

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.

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2016Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

05/01, 2016, and ending

04/30, 2017

Name of foundation THE ROBERT K. STEEL FAMILY FOUNDATION		A Employer identification number 13-3531990
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions)
C/O EY US, LLP; 77 WATER STREET	9 FL	(212) 440-0800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10005		C If exemption application is pending, check here. ☐
G Check all that apply. ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here. ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,426,340.	J Accounting method. ☒ Cash ☐ Accrual Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		NONE			
2 Check ☐ if the foundation is not required to attach Sch. B.					
3 Interest on savings and temporary cash investments.		175.	175.		ATCH 1
4 Dividends and interest from securities		71,702.	71,702.		ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,884,766.			
b Gross sales price for all assets on line 6a 1,888,122.					
7 Capital gain net income (from Part IV, line 2)			1,884,766.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 3.		-9,645.	-8,438.		
12 Total. Add lines 1 through 11		1,946,998.	1,948,205.	0.	
13 Compensation of officers, directors, trustees, etc.		0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) [4]		22,250.			
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 5.		69,756.	68,975.		780.
24 Total operating and administrative expenses. Add lines 13 through 23.		92,006.	68,975.		780.
25 Contributions, gifts, grants paid		2,916,859.			2,916,859.
26 Total expenses and disbursements. Add lines 24 and 25		3,008,865.	68,975.	0.	2,917,639.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-1,061,867.			
b Net investment income (if negative, enter -0-)			1,879,230.		
c Adjusted net income (if negative, enter -0-)				0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,195.	6,196.	6,196.
	2 Savings and temporary cash investments	468,409.	244,397.	244,397.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 6	2,726,018.	2,945,845.	459,524.
	c Investments - corporate bonds (attach schedule)			
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ ATCH 7)		5,189,597.	4,130,914.	4,716,223.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		8,389,219.	7,327,352.	5,426,340.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	8,389,219.	7,327,352.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	8,389,219.	7,327,352.	
	31 Total liabilities and net assets/fund balances (see instructions)	8,389,219.	7,327,352.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,389,219.
2 Enter amount from Part I, line 27a	2	-1,061,867.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	7,327,352.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,327,352.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,884,766.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,994,871.	7,958,062.	0.250673
2014	2,052,055.	9,005,342.	0.227871
2013	1,079,315.	9,996,667.	0.107967
2012	1,301,245.	10,502,304.	0.123901
2011	2,843,273.	11,313,699.	0.251312

2 Total of line 1, column (d)	2	0.961724
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.192345
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	6,567,843.
5 Multiply line 4 by line 3.	5	1,263,292.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	18,792.
7 Add lines 5 and 6.	7	1,282,084.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	2,917,639.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b.	1	18,792.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	18,792.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	18,792.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016.	6a	21,248.
b	Exempt foreign organizations - tax withheld at source.	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	27,500.
d	Backup withholding erroneously withheld.	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	48,748.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	29,956.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax. 29,956. Refunded.	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ ERNST & YOUNG US LLP Telephone no ▶ 212-440-0800 Located at ▶ 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP+4 ▶ 10005		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				0.

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	NONE
2 N/A	
3 N/A	
4 N/A	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3	▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	264,346.
b	Average of monthly cash balances	1b	1,041,626.
c	Fair market value of all other assets (see instructions).	1c	5,361,889.
d	Total (add lines 1a, b, and c)	1d	6,667,861.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,667,861.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	100,018.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,567,843.
6	Minimum investment return. Enter 5% of line 5	6	328,392.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	328,392.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	18,792.
b	Income tax for 2016. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	18,792.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	309,600.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	309,600.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	309,600.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,917,639.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,917,639.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	18,792.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,898,847.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				309,600.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only,			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011	2,286,061.			
b From 2012	776,982.			
c From 2013	582,697.			
d From 2014	1,610,844.			
e From 2015	1,618,226.			
f Total of lines 3a through e	6,874,810.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,917,639.				
a Applied to 2015, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				309,600.
e Remaining amount distributed out of corpus. . .	2,608,039.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,482,849.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	2,286,061.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	7,196,788.			
10 Analysis of line 9				
a Excess from 2012 . . .	776,982.			
b Excess from 2013 . . .	582,697.			
c Excess from 2014 . . .	1,610,844.			
d Excess from 2015 . . .	1,618,226.			
e Excess from 2016 . . .	2,608,039.			

NOT APPLICABLE

4942(1)(5)

(4) Gross investment income .

[illegible]

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT ATTACHED				2,916,859.
Total			▶ 3a	2,916,859.
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
▼	

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SECTION 1231 - 15% LOSS THRU K-1 1.					VAR -1.	VAR
1,884,112.		LTCG THRU K-1S					VAR 1,884,112.	VAR
		STCL THRU K-1S 1,617.					VAR -1,617.	VAR
4,010.		GS HEDGE FUND - PARTIAL DISP 1,738.					VARIOUS 2,272.	VARIOUS
TOTAL GAIN (LOSS)							<u>1,884,766.</u>	

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	85.	85.	
INTEREST ON CHECKING BALANCE	90.	90.	
TOTAL	<u>175.</u>	<u>175.</u>	

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU K-1S	42,252.	42,252.	
INTEREST INCOME THRU K-1S	23,325.	23,325.	
INTEREST INCOME THRU NOTE	6,125.	6,125.	
TOTAL	<u>71,702.</u>	<u>71,702.</u>	

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
PFIC ORDINARY INCOME THRU K-1S	-10,182.	-10,182.	
ORDINARY INCOME/LOSS THRU K-1S	-17.		
ORDINARY INCOME/LOSS THRU K-1S (UBTI)	-28.		
RENTAL REAL ESTATE THRU K-1S (UBTI)	597.	597.	
SECTION 988 INCOME/LOSS THRU K-1S	-16.	-16.	
SECTION 987 INCOME/LOSS THRU K-1S		1,162.	
ADD-BACK: C/Y SUSPENDED PAL		1.	
CANCELLATION OF DEBT THRU K-1S			
TOTALS	-9,645.	-8,438.	

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL TAX PAID:				
990-PF EXT PMT FYE 4/30/16	22,000.			
NYS CORPORATION TAX:				
CT-13 BAL DUE EXT FYE 4/30/16	250.			
TOTALS	<u>22,250.</u>			

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BANK CHARGES	780.			780.
--DEDUCTIONS THRU K-1S:				
PORTFOLIO DEDUCTIONS	64,912.	64,912.		
INTEREST EXPENSE	3,250.	3,250.		
FOREIGN TAXES	814.	813.		
TOTALS	69,756.	68,975.		780.

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
160,035 SHS PATRIOT NATIONAL BANCORP INC	2,726,018.	2,726,018.	236,516.
204,792 SHS EURO CURRENCY PRINCIPAL		219,827.	223,008.
TOTALS	<u>2,726,018.</u>	<u>2,945,845.</u>	<u>459,524.</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STONE STREET RE FUND 2000, LP	125,071.	100,243.	11,550.
STONE STREET PEP TECH FUND, LP	19,949.	10,654.	8,171.
GS HEDGE FUND PORTFOLIO PLC	484,561.	482,823.	1,276,559.
KEYHAVEN CAPITAL P/SHIP II	1,742,144.	1,543,097.	1,181,030.
KEYHAVEN CAPITAL P/SHIP I	324,287.	263,260.	345,948.
ETON PARK FUND LP	57,932.	56,935.	123,740.
VESTAR CAPITAL PARTNERS V LP	2,435,653.	1,132,360.	1,227,683.
PWP HOLDINGS LP		350,000.	350,000.
UNION SQUARE HOSPITALITY GROUP		187,701.	187,701.
PREPAID EXPENSE		3,841.	3,841.
TOTALS	<u>5,189,597.</u>	<u>4,130,914.</u>	<u>4,716,223.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
-------------------------	---	---------------------	--	--

GILLIAN V. STEEL
C/O EY US, LLP; 77 WATER STREET
9 FL
NEW YORK, NY 10005

TRUSTEE/PART-TIME

GRAND TOTALS

0.

0.

0.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 9

DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME
		AMOUNT	CODE	
ORDINARY INCOME/LOSS THRU K-1S	523000	-17.	01	-10,182.
RENTAL REAL ESTATE INCOME/LOSS THRU K-1S	523000	-28.		
SECTION 988 INCOME/LOSS THRU K-1S			01	597.
SECTION 987 INCOME/LOSS THRU K-1S			01	-16.
CANCELLATION OF DEBT THRU K-1S			01	1.
TOTALS		<u>-45.</u>		<u>-9,600.</u>

THE ROBERT K STEEL FAMILY FOUNDATION

EIN: 13-3531990

FYE 4/30/2017

FORM 990-PF

SCHEDULE OF PASSIVE LOSS CARRYFORWARD

	CURRENT YEAR PASSIVE GAINS / (LOSSES)	PRIOR YEARS' PASSIVE (LOSSES) UTILIZED	NET PASSIVE (LOSSES)	TOTAL SUSPENDED PASSIVE (LOSSES)
4/30/2010	(34,730)	0	(34,730)	(34,730)
4/30/2011	(20,054)	0	(20,054)	(54,784)
4/30/2012	(7,534)	0	(7,534)	(62,318)
4/30/2013	(2,504)	0	(2,504)	(64,822)
4/30/2014	(39,291)	0	(39,291)	(104,113)
4/30/2015	(16,370)	0	(16,370)	(120,483)
4/30/2016	(3,664)	0	(3,664)	(124,147)
4/30/2017	(1,162)	0	(1,162)	(125,309)

THE ROBERT K STEEL FAMILY FOUNDATION
 CHARITABLE CONTRIBUTIONS LIST
 FYE 4/30/2017
 EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	RELATIONSHIP	PURPOSE	STATUS	AMOUNT
05/03/2016	NORTHWELL HEALTH	GREAT NECK, NY	NONE	GENERAL/UNRESTRICTED	PC	500 00
05/09/2016	THEATRE FOR A NEW AUDIENCE	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/10/2016	THE CARNEGIE HALL SOCIETY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/10/2016	INCARNATION CHILDREN'S CENTER	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/11/2016	SOUTH TENNIS PATRONS FOUNDATION INC	NORCROSS, GA	NONE	GENERAL/UNRESTRICTED	PC	13,000 00
05/12/2016	PEN AMERICA	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/12/2016	LINCOLN CENTER THEATER	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000 00
05/12/2016	CONNECTICUT FOOD BANK	WALLINGFORD, CT	NONE	GENERAL/UNRESTRICTED	PC	250 00
05/13/2016	THE URBAN ASSEMBLY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	15,000 00
05/13/2016	REACH PREP	STAMFORD CT	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/13/2016	SMVFCO [Stratton Mountain Volunteer Fire Co.]	STRATTON, VT	NONE	GENERAL/UNRESTRICTED	PC	250 00
05/16/2016	CITY SQUASH	BRONX, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000 00
05/16/2016	GREATER NEW YORK COUNCIL'S BOARD CAMPAIGN, BSA	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/16/2016	UNITED WAY OF NEW YORK CITY	NEW YORK	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/16/2016	OAS [Oregon Adaptive Sports]	BEND, OR	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
05/17/2016	GREENWICH ACADEMY ANNUAL FUND	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	2,500 00
05/18/2016	CHOP FOUNDATION [Children's Hospital of Philadelphia]	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/18/2016	SPONSORS FOR EDUCATIONAL OPPORTUNITY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/19/2016	THE SKYSCRAPER MUSEUM	NEW YORK NY	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
05/20/2016	ONE LOVE FOUNDATION	BRONXVILLE, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/20/2016	SETTLEMENT HOUSING FUND INC	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
05/25/2016	AUDUBON CONNECTICUT	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
05/27/2016	THE AMERICAN FRIENDS OF VERSAILLES	CHICAGO, IL	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
05/31/2016	ROUND HILL ASSOCIATION	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	100 00
06/14/2016	BMCC FOUNDATION	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
09/13/2016	NATIONAL CONSTITUTION CENTER	PHILADELPHIA PA	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
09/13/2016	GARDEN CONSERVANCY SOCIETY OF FELLOWS	COLD SPRING, NY	NONE	GENERAL/UNRESTRICTED	PC	1,500 00
09/14/2016	DUKE UNIVERSITY	DURHAM, NC	NONE	GENERAL/UNRESTRICTED	PC	50,000 00
09/14/2016	RIVERDALE COUNTRY DAY SCHOOL	BRONX, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
09/15/2016	NATIONAL SEPTEMBER 11 MEMORIAL AND MUSEUM	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000 00
09/15/2016	AMERICA'S VETDOGS	SMITHTOWN, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
09/15/2016	ADOPT A DOG	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
09/16/2016	BREAST CANCER ALLIANCE	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
09/19/2016	NEW YORK BOTANICAL GARDEN	BRONX, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
09/21/2016	QUEENS LIBRARY FOUNDATION	JAMAICA, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000 00
09/26/2016	ADOPT A DOG	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	100 00
10/28/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000 00
11/01/2016	INTERNATIONAL FRIENDS OF THE LONDON LIBRARY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000 00

THE ROBERT K. STEEL FAMILY FOUNDATION
 CHARITABLE CONTRIBUTIONS LIST
 FYE 4/30/2017
 EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	RELATIONSHIP	PURPOSE	STATUS	AMOUNT
11/01/2016	THE ROCKEFELLER UNIVERSITY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
11/04/2016	AMERICAN FEDERATION FOR CHILDREN	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
11/02/2016	HARVARD GRADUATE SCHOOL OF EDUCATION	CAMBRIDGE, MA	NONE	GENERAL/UNRESTRICTED	PC	20,000.00
11/03/2016	CENTRAL PARK CONSERVANCY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
11/04/2016	ANIMAL MEDICAL CENTER	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
11/07/2016	HARVARD UNIVERSITY - HARVARD KENNEDY SCHOOL	CAMBRIDGE, MA	NONE	GENERAL/UNRESTRICTED	PC	75,000.00
11/07/2016	THE DOE FUND	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
11/14/2016	AMERICAN LING ASSOCIATION OF THE NORTHEAST	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
11/18/2016	WHITNEY MUSEUM	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
11/18/2016	MASS MOCA	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
10/18/2016	STAMFORD EDUCATION 4 AUTISM	STAMFORD, CT	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/07/2016	BRADFORD COLLEGE ALUMNI ASSOCIATION	NORTH ANDOVER, MA	NONE	GENERAL/UNRESTRICTED	PC	350.00
12/21/2016	LINCOLN CENTER FOR THE PERFORMING ARTS	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	360,000.00
12/22/2016	YEAR UP	BOSTON, MA	NONE	GENERAL/UNRESTRICTED	PC	200,000.00
12/21/2016	THE ASPEN INSTITUTE	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	35,000.00
12/21/2016	FRIENDS OF THE HIGH LINE	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/20/2016	GREATER NEW YORK COUNCIL, BSA	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
12/20/2016	GREEN BERET FOUNDATION	SAN ANTONIO, TX	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/22/2016	THE ACADEMY FOR TEACHERS	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/21/2016	BROWN UNIVERSITY	PROVIDENCE, RI	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
12/21/2016	GREENWICH HISTORICAL SOCIETY	COS COB, CT	NONE	GENERAL/UNRESTRICTED	PC	33,334.00
12/21/2016	BROWN UNIVERSITY	PROVIDENCE, RI	NONE	GENERAL/UNRESTRICTED	PC	12,500.00
12/20/2016	GREENWICH UNITED WAY	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
12/21/2016	ONE LOVE FOUNDATION	BRONXVILLE, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
12/20/2016	REGIONAL PLAN ASSOCIATION	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/21/2016	FINANCIAL SERVICES VOLUNTEER CORPS	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/22/2016	BROOKLYN ACADEMY OF MUSIC	BROOKLYN, NY	NONE	GENERAL/UNRESTRICTED	PC	2,500.00
12/21/2016	THE ASPEN INSTITUTE	WASHINGTON, DC	NONE	GENERAL/UNRESTRICTED	PC	250,000.00
12/21/2016	AMERICAN ENTERPRISE INSTITUTE	WASHINGTON, DC	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
12/21/2016	PARTNERSHIP FOR PUBLIC SERVICE	WASHINGTON, DC	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
12/21/2016	THE MUSEUM OF MODERN ART	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/21/2016	NEW YORK UNIVERSITY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/22/2016	INTERNATIONAL RESCUE COMMITTEE	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/20/2016	ASPEN PUBLIC RADIO	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	100.00
12/21/2016	THE GREENWICH ROUNDTABLE	COS COB, CT	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/21/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	200,000.00
12/22/2016	THE ROCKEFELLER UNIVERSITY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	50,000.00

THE ROBERT K. STEEL FAMILY FOUNDATION
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DATE	CONTRIBUTION	CITY, STATE	RELATIONSHIP	PURPOSE	STATUS	AMOUNT
12/21/2016	COLLEGE ADVISING CORP	CHAPEL HILL, NC	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
12/22/2016	NEW YORK HISTORICAL SOCIETY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/20/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/20/2016	PETA	NORFOLK, VA	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/22/2018	ACES [Aspen Center for Environmental Studies]	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/20/2016	FILM FORUM	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	125.00
12/20/2016	PUBLIC ART FUND	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/21/2016	ASPEN MUSIC FESTIVAL	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/21/2016	NEW YORK BOTANICAL GARDEN	BRONX, NY	NONE	GENERAL/UNRESTRICTED	PC	200,000.00
12/21/2016	ASPEN VALLEY HOSPITAL	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	150,000.00
12/20/2016	TRANSPORTATION ALTERNATIVES	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/21/2016	GREENWICH ACADEMY	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/22/2016	CITY SQUASH	BRONX, NY	NONE	GENERAL/UNRESTRICTED	PC	15,000.00
12/20/2016	GEORGE WATTS MONTESSORI PTA	DURHAM, NC	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
12/22/2016	NEW YORK FOUNDATION FOR THE ARTS	BROOKLYN, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/20/2016	NEW YORK UNIVERSITY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/21/2016	HARVARD UNIVERSITY - GRADUATE SCHOOL OF EDUCATION	CAMBRIDGE, MA	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
						250,000.00
12/22/2016	NORTHWESTERN UNIVERSITY [KELLOGG SCHOOL OF MANAGEMENT]	EVANSTON, IL	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
12/20/2016	OREGON ADAPTIVE SPORTS [DISABLED SPORTS USA]	BEND, OR	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
12/22/2016	ANTI-DEFAMATION LEAGUE	METairie, LA	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
12/22/2016	THE UNIVERSITY OF CHICAGO	CHICAGO, IL	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
12/20/2016	CASITA MARIA	NEW CANAAN, CT	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
01/17/2017	PEER HEALTH EXCHANGE, INC	SAN FRANCISCO, CA	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
03/31/2017	FRIENDS OF HUDSON RIVER PARK	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
03/31/2017	EXPANDED SCHOOLS	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	25,000.00
03/31/2017	ATLANTIC THEATRE COMPANY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	6,000.00
03/31/2017	BROOKLYN ACADEMY OF MUSIC	BROOKLYN, NY	NONE	GENERAL/UNRESTRICTED	PC	50,000.00
03/31/2017	THE ONE LOVE FOUNDATION	BRONXVILLE, NY	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
03/31/2017	THE HARLEM ACADEMY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	2,000.00
03/31/2017	FAMILY CENTERS	GREENWICH, CT	NONE	GENERAL/UNRESTRICTED	PC	500.00
03/31/2017	PUBLIC ART FUND	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
03/31/2017	THE NEW YORK PUBLIC LIBRARY	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
03/31/2017	THE ASPEN INSTITUTE	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
03/31/2017	THE ROYAL OAK FOUNDATION	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	250.00
03/31/2017	DUKE UNIVERSITY	DURHAM, NC	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
03/31/2017	GREENWICH HISTORICAL SOCIETY	COS COB, CT	NONE	GENERAL/UNRESTRICTED	PC	2,000.00
03/31/2017	US SQUASH	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	500.00

THE ROBERT K. STEEL FAMILY FOUNDATION
 CHARITABLE CONTRIBUTIONS LIST
 FYE 4/30/2017
 EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	RELATIONSHIP	PURPOSE	STATUS	AMOUNT
03/31/2017	COLUMBIA BUSINESS SCHOOL	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	12,500.00
03/08/2017	MEMORIAL SLOAN KATTERING CANCER CENTER	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
03/31/2017	FOUNTAIN HOUSE	NEW YORK, NY	NONE	GENERAL/UNRESTRICTED	PC	1,000.00
04/20/2017	BIG BROTHERS BIG SISTERS OF NEW YORK CITY INC	NEW YORK NY	NONE	GENERAL/UNRESTRICTED	PC	5,000.00
04/20/2017	THE ASPEN INSTITUTE	ASPEN, CO	NONE	GENERAL/UNRESTRICTED	PC	10,000.00
TOTAL CONTRIBUTIONS PAID						\$ 2,916,859.00

THE ROBERT K. STEEL FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS LIST
FYE 4/30/2017
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DATE	CONTRIBUTION	CITY, STATE	AMOUNT
05/03/2016	NORTHWELL HEALTH	GREAT NECK, NY	\$ 500 00
05/09/2016	THEATRE FOR A NEW AUDIENCE	NEW YORK, NY	5,000 00
05/10/2016	THE CARNEGIE HALL SOCIETY	NEW YORK, NY	10,000 00
05/10/2016	INCARNATION CHILDREN'S CENTER	NEW YORK, NY	5,000.00
05/11/2016	SOUTH TENNIS PATRONS FOUNDATION INC	NORCROSS, GA	13,000 00
05/12/2016	PEN AMERICA	NEW YORK, NY	10,000 00
05/12/2016	LINCOLN CENTER THEATER	NEW YORK, NY	25,000 00
05/12/2016	CONNECTICUT FOOD BANK	WALLINGFORD, CT	250 00
05/13/2016	THE URBAN ASSEMBLY	NEW YORK, NY	15,000 00
05/13/2016	REACH PREP	STAMFORD, CT	10,000 00
05/13/2016	SMVFCO [Stratton Mountain Volunteer Fire Co]	STRATTON, VT	250 00
05/16/2016	CITY SQUASH	BRONX, NY	25,000 00
05/16/2016	GREATER NEW YORK COUNCIL'S BOARD CAMPAIGN, BSA	NEW YORK, NY	10,000 00
05/16/2016	UNITED WAY OF NEW YORK CITY	NEW YORK	5,000 00
05/16/2016	OAS [Oregon Adaptive Sports]	BEND, OR	1,000 00
05/17/2016	GREENWICH ACADEMY ANNUAL FUND	GREENWICH, CT	2,500 00
05/18/2016	CHOP FOUNDATION [Children's Hospital of Philadelphia]	GREENWICH, CT	5,000 00
05/18/2016	SPONSORS FOR EDUCATIONAL OPPORTUNITY	NEW YORK, NY	5,000 00
05/19/2016	THE SKYSCRAPER MUSEUM	NEW YORK NY	1,000 00
05/20/2016	ONE LOVE FOUNDATION	BRONXVILLE, NY	10,000 00
05/20/2016	SETTLEMENT HOUSING FUND INC	NEW YORK, NY	5,000 00
05/25/2016	AUDUBON CONNECTICUT	GREENWICH, CT	1,000 00
05/27/2016	THE AMERICAN FRIENDS OF VERSAILLES	CHICAGO, IL	10,000 00
05/31/2016	ROUND HILL ASSOCIATION	GREENWICH, CT	100 00
06/14/2016	BMCC FOUNDATION	NEW YORK, NY	10,000 00
09/13/2016	NATIONAL CONSTITUTION CENTER	PHILADELPHIA, PA	5,000 00
09/13/2016	GARDEN CONSERVANCY SOCIETY OF FELLOWS	COLD SPRING, NY	1,500 00
09/14/2016	DUKE UNIVERSITY	DURHAM, NC	50,000 00
09/14/2016	RIVERDALE COUNTRY DAY SCHOOL	BRONX, NY	1,000 00
09/15/2016	NATIONAL SEPTEMBER 11 MEMORIAL AND MUSEUM	NEW YORK, NY	10,000 00
09/15/2016	AMERICA'S VETDOGS	SMITHTOWN, NY	1,000 00
09/15/2016	ADOPT A DOG	GREENWICH, CT	1,000 00
09/16/2016	BREAST CANCER ALLIANCE	GREENWICH, CT	5,000 00
09/19/2016	NEW YORK BOTANICAL GARDEN	BRONX, NY	5,000 00
09/21/2016	QUEENS LIBRARY FOUNDATION	JAMAICA, NY	1,000 00
09/26/2016	ADOPT A DOG	GREENWICH, CT	100 00
10/28/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	5,000 00
11/01/2016	INTERNATIONAL FRIENDS OF THE LONDON LIBRARY	NEW YORK, NY	1,000 00
11/01/2016	THE ROCKEFELLER UNIVERSITY	NEW YORK, NY	50,000 00
11/04/2016	AMERICAN FEDERATION FOR CHILDREN	NEW YORK, NY	5,000 00
11/02/2016	HARVARD GRADUATE SCHOOL OF EDUCATION	CAMBRIDGE, MA	20,000 00
11/03/2016	CENTRAL PARK CONSERVANCY	NEW YORK, NY	5,000 00

THE ROBERT K. STEEL FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS LIST
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DATE	CONTRIBUTION	CITY, STATE	AMOUNT
11/04/2016	ANIMAL MEDICAL CENTER	NEW YORK, NY	1,000 00
11/07/2016	HARVARD UNIVERSITY - HARVARD KENNEDY SCHOOL	CAMBRIDGE, MA	75,000 00
11/07/2016	THE DOE FUND	NEW YORK, NY	5,000 00
11/14/2016	AMERICAN LING ASSOCIATION OF THE NORTHEAST	NEW YORK, NY	1,000 00
11/18/2016	WHITNEY MUSEUM	NEW YORK, NY	5,000 00
11/18/2016	MASS MOCA	NEW YORK, NY	5,000 00
10/18/2016	STAMFORD EDUCATION 4 AUTISM	STAMFORD, CT	1,000 00
12/07/2016	BRADFORD COLLEGE ALUMNI ASSOCIATION	NORTH ANDOVER, MA	350 00
12/21/2016	LINCOLN CENTER FOR THE PERFORMING ARTS	NEW YORK, NY	360,000 00
12/22/2016	YEAR UP	BOSTON, MA	200,000 00
12/21/2016	THE ASPEN INSTITUTE	ASPEN, CO	35,000 00
12/21/2016	FRIENDS OF THE HIGH LINE	NEW YORK, NY	25,000 00
12/20/2016	GREATER NEW YORK COUNCIL, BSA	NEW YORK, NY	10,000 00
12/20/2016	GREEN BERET FOUNDATION	SAN ANTONIO, TX	5,000 00
12/22/2016	THE ACADEMY FOR TEACHERS	NEW YORK, NY	1,000 00
12/21/2016	BROWN UNIVERSITY	PROVIDENCE, RI	50,000 00
12/21/2016	GREENWICH HISTORICAL SOCIETY	COS COB, CT	33,334 00
12/21/2016	BROWN UNIVERSITY	PROVIDENCE, RI	12,500 00
12/20/2016	GREENWICH UNITED WAY	GREENWICH, CT	10,000 00
12/21/2016	ONE LOVE FOUNDATION	BRONXVILLE, NY	10,000 00
12/20/2016	REGIONAL PLAN ASSOCIATION	NEW YORK, NY	5,000 00
12/21/2016	FINANCIAL SERVICES VOLUNTEER CORPS	NEW YORK, NY	5,000 00
12/22/2016	BROOKLYN ACADEMY OF MUSIC	BROOKLYN, NY	2,500 00
12/21/2016	THE ASPEN INSTITUTE	WASHINGTON, DC	250,000 00
12/21/2016	AMERICAN ENTERPRISE INSTITUTE	WASHINGTON, DC	50,000 00
12/21/2016	PARTNERSHIP FOR PUBLIC SERVICE	WASHINGTON, DC	50,000 00
12/21/2016	THE MUSEUM OF MODERN ART	NEW YORK, NY	25,000 00
12/21/2016	NEW YORK UNIVERSITY	NEW YORK, NY	5,000 00
12/22/2016	INTERNATIONAL RESCUE COMMITTEE	NEW YORK, NY	5,000 00
12/20/2016	ASPEN PUBLIC RADIO	ASPEN, CO	100 00
12/21/2016	THE GREENWICH ROUNDTABLE	COS COB, CT	5,000 00
12/21/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	200,000 00
12/22/2016	THE ROCKEFELLER UNIVERSITY	NEW YORK, NY	50,000 00
12/21/2016	COLLEGE ADVISING CORP	CHAPEL HILL, NC	50,000 00
12/22/2016	NEW YORK HISTORICAL SOCIETY	NEW YORK, NY	25,000 00
12/20/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	5,000 00
12/20/2016	PETA	NORFOLK, VA	1,000 00
12/22/2018	ACES [Aspen Center for Environmental Studies]	ASPEN, CO	1,000 00
12/20/2016	FILM FORUM	NEW YORK, NY	125 00
12/20/2016	PUBLIC ART FUND	NEW YORK, NY	1,000 00
12/21/2016	ASPEN MUSIC FESTIVAL	ASPEN, CO	200,000 00
12/21/2016	NEW YORK BOTANTICAL GARDEN	BRONX, NY	150,000 00

THE ROBERT K. STEEL FAMILY FOUNDATION
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12/21/2016	ASPEN VALLEY HOSPITAL	ASPEN, CO	25,000 00
12/20/2016	TRANSPORTATION ALTERNATIVES	NEW YORK, NY	1,000 00
12/21/2016	GREENWICH ACADEMY	GREENWICH, CT	15,000 00
12/22/2016	CITY SQUASH	BRONX, NY	10,000 00
12/20/2016	GEORGE WATTS MONTESSORI PTA	DURHAM, NC	1,000 00
12/22/2016	NEW YORK FOUNDATION FOR THE ARTS	BROOKLYN, NY	25,000 00
12/20/2016	NEW YORK UNIVERSITY	NEW YORK, NY	5,000 00
12/21/2016	HARVARD UNIVERSITY - GRADUATE SCHOOL OF EDUCATION	CAMBRIDGE, MA	250,000 00
12/22/2016	NORTHWESTERN UNIVERSITY [KELLOGG SCHOOL OF MANAGEMENT]	EVANSTON, IL	50,000 00
12/20/2016	OREGON ADAPTIVE SPORTS [DISABLED SPORTS USA]	BEND, OR	1,000 00
12/22/2016	ANTI-DEFAMATION LEAGUE	METairie, LA	5,000 00
12/22/2016	THE UNIVERSITY OF CHICAGO	CHICAGO, IL	25,000 00
12/20/2016	CASITA MARIA	NEW CANAAN, CT	5,000 00
01/17/2017	PEER HEALTH EXCHANGE, INC	SAN FRANCISCO, CA	50,000 00
03/31/2017	FRIENDS OF HUDSON RIVER PARK	NEW YORK, NY	25,000 00
03/31/2017	EXPANDED SCHOOLS	NEW YORK, NY	25,000 00
03/31/2017	ATLANTIC THEATRE COMPANY	NEW YORK, NY	6,000 00
03/31/2017	BROOKLYN ACADEMY OF MUSIC	BROOKLYN, NY	50,000 00
03/31/2017	THE ONE LOVE FOUNDATION	BRONXVILLE, NY	10,000 00
03/31/2017	THE HARLEM ACADEMY	NEW YORK, NY	2,000 00
03/31/2017	FAMILY CENTERS	GREENWICH, CT	500 00
03/31/2017	PUBLIC ART FUND	NEW YORK, NY	5,000 00
03/31/2017	THE NEW YORK PUBLIC LIBRARY	NEW YORK, NY	5,000 00
03/31/2017	THE ASPEN INSTITUTE	WASHINGTON, DC	1,000 00
03/31/2017	THE ROYAL OAK FOUNDATION	NEW YORK, NY	250 00
03/31/2017	DUKE UNIVERSITY	DURHAM, NC	5,000 00
03/31/2017	GREENWICH HISTORICAL SOCIETY	COS COB, CT	2,000 00
03/31/2017	US SQUASH	NEW YORK, NY	500 00
03/31/2017	COLUMBIA BUSINESS SCHOOL	NEW YORK, NY	12,500 00
03/08/2017	MEMORIAL SLOAN KATTERING CANCER CENTER	NEW YORK, NY	1,000 00
03/31/2017	FOUNTAIN HOUSE	NEW YORK, NY	1,000 00
04/20/2017	BIG BROTHERS BIG SISTERS OF NEW YORK CITY INC	NEW YORK, NY	5,000 00
04/20/2017	THE ASPEN INSTITUTE	WASHINGTON, DC	10,000 00
TOTAL CONTRIBUTIONS PAID *			<u>\$ 2,916,859 00</u>

** All contributions were made to the general purpose fund of Public charitable organizations that were classified under Section 501 (c) (3) of the Internal Revenue Code*