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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 05-01-2016 , and ending 04-30-2017

Name of foundation
THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

A Employer identification number
13-7114848

Number and street (or P O box number if mail is not delivered to street address)
1290 AVE OF THE AMERICAS No FL 40

Room/suite

B Telephone number (see instructions)
(212) 509-6700

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10104

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 112,492,522

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	8,814,757			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,415,834	1,415,834		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	172,619			
	b	Gross sales price for all assets on line 6a 21,458,443				
	7	Capital gain net income (from Part IV, line 2)		8,133,460		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	10,403,210	9,549,294		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	60,000	0		60,000
	c	Other professional fees (attach schedule)				
	17	Interest	111,439	111,439		0
	18	Taxes (attach schedule) (see instructions)	182,201	2,771		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	4,980	1,880		1,500
	24	**Total operating and administrative expenses.** Add lines 13 through 23	358,620	116,090		61,500
	25	Contributions, gifts, grants paid	5,659,791			5,659,791
	26	**Total expenses and disbursements.** Add lines 24 and 25	6,018,411	116,090		5,721,291
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	4,384,799			
	b	**Net investment income** (if negative, enter -0-)		9,433,204		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets 1	Cash—non-interest-bearing		258,388	258,388
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	62,381,636	60,976,827	110,837,659
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	74,314	1,396,475	1,396,475
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	62,455,950	62,631,690	112,492,522
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	4,209,059	0	
23	**Total liabilities**(add lines 17 through 22)	4,209,059	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	58,246,891	62,631,690	
30	**Total net assets or fund balances** (see instructions)	58,246,891	62,631,690	
31	**Total liabilities and net assets/fund balances** (see instructions)	62,455,950	62,631,690	

Part III	**Analysis of Changes in Net Assets or Fund Balances**		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	58,246,891
2	Enter amount from Part I, line 27a	2	4,384,799
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	62,631,690
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	62,631,690

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	8,133,460
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	4,636,459	90,502,394	0 051230
2014	5,420,932	107,277,232	0 050532
2013	4,851,118	100,842,461	0 048106
2012	4,399,551	85,732,743	0 051317
2011	3,699,645	82,053,559	0 045088

2	**Total** of line 1, column (d)	2	0 246273
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049255
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	104,173,272
5	Multiply line 4 by line 3	5	5,131,055
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	94,332
7	Add lines 5 and 6	7	5,225,387
8	Enter qualifying distributions from Part XII, line 4	8	5,721,291

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	94,332
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	94,332
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	94,332
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 18,192		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 100,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	118,192
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	1,957
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	21,903
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 21,903	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶FREEDMAN CO CPA PC Telephone no ▶(212) 509-6700

Located at ▶61 BROADWAY SUITE 1602 NEW YORK NY ZIP+4 ▶100062704

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARVIN C SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0 00	0	0	0
DONNA SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	107,427,021
b	Average of monthly cash balances		1b	104,771
c	Fair market value of all other assets (see instructions)		1c	1,385,252
d	**Total** (add lines 1a, b, and c)		1d	108,917,044
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	3,157,377
3	Subtract line 2 from line 1d		3	105,759,667
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	1,586,395
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	104,173,272
6	**Minimum investment return.** Enter 5% of line 5		6	5,208,664

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	5,208,664
2a	Tax on investment income for 2016 from Part VI, line 5	2a 94,332		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b 24,917		
c	Add lines 2a and 2b		2c	119,249
3	Distributable amount before adjustments Subtract line 2c from line 1		3	5,089,415
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	5,089,415
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	5,089,415

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	5,721,291
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,721,291
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	94,332
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,626,959

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					5,089,415
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.	166,176				
c From 2013.					
d From 2014.	90,808				
e From 2015.	357,720				
f **Total** of lines 3a through e.		614,704			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 5,721,291					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					5,089,415
e Remaining amount distributed out of corpus		631,876			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,246,580			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		1,246,580			
10 Analysis of line 9					
a Excess from 2012. . . .	166,176				
b Excess from 2013. . . .					
c Excess from 2014. . . .	90,808				
d Excess from 2015. . . .	357,720				
e Excess from 2016. . . .	631,876				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				5,659,791
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .	900000		14	1,415,834	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory	900000		18	172,619	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		1,588,453	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 1,588,453

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2018-03-08 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
PAUL J FREEDMAN		2018-02-26		P00725388
Firm's name ▶ FREEDMAN & CO CPA PC				Firm's EIN ▶13-3598907
Firm's address ▶ 61 BROADWAY NEW YORK, NY 10006				Phone no (212) 509-6700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLERGAN PLC	P	2015-07-09	2016-12-28
ALLERGAN PLC	P	2015-04-28	2016-12-28
AMERICAN AIRLINES GROUP INCCOM	P	2014-09-17	2017-02-22
ASSURANT INC	P	2012-09-28	2017-04-26
ASSURANT INC	P	2012-09-28	2017-02-22
DEVON ENERGY CORPORATION NEW	P	2016-04-12	2017-02-22
DEVON ENERGY CORPORATION NEW	P	2016-04-12	2017-02-22
FEDEX CORP	P	2014-12-09	2017-02-22
FEDEX CORP	P	2014-12-22	2017-02-22
LINCOLN NATIONAL CORP-IND	P	2013-11-19	2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
482,318		727,481	-245,163
200,966		287,810	-86,844
124,875		101,563	23,312
785,588		301,982	483,606
676,378		257,244	419,134
239,294		165,037	74,257
84,135		60,166	23,969
53,368		48,897	4,471
150,402		136,789	13,613
698,945		989,098	-290,153

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-245,163
			-86,844
			23,312
			483,606
			419,134
			74,257
			23,969
			4,471
			13,613
			-290,153

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LINCOLN NATIONAL CORP-IND	P	2011-08-03	2016-06-27
LYONDELLBASELL INDUSTRIESN V CL A	P	2015-04-17	2017-02-22
LYONDELLBASELL INDUSTRIESN V CL A	P	2015-04-17	2017-02-22
LYONDELLBASELL INDUSTRIESN V CL A	P	2015-04-17	2017-02-22
SANDISK CORP	P	2014-11-04	2016-05-02
SANDISK CORP	P	2014-09-10	2016-05-02
UNITED CONTINENTAL HLDGS INC	P	2015-01-16	2017-02-22
ASSURANT INC	P	2010-11-10	2017-04-26
TRAVELERS COMPANIES INC THE	P	2010-01-29	2017-02-22
WHITING PETROLEUM CORPORATION	P	2008-12-16	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
426,836		306,400	120,436
73,497		76,136	-2,639
28,193		28,551	-358
46,596		47,585	-989
728,698		904,744	-176,046
277,957		368,816	-90,859
98,129		82,813	15,316
1,066,848		395,341	671,507
268,194		111,996	156,198
113,659		246,019	-132,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			120,436
			-2,639
			-358
			-989
			-176,046
			-90,859
			15,316
			671,507
			156,198
			-132,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
APACHE CORP	P	2014-05-29	2017-02-14
APACHE CORP	P	2014-05-29	2017-02-14
APACHE CORP	P	2013-11-06	2017-02-14
DENBURY RESOURCES INC NEWHOLDING COMPANY	P	2013-04-12	2017-02-27
DENBURY RESOURCES INC NEWHOLDING COMPANY	P	2013-07-10	2017-02-27
DENBURY RESOURCES INC NEWHOLDING COMPANY	P	2013-07-10	2017-02-27
DENBURY RESOURCES INC NEWHOLDING COMPANY	P	2013-04-12	2017-02-27
ASSURANT INC	P	2010-01-08	2017-04-27
ASSURANT INC	P	2010-11-10	2017-04-06
ASSURANT INC	P	2009-11-25	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
104,342		174,729	-70,387
444,827		750,335	-305,508
1,098,339		1,791,580	-693,241
55,524		355,036	-299,512
244,307		1,553,490	-1,309,183
33,315		211,624	-178,309
55,524		355,036	-299,512
680,636		219,813	460,823
1,294,862		492,379	802,483
680,636		219,251	461,385

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-70,387
			-305,508
			-693,241
			-299,512
			-1,309,183
			-178,309
			-299,512
			460,823
			802,483
			461,385

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ASSURANT INC	P	2010-11-10	2017-04-06
ASSURANT INC	P	2010-11-10	2017-04-27
CALIFORNIA RESOURCESCORPORATION COM	P	2010-01-29	2017-04-06
CALIFORNIA RESOURCESCORPORATION COM	P	1998-03-27	2017-04-06
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2017-04-27
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2017-04-26
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2017-02-22
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2016-12-08
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2016-11-30
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2016-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
378,062		143,760	234,302
126,404		46,722	79,682
907		826	81
684		464	220
1,315,238			1,315,238
2,686,974			2,686,974
301,995			301,995
849,340			849,340
389,897			389,897
777,377			777,377

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			234,302
			79,682
			81
			220
			1,315,238
			2,686,974
			301,995
			849,340
			389,897
			777,377

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN ELECTRIC CO INC	D	1989-04-27	2016-06-24
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2016-12-06
FRANKLIN ELECTRIC CO INC	D	1998-03-11	2016-12-23
OCCIDENTAL PETE CORP	P	2010-01-29	2016-11-08
OCCIDENTAL PETE CORP	P	2009-03-27	2016-12-23
OCCIDENTAL PETE CORP	P	2009-03-27	2016-12-23
WHITING PETROLEUM CORPORATION	P	2008-12-16	2017-04-27
CALIFORNIA RESOURCESCORPORATION COM	P	2015-01-01	2016-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
853,754		25,934	827,820
635,521			635,521
773,385			773,385
433,765		497,751	-63,986
30,438		23,754	6,684
327,593		255,701	71,892
259,913		562,330	-302,417
8			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			827,820
			635,521
			773,385
			-63,986
			6,684
			71,892
			-302,417
			8

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

MARVIN C SCHWARTZ
DONNA SCHWARTZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
A BroaderWay Foundation 420 Lexington Ave Suite 2520 New York, NY 10170	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
African Environmental Film Foundation 116 La Patera Drive Camarillo, CA 93010	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	75,000
African Parks Foundation of America P O Box 2262 New York, NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
American Folk Art Museum 2 Lincoln Square New York, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	23,000
American Friends of the Israel Museum 500 Fifth Ave Suite 2540 New York, NY 10110	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Total . . . . ▶ 3a				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Bedford Fire Dept 34 Village Green Bedford, NY 105060230	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
Bedford Historical Society 612 Old Post Road PO BOX 491 Bedford, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	63,400
Bedford PBA PO Box 351 Bedford Hills, NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	8,000
Bedford Riding Lanes Assn Inc PO Box 178 Bedford, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
Busch Wildlife Sanctuary Inc 2500 Jupiter Park Dr Jupiter, FL 33458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Total . . . . ▶ 3a				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Cancer Research and Treatment Fund Inc 500 East 77th Street New York, NY 10162	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Central Park Conservancy 14 E 60th St New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	145,800
Columbia University 622 West 113 St New York, NY 10025	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
Damon Runyon Cancer Research Fund 55 Broadway Suite 302 New York, NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,101
Diabetes Research Institute Foundation 200 S Park Road Suite 100 Hollywood, FL 33021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,500
Total . . . . ▶ 3a				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
East Side House Settlement 337 Alexander Ave Bronx, NY 10454	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,850
Eastport Chemical Fire Engine Co #1 Inc 21 Union Ave Eastport, NY 11941	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
Emory University 201 Dowman Dr Atlanta, GA 30322	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	160,000
Empty Cages Collective Inc PO Box 820 New York, NY 10026	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Fidelity Charitable Services-AcctZ9700442 PO Box 770001 Cincinnati, OH 45277	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,700,000
Total . . . . ▶ **3a**				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Friends of the Neuberger Museum of Art 735 Anderson Hill Road Purchase, NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	53,250
Georgia O'Keefe Museum 217 Johnson St Santa Fe, NM 87501	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	75,000
Jewish Communal Fund - Acct 134760786 575 Madison Ave Suite 703 New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,800,000
Katonah Bedford Hills Volunteer Amb Corp 160 Bedford Rd Katonah, NY 10536	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Katonah Museum of Art 134 Jay St Katonah, NY 10536	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total . . . . ▶ **3a**				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Lincoln Center for the Performing Arts 70 Lincoln Center Plaza New York, NY 100236583	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	14,350
Mount Sinai Cardiac Catheter Endow Fund One Gustave L Levy Place New York, NY 100296574	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	50,000
Move America Forward 8795 Folsom Blvd Suite 103 Sacramento, CA 95826	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,000
New York Cares Inc 65 Broadway Fl 19 New York, NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	300
New York Historical Society 170 Central Park West New York, NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	11,300
Total . . . . ▶ **3a**				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
North Shore University Hospital Auxiliary 300 Community Dr Manhasset, NY 11030	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	16,000
Northern Westchester Hospital Foundation 400 E Main St Mount Kisco, NY 10549	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Park Avenue Armory 643 Park Ave New York, NY 10065	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
Philadelphia Museum of Art 2600 Benjamin Franklin Pkwy Philadelphia, PA 19130	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	50,000
Remsenburg Academy Associations Inc PO Box 171 Remsenburg, NY 11960	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total . . . . ▶ **3a**				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Doe Fund Inc 232 E 84th St New York, NY 10028	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	97,500
The Glaucoma Foundation 80 Maiden Lane Suite 1206 New York, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	35,000
The Metropolitan Opera Association Inc Lincoln Center New York, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
The New York Botanical Garden 2900 Southern Blvd Bronx, NY 10458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	85,000
Tusk USA 525 East 89 Street New York, NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4,750
Total . . . . ▶ 3a				5,659,791

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UJA Federation of New York 130 East 59th Street New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	375
Wall Street Synagogue 47 Beekman St New York, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	300
Westchester Emergency Medical Services 45 Kenisco Dr Mount Kisco, NY 10549	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Wheelchair Foundation 3820 Blackhawk Road Danville, CA 94506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Whitney Museum of American Art 945 Madison Ave New York, NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,515
Total . . . . ▶ **3a**				5,659,791

TY 2016 Accounting Fees Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	60,000	0		60,000

TY 2016 Investments Corporate Stock Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Name of Stock	End of Year Book Value	End of Year Fair Market Value
25000 SHS AETNA INC NEW	1,574,038	3,376,750
1440 SHS ALLERGAN PLC	126,019	351,158
50000 SHS AIRBUS GROUP	820,580	1,010,400
3700 ALPHABET INC CAP STK CL C	2,827,000	3,352,052
101800 SHS AMERICAN AIRLINES GROUP INC	1,708,076	4,338,716
115000 SHS ANADARKO PETROLEUM CORP	2,521,931	6,557,300
10500 SHS ANTHEM INC	693,950	1,867,845
46700 SHS AON CORP	3,365,568	5,596,528
30000 SHS APACHE CORP	0	0
27000 SHS ASSURANT INC	845,681	2,598,480
24800 SHS CABOT OIL & GAS CORP	822,809	576,352
1076 SHS CALIFORNIA RESOURCES CORP	0	0
100000 SHS CISCO SYSTEMS INC	2,359,530	3,407,000
141600 SHS COMCAST CORP	1,183,938	5,549,304
26900 CONCHO RESOURCES INC	2,790,704	3,407,154
150000 SHS D R HORTON INC	1,841,527	4,933,500
90000 SHS DELTA AIR LINES INC DEL COM NEW	871,121	4,089,600
140000 SHS DENBURY RESOURCES INC NEW	0	0
162120 SHS DEVON ENERGY CORPORATION NEW	2,820,590	6,402,119
29700 SHS EOG RES INC	1,830,755	2,747,250
10525 SHS FEDEX CORP	1,796,882	1,996,593
21122 SHS FRANKLIN ELEC INC	827,982	868,114
12400 SHS GOLDMAN SACHS GROUP INC	2,359,678	2,775,120
25000 SHS HAWAIIAN HOLDINGS INC	146,078	1,357,500
55500 SHS JPMORGAN CHASE & CO	2,147,240	4,828,500
83200 SHS LENNAR CORP	2,389,719	4,201,600
47000 SHS LINCOLN NATIONAL CORP INC	1,960,977	3,098,710
35700 SHS LYONDELLBASELL INDUSTRIES	3,047,258	3,025,932
20130 SHS METLIFE INC	612,143	1,042,935
11500 SHS OCCIDENTAL PETE CORP	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
23000 ORACLE CORPORATION	878,135	1,034,080
25000 SHS PIONEER NATURAL RESOURCES CO	792,383	4,324,750
263000 SHS PULTEGROUP INC	2,697,506	5,962,210
13400 SHS SANDISK CORP	0	0
9000 RAYTHEON CO COM NEW	1,211,794	1,396,890
40000 TOLL BROTHERS INC	1,362,287	1,439,600
12300 SHS TRAVELERS COMPANIES INC	520,623	1,496,418
12400 SHS UNION PACIFIC CORP	1,411,302	1,388,304
62300 SHS UNITED CONTINENTAL HOLDINGS INC	2,702,421	4,374,083
7311 VALIDUS HOLDINGS LTD	165,956	404,152
16000 SHS WESTERN DIGITAL CORP	786,224	1,425,120
6500 SHS WHIRLPOOL COP	1,192,779	1,206,920
134000 WPX ENERGY INC COM USD1	1,773,123	1,598,620
100000 SHS WHITING PETROLEUM CORPORATION	590,520	830,000
INSTITUTIONAL DIRECT LENDING FUND THREE LTD	600,000	600,000

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TY 2016 Other Assets Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED DIVIDENDS	74,314	81,237	81,237
PENDING TRADES RECEIVABLE	0	1,315,238	1,315,238

TY 2016 Other Expenses Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	1,500	0		1,500
BUSINESS EXPENSES	1,600	0		0
INVESTMENT EXPENSES	1,880	1,880		0

TY 2016 Other Liabilities Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value
OVERDRAFT	4,209,051	0
ROUNDING	8	0

TY 2016 Substantial Contributors Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Name	Address
MARVIN SCHWARTZ	2 EAST 88TH ST NEW YORK, NY 10128

TY 2016 Taxes Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	163,799	0		0
FOREIGN TAX	2,771	2,771		0
STATE TAX	15,631	0		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*.

OMB No 1545-0047

2016

Name of the organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ______

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARVIN SCHWARTZ 2 E 88TH ST NEW YORK, NY10128	$ 8,814,757	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	**Employer identification number**
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	25000 SHS OF FRANKLIN ELECTRIC INC	$ 852 375	2016-06-24

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	203,122 SHS FRANKLIN ELECTRIC INC	$ 7,962 382	2016-11-29

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE DONNA AND MARVIN SCHWARTZ FOUNDATION

Employer identification number
13-7114848

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ ____________**
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee