | |
| 8 | Public support. (Subtract line 7c from line 6) | | | | | | 0. |
| | tion B. Total Support | | , | | | | |
| Cale | ndar year (or fiscal year beginning in) | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 9 | Amounts from line 6 | | | | | | |
| 10a | Gross income from interest, | | | | | | |
| | dividends, payments received on | | | | | | |
| | securities loans, rents, royalties and income from similar sources | | ļ | | | | |
| h | Unrelated business taxable income | | | | | | |
| _ | (less section 511 taxes) from businesses | | | | | | |
| | acquired after June 30, 1975 | ļ | | | | | |
| _ | Add lines 10a and 10b | | | | | | |
| _ | Net income from unrelated business | | | | | | |
| • | activities not included in line 10b, | | | , | ļ | | į |
| | whether or not the business is | | | | į | | |
| 12 | regularly carried on Other income Do not include gain | <u> </u> | | | | | |
| '- | or loss from the sale of capital | | | | | | |
| | assets (Explain in Part VI) | | | | <u> </u> | | 0. |
| | Total support. (Add lines 9, 10c, 11, and 12) | <u></u> | | | L | | |
| 14 | First five years. If the Form 990 is for | r the organization's | s first, second, thii | d, fourth, or fifth t | ax year as a section | on 501(c)(3) organiz | zation, |
| <u></u> | check this box and stop here | in Cumpart Da | | | | | |
| | tion C. Computation of Publ | | - | | | 1 | .00 % |
| | Public support percentage for 2016 (| | · - | column (f)) | | 15 | |
| | Public support percentage from 2015 | | | | | 16 | % |
| | tion D. Computation of Inve | | | 40 | | 1.51 | 00 |
| | Investment income percentage for 20 | | • | ne 13, column (f)) | | 17 | .00 % |
| | Investment income percentage from | | - | | | 18 | <u>%</u> |
| 19a | 33 1/3% support tests - 2016. If the | | | | | | 17 is not |
| | more than 33 1/3%, check this box a | | | , , | | | > |
| b | 33 1/3% support tests - 2015. If the | - | | | • | · | _ |
| | line 18 is not more than 33 1/3%, che | | | | | | ▶Щ |
| 20 | Private foundation. If the organization | n did not check a | box on line 14, 19 | a, or 19b, check t | his box and see in | structions | <u> </u> |
| | | | | | ~ . | | |

Schedule A (Form 990 or 990 EZ) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 Page 4

Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I if you checked 12a of Part I, complete Sections A and B if you checked 12b of Part I, complete Sections A and C if you checked 12c of Part I, complete Sections A, D, and E if you checked 12d of Part I, complete Sections A and D, and complete Part V)

| Section A. | All S | upporting | Organizations |
|------------|-------|-----------|---------------|
|------------|-------|-----------|---------------|

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)
- b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7?

 If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below
 - b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)

| <u> </u> | Yes | No |
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| | edule A (Form 990 or 990-EZ) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-31 | 4361 | 2 Pa | ge 5 | | | | |
|-----|---|-----------|--|--------------|--|--|--|--|
| Ра | rt IV Supporting Organizations (continued) | | | | | | | |
| | | r | Yes | No | | | | |
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | | | | | | |
| a | a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | | | | | | | |
| h | below, the governing body of a supported organization? A family member of a person described in (a) above? | 11b | | | | | | |
| | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. | 11c | | | | | | |
| | tion B. Type I Supporting Organizations | | | | | | | |
| | | | Yes | No | | | | |
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to | | | | | | | |
| | regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the | | | | | | | |
| | tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or | ļ | | | | | | |
| | controlled the organization's activities. If the organization had more than one supported organization, | | | | | | | |
| | describe how the powers to appoint and/or remove directors or trustees were allocated among the supported | | | | | | | |
| | organizations and what conditions or restrictions, if any, applied to such powers during the tax year | 1 | | | | | | |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported | | | | | | | |
| | organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in | ļ | | | | | | |
| | Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, | 1 | \ | | | | | |
| | supervised, or controlled the supporting organization | 2 | | | | | | |
| Sec | tion C. Type II Supporting Organizations | | 1 | T | | | | |
| | | | Yes | No | | | | |
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors | | | | | | | |
| | or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control | 1 | | | | | | |
| | or management of the supporting organization was vested in the same persons that controlled or managed | ١. | | | | | | |
| Sec | the supported organization(s) tion D. All Type III Supporting Organizations | 1 | L | L | | | | |
| 000 | tion B. Air Type in Supporting Organizations | | Yes | No | | | | |
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the | | 1.00 | 110 | | | | |
| | organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax | | | | | | | |
| | year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the | | | 1 | | | | |
| | organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | | | | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported | | | | | | | |
| | organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how | | | | | | | |
| | the organization maintained a close and continuous working relationship with the supported organization(s). | 2 | | | | | | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a | | | | | | | |
| | significant voice in the organization's investment policies and in directing the use of the organization's | | | | | | | |
| | income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's | 1 | | | | | | |
| | supported organizations played in this regard | 3 | <u>. </u> | | | | | |
| | tion E. Type III Functionally Integrated Supporting Organizations | | | | | | | |
| 1 | Check the box next to the method that the organization used to satisfy the Integral Part Test during the yea(see instructions). | | | | | | | |
| a | The organization satisfied the Activities Test Complete line 2 below. | | | | | | | |
| b | The organization is the parent of each of its supported organizations. Complete line 3 below | | .1 | | | | | |
| c | The organization supported a governmental entity Describe in Part VI how you supported a government entity (see instance). Activities Test Answer (a) and (b) below. | ructions | Yes | No | | | | |
| 2 | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of | | res | No | | | | |
| а | the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify | | | | | | | |
| | those supported organizations and explain how these activities directly furthered their exempt purposes, | | l | | | | | |
| | how the organization was responsive to those supported organizations, and how the organization determined | | | | | | | |
| | that these activities constituted substantially all of its activities | 2a | | | | | | |
| b | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more | <u></u> _ | 1 | | | | | |
| _ | of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the | | | } | | | | |
| | reasons for the organization's position that its supported organization(s) would have engaged in these | | | | | | | |
| | activities but for the organization's involvement | 2b | | | | | | |
| 3 | Parent of Supported Organizations Answer (a) and (b) below. | | · | <u> </u> | | | | |
| а | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or | 1 | | | | | | |
| | trustees of each of the supported organizations? Provide details in Part VI. | 3a_ | | | | | | |
| þ | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each |] | | 1 | | | | |
| | of its supported organizations? If "Yes," describe in Part VI, the role played by the organization in this regard | 3b | I | I | | | | |

Schedule A (Form 990 or 990-EZ) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 Page 6 Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations Lheck here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 (explain in Part VI) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E (B) Current Year Section A - Adjusted Net Income (A) Prior Year (optional) Net short-term capital gain 1 Recoveries of prior-year distributions 2 Other gross income (see instructions) 3 Add lines 1 through 3 4 Depreciation and depletion 5 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) 6 Other expenses (see instructions) 7 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4) 8 (B) Current Year Section B - Minimum Asset Amount (A) Prior Year (optional) Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) a Average monthly value of securities 1a b Average monthly cash balances 1b c Fair market value of other non-exempt-use assets 1c d Total (add lines 1a, 1b, and 1c) 1đ e Discount claimed for blockage or other factors (explain in detail in Part VI) Acquisition indebtedness applicable to non-exempt-use assets 2 Subtract line 2 from line 1d 3 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions) 4 Net value of non-exempt-use assets (subtract line 4 from line 3) 5 6 Multiply line 5 by 035 6 Recoveries of prior-year distributions 7 Minimum Asset Amount (add line 7 to line 6) 8 Section C - Distributable Amount Current Year Adjusted net income for prior year (from Section A, line 8, Column A) 2 Enter 85% of line 1 2 Minimum asset amount for prior year (from Section B, line 8, Column A) 3 Enter greater of line 2 or line 3 4

Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see

Schedule A (Form 990 or 990-EZ) 2016

Income tax imposed in prior year

instructions)

Distributable Amount. Subtract line 5 from line 4, unless subject to

emergency temporary reduction (see instructions)

5

Schedule A (Form 990 or 990-EZ) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 Page 7 Part V | Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) Section D - Distributions **Current Year** Amounts paid to supported organizations to accomplish exempt purposes Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity Administrative expenses paid to accomplish exempt purposes of supported organizations 3 Amounts paid to acquire exempt-use assets 5 Qualified set-aside amounts (prior IRS approval required) Other distributions (describe in Part VI) See instructions Total annual distributions. Add lines 1 through 6 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions Distributable amount for 2016 from Section C, line 6 Line 8 amount divided by Line 9 amount (i) (ii) (iti) Underdistributions Distributable **Excess Distributions** Pre-2016 Amount for 2016 Section E - Distribution Allocations (see instructions) Distributable amount for 2016 from Section C, line 6 Underdistributions, if any, for years prior to 2016 (reasonable cause required- explain in Part VI) See instructions 3 Excess distributions carryover, if any, to 2016 а b c From 2013 d From 2014 e From 2015 f Total of lines 3a through e g Applied to underdistributions of prior years h Applied to 2016 distributable amount i Carryover from 2011 not applied (see instructions) Remainder Subtract lines 3g, 3h, and 3i from 3f Distributions for 2016 from Section D, a Applied to underdistributions of prior years b Applied to 2016 distributable amount c Remainder Subtract lines 4a and 4b from 4 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI See instructions 6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI See instructions Excess distributions carryover to 2017. Add lines 3j and 4c 8 Breakdown of line 7 а b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016

Schedule A (Form 990 or 990-EZ) 2016

| Schedule A | | | | | | INC.46-3143612 Page 8 |
|-------------|---|---|---|--|--------------------------|---|
| Part VI | Part IV, Section A, line 1; Part IV, Sec | , lines 1, 2, 3b, 3 xtion D, lines 2 ai 6, and 8, and P | .c, 4b, 4c, 5a, 6, 9a, nd 3, Part IV, Sectio | , 9b, 9c, 11a, 11b, and on E, lines 1c, 2a, 2b, 3 | 11c, Part IV, Section B. | 17a or 17b, Part III, line 12, lines 1 and 2, Part IV, Section C, , Part V, Section B, line 1e, Part V, additional information |
| | (cco indiductions) | | | | | |
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SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047 16 Open to Public Inspection

Name of the organization Employer identification number HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612

| Pa | rt I Organizations Maintaining Donor Advise | ed Funds or Other Similar Fund | s or A | counts.Complete if the |
|-----|---|--|----------------|---------------------------------------|
| | organization answered "Yes" on Form 990, Part IV, Iir | ne 6 | | |
| | | (a) Donor advised funds | (b |) Funds and other accounts |
| 1 | Total number at end of year | | | |
| 2 | Aggregate value of contributions to (during year) | | | |
| 3 | Aggregate value of grants from (during year) | | | |
| 4 | Aggregate value at end of year | | | |
| 5 | Did the organization inform all donors and donor advisors in | writing that the assets held in donor advis | sed func | ls |
| | are the organization's property, subject to the organization's | exclusive legal control? | | Yes No |
| 6 | Did the organization inform all grantees, donors, and donor a | advisors in writing that grant funds can be | used o | nly |
| | for charitable purposes and not for the benefit of the donor of | or donor advisor, or for any other purpose | conferr | ing |
| | impermissible private benefit? | | | Yes No_ |
| Pa | t II Conservation Easements. Complete if the or | ganization answered "Yes" on Form 990, | Part IV, | line 7 |
| 1 | Purpose(s) of conservation easements held by the organizat | ion (check all that apply) | | |
| | Preservation of land for public use (e.g., recreation or | education) 🖳 Preservation of a hist | torically | mportant land area |
| | Protection of natural habitat | Preservation of a cert | tified his | toric structure |
| | Preservation of open space | | | |
| 2 | Complete lines 2a through 2d if the organization held a quali | fied conservation contribution in the form | of a cor | nservation easement on the last |
| | day of the tax year | | 1 | Held at the End of the Tax Year |
| а | Total number of conservation easements | | | 2a |
| b | Total acreage restricted by conservation easements | | Ļ | 2b |
| С | Number of conservation easements on a certified historic str | • • | Ļ | 2c |
| d | Number of conservation easements included in (c) acquired | after 8/17/06, and not on a historic struct | ture | |
| | listed in the National Register | | . L | 2d |
| 3 | Number of conservation easements modified, transferred, re | leased, extinguished, or terminated by the | e organı | zation during the tax |
| | year ▶ | _ | | |
| 4 | Number of states where property subject to conservation ea | | | |
| 5 | Does the organization have a written policy regarding the pe | <u> </u> | | <u> </u> |
| _ | violations, and enforcement of the conservation easements i | | | └ Yes └ No |
| 6 | Staff and volunteer hours devoted to monitoring, inspecting, | nandling of violations, and enforcing con | iservatio | n easements during the year |
| 7 | Amount of expenses incurred in monitoring, inspecting, hand | thes of violations, and enforcing concerns | ntion one | coments during the year |
| ' | s s | and or violations, and emorcing conserva | ation eas | sements during the year |
| 8 | Does each conservation easement reported on line 2(d) above | ve satisfy the requirements of section 170 | 1/h)/4\/R\ | W |
| Ŭ | and section 170(h)(4)(B)(u)? | re satisfy the requirements of section fro |)(i i)(ii)(ii) | Yes No |
| 9 | In Part XIII, describe how the organization reports conservati | on easements in its revenue and expense | e statem | |
| • | include, if applicable, the text of the footnote to the organiza | • | | |
| | conservation easements | | o o.g. | and and a cooler ming for |
| Pai | t III Organizations Maintaining Collections o | f Art, Historical Treasures, or O | ther S | Similar Assets. |
| | Complete if the organization answered "Yes" on Form | 990, Part IV, line 8 | | |
| 1a | If the organization elected, as permitted under SFAS 116 (AS | SC 958), not to report in its revenue stater | ment an | d balance sheet works of art, |
| | historical treasures, or other similar assets held for public ext | | | |
| | the text of the footnote to its financial statements that descri | | | |
| b | If the organization elected, as permitted under SFAS 116 (AS | SC 958), to report in its revenue statemen | it and ba | llance sheet works of art, historical |
| | treasures, or other similar assets held for public exhibition, e- | | | |
| | relating to these items. | · | | - |
| | (i) Revenue included on Form 990, Part VIII, line 1 | | _ | > \$ |
| | (ii) Assets included in Form 990, Part X | | • | ▶ \$ |
| 2 | If the organization received or held works of art, historical tre | asures, or other similar assets for financia | al gain, p | |
| | the following amounts required to be reported under SFAS 1 | 16 (ASC 958) relating to these items | · | |
| а | Revenue included on Form 990, Part VIII, line 1 | | | \$ |
| b | Assets included in Form 990, Part X | | | > \$ |
| | | , | | 0 1 1 1 0 /5 000 0010 |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

| | | O FIGHT T | | | | | | | | Page 2 |
|----------|---|-----------------------|--------------|--------------------|-----------------|----------------|----------|-------------|-------------|-----------|
| Par | rt III Organizations Maintaining C | collections of A | Art, His | torical T | reasures, | or Other | Simil | ar Asse | ts(continu | ied) |
| 3 | Using the organization's acquisition, accessi | on, and other reco | rds, chec | k any of th | e following tha | at are a sig | nıficant | use of its | collection | rtems |
| | (check all that apply). | | | | | | | | | |
| а | Public exhibition | | a L | Loan or ex | change progr | ams | | | | |
| b | Scholarly research | | e 🔲 | Other | | | | | | |
| С | Preservation for future generations | | | | - | | | | | |
| 4 | Provide a description of the organization's co | ollections and expl | ain how t | hey further | the organizati | ion's exem | pt purp | ose in Pai | t XIII | |
| 5 | During the year, did the organization solicit of | r receive donation | s of art, h | istorical tre | asures, or oth | er sımılar a | assets | | _ | |
| | to be sold to raise funds rather than to be m | | | | | | | | Yes | <u> </u> |
| Pai | t IV Escrow and Custodial Arran | gements. Comp | olete if the | e organizati | on answered | "Yes" on F | orm 99 | 0, Part IV, | line 9, or | |
| | reported an amount on Form 990, Pa | rt X, line 21 | | | | | | | | |
| 1a | Is the organization an agent, trustee, custod | ian or other interm | ediary for | contributio | ons or other as | ssets not in | ncluded | | _ | |
| | on Form 990, Part X? | | | | | | | | Yes | ☐ No |
| b | If "Yes," explain the arrangement in Part XIII | and complete the | following | table [.] | | | | | | |
| | | | _ | | | | | | Amount | |
| С | Beginning balance | | | | | | 1c | i | | |
| đ | Additions during the year | | | | | | 1d | | | |
| е | Distributions during the year | | - | • | | | 1e | | | |
| f | Ending balance | | | | | | 1f | | | |
| 2a | Did the organization include an amount on F | orm 990, Part X, lin | e 21, for | escrow or | custodial acco | ount liability | ٧2 | | Yes | No |
| | If "Yes," explain the arrangement in Part XIII | | • | | | | | | | |
| Par | | | | | | |) | | · · | |
| | | (a) Current year | | Prior year | (c) Two yea | | | years back | (e) Four | ears back |
| 1a | Beginning of year balance | | T | | | | | | | |
| b | Contributions | | | | | | | | | |
| С | Net investment earnings, gains, and losses | | 1 | | | | | | | |
| d | Grants or scholarships | | | | 1 | | | | | |
| е | Other expenditures for facilities | | | | <u> </u> | | | | | |
| | and programs | | 1 | | į | Ţ | | | | |
| f | Administrative expenses | | <u> </u> | | | | | | | |
| | End of year balance | | 1 | | | | | | † — – | |
| 2 | Provide the estimated percentage of the curr | rent vear end balar | nce (line 1 | a. column | (a)) held as | | | | | |
| | Board designated or quasi-endowment | | % | 3, 00.0 | (-), | | | | | |
| | Permanent endowment ▶ | % | | | | | | | | |
| | Temporarily restricted endowment ▶ | <u>~</u> % | | | | | | | | |
| | The percentages on lines 2a, 2b, and 2c sho | | | | | | | | | |
| За | Are there endowment funds not in the posse | • | zation th | at are held | and administe | ered for the | organi | zation | | |
| | by | | | | | | 3 | | Ľ | res No |
| | (i) unrelated organizations | | | | | | | | 3a(i) | |
| | (ii) related organizations | | | | | | | | 3a(ii) | |
| b | If "Yes" on line 3a(ii), are the related organiza | itions listed as regi | ured on S | Schedule B | ? | | | | 3b | - |
| 4 | Describe in Part XIII the intended uses of the | • | | | | | | | [| |
| | t VI Land, Buildings, and Equipm | | | | | | | | | |
| <u> </u> | Complete if the organization answere | | 90. Part I | V. line 11a | See Form 990 | D. Part X. III | ne 10 | | | |
| | Description of property | (a) Cost or | | | st or other | | umulate | ed T | (d) Book | value |
| | possipacit of proporty | basis (inves | | | s (other) | | eciation | ľ | (a) Doon | 14100 |
| 12 | Land | 1 | | | ····· | | | | | |
| | Buildings | | | | | | | | | |
| | Leasehold improvements | | | | | | | | | |
| | Equipment | 1 | | | | | | | | |
| | Other | | | | | | | | | |
| _ | Add lines 1a through 1e (Column (d) must e | gual Form 990 Pa | rt X colu | mn (R) line | 10c) | | | | | 0. |

| | IGHT THE TU | MOR FOUNDATION | N INC. 4 | 6-3143612 Page 3 |
|---|--|----------------------------|--------------------|--|
| Part VII Investments - Other Securities. | | | | |
| Complete if the organization answered "Yes | s* on Form 990, Part IV | | | |
| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of va | luation Cost or e | nd-of-year market value |
| (1) Financial derivatives | | | ·——— | |
| (2) Closely-held equity interests | | | | |
| (3) Other | | | | |
| (A) | <u> </u> | | | |
| (B) | <u> </u> | | | |
| (C) | | | | . <u></u> _ |
| (D) | ļ <u></u> | | . <u></u> | |
| (E) | | | | |
| (F) | | | | |
| (G) | <u> </u> | | | |
| (H) | <u> </u> | | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) | <u>· </u> | | | |
| Part VIII Investments - Program Related. | | | | |
| Complete if the organization answered "Yes | | | | |
| (a) Description of investment | (b) Book value | (c) Method of va | lluation Cost or e | nd-of-year market value |
| (1) | | | | |
| (2) | <u> </u> | | | |
| (3) | <u> </u> | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| (7) | - <u>-</u> | | | |
| (8) | | | | |
| (9) | | | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) | <u>. L</u> | | | |
| Part IX Other Assets. | | | | |
| Complete if the organization answered "Yes | | , line 11d See Form 990, I | Part X, line 15 | |
| |) Description | | | (b) Book value |
| (1) PREPAID EVENT DEPOSIT, | | | | 13,406. |
| (2) | | | | |
| (3) | | | | <u> </u> |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| | | | | |
| (8) | | | | |
| (9) | | | | 13,406. |
| Total. (Column (b) must equal Form 990, Part X, col. (B) II. Part X Other Liabilities. | ne 15) | | | 13,400. |
| | | los des sodes Con France | OOO Deat V has | or. |
| Complete if the organization answered "Yes (a) Description of liability | on Form 990, Part IV | (b) Book value | 990, Part X, line | 25 |
| ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | | (b) Book value | | |
| (1) Federal income taxes (2) ADVANCE 2017 EVENT SPONSO | OP C | 30 030 | | |
| | OKS | 38,830. | | |
| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| 173 | | | | |
| (7) | | | | |
| (8) | | | | |
| (8) | | 20 020 | | |
| (8) | | 38,830. | | |

Schedule D (Form 990) 2016

| Schedule D (Form 990) 2016 HUMOR TO FIGHT THE TUMOR FOUNDATION | |
|--|--|
| Part XI Reconciliation of Revenue per Audited Financial Statements With R | evenue per Return. |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 12a | |
| 1 Total revenue, gains, and other support per audited financial statements | - 1 |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12. | |
| a Net unrealized gains (losses) on investments 2a | |
| b Donated services and use of facilities | |
| c Recoveries of prior year grants | |
| d Other (Describe in Part XIII) | |
| e Add lines 2a through 2d . | . <u>2e</u> |
| 3 Subtract line 2e from line 1 | 3 |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1 | |
| a Investment expenses not included on Form 990, Part VIII, line 7b | |
| b Other (Describe in Part XIII) | |
| c Add lines 4a and 4b | 4c |
| 5 Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) | 5 |
| Part XII Reconciliation of Expenses per Audited Financial Statements With E | expenses per neturn. |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. | |
| 1 Total expenses and losses per audited financial statements | 1 |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25 | |
| a Donated services and use of facilities 2a 2a | |
| b Prior year adjustments | |
| c Other losses 2c | |
| d Other (Describe in Part XIII) | |
| e Add lines 2a through 2d | 2e |
| 3 Subtract line 2e from line 1 | 3 |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1 | |
| a Investment expenses not included on Form 990, Part VIII, line 7b | |
| b Other (Describe in Part XIII) | |
| c Add lines 4a and 4b | . 4c |
| 5 Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) Part XIII Supplemental Information. | . 5 |
| Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and | d 2h Part V line 4 Part X line 2 Part XI |
| lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional informa | |
| intes 2d and 40, and 1 art Air, intes 2d and 40 Also complete this part to provide any additional informa- | |
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SCHEDULE O (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

OMB No 1545-0047

Employer identification number

Department of the Treasury Internal Revenue Service Name of the organization

Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

| HUMOR TO FIGHT THE TUMOR FOUNDATION INC. 46-3143612 |
|---|
| FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: |
| AND HOPE TO AFFECTED INDIVIDUALS AND FAMILIES. HOPE COMES IN THE FORM |
| OF NEW TREATMENTS TO FIGHT THIS DISEASE; A DISEASE THAT PRODUCES |
| DEVASTING EFFECTS TO A PERSONS' PHYSICAL AND COGNITIVE ABILITIES |
| |
| FORM 990, PART VI: |
| CIRCULATED DRAFT OF FINANCIAL STATEMENTS TO BOARD AND REVIEWED DRAFT OF |
| FORMS TO BE FILED |
| |
| ERIC GIDLOW - TREASURER AND LISA GIDLOW - DIRECTOR ARE HUSBAND AND |
| WIFE. KIRSTEN THURBER - VP AND MATT THRUBER - DIRECTOR ARE HUSBAND |
| AND WIFE |
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