Form **990-PF** | **Return of Private Foundation** | OMB No 1545-0052 | **2016**

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

Open to Public Inspection

For calendar year 2016 or tax year beginning MAY 1, 2016 , **and ending** APR 30, 2017

Name of foundation: LAKE ROAD FOUNDATION	**A Employer identification number**: 13-3745065
Number and street (or P O box number if mail is not delivered to street address): C/O LEONARD SCHWARTZ 1740 BROADWAY — Room/suite: 3RD FL	B Telephone number: 212-468-4915
City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10019	C If exemption application is pending check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 22,949,363. — J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	268,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	147.	147.		Statement 1
	4 Dividends and interest from securities	6,753.	6,753.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-33,116.			
	b Gross sales price for all assets on line 6a 1,240,116.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	6,285,616.	6,285,616.		Statement 3
	12 **Total** Add lines 1 through 11	6,527,400.	6,292,516.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 4	7,000.	4,690.		2,310.
	c Other professional fees Stmt 5	198.	0.		0.
	17 Interest				
	18 Taxes Stmt 6	22,141.	45.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 7	16,366.	15,453.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	45,705.	20,188.		2,310.
	25 Contributions, gifts, grants paid	1,223,885.			1,223,885.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,269,590.	20,188.		1,226,195.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	5,257,810.			
	b **Net investment income** (if negative, enter -0-)		6,272,328.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1.	2.	2.
	2	Savings and temporary cash investments	1,956,697.	2,360,794.	2,360,794.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock Stmt 9	2,002,409.	2,699,742.	4,416,199.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 10	12,096,209.	11,377,425.	16,172,368.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	16,055,316.	16,437,963.	22,949,363.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	376,056.	376,056.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	15,679,260.	16,061,907.	
	30	**Total net assets or fund balances**	16,055,316.	16,437,963.	
	31	**Total liabilities and net assets/fund balances**	16,055,316.	16,437,963.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,055,316.
2	Enter amount from Part I, line 27a	2	5,257,810.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	21,313,126.
5	Decreases not included in line 2 (itemize) ▶ See Statement 8	5	4,875,163.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,437,963.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statement			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,240,116.		1,273,232.	-33,116.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-33,116.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	-33,116.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,467,011.	22,996,379.	.063793
2014	903,258.	23,541,114.	.038369
2013	1,031,926.	21,697,993.	.047559
2012	1,029,703.	19,804,399.	.051994
2011	430,534.	18,651,776.	.023083

2	**Total** of line 1, column (d)	2	.224798
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044960
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	21,443,737.
5	Multiply line 4 by line 3	5	964,110.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	62,723.
7	Add lines 5 and 6	7	1,026,833.
8	Enter qualifying distributions from Part XII, line 4	8	1,226,195.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	62,723.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	62,723.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	62,723.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	65,000.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	65,000.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,277.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 2,277. **Refunded** ▶			11	0.

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SCHWARTZ & GINSBERG CPA'S PC Telephone no.▶212-468-4915
Located at ▶1740 BROADWAY-3RD FLOOR, NEW YORK, NY ZIP+4 ▶10019

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WENDY SANDLER 839 ORIENTA AVE. MAMARONECK, NY 10543	PRESIDENT 0.00	0.	0.	0.
NEIL SANDLER 839 ORIENTA AVE. MAMARONECK, NY 10543	SECRETARY AND TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,698,440.
b	Average of monthly cash balances	1b	2,071,851.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	21,770,291.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,770,291.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	326,554.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,443,737.
6	**Minimum investment return** Enter 5% of line 5	6	1,072,187.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,072,187.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 62,723.		
b	Income tax for 2016 (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	62,723.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,009,464.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,009,464.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,009,464.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,226,195.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,226,195.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	62,723.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,163,472.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,009,464.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			11,092.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 1,226,195.				
a Applied to 2015, but not more than line 2a			11,092.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,009,464.
e Remaining amount distributed out of corpus	205,639.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	205,639.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	205,639.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016 205,639.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT			GENERAL	1,223,885.
Total			▶ 3a	1,223,885.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	147.	
4 Dividends and interest from securities			14	6,753.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	6,285,616.	
8 Gain or (loss) from sales of assets other than inventory			18	-33,116.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		6,259,400.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 6,259,400.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

623621 11-23-16

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Wendy L Zeller | 7-7-2017 | President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Leonard Schwartz	Leonard Schwartz	06/29/17		P00005585

Firm's name ▶ Schwartz & Ginsberg CPA's P.C. Firm's EIN ▶ 27-0288171

Firm's address ▶ 1740 Broadway New York, NY 10019-4315 Phone no. 212-468-4915

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
LAKE ROAD FOUNDATION	13-3745065

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
LAKE ROAD FOUNDATION	13-3745065

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RITA LOWENSTEIN 1060 SEVEN OAKS LANE MAMARONECK, NY 10543	$ 268,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
LAKE ROAD FOUNDATION	13-3745065

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
LAKE ROAD FOUNDATION	13-3745065

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GLOBAL ACCESS GROWTH LTD	P		
b	LAZARD LTD-CL A	P		
c	MCDONALDS CORP.	P	04/13/17	04/26/17
d	MCDONALDS CORP.	P	04/13/17	04/28/17
e	JPM-SCHEDULE ATTD	P		
f	JPM-PER SCHEDULE ATTD	P		
g	JPM-PER SCHEDULE ATTD	P		
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	722,640.		750,000.	-27,360.
b	39,449.		40,264.	-815.
c	2,683.		2,486.	197.
d	2,809.		2,616.	193.
e	334,513.		326,339.	8,174.
f	26,790.		26,946.	-156.
g	111,232.		124,581.	-13,349.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-27,360.
b				-815.
c				197.
d				193.
e				8,174.
f				-156.
g				-13,349.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	-33,116.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-01-16

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
JP MORGAN	143.	143.	
MORGAN STANLEY	3.	3.	
UBS	1.	1.	
Total to Part I, line 3	147.	147.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
JP MORGAN	6,753.	0.	6,753.	6,753.	
To Part I, line 4	6,753.	0.	6,753.	6,753.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
IRA - KENSICO	6,285,616.	6,285,616.	
Total to Form 990-PF, Part I, line 11	6,285,616.	6,285,616.	

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SCHWARTZ & GINSBERG CPA PC	7,000.	4,690.		2,310.
To Form 990-PF, Pg 1, ln 16b	7,000.	4,690.		2,310.

Form 990-PF Other Professional Fees Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
UNITED CORPORATE SERVICES	198.	0.		0.
To Form 990-PF, Pg 1, ln 16c	198.	0.		0.

Form 990-PF Taxes Statement 6

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	22,096.	0.		0.
FOREIGN TAX WITHHELD	45.	45.		0.
To Form 990-PF, Pg 1, ln 18	22,141.	45.		0.

Form 990-PF Other Expenses Statement 7

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FILING FEES	750.	0.		0.
INVESTMENT MANAGEMENT FEES	15,453.	15,453.		0.
DE DIVISION OF CORPORATIONS	163.	0.		0.
To Form 990-PF, Pg 1, ln 23	16,366.	15,453.		0.

Form 990-PF Other Decreases in Net Assets or Fund Balances Statement 8

Description	Amount
DIFFERENCE BETWEEN BASIS AND BOOK VALUE	4,875,163.
Total to Form 990-PF, Part III, line 5	4,875,163.

Lake Road Foundation
Distribution Checks to Charities
FYE 4/30/17

DATE	Check #	CHARITY NAME	AMOUNT
05/31/16	1985	Trustees of University of Pennsylvania	35,350 00
05/24/16	1986	UJA Federation of New York	500 00
05/03/16	Debit	Memorial Sloan Kettering Cancer Center	500 00
05/13/16	Debit	Michael J Fox Foundation	100 00
06/29/16	1988	Northwestern University	25,000 00
06/23/16	1991	Westchester Jewish Community Service	27,500 00
07/18/16	Debit	Pan Mass Challenge	255 62
07/25/16	Debit	Pan Mass Challenge	255 62
07/25/16	Debit	Swim Across America	100 00
08/22/16	Debit	American Cancer Society	100 00
08/22/16	Debit	Komen NYC Race for the Cure	180 00
08/31/16	Debit	Avon Breast Cancer	100 00
09/15/16	Debit	Avon Breast Cancer	180 00
10/12/16	1992	Northwestern University	6,000 00
10/13/16	1994	St Lewis Children's Hospital	100 00
10/26/16	Debit	Moving Traditions	1,800 00
10/28/16	Online	Student Aid Fund	1,000 00
12/06/16	1995	UJA Federation of New York	215,000 00
12/12/16	Online	Anne Frank Center	1,000 00
12/12/16	Online	Birthright Israel Foundation	10,000 00
12/12/16	Online	Coalition for the Homeless	5,000 00
12/12/16	Online	Facing History	10,000 00
12/12/16	Online	Gilda's Club Westchester	1,000 00
12/12/16	Online	Friends of Israel Defense Force	1,000 00
12/12/16	Online	Mamaroneck Public Library	1,000 00
12/12/16	Online	Muhlenberg College	1,000 00
12/12/16	Online	Holocaust and Human Rights Education Center	1,000 00
12/12/16	Online	Museum of Jewish Heritage	10,000 00
12/12/16	Online	Mamaroneck School Foundation	5,000 00
12/12/16	Online	Us Shoah Foundation	1,000 00
12/13/16	Online	Emelin Theatre	1,000 00
12/13/16	Online	My Sisters Place	1,000 00
12/13/16	Online	Holocaust and Human Rights Education Center	5,000 00
12/19/16	Online	Jewish Communal Fund	500,000 00
12/19/16	Online	Memorial Sloan Kettering Cancer Center	250,000 00
12/20/16	Online	Anti Defamation League	1,000 00
12/31/16	Online	Planned Parenthood	1,000 00
12/30/16	Online	The Israel Project	5,000 00
12/30/16	Online	Propublica	5,000 00
02/08/17	1997	Hillel of Broward and Palm Beach	100 00
02/16/17	1999	Temple Israel Center	2,500 00
02/14/17	2000	Westchester Jewish Community Service	1,000 00
02/27/17	2001	Mamaroneck Student Aid Food	1,410 00
03/22/17	Online	Planned Parenthood	100 00
04/20/17	2002	UJA Federation	44,200 00
04/27/17	2003	Jewish Federation of New Jersey	54 00
04/18/17	2004	Holocaust and Human Rights Education Center	5,000 00
04/27/17	Online	Gilda's Club Westchester	5,000 00
04/27/17	Online	The Jewish Education Project	10,000 00
04/27/17	Online	Center for Reproductive Rights	1,000 00
04/27/17	Online	J-Teen Leadership	5,000 00
04/27/17	Online	Sunrise Association	16,000 00
04/27/17	Online	Sundance Institute	2,500 00
TOTAL			1,223,885.24

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ENERGY SELECT SECTOR SPDR 81369Y-50-6 XLE	67 84	153 000	10 379 52	10 246 15	133 37	255 20	2 46%
US All Cap Equity							
ANADARKO PETROLEUM CORP 032511-10-7 APC	57 02	58 000	3,307 16	4,214 25	(907 09)	11 60	0 35%
APACHE CORP 037411-10-5 APA	48 64	76 000	3,696 64	4,696 24	(999 60)	76 00 19 00	2 06%
CABOT OIL & GAS CORP 127097-10-3 COG	23 24	81 000	1,882 44	1,968 13	(85 69)	6 48	0 34%
CENTERPOINT ENERGY INC 15189T-10-7 CNP	28 53	120 000	3,423 60	2,834 12	589 48	128 40	3 75%
CHENIERE ENERGY INC 16411R-20-8 LNG	45 35	53 000	2,403 55	2,141 67	261 88		
CHICAGO BRIDGE & IRON CO NV 167250-10-9 CBI	30 08	74 000	2 225 92	3,470 82	(1,244 90)	20 72	0 93%
CIMAREX ENERGY CO 171798-10-1 XEC	116 68	18 000	2,100 24	1 975 09	125 15	5 76	0 27%
CLEAN HARBORS INC 184496-10-7 CLH	58 11	62 000	3,602 82	2,928 77	674 05		

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
DEVON ENERGY CORP 25179M-10-3 DVN	39 49	67 000	2,645 83	2 882 60	(236 77)	16 08	0 61%
DIAMONDBACK ENERGY INC 25278X-10-9 FANG	99 84	22 000	2,196 48	2,058 09	138 39		
DOMINION RESOURCES INC/VA 25746U-10-9 D	77 43	41 000	3,174 63	2,998 03	176 60	123 82	3 90%
DOW CHEMICAL CO/THE 260543-10-3 DOW	62 80	35 000	2,198 00	1,774 82	423 18	64 40	2 93%
ENERGEN CORP 29265N-10-8 EGN	51 99	74 000	3,847 26	4,717 73	(870 47)		
EOG RESOURCES INC 26875P-10-1 EOG	92 50	25 000	2,312 50	2,342 49	(29 99)	16 75	0 72%
EQT CORP 26884L-10-9 EQT	58 14	70 000	4,069 80	5,451 10	(1 381 30)	8 40	0 21%
FLOWSERVE CORP 34354P-10-5 FLS	50 87	118 000	6,002 66	8,775 40	(2,772 74)	89 68	1 49%
HALLIBURTON CO 406216-10-1 HAL	45 88	42 000	1,926 96	2,147 62	(220 66)	30 24	1 57%
HESS CORP 42809H-10-7 HES	48 83	41 000	2,002 03	2,748 58	(746 55)	41 00	2 05%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	131 14	20 000	2,622 80	1,883 05	739 75	53 20	2 03%
IDEX CORP 45167R-10-4 IEX	104 76	31 000	3 247 56	2,329 61	917 95	42 16	1 30%
JACOBS ENGINEERING GROUP INC 469814-10-7 JEC	54 92	52 000	2,855 84	3,097 00	(241 16)	31 20	1 09%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US All Cap Equity							
KINDER MORGAN INC 49456B-10-1 KMI	20 63	221 000	4,559 23	5 103 89	(544 66)	110 50 / 27 63	2 42 %
KIRBY CORP 497266-10-6 KEX	70 60	38 000	2,682 80	3,445 08	(762 28)		
LINCOLN ELECTRIC HOLDINGS 533900-10-6 LECO	89 03	47 000	4,184 41	3,434 18	750 23	65 80	1 57 %
MARATHON OIL CORP 565849-10-6 MRO	14 87	176 000	2,617 12	2,782 37	(165 25)	35 20	1 34 %
MARTIN MARIETTA MATERIALS 573284-10-6 MLM	220 19	15 000	3,302 85	1,657 69	1,645 16	25 20	0 76 %
MURPHY USA INC 626755-10-2 MUSA	69 57	39 000	2,713 23	1,959 34	753 89		
NABORS INDUSTRIES LTD G6359F-10-3 NBR	10 34	160 000	1,654 40	2,374 56	(720 16)	38 40	2 32 %
NATIONAL FUEL GAS CO 636180-10-1 NFG	55 38	25 000	1,384 50	1,399 59	(15 09)	40 50	2 93 %
NEWFIELD EXPLORATION CO 651290-10-8 NFX	34 62	118 000	4,085 16	3,448 72	636 44		
NOBLE ENERGY INC 655044-10-5 NBL	32 33	84 000	2,715 72	4,067 77	(1,352 05)	33 60	1 24 %
OCCIDENTAL PETROLEUM CORP 674599-10 5 OXY	61 54	27 000	1,661 58	2,200 31	(538 73)	82 08	4 94 %
OGE ENERGY CORP 670837-10-3 OGE	34 78	56 000	1,947 68	1,737 39	210 29	67 76	3 48 %
PDC ENERGY INC 69327R-10-1 PDCE	55 23	28 000	1,546 44	1,639 29	(92 85)		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US All Cap Equity							
PENTAIR PLC G7S00T-10-4 PNR	64 51	28 000	1,806 28	1,448 48	357 80	38 64 / 9 66	2 14%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	172 99	9 000	1,556 91	1,558 09	(1 18)	0 72	0 05%
PLAINS GP HLDGS LP LTD 72651A-20-7 PAGP	29 77	76 000	2,262 52	2,865 63	(603 11)	157 20 / 41 80	7 39%
PROPETRO HOLDING CORP 74347M-10-8 PUMP	13 55	58 000	785 90	829 29	(43 39)		
QEP RESOURCES INC 74733V-10-0 QEP	11 81	83 000	980 23	1,543 61	(563 38)		
RANGE RESOURCES CORP 75281A 10-9 RRC	26 49	71 000	1,880 79	2,960 37	(1,079 58)	5 68	0 30%
ROYAL DUTCH SHELL-SPON ADR-A 780259-20-6 RDS A	52 19	52 000	2,713 88	3,331 98	(618 10)	166 19	6 12%
RPC INC 749660-10-6 RES	18 17	57 000	1,035 69	1,124 02	(88 33)	11 40	1 10%
SCHLUMBERGER LTD 806857-10-8 SLB	72 59	44 000	3,193 96	3,697 00	(503 04)	88 00	2 76%
SEMPRA ENERGY 816851-10-9 SRE	113 02	24 000	2,712 48	2,568 07	144 41	78 96	2 91%
P SUPERIOR ENERGY SERVICES INC 868157-10-8 SPN	12 08	199 000	2,403 92	3,027 86	(623 94)		
TRANSCANADA CORP 89353D-10-7 TRP	46 44	66 000	3,065 04	2,685 22	379 82	126 12	4 11%
TRINITY INDUSTRIES INC 896522-10-9 TRN	26 90	94 000	2,528 60	2,825 52	(296 92)	41 36	1 64%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US All Cap Equity							
UNION PACIFIC CORP 907818-10-8 UNP	111 96	24 000	2,687 04	2,049 22	637 82	58 08	2 16 %
WASTE CONNECTIONS INC 94106B 10-1 WCN	92 02	29 000	2,668 58	916 69	1,751 89	20 88	0 78 %
WEATHERFORD INTERNATIONAL PL G48833-10-0 WFT	5 77	437 000	2,521 49	3,148 20	(626 71)		
WILLIAMS COS INC 969457-10-0 WMB	30 63	99 000	3,032 37	2,322 22	710 15	118 80	3 92 %
WPX ENERGY INC 98212B-10-3 WPX	11 93	108 000	1,288 44	1,110 02	178 42		
Total US All Cap Equity			$135,923 96	$142,696 88	($6,772 92)	$2,186 96 $98 09	1 61 %

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate & Infrastructure	33,209 43	55 344 95	22,135 52	27%

Alternative Assets Detail

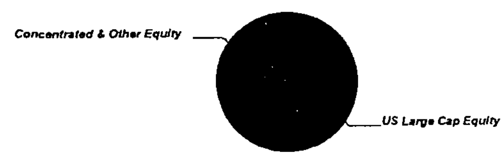
	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc Accrued Div	Yield
Real Estate & Infrastructure						
TORTOISE MLP & PIPELINE-INST 56166Y-40-4 TORI X	3,930 75	58,818 76		55,344 95	1 029 85	1 86 %

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund s actual books and records as of the date of this statement For additional information, please contact your J P Morgan representative
For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	209,492 71	892,542 90	683 050 19	86%
Concentrated & Other Equity	26 540 76	100,868 12	74,327 36	10%
Total Value	**$236,033 47**	**$993,411 02**	**$757,377 55**	**96%**

Asset Categories



Equity as a percentage of your portfolio - 96 %

Market Value/Cost	Current Period Value
Market Value	993,411 02
Tax Cost	918,229 89
Unrealized Gain/Loss	75,181 13
Estimated Annual Income	10,605 58
Accrued Dividends	51 09
Yield	1 06 %

Note   P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ADOBE SYSTEMS INC 00724F-10-1 ADBE	133 74	252 000	33,702 48	29,427 88	4,274 60		
ALPHABET INC/CA-CL A 02079K-30-5 GOOG L	924 52	67 000	61 942 84	51,674 47	10,268 37		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
BANK OF AMERICA CORP 060505-10-4 BAC	23 34	2,491 000	58,139 94	52,055 50	6,084 44	747 30	1 29%
BOSTON SCIENTIFIC CORP 101137-10 7 BSX	26 38	2 142 000	56,505 96	49 288 11	7,217 85		
COSTCO WHOLESALE CORP 22160K 10-5 COST	177 52	278 000	49 350 56	46 325 40	3,025 16	556 00	1 13%
CSX CORP 126408-10-3 CSX	50 84	572 000	29 080 48	27,385 00	1 695 48	457 60	1 57%
DISH NETWORK CORP-A 25470M 10-9 DISH	64 44	556 000	35,828 64	33,480 12	2,348 52		
EOG RESOURCES INC 26875P-10-1 EOG	92 50	320 000	29,600 00	30,280 21	(680 21)	214 40	0 72%
FACEBOOK INC-A 30303M-10-2 FB	150 25	321 000	48 230 25	39,311 18	8,919 07		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	223 80	174 000	38,941 20	35,798 82	3,142 38	522 00	1 34%
P **HALLIBURTON CO** 406216-10-1 HAL	45 88	822 000	37,713 36	41,230 07	(3,516 71)	591 84	1 57%
HOME DEPOT INC 437076-10-2 HD	156 10	217 000	33,873 70	27,886 46	5,987 24	772 52	2 28%
JETBLUE AIRWAYS CORP 477143-10-1 JBLU	21 83	1,618 000	35,320 94	33,277 73	2,043 21		
KBR INC 48242W-10-6 KBR	14 05	1,404 000	19,726 20	20,611 36	(885 16)	449 28	2 28%
MERCK & CO INC 58933Y-10-5 MRK	62 33	652 000	40,639 16	39,939 67	699 49	1,225 76	3 02%

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
	US Large Cap Equity							
	METLIFE INC 59156R-10-8 MET	51 81	853 000	44,193 93	41 726 38	2,467 55	1 364 80	3 09%
	PAYPAL HOLDINGS INC 70450Y-10-3 PYPL	47 72	947 000	45,190 84	39,639 27	5,551 57		
P	PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	172 99	90 000	15 569 10	15,595 23	(26 13)	7 20	0 05%
	SALESFORCE COM INC 79466L-30-2 CRM	86 12	451 000	38,840 12	36 144 77	2,695 35		
	STANLEY BLACK & DECKER INC 854502-10-1 SWK	136 15	234 000	31,859 10	27,596 52	4,262 58	542 88	1 70%
	THE KRAFT HEINZ CO 500754-10-6 KHC	90 39	331 000	29,919 09	28 196 32	1,722 77	794 40	2 66%
	VULCAN MATERIALS CO 929160-10-9 VMC	120 88	319 000	38,560 72	37,645 70	915 02	319 00	0 83%
	WESTERN DIGITAL CORP 958102-10-5 WDC	89 07	447 000	39 814 29	32,038 37	7,775 92	894 00	2 25%
	Total US Large Cap Equity			**$892,542 90**	**$816,554 54**	**$75,988 36**	**$9,458 98**	**1.06%**

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
	Concentrated & Other Equity							
	BRISTOL-MYERS SQUIBB CO 110122-10-8 BMY	56 05			0 00		51 09	2 78%
P	CIT GROUP INC 125581-80-1 CIT	46 31			0 00			1 30%
	DICK'S SPORTING GOODS INC 253393-10-2 DKS	50 55	535 000	27,044 25	26,442 34	601 91	363 80	1 35%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield

Concentrated & Other Equity

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
	FLEETCOR TECHNOLOGIES INC 339041 10-5 FLT	141 14	264 000	37 260 96	38 007 74	(746 78)		
P	NOBLE ENERGY INC 655044-10-5 NBL	32 33	307 000	9,925 31	10 586 88	(661 57)	122 80	1 24%
	RALPH LAUREN CORP 751212-10-1 RL	80 72	330 000	26 637 60	26,638 39	(0 79)	660 00	2 48%
	Total Concentrated & Other Equity			**$100,868 12**	**$101,676 35**	**($807.23)**	**$1,146 60** **$51 09**	**1.14%**

Note P indicates position adjusted for Pending Trade Activity
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
ACCENTURE PLC-CL A G1151C-10-1 ACN	121 30	635 000	77,025 50	73,187 56	3,837 94	1,536 70	2 00%
ADIDAS AG-SPONSORED ADR 00687A-10-7 ADDY Y	100 30	496 000	49,749 30	46,852 16	2,897 14	383 90	0 77%
AIA GROUP LTD-SP ADR 001317-20-5 AAGI Y	27 84	1,880 000	52,339 20	48,466 40	3,872 80	748 24	1 43%
AMBEV SA-ADR 02319V-10-3 ABEV	5 73	10,112 000	57,941.76	57,383 58	558 18	1,688 70	2 91%
ATLAS COPCO AB-SPONS ADR A 049255-70-6 ATLK Y	37 54	1,154 000	43,321 16	41,047 78	2,273 38	625 46	1 44%
CANADIAN PACIFIC RAILWAY LTD 13645T-10-0 CP	153 25	520 000	79,690 00	78,132 03	1,557 97	769 60	0 97%
CHR HANSEN HOLDING -SPON ADR 12545M-20-7 CHYH Y	34 03	1,552 000	52,814 56	48,639 68	4,174 88	374 03	0 71%
CHUBB LTD H1467J-10-4 CB	137 25	678 000	93,055 50	92,922 27	133 23	1,871 28	2 01%
COLOPLAST A/S ADR 19624Y-10-1 CLPB Y	8 56	7,701 000	65,905 93	60,908 73	4,997 20	777 80	1 18%
COMPASS GROUP PLC-SPON ADR 20449X-30-2 CMPG Y	20 32	4,071 000	82,722 72	78,977 40	3,745 32	1,489 98	1 80%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
CORE LABORATORIES N.V. N22717-10-7 CLB	110 82	545 000	60,396 90	62,578 26	(2,181 36)	1,199 00 254 79	1 99%
CSL LTD-SPONSORED ADR 12637N-20-4 CSLL Y	49 63	2,099 000	104,173 37	101,321 46	2,851 91	1,204 82	1 16%
CTRIP COM INTERNATIONAL-ADR 22943F-10-0 CTRP	50 51	1,282 000	64,753 82	62,037 90	2,715 92		
DSV A S UNSPONSORED ADR 26251A-10-8 DSDV Y	27 87	2,397 000	66,804 39	62,226 12	4,578 27	196 55	0 29%
ESSILOR INTL-UNSPON ADR 297284-20-0 ESLO Y	64 90	828 000	53,737 20	50,814 65	2,922 55	508 39	0 95%
EXPERIAN PLC-SPONS ADR 30215C-10-1 EXPG Y	21 59	4,457 000	96,226 63	90,825 19	5,401 44	1,649 09	1 71%
HDFC BANK LTD-ADR 40415F-10-1 HDB	79 61	862 000	68,623 82	66,606.74	2,017 08	347 38	0 51%
HERMES INTL-UNSPONSORED ADR 42751Q-10-5 HESA Y	47 97	1,026 000	49,213 12	50,310 95	(1,097 83)	263 68	0 54%
ICON PLC G4705A-10-0 ICLR	84 49	525 000	44,357 25	40,761 21	3,596 04		
INDITEX-UNSPON ADR 455793-10-9 IDEX Y	19 19	1,702 000	32,661 38	30,976 40	1,684 98	423 79 167 21	1 30%
P LAZARD LTD-CL A G54050-10-2 LAZ	42 94	146 000	6,269 24	6,538 90	(269 66)	239 44	3 82%
LUXOTTICA GROUP SPA-SPON ADR 55068R-20-2 LUX	57 80	728 000	42,078 40	40,104 43	1,973 97	523 43	1 24%
LVMH MOET HENNESSY-UNSP ADR 502441-30-6 LVMU Y	49 38	1,406 000	69,431 09	62,024 53	7,406 56	881 56	1 27%

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EAFE Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
NESTLE SA-SPONS ADR 641069-40-6 NSRG Y	77 00	1,056 000	81,310 94	80,076 48	1,234 46	2,041 24	2 51%
NOVOZYMES A/S-UNSPONS ADR 670108-10-9 NVZM Y	43 21	1,309 000	56,561 89	53,799 90	2,761 99	477 78	0 84%
PROCEEDS FROM PENDING SALES	1 00	26,116 120	26,116 12	26,116 12			
RECKITT BENCKISER-SPON ADR 756255-20-4 RBGL Y	18 75	4,830 000	90,581 82	91,647 60	(1,065 78)	1,748 46	1 93%
SGS SA-UNSPONSORED ADR 818800-10-4 SGSO Y	22 50	2,657 000	59,782 50	57,675 76	2,106 74	1,094 68	1 83%
SYSMEX CORP-UNSPON ADR 87184P-10-9 SSMX Y	30 44	1,006 000	30,622 64	31,035 10	(412 46)	194 15	0 63%
TAIWAN SEMICONDUCTOR-SP ADR 874039-10-0 TSM	33 07	2,397 000	79,268 79	76,668 05	2,600 74	1,802 54	2 27%
TENCENT HOLDINGS LTD-UNS ADR 88032Q-10-9 TCEH Y	31 35	2,607 000	81,729 45	77,375 76	4,353 69	179 88	0 22%
US DOLLAR	1 00	49,566 440	49,566 44	49,566 44		296 40 228 05	0 60%
WALMART DE MEXICO-SPON ADR V 93114W-10-7 WMMV Y	22 54	2,337 000	52,675 98	55,316 79	(2,640 81)	677 73	1 29%
YANDEX NV-A N97284-10-8 YNDX	27 26	2,026 000	55,228 76	46,263 51	8,965 25		
Total EAFE Equity			$2,076,737 57	$1,999,185.84	$77,551.73	$26,215.68 $650.05	1.26%

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J.P.Morgan

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Account Detail

Select UMA Active Assets Account
622-069220-040

LAKE ROAD FOUNDATION
C/O WENDY SANDLER &

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ABBVIE INC COM (ABBV)	4/13/17	400 000	$64 357	$65 940	$25 742 88	$26 376 00	$633 12 ST	$1,024 00	3 88
Next Dividend Payable 05/15/17 Asset Class Equities									
ALTRIA GROUP INC (MO)	4/13/17	345 000	71 637	71 780	24,714 83	24 764 10	49 27 ST	842 00	3 40
Next Dividend Payable 07/2017, Asset Class Equities									
AMERICAN ELECTRIC POWER CO (AEP)	4/13/17	102 000	67 810	67 830	6,916 62	6,918 66	2 04 ST	241 00	3 48
Next Dividend Payable 06/2017, Asset Class Equities									
ASTRAZENECA PLC ADS (AZN)	4/13/17	459 000	30 165	30 250	13,845 74	13,884 75	39 01 ST	629 00	4 53
Next Dividend Payable 09/2017 Asset Class Equities									
AT&T INC (T)	4/13/17	677 000	40 495	39 630	27 415 12	26,829 51	(585 61) ST	1,327 00	4 94
Next Dividend Payable 05/01/17, Asset Class Equities									
BCE INC (BCE)	4/13/17	535 000	45 777	45 570	24,490 75	24,379 95	(110 80) ST	1 132 00	4 64
Next Dividend Payable 07/2017, Asset Class Equities									
BP PLC ADS (BP)	4/13/17	343 000	35 097	34 320	12,038 31	11,771 76	(266 55) ST	816 00	6 93
Asset Class Equities									
CHEVRON CORP (CVX)	4/13/17	127 000	106 868	106 700	13,572 27	13,550 90	(21 37) ST		
	4/26/17	12 000	106 937	106 700	1,283 24	1,280 40	(2 84) ST		
	Total	139 000			14,855 51	14,831 30	(24 21) ST	600 00	4 04
Next Dividend Payable 06/2017, Asset Class Equities									
COCA COLA CO (KO)	4/13/17	469 000	43 018	43 150	20,175 44	20,237 35	61 91 ST		
	4/28/17	31 000	42 999	43 150	1 332 97	1,337 65	4 68 ST		
	Total	500 000			21,508 41	21,575 00	66 59 ST	740 00	3 42
Next Dividend Payable 07/2017, Asset Class Equities									
CONSOLIDATED EDISON INC (ED)	4/13/17	85 000	78 730	79 280	6,692 04	6 738 80	46 76 ST	235 00	3 48
Next Dividend Payable 06/2017, Asset Class Equities									
CROWN CASTLE INTL CORP (CCI)	4/13/17	192 000	96 263	94 600	18 482 59	18,163 20	(319 39) ST		
	4/26/17	15 000	94 243	94 600	1,413 65	1,419 00	5 35 ST		
	Total	207 000			19,896 24	19,582 20	(314 04) ST	787 00	4 01
Next Dividend Payable 06/2017, Asset Class Alt									
DOMINION RES INC (D)	4/13/17	238 000	77 830	77 430	18,523 49	18 428 34	(95 15) ST	719 00	3 90
Next Dividend Payable 06/2017, Asset Class Equities									
DUKE ENERGY CORP NEW (DUK)	4/13/17	228 000	82 839	82 500	18,887 29	18 810 00	(77 29) ST	780 00	4 14
Next Dividend Payable 06/2017, Asset Class Equities									

Account Detail

Select UMA Active Assets Account
622-069220-040

LAKE ROAD FOUNDATION
C/O WENDY SANDLER &

Secunty Descnption	Trade Date	Quantity	Unit Cost	Share Pnce	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXXON MOBIL CORP (XOM)	4/13/17	229 000	82 097	81 650	18,800 17	18,697 85	(102 32) ST	705 00	3 77
Next Dividend Payable 06/2017, Asset Class Equities									
GENERAL MILLS INC (GIS)	4/13/17	79 000	57 387	57 510	4,533 55	4,543 29	9 74 ST	152 00	3 34
Next Dividend Payable 05/01/17 Asset Class Equities									
GLAXOSMITHKLINE PLC ADR (GSK)	4/13/17	340 000	41 877	40 900	14,238 15	13,906 00	(332 15) ST	669 00	4 81
Next Dividend Payable 07/2017 Asset Class Equities									
KIMBERLY CLARK CORP (KMB)	4/13/17	89 000	132 960	129 750	11,833 48	11,547 75	(285 73) ST	345 00	2 98
Next Dividend Payable 07/2017, Asset Class Equities									
MC DONALDS CORP (MCD)	4/13/17	144 000	130 819	139 930	18,837 99	20,149 92	1,311 93 ST	541 00	2 68
Next Dividend Payable 06/2017 Asset Class Equities									
MERCK & CO INC NEW COM (MRK)	4/13/17	267 000	62 670	62 330	16,732 89	16,642 11	(90 78) ST	502 00	3 01
Next Dividend Payable 07/2017, Asset Class Equities									
NATL GRID TRANSCO PLC ADS (NGG)	4/13/17	331 000	64 874	64 870	21 473 39	21,471 97	(1 42) ST	979 00	4 55
Asset Class Equities									
NOVARTIS AG ADR (NVS)	4/13/17	166 000	73 296	77 030	12,167 15	12,786 98	619 83 ST	382 00	2 98
Asset Class Equities									
OCCIDENTAL PETROLEUM CORP DE (OXY)	4/13/17	210 000	64 357	61 540	13,514 93	12,923 40	(591 53) ST	638 00	4 93
Next Dividend Payable 07/2017 Asset Class Equities									
PEPSICO INC NC (PEP)	4/13/17	60 000	113 185	113 280	6,791 10	6,796 80	5 70 ST	181 00	2 66
Next Dividend Payable 06/2017, Asset Class Equities									
PHILIP MORRIS INTL INC (PM)	4/13/17	241 000	113 197	110 840	27,280 36	26,712 44	(567 92) ST	1,003 00	3 75
Next Dividend Payable 07/2017, Asset Class Equities									
PPL CORPORATION (PPL)	4/13/17	355 000	37 767	38 110	13,407 32	13,529 05	121 73 ST		
	4/28/17	35 000	38 120	38 110	1,334 21	1,333 85	(0 36) ST		
	Total	390 000			14,741 53	14,862 90	121 37 ST	616 00	4 14
Next Dividend Payable 07/2017 Asset Class Equities									
PROCTER & GAMBLE (PG)	4/13/17	140 000	90 279	87 330	12,638 99	12,226 20	(412 79) ST	386 00	3 15
Next Dividend Payable 05/15/17 Asset Class Equities									
PUBLIC SERVICE ENTERPRISE GP (PEG)	4/13/17	126 000	45 855	44 050	5,777 73	5 550 30	(227 43) ST	217 00	3 90
Next Dividend Payable 06/2017, Asset Class Equities									
REALTY INCOME CORP (O)	4/13/17	91 000	61 543	58 350	5,600 37	5,309 85	(290 52) ST	230 00	4 33
Next Dividend Payable 05/15/17, Asset Class Alt									
SANOFI ADR (SNY)	4/13/17	564 000	45 636	47 300	25,738 98	26 677 20	938 22 ST	594 00	2 22
Asset Class Equities									

Account Detail

Select UMA Active Assets Account
622-069220-040

LAKE ROAD FOUNDATION
C/O WENDY SANDLER &

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SOUTHERN CO (SO) Next Dividend Payable 06/2017, Asset Class Equities	4/13/17	179 000	49 597	49 800	8,877 81	8,914 20	36 39 ST	415 00	4 65
TOTAL S A SPON ADR (TOT) Asset Class Equities	4/13/17	219 000	50 940	51 170	11 155 80	11,206 23	50 43 ST	491 00	4 38
VENTAS INC (VTR) Next Dividend Payable 06/2017, Asset Class Alt	4/13/17	159 000	65 195	64 010	10,366 07	10,177 59	(188 48) ST	493 00	4 84
VERIZON COMMUNICATIONS (VZ) Next Dividend Payable 05/01/17 Asset Class Equities	4/13/17	536 000	48 739	45 910	26,124 00	24,607 76	(1 516 24) ST	1,238 00	5 03
VODAFONE GROUP PLC (VOD) Next Dividend Payable 08/2017, Asset Class Equities	4/13/17	942 000	25 882	26 190	24,380 94	24,670 98	290 04 ST	1 410 00	5 71
WELLTOWER INC (HCN) Next Dividend Payable 05/2017, Asset Class Alt	4/13/17	148 000	72 826	71 440	10,778 20	10 573 12	(205 08) ST	515 00	4 87

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	98 91%	$557,910 81	$555,845 21	$(2,065 60) ST	$22,574 00	4 06%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$557,910 81	$561,961 33	$(2,065 60) ST	$22,575 00 $0 00	4 02%
TOTAL VALUE (includes accrued interest)	100 00%		$561,961 33			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available Cash, MMF Deposits and positions stating 'Please Provide' or Pending Corporate Actions' are not included

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$6 116 12	—	—	—	—	—	—
Stocks	—	$510,202 45	—	$45,642 76	—	—	—
TOTAL ALLOCATION OF ASSETS	$6,116 12	$510,202 45	—	$45,642 76	—	—	—

Account Detail

Consulting Group Advisor Active Assets Account
622-069080-040

LAKE ROAD FOUNDATION
C/O WENDY SANDLER &

Investment Objectives† Capital Appreciation Income, Aggressive Income, Speculation

Investment Advisory Account

† Inform us if your investment objectives as defined in the Expanded Disclosures change

HOLDINGS

This section reflects positions purchased/sold on a trade date basis "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market Fixed Income securities are sorted by maturity or pre-refunding date and alphabetically within date Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months is reflected only through maturity date Actual income or yield may be lower or higher than the estimates Current yield reflects the income generated by an investment, and does not reflect changes in its price Structured Investments identified on the Position Description Details line as "Asset Class Struct Inv," may appear in various statement product categories When displayed, the accrued interest annual income and current yield for those with a contingent income feature (e g , Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program and Money Market Funds are generally displayed on a settlement date basis You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	$4,642 45	—	—	0 010
MORGAN STANLEY PRIVATE BANK NA #	0 13	—	—	0 010
BANK DEPOSITS	$4,642 58		—	

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	0 18%	$4,642 58	$0 00

Bank Deposits are held at Morgan Stanley Bank, N A and/or Morgan Stanley Private Bank National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES MSCI INDIA ETF (INDA)	4/4/17	3 781 000	$31 728	$32 080	$119,964 70	$121,294 48	$1,329 78 ST	$915 00	0 75
Next Dividend Payable 06/2017, Asset Class Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	4 83%	$119,964 70	$121,294 48	$1 329 78 ST	$915 00	0 75%

Account Detail

Consulting Group Advisor Active Assets Account
622-069080-040

LAKE ROAD FOUNDATION
C/O WENDY SANDLER &

MUTUAL FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL AL or NL), may be shown for certain mutual funds and are not guarantees of performance Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
BLACKROCK HEALTH SCIENCE OPP I (SHSSX)	4/4/17	2,918 288	$51 400	$52 930	$150,000 00	$154 464 98	$4,464 98 ST	—	—
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
DELAWARE SMALL CAP CORE INST (DCCIX)	4/4/17	9,821 039	22 910	23 670	225,000 00	232,463 99	7,463 99 ST	147 00	0 06
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
FUNDVANTAGE TR POLEN GRW INST (POLIX)	4/4/17	26 777 251	21 100	21 850	565,000 00	585,082 93	20,082 93 ST	47 00	—
GIMA Status AL, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
GOLDMAN SACHS INT SMCP INSGH I (GICIX)	4/4/17	7,943 513	11 330	11 850	90,000 00	94,130 63	4 130 63 ST	2,304 00	2 44
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
HENDERSON GLOBAL INCOME I (HFQIX)	4/4/17	24 556 617	7 330	7 500	180 000 00	184,174 63	4 174 63 ST	12,303 00	6 68
GIMA Status AL, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
INVESCO EUROPEAN SM CO Y (ESMYX)	4/4/17	10,224 949	14 670	15 390	150,000 00	157,361 97	7,361 97 ST	3 200 00	2 03
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
OAKMARK INTERNATL I (OAKIX)	4/4/17	14 598 540	24 660	25 930	360,000 00	378,540 14	18,540 14 ST	4,905 00	1 29
GIMA Status FL, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
OPPENHEIMER DEVELOPING MKTS Y (ODVYX)	4/4/17	10 072 748	35 740	36 490	360,000 00	367,554 57	7,554 57 ST	1,672 00	0 45
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest Asset Class Equities									
PRINCIPAL MIDCAP P (PMCPX)	4/4/17	9,402 424	23 930	24 690	225,000 00	232,145 85	7,145 85 ST	840 00	0 36
GIMA Status FL, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	94 99%	$2,305,000 00	$2,385 919 69	$80 919 69 ST	$25,418 00	1 07%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$2,424,964 70	$2,511,856 75	$82,249 47 ST	$26,333 00 $0 00	1 05%
TOTAL VALUE (includes accrued interest)	100 00%		$2,511,856 75			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included

LESS: CASH [illegible]

MKT Value 2507218

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 111 5027 557 51 BROKERAGE 0 07 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 929160-10-9)	(5 000)	111 486	557 43	(478 82)	78 61 S
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 111 715 558 57 BROKERAGE 0 05 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 929160-10 9)	(5 000)	111 702	558 51	(478 82)	79 69 S
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 113 3165 1,019 84 BROKERAGE 0 13 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID 929160-10-9)	(9 000)	113 299	1,019 69	(861 88)	157 81 S
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 112 1059 448 42 BROKERAGE 0 06 JEFFERIES & COMPANY (ID 929160-10 9)	(4 000)	112 09	448 36	(383 06)	65 30 S
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 110 9895 1,109 89 BROKERAGE 0 10 TAX &/OR SEC 02 ROSENBLATT SECURITIES LLC (ID 929160-10 9)	(10 000)	110 977	1 109 77	(957 29)	152 48 S
5/3 5/6	Sale High Cost	VULCAN MATERIALS CO @ 111 8968 559 48 BROKERAGE 0 05 TAX &/OR SEC 01 ROSENBLATT SECURITIES LLC (ID 929160-10-9)	(5 000)	111 884	559 42	(474 61)	84 81 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/4 5/9	Sale High Cost	ELECTRONIC ARTS INC @ 62 2654 1 245 30 BROKERAGE 0 30 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 285512-10-9)	(20 000)	62 249	1,244 98	(1,579 84)	(334 86) S
5/4 5/9	Sale High Cost	ELECTRONIC ARTS INC @ 61 8773 1,670 68 BROKERAGE 0 40 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 285512-10-9)	(27 000)	61 861	1 670 25	(2,066 61)	(396 36) S
5/4 5/9	Sale High Cost	ELECTRONIC ARTS INC @ 62 00 1,178 00 BROKERAGE 0 28 TAX &/OR SEC 02 DEUTSCHE BANC ALEX BROWN INC (ID 285512-10-9)	(19 000)	61 984	1,177 70	(1,422 08)	(244 38) S
5/5 5/10	Sale High Cost	ELECTRONIC ARTS INC @ 61 8708 989 93 BROKERAGE 0 24 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 285512-10-9)	(16 000)	61 854	989 67	(1,190 29)	(200 62) S
5/5 5/10	Sale High Cost	ELECTRONIC ARTS INC @ 62 0838 1,303 75 BROKERAGE 0 31 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 285512-10-9)	(21 000)	62 068	1 303 42	(1,529 79)	(226 37) S
5/5 5/10	Sale High Cost	ELECTRONIC ARTS INC @ 62 3285 934 92 BROKERAGE 0 22 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 285512-10-9)	(15 000)	62 312	934 68	(1,092 70)	(158 02) S
5/13 5/18	Sale High Cost	VALERO ENERGY CORP @ 54 8781 1,317 07 BROKERAGE 0 36 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 91913Y-10-0)	(24 000)	54 862	1,316 69	(1,445 12)	(128 43) S
5/13 5/18	Sale High Cost	VALERO ENERGY CORP @ 55 3382 110 67 BROKERAGE 0 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 91913Y-10-0)	(2 000)	55 32	110 64	(120 43)	(9 79) S
5/13 5/18	Sale High Cost	VALERO ENERGY CORP @ 54 8405 493 56 BROKERAGE 0 09 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 91913Y-10-0)	(9 000)	54 829	493 46	(541 92)	(48 46) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/19 5/24	Sale High Cost	ADVANCE AUTO PARTS INC @ 142 5691 570 27 BROKERAGE 0 06 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 00751Y-10-6)	(4 000)	142 55	570 20	(602 03)	(31 83) L
5/19 5/24	Sale High Cost	MOHAWK INDUSTRIES INC @ 190 5191 381 03 BROKERAGE 0 03 GOLDMAN SACHS & CO (ID 608190-10-4)	(2 000)	190 50	381 00	(405 93)	(24 93) S
5/19 5/24	Sale High Cost	VALERO ENERGY CORP @ 55 251 884 01 BROKERAGE 0 24 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 91913Y-10-0)	(16 000)	55 235	883 76	(963 42)	(79 66) S
5/19 5/24	Sale High Cost	ADVANCE AUTO PARTS INC @ 142 7159 1,998 02 BROKERAGE 0 14 TAX &/OR SEC 04 STATE STREET GLOBAL MARKETS, LLC (ID 00751Y-10-6)	(14 000)	142 703	1,997 84	(2,104 06)	(106 22) L
5/19 5/24	Sale High Cost	VALERO ENERGY CORP @ 55 3116 608 42 BROKERAGE 0 16 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 91913Y-10-0)	(11 000)	55 295	608 25	(662 35)	(54 10) S
5/19 5/24	Sale High Cost	ADVANCE AUTO PARTS INC @ 143 2594 2,435 40 BROKERAGE 0 25 TAX &/OR SEC 05 GOLDMAN SACHS & CO (ID 00751Y-10-6)	(17 000)	143 241	2,435 10	(2,544 96)	(109 86) L
5/19 5/24	Sale High Cost	MOHAWK INDUSTRIES INC @ 191 2277 1,529 82 BROKERAGE 0 12 TAX &/OR SEC 03 GOLDMAN SACHS & CO (ID 608190-10-4)	(8 000)	191 209	1,529 67	(1,603 72)	(74 05) S
5/19 5/24	Sale High Cost	ADVANCE AUTO PARTS INC @ 142 1829 2,417 10 BROKERAGE 0 17 TAX &/OR SEC 05 BARCLAYS CAPITAL LE (ID 00751Y-10-6)	(17 000)	142 169	2,416 88	(2,526 64)	(109 76) L
5/19 5/25	Sale High Cost	VALERO ENERGY CORP @ 56 0925 1 065 75 BROKERAGE 0 28 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID 91913Y-10-0)	(19 000)	56 076	1,065 45	(1,143 25)	(77 80) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/20 5/25	Sale High Cost	MOHAWK INDUSTRIES INC @ 193 046 1 158 27 BROKERAGE 0 09 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 608190-10-4)	(6 000)	193 027	1 158 16	(1,184 08)	(25 92) S
5/20 5/25	Sale High Cost	MOHAWK INDUSTRIES INC @ 192 8715 3,085 94 BROKERAGE 0 24 TAX &/OR SEC 06 JEFFERIES & COMPANY (ID 608190-10-4)	(16 000)	192 853	3 085 64	(3,110 09)	(24 45) S
5/20 5/25	Sale High Cost	MOHAWK INDUSTRIES INC @ 192 825 192 82 BROKERAGE 0 01 BARCLAYS CAPITAL LE (ID 608190-10-4)	(1 000)	192 81	192 81	(193 28)	(0 47) S
5/31 6/3	Sale High Cost	VULCAN MATERIALS CO @ 116 8922 1,168 92 BROKERAGE 0 15 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 929160-10-9)	(10 000)	116 875	1 168 75	(948 02)	220 73 S
5/31 6/3	Sale High Cost	VULCAN MATERIALS CO @ 116 5229 1,398 27 BROKERAGE 0 12 TAX &/OR SEC 03 ROSENBLATT SECURITIES LLC (ID 929160-10-9)	(12 000)	116 51	1,398 12	(1,105 67)	292 45 S
5/31 6/3	Sale High Cost	VULCAN MATERIALS CO @ 116 75 116 75 BROKERAGE 0 01 DEUTSCHE BANC ALEX BROWN INC (ID 929160-10-9)	(1 000)	116 74	116 74	(87 00)	29 74 S
6/15 6/20	Sale High Cost	SYNCHRONY FINANCIAL @ 26 7143 2,537 85 BROKERAGE 1 42 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 87165B-10-3)	(95 000)	26 699	2 536 38	(2,696 33)	(159 95) S
6/15 6/20	Sale High Cost	VULCAN MATERIALS CO @ 114 57 114 57 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC (ID 929160-10-9)	(1 000)	114 56	114 56	(87 00)	27 56 S
6/15 6/20	Sale High Cost	VULCAN MATERIALS CO @ 114 1715 799 20 BROKERAGE 0 10 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 929160-10-9)	(7 000)	114 156	799 09	(609 02)	190 07 S
6/15 6/20	Sale High Cost	SYNCHRONY FINANCIAL @ 26 9705 296 67 BROKERAGE 0 16 MORGAN STANLEY & CO LLC (ID 87165B-10-3)	(11 000)	26 955	296 51	(295 64)	0 87 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/15 6/20	Sale High Cost	VULCAN MATERIALS CO @ 114 615 343 84 BROKERAGE 0 04 BARCLAYS CAPITAL LE (ID 929160-10-9)	(3 000)	114 60	343 80	(261 01)	82 79 S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 8929 103 57 BROKERAGE 0 04 STATE STREET GLOBAL MARKETS LLC (ID 87165B-10-3)	(4 000)	25 883	103 53	(105 70)	(2 17) S
6/16 6/21	Sale High Cost	VULCAN MATERIALS CO @ 112 965 112 96 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS LLC (ID 929160-10-9)	(1 000)	112 95	112 95	(87 00)	25 95 S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 815 154 89 BROKERAGE 0 06 LIQUIDNET INC (ID 87165B-10-3)	(6 000)	25 805	154 83	(158 55)	(3 72) S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 7573 334 84 BROKERAGE 0 13 LIQUIDNET INC (ID 87165B-10-3)	(13 000)	25 747	334 71	(343 52)	(8 81) S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 705 128 52 BROKERAGE 0 05 ROSENBLATT SECURITIES LLC (ID 87165B-10-3)	(5 000)	25 694	128 47	(132 12)	(3 65) S
6/16 6/21	Sale High Cost	VULCAN MATERIALS CO @ 112 865 225 73 BROKERAGE 0 02 ROSENBLATT SECURITIES LLC (ID 929160-10-9)	(2 000)	112 855	225 71	(174 01)	51 70 S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 7929 722 20 BROKERAGE 0 42 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 87165B-10-3)	(28 000)	25 778	721 77	(728 64)	(6 87) S
6/16 6/21	Sale High Cost	SYNCHRONY FINANCIAL @ 25 8161 1,368 25 BROKERAGE 0 79 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 87165B-10-3)	(53 000)	25 801	1,367 44	(1,377 57)	(10 13) S
6/16 6/21	Sale High Cost	VULCAN MATERIALS CO @ 112 8372 451 34 BROKERAGE 0 06 BARCLAYS CAPITAL LE (ID 929160-10-9)	(4 000)	112 82	451 28	(344 35)	106 93 S
6/16 6/21	Sale High Cost	VULCAN MATERIALS CO @ 114 0149 570 07 BROKERAGE 0 07 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 929160-10-9)	(5 000)	113 998	569 99	(429 55)	140 44 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/24 6/29	Sale High Cost	GILEAD SCIENCES INC @ 81 7835 163 56 BROKERAGE 0 03 S G COWEN & CO (ID 375558-10-3)	(2 000)	81 765	163 53	(205 43)	(41 90) S
6/24 6/29	Sale High Cost	GILEAD SCIENCES INC @ 81 4196 407 09 BROKERAGE 0 07 S G COWEN & CO (ID 375558-10-3)	(5 000)	81 404	407 02	(513 58)	(106 56) S
6/24 6/29	Sale High Cost	GILEAD SCIENCES INC @ 80 6632 241 98 BROKERAGE 0 04 GOLDMAN SACHS & CO (ID 375558-10-3)	(3 000)	80 647	241 94	(308 15)	(66 21) S
6/24 6/29	Sale High Cost	GILEAD SCIENCES INC @ 80 6496 483 89 BROKERAGE 0 09 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 375558-10-3)	(6 000)	80 632	483 79	(616 29)	(132 50) S
6/27 6/30	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 8191 571 65 BROKERAGE 0 36 TAX &/OR SEC 01 JEFFERIES & COMPANY WASH SALE ADJUSTMENT 06/30/16 (ID 125269-10-0)	(24 000)	23 803	571 28	(571 28)	
6/27 6/30	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 6602 378 56 BROKERAGE 0 24 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 06/30/16 (ID 125269-10-0)	(16 000)	23 645	378 32	(378 32)	
6/27 6/30	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 695 118 47 BROKERAGE 0 05 LIQUIDNET INC WASH SALE ADJUSTMENT 06/30/16 (ID 125269-10-0)	(5 000)	23 684	118 42	(118 42)	
6/27 6/30	Sale High Cost	BANKUNITED INC @ 28 2222 479 77 BROKERAGE 0 25 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 06652K-10-3)	(17 000)	28 206	479 51	(571 60)	(92 09) S
6/27 6/30	Sale High Cost	VISA INC-CLASS A SHARES @ 73 6728 2,136 51 BROKERAGE 0.43 TAX &/OR SEC 04 JEFFERIES & COMPANY (ID 92826C-83-9)	(29 000)	73 657	2,136 04	(1,465 92)	670 12 L
6/27 6/30	Sale High Cost	BANKUNITED INC @ 28 2467 112 98 BROKERAGE 0 04 STATE STREET GLOBAL MARKETS, LLC (ID 06652K-10-3)	(4 000)	28 235	112 94	(134 35)	(21 41) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/27 6/30	Sale High Cost	BANKUNITED INC @ 28 1441 365 87 BROKERAGE 0 13 CITIGROUP GLOBAL MKTS INC (ID 06652K-10-3)	(13 000)	28 134	365 74	(436 22)	(70 48) S
6/27 6/30	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 3047 256 35 BROKERAGE 0 11 CITIGROUP GLOBAL MKTS INC WASH SALE ADJUSTMENT 06/30/16 (ID 125269-10-0)	(11 000)	23 295	256 24	(256 24)	
6/27 6/30	Sale High Cost	VISA INC-CLASS A SHARES @ 74 10 741 00 BROKERAGE 0 10 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 92826C-83-9)	(10 000)	74 089	740 89	(503 99)	236 90 L
6/27 6/30	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 4183 1,170 91 BROKERAGE 0 75 TAX &/OR SEC 02 BARCLAYS CAPITAL LE WASH SALE ADJUSTMENT 06/30/16 (ID 125269-10-0)	(50 000)	23 403	1,170 14	(1,363 63)	(193 49) S
6/28 7/1	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 9209 1,315 64 BROKERAGE 0 82 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID 125269-10-0)	(55 000)	23 905	1 314 80	(1,869 39)	(554 59) S
6/28 7/1	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 23 925 143 55 BROKERAGE 0 06 STATE STREET GLOBAL MARKETS, LLC (ID 125269-10-0)	(6 000)	23 915	143 49	(201 98)	(58 49) S
6/28 7/1	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 0396 24 03 BROKERAGE 0 01 INSTINET (ID 125269-10-0)	(1 000)	24 02	24 02	(33 66)	(9 64) S
6/28 7/1	Sale High Cost	VISA INC-CLASS A SHARES @ 74 8294 2,993 17 BROKERAGE 0 60 TAX &/OR SEC 06 JEFFERIES & COMPANY (ID 92826C-83-9)	(40 000)	74 813	2 992 51	(2,014 45)	978 06 L
6/28 7/1	Sale High Cost	BANKUNITED INC @ 28.9675 434 51 BROKERAGE 0 22 DEUTSCHE BANC ALEX BROWN INC (ID 06652K-10-3)	(15 000)	28 953	434 29	(502 00)	(67 71) S
6/28 7/1	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 0519 24 05 BROKERAGE 0 02 RBC CAPITAL MARKETS CORPORATION (ID 125269-10-0)	(1 000)	24 03	24 03	(33 65)	(9 62) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/28 7/1	Sale High Cost	BANKUNITED INC @ 28 6549 716 37 BROKERAGE 0 62 TAX &/OR SEC 01 KEEFE BRUYETTE & WOODS INC (ID 06652K-10-3)	(25 000)	28 63	715 74	(833 20)	(117 46) S
6/28 7/1	Sale High Cost	BANKUNITED INC @ 29 125 87 37 BROKERAGE 0 03 ROSENBLATT SECURITIES LLC (ID 06652K-10-3)	(3 000)	29 113	87 34	(99 73)	(12 39) S
6/28 7/1	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 0298 1,009 25 BROKERAGE 0 42 TAX &/OR SEC 02 ROSENBLATT SECURITIES LLC (ID 125269-10-0)	(42 000)	24 019	1 008 81	(1 240 67)	(231 86) S
6/28 7/1	Sale High Cost	VISA INC-CLASS A SHARES @ 74 945 299 78 BROKERAGE 0 04 ROSENBLATT SECURITIES LLC (ID 92826C-83-9)	(4 000)	74 935	299 74	(201 45)	98 29 L
6/29 7/5	Sale High Cost	GENERAL ELECTRIC CO @ 30 4762 822 85 BROKERAGE 0 40 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 369604-10-3)	(27 000)	30 461	822 44	(747 41)	75 03 L
6/29 7/5	Sale High Cost	GENERAL ELECTRIC CO @ 30 5183 1,861 61 BROKERAGE 0 91 TAX &/OR SEC 04 UBS SECURITIES LLC (ID 369604-10-3)	(61 000)	30 503	1,860 66	(1 688 59)	172 07 L
6/29 7/5	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 2766 24 27 BROKERAGE 0 02 RBC CAPITAL MARKETS CORPORATION (ID 125269-10-0)	(1 000)	24 25	24 25	(27 98)	(3 73) S
6/30 7/6	Sale High Cost	GILEAD SCIENCES INC @ 82 0979 2,052 44 BROKERAGE 0 37 TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC (ID. 375558-10-3)	(25 000)	82 081	2,052 03	(2,567 77)	(515 74) S
6/30 7/6	Sale High Cost	GILEAD SCIENCES INC @ 83 0832 747.74 BROKERAGE 0 13 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID 375558-10-3)	(9 000)	83 067	747 60	(924 06)	(176 46) S
6/30 7/6	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 2663 72 79 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID 125269-10-0)	(3 000)	24 25	72 75	(83 94)	(11 19) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/30 7/6	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 24 6856 24 68 BROKERAGE 0 01 BLOOMBERG TRADEBOOK LLC (ID 125269-10-0)	(1 000)	24 67	24 67	(27 98)	(3 31) S
7/6 7/11	Sale High Cost	GENERAL ELECTRIC CO @ 31 4782 629 56 BROKERAGE 0 30 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 369604-10-3)	(20 000)	31 463	629 25	(553 64)	75 61 L
7/6 7/11	Sale High Cost	GENERAL ELECTRIC CO @ 31 6693 1,900 15 BROKERAGE 0 90 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 369604-10-3)	(60 000)	31 654	1 899 21	(1 660 91)	238 30 L
7/7 7/12	Sale High Cost	BANKUNITED INC @ 29 5975 473 56 BROKERAGE 0 24 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 06652K-10-3)	(16 000)	29 582	473 31	(526 42)	(53 11) S
7/7 7/12	Sale High Cost	BANKUNITED INC @ 29 5739 325 31 BROKERAGE 0 11 DREXEL HAMILTON LLC (ID 06652K-10-3)	(11 000)	29 564	325 20	(361 15)	(35 95) S
7/7 7/12	Sale High Cost	FORTIVE CORP @ 47 6427 714 64 BROKERAGE 0 22 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 34959J-10-8)	(15 000)	47 627	714 41	(724 37)	(9 96) S
7/7 7/12	Sale High Cost	FORTIVE CORP @ 47 61 190 44 BROKERAGE 0 04 DREXEL HAMILTON LLC (ID 34959J-10-8)	(4 000)	47 60	190 40	(189 94)	0 46 S
7/11 7/14	Sale High Cost	BANKUNITED INC @ 30 8514 154 25 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 06652K-10-3)	(5 000)	30 836	154 18	(163 99)	(9 81) S
7/11 7/14	Sale High Cost	BANKUNITED INC @ 30 955 278 59 BROKERAGE 0 22 GOLDMAN SACHS & CO (ID 06652K-10-3)	(9 000)	30 93	278 37	(292 49)	(14 12) S
7/11 7/14	Sale High Cost	BANKUNITED INC @ 30 9094 309 09 BROKERAGE 0 25 GOLDMAN SACHS & CO (ID 06652K-10-3)	(10 000)	30 884	308 84	(323 14)	(14 30) S
7/11 7/14	Sale High Cost	GILEAD SCIENCES INC @ 87 0327 1,305 49 BROKERAGE 0 22 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 375558-10-3)	(15 000)	87 017	1,305 25	(1 540 10)	(234 85) S

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/11 7/14	Sale High Cost	GILEAD SCIENCES INC @ 86 6616 1,473 24 BROKERAGE 0 25 TAX &/OR SEC 03 UBS SECURITIES LLC (ID 375558-10-3)	(17 000)	86 645	1 472 96	(1 745 44)	(272 48) S
7/13 7/18	Sale High Cost	BANKUNITED INC @ 31 433 62 86 BROKERAGE 0 03 CREDIT SUISSE FIRST BOSTON LLC (ID 06652K-10-3)	(2 000)	31 415	62 83	(64 05)	(1 22) S
7/13 7/18	Sale High Cost	COSTCO WHOLESALE CORP @ 166 5065 166 50 BROKERAGE 0 01 CREDIT SUISSE FIRST BOSTON LLC (ID 22160K-10-5)	(1 000)	166 49	166 49	(153 50)	12 99 S
7/13 7/18	Sale High Cost	BANKUNITED INC @ 31 6538 538 11 BROKERAGE 0 25 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 06652K-10-3)	(17 000)	31 638	537 85	(536 59)	1 26 S
7/13 7/18	Sale High Cost	COSTCO WHOLESALE CORP @ 166 6753 1,166.72 BROKERAGE 0 10 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 22160K-10-5)	(7 000)	166 657	1 166 60	(1 069 38)	97 22 S
7/18 7/21	Sale High Cost	GENERAL ELECTRIC CO @ 32 7928 2,885 76 BROKERAGE 1 32 TAX &/OR SEC 06 S G COWEN & CO (ID 369604-10-3)	(88 000)	32 777	2,884 38	(2 436 01)	448 37 L
7/20 7/25	Sale High Cost	PHILLIPS 66 @ 75 1161 225 34 BROKERAGE 0 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 718546-10-4)	(3 000)	75 10	225 30	(226 17)	(0 87) L
7/20 7/25	Sale High Cost	PHILLIPS 66 @ 75 628 453 76 BROKERAGE 0 09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 718546-10-4)	(6 000)	75 612	453 67	(452 33)	1 34 L
7/20 7/25	Sale High Cost	GENERAL ELECTRIC CO @ 32 985 560 74 BROKERAGE 0 17 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 369604-10-3)	(17 000)	32 974	560 56	(470 59)	89 97 L
7/20 7/25	Sale High Cost	GENERAL ELECTRIC CO @ 32.9768 197 86 BROKERAGE 0 09 MORGAN STANLEY & CO LLC (ID 369604-10-3)	(6 000)	32 962	197 77	(166 09)	31 68 L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/20 7/25	Sale High Cost	GENERAL ELECTRIC CO @ 32 985 197 91 BROKERAGE 0 06 STATE STREET GLOBAL MARKETS, LLC (ID 369604-10-3)	(6 000)	32 975	197 85	(163 92)	33 93 L
7/20 7/25	Sale High Cost	PHILLIPS 66 @ 75 695 302 78 BROKERAGE 0 04 ROSENBLATT SECURITIES LLC (ID 718546-10-4)	(4 000)	75 685	302 74	(301 55)	1 19 L
7/20 7/25	Sale High Cost	GENERAL ELECTRIC CO @ 32 8882 1,841 73 BROKERAGE 0 84 TAX &/OR SEC .04 MORGAN STANLEY & CO LLC (ID 369604-10-3)	(56 000)	32 872	1,840 85	(1,529 95)	310 90 L
7/20 7/25	Sale High Cost	PHILLIPS 66 @ 75 5296 1,963 76 BROKERAGE 0 39 TAX &/OR SEC 04 UBS SECURITIES LLC (ID 718546-10-4)	(26 000)	75 513	1,963 33	(1 960 10)	3 23 L
7/26 7/26	Cash In Lieu	FORTIVE CORP CASH IN LIEU OF FRACTIONAL SHARES (ID 34959J-10-8)	0 500	51 04	25 52		25 52 L
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 8379 223 35 BROKERAGE 0 06 JEFFERIES & COMPANY (ID 87336U-10-5)	(4 000)	55 823	223 29	(203 64)	19 65 S
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 56 74 226 96 BROKERAGE 0 01 LUMINEX TRADING AND ANALYTICS LLC (ID 87336U-10-5)	(4 000)	56 738	226 95	(203 65)	23 30 S
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 775 111 55 BROKERAGE 0 02 LIQUIDNET INC (ID 87336U-10-5)	(2 000)	55 765	111 53	(101 82)	9 71 S
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 5441 111 08 BROKERAGE 0 03 JEFFERIES & COMPANY (ID 87336U-10-5)	(2.000)	55 525	111 05	(101 82)	9 23 S
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 2804 221 12 BROKERAGE 0 06 JEFFERIES & COMPANY (ID 87336U-10-5)	(4 000)	55 265	221 06	(203 65)	17 41 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/21 7/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 0093 55 00 BROKERAGE 0 01 UBS SECURITIES LLC (ID 87336U 10-5)	(1 000)	54 99	54 99	(50 91)	4 08 S
7/22 7/27	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 55 4561 166 36 BROKERAGE 0 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 87336U-10-5)	(3 000)	55 44	166 32	(152 49)	13 83 S
7/25 7/28	Sale High Cost	PHILLIPS 66 @ 74 236 1,336 24 BROKERAGE 0 27 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 718546-10-4)	(18 000)	74 219	1,335 95	(1,356 99)	(21 04) L
7/25 7/28	Sale High Cost	PHILLIPS 66 @ 74 2837 1,559 95 BROKERAGE 0 31 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC (ID 718546-10-4)	(21 000)	74 267	1,559 61	(1,583 16)	(23 55) L
8/2 8/5	Sale High Cost	FACEBOOK INC-A @ 122 5975 1,348 57 BROKERAGE 0 16 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L P (ID 30303M-10-2)	(11 000)	122 581	1,348 39	(854 91)	493 48 L
8/17 8/22	Sale High Cost	WHIRLPOOL CORP @ 181 3766 544 12 BROKERAGE 0 04 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(3 000)	181 357	544 07	(503 87)	40 20 S
8/17 8/22	Sale High Cost	WHIRLPOOL CORP @ 181 4426 181 44 BROKERAGE 0 01 CITIGROUP GLOBAL MKTS INC (ID. 963320-10-6)	(1 000)	181 43	181 43	(167 96)	13 47 S
8/17 8/22	Sale High Cost	WHIRLPOOL CORP @ 182 1335 546 40 BROKERAGE 0 04 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(3 000)	182 117	546 35	(503 87)	42 48 S
8/23 8/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 61 91 495 28 BROKERAGE 0 08 TAX &/OR SEC 01 LIQUIDNET INC (ID 87336U-10-5)	(8 000)	61 899	495 19	(402 80)	92 39 S
8/23 8/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 62 025 310 12 BROKERAGE 0 05 LIQUIDNET INC (ID 87336U-10-5)	(5 000)	62 014	310 07	(251 40)	58 67 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/23 8/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 62 0975 496 78 BROKERAGE 0 12 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 87336U-10-5)	(8 000)	62 081	496 65	(402 25)	94 40 S
8/23 8/26	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 62 1444 310 72 BROKERAGE 0 07 MORGAN STANLEY & CO LLC (ID 87336U-10-5)	(5 000)	62 13	310 65	(251 38)	59 27 S
8/26 8/31	Sale High Cost	CVS HEALTH CORP @ 93 3917 280 17 BROKERAGE 0 04 MORGAN STANLEY & CO LLC (ID 126650-10-0)	(3 000)	93 377	280 13	(319 83)	(39 70) S
8/26 8/31	Sale High Cost	CVS HEALTH CORP @ 93 4492 1,214 83 BROKERAGE 0 19 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 126650-10-0)	(13 000)	93 432	1,214 62	(1,377 73)	(163 11) S
8/26 8/31	Sale High Cost	CVS HEALTH CORP @ 93 5971 1 216 76 BROKERAGE 0 13 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 126650 10-0)	(13 000)	93 585	1,216 61	(1,369 93)	(153 32) S
8/29 9/1	Sale High Cost	CVS HEALTH CORP @ 92 8092 649 66 BROKERAGE 0 10 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 126650-10-0)	(7 000)	92 793	649 55	(735 78)	(86 23) S
8/29 9/1	Sale High Cost	CVS HEALTH CORP @ 92 7456 741 96 BROKERAGE 0 12 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 126650-10-0)	(8 000)	92 729	741 83	(840 59)	(98 76) S
9/1 9/7	Sale High Cost	CVS HEALTH CORP @ 93 3103 2,799 30 BROKERAGE 0 45 TAX &/OR SEC 06 CITIGROUP GLOBAL MKTS INC (ID 126650-10-0)	(30 000)	93 293	2,798 79	(3,144 71)	(345 92) S
9/6 9/9	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 58 8059 1,352 53 BROKERAGE 0 34 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 87336U-10-5)	(23 000)	58 79	1,352 17	(1,155 57)	196 60 S
9/8 9/13	Sale High Cost	APPLE INC @ 106 1697 5,414 65 BROKERAGE 0 76 TAX &/OR SEC 11 MORGAN STANLEY & CO LLC (ID 037833-10-0)	(51 000)	106 153	5,413 78	(4,958 48)	455 30 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/12 9/15	Sale High Cost	APPLE INC @ 103 764 3 009 15 BROKERAGE 0 43 TAX &/OR SEC 06 CITIGROUP GLOBAL MKTS INC (ID 037833-10-0)	(29 000)	103 747	3,008 66	(2 800 59)	208 07 S
9/14 9/19	Sale High Cost	HOME DEPOT INC @ 125 8492 503 39 BROKERAGE 0 06 TAX &/OR SEC 01 SANFORD C BERNSTEIN & CO INC (SCB (ID 437076-10-2)	(4 000)	125 83	503 32	(331 56)	171 76 L
9/14 9/19	Sale High Cost	STANLEY BLACK & DECKER INC @ 119 9276 1,439 13 BROKERAGE 0 18 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 854502-10-1)	(12 000)	119 91	1,438 92	(1,000 22)	438 70 L
9/14 9/19	Sale High Cost	HOME DEPOT INC @ 126 3525 1,137 17 BROKERAGE 0 13 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 437076-10-2)	(9 000)	126 336	1,137 02	(746 02)	391 00 L
9/14 9/19	Sale High Cost	STANLEY BLACK & DECKER INC @ 119 9565 479 82 BROKERAGE 0 04 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID 854502-10-1)	(4 000)	119 943	479 77	(333 41)	146 36 L
9/20 9/23	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 53 9254 215 70 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 87336U-10-5)	(4 000)	53 91	215 64	(222 56)	(6 92) S
9/20 9/23	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 53 3852 266 92 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 87336U-10-5)	(5 000)	53 37	266 85	(277 87)	(11 02) S
9/20 9/23	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 53 345 213 38 BROKERAGE 0 04 STATE STREET GLOBAL MARKETS, LLC (ID 87336U-10-5)	(4 000)	53 335	213 34	(222 30)	(8 96) S
9/20 9/23	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 53 3133 373 19 BROKERAGE 0 10 MORGAN STANLEY & CO LLC (ID 87336U-10-5)	(7 000)	53 299	373 09	(389 03)	(15 94) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/20 9/23	Sale High Cost	BOSTON SCIENTIFIC CORP @ 23 4413 867 32 BROKERAGE 0 55 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 101137-10-7)	(37 000)	23 426	866 76	(671 85)	194 91 S
9/20 9/23	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 53 22 212 88 BROKERAGE 0 04 LIQUIDNET INC (ID 87336U-10-5)	(4 000)	53 21	212 84	(222 23)	(9 39) S
9/20 9/23	Sale High Cost	BOSTON SCIENTIFIC CORP @ 23 3323 559 97 BROKERAGE 0 36 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID 101137-10-7)	(24 000)	23 317	559 60	(431 50)	128 10 S
9/22 9/27	Sale High Cost	STANLEY BLACK & DECKER INC @ 123 1056 246 21 BROKERAGE 0 03 SJ LEVINSON & SONS LLC (ID 854502-10-1)	(2 000)	123 09	246 18	(166 70)	79 48 L
9/22 9/27	Sale High Cost	STANLEY BLACK & DECKER INC @ 123 157 1,108 41 BROKERAGE 0 13 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 854502-10-1)	(9 000)	123 14	1,108 26	(750 17)	358 09 L
10/6 10/12	Sale High Cost	TABLEAU SOFTWARE INC CL A @ 51 8763 466 88 BROKERAGE 0 09 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 87336U-10-5)	(9 000)	51 864	466 78	(449 72)	17 06 S
10/6 10/12	Sale High Cost	WESTERN DIGITAL CORP @ 58 151 116 30 BROKERAGE 0 03 CREDIT SUISSE FIRST BOSTON LLC (ID 958102-10-5)	(2 000)	58 135	116 27	(94 38)	21 89 S
10/6 10/12	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 51 7515 362 26 BROKERAGE 0 10 CITIGROUP GLOBAL MKTS INC (ID 87336U 10-5)	(7 000)	51 737	362 16	(349 76)	12 40 S
10/6 10/12	Sale High Cost	WESTERN DIGITAL CORP @ 57 8581 1 215 02 BROKERAGE 0 31 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 958102-10-5)	(21 000)	57 842	1,214 69	(990 96)	223 73 S
10/6 10/13	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 51 865 259 32 BROKERAGE 0 05 STATE STREET GLOBAL MARKETS, LLC (ID 87336U-10-5)	(5 000)	51 854	259 27	(249 76)	9 51 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/11 10/14	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 50 33 301 98 BROKERAGE 0 09 GOLDMAN SACHS & CO (ID 87336U-10-5)	(6 000)	50 315	301 89	(299 39)	2 50 S
10/11 10/14	Sale High Cost	WESTERN DIGITAL CORP @ 57 2546 1 087 83 BROKERAGE 0 28 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID 958102-10-5)	(19 000)	57 238	1,087 53	(879 50)	208 03 S
10/11 10/14	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 50 0027 500 02 BROKERAGE 0 10 TAX &/OR SEC 01 LIQUIDNET INC (ID 87336U-10-5)	(10 000)	49 991	499 91	(495 69)	4 22 S
10/11 10/14	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 50 405 151 21 BROKERAGE 0 03 CITIGROUP GLOBAL MKTS INC (ID 87336U-10-5)	(3 000)	50 393	151 18	(147 89)	3 29 S
10/11 10/14	Sale High Cost	WESTERN DIGITAL CORP @ 56 2686 281 34 BROKERAGE 0 07 CITIGROUP GLOBAL MKTS INC (ID 958102-10-5)	(5 000)	56 254	281 27	(229 38)	51 89 S
10/11 10/14	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 50 55 404 40 BROKERAGE 0 20 BARCLAYS CAPITAL LE (ID 87336U-10-5)	(8 000)	50 525	404 20	(394 07)	10 13 S
10/12 10/17	Sale High Cost	NEWELL BRANDS, INC , @ 51 0505 612 60 BROKERAGE 0 30 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 651229-10-6)	(12 000)	51 024	612 29	(528 49)	83 80 S
10/12 10/17	Sale High Cost	NEWELL BRANDS INC , @ 50 946 1,120 81 BROKERAGE 0 33 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID 651229-10-6)	(22 000)	50 93	1,120 46	(959 70)	160 76 S
10/12 10/17	Sale High Cost	NEWELL BRANDS, INC @ 50 90 1,170 70 BROKERAGE 0 57 TAX &/OR SEC 02 BARCLAYS CAPITAL LE (ID 651229-10-6)	(23 000)	50 874	1,170 11	(991 95)	16 77 L 161 39 S
10/13 10/18	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 48 3968 580 76 BROKERAGE 0 30 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 87336U-10-5)	(12 000)	48 371	580 45	(589 87)	(9 42) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/13 10/18	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 48 335 386 68 BROKERAGE 0 08 STATE STREET GLOBAL MARKETS LLC (ID 87336U-10-5)	(8 000)	48 325	386 60	(392 68)	(6 08) S
10/13 10/18	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 48 325 338 27 BROKERAGE 0 07 ROSENBLATT SECURITIES LLC (ID 87336U-10-5)	(7 000)	48 314	338 20	(343 08)	(4 88) S
10/18 10/21	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 47 4461 142 33 BROKERAGE 0 04 SJ LEVINSON & SONS LLC (ID 87336U-10-5)	(3 000)	47 43	142 29	(146 48)	(4 19) S
10/18 10/21	Sale High Cost	INGERSOLL-RAND PLC @ 65 20 65 20 BROKERAGE 0 01 SJ LEVINSON & SONS LLC (ID G47791-10-1)	(1 000)	65 19	65 19	(66 55)	(1 36) S
10/18 10/21	Sale High Cost	INGERSOLL-RAND PLC @ 65 3025 457 11 BROKERAGE 0 10 CREDIT SUISSE FIRST BOSTON LLC (ID G47791-10-1)	(7 000)	65 287	457 01	(464 40)	(7 39) S
10/18 10/21	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 47 2071 330 44 BROKERAGE 0 10 JEFFERIES & COMPANY (ID 87336U-10-5)	(7 000)	47 191	330 34	(339 69)	(9 35) S
10/18 10/21	Sale High Cost	INGERSOLL-RAND PLC @ 65 0886 1,627 21 BROKERAGE 0 37 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC (ID G47791-10-1)	(25 000)	65 072	1,626 81	(1,625.76)	1 05 S
10/18 10/21	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 47 4116 142 23 BROKERAGE 0 07 BARCLAYS CAPITAL LE (ID 87336U-10-5)	(3 000)	47 387	142 16	(144 39)	(2 23) S
10/18 10/24	Sale High Cost	TABLEAU SOFTWARE INC-CL A @ 47 48 284 88 BROKERAGE 0 15 BARCLAYS CAPITAL LE (ID 87336U-10-5)	(6 000)	47 455	284 73	(288 78)	(4 05) S
10/19 10/24	Sale High Cost	INGERSOLL-RAND PLC @ 65 1809 586 62 BROKERAGE 0 13 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID G47791-10-1)	(9 000)	65 164	586 48	(574 71)	11 77 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/24 10/27	Sale High Cost	GENERAL ELECTRIC CO @ 29 0216 1 305 97 BROKERAGE 0 67 TAX &/OR SEC 02 S G COWEN & CO (ID 369604-10-3)	(45 000)	29 006	1,305 28	(1,304 05)	1 23 S
10/24 10/27	Sale High Cost	PAYPAL HOLDINGS INC @ 43 9361 834 78 BROKERAGE 0 28 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 70450Y-10-3)	(19 000)	43 921	834 49	(761 25)	73 24 S
10/24 10/27	Sale High Cost	GENERAL ELECTRIC CO @ 28 9636 2 317 08 BROKERAGE 1 20 TAX &/OR SEC 05 UBS SECURITIES LLC (ID 369604-10-3)	(80 000)	28 948	2,315 83	(2,318 03)	(2 20) S
10/24 10/27	Sale High Cost	PAYPAL HOLDINGS INC @ 43 4467 1,129 61 BROKERAGE 0 39 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L P (ID 70450Y-10-3)	(26 000)	43 431	1,129 20	(1,030 53)	98 67 S
10/26 10/31	Sale High Cost	WHIRLPOOL CORP @ 150 1576 1,051 10 BROKERAGE 0 10 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(7 000)	150 14	1,050 98	(1,175 70)	(124 72) S
10/26 10/31	Sale High Cost	INGERSOLL-RAND PLC @ 66 28 596 52 BROKERAGE 0 09 TAX &/OR SEC 01 ACADEMY SECURITIES INC (ID G47791-10-1)	(9 000)	66 269	596 42	(572 53)	23 89 S
10/26 10/31	Sale High Cost	WHIRLPOOL CORP @ 150 4068 752 03 BROKERAGE 0 05 TAX &/OR SEC 01 ACADEMY SECURITIES, INC (ID 963320-10-6)	(5 000)	150 394	751 97	(839 78)	(87 81) S
10/26 10/31	Sale High Cost	INGERSOLL-RAND PLC @ 66 1383 727 52 BROKERAGE 0 11 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID G47791-10-1)	(11 000)	66 127	727 40	(694 07)	33 33 S
10/26 10/31	Sale High Cost	ALLERGAN PLC @ 223 3878 2,457 26 BROKERAGE 0 16 TAX &/OR SEC 05 CITIGROUP GLOBAL MKTS INC WASH SALE ADJUSTMENT 10/31/16 (ID G0177J-10-8)	(11 000)	223 368	2,457 05	(2,707 88)	(250 83) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/26 10/31	Sale High Cost	ALLERGAN PLC @ 222 2397 1 555 67 BROKERAGE 0 10 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID G0177J-10-8)	(7 000)	222 22	1 555 54	(1,699 53)	(143 99) S
10/26 10/31	Sale High Cost	INGERSOLL-RAND PLC @ 66 4057 664 05 BROKERAGE 0 15 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID G47791-10-1)	(10 000)	66 389	663 89	(620 57)	43 32 S
10/26 10/31	Sale High Cost	INGERSOLL-RAND PLC @ 66 4158 863 40 BROKERAGE 0 19 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID G47791-10-1)	(13 000)	66 40	863 20	(800 37)	62 83 S
10/26 10/31	Sale High Cost	WHIRLPOOL CORP @ 149 4482 896 68 BROKERAGE 0 09 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(6 000)	149 43	896 58	(1,007 29)	(110 71) S
10/28 11/2	Sale High Cost	WHIRLPOOL CORP @ 151 5053 1,363 54 BROKERAGE 0 13 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(9 000)	151 488	1,363 39	(1,509.20)	(145 81) S
10/28 11/2	Sale High Cost	WHIRLPOOL CORP @ 151 1033 906 61 BROKERAGE 0 09 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 963320-10-6)	(6 000)	151 085	906 51	(1,004 28)	(97 77) S
10/28 11/2	Sale High Cost	ALLERGAN PLC @ 216 2626 3,027 67 BROKERAGE 0 21 TAX &/OR SEC 06 SJ LEVINSON & SONS LLC (ID G0177J-10-8)	(14 000)	216 243	3,027 40	(3,440 10)	(412 70) S
11/1 11/4	Sale High Cost	WHIRLPOOL CORP @ 150 7715 301 54 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 963320-10-6)	(2 000)	150 755	301 51	(334 76)	(33 25) S
11/1 11/4	Sale High Cost	WHIRLPOOL CORP @ 149 9596 1,049 71 BROKERAGE 0 07 TAX &/OR SEC 02 LOOP CAPITAL MARKETS (ID 963320-10-6)	(7 000)	149 946	1,049 62	(1,145 65)	(96 03) S
11/1 11/4	Sale High Cost	WHIRLPOOL CORP @ 150 1467 150 14 BROKERAGE 0 01 BARCLAYS CAPITAL LE (ID 963320-10-6)	(1 000)	150 13	150 13	(160 01)	(9 88) S

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/1 11/4	Sale High Cost	WHIRLPOOL CORP @ 150 5317 752 65 BROKERAGE 0 05 TAX &/OR SEC 01 LOOP CAPITAL MARKETS (ID 963320-10 6)	(5 000)	150 518	752 59	(800 04)	(47 45) S
11/2 11/7	Sale High Cost	ALLERGAN PLC @ 200 5088 200 50 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID G0177J-10-8)	(1 000)	200 49	200 49	(239 00)	(38 51) S
11/2 11/7	Sale High Cost	ALLERGAN PLC @ 200 1019 1,000 50 BROKERAGE 0 07 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID G0177J-10-8)	(5 000)	200 082	1,000 41	(1,166 95)	(166 54) S
11/2 11/7	Sale High Cost	ALLERGAN PLC @ 198 9147 1,591 31 BROKERAGE 0 12 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID G0177J-10-8)	(8 000)	198 895	1,591 16	(1,837 21)	(246 05) S
11/9 11/15	Sale High Cost	HCA HOLDINGS INC @ 68 9332 4,687 45 BROKERAGE 1 02 TAX &/OR SEC 10 DEUTSCHE BANC ALEX BROWN INC (ID 40412C-10-1)	(68 000)	68 917	4,686 33	(5,206 35)	(416 32) L (103 70) S
11/9 11/15	Sale High Cost	BANK OF AMERICA CORP @ 17 7997 1,548 57 BROKERAGE 1 30 TAX &/OR SEC 03 S G COWEN & CO (ID 060505-10-4)	(87 000)	17 784	1,547 24	(1,316 75)	230 49 S
11/10 11/16	Sale High Cost	HCA HOLDINGS INC @ 71 718 1,721 23 BROKERAGE 0 36 TAX &/OR SEC 03 S G COWEN & CO (ID 40412C-10-1)	(24 000)	71 702	1,720 84	(1,786 46)	(65 62) L
11/10 11/16	Sale High Cost	HCA HOLDINGS INC @ 71 6758 931 78 BROKERAGE 0 19 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 40412C-10-1)	(13 000)	71 659	931 57	(954 08)	(22 51) L
11/14 11/17	Sale High Cost	HCA HOLDINGS INC @ 71 6697 2,436 76 BROKERAGE 0 34 TAX &/OR SEC 05 KEEFE BRUYETTE & WOODS INC (ID 40412C-10-1)	(34 000)	71 658	2,436 37	(2,370 27)	66 10 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/16 11/21	Sale High Cost	VULCAN MATERIALS CO @ 131 0667 1,310 66 BROKERAGE 0 15 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 929160-10-9)	(10 000)	131 049	1 310 49	(1,175 71)	134 78 S
11/17 11/22	Sale High Cost	VULCAN MATERIALS CO @ 127 6157 638 07 BROKERAGE 0 07 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 929160-10-9)	(5 000)	127 598	637 99	(587 65)	50 34 S
11/17 11/22	Sale High Cost	VULCAN MATERIALS CO @ 128 0854 896 59 BROKERAGE 0 17 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 929160-10-9)	(7 000)	128 059	896 41	(821 70)	74 71 S
11/17 11/22	Sale High Cost	VULCAN MATERIALS CO @ 129 6128 518 45 BROKERAGE 0 10 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 929160-10-9)	(4 000)	129 585	518 34	(469 12)	49 22 S
11/22 11/28	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 84 659 1,947 15 BROKERAGE 0 34 TAX &/OR SEC 04 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 11/28/16 (ID 28176E-10-8)	(23 000)	84 642	1 946 77	(1,946 77)	
11/22 11/28	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 84 4438 591 10 BROKERAGE 0 07 TAX &/OR SEC 01 LIQUIDNET INC WASH SALE ADJUSTMENT 11/28/16 (ID 28176E-10-8)	(7 000)	84 431	591 02	(591 02)	
11/23 11/29	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 85 9001 171 80 BROKERAGE 0 03 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 11/29/16 (ID 28176E-10-8)	(2 000)	85 885	171 77	(171 77)	
11/23 11/29	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 86 1397 775 25 BROKERAGE 0 13 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 11/29/16 (ID 28176E-10-8)	(9 000)	86 123	775 11	(775 11)	

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/29 12/2	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 84 6936 1,609 17 BROKERAGE 0 28 TAX &/OR SEC 03 UBS SECURITIES LLC WASH SALE ADJUSTMENT 12/02/16 (ID 28176E-10-8)	(19 000)	84 677	1 608 86	(1 608 86)	
11/29 12/2	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 84 0713 1 429 21 BROKERAGE 0 25 TAX &/OR SEC 03 UBS SECURITIES LLC WASH SALE ADJUSTMENT 12/02/16 (ID 28176E-10-8)	(17 000)	84 055	1 428 93	(1,428 93)	
11/30 12/5	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 83 3584 1,000 30 BROKERAGE 0 18 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 28176E-10-8)	(12 000)	83 342	1 000 10	(1,530 55)	(530 45) S
11/30 12/5	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 82 9609 2,074 02 BROKERAGE 0 37 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 28176E-10-8)	(25 000)	82 944	2 073 61	(2,768 33)	(694 72) S
12/1 12/6	Sale High Cost	COSTCO WHOLESALE CORP @ 151 7102 2,730 78 BROKERAGE 0 27 TAX &/OR SEC 05 CITIGROUP GLOBAL MKTS INC (ID 22160K-10-5)	(18 000)	151 692	2 730 46	(2,737 48)	(7 02) S
12/1 12/6	Sale High Cost	L BRANDS INC @ 72 0458 2,809 78 BROKERAGE 0 58 TAX &/OR SEC 06 CITIGROUP GLOBAL MKTS INC (ID 501797-10-4)	(39 000)	72 029	2,809 14	(2,525 65)	283 49 S
12/1 12/6	Sale High Cost	SOUTHWEST AIRLINES CO @ 47 0062 2,773 36 BROKERAGE 0 88 TAX &/OR SEC 06 DEUTSCHE BANC ALEX BROWN INC (ID 844741-10-8)	(59 000)	46 99	2,772 42	(2,474 06)	298 36 S
12/2 12/7	Sale High Cost	BANK OF AMERICA CORP @ 21 1413 1,501 03 BROKERAGE 1 06 TAX &/OR SEC 03 MACQUARIE SECURITIES (USA) INC (ID 060505-10-4)	(71 000)	21 126	1 499 94	(1,074 59)	425 35 S
12/2 12/7	Sale High Cost	BANK OF AMERICA CORP @ 21 1943 1,229 26 BROKERAGE 0 87 TAX &/OR SEC 02 MACQUARIE SECURITIES (USA) INC (ID 060505-10-4)	(58 000)	21 179	1 228 37	(877 84)	350 53 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/13 12/16	Sale High Cost	EXXON MOBIL CORP @ 91 8477 3 030 97 BROKERAGE 0 49 TAX &/OR SEC 06 SJ LEVINSON & SONS LLC (ID 30231G 10-2)	(33 000)	91 831	3 030 42	(2 543 98)	486 44 S
12/14 12/19	Sale High Cost	AECOM @ 38 3029 1 149 08 BROKERAGE 0 45 TAX &/OR SEC 02 DEUTSCHE BANC ALEX BROWN INC (ID 00766T-10-0)	(30 000)	38 287	1 148 61	(966 90)	181 71 S
12/14 12/19	Sale High Cost	AECOM @ 38 5587 269 91 BROKERAGE 0 10 DEUTSCHE BANC ALEX BROWN INC (ID 00766T-10-0)	(7 000)	38 544	269 81	(224 44)	45 37 S
12/15 12/20	Sale High Cost	EXXON MOBIL CORP @ 90 6508 2,991 47 BROKERAGE 0 49 TAX &/OR SEC 06 GOLDMAN SACHS & CO (ID 30231G-10-2)	(33 000)	90 634	2,990 92	(2,543 97)	446 95 S
12/15 12/20	Sale High Cost	AECOM @ 38 4997 346 49 BROKERAGE 0 09 LIQUIDNET INC (ID 00766T-10-0)	(9 000)	38 489	346 40	(279 28)	67 12 S
12/15 12/20	Sale High Cost	AECOM @ 38 3229 1,111 36 BROKERAGE 0 43 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 00766T-10-0)	(29 000)	38 307	1 110 91	(796 63)	314 28 S
12/16 12/21	Sale High Cost	DANAHER CORP @ 79 5936 1,830 65 BROKERAGE 0 34 TAX &/OR SEC 03 JEFFERIES & COMPANY (ID 235851-10-2)	(23 000)	79 577	1,830 28	(1,867 08)	(36 80) S
12/16 12/21	Sale High Cost	AECOM @ 38 2593 688 66 BROKERAGE 0 27 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 00766T-10-0)	(18 000)	38 243	688 38	(490 50)	197 88 S
12/16 12/21	Sale High Cost	DANAHER CORP @ 79 4153 158 83 BROKERAGE 0 03 JEFFERIES & COMPANY (ID 235851-10-2)	(2 000)	79 40	158 80	(161 09)	(2 29) S
12/16 12/21	Sale High Cost	DANAHER CORP @ 79 2381 950 85 BROKERAGE 0 18 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID 235851-10-2)	(12 000)	79 221	950 65	(966 54)	(15 89) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/16 12/21	Sale High Cost	AECOM @ 37 9838 455 80 BROKERAGE 0 18 MERRILL LYNCH PIERCE FENNER & SMIT (ID 00766T-10-0)	(12 000)	37 968	455 62	(325 34)	130 28 S
12/16 12/21	Sale High Cost	AECOM @ 38 1339 228 80 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 00766T-10-0)	(6 000)	38 123	228 74	(162 47)	66 27 S
12/20 12/23	Sale High Cost	AECOM @ 37 8918 795 72 BROKERAGE 0 31 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 00766T-10-0)	(21 000)	37 876	795 40	(565 14)	230 26 S
12/20 12/23	Sale High Cost	DANAHER CORP @ 78 7537 3,071 39 BROKERAGE 0 58 TAX &/OR SEC 06 BARCLAYS CAPITAL LE (ID 235851-10-2)	(39 000)	78 737	3 070 75	(2 938 75)	132 00 S
12/20 12/23	Sale High Cost	AECOM @ 37 7828 264 47 BROKERAGE 0 07 CITIGROUP GLOBAL MKTS INC (ID 00766T-10-0)	(7 000)	37 771	264 40	(187 53)	76 87 S
12/23 12/29	Sale High Cost	CIT GROUP INC @ 43 75 262 50 BROKERAGE 0 15 CREDIT SUISSE FIRST BOSTON LLC (ID 125581-80-1)	(6 000)	43 725	262 35	(237 80)	24 55 S
12/23 12/29	Sale High Cost	CIT GROUP INC @ 43 6756 262 05 BROKERAGE 0 09 BARCLAYS CAPITAL LE (ID 125581-80-1)	(6 000)	43 66	261 96	(237 79)	24 17 S
12/23 12/29	Sale High Cost	CIT GROUP INC @ 43 72 393 48 BROKERAGE 0 22 CREDIT SUISSE FIRST BOSTON LLC (ID 125581-80-1)	(9 000)	43 696	393 26	(356 69)	36 57 S
12/23 12/29	Sale High Cost	GOLDMAN SACHS GROUP INC @ 241 0517 1,446 31 BROKERAGE 0 09 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 38141G-10-4)	(6 000)	241 032	1 446 19	(914 70)	531 49 S
12/23 12/29	Sale High Cost	GOLDMAN SACHS GROUP INC @ 240 1721 240 17 BROKERAGE 0 01 MORGAN STANLEY & CO LLC (ID 38141G-10-4)	(1 000)	240 16	240 16	(152 45)	87 71 S
12/27 12/30	Sale High Cost	SALESFORCE COM INC @ 70 0767 70 07 BROKERAGE 0 01 CITIGROUP GLOBAL MKTS INC (ID 79466L-30-2)	(1 000)	70 06	70 06	(71 19)	(1 13) S
12/27 12/30	Sale High Cost	SALESFORCE COM INC @ 70 2044 280 81 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 79466L-30-2)	(4 000)	70 188	280 75	(284 74)	(3 99) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/27 12/30	Sale High Cost	SALESFORCE COM INC @ 69 8531 1 187 50 BROKERAGE 0 25 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 79466L 30 2)	(17 000)	69 837	1 187 23	(1 204 75)	(2 91) L (14 61) S
12/27 12/30	Sale High Cost	SALESFORCE COM INC @ 69 8481 209 54 BROKERAGE 0 04 SJ LEVINSON & SONS LLC (ID 79466L-30-2)	(3 000)	69 833	209 50	(210 97)	(1 47) L
12/27 12/30	Sale High Cost	SALESFORCE COM INC @ 69 94 69 94 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS LLC (ID 79466L-30-2)	(1 000)	69 93	69 93	(70 23)	(0 30) L
12/29 1/4	Sale High Cost	WESTERN DIGITAL CORP @ 68 5138 1 370 27 BROKERAGE 0 30 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 958102-10-5)	(20 000)	68 498	1,369 95	(1 070 74)	299 21 S
12/29 1/4	Sale High Cost	WESTERN DIGITAL CORP @ 68 3604 273 44 BROKERAGE 0 06 CREDIT SUISSE FIRST BOSTON LLC (ID 958102-10-5)	(4 000)	68 345	273 38	(213 90)	59 48 S
12/28 1/5	Sale High Cost	SALESFORCE COM INC @ 69 3067 554 45 BROKERAGE 0 12 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 79466L-30-2)	(8 000)	69 29	554 32	(561 86)	(7 54) L
1/10 1/13	Sale High Cost	STANLEY BLACK & DECKER INC @ 118 6495 118 64 BROKERAGE 0 01 CREDIT SUISSE FIRST BOSTON LLC (ID 854502-10-1)	(1 000)	118 63	118 63	(83 35)	35 28 L
1/10 1/13	Sale High Cost	STANLEY BLACK & DECKER INC @ 118 051 2,479 07 BROKERAGE 0 31 TAX &/OR SEC 05 CREDIT SUISSE FIRST BOSTON LLC (ID 854502-10-1)	(21 000)	118 034	2,478 71	(1,750 39)	728 32 L
1/18 1/23	Sale High Cost	SOUTHWEST AIRLINES CO @ 50 1161 1,453 36 BROKERAGE 0 43 TAX &/OR SEC 03 SANFORD C BERNSTEIN & CO INC (SCB (ID 844741-10-8)	(29 000)	50 10	1,452 90	(1,147 89)	305 01 S
1/19 1/24	Sale High Cost	SOUTHWEST AIRLINES CO @ 50 2397 1,456 95 BROKERAGE 0 43 TAX &/OR SEC 03 UBS SECURITIES LLC (ID 844741-10-8)	(29 000)	50 224	1,456 49	(1 123 64)	332 85 S

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/23 1/26	Sale High Cost	SOUTHWEST AIRLINES CO @ 49 9617 2 947 74 BROKERAGE 0 88 TAX &/OR SEC 06 CITIGROUP GLOBAL MKTS INC (ID 844741-10-8)	(59 000)	49 946	2,946 80	(2,234 81)	711 99 S
1/24 1/27	Sale High Cost	SOUTHWEST AIRLINES CO @ 49 8832 1 596 26 BROKERAGE 0 48 TAX &/OR SEC 03 BARCLAYS CAPITAL LE (ID 844741-10-8)	(32 000)	49 867	1,595 75	(1 185 33)	410 42 S
1/24 1/27	Sale High Cost	SOUTHWEST AIRLINES CO @ 49 8144 1,793 31 BROKERAGE 0 36 TAX &/OR SEC 03 LIQUIDNET INC (ID 844741-10-8)	(36 000)	49 803	1,792 92	(1,319 80)	473 12 S
1/30 2/2	Sale High Cost	L BRANDS INC @ 58 6961 1,584 79 BROKERAGE 0 40 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 501797-10-4)	(27 000)	58 68	1,584 36	(1,682 79)	(98 43) S
1/30 2/2	Sale High Cost	L BRANDS INC @ 58 8848 2,532 04 BROKERAGE 0 43 TAX &/OR SEC 05 CITIGROUP GLOBAL MKTS INC (ID 501797-10-4)	(43 000)	58 873	2,531 56	(2,626 14)	(94 58) S
1/31 2/3	Sale High Cost	L BRANDS INC @ 58 6947 1 819 53 BROKERAGE 0 07 TAX &/OR SEC 03 LUMINEX TRADING AND ANALYTICS LLC (ID 501797-10-4)	(31 000)	58 691	1,819 43	(1,879 78)	(60 35) S
1/31 2/3	Sale High Cost	L BRANDS INC @ 59 2116 2,309 25 BROKERAGE 0 58 TAX &/OR SEC 05 BARCLAYS CAPITAL LE (ID 501797-10-4)	(39 000)	59 195	2,308 62	(2 364 45)	(55 83) S
2/8 2/13	Sale High Cost	CIT GROUP INC @ 40 6291 771 95 BROKERAGE 0 28 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 125581-80-1)	(19 000)	40 614	771 66	(747 96)	23 70 S
2/8 2/13	Sale High Cost	WESTERN DIGITAL CORP @ 77 0329 1,463 62 BROKERAGE 0 28 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID 958102-10-5)	(19 000)	77 016	1,463 31	(874 66)	588 65 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/8 2/13	Sale High Cost	CIT GROUP INC @ 41 1331 699 26 BROKERAGE 0 25 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 125581-80-1)	(17 000)	41 118	699 00	(658 13)	40 87 S
2/13 2/16	Sale High Cost	DISH NETWORK CORP-A @ 61 9888 1 549 72 BROKERAGE 0 37 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC (ID 25470M 10-9)	(25 000)	61 973	1 549 32	(1,458 54)	90 78 S
2/17 2/23	Sale High Cost	THE KRAFT HEINZ CO @ 94 4371 2 738 67 BROKERAGE 0 43 TAX &/OR SEC 05 JEFFERIES & COMPANY (ID 500754-10-6)	(29 000)	94 42	2,738 19	(2,516 71)	23 05 L 198 43 S
2/21 2/24	Sale High Cost	HOME DEPOT INC @ 144 1244 1,585 36 BROKERAGE 0 16 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 437076-10-2)	(11 000)	144 106	1,585 17	(1,391 48)	193 69 S
2/24 3/1	Sale High Cost	WESTERN DIGITAL CORP @ 73 6936 1 547 56 BROKERAGE 0 31 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC (ID 958102-10-5)	(21 000)	73 677	1,547 22	(947 84)	599 38 S
3/3 3/8	Sale High Cost	DISH NETWORK CORP-A @ 61 2636 245 05 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 25470M-10-9)	(4 000)	61 248	244 99	(224 79)	20 20 S
3/3 3/8	Sale High Cost	DISH NETWORK CORP-A @ 61 145 183 43 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 25470M-10-9)	(3 000)	61 133	183 40	(168 60)	14 80 S
3/3 3/8	Sale High Cost	DISH NETWORK CORP-A @ 61 2255 1 102 05 BROKERAGE 0 27 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 25470M-10-9)	(18 000)	61 209	1,101 76	(1,009 28)	92 48 S
3/6 3/9	Sale High Cost	ADOBE SYSTEMS INC @ 120 1759 1,682 46 BROKERAGE 0 21 TAX &/OR SEC 03 UBS SECURITIES LLC (ID 00724F-10-1)	(14 000)	120 159	1,682 22	(1,317 04)	32 48 L 332 70 S
3/6 3/9	Sale High Cost	FLEETCOR TECHNOLOGIES INC @ 163 9086 1,475 17 BROKERAGE 0 13 TAX &/OR SEC 03 DEUTSCHE BANC ALEX BROWN INC (ID 339041-10-5)	(9 000)	163 89	1 475 01	(1,336 82)	138 19 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/7 3/10	Sale High Cost	DISH NETWORK CORP-A @ 64 1674 128 33 BROKERAGE 0 03 UBS SECURITIES LLC (ID 25470M 10-9)	(2 000)	64 15	128 30	(111 35)	16 95 S
3/7 3/10	Sale High Cost	JOHNSON CONTROLS INTERNATIONAL PLC @ 41 5458 2 451 20 BROKERAGE 0 88 TAX &/OR SEC 05 MORGAN STANLEY & CO LLC (ID G51502-10-5)	(59 000)	41 53	2,450 27	(2 677 55)	(227 28) S
3/7 3/10	Sale High Cost	DISH NETWORK CORP A @ 64 2817 578 53 BROKERAGE 0 13 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 25470M-10-9)	(9 000)	64 266	578 39	(500 87)	77 52 S
3/7 3/10	Sale High Cost	DISH NETWORK CORP-A @ 64 0879 256 35 BROKERAGE 0 06 UBS SECURITIES LLC (ID 25470M-10-9)	(4 000)	64 073	256 29	(221 86)	34 43 S
3/7 3/10	Sale High Cost	JOHNSON CONTROLS INTERNATIONAL PLC @ 41 5417 1,578 58 BROKERAGE 0 09 TAX &/OR SEC 03 LUMINEX TRADING AND ANALYTICS LLC (ID G51502-10-5)	(38 000)	41 538	1,578 46	(1,686 58)	(108 12) S
3/7 3/10	Sale High Cost	DISH NETWORK CORP-A @ 64 0022 512 01 BROKERAGE 0 12 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 25470M-10-9)	(8 000)	63 985	511 88	(437 33)	74 55 S
3/7 3/10	Sale High Cost	JOHNSON CONTROLS INTERNATIONAL PLC @ 41 7454 542 69 BROKERAGE 0 13 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID G51502-10-5)	(13 000)	41 735	542 55	(567 80)	(25 25) S
3/8 3/13	Sale High Cost	NEWELL BRANDS, INC , @ 48 457 726 85 BROKERAGE 0 15 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 651229-10-6)	(15 000)	48 446	726 69	(637 08)	89 61 L
3/8 3/13	Sale High Cost	NEWELL BRANDS, INC , @ 48 5772 2 817 47 BROKERAGE 0 87 TAX &/OR SEC 06 MORGAN STANLEY & CO LLC (ID 651229-10-6)	(58 000)	48 561	2,816 54	(2,457 61)	358 93 L
3/8 3/13	Sale High Cost	NEWELL BRANDS, INC @ 48 3649 1 015 66 BROKERAGE 0 21 TAX &/OR SEC 02 BARCLAYS CAPITAL LE (ID 651229-10-6)	(21 000)	48 354	1,015 43	(887 38)	128 05 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/9 3/14	Sale High Cost	JOHNSON CONTROLS INTERNATIONAL PLC @ 40 7602 570 64 BROKERAGE 0 35 TAX &/OR SEC 01 UBS SECURITIES LLC (ID G51502-10-5)	(14 000)	40 734	570 28	(607 94)	(37 66) S
3/9 3/14	Sale High Cost	JOHNSON CONTROLS INTERNATIONAL PLC @ 40 8167 3,510 23 BROKERAGE 1 29 TAX &/OR SEC 07 MERRILL LYNCH PIERCE FENNER & SMIT (ID G51502-10-5)	(86 000)	40 801	3,508 87	(3,650 85)	(141 98) S
3/10 3/15	Sale High Cost	NEWELL BRANDS, INC @ 48 5329 3 688 50 BROKERAGE 1 14 TAX &/OR SEC 08 DEUTSCHE BANC ALEX BROWN INC (ID 651229-10-6)	(76 000)	48 517	3,687 28	(3,206 18)	481 10 L
3/10 3/15	Sale High Cost	NEWELL BRANDS, INC @ 48 7418 584 90 BROKERAGE 0 30 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 651229-10-6)	(12 000)	48 716	584 59	(502 62)	81 97 L
3/10 3/15	Sale High Cost	ADOBE SYSTEMS INC @ 120 7531 1 449 03 BROKERAGE 0 18 TAX &/OR SEC 03 DEUTSCHE BANC ALEX BROWN INC (ID 00724F-10-1)	(12 000)	120 735	1,448 82	(1,052 16)	396 66 L
3/10 3/15	Sale High Cost	NEWELL BRANDS, INC , @ 48 605 145 81 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 651229-10-6)	(3 000)	48 593	145 78	(124 99)	20 79 L
3/22 3/27	Sale High Cost	CIT GROUP INC @ 40 33 282 31 BROKERAGE 0 10 SJ LEVINSON & SONS LLC (ID 125581-80-1)	(7 000)	40 316	282 21	(270 67)	11 54 S
3/22 3/27	Sale High Cost	CIT GROUP INC @ 40 5133 1,174 88 BROKERAGE 0 43 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 125581-80-1)	(29 000)	40 498	1,174 43	(1,091 34)	83 09 S
3/30 4/4	Sale High Cost	ANHEUSER-BUSCH INBEV-SPN ADR @ 110 1923 3,526 15 BROKERAGE 0 48 TAX &/OR SEC 07 DEUTSCHE BANC ALEX BROWN INC (ID 03524A-10-8)	(32 000)	110 175	3,525 60	(3,321 40)	204 20 S
3/30 4/4	Sale High Cost	ANHEUSER-BUSCH INBEV-SPN ADR @ 110 0646 990 58 BROKERAGE 0 13 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 03524A-10-8)	(9 000)	110 048	990 43	(893 96)	96 47 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/31 4/5	Sale High Cost	ANHEUSER-BUSCH INBEV SPN ADR @ 109 9882 3,299 64 BROKERAGE 0 45 TAX &/OR SEC 07 UBS SECURITIES LLC (ID 03524A-10-8)	(30 000)	109 971	3,299 12	(2,979 88)	319 24 S
3/31 4/5	Sale High Cost	ANHEUSER-BUSCH INBEV-SPN ADR @ 109 7725 987 95 BROKERAGE 0 13 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 03524A-10-8)	(9 000)	109 756	987 80	(893 96)	93 84 S
3/31 4/5	Sale High Cost	ANHEUSER-BUSCH INBEV-SPN ADR @ 110 115 550 57 BROKERAGE 0 05 TAX &/OR SEC 01 LIQUIDNET INC (ID 03524A-10-8)	(5 000)	110 102	550 51	(496 65)	53 86 S
4/5 4/10	Sale High Cost	ACUITY BRANDS INC @ 171 6178 1,887 79 BROKERAGE 0 16 TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC WASH SALE ADJUSTMENT 04/11/17 (ID 00508Y-10-2)	(11 000)	171 599	1,887 59	(1,887 59)	
4/5 4/10	Sale High Cost	ACUITY BRANDS INC @ 172 6903 1,554 21 BROKERAGE 0 09 TAX &/OR SEC 03 KEEFE BRUYETTE & WOODS INC WASH SALE ADJUSTMENT 04/11/17 (ID 00508Y-10-2)	(9 000)	172 677	1 554 09	(1,554 09)	
4/7 4/12	Sale High Cost	ACUITY BRANDS INC @ 173 26 4 851 28 BROKERAGE 0 07 TAX &/OR SEC 10 LUMINEX TRADING AND ANALYTICS LLC (ID 00508Y-10-2)	(28 000)	173 254	4,851 11	(5,699 23)	(848 12) S
4/7 4/12	Sale High Cost	ACUITY BRANDS INC @ 173 3211 5,026 31 BROKERAGE 0 43 TAX &/OR SEC 10 DEUTSCHE BANC ALEX BROWN INC (ID 00508Y-10-2)	(29 000)	173 303	5,025 78	(5,209 75)	(183 97) S
4/12 4/18	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 52 9838 4,132 73 BROKERAGE 1 17 TAX &/OR SEC 09 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 04/18/17 (ID 110122-10-8)	(78 000)	52 968	4,131 47	(4,131 47)	

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/12 4/18	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 52 9153 6,296 92 BROKERAGE 1 78 TAX &/OR SEC 13 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 04/18/17 (ID 110122-10-8)	(119 000)	52 899	6,295 01	(6,295 01)	
4/13 4/19	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 52 9857 13 564 33 BROKERAGE 3 84 TAX &/OR SEC 29 GOLDMAN SACHS & CO (ID 110122-10-8)	(256 000)	52 97	13 560 20	(14,225 88)	(665 68) S
4/20 4/25	Sale High Cost	NOBLE ENERGY INC @ 34 0401 4 697 53 BROKERAGE 2 07 TAX &/OR SEC 10 GOLDMAN SACHS & CO WASH SALE ADJUSTMENT 04/25/17 (ID 655044-10-5)	(138 000)	34 024	4 695 36	(4,695 36)	
4/20 4/25	Sale High Cost	NOBLE ENERGY INC @ 34 055 170 27 BROKERAGE 0 05 LIQUIDNET INC WASH SALE ADJUSTMENT 04/25/17 (ID 655044-10-5)	(5 000)	34 044	170 22	(170 22)	
4/20 4/25	Sale High Cost	NOBLE ENERGY INC @ 34 1074 1,364 29 BROKERAGE 0 60 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC WASH SALE ADJUSTMENT 04/25/17 (ID 655044-10-5)	(40 000)	34 092	1,363 67	(1,363 67)	
4/20 4/25	Sale High Cost	CIT GROUP INC @ 43 7066 4,283 24 BROKERAGE 1 46 TAX &/OR SEC 09 CREDIT SUISSE FIRST BOSTON LLC (ID 125581-80-1)	(98 000)	43 691	4,281 69	(4,266 24)	15 45 S
4/25 4/28	Sale High Cost	CIT GROUP INC @ 44 998 5,849 74 BROKERAGE 1 95 TAX &/OR SEC 12 BARCLAYS CAPITAL LE (ID 125581-80-1)	(130 000)	44 982	5,847 67	(5,659 30)	188 37 S
4/25 4/28	Sale High Cost	CIT GROUP INC @ 45 1452 1,805 80 BROKERAGE 0 60 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID 125581-80-1)	(40 000)	45 129	1 805 17	(1,741 33)	63 84 S
4/25 4/28	Sale High Cost	CIT GROUP INC @ 45 155 812 79 BROKERAGE 0 18 TAX &/OR SEC 01 LIQUIDNET INC (ID 125581-80-1)	(18 000)	45 144	812 60	(783 60)	29 00 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/25 4/28	Sale High Cost	CIT GROUP INC @ 44 9142 2 066 05 BROKERAGE 0 46 TAX &/OR SEC 04 DREXEL HAMILTON LLC (ID 125581-80-1)	(46 000)	44 903	2 065 55	(2 002 52)	63 03 S
Total Settled Sales/Maturities/Redemptions		TOTALS			334513	323582	10931
		BASIS ADJUST			-	2757	(2757)
					334513	326339	8174

Trade Date / Est Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Pending Sales, Maturities, Redemptions							
4/27 5/2	Sale	CIT GROUP INC (ID 125581-80-1)	(240 000)	46 163	11 075 28	(9,216 96)	1 858 32 S
4/28 5/3	Sale	NOBLE ENERGY INC (ID 655044-10-5)	(323 000)	32 436	10,471 83	(11,522 72)	(1,050 89) S

Trade Date Est Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Pending Sales, Maturities, Redemptions							
4/28 5/3	Sale	HALLIBURTON CO (ID 406216-10-1)	(113 000)	46 409	5,242 49	(6 206 67)	(964 18) S
Total Pending Sales, Maturities, Redemptions					**$26,789 60**	**($26,946 35)**	**($156 75) S**

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Los
Settled Sales/Maturities/Redemptions							
5/5 5/10	Sale High Cost	PENTAIR PLC @ 56 8612 284 30 BROKERAGE 0 07 SJ LEVINSON & SONS LLC (ID G7S00T-10-4)	(5 000)	56 846	284 23	(236 66)	47 57 S
5/5 5/10	Sale High Cost	IDEX CORP @ 81 4385 162 87 BROKERAGE 0 03 SJ LEVINSON & SONS LLC (ID 45167R-10-4)	(2 000)	81 42	162 84	(150 57)	12 27 L
5/5 5/10	Sale High Cost	NEWFIELD EXPLORATION CO @ 36 3164 544 74 BROKERAGE 0 22 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 651290-10-8)	(15 000)	36 301	544 51	(556 89)	(12 38) S
5/5 5/10	Sale High Cost	FLOWSERVE CORP @ 45 8891 734 22 BROKERAGE 0 24 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID 34354P-10-5)	(16 000)	45 873	733 97	(1,301 00)	(567 03) L
5/5 5/10	Sale High Cost	CHICAGO BRIDGE & IRON CO NV @ 38 1886 725 58 BROKERAGE 0 28 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 167250-10-9)	(19 000)	38 173	725 29	(1,582 32)	(857 03) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/5 5/10	Sale High Cost	MURPHY USA INC @ 58 3009 583 00 BROKERAGE 0 15 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 626755-10-2)	(10 000)	58 284	582 84	(648 79)	(65 95) S
5/5 5/10	Sale High Cost	TRINITY INDUSTRIES INC @ 17 5291 385 64 BROKERAGE 0 33 UBS SECURITIES LLC WASH SALE ADJUSTMENT 05/10/16 (ID 896522-10-9)	(22 000)	17 514	385 31	(385 31)	
5/5 5/10	Sale High Cost	CARBO CERAMICS INC @ 12 9972 129 97 BROKERAGE 0 15 UBS SECURITIES LLC (ID 140781-10-5)	(10 000)	12 982	129 82	(688 42)	(558 60) L
5/5 5/10	Sale High Cost	CLEAN HARBORS INC @ 46 936 422 42 BROKERAGE 0 13 UBS SECURITIES LLC (ID 184496-10-7)	(9 000)	46 921	422 29	(424 02)	(1 73) L
5/5 5/10	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 4634 840 29 BROKERAGE 0 49 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 198280-10-9)	(33 000)	25 446	839 79	(983 19)	(143 40) S
5/5 5/10	Sale High Cost	OGE ENERGY CORP @ 30 2276 423 18 BROKERAGE 0 21 UBS SECURITIES LLC (ID 670837-10-3)	(14 000)	30 212	422 97	(457 78)	(34 81) L
5/5 5/10	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 4549 1,145 47 BROKERAGE 0 67 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 198280-10-9)	(45 000)	25 44	1,144 78	(1,066 80)	100 43 L (22 45) S
5/5 5/10	Sale High Cost	IDEX CORP @ 81 4223 488 53 BROKERAGE 0 06 TAX &/OR SEC 01 ROSENBLATT SECURITIES LLC (ID 45167R-10-4)	(6 000)	81 41	488 46	(451 70)	36 76 L
5/6 5/11	Sale High Cost	CLEAN HARBORS INC @ 47 3265 236 63 BROKERAGE 0 07 SJ LEVINSON & SONS LLC (ID 184496-10-7)	(5 000)	47 312	236 56	(235 57)	0 99 L
5/6 5/11	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 4012 1,244 65 BROKERAGE 0 73 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 198280-10-9)	(49 000)	25 386	1,243 90	(1,023 93)	49 30 L 170 67 S
5/6 5/11	Sale High Cost	MURPHY USA INC @ 58 3526 58 35 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 626755-10-2)	(1 000)	58 34	58 34	(64 65)	(6 31) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/17 5/20	Sale High Cost	CARBO CERAMICS INC @ 11 9723 119 72 BROKERAGE 0 15 GOLDMAN SACHS & CO (ID 140781-10-5)	(10 000)	11 957	119 57	(569 95)	(450 38) L
5/17 5/20	Sale High Cost	DXP ENTERPRISES INC @ 14 1997 70 99 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 233377-40-7)	(5 000)	14 184	70 92	(523 34)	(452 42) L
5/17 5/20	Sale High Cost	CENTERPOINT ENERGY INC @ 21 966 1 032 40 BROKERAGE 0 70 TAX &/OR SEC 02 UBS SECURITIES LLC (ID 15189T-10-7)	(47 000)	21 951	1,031 68	(1 147 43)	(115 75) L
5/17 5/20	Sale High Cost	DXP ENTERPRISES INC @ 14 315 171 78 BROKERAGE 0 12 LIQUIDNET INC (ID 233377-40-7)	(12 000)	14 305	171 66	(1,236 45)	(1,064 79) L
5/18 5/23	Sale High Cost	DXP ENTERPRISES INC @ 13 8377 27 67 BROKERAGE 0 03 GOLDMAN SACHS & CO (ID 233377-40-7)	(2 000)	13 82	27 64	(202 96)	(175 32) L
5/18 5/23	Sale High Cost	DXP ENTERPRISES INC @ 13 78 41 34 BROKERAGE 0 03 LIQUIDNET INC (ID 233377-40-7)	(3 000)	13 77	41 31	(304 44)	(263 13) L
5/18 5/23	Sale High Cost	DXP ENTERPRISES INC @ 13 6075 68 03 BROKERAGE 0 07 SJ LEVINSON & SONS LLC (ID 233377-40-7)	(5 000)	13 592	67 96	(483 96)	(416 00) L
5/18 5/23	Sale High Cost	DXP ENTERPRISES INC @ 14 081 14 08 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 233377-40-7)	(1 000)	14 07	14 07	(96 79)	(82 72) L
5/19 5/24	Sale High Cost	DXP ENTERPRISES INC @ 12 9914 38 97 BROKERAGE 0 04 GOLDMAN SACHS & CO (ID 233377-40-7)	(3 000)	12 977	38 93	(290 38)	(251 45) L
5/19 5/24	Sale High Cost	DXP ENTERPRISES INC @ 13 0431 13 04 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 233377-40-7)	(1 000)	13 03	13 03	(96 79)	(83 76) L
5/19 5/24	Sale High Cost	DXP ENTERPRISES INC @ 12 8774 64 38 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 233377-40-7)	(5 000)	12 862	64 31	(436 46)	(372 15) L
5/19 5/24	Sale High Cost	DXP ENTERPRISES INC @ 12 8971 12 89 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 233377-40-7)	(1 000)	12 88	12 88	(52 22)	(39 34) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/19 5/24	Sale High Cost	DXP ENTERPRISES INC @ 12 735 38 20 BROKERAGE 0 03 LIQUIDNET INC (ID 233377-40-7)	(3 000)	12 723	38 17	(156 56)	(118 39) L
5/20 5/25	Sale High Cost	FEDEX CORP @ 161 6212 161 62 BROKERAGE 0 01 RBC CAPITAL MARKETS CORPORATION (ID 31428X-10-6)	(1 000)	161 61	161 61	(152 15)	9 46 S
5/20 5/25	Sale High Cost	MARTIN MARIETTA MATERIALS @ 185 8588 371 71 BROKERAGE 0 03 RBC CAPITAL MARKETS CORPORATION (ID 573284-10-6)	(2 000)	185 84	371 68	(294 82)	76 86 S
5/20 5/25	Sale High Cost	DXP ENTERPRISES INC @ 13 014 65 07 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 233377-40-7)	(5 000)	13 00	65 00	(251 04)	(186 04) L
5/20 5/25	Sale High Cost	VULCAN MATERIALS CO @ 115 0163 230 03 BROKERAGE 0 03 RBC CAPITAL MARKETS CORPORATION (ID 929160-10-9)	(2 000)	115 00	230 00	(195 84)	34 16 S
5/20 5/25	Sale High Cost	DXP ENTERPRISES INC @ 12 887 38 66 BROKERAGE 0 04 KNIGHT EQUITY MARKETS L P (ID 233377-40-7)	(3 000)	12 873	38 62	(149 99)	(111 37) L
5/25 5/31	Sale High Cost	EOG RESOURCES INC @ 83 0002 913 00 BROKERAGE 0 16 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC WASH SALE ADJUSTMENT 05/31/16 (ID 26875P-10-1)	(11 000)	82 985	912 83	(980 50)	(67 67) L
6/1 6/1	Sale Pro Rata	WASTE CONNECTIONS INC TAXABLE MERGER - HOLDERS RECEIVE ONE SHARE OF WASTE CONNECTIONS INC , CUSIP 94106B101, FOR EACH SHARE OF WASTE CONNECTIONS INC , CUSIP 941053100, HELD THE MERGER IS TAXABLE VALUED AT 66 405 THE NEW SHARES WILL HAVE COST BASIS OF 66 405 PER SHARE BASED ON THE FAIR MARKET VALUE AS OF THE CLOSE OF BUSINESS ON 6/1/2016 (ID 941053-10-0)	(53 000)	66 405	3,519 47	(2,345 31)	1,174 16 L
5/27 6/2	Sale High Cost	CARBO CERAMICS INC @ 12 1924 256 04 BROKERAGE 0 31 SJ LEVINSON & SONS LLC (ID 140781-10-5)	(21 000)	12 178	255 73	(848 50)	(592 77) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/3	Sale High Cost	DU PONT (E I) DE NEMOURS @ 65 596 3,279 80 BROKERAGE 0 75 TAX &/OR SEC 07 JEFFERIES & COMPANY (ID 263534-10-9)	(50 000)	65 58	3,278 98	(3,234 83)	44 15 S
5/31 6/3	Sale High Cost	CENTERPOINT ENERGY INC @ 22 4583 336 87 BROKERAGE 0 22 MERRILL LYNCH PIERCE FENNER & SMIT (ID 15189T-10-7)	(15 000)	22 443	336 65	(354 26)	(17 61) L
5/31 6/3	Sale High Cost	ECOLAB INC @ 117 0839 468 33 BROKERAGE 0 06 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 278865-10-0)	(4 000)	117 065	468 26	(472 52)	(4 26) S
5/31 6/3	Sale High Cost	ENERGEN CORP @ 47 5914 237 95 BROKERAGE 0 07 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 06/03/16 (ID 29265N-10-8)	(5 000)	47 576	237 88	(237 88)	
5/31 6/3	Sale High Cost	MARTIN MARIETTA MATERIALS @ 187 4476 562 34 BROKERAGE 0 04 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 573284-10-6)	(3 000)	187 43	562 29	(442 23)	120 06 S
5/31 6/3	Sale High Cost	PLAINS GP HOLDINGS LP-CL A @ 9 4193 329 67 BROKERAGE 0 52 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 06/03/16 (ID 72651A-10-8)	(35 000)	9 404	329 15	(625 46)	(296 31) S
5/31 6/3	Sale High Cost	WESTERN REFINING INC @ 21.2293 382 12 BROKERAGE 0 27 MERRILL LYNCH PIERCE FENNER & SMIT (ID 959319-10-4)	(18 000)	21 214	381 85	(670 36)	(288 51) S
5/31 6/3	Sale High Cost	AXIALL CORP @ 23 18 115 90 BROKERAGE 0 05 CITIGROUP GLOBAL MKTS INC (ID 05463D-10-0)	(5 000)	23 17	115 85	(205 00)	(89 15) L
5/31 6/3	Sale High Cost	VULCAN MATERIALS CO @ 116 66 933 27 BROKERAGE 0 12 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 929160-10-9)	(8 000)	116 641	933 13	(783 36)	149 77 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/3	Sale High Cost	MURPHY USA INC @ 67 9011 475 30 BROKERAGE 0 10 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 626755-10-2)	(7 000)	67 884	475 19	(355 49)	82 29 L 36 41 S
5/31 6/3	Sale High Cost	QUESTAR CORP @ 25 1797 176 25 BROKERAGE 0 10 UBS SECURITIES LLC (ID 748356-10-2)	(7 000)	25 164	176 15	(166 52)	9 63 L
5/31 6/3	Sale High Cost	CONSOL ENERGY INC @ 15 4566 170 02 BROKERAGE 0 16 S G COWEN & CO (ID 20854P-10-9)	(11 000)	15 442	169 86	(459 20)	(289 34) L
5/31 6/3	Sale High Cost	EMERSON ELECTRIC CO @ 51 7716 517 71 BROKERAGE 0 15 TAX &/OR SEC 01 S G COWEN & CO (ID 291011-10-4)	(10 000)	51 755	517 55	(655 92)	(138 37) L
5/31 6/3	Sale High Cost	KINDER MORGAN INC @ 17 9443 269 16 BROKERAGE 0 22 S G COWEN & CO (ID 49456B-10-1)	(15 000)	17 929	268 94	(493 54)	(224 60) L
5/31 6/3	Sale High Cost	SCORPIO TANKERS INC @ 5 8782 217 49 BROKERAGE 0 55 GOLDMAN SACHS & CO (ID Y7542C-10-6)	(37 000)	5 863	216 94	(320 88)	(103 94) S
5/31 6/3	Sale High Cost	BERRY PLASTICS GROUP INC @ 39 0546 820 14 BROKERAGE 0 31 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 08579W-10-3)	(21 000)	39 039	819 82	(771 19)	48 63 S
5/31 6/3	Sale High Cost	BUILDERS FIRSTSOURCE INC @ 11 7468 587 34 BROKERAGE 0 75 TAX &/OR SEC 01 GOLDMAN SACHS & CO WASH SALE ADJUSTMENT 06/03/16 (ID 12008R-10-7)	(50 000)	11 732	586 58	(592 76)	(6 18) S
5/31 6/3	Sale High Cost	DELEK US HOLDINGS INC @ 13 7554 288 86 BROKERAGE 0 31 GOLDMAN SACHS & CO (ID 246647-10-1)	(21 000)	13 74	288 55	(550 83)	(262 28) S
5/31 6/3	Sale High Cost	KRATON PERFORMANCE POLYMERS @ 27 1621 190 13 BROKERAGE 0 10 GOLDMAN SACHS & CO (ID 50077C-10-6)	(7 000)	27 147	190 03	(166 07)	23 96 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/3	Sale High Cost	XPO LOGISTICS INC @ 29 2792 673 42 BROKERAGE 0 34 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 983793-10-0)	(23 000)	29 264	673 07	(652 29)	20 78 S
5/31 6/3	Sale High Cost	TRINSEO SA @ 47 051 988 07 BROKERAGE 0 31 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID L9340P-10-1)	(21 000)	47 035	987 74	(790 19)	197 55 S
5/31 6/3	Sale High Cost	AIR PRODUCTS & CHEMICALS INC @ 142 6494 1,854 44 BROKERAGE 0 19 TAX &/OR SEC 04 JEFFERIES & COMPANY (ID 009158-10-6)	(13 000)	142 632	1,854 21	(1,774 97)	79 24 S
5/31 6/3	Sale High Cost	UNITED CONTINENTAL HOLDINGS @ 45 0626 1,982 75 BROKERAGE 0 66 TAX &/OR SEC 04 JEFFERIES & COMPANY (ID 910047-10-9)	(44 000)	45 047	1,982 05	(2,586 57)	(604 52) S
5/31 6/3	Sale High Cost	DELTA AIR LINES INC @ 43 3491 1,040 37 BROKERAGE 0 36 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 247361-70-2)	(24 000)	43 333	1,039 99	(1,214 26)	(174 27) S
5/31 6/3	Sale High Cost	FLOWSERVE CORP @ 48 0931 432 83 BROKERAGE 0 13 MERRILL LYNCH PIERCE FENNER & SMIT (ID 34354P-10-5)	(9 000)	48 078	432 70	(727 00)	(294 30) L
5/31 6/3	Sale High Cost	JACOBS ENGINEERING GROUP INC @ 50 5391 303 23 BROKERAGE 0 09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 469814-10-7)	(6 000)	50 523	303 14	(364 84)	(61 70) L
5/31 6/3	Sale High Cost	KIRBY CORP @ 69 907 209 72 BROKERAGE 0 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 497266-10-6)	(3 000)	69 893	209 68	(312 83)	(103 15) L
5/31 6/3	Sale High Cost	KIRBY CORP @ 70 08 280 32 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 497266-10-6)	(4 000)	70 065	280 26	(417 10)	(136 84) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/3	Sale High Cost	LINCOLN ELECTRIC HOLDINGS @ 60 18 240 71 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 533900-10-6)	(4 000)	60 163	240 65	(302 34)	(61 69) L
5/31 6/3	Sale High Cost	MARTIN MARIETTA MATERIALS @ 189 04 756 16 BROKERAGE 0 06 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 573284-10-6)	(4 000)	189 023	756 09	(480 42)	234 06 L 41 61 S
5/31 6/3	Sale High Cost	QUESTAR CORP @ 25 21 201 68 BROKERAGE 0 12 MERRILL LYNCH PIERCE FENNER & SMIT (ID 748356-10-2)	(8 000)	25 195	201 56	(190 31)	11 25 L
5/31 6/3	Sale High Cost	TRANSCANADA CORP @ 41 4606 248 76 BROKERAGE 0 09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 89353D-10-7)	(6 000)	41 445	248 67	(245 22)	3 45 S
5/31 6/3	Sale High Cost	UNITED PARCEL SERVICE-CL B @ 102 9352 514 67 BROKERAGE 0 07 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 911312-10-6)	(5 000)	102 918	514 59	(511 67)	2 92 S
5/31 6/3	Sale High Cost	VALERO ENERGY CORP @ 54 5113 872 18 BROKERAGE 0 24 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 91913Y-10-0)	(16 000)	54 496	871 93	(1,126 64)	(254 71) S
5/31 6/3	Sale High Cost	SCORPIO TANKERS INC @ 5 875 47 00 BROKERAGE 0 08 CITIGROUP GLOBAL MKTS INC (ID Y7542C-10-6)	(8 000)	5 865	46 92	(69 37)	(22 45) S
5/31 6/3	Sale High Cost	FMC CORP @ 47 5549 713 32 BROKERAGE 0 22 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 302491-30-3)	(15 000)	47 539	713 09	(618 87)	94 22 S
5/31 6/3	Sale High Cost	FEDEX CORP @ 165 0486 2,145 63 BROKERAGE 0 19 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 31428X-10-6)	(13 000)	165 031	2,145 40	(1,953 40)	192 00 S
5/31 6/3	Sale High Cost	HUNTSMAN CORP @ 14 9167 313 25 BROKERAGE 0 31 CITIGROUP GLOBAL MKTS INC (ID 447011-10-7)	(21 000)	14 902	312 94	(172 85)	140 09 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/3	Sale High Cost	PPG INDUSTRIES INC @ 107 6058 1,291 26 BROKERAGE 0 18 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 693506-10-7)	(12 000)	107 588	1,291 06	(1,257 30)	33 76 S
5/31 6/3	Sale High Cost	SHERWIN-WILLIAMS CO/THE @ 290 9953 2,036 96 BROKERAGE 0 10 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 824348-10-6)	(7 000)	290 974	2,036 82	(1,904 95)	131 87 S
5/31 6/3	Sale High Cost	UNITED PARCEL SERVICE-CL B @ 102 915 720 40 BROKERAGE 0 07 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 911312-10-6)	(7 000)	102 903	720 32	(716 11)	4 21 S
5/31 6/3	Sale High Cost	AXIALL CORP @ 23 245 395 16 BROKERAGE 0 25 UBS SECURITIES LLC (ID 05463D-10-0)	(17 000)	23 23	394 91	(697 02)	(302 11) L
5/31 6/3	Sale High Cost	LINCOLN ELECTRIC HOLDINGS @ 60 045 180 13 BROKERAGE 0 04 UBS SECURITIES LLC (ID 533900-10-6)	(3 000)	60 03	180 09	(226 76)	(46 67) L
5/31 6/3	Sale High Cost	SCORPIO TANKERS INC @ 5 875 170 37 BROKERAGE 0 29 STATE STREET GLOBAL MARKETS, LLC (ID Y7542C-10-6)	(29 000)	5 865	170 08	(251 40)	(81 32) S
5/31 6/3	Sale High Cost	BERRY PLASTICS GROUP INC @ 39 075 468 90 BROKERAGE 0 12 TAX &/OR SEC 01 LIQUIDNET INC (ID 08579W-10-3)	(12 000)	39 064	468 77	(436 64)	32 13 S
5/31 6/3	Sale High Cost	DOMINION RESOURCES INC/VA @ 71 7664 358 83 BROKERAGE 0 07 S G COWEN & CO (ID 25746U-10-9)	(5 000)	71 752	358 76	(381 41)	(22 65) L
5/31 6/3	Sale High Cost	DOW CHEMICAL CO/THE @ 51 1926 204 77 BROKERAGE 0 06 S G COWEN & CO (ID 260543-10-3)	(4 000)	51 178	204 71	(207 85)	(3 14) S
5/31 6/3	Sale High Cost	PHILLIPS 66 @ 79 9256 239 77 BROKERAGE 0 04 S G COWEN & CO (ID 718546-10-4)	(3 000)	79 91	239 73	(226 43)	13 30 L
5/31 6/3	Sale High Cost	UNION PACIFIC CORP @ 84 0124 252 03 BROKERAGE 0 04 S G COWEN & CO (ID 907818-10-8)	(3 000)	83 997	251 99	(256 34)	(4 35) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/31 6/6	Sale High Cost	EOG RESOURCES INC @ 81 2153 243 64 BROKERAGE 0 04 S G COWEN & CO (ID 26875P-10-1)	(3 000)	81 20	243 60	(282 79)	(39 19) L
5/31 6/6	Sale High Cost	HONEYWELL INTERNATIONAL INC @ 113 5624 227 12 BROKERAGE 0 03 S G COWEN & CO (ID 438516-10-6)	(2 000)	113 545	227 09	(189 31)	37 78 L
5/31 6/6	Sale High Cost	MONSANTO CO @ 112 2239 785 56 BROKERAGE 0 10 TAX &/OR SEC 01 S G COWEN & CO (ID 61166W-10-1)	(7 000)	112 207	785 45	(679 44)	106 01 S
6/1 6/6	Sale High Cost	SCORPIO TANKERS INC @ 5 6834 159 13 BROKERAGE 0 42 GOLDMAN SACHS & CO (ID Y7542C-10-6)	(28 000)	5 668	158 71	(240 16)	(81 45) S
6/1 6/6	Sale High Cost	BUILDERS FIRSTSOURCE INC @ 11 9277 644 09 BROKERAGE 0 81 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 12008R-10-7)	(54 000)	11 912	643 27	(626 94)	16 33 S
6/1 6/6	Sale High Cost	DELEK US HOLDINGS INC @ 14 036 449 15 BROKERAGE 0 48 GOLDMAN SACHS & CO (ID 246647-10-1)	(32 000)	14 021	448 67	(666 00)	(217 33) S
6/1 6/6	Sale High Cost	SCORPIO TANKERS INC @ 5 6795 210 14 BROKERAGE 0 55 JEFFERIES & COMPANY (ID Y7542C-10-6)	(37 000)	5 665	209 59	(313 78)	(104 19) S
6/1 6/6	Sale High Cost	KRATON PERFORMANCE POLYMERS @ 26 8837 215 06 BROKERAGE 0 12 JEFFERIES & COMPANY (ID 50077C-10-6)	(8 000)	26 868	214 94	(189 65)	25 29 S
6/1 6/6	Sale High Cost	SCORPIO TANKERS INC @ 5 665 192 61 BROKERAGE 0 34 LIQUIDNET INC (ID Y7542C-10-6)	(34 000)	5 655	192 27	(230 85)	(38 58) S
6/1 6/6	Sale High Cost	MURPHY USA INC @ 67 9748 475 82 BROKERAGE 0 10 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 626755-10-2)	(7 000)	67 959	475 71	(346 70)	92 44 L 36 57 S
6/1 6/6	Sale High Cost	XPO LOGISTICS INC @ 28 1452 365 88 BROKERAGE 0 19 UBS SECURITIES LLC (ID 983793-10-0)	(13 000)	28 13	365 69	(360 14)	5 55 S
6/1 6/6	Sale High Cost	SCORPIO TANKERS INC @ 5 645 28 22 BROKERAGE 0 05 CITIGROUP GLOBAL MKTS INC (ID Y7542C-10-6)	(5 000)	5 634	28 17	(28 56)	(0 39) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/1 6/6	Sale High Cost	DELEK US HOLDINGS INC @ 14 045 56 18 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID 246647-10-1)	(4 000)	14 035	56 14	(61 55)	(5 41) S
6/1 6/6	Sale High Cost	KRATON PERFORMANCE POLYMERS @ 26 782 348 16 BROKERAGE 0 13 CITIGROUP GLOBAL MKTS INC (ID 50077C-10-6)	(13 000)	26 772	348 03	(306 19)	41 84 S
6/1 6/6	Sale High Cost	XPO LOGISTICS INC @ 28 16 140 80 BROKERAGE 0 05 CITIGROUP GLOBAL MKTS INC (ID 983793-10-0)	(5 000)	28 15	140 75	(134 21)	6 54 S
6/1 6/6	Sale High Cost	AXIALL CORP @ 22 9777 252 75 BROKERAGE 0 16 UBS SECURITIES LLC (ID 05463D-10-0)	(11 000)	22 963	252 59	(426 48)	(173 89) L
5/31 6/7	Sale High Cost	WASTE CONNECTIONS INC @ 67 1275 469 89 BROKERAGE 0 07 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 941053-10-0)	(7 000)	67 116	469 81	(309 76)	160 05 L
6/9 6/14	Sale High Cost	EOG RESOURCES INC @ 85 0254 255 07 BROKERAGE 0 04 SJ LEVINSON & SONS LLC (ID 26875P-10-1)	(3 000)	85 01	255 03	(282 79)	(27 76) L
6/10 6/15	Sale High Cost	CIMAREX ENERGY CO @ 115 3747 230 74 BROKERAGE 0 03 SJ LEVINSON & SONS LLC (ID 171798-10-1)	(2 000)	115 355	230 71	(226 64)	2 23 L 1 84 S
6/16 6/21	Sale High Cost	CARBO CERAMICS INC @ 12 5625 37 68 BROKERAGE 0 04 GOLDMAN SACHS & CO (ID 140781-10-5)	(3 000)	12 547	37 64	(120 37)	(82 73) L
6/16 6/21	Sale High Cost	CARBO CERAMICS INC @ 12 5172 62 58 BROKERAGE 0 07 GOLDMAN SACHS & CO (ID 140781-10-5)	(5 000)	12 502	62 51	(190 29)	(127 78) L
6/16 6/21	Sale High Cost	CARBO CERAMICS INC @ 12 6089 138 69 BROKERAGE 0 16 GOLDMAN SACHS & CO (ID 140781-10-5)	(11 000)	12 594	138 53	(312 19)	(70 74) L (102 92) S
6/20 6/23	Sale High Cost	ENERGY SELECT SECTOR SPDR @ 67 6907 4,873 73 BROKERAGE 1 80 TAX &/OR SEC .10 UBS SECURITIES LLC (ID 81369Y-50-6)	(72 000)	67 664	4,871 83	(4,821 72)	50 11 S
7/5 7/8	Sale High Cost	EXXON MOBIL CORP @ 92 4782 369 91 BROKERAGE 0 06 RBC CAPITAL MARKETS CORPORATION (ID 30231G-10-2)	(4 000)	92 463	369 85	(348 86)	7 37 L 13 62 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/5 7/8	Sale High Cost	OCCIDENTAL PETROLEUM CORP @ 74 859 224 57 BROKERAGE 0 04 RBC CAPITAL MARKETS CORPORATION (ID 674599-10-5)	(3 000)	74 843	224 53	(281 10)	(56 57) L
7/5 7/8	Sale High Cost	PIONEER NATURAL RESOURCES CO @ 149 5591 149 55 BROKERAGE 0 01 RBC CAPITAL MARKETS CORPORATION (ID 723787-10-7)	(1 000)	149 54	149 54	(197 74)	(48 20) L
7/6 7/11	Sale High Cost	CIMAREX ENERGY CO @ 116 2183 581 09 BROKERAGE 0 07 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 171798-10-1)	(5 000)	116 202	581 01	(561 35)	19 66 L
7/6 7/11	Sale High Cost	OCCIDENTAL PETROLEUM CORP @ 75 3127 225 93 BROKERAGE 0 04 JEFFERIES & COMPANY (ID 674599-10-5)	(3 000)	75 297	225 89	(280 27)	(54 38) L
7/15 7/20	Sale High Cost	NEWFIELD EXPLORATION CO @ 44 884 179 53 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 651290-10-8)	(4 000)	44 868	179 47	(148 50)	30 97 L
7/18 7/21	Sale High Cost	NOBLE ENERGY INC @ 36 8355 221 01 BROKERAGE 0 09 CITIGROUP GLOBAL MKTS INC (ID 655044-10-5)	(6 000)	36 82	220 92	(427 36)	(206 44) L
7/18 7/21	Sale High Cost	RANGE RESOURCES CORP @ 43 3347 216 67 BROKERAGE 0 07 CITIGROUP GLOBAL MKTS INC (ID 75281A-10-9)	(5 000)	43 32	216 60	(275 61)	(59 01) L
7/19 7/22	Sale High Cost	CIMAREX ENERGY CO @ 118 6968 237 39 BROKERAGE 0 03 CITIGROUP GLOBAL MKTS INC (ID 171798-10-1)	(2 000)	118 68	237 36	(221 43)	15 93 L
8/11 8/16	Sale High Cost	CONSOL ENERGY INC @ 17 8455 1,017 19 BROKERAGE 0 85 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 20854P-10-9)	(57 000)	17 83	1,016 32	(2,306 49)	(1 290 17) L
8/11 8/16	Sale High Cost	SEMGROUP CORP-CLASS A @ 29 1242 669 85 BROKERAGE 0 34 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 81663A-10-5)	(23 000)	29 109	669 50	(1,516 61)	(847 11) L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/11 8/16	Sale High Cost	PHILLIPS 66 @ 78 2024 2 424 27 BROKERAGE 0 46 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 718546-10-4)	(31 000)	78 186	2,423 76	(2,321 92)	101 84 L
8/11 8/16	Sale High Cost	WASTE CONNECTIONS INC @ 79 0621 1,897 49 BROKERAGE 0 36 TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 94106B-10-1)	(24 000)	79 045	1 897 09	(758 64)	1 138 45 S
8/12 8/17	Sale High Cost	CONSOL ENERGY INC @ 17 4429 1,133 78 BROKERAGE 0 97 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 20854P-10-9)	(65 000)	17 428	1,132 79	(1,810 85)	(678 06) L
8/12 8/17	Sale High Cost	SEMGROUP CORP-CLASS A @ 29 4725 707 34 BROKERAGE 0 36 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 81663A-10-5)	(24 000)	29 457	706 97	(1,546 75)	(837 55) L (2 23) S
8/15 8/18	Sale High Cost	MURPHY USA INC @ 74 6562 149 31 BROKERAGE 0 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 626755-10-2)	(2 000)	74 64	149 28	(98 93)	50 35 L
8/15 8/18	Sale High Cost	NEWFIELD EXPLORATION CO @ 46 8506 234 25 BROKERAGE 0 07 MERRILL LYNCH PIERCE FENNER & SMIT (ID 651290-10-8)	(5 000)	46 836	234 18	(185 63)	48 55 L
8/31 8/31	Sale Pro Rata	AXIALL CORP MERGER - HOLDERS RECEIVE $33 00 FOR EACH SHARE HELD (ID 05463D-10-0)	(92,000)	33 00	3,036 00	(2,080 95)	(108 56) L 1,063 61 S
9/6 9/9	Sale High Cost	EOG RESOURCES INC @ 94 9677 379 87 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 26875P-10-1)	(4 000)	94 953	379 81	(377 06)	2 75 L
9/6 9/9	Sale High Cost	EOG RESOURCES INC @ 94 7507 1,326 50 BROKERAGE 0 21 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 26875P-10-1)	(14 000)	94 734	1,326 27	(1,319 70)	6 57 L
9/8 9/13	Sale High Cost	PIONEER NATURAL RESOURCES CO @ 187 6145 187 61 BROKERAGE 0 01 RBC CAPITAL MARKETS CORPORATION (ID 723787-10-7)	(1 000)	187 60	187 60	(197 75)	(10 15) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/19 9/19	Sale Pro Rata	QUESTAR CORP MERGER - HOLDERS RECEIVE $25 00 FOR EACH SHARE HELD (ID 748356-10-2)	(91 000)	25 00	2,275 00	(2 164 73)	110 27 L
9/30 10/5	Sale High Cost	APACHE CORP @ 64 459 902 42 BROKERAGE 0 21 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC WASH SALE ADJUSTMENT 10/05/16 (ID 037411-10-5)	(14 000)	64 443	902 20	(902 20)	
10/20 10/25	Sale High Cost	VANECK VECTORS OIL SERVICES @ 30 4715 4,936 38 BROKERAGE 4 05 TAX &/OR SEC 10 UBS SECURITIES LLC (ID 92189F-71-8)	(162 000)	30 446	4,932 23	(4,773 79)	158 44 S
10/31 10/31	Cash In Lieu	ADVANSIX INC CASH IN LIEU OF FRACTIONAL SHARES (ID 00773T-10-1)	0 800	16 163	12 93		12 93 L
11/4 11/9	Sale High Cost	EXXON MOBIL CORP @ 83 7337 1,004 80 BROKERAGE 0 18 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 30231G-10-2)	(12 000)	83 717	1,004 60	(1,021 09)	(16 49) L
11/9 11/15	Sale High Cost	BAKER HUGHES INC @ 59 4458 653 90 BROKERAGE 0 16 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 057224-10-7)	(11 000)	59 43	653 73	(683 22)	(29 49) L
11/9 11/15	Sale High Cost	BAKER HUGHES INC @ 59 6413 119 28 BROKERAGE 0 03 CREDIT SUISSE FIRST BOSTON LLC (ID 057224-10-7)	(2 000)	59 625	119 25	(110 52)	8 73 L
11/16 11/21	Sale High Cost	BAKER HUGHES INC @ 60 1567 1,082 82 BROKERAGE 0 27 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 057224-10-7)	(18 000)	60 141	1,082 53	(897 44)	63 86 L 121 23 S
11/28 12/1	Sale High Cost	EMERSON ELECTRIC CO @ 54 655 2,787 40 BROKERAGE 0 76 TAX &/OR SEC 06 CITIGROUP GLOBAL MKTS INC (ID 291011-10-4)	(51 000)	54 639	2,786 58	(3,345 18)	(558 60) L
12/1 12/6	Sale High Cost	PIONEER NATURAL RESOURCES CO @ 189 9353 189 93 BROKERAGE 0 01 RBC CAPITAL MARKETS CORPORATION (ID 723787-10-7)	(1 000)	189 92	189 92	(197 75)	(7 83) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/2 12/7	Sale High Cost	ENERGEN CORP @ 60 3823 362 29 BROKERAGE 0 09 DEUTSCHE BANC ALEX BROWN INC (ID 29265N-10-8)	(6 000)	60 367	362 20	(442 61)	(80 41) L
12/30 12/30	Cash In Lieu	PLAINS GP HLDGS LP LTD CASH IN LIEU OF FRACTIONAL SHARES (ID 72651A-20-7)	0 231	35 065	8 10		8 10 L
1/12 1/18	Sale High Cost	CHICAGO BRIDGE & IRON CO NV @ 32 5851 293 26 BROKERAGE 0 13 JEFFERIES & COMPANY (ID 167250-10-9)	(9 000)	32 57	293 13	(737 25)	(444 12) L
2/6 2/7	Sale High Cost	ENERGY SELECT SECTOR SPDR @ 72 7194 8,726 32 BROKERAGE 1 80 TAX &/OR SEC 19 KNIGHT EQUITY MARKETS L P (ID 81369Y-50-6)	(120 000)	72 703	8,724 33	(8 307 56)	416 77 S
2/14 2/17	Sale High Cost	PIONEER NATURAL RESOURCES CO @ 194 9719 389 94 BROKERAGE 0 03 INSTINET (ID 723787-10-7)	(2 000)	194 955	389 91	(395 49)	(5 58) L
2/27 3/2	Sale High Cost	MARATHON OIL CORP @ 15 7913 631 65 BROKERAGE 0 60 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 565849-10-6)	(40 000)	15 776	631 04	(1,360 31)	(729 27) L
2/27 3/2	Sale High Cost	DEVON ENERGY CORP @ 43 1919 561 49 BROKERAGE 0 19 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 25179M-10-3)	(13 000)	43 176	561 29	(836 76)	(275 47) L
3/15 3/20	Sale High Cost	WILLIAMS COS INC @ 28 7104 1,263 25 BROKERAGE 0 66 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 969457-10-0)	(44 000)	28 695	1,262 57	(1,150 82)	111 75 S
3/31 4/5	Sale High Cost	ENERGY SELECT SECTOR SPDR @ 69 99 6,089 13 BROKERAGE 2 17 TAX &/OR SEC 13 KNIGHT EQUITY MARKETS L P (ID 81369Y-50-6)	(87 000)	69 964	6,086 83	(5,826 24)	260 59 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/4 4/7	Sale High Cost	ENERGY SELECT SECTOR SPDR @ 70 07 6 376 37 BROKERAGE 2 27 TAX &/OR SEC 13 SG AMERICAS SECURITIES LLC (ID 81369Y-50-6)	(91 000)	70 044	6 373 97	(6 094 11)	279 86 S
Total Settled Sales/Maturities/Redemptions							

TOTALS	111292	121563	(10331)
BASIS ADJUST.		3018	(3018)
	111292	124581	(13349)

Form 990-PF	Corporate Stock	Statement 9

Description	Book Value	Fair Market Value
13 SHS. BERKSHIRE HATHAWAY	1,569,750.	3,221,140.
SEE ATTACHED STATEMENT #9003	918,230.	993,411.
SEE ATTACHED STATEMENT #0001	152,943.	146,303.
3930.75 SH TORTOISE MLP & PIPELINE-INC.	58,819.	55,345.
Total to Form 990-PF, Part II, line 10b	2,699,742.	4,416,199.

Form 990-PF	Other Investments	Statement 10

Description	Valuation Method	Book Value	Fair Market Value
KENSICO OFFSHORE FUND	COST	4,656,046.	9,245,738.
750 SH GLOBAL ACCESS GROWTH LTD LLC	COST	0.	0.
BLOOM TREE OFFSHORE FUND	COST	1,015,000.	1,034,465.
BALYASNY ATLAS ENHANCED	COST	500,000.	516,036.
300 SH SHARPE POINT LTD	COST	300,000.	312,015.
SEE ATTACHED STATEMENT #2001	COST	1,923,503.	2,001,055.
SEE ATTACHED STATEMENT #9080	COST	2,424,965.	2,507,214.
SEE ATTACHED STATEMENT #9220	COST	557,911.	555,845.
Total to Form 990-PF, Part II, line 13		11,377,425.	16,172,368.