

Form 990-PF

Return of Private Foundation

OMB No 1545-0052

2016

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2016 or tax year beginning 05/01, 2016, and ending 04/30, 2017

Name of foundation TR U/W A AND AL SINSHEIMER FOUNDATION XXXXX4007

A Employer identification number 13-6047421

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) 10 S DEARBORN IL1-0117 800-496-2583

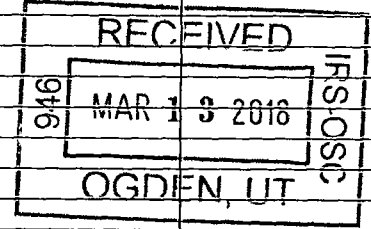
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603

G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation, [ ] Section 4947(a)(1) nonexempt charitable trust, [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 10,066,992. J Accounting method: [X] Cash, [ ] Accrual, [ ] Other (specify)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-27).



34

b

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .			112,206.	112,206.
	2	Savings and temporary cash investments . . . . .		381,170.	440,297.	440,297.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U.S. and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) . STMT 5 . . . . .	5,304,088.	4,958,705.	6,602,705.	
	c	Investments - corporate bonds (attach schedule) . STMT 9 . . . . .	1,107,230.	1,484,799.	1,513,231.	
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . . STMT 10 . . . . .	1,486,809.	1,022,024.	1,398,553.		
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item l) . . . . .	8,279,297.	8,018,031.	10,066,992.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ )				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .	8,279,297.	8,018,031.		
	28	Paid-in or capital surplus, or land, bldg. and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	8,279,297.	8,018,031.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	8,279,297.	8,018,031.			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	8,279,297.
2	Enter amount from Part I, line 27a . . . . .	2	-303,530.
3	Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	42,264.
4	Add lines 1, 2, and 3 . . . . .	4	8,018,031.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	8,018,031.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)

(b) How acquired  
P - Purchase  
D - Donation  
(c) Date acquired (mo., day, yr.)  
(d) Date sold (mo., day, yr.)

1 a PUBLICLY TRADED SECURITIES			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,382,627.		2,164,379.	218,248.
b			
c			
d			
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			218,248.
b			
c			
d			
e			
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 218,248.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	623,046.	9,773,466.	0.063749
2014	480,522.	10,573,051.	0.045448
2013	525,959.	10,266,942.	0.051228
2012	453,890.	9,444,365.	0.048059
2011	484,937.	9,277,973.	0.052268
2 Total of line 1, column (d)			2 0.260752
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.052150
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 9,442,634.
5 Multiply line 4 by line 3.			5 492,433.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,428.
7 Add lines 5 and 6			7 495,861.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 646,620.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 432, refunded 432.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, expenditures, and state reporting. Marked with 'X' for 'Yes' or 'No'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . . 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address na 13 Yes No X
14 The books are in care of JP Morgan Chase Bank, N.A. Telephone no. (800) 496-2583 Located at 10 S DEARBORN ST; MC; IL 1-0117, CHICAGO, IL ZIP+4 60603
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly).
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Yes No X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b Yes No X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) 3b Yes No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK, N.A 10 S DEARBORN ST; MC; IL 1-0117, CHICAGO, IL 60603	trustee 10	99,174.	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000**  **NONE**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
<b>Total number of others receiving over \$50,000 for professional services</b>		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions. 3 NONE	
<b>Total. Add lines 1 through 3</b>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	9,199,379.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	387,051.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	9,586,430.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	9,586,430.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	143,796.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	9,442,634.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	472,132.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	472,132.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	3,428.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	3,428.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	468,704.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	468,704.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	468,704.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	646,620.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	646,620.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	3,428.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	643,192.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				468,704.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .			NONE	
b Total for prior years 20 <u>14</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . . .	32,053.			
b From 2012 . . . . .	NONE			
c From 2013 . . . . .	22,434.			
d From 2014 . . . . .	NONE			
e From 2015 . . . . .	136,591.			
f Total of lines 3a through e . . . . .	191,078.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>646,620.</u>				
a Applied to 2015, but not more than line 2a . . . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2016 distributable amount. . . . .				468,704.
e Remaining amount distributed out of corpus. . . . .	177,916.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	368,994.			
b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017. . . . .				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	32,053.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	336,941.			
10 Analysis of line 9:				
a Excess from 2012 . . . . .	NONE			
b Excess from 2013 . . . . .	22,434.			
c Excess from 2014 . . . . .	NONE			
d Excess from 2015 . . . . .	136,591.			
e Excess from 2016 . . . . .	177,916.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2016, (b) 2015, (c) 2014, (d) 2013, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-c (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
Rockefeller University 1230 YORK AVE New York NY 10065	NONE	PC	GENERAL	200,000.
Albert Einstein College of Medicine 500 W 185TH ST New York NY 10033	NONE	PC	GENERAL	150,000.
New York University 726 BROADWAY- NINTH FL New York NY 10003	NONE	PC	GENERAL	18,900.
Columbia University IN NYC 615 WEST 131 ST New York NY 10027	NONE	PC	GENERAL	18,900.
New York Weill Cornell Medical Cent 575 LEXINGTON AVE, 9TH FL New York NY 10022	NONE	PC	GENERAL	18,900.
State University of New York Upstate Medical 750 EAST ADAMS ST Syracuse NY 13210	NONE	PC	GENERAL	50,000.
Icahn School of Medicine at Mount Sinai 1468 MADISON AVE NEW YORK NY 10029	NONE	PC	GENERAL	50,000.
RESEARCH FOUNDATION FOR SUNY PO BOX 9 Albany NY 12201-0009	NONE	PC	GENERAL	50,000.
THE STATE UNIVERSITY OF NEW YORK STONY BROOK M STATE UNIVERSITY PLAZA; 353 BROADWA Albany N	NONE	PC	GENERAL	50,000.
<b>Total</b> . . . . . ▶ <b>3a</b>				606,700.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . . ▶ <b>3b</b>				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income or (loss) from real estate, Net rental income or (loss) from personal property, Other investment income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, Other revenue, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

NOT APPLICABLE

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- |   |              |            |           |
|---|--------------|------------|-----------|
|   |              | <b>Yes</b> | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:         |              |            |           |
| <b>(1)</b> Cash . . . . .   | <b>1a(1)</b> |            | X         |
| <b>(2)</b> Other assets . . . . .   | <b>1a(2)</b> |            | X         |
| <b>b</b> Other transactions:  |              |            |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization . . . . .                         | <b>1b(1)</b> |            | X         |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization . . . . .                   | <b>1b(2)</b> |            | X         |
| <b>(3)</b> Rental of facilities, equipment, or other assets . . . . .                               | <b>1b(3)</b> |            | X         |
| <b>(4)</b> Reimbursement arrangements . . . . .   | <b>1b(4)</b> |            | X         |
| <b>(5)</b> Loans or loan guarantees . . . . .   | <b>1b(5)</b> |            | X         |
| <b>(6)</b> Performance of services or membership or fundraising solicitations . . . . .             | <b>1b(6)</b> |            | X         |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . . | <b>1c</b>    |            | X         |
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer or trustee <b>ARUN MEHTA</b>	03/07/2018 Date	Vice President Title
------------------	--	--------------------	-------------------------

May the IRS discuss this return with the preparer shown below (see instructions)?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>KELLY NEUGEBAUER</b>	Preparer's signature 	Date 03/07/2018	Check <input type="checkbox"/> if self-employed	PTIN P01285591
	Firm's name ▶ <b>DELOITTE TAX LLP</b>	Firm's EIN ▶ <b>86-1065772</b>			
	Firm's address ▶ <b>127 PUBLIC SQUARE CLEVELAND, OH 44114</b>	Phone no. <b>312-486-9652</b>			

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST	189,008.	188,365.
TOTAL	189,008.	188,365.

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME		-924.
TAX REFUND	6.	
TOTALS	6.	-924.

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
ESTIMATED TAX	1,270.	
FOREIGN TAX	3,398.	3,398.
	-----	-----
TOTALS	4,668.	3,398.
	=====	=====



TR U/W A AND AL SINSHEIMER FOUNDATION

13-6047421

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
ATTORNEY GENERAL FEE	250.	250.
TOTALS	----- 250. =====	----- 250. =====

13-6047421

TR U/W A AND AL SINSHEIMER FOUNDATION  
FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
00171A530 AMG MANAGERS PICTET	76,975.	85,536.
00206R102 AT&T INC	2,897.	3,329.
00724F101 ADOBE SYSTEMS INC	1,074.	4,012.
00846U101 AGILENT TECHNOLOGIES	1,273.	1,541.
02079K107 ALPHABET INC/CA-CL C	4,668.	9,966.
02079K305 ALPHABET INC/CA-CL A	3,038.	5,547.
09062X103 BIOGEN INC	1,075.	2,170.
09247X101 BLACKROCK INC	1,667.	1,923.
14042Y601 CAPITAL GR NON US EQ	391,802.	413,823.
16119P108 CHARTER COMMUNICATIO	3,039.	4,487.
20030N101 COMCAST CORP-CLASS A	660.	3,057.
20605P101 CONCHO RESOURCES INC	1,558.	1,647.
22160K105 COSTCO WHOLESale COR	1,218.	1,775.
25470M109 DISH NETWORK CORP-A	1,895.	2,449.
26875P101 EOG RESOURCES INC	5,306.	5,920.
26884L109 EQT CORP	2,156.	1,744.
30303M102 FACEBOOK INC-A	2,936.	5,860.
31620M106 FIDELITY NATIONAL IN	2,534.	3,368.
37045V100 GENERAL MOTORS CO	2,763.	3,291.
38141G104 GOLDMAN SACHS GROUP	1,956.	2,909.
45866F104 INTERCONTINENTAL EXC	1,396.	1,445.
46637K513 JPM GBL RES ENH IND	635,804.	807,918.
4812A2108 JPM INTREPID AMER FD	40,312.	77,295.
57636Q104 MASTERCARD INC-CLASS	1,842.	6,165.
58933Y105 MERCK & CO. INC.	3,034.	2,992.
59156R108 METLIFE INC	1,695.	3,109.
60871R209 MOLSON COORS BREWING	2,485.	3,068.
65339F101 NEXTERA ENERGY INC	1,628.	1,736.
65473P105 NISOURCE INC	1,359.	2,304.

13-6047421

TR U/W A AND AL SINSHEIMER FOUNDATION  
FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
67103H107 O'REILLY AUTOMOTIVE	1,608.	1,489.
G0176J109 ALLEGION PLC	2,096.	2,516.
G0177J108 ALLERGAN PLC	7,341.	7,072.
G1151C101 ACCENTURE PLC-CL A	2,516.	3,639.
G47791101 INGERSOLL-RAND PLC	1,389.	1,509.
G51502105 JOHNSON CONTROLS INT	2,148.	1,871.
H1467J104 CHUBB LTD	2,712.	5,490.
Y09827109 BROADCOM LTD	1,452.	8,612.
002824100 ABBOTT LABORATORIES	1,551.	1,571.
015351109 ALEXION PHARMACEUTIC	892.	1,022.
023135106 AMAZON.COM INC	4,085.	9,250.
026874784 AMERICAN INTERNATION	3,067.	3,289.
032511107 ANADARKO PETROLEUM C	2,245.	1,825.
032654105 ANALOG DEVICES INC	2,428.	2,896.
037833100 APPLE INC	2,477.	15,083.
060505104 BANK OF AMERICA CORP	2,102.	5,882.
064058100 BANK OF NEW YORK MEL	1,577.	1,694.
084670702 BERKSHIRE HATHAWAY I	2,476.	2,478.
101137107 BOSTON SCIENTIFIC CO	3,360.	4,063.
110122108 BRISTOL-MYERS SQUIBB	3,115.	3,980.
115233579 BROWN ADV JAPAN ALPH	185,480.	200,042.
151020104 CELGENE CORP	1,315.	2,977.
172967424 CITIGROUP INC	2,249.	3,843.
125896100 CMS ENERGY CORP	1,667.	2,179.
254709108 DISCOVER FINANCIAL S	2,405.	2,754.
256206103 DODGE & COX INTL STO	414,871.	619,278.
260543103 DOW CHEMICAL CO/THE	2,551.	3,014.
263534109 DU PONT (E.I.) DE NE	3,623.	3,589.
277432100 EASTMAN CHEMICAL CO	2,067.	2,552.

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STATEMENT 6

13-6047421

TR U/W A AND AL SINSHEIMER FOUNDATION  
FORM 990PF, PART II - CORPORATE STOCK  
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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
532457108 ELI LILLY & CO	3,988.	4,103.
369550108 GENERAL DYNAMICS COR	2,715.	3,294.
369604103 GENERAL ELECTRIC CO	5,153.	5,508.
375558103 GILEAD SCIENCES INC	2,007.	1,440.
416515104 HARTFORD FINANCIAL S	2,245.	2,902.
437076102 HOME DEPOT INC	1,516.	5,776.
438516106 HONEYWELL INTERNATIO	3,332.	7,868.
444859102 HUMANA INC	1,167.	2,442.
452327109 ILLUMINA INC	1,631.	1,664.
464287465 ISHARES MSCI EAFE IN	628,972.	712,199.
464287499 ISHARES RUSSELL MIDC	347,546.	469,739.
493267108 KEYCORP	1,430.	2,371.
548661107 LOWE'S COS INC	1,131.	4,753.
571748102 MARSH & MCLENNAN COS	2,024.	2,642.
573284106 MARTIN MARIETTA MATE	962.	2,999.
574599106 MASCO CORP	1,819.	1,890.
595017104 MICROCHIP TECHNOLOGY	5,043.	13,144.
594918104 MICROSOFT CORP	3,082.	4,593.
609207105 MONDELEZ INTERNATION	4,635.	7,546.
617446448 MORGAN STANLEY	1,448.	1,385.
654106103 NIKE INC -CL B	1,072.	1,057.
655844108 NORFOLK SOUTHERN COR	1,478.	1,722.
666807102 NORTHROP GRUMMAN COR	6,099.	5,723.
674599105 OCCIDENTAL PETROLEUM	283,947.	393,742.
701769408 PARNASSUS CORE EQUIT	3,870.	4,531.
713448108 PEPSICO INC	6,370.	6,343.
717081103 PFIZER INC	2,806.	2,771.
718172109 PHILIP MORRIS INTERN	3,565.	4,325.
723787107 PIONEER NATURAL RESO		

13-6047421

TR U/W A AND AL SINSHEIMER FOUNDATION  
FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
742718109 PROCTER & GAMBLE CO/		
808513105 SCHWAB (CHARLES) COR	1,646.	2,253.
78462F103 SPDR S&P 500 ETF TRU	1,711,579.	2,458,414.
854502101 STANLEY BLACK & DECK	3,220.	4,493.
855244109 STARBUCKS CORP	2,235.	2,402.
78486Q101 SVB FINANCIAL GROUP	1,499.	2,111.
882508104 TEXAS INSTRUMENTS IN	2,768.	4,117.
500754106 THE KRAFT HEINZ CO	2,510.	2,712.
872540109 TJX COMPANIES INC	3,236.	3,853.
872590104 T-MOBILE US INC	1,088.	1,816.
89353D107 TRANSCANADA CORP	2,684.	2,786.
90130A101 TWENTY-FIRST CENTURY	2,353.	2,291.
907818108 UNION PACIFIC CORP	1,642.	2,015.
910047109 UNITED CONTINENTAL H	1,553.	2,738.
91324P102 UNITEDHEALTH GROUP I	3,413.	9,269.
92532F100 VERTEX PHARMACEUTICA	1,919.	2,958.
92826C839 VISA INC-CLASS A SHA	2,380.	2,828.
931427108 WALGREENS BOOTS ALLI	1,380.	1,471.
254687106 WALT DISNEY CO/THE	7,334.	8,439.
94106B101 WASTE CONNECTIONS IN	1,815.	2,208.
949746101 WELLS FARGO & CO	1,840.	6,353.
96208T104 WEX INC	1,575.	1,725.
98138H101 WORKDAY INC-CLASS A	1,073.	1,136.
TOTALS	4,958,705.	6,602,705.

TR U/W A AND AL SINSHEIMER FOUNDATION  
 FORM 990PF, PART II - CORPORATE BONDS  
 =====

13-6047421

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
258620103 DOUBLELINE TOTL RET	283,779.	275,365.
693390841 PIMCO FD PAC INV MGM	373,198.	408,580.
77956H534 T ROWE PR EMERG MKTS	189,458.	191,414.
921937603 VANGUARD TOTAL BOND	295,116.	294,782.
92203J308 VANGUARD TOTAL INTL	343,248.	343,090.
TOTALS	1,484,799.	1,513,231.

TR U/W A AND AL SINSHEIMER FOUNDATION

13-6047421

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
092911965 BLACKSTONE PARTNERS	C	800,984.	1,080,622.
986903920 OCH-ZIFF OZOFII PRIV	C	221,040.	317,931.
TOTALS		1,022,024.	1,398,553.

TR U/W A AND AL SINSHEIMER FOUNDATION  
FORM 990PF, PART XV - LINES 2a - 2d  
=====

13-6047421

RECIPIENT NAME:

Roman Jackson, Associate, JPMorgan Chase

ADDRESS:

270 Park Ave., fl 16

New York, NY 10017

RECIPIENT'S PHONE NUMBER: 212-464-2073

FORM, INFORMATION AND MATERIALS:

Sinsheimer Scholar Awards Application form

SUBMISSION DEADLINES:

February 15 to be considered by April 30

RESTRICTIONS OR LIMITATIONS ON AWARDS:

medical students in medical schools  
in Metropolitan New York

STATEMENT 11