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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 05-01-2016, and ending 04-30-2017

Name of foundation Pierre F and Enid Goodrich Foundation		A Employer identification number 35-6056960	
% MS SANDRA J SCHALLER			
Number and street (or P O box number if mail is not delivered to street address) 11301 North Meridian Street	Room/suite	B Telephone number (see instructions) (317) 842-0880	
City or town, state or province, country, and ZIP or foreign postal code Carmel, IN 46032		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 24,813,635	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0		
	2 Check ☐ if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	1,874	1,874	
	4 Dividends and interest from securities	522,216	522,216	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	1,296,565		
	b Gross sales price for all assets on line 6a			
		12,231,229		
	7 Capital gain net income (from Part IV, line 2)		1,296,565	
	8 Net short-term capital gain			
	9 Income modifications			
Operating and Administrative Expenses	10a Gross sales less returns and allowances			
	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)			
	12 Total. Add lines 1 through 11	1,820,655	1,820,655	
	13 Compensation of officers, directors, trustees, etc	41,531	52	41,479
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits	7,790	10	7,780
	16a Legal fees (attach schedule)	95	0	95
	b Accounting fees (attach schedule)	17,700	22	17,678
	c Other professional fees (attach schedule)	142,664	121,898	20,766
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	13,000		
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy	8,806	94	8,713
	21 Travel, conferences, and meetings	13,930	17	13,913
22 Printing and publications				
23 Other expenses (attach schedule)	7,406	9	7,397	
24 Total operating and administrative expenses. Add lines 13 through 23	252,922	122,102	0	117,821
25 Contributions, gifts, grants paid	1,025,000			1,025,000
26 Total expenses and disbursements. Add lines 24 and 25	1,277,922	122,102	0	1,142,821
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	542,733		
	b Net investment income (if negative, enter -0-)		1,698,553	
c Adjusted net income(if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	8,383	52,333	52,333
	2	Savings and temporary cash investments	1,202,128	1,057,861	1,050,263
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,500,720	1,781,110	1,785,396
	b	Investments—corporate stock (attach schedule)	15,820,316	15,601,888	18,315,745
	c	Investments—corporate bonds (attach schedule)	2,194,454	2,862,282	2,845,849
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	848,941	762,201	764,049
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,574,942	22,117,675	24,813,635	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	21,574,942	22,117,675	
30	Total net assets or fund balances (see instructions)	21,574,942	22,117,675		
31	Total liabilities and net assets/fund balances (see instructions) .	21,574,942	22,117,675		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,574,942
2	Enter amount from Part I, line 27a	2	542,733
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	22,117,675
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	22,117,675

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,231,229		10,934,664	1,296,565
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,296,565
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	1,296,565
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,165,485	23,230,382	0 050171
2014	1,211,891	23,838,218	0 050838
2013	968,949	21,911,546	0 044221
2012	961,022	19,655,949	0 048892
2011	876,095	18,638,841	0 047004
2 Total of line 1, column (d)			0 241126
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 048225
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			23,969,181
5 Multiply line 4 by line 3			1,155,914
6 Enter 1% of net investment income (1% of Part I, line 27b)			16,986
7 Add lines 5 and 6			1,172,900
8 Enter qualifying distributions from Part XII, line 4			1,142,821

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	33,971
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	33,971
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	33,971
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	34,067
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	34,067
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	116
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	20
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of MS SANDRA J SCHALLER Telephone no (317) 842-0880			

Located at **11301 NORTH MERIDIAN STREET CARMEL IN** ZIP+4 **46032**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Total number of other employees paid over \$50,000. ☐ Yes ☒ No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Organizations and other documents covered/ documents reviewed/ research papers produced, etc.	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	24,323,743
b	Average of monthly cash balances.	1b	10,451
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	24,334,194
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	24,334,194
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	365,013
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	23,969,181
6	Minimum investment return. Enter 5% of line 5.	6	1,198,459

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,198,459
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	33,971
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	33,971
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,164,488
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,164,488
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,164,488

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,142,821
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,142,821
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,142,821

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,164,488
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 2013, 2012				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				25,058
e From 2015.				29,042
f Total of lines 3a through e.	54,100			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,142,821</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				1,142,821
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	21,667			21,667
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	32,433			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	32,433			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				3,391
d Excess from 2015.				29,042
e Excess from 2016.				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV

1 Information Regarding Foundation Managers:

- | | | |
|----------|---|--|
| a | List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) | |
| | NA | |
| b | List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest | |
| | NA | |

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
- DR EMILIO J PACHECO
11301 NORTH MERIDIAN STREET
CARMEL, IN 46032
(317) 842-0880
-
- b** The form in which applications should be submitted and information and materials they should include
- THE PIERRE F AND ENID GOODRICH FOUNDATION WILL PROVIDE A FORM ENTITLED "GRANT APPLICATION" TO THOSE ORGANIZATIONS OR PERSONS REQUESTING CONSIDERATION FOR GRANTS APPLICANTS MUST INCLUDE THE APPLICATION FORM, A STATUS CONFIRMATION FORM, CERTIFICATION OF FOUNDATION OR PUBLIC CHARITY STATUS, AND A DESCRIPTION OF PURPOSES AND ACTIVITIES TO BE CONSIDERED FOR A GRANT
-
- c** Any submission deadlines
- PERSONS OR ORGANIZATIONS REQUESTING CONSIDERATION FOR GRANTS SHOULD SUBMIT GRANT APPLICATIONS BY MID
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
- THERE ARE NO RESTRICTIONS OR LIMITATIONS ON GRANTS HOWEVER, SUCCESSFUL APPLICANTS WILL BE COMPATIBLE WITH THE GENERAL CHARITABLE PURPOSES OF THE PIERRE F AND ENID GOODRICH FOUNDATION

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,025,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,874	
4 Dividends and interest from securities.			14	522,216	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,296,565	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				1,820,655	
13 Total. Add line 12, columns (b), (d), and (e).			13		1,820,655

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
------------	---

[illegible]

Part XVII

- | | | |
|---|----|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | No |
|---|----|----|

[illegible]

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

Print/Type preparer's name NICOLE B FISHBACK	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01279475
Firm's name ▶ BKD LLP				Firm's EIN ▶
Firm's address ▶ 201 N Illinois Street Indianapolis, IN 46204				Phone no (317) 383-4000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RUTH E CONNOLLY 	DIRECTOR 3 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				
RICHARD W DUESENBERG 	DIRECTOR 3 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				
HELEN W GARLOTTE 	DIRECTOR 3 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				
CHRISTOPHER G LONG 	DIRECTOR 3 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				
EMILIO J PACHECO 	DIRECTOR, CHAIRMAN & PRESIDENT 5 0	27,440	5,222	0
11301 North Meridian Street Carmel, IN 46032				
SANDRA J SCHALLER 	CORP SECRETARY & CONTROLLER 5 0	6,792	2,077	0
11301 North Meridian Street Carmel, IN 46032				
JO ANNE W SHEPLER 	ASSISTANT SECRETARY 3 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				
CHRIS L TALLEY 	DIRECTOR AND TREASURER 5 0	0	0	0
11301 North Meridian Street Carmel, IN 46032				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACTON INSTITUTE 98 E FULTON STREET GRAND RAPIDS, MI 49503	NONE	PC	GENERAL SUPPORT	30,000
AMERICAN ENTERPRISE INSTITUTE 1789 MASSACHUSETTS AVE NW WASHINGTON, DC 20036	NONE	PC	GENERAL SUPPORT	20,000
ASHLAND UNIVERSITY ASHBROOK CENTER 401 COLLEGE AVENUE ASHLAND, OH 44805	NONE	PC	GENERAL SUPPORT	20,000
ASSOCIATION OF PRIVATE ENTERPRISE EDUCATION 615 MCCALLIE AVENUE CHATTANOOGA, TN 37403	NONE	PC	GENERAL SUPPORT	25,000
ATLAS ECONOMIC RESEARCH FOUNDATION 1201 L STREET NW SUITE 200 WASHINGTON, DC 20005	NONE	PC	GENERAL SUPPORT	20,000
Total ► 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AUSTRIAN ECONOMICS CENTER JASOMIRGOTTSTRASSE 3/11 WIEN 1010 AU	NONE	PC	GENERAL SUPPORT - SEE FOOTNOTE	30,000
THE BASTIAT SOCIETY 3202 MAYBANK HIGHWAY JOHNS ISLAND, SC 29455	NONE	PC	GENERAL SUPPORT	25,000
BILL OF RIGHTS INSTITUTE 200 NORTH GLEBE ROAD SUITE 200 ARLINGTON, VA 22203	NONE	PC	GENERAL SUPPORT	30,000
BROWN UNIVERSITY POLITICAL THEORY PROJECT 8 FONES ALLEY PROVIDENCE, RI 02912	NONE	PC	GENERAL SUPPORT	25,000
CATO INSTITUTE 1000 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20001	NONE	PC	GENERAL SUPPORT	30,000
Total ► 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CATO INSTITUTE CENTER FOR MONETARY FINANCIAL ALT 1000 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20001	NONE	PC	GENERAL SUPPORT	25,000
THE CEDICE FOUNDATION CENTER FOR THE DISSEMINATION OF ECO 25 SAGAMORE ROAD 1G BRONXVILLE, NY 10708	NONE	PC	GENERAL SUPPORT	20,000
CLAREMONT INSTITUTE 1317 WEST FOOTHILL BLVD SUITE 120 UPLAND, CA 91786	NONE	PC	GENERAL SUPPORT	25,000
CONSTITUTING AMERICA PO BOX 1988 COLLEYVILLE, TX 76034	NONE	PC	GENERAL SUPPORT	20,000
FEDERALIST SOCIETY FOR LAW & PUBLIC POLICY STUDIES 1776 I STREET NW SUITE 300 WASHINGTON, DC 20006	NONE	PC	GENERAL SUPPORT	30,000
Total 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOUNDATION FOR INDIVIDUAL RIGHTS IN EDUCATION 510 WALNUT STREET SUITE 1250 PHILADELPHIA, PA 19106	NONE	PC	GENERAL SUPPORT	20,000
FOUNDATION FOR TEACHING ECONOMICS 260 RUSSELL BLVD SUITE B DAVIS, CA 95616	NONE	PC	GENERAL SUPPORT	20,000
FRASER INSTITUTE 1770 BURNARD STREET 4TH FLOOR VANCOUVER, BC V6J 3G7 CA	NONE	PC	GENERAL SUPPORT	25,000
THE FUND FOR AMERICAN STUDIES 1706 NEW HAMPSHIRE AVE NW WASHINGTON, DC 20009	NONE	PC	GENERAL SUPPORT	30,000
GEORGE MASON UNIVERSITY FOUNDATION CENTER FOR STUDY OF PUBLIC CHOICE 4400 UNIVERSITY DRIVE MSN 1D3 ARLINGTON, VA 22030	NONE	PC	GENERAL SUPPORT	20,000
Total 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GEORGE MASON UNIVERSITY FOUNDATION LAW ECONOMICS CENTER 3301 FAIRFAX DRIVE STE 440 ARLINGTON, VA 22201	NONE	PC	GENERAL SUPPORT	25,000
HILLSDALE COLLEGECHARTER SCHOOL INITIATIVE 33 EAST COLLEGE STREET HILLSDALE, MI 49242	NONE	PC	GENERAL SUPPORT	20,000
THE INDEPENDENT INSTITUTE 100 SWAN WAY SUITE 100 OAKLAND, CA 94621	NONE	PC	GENERAL SUPPORT	20,000
INDIANA BAR FOUNDATION 615 N ALABAMA SUITE 122 INDIANAPOLIS, IN 46204	NONE	PC	GENERAL SUPPORT	20,000
INSTITUTE FOR HUMANE STUDIES 3434 WASHINGTON BLVD MSN 1C5 ARLINGTON, VA 22201	NONE	PC	GENERAL SUPPORT	30,000
Total ▶ 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INSTITUTE OF ECONOMIC AFFAIRS 2 LORD NORTH STREET LONDON SW1P 3LB UK	NONE	PC	GENERAL SUPPORT - SEE FOOTNOTE	20,000
INTERCOLLEGIATE STUDIES INSTITUTE INC 3901 CENTERVILLE ROAD WILMINGTON, DE 19807	NONE	PC	GENERAL SUPPORT	35,000
MANHATTAN INSTITUTE FOR POLICY RESEARCH 52 VANDERBILT AVENUE 3RD FLOOR NEW YORK, NY 10017	NONE	PC	GENERAL SUPPORT	20,000
MERCATUS CENTER 3434 WASHINGTON BLVD 4TH FLOOR ARLINGTON, VA 22201	NONE	PC	GENERAL SUPPORT	30,000
MONT PELERIN SOCIETY 615 MCCALLIE AVENUE CHATTANOOGA, TN 37403	NONE	PC	GENERAL SUPPORT	35,000
Total ▶ 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOVING PICTURE INSTITUTE 375 GREENWICH STREET NEW YORK, NY 10013	NONE	PC	GENERAL SUPPORT	20,000
NEW YORK UNIVERSITY CLASSICAL LIBERAL INSTITUTE 40 WASHINGTON SQUARE NORTH 4TH FLO NEW YORK, NY 10011	NONE	PC	GENERAL SUPPORT	30,000
PACIFIC RESEARCH INSTITUTE 101 MONTGOMERY STREET SUITE 1300 SAN FRANCISCO, CA 94104	NONE	PC	GENERAL SUPPORT	20,000
PHILADELPHIA SOCIETY 11620 RUTAN CIRCLE JEROME, MI 49249	NONE	PC	GENERAL SUPPORT	20,000
PROPERTY AND ENVIRONMENT RESEARCH CENTER 2048 ANALYSIS DRIVE SUITE A BOZEMAN, MT 59718	NONE	PC	GENERAL SUPPORT	30,000
Total ▶ 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
REASON FOUNDATION 5737 MESMER AVE LOS ANGELES, CA 90230	NONE	PC	GENERAL SUPPORT	20,000
THE REMNANT TRUST INC C/O TEXAS TECH UNIVERSITY BOX 4104 LUBBOCK, TX 79409	NONE	PC	GENERAL SUPPORT	20,000
RONALD COASE INSTITUTE 2733 E BATTLEFIELD ROAD 318 SPRINGFIELD, MO 65804	NONE	PC	GENERAL SUPPORT	20,000
TALIESIN NEXUS 619 SOUTH OLIVE STREET SUITE 403 LOS ANGELES, CA 90014	NONE	PC	GENERAL SUPPORT	15,000
UNIVERSIDAD FRANCISCO MARROQUIN 6A CALLE FINAL ZONA 10 GUATEMALA CITY 01010 GT	NONE	PC	GENERAL SUPPORT - SEE FOOTNOTE	30,000
Total ► 3a				1,025,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR STUDY OF LIBERTY 11301 N MERIDIAN STREET CARMEL, IN 46032	NONE	PC	GENERAL SUPPORT	25,000
INTERNATIONAL POLICY NETWORK NETWORK FOR A FREE SOCIETY 701 LONG HILL ROAD GILLETTE, NJ 07933	NONE	PC	GENERAL SUPPORT	30,000
Total ▶ 3a				1,025,000

TY 2016 Accounting Fees Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT & TAX PREPARATION FEES	17,700	22		17,678

TY 2016 Compensation Explanation**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Person Name	Explanation
CHRIS L TALLEY	NOTE FOR INDIVIDUALS LISTED IN THIS SECTION THAT ARE PAID COMPENSATION FOR SIMILAR SERVICES TO LIBERTY FUND, INC , A PORTION OF THE SALARIES AND BENEFITS PAID BY LIBERTY FUND, INC ARE ALLOCATED TO THE PIERRE F AND ENID GOODRICH FOUNDATION SO THAT EXPENSES MAY BE MORE ACCURATELY REFLECTED

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: Pierre F and Enid Goodrich Foundation

EIN: 35-6056960

TY 2016 Investments Corporate Bonds Schedule

Name: Pierre F and Enid Goodrich Foundation

EIN: 35-6056960

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	2,862,282	2,845,849

TY 2016 Investments Corporate Stock Schedule

Name: Pierre F and Enid Goodrich Foundation

EIN: 35-6056960

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	15,601,888	18,315,745

TY 2016 Investments Government Obligations Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960**US Government Securities - End
of Year Book Value:**

1,781,110

**US Government Securities - End
of Year Fair Market Value:**

1,785,396

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MORTGAGE BACKED AND OTHER	AT COST	762,201	764,049
ASSET BACKED SECURITIES			

TY 2016 Legal Fees Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	95			95

TY 2016 Other Expenses Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE - BUSINESS	4,646	9		4,637
MISCELLANEOUS	2,760			2,760

TY 2016 Other Professional Fees Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	121,872	121,872		
OTHER PROFESSIONAL FEES	20,792	26		20,766

TY 2016 Taxes Schedule**Name:** Pierre F and Enid Goodrich Foundation**EIN:** 35-6056960

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	13,000			