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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

05/01, 2016, and ending

04/30, 2017

Name of foundation ELIZABETH T. HEIM & MARGARET L. RIGBY
MEMORIAL FUND

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

P.O. BOX 1802

City or town, state or province, country, and ZIP or foreign postal code

A Employer identification number

30-6421375

PROVIDENCE, RI 02901-1802

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 5,994,913. J Accounting method: Cash Accrual
 Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ►

D 1 Foreign organizations, check here ►
2 Foreign organizations meeting the 85% test, check here and attach computation ►

E If private foundation status was terminated under section 507(b)(1)(A), check here ►

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ►

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	138,985.	130,502.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	53,158.			
b Gross sales price for all assets on line 6a	65,777.			
7 Capital gain net income (from Part IV, line 2)		53,158.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)		115.		STMT 2
12 Total. Add lines 1 through 11	192,143.	183,775.		
13 Compensation of officers, directors, trustees, etc	93,910.	56,346.		37,564.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	1,675.	1,005.	NONE	670.
c Other professional fees (attach schedule)	1,625.			1,625.
17 Interest				
18 Taxes (attach schedule) (see instructions)	2,845.	1,910.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)	864.	366.		850.
24 Total operating and administrative expenses.				
Add lines 13 through 23.	100,919.	59,627.	NONE	40,709.
25 Contributions, gifts, grants paid	274,666.			274,666.
26 Total expenses and disbursements Add lines 24 and 25	375,585.	59,627.	NONE	315,375.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	-183,442.			
b Net investment income (if negative, enter -0-)		124,148.		
c Adjusted net income (if negative, enter -0-)				

USA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	310,325.	124,208.	124,208.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►	NONE		
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)	102,521.	100,846.	100,067.
	b	Investments - corporate stock (attach schedule)	STMT 8.	5,127,156.	5,124,442.
	c	Investments - corporate bonds (attach schedule)			
Liabilities	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation ► (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis			
		Less accumulated depreciation ► (attach schedule)			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	5,540,002.	5,349,496.	5,994,913.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			X	
	27	Capital stock, trust principal, or current funds	5,540,002.	5,349,496.	
	28	Paid-in or capital surplus, or land, bldg. and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	5,540,002.	5,349,496.	
	31	Total liabilities and net assets/fund balances (see instructions)	5,540,002.	5,349,496.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,540,002.
2	Enter amount from Part I, line 27a	2	-183,442.
3	Other increases not included in line 2 (itemize) ► <u>PARTNERSHIP ADJUSTMENT</u>	3	1,093.
4	Add lines 1, 2, and 3	4	5,357,653.
5	Decreases not included in line 2 (itemize) ► <u>SEE STATEMENT 12</u>	5	8,157.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,349,496.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b OTHER GAINS AND LOSSES					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
a 66,870.		12,619.		54,251.	
b -1,093.				-1,093.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a				54,251.	
b				-1,093.	
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	53,158.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	261,328.	5,615,285.	0.046539
2014	234,303.	5,883,750.	0.039822
2013			
2012			
2011			

2 Total of line 1, column (d)	2 0.086361
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3 0.043181
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4 5,685,921.
5 Multiply line 4 by line 3.	5 245,524.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 1,241.
7 Add lines 5 and 6.	7 246,765.
8 Enter qualifying distributions from Part XII, line 4	8 315,375.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . .	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	1,241.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	1,241.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,241.
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		1,208.
b	Exempt foreign organizations - tax withheld at source	6b		NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c		NONE
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,208.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►		9	33.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►		10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ►		11	NONE Refunded ►

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?			X
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
1c	Did the foundation file Form 1120-POL for this year?			X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
	(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			X
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			X
b	If "Yes," has it filed a tax return on Form 990-T for this year?			
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			X
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?			
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►			
	FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation			
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV.			X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
14	The books are in care of ► <u>BANK OF AMERICA TAX SERVICES</u> Telephone no ► <u>(888) 866-3275</u> Located at ► <u>PO BOX 1802, PROVIDENCE, RI</u> ZIP+4 ► <u>02901-1802</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. ► <u>15</u>		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ► <u>1b</u>		<input checked="" type="checkbox"/> Yes
c	Organizations relying on a current notice regarding disaster assistance check here ► <u>1c</u>		<input checked="" type="checkbox"/> Yes
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ►			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		<input checked="" type="checkbox"/> Yes
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/>	5b	
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/>	6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA, N.A. 250 S PARK AVE, WINTER PARK, FL 32789-4316	TRUSTEE 1	61,683.	-0-	-0-
RON SMITH 1326 S RIDGEWOOD AVE, STE 2, DAYTONA BEACH, FL 32114-	TRUSTEE 1	32,227.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ►

NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services ►		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	
Total. Add lines 1 through 3 ►		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	5,647,194.
b	Average of monthly cash balances	1b	125,315.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	5,772,509.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	5,772,509.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	86,588.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,685,921.
6	Minimum investment return. Enter 5% of line 5	6	284,296.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	284,296.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,241.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,241.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	283,055.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	283,055.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	283,055.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	315,375.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	315,375.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,241.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	314,134.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XII, line 7				283,055.
2 Undistributed income, if any, as of the end of 2016			73,386.	
a Enter amount for 2015 only.				
b Total for prior years 20_____,20_____,20_____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	NONE			
e From 2015	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 315,375.			73,386.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount.				241,989.
e Remaining amount distributed out of corpus.	NONE			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE	
d Subtract line 6c from line 6b Taxable amount - see instructions			NONE	
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				41,066.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2012	NONE			
b Excess from 2013	NONE			
c Excess from 2014	NONE			
d Excess from 2015	NONE			
e Excess from 2016	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►			
b	Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed .				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets.				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization.				
(4) Gross investment income .				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 17				274,666.
Total				274,666.
b Approved for future payment				
Total				► 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property				14	138,985.
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	53,158.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					192,143.
13 Total Add line 12, columns (b), (d), and (e)					192,143.

Sum of the ΔE values for the first two transitions = 1.00×10^{-19} J.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of.		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign
Here

Frank J. Kiser
Signature of officer or trustee

07/10/2017

Date

SVP Tax

Title

May the IRS discuss this return
with the preparer shown below
(see instructions)? Yes No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Dat

Check if PTIN
is to be issued

self-emp

Firm's name

Firm's EIN

JSA

6E1493 1000

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	1,935.	1,935.
FOREIGN DIVIDENDS	19,666.	19,666.
NONDIVIDEND DISTRIBUTIONS	7,158.	
DOMESTIC DIVIDENDS	62,448.	62,448.
OTHER INTEREST	301.	301.
EXEMPT INTEREST NOT SUBJECT TO AMT - STA	1,325.	
NONQUALIFIED FOREIGN DIVIDENDS	1,702.	1,702.
NONQUALIFIED DOMESTIC DIVIDENDS	44,450.	44,450.
TOTAL	138,985.	130,502.

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME		115.
TOTALS		115.

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	ADJUSTED NET INCOME	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	1,675.	1,005.	670.	
TOTALS	1,675.	1,005.	NONE	670.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ACCOUNTING & TAX PREPARATION	1,625.	1,625.
TOTALS	1,625.	1,625.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	302.	302.
EXCISE TAX ESTIMATES	935.	
FOREIGN TAXES ON QUALIFIED FOR	1,396.	1,396.
FOREIGN TAXES ON NONQUALIFIED	212.	212.
TOTALS	2,845.	1,910.

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER INVESTMENT FEE IRS USER FEE - FORM 1023	14. 850.	14. 352.	850.
TOTALS	864.	366.	850.

ELIZABETH T. HEIM & MARGARET L. RIGBY

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

30-6421375

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
86476PAH1 SUFFOLK CNTY N Y PUB	102,521.	100,846.	100,067.
TOTALS	102,521.	100,846.	100,067.

ELIZABETH T. HEIM & MARGARET L. RIGBY

FORM 990PF, PART II - CORPORATE STOCK

30-6421375

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
233051200 DB X-TRACKERS MSCI E	151,271.	151,271.	150,050.
464287176 ISHARES TIPS BOND ET	57,071.	57,071.	57,505.
464287234 ISHARES MSCI EMERGIN	228,359.	228,359.	240,360.
464287556 ISHARES NASDAQ BIOTE	54,591.	54,591.	44,646.
464288687 ISHARES US PFD STK E	113,265.	113,265.	117,030.
46429B655 ISHARES FLTG RATE BO	101,380.	101,380.	101,720.
78464A888 SPDR S&P HOMEBUILDER	53,601.	53,601.	56,715.
921937827 VANGUARD SHORT TERM	120,493.	120,493.	119,910.
922908553 VANGUARD REIT ETF	190,552.	187,373.	248,370.
97717X701 WISDOMTREE EUROPE HE	99,201.	99,201.	97,260.
73936B408 POWERSHARES DB AGRIC	50,489.	50,489.	39,480.
81369Y605 SELECT SECTOR SPDR T	36,699.	32,261.	35,295.
166764100 CHEVRON CORP COM	60,585.	60,585.	53,350.
30231G102 EXXON MOBIL CORP COM	45,228.	45,228.	40,825.
49456B101 KINDER MORGAN INC DE	41,505.	41,505.	20,630.
674599105 OCCIDENTAL PETE CORP	35,251.	35,251.	24,616.
806857108 SCHLUMBERGER LTD COM	28,614.	28,614.	29,036.
N53745100 LYONDELLBASELL INDUS	25,607.	25,607.	25,428.
009158106 AIR PRODS & CHEMS IN	28,532.	28,532.	42,150.
260543103 DOW CHEM CO COM	17,025.	17,025.	31,400.
74005P104 PRAXAIR INC COM	46,140.	46,140.	49,992.
291011104 EMERSON ELEC CO COM	28,198.	28,198.	30,140.
369550108 GENERAL DYNAMICS COR	31,382.	31,382.	77,516.
369604103 GENERAL ELEC CO COM	47,150.	47,150.	57,980.
438516106 HONEYWELL INTL INC C	31,240.	31,075.	52,456.
88579Y101 3M CO COM	44,002.	44,002.	78,332.
913017109 UNITED TECHNOLOGIES	46,828.	46,828.	59,495.
254687106 DISNEY WALT CO COM D	31,829.	31,829.	57,800.
437076102 HOME DEPOT INC COM	34,597.	34,597.	46,830.

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FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
517834107 LAS VEGAS SANDS CORP	27,880.	27,880.	29,495.
872540109 TJX COS INC NEW COM	25,063.	25,063.	39,320.
87612E106 TARGET CORP COM	34,943.	34,943.	27,925.
98310W108 WYNDHAM WORLDWIDE CO	27,242.	27,242.	28,593.
988498101 YUM BRANDS INC COM	36,023.	25,162.	32,875.
126650100 CVS HEALTH CORP COM	30,991.	30,991.	24,732.
191216100 COCA COLA CO COM	20,445.	20,445.	21,575.
194162103 COLGATE PALMOLIVE CO	29,413.	29,413.	36,020.
609207105 MONDELEZ INTL INC CO	14,876.	14,611.	22,515.
641069406 NESTLE S A SPONSORED	26,976.	26,976.	30,800.
718172109 PHILIP MORRIS INTL I	36,651.	36,651.	44,336.
742718109 PROCTER & GAMBLE CO	39,093.	39,093.	43,665.
G5960L103 MEDTRONIC PLC COM	38,422.	38,151.	41,545.
002824100 ABBOTT LABS COM	18,405.	18,405.	21,820.
00287Y109 ABBVIE INC COM	21,680.	21,680.	32,970.
071813109 BAXTER INTL INC COM	15,455.	15,455.	22,272.
075887109 BECTON DICKINSON & C	39,324.	39,324.	74,788.
478160104 JOHNSON & JOHNSON CO	33,840.	33,840.	49,388.
66987V109 NOVARTIS AG SPONSOR	29,166.	29,166.	30,812.
717081103 PFIZER INC COM	43,020.	43,020.	50,880.
09247X101 BLACKROCK INC CL A	41,140.	41,140.	57,686.
172967424 CITIGROUP INC NEW CO	24,903.	24,903.	29,560.
46625H100 J P MORGAN CHASE & C	26,766.	26,766.	43,500.
693475105 PNC FINL SVCS GROUP	28,421.	28,421.	35,925.
949746101 WELLS FARGO & CO NEW	20,268.	20,268.	26,920.
G1151C101 ACCENTURE PLC CL A C	40,163.	40,163.	60,650.
H84989104 TE CONNECTIVITY LTD	22,495.	22,495.	38,685.
N6596X109 NXP SEMICONDUCTORS N	42,378.	42,378.	42,300.
037833100 APPLE INC COM	43,638.	43,638.	100,555.

ELIZABETH T. HEIM & MARGARET L. RIGBY
 FORM 990PF, PART II - CORPORATE STOCK

30-6421375

DESCRIPTION	BEGINNING BOOK VALUE	BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
053015103 AUTOMATIC DATA PROCESSES INC COM	29,805.	29,805.	52,245.	
17275R102 CISCO SYSTEMS INC COM	24,145.	24,145.	34,070.	
594918104 MICROSOFT CORP COM	17,530.	17,530.	34,230.	
697435105 PALO ALTO NETWORKS INC	43,670.	43,670.	32,523.	
882508104 TEXAS INSTRUMENTS INC COM	17,546.	17,546.	39,590.	
00206R102 AT&T INC COM	26,891.	26,891.	29,723.	
92343V104 VERIZON COMMUNICATIONS INC	25,075.	25,075.	22,955.	
025537101 AMERICAN ELECTRIC POWER INC	11,396.	11,396.	16,958.	
030420103 AMERICAN WATER WORKS CO	20,253.	20,253.	39,880.	
018914408 AB DISCOVERY VALUE FUND	150,000.	150,000.	160,253.	
56063J864 MAINSTAY EPOCH GLOBAL FUND	125,000.	125,000.	130,304.	
744336504 PRUDENTIAL GLOBAL RETIREMENT FUND	150,000.	150,000.	165,573.	
74441C808 PRUDENTIAL JENNISON FUND	122,434.	122,434.	134,431.	
74925K581 BOSTON PARTNERS LONG TERM FUND	100,000.	100,000.	118,605.	
957904535 WESTCORE SMALL CAP VALUE FUND	100,000.	100,000.	79,909.	
19765J814 COLUMBIA SMALL CAP FUND	228,058.	228,058.	265,461.	
19765Y688 COLUMBIA SELECT LARGE CAP FUND	85,212.	85,212.	89,761.	
258620103 DOUBLELINE TOTAL RETURN FUND	500,000.	500,000.	486,339.	
262028855 DRIEHAUS ACTIVE INCOME FUND	79,562.	79,562.	75,113.	
722005667 PIMCO COMMODITY REAL ASSET FUND	81,871.	81,871.	47,735.	
74441R508 PRUDENTIAL SHORT-TERM FUND	250,000.	250,000.	245,571.	
245914817 DELAWARE EMERGING MARKET FUND	149,747.	149,747.	181,074.	
07177M103 BAXALTA INC COM	12,543.	12,543.	16,653.	
H1467J104 CHUBB LTD COM	16,653.	16,653.	20,588.	
81369Y860 REAL ESTATE SELECT STOCK	6,770.	6,770.	6,583.	
00773T101 ADVANSTIX INC COM	165.	165.	436.	
92532W103 VERSUM MATERIALS INC COM	2,448.	2,448.	4,803.	
98850P109 YUM CHINA HOLDINGS INC	10,861.	10,861.	17,060.	
82481R106 SHIRE PLC ADR	11,212.	11,212.	10,441.	

ELIZABETH T. HEIM & MARGARET L. RIGBY
FORM 990PF, PART II - CORPORATE STOCK

30-6421375

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TOTALS	5,127,156.	5,124,442.	5,770,638.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
ADJUST CARRYING VALUE	8,154.
ROUNDING	3.
TOTAL	8,157.

STATEMENT 12

GAINS AND LOSSES FROM PASS-THRU ENTITIES

=====

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

PARTNERSHIPS, TRUSTS, S CORPORATIONS

-1,093.00

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

-1,093.00

=====

ELIZABETH T. HEIM & MARGARET L. RIGBY
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

30-6421375

RECIPIENT NAME:

NATIONAL FDN FOR CANCER RESEARCH
ATTN: E. CURRIE MAJOR GIFTS OFFI

ADDRESS:

4600 EAST WEST HWY, STE 525
BETHESDA, MD 20814-6900

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 13,733.

RECIPIENT NAME:

MENTAL HEALTH AMERICA INC

ADDRESS:

500 MONTGOMERY STREET, SUITE 820
Alexandria, VA 22314

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 41,200.

RECIPIENT NAME:

MEMORIAL SLOAN-KETTERING CANCER

ADDRESS:

633 THIRD AVE
NEW YORK, NY 10017-0000

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,467.

STATEMENT 14

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

AMERICAN HEART ASSOCIATION, INC.
ATTN SARAH RUMSCHLAG BEQUEST ADM

ADDRESS:

PO BOX 22035
ST PETERSBURG, FL 33742-2035

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,467.

RECIPIENT NAME:

MASONIC MEDICAL RESEARCH LABORAT

ADDRESS:

2150 BLEECKER ST
UTICA, NY 13501-1738

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 13,733.

RECIPIENT NAME:

NATIONAL OSTEOPOROSIS FOUNDATION

ADDRESS:

1150 17TH ST NW, STE 850
WASHINGTON, DC 20036-4641

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 13,733.

STATEMENT 15

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MAYO FOUNDATION

ADDRESS:

200 1ST ST SW

ROCHESTER, MN 55905-0001

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL EDUCATION & RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,667.

RECIPIENT NAME:

JOHNS HOPKINS UNIVERSITY

ADDRESS:

3400 N. CHARLES ST.

BALTIMORE, MD 21218-2696

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,467.

RECIPIENT NAME:

UNIVERSITY OF MIAMI

DEPARTMENT OF OPHTHALMOLOGY

ADDRESS:

6200 SAN AMARO DRIVE SUITE 300

CORAL GABLES, FL 33146-0000

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 23,797.

STATEMENT 16

ELIZABETH T. HEIM & MARGARET L. RIGBY

30-6421375

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

THE ARTHRITIS RESEARCH INSTITUTE

ADDRESS:

300 S DUNCAN AVE STE 188

CLEARWATER, FL 33755-6411

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,669.

RECIPIENT NAME:

GLAUCOMA RESEARCH FDN

ADDRESS:

251 POST ST, STE. 600

SAN FRANCISCO, CA 94108-5017

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

MEDICAL RESEARCH

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 13,733.

TOTAL GRANTS PAID:

274,666.

=====

STATEMENT 17

FEDERAL FOOTNOTES

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A., AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.