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For calendar year 2016, or tax year beginning 05-01-2016, and ending 04-30-2017

Name of foundation GARDINER HOWLAND SHAW FOUNDATION		A Employer identification number 04-6111826	
Number and street (or P O box number if mail is not delivered to street address) C/O WELCH FORBES LLC 45 SCHOOL ST		Room/suite	B Telephone number (see instructions) (617) 523-1635
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 02108		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 20,799,998	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	5,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	32,701	32,701		
	4 Dividends and interest from securities	379,370	379,370		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	265,265			
	b Gross sales price for all assets on line 6a 1,086,298				
	7 Capital gain net income (from Part IV, line 2)		265,265		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	682,336	677,336		
	13 Compensation of officers, directors, trustees, etc	167,024	0		167,024
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	41,140	0		41,140
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	8,580	8,580		0
	c Other professional fees (attach schedule)	103,073	103,073		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	13,501	701		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	2,200	0		2,200
	21 Travel, conferences, and meetings	6,243	0		6,243
	22 Printing and publications	1,188	0		1,188
	23 Other expenses (attach schedule)	10,979	173		10,804
	24 Total operating and administrative expenses. Add lines 13 through 23	353,928	112,527		228,599
	25 Contributions, gifts, grants paid	715,400			715,400
	26 Total expenses and disbursements. Add lines 24 and 25	1,069,328	112,527		943,999
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-386,992			
	b Net investment income (if negative, enter -0-)		564,809		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	26,278	33,130	33,130
	2	Savings and temporary cash investments	745,847	1,228,110	1,228,110
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,248,770	7,127,567	16,351,858
	c	Investments—corporate bonds (attach schedule)	1,806,029	1,402,671	1,418,992
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,025,523	1,673,977	1,767,908
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,852,447	11,465,455	20,799,998	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	11,852,447	11,465,455	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see instructions)	11,852,447	11,465,455	
31	Total liabilities and net assets/fund balances (see instructions) .	11,852,447	11,465,455		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,852,447
2	Enter amount from Part I, line 27a	2	-386,992
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	11,465,455
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	11,465,455

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	265,265
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	926,481	18,834,490	0 049191
2014	976,247	19,676,750	0 049614
2013	953,050	18,705,539	0 050950
2012	851,025	17,302,600	0 049185
2011	833,458	17,010,354	0 048997
2 Total of line 1, column (d)			2 0 247937
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 049587
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 19,537,900
5 Multiply line 4 by line 3			5 968,826
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,648
7 Add lines 5 and 6			7 974,474
8 Enter qualifying distributions from Part XII, line 4 ,			8 943,999

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	11,296
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	11,296
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,296
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	19,130
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	19,130
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	7,834
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 7,834 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW SHAWFOUNDATION ORG	13	Yes	
14	The books are in care of WELCH FORBES LLC Telephone no (617) 523-1635			

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15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THOMAS E COURY 59 GILBERT ROAD NEEDHAM, MA 02192	EXECUTIVE DIRECTOR 40 00	167,024	30,796	0
BENJAMIN J WILLIAMS JR 45 SCHOOL STREET BOSTON, MA 02108	TRUSTEE 1 00	0	0	0
THEODORE E OBER 45 SCHOOL STREET BOSTON, MA 02108	TRUSTEE 0 50	0	0	0
PETER P BROWN 45 SCHOOL STREET BOSTON, MA 02108	TRUSTEE 0 50	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WELCH & FORBES LLC 45 SCHOOL STREET BOSTON, MA 02108	INVESTMENT MANAGEMENT	103,073
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 TECHNICAL ASSISTANCE TO GRANTEEES 25 ORGANIZATIONS ASSISTED TOTAL HOURS = 161	18,509
2 TECHNICAL ASSISTANCE TO OTHER ORGANIZATIONS 18 ORGANIZATIONS ASSISTED TOTAL HOURS = 88	10,716
3 CRIMINAL JUSTICE REFORM COALITION TOTAL HOURS = 52	6,322
4 PUBLICATIONS, RESEARCH, PRESENTATIONS TOTAL HOURS = 42	5,114

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	19,806,012
b	Average of monthly cash balances.	1b	29,419
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	19,835,431
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	19,835,431
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	297,531
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	19,537,900
6	Minimum investment return. Enter 5% of line 5.	6	976,895

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	976,895
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	11,296
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,296
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	965,599
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	965,599
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	965,599

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	943,999
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	943,999
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	943,999

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				965,599
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				7,577
d From 2014.				16,920
e From 2015.				3,806
f Total of lines 3a through e.	28,303			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 943,999				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				943,999
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	21,600			21,600
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,703			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	6,703			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				2,897
d Excess from 2015.				3,806
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed THOMAS E COURY GARDINER HOWLAND SHA 355 BOYLSTON STREET BOSTON, MA 02116 (617) 247-3500	
b The form in which applications should be submitted and information and materials they should include WRITTEN PROPOSAL	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors ORGANIZATIONS MUST DEAL WITH THE PREVENTION OF CRIME AND THE REHABILITATION OF CRIMINALS	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	715,400
b <i>Approved for future payment</i>				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

1 Program service revenue

a _____
b _____
c _____
d _____
e _____
f _____

g Fees and contracts from government agencies**2** Membership dues and assessments.**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities.**5** Net rental income or (loss) from real estate**a** Debt-financed property.**b** Not debt-financed property.**6** Net rental income or (loss) from personal property**7** Other investment income.**8** Gain or (loss) from sales of assets other than inventory**9** Net income or (loss) from special events**10** Gross profit or (loss) from sales of inventory**11** Other revenue **a** _____**b** _____**c** _____**d** _____**e** _____**12** Subtotal. Add columns (b), (d), and (e).

13 Total. Add line 12, columns (b), (d), and (e). **13** 677,336
 (See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**Line No.**

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

- b** If "Yes," complete the following schedule

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200000 UNITS CINTAS CORP MEDIUM TERM NOTE	P	2011-05-23	2016-06-01
12000 SHS MORGAN STANLEY CAPITAL TRUST III	P	2003-03-04	2016-08-18
500 SHS PROCTER & GAMBLE CO	P	1970-01-01	2016-10-06
400 SHS AUTOMATIC DATA PROCESSING INC	P	2005-04-22	2016-10-06
500 SHS HOME DEPOT INC	P	2009-02-25	2016-10-06
500 SHS JOHNSON & JOHNSON	P	2002-12-23	2016-10-06
500 SHS MICROSOFT CORP	P	2002-10-23	2016-10-06
500 SHS PEPSICO INC	P	2002-10-23	2016-10-06
500 SHS STRYKER CORP	P	2008-11-14	2016-10-06
500 SHS VISA INC CLASS A	P	2010-06-14	2016-10-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		200,000	0
300,000		300,583	-583
44,529		849	43,680
34,991		14,118	20,873
64,958		10,145	54,813
59,193		27,310	31,883
28,838		13,043	15,795
53,138		22,348	30,790
58,171		23,254	34,917
41,650		9,383	32,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-583
			43,680
			20,873
			54,813
			31,883
			15,795
			30,790
			34,917
			32,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200000 UNITS AFLAC INC SENIOR NOTE	P	2012-02-22	2017-02-15
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		200,000	0
830			830

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			830

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADOLESCENT CONSULTATION SERVICES INC 189 CAMBRIDGE STREET CAMBRIDGE, MA 02141	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE JUVENILE COURT CLINIC ADVOCACY PROJECT	5,000
ARISE FOR SOCIAL JUSTICE 467 MAIN STREET SPRINGFIELD, MA 01105	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE REFORM PROJECT	5,000
ASSOCIATED GRANTMAKERS 133 FEDERAL STREET BOSTON, MA 02110	N/A	OTHER PUBLIC CHARITY	2016 ANNUAL SUPPORT	4,300
BOSTON FOUNDATION 75 ARLINGTON STREET BOSTON, MA 02116	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE CIVIC LEADERSHIP FUND	3,000
CHARLES HAMILTON HOUSTON INSTITUTE 1517 MASS AVE CAMBRIDGE, MA 02138	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE MASS INCARCERATION CONFERENCE	10,000
Total ► 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S LAW CENTER OF MA 298 UNION STREET LYNN, MA 01901	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S LEGAL ADVOCACY PROJECT FOR YOUTHFUL OFFENDERS	10,000
CITIZENS FOR JUVENILE JUSTICE 44 SCHOOL STREET BOSTON, MA 02108	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S JUVENILE JUSTICE SYSTEM REFORM WORK	32,500
CITIZENS FOR SAFETY 31 HEATH STREET SUITE 12 JAMAICA PLAIN, MA 02130	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE "LIPSTICK" GUN VIOLENCE PREVENTION PROJECT	20,000
COMMUNITY DISPUTE SETTLEMENT CENTER 60 GORE STREET CAMBRIDGE, MA 02141	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S COURT BASED MEDIATION PROGRAMS	2,500
COMMUNITY RESOURCES FOR JUSTICE 355 BOYLSTON STREET BOSTON, MA 02116	N/A	OTHER PUBLIC CHARITY	GENERAL SUPPORT AND COMING HOME DIRECTORY	77,000
Total 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY SERVINGS INC 18 MARBURY TERRACE JAMAICA PLAIN, MA 02130	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S VOCATIONAL TRAINING PROGRAM FOR PAROLEES	5,000
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE ARLINGTON, VA 22202	N/A	OTHER PUBLIC CHARITY	2016 ANNUAL SUPPORT	4,600
CRIMINAL JUSTICE POLICY COALITION 549 COLUMBUS AVENUE BOSTON, MA 02118	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE REFORM ACTIVITIES	15,000
DISMAS HOUSE OF CENTRAL MA 30 RICHARDS STREET WORCESTER, MA 01603	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S EX-OFFENDER SERVICES PROGRAM	15,000
DOC WAYNE YOUTH SERVICES 418 COMMONWEALTH AVENUE BOSTON, MA 02215	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S SPORTS BASED CLINICAL PROGRAM SERVING DYS YOUTH	20,000
Total ► 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EPOCA INC 4 KING STREET WORCESTER, MA 01610	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE REFORM WORK	15,000
FAMILIES FOR JUSTICE AND HEALING 42 SEAVERNS AVE BOSTON, MA 02130	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE REFORM WORK	10,000
FREEDOM BEHIND BARS FDN 102 ESTABROOK ROAD CONCORD, MA 01742	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE BEYOND THE WALL FILM PROJECT	15,000
FREEDOM MINISTRIES INC PO BOX 51521 BOSTON, MA 02205	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S DYS FAMILY VISITATION PROGRAM	8,000
FRIENDS OF PRISONERS INC 84 BEARSES WAY HYANNIS, MA 02601	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S RESIDENTIAL PROGRAM FOR EX-OFFENDERS	8,000
Total ▶ 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER BOSTON LEGAL SERVICES 197 FRIEND STREET BOSTON, MA 02114	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CORI PROJECT	20,000
LOUIS D BROWN PEACE INST 15 CHRISTOPHER ST DORCHESTER, MA 02122	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S VICTIM ASSISTANCE AND ANTI-VIOLENCE PROGRAMS	25,000
MA COMMUNITIES ACTION NETWORK 14 CUSHING AVE DORCHESTER, MA 02125	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE REFORM ORGANIZING PROJECT	10,000
MA COUNCIL OF HUMAN SERVICE PROVIDERS INC 88 BROAD STREET BOSTON, MA 02110	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE 2016 PROVIDERS CONFERENCE	3,000
MA VOTER EDUCATION NETWORK 41 WEST STREET BOSTON, MA 02111	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE NEW START PROGRAM FOR EX-PRISONERS	15,000
Total ► 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MASSACHUSETTS INSTITUTE FOR A NEW COMMONWEALTH 11 BEACON STREET BOSTON, MA 02108	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE MA CRIMINAL JUSTICE REFORM COALITION	20,000
MORE THAN WORDS INC 56 FELTON STREET WALTHAM, MA 02453	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S JOB TRAINING AND BUSINESS DEVELOPMENT SERVICES FOR COURT INVOLVED YOUTH	12,000
MOTHERS FOR JUSTICE AND EQUALITY 184 DUDLEY STREET ROXBURY, MA 02119	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PEER LEADERSHIP AND ANTI-VIOLENCE SERVICES	18,000
NEADS INC PO BOX 1100 PRINCETON, MA 01541	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PRISON PUP PROJECT	10,000
OAK HILL COMMUNITY DEVELOPMENT CORP 74 PROVIDENCE STREET WORCESTER, MA 01604	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE COMING HOME EX-OFFENDER RESOURCE DIRECTORY	10,000
Total 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OLD COLONY YMCA 320 MAIN STREET BROCKTON, MA 02301	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE SOAR RE-ENTRY PROGRAM	15,000
ON THE RISE INC 341 BROADWAY CAMBRIDGE, MA 02139	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S LEGAL ADVOCACY PROGRAM FOR HOMELESS FEMALE EX-OFFENDERS	1,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 30 DUNSTER STREET CAMBRIDGE, MA 02138	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE KENNEDY SCHOOL PROGRAM IN CRIMINAL JUSTICE YOUTH OFFENDER RESEARCH PROJECT	20,000
PRESS PASS TV 565 BOYLSTON STREET BOSTON, MA 02116	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S MEDIA TRAINING PROGRAM FOR AT-RISK YOUTH	8,500
PRISON BOOK PROGRAM 1306 HANCOCK STREET QUINCY, MA 02169	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S BOOK DISTRIBUTION TO PRISONS	5,000
Total 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRISON POLICY INITIATIVE PROJECT PO BOX 127 NORTHAMPTON, MA 01061	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S CRIMINAL JUSTICE RESEARCH	15,000
PRISONERS LEGAL SERVICES 10 WINTHROP SQUARE BOSTON, MA 02110	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S LEGAL ADVOCACY PROGRAM FOR INCARCERATED INMATES	22,500
ROBERT F KENNEDY CHILDREN'S ACTION CORPS 11 BEACON STREET SUITE 1120 BOSTON, MA 02108	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE JUVENILE DETENTION DIVERSION PROJECT	15,000
ROCA INC 101 PARK STREET CHELSEA, MA 02150	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S YOUTH SERVICES PROGRAMS	5,000
RODMAN RIDE FOR KIDS 10 LINCOLN ROAD 208 FOXBORO, MA 02035	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE CELEBRATION FOR KIDS EVENT	4,000
Total 				715,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROOM TO GROW INC 142 BERKELEY STREET BOSTON, MA 02116	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PARENTAL ASSISTANCE PROGRAM	9,000
RUFFIN SOCIETY OF NORTHEASTERN UNIV 360 HUNTINGTON AVE BOSTON, MA 02115	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE LONG ROAD TO JUSTICE PROJECT	15,000
SALEM STATE UNIVERSITY FOUNDATION 352 LAFAYETTE STREET SALEM, MA 01970	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE ESSEX COUNTY AT-RISK YOUTH CONFERENCE	2,500
SELF ESTEEM BOSTON PO BOX 301115 JAMAICA PLAIN, MA 02130	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S COUNSELING SERVICES FOR FEMALE OFFENDERS	10,000
SISTERS OF ST JOSEPH PRISON MINISTRY 1020 STATE STREET BADEN, PA 15005	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE MCI FRAMINGHAM RE-ENTRY PROGRAM	10,000
Total 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPAN INC 105 CHAUNCY STREET BOSTON, MA 02111	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PRISONER COMMUNITY RE-ENTRY PROGRAM	40,000
STRAIGHT AHEAD MINISTRIES INC 791 MAIN STREET WORCESTER, MA 01610	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S DYS YOUTH AFTERCARE PROGRAM	17,000
STRATEGIES FOR YOUTH INC PO BOX 390174 CAMBRIDGE, MA 02139	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S POLICE/YOUTH INTERACTION PROGRAM	10,000
THE KEY PROGRAM 670 OLD CONNECTICUT PATH FRAMINGHAM, MA 01701	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S RESIDENTIAL PROGRAMS FOR DYS YOUTH	5,500
THE PERFORMANCE PROJECT 5 TAYLOR STREET SPRINGFIELD, MA 01103	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PERFORMING ARTS PROGRAM FOR YOUTHFUL OFFENDERS	10,000
Total ► 3a				715,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE PETEY GREENE PROGRAM 9 MERCER STREET PRINCETON, NJ 08540	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S PRISON EDUCATION TUTORING PROGRAM	14,000
TRINITY BOSTON FOUNDATION 206 CLARENDON STREET BOSTON, MA 02116	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE BOSTONIANS FOR YOUTH EVENT	3,000
WAYSIDE YOUTH AND FAMILY SUPPORT NETWORK 1 FREDERICK ABBOTT WAY FRAMINGHAM, MA 01701	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE AGENCY'S YOUTH AND FAMILY SERVICES	2,500
YOUTH ADVOCACY FOUNDATION 44 BROMFIELD STREET BOSTON, MA 02108	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE JUVENILE LIFE WITHOUT PAROLE PROJECT	18,000
YOUTH ADVOCACY FOUNDATION 44 BROMFIELD STREET BOSTON, MA 02108	N/A	OTHER PUBLIC CHARITY	SUPPORT FOR THE EDUCATION LAW PROJECT	10,000
Total 				715,400
3a				

TY 2016 Accounting Fees Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RUSSELL BRIER & CO, LLP	6,080	6,080		0
WELCH & FORBES TAX PREP FEE	2,500	2,500		0

TY 2016 Investments Corporate Bonds Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826

Name of Bond	End of Year Book Value	End of Year Fair Market Value
200,000 MEDCO HEALTH SOLUTIONS INC NOTE DTD 09/10/10, 4.125%	202,429	209,238
200,000 MURPHY OIL CORP SR NOTE DTD 11/30/12, 2.5%	200,523	200,000
200,000 CVS CAREMARK CORP NOTE DTD 12/05/2013 2.25%	200,541	201,452
200,000 KENNAMETAL INC SR NT DTD 11/07/2012 2.65%	200,625	200,090
200,000 EBAY INC SR GLBL NT DTD 07/28/14, 2.875%	198,088	202,436
200,000 WALGREEN CO SR NT DTD 09/13/12, 3.1%	202,211	203,182
200,000 FISERV INC SR GLBL NT	198,254	202,594

TY 2016 Investments Corporate Stock Schedule

Name: GARDINER HOWLAND SHAW FOUNDATION
EIN: 04-6111826

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4,000 AFLAC INC	129,960	299,520
5,000 AUTOMATIC DATA PROCESSING INC	171,912	522,450
2,700 BECTON DICKINSON & CO	190,713	504,819
8,000 CARMAX INC	70,465	468,000
3,000 CHEVERON CORP	62,649	320,100
6,000 DANAHER CORP	161,491	499,980
3,000 EXXON MOBILE CORP	5,441	244,950
4,500 HOME DEPOT INC	91,305	702,450
6,000 HOSPITALITY PROPERTIES TRUST	199,433	190,980
2,000 IBM CORP	257,008	320,580
5,500 JOHNSON & JOHNSON	297,050	679,085
3,800 MCDONALDS CORP	264,980	531,734
7,000 MERCK & CO INC	300,853	436,310
7,500 MICROSOFT CORP	195,638	513,450
6,500 PEPSICO INC	290,525	736,320
4,000 PRAXAIR INC	250,850	499,920
6,500 PROCTER & GAMBLE CO	11,035	567,645
7,200 QUALCOMM INC	268,765	386,928
5,000 SCHLUMBERGER LTD	155,521	362,950
4,000 STERICYCLE INC	94,547	341,360
4,500 STRYKER CORP	209,290	613,665
3,000 3M CO	19,959	587,490
5,000 UNITED TECHNOLOGIES CORP	102,419	594,950
10,000 VERIZON COMMUNICATIONS INC	263,409	459,100
5,500 VISA INC CLASS A SHARES	103,217	501,710
12,000 WELLS FARGO & CO	301,432	646,080
5,400 CHECK POINT SOFTWARE TECHNOLOGIES LTD	274,474	561,654
2,000 CVS HEALTH CORP	164,480	164,880
7,200 CHURCH & DWIGHT CO	243,136	356,616
600 METTLER TOLEDO INTERNATIONAL INC	160,367	308,052

Name of Stock	End of Year Book Value	End of Year Fair Market Value
466 ALPHABET INC CAP STK	251,144	422,177
3,000 CAPITAL ONE FINANCIAL CORP	260,795	241,140
3,000 FISERV INC	241,829	357,420
4,000 JPMORGAN CHASE & CO	273,720	348,000
210 PRICELINE GRP INC	248,824	387,832
850 ALLERGAN PLC	253,623	207,281
2,000 CHUBB LIMITED	232,719	274,500
3,000 FORTIVE CORP	52,589	189,780

TY 2016 Investments - Other Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
15,000 FIRST TRUST NORTH AMERN ENERGY INFRAS FD ETF	AT COST	316,487	379,200
1,900 ISHARES IBOXX INVESTMENT GRADE CORPORATE BOND ETF	AT COST	187,282	226,081
2,400 ISHARES 1-3 YEAR CREDIT BOND ETF	AT COST	249,530	252,792
19,000 KAYNE ANDERSON MLP INVESTMENT CO	AT COST	414,046	379,240
2,500 VANGUARD INTERMEDIATE TERM BOND ETF	AT COST	189,119	210,975
14,000 MANNING & NAPIER	AT COST	317,513	319,620

TY 2016 Other Expenses Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IT COSTS	400	0		400
POSTAGE	226	0		226
SUPPLIES	736	0		736
TELEPHONE & TELECOMMUNICATIONS	3,224	0		3,224
MA FILING FEES	125	125		0
CONFERENCE FEE FOR CHARITY	6,218	0		6,218
MISCELLANEOUS	48	48		0
ADJUSTMENT FOR ROUNDING	2	0		0

TY 2016 Other Professional Fees Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	103,073	103,073		0

TY 2016 Taxes Schedule**Name:** GARDINER HOWLAND SHAW FOUNDATION**EIN:** 04-6111826

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	701	701		0
FEDERAL INCOME TAX	12,800	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2016
	Name of the organization GARDINER HOWLAND SHAW FOUNDATION	Employer identification number 04-6111826

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization GARDINER HOWLAND SHAW FOUNDATION	Employer identification number 04-6111826
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALBERT KANEB 140 ORCHARD STREET WESTON, MA 02493	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

04-6111826

Part II	Noncash Property
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(see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization GARDINER HOWLAND SHAW FOUNDATION	Employer identification number 04-6111826
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee