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Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

4/1/2016

, and ending

3/31/2017

Name of foundation

FLORENCE E SINCLAIR MEM T U W

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

Wells Fargo Bank N A Trust Tax Dept - 160 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code

Winston Salem

NC

27101

Foreign country name

Foreign province/state/county

Foreign postal code

A Employer identification number

59-6177808

B Telephone number (see instructions)

(888) 730-4933

C If exemption application is pending, check here ☐

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☒

Address change

☐

Name change

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at  
end of year (from Part II, col (c),  
line 16)  $\blacktriangleright$  \$

450,789

Accounting method

☒

Cash

☐

Accrual

☐

Other (specify)

(Part I, column (d) must be on cash basis)

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,  
check here and attach computation ☐E If private foundation status was terminated under  
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐**Part I****Analysis of Revenue and Expenses** (The total of  
amounts in columns (b), (c), and (d) may not necessarily  
equal the amounts in column (a) (see instructions))(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purpose.  
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	8,442	8,442		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	6,143			
	b	Gross sales price for all assets on line 7a 156,299				
	7	Capital gain net income (from Part IV, line 2)		6,143		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	14,585	14,585	0	
	13	Compensation of officers, directors, trustees, etc	7,429	5,572		1,857
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	738			738
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	49	49		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	450			450
	24	Total operating and administrative expenses. Add lines 13 through 23	8,666	5,621	0	3,045
	25	Contributions, gifts, grants paid	18,500			18,500
	26	Total expenses and disbursements. Add lines 24 and 25	27,166	5,621	0	2,545
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-12,581			
	b	Net investment income (if negative, enter -0-)		8,964		
	c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	23,814	7,316	7,316	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	395,577	399,690	443,473		
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item 1)	419,391	407,006	450,789		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ )				
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	419,391	407,006		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	419,391	407,006			
31	<b>Total liabilities and net assets fund balances</b> (see instructions)	419,391	407,006			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	419,391
2	Enter amount from Part I, line 27a	2	-12,581
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	293
4	Add lines 1, 2, and 3	4	407,103
5	Decreases not included in line 2 (itemize) ▶ Mutual Fund Timing Difference	5	97
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	407,006

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	See Attached Statement			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	6,143
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	23,494	457,430	0.051361
2014	23,259	483,899	0.048066
2013	23,098	477,711	0.048351
2012	22,409	457,424	0.048990
2011	22,460	462,045	0.048610

  

<b>2</b>	Total of line 1, column (d)	<b>2</b>	0.245378
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.049076
<b>4</b>	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	444,165
<b>5</b>	Multiply line 4 by line 3	<b>5</b>	21,798
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	90
<b>7</b>	Add lines 5 and 6	<b>7</b>	21,888
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	21,545

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	179
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2	<b>3</b>	179
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	179
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	84
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	84
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 6 is more than line 7, enter <b>amount owed</b>	<b>9</b>	95
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) FL		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>► N/A</b>	13	X	
14	The books are in care of <b>► WELLS FARGO BANK N.A.</b> Telephone no. <b>► 888-730-4933</b> Located at <b>► 100 N Main St MAC D4001-117 Winston Salem NC</b> ZIP+4 <b>► 27101</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year <b>► 15 N/A</b>			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>►</b>	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>►</b>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6a and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years <b>► 20 , 20 , 20 , 20</b>		Yes	No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>► 20 , 20 , 20 , 20</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	TRUSTEE SEE ATTACHED	7,423		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information, such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	426,004
<b>b</b>	Average of monthly cash balances	<b>1b</b>	24,925
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	450,929
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	450,929
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	6,764
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	444,165
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	22,208

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private-operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	22,208
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	179
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	179
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	22,029
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	22,029
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	22,029

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	21,545
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	21,545
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	21,545

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				22,029
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only			20,675	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011				
<b>b</b> From 2012				
<b>c</b> From 2013				
<b>d</b> From 2014				
<b>e</b> From 2015				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: \$ 21,545				
<b>a</b> Applied to 2015, but not more than line 2a			20,675	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				870
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (e))				
<b>6</b> Enter the net total of each column, as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions				
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				21,159
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				
<b>c</b> Excess from 2014				
<b>d</b> Excess from 2015				
<b>e</b> Excess from 2016				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Attached Statement				
<b>Total</b>			<b>3a</b>	18,500
<b>b</b> Approved for future payment NONE				
<b>Total</b>			<b>3b</b>	0





**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

HUMANE SOCIETY OF GREATER MIAMI, INC

Street

16101 WEST DIXIE HIGHWAY

City

NORTH MIAMI BEACH

State

FL

Zip Code

33160

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,500

Name

NORTH MIAMI FOUNDATION FOR SENIOR CITIZENS

Street

620 NE 127th Street

City

NORTH MIAMI

State

FL

Zip Code

33161

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

17,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Short Term CG Distributions		Amount	Totals										Gross Sales		Cost or Other Basis		Expenses		Net Gain or Loss	
		7,562			0	Capital Gains/Losses										156,299	0	150,156	0	6,143	0	6,143	0
Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss									
1 JPMORGAN HIGH YIELD FUN	4812C0803	X				7/24/2015	1/18/2017	454	407					47						-47			
2 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/18/2015	1/18/2017	319	319					0						0			
3 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/23/2016	1/18/2017	450	450					0						0			
4 MFS VALUE FUND-CLASS I #	552883694	X				1/22/2015	4/20/2016	2,691	2,777					86						-86			
5 MFS VALUE FUND-CLASS I #	552883694	X				7/24/2015	4/20/2016	3,472	3,543					71						-71			
6 MFS VALUE FUND-CLASS I #	552883694	X				6/20/2014	4/20/2016	3,190	3,223					33						-33			
7 MFS VALUE FUND-CLASS I #	552883694	X				8/23/2016	1/18/2017	5,711	5,677					34						-34			
8 MET WEST TOTAL RETURN B	592905059	X				1/22/2015	8/23/2016	5,759	5,772					13						-13			
9 INV BALANCE RISK COMM S	008887506	X				8/23/2016	1/18/2017	4,475	4,475					0						0			
10 AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	4/20/2016	1,700	2,079					379						-379			
11 AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	5/11/2016	4,625	5,673					1,048						-1,048			
12 AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	1/18/2017	795	864					69						-69			
13 ARTISAN INTERNATIONAL F	04314H402	X				11/10/2015	4/20/2016	291	311					20						-20			
14 ARTISAN INTERNATIONAL F	04314H402	X				1/20/2016	4/20/2016	1,174	1,071					103						-103			
15 ARTISAN INTERNATIONAL F	04314H402	X				7/28/2010	4/20/2016	751	579					172						-172			
16 ARTISAN INTERNATIONAL F	04314H402	X				7/28/2010	5/11/2016	2,170	1,451					719						-719			
17 ARTISAN INTERNATIONAL F	04314H402	X				7/28/2010	8/23/2016	7,779	5,273					2,506						-2,506			
18 OPPENHEIMER DEVELOPING	683974604	X				1/31/2013	8/23/2016	3,056	3,341					285						-285			
19 OPPENHEIMER DEVELOPING	683974604	X				8/18/2015	8/23/2016	2,753	2,863					110						-110			
20 OPPENHEIMER DEVELOPING	683974604	X				12/28/2012	8/23/2016	1,894	1,974					80						-80			
21 ASG GLOBAL ALTERNATIVES	638727885	X				7/12/2013	1/18/2017	504	564					60						-60			
22 NEUBERGER BERMAN LONG	64128R608	X				8/20/2014	8/23/2016	3,725	3,763					38						-38			
23 NEUBERGER BERMAN LONG	64128R608	X				6/20/2014	1/18/2017	275	273					2						2			
24 OPPENHEIMER DEVELOPING	683974604	X				1/23/2014	8/23/2016	1,419	1,568					149						-149			
25 DODGE & COX INTL STOCK	256206103	X				1/30/2012	5/11/2016	663	583					80						-80			
26 ARTISAN MID CAP FUND-INS	04314H600	X				7/24/2015	4/20/2016	429	521					92						-92			
27 ARTISAN MID CAP FUND-INS	04314H600	X				6/20/2014	4/20/2016	1,972	2,473					501						-501			
28 DODGE & COX INTL STOCK	256206103	X				1/30/2012	8/23/2016	10,054	8,170					1,884						-1,884			
29 ARTISAN MID CAP FUND-INS	04314H600	X				8/20/2014	8/23/2016	343	381					38						-38			
30 ARTISAN MID CAP FUND-INS	04314H600	X				1/31/2013	8/23/2016	2,998	2,713					285						-285			
31 BLACKROCK GLS CREDIT	091936732	X				10/27/2015	1/18/2017	468	479					11						-11			
32 CREDIT SUISSE COMM RET	22544R305	X				12/28/2012	8/23/2016	2,381	3,872					1,491						-1,491			
33 DODGE & COX INTL STOCK	256206103	X				1/30/2012	1/18/2017	549	473					76						-76			
34 CREDIT SUISSE COMM RET	22544R305	X				7/12/2013	8/23/2016	1,698	2,511					813						-813			
35 DODGE & COX INCOME FD C	256210105	X				1/31/2013	8/23/2016	11,062	10,671					391						-391			
36 CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	8/23/2016	550	773					223						-223			
37 CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	1/18/2017	1,828	2,541					713						-713			
38 JP MORGAN MID CAP VALUE	339128100	X				6/20/2014	4/20/2016	1,174	1,271					97						-97			
39 CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	1,835	2,063					228						-228			
40 CREDIT SUISSE COMM RET	22544R305	X				11/10/2015	1/18/2017	978	979					1						1			
41 JP MORGAN MID CAP VALUE	339128100	X				7/24/2015	4/20/2016	43	65					22						-22			
42 DODGE & COX INTL STOCK	256206103	X				11/10/2015	4/20/2016	165	173					8						-8			
43 DODGE & COX INTL STOCK	256206103	X				1/30/2012	4/20/2016	2,534	2,111					423						-423			
44 JP MORGAN MID CAP VALUE	339128100	X				4/22/2014	4/20/2016	535	573					38						-38			
45 JP MORGAN MID CAP VALUE	339128100	X				4/22/2014	8/23/2016	2,920	2,872					48						-48			
46 HARBOR CAPITAL APRCTON	411511504	X				8/28/2015	4/20/2016	5,514	5,972					458						-458			
47 HARBOR CAPITAL APRCTON	411511504	X				1/22/2015	4/20/2016	4,301	4,375					74						-74			
48 HARBOR CAPITAL APRCTON	411511504	X				8/23/2016	1/18/2017	3,061	3,113					52						-52			
49 JPMORGAN HIGH YIELD FUN	4812C0803	X				1/23/2014	1/18/2017	593	675					82						-82			
50 JPMORGAN HIGH YIELD FUN	4812C0803	X				12/18/2014	1/18/2017	8,015	8,172					157						-157			
51 T ROWE PRICE SHORT TERM	77957P105	X				7/12/2013	4/20/2016	5,191	5,274					83						-83			
52 T ROWE PRICE SHORT TERM	77957P105	X				8/10/2015	4/20/2016	6,532	6,570					38						-38			
53 T ROWE PRICE INST FLOAT	77958B402	X				1/23/2014	1/18/2017	1,288	1,373					85						-85			
54 T ROWE PRICE INST FLOAT	77958B402	X				4/22/2014	1/18/2017	2,424	2,471					47						-47			
55 T ROWE PRICE INST FLOAT	77958B402	X				8/23/2016	1/18/2017	378	373					5						5			
56 STERLING CAPITAL STRATT	85917K546	X				6/20/2014	4/20/2016	945	773					172						-172			
57 STERLING CAPITAL STRATT	85917K546	X				6/20/2014	5/11/2016	5,443	5,673					230						-230			
58 STERLING CAPITAL STRATT	85917K546	X				6/20/2014	1/18/2017	744	773					29						-29			



**Part I, Line 16b (990-PF) - Accounting Fees**

		738	0	0	738
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	738			738

**Part I, Line 18 (990-PF) - Taxes**

		49	49	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	49	49		

**Part I, Line 23 (990-PF) - Other Expenses**

		450	0	0	450
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	GRANT ADMINISTRATION FEE	450	0		450

**Part II, Line 13 (990-PF) - Investments - Other**

		395,577	399,690	443,473
Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1			0	
2	DODGE & COX INCOME FD COM 147	0	42,119	42,003
3	HARBOR CAPITAL APRECTION-INST 2012	0	37,342	52,836
4	ARTISAN INTERNATIONAL FD I 662	0	9,011	12,767
5	MERGER FUND-I-IST #301	0	7,899	7,994
6	ARTISAN MID CAP FUND-INSTL 1333	0	18,273	19,656
7	MET WEST TOTAL RETURN BOND CL I 51	0	73,552	71,957
8	FID ADV EMER MKTS INC- CL I 607	0	23,558	24,626
9	EATON VANCE GLOBAL MACRO - I	0	13,568	13,571
10	DODGE & COX INTL STOCK FD 1048	0	9,264	12,754
11	AMER CENT SMALL CAP GRWTH INST 33	0	9,219	10,102
12	MFS VALUE FUND-CLASS I 893	0	37,660	51,206
13	JP MORGAN MID CAP VALUE-I 758	0	14,830	19,384
14	STERLING CAPITAL STRATTON SMALL CA	0	8,828	9,697
15	ASG GLOBAL ALTERNATIVES-Y 1993	0	8,451	7,893
16	AQR MANAGED FUTURES STR-I	0	14,645	13,156
17	VANGUARD INFLAT-PROT SECS-ADM 511	0	6,118	6,016
18	JPMORGAN HIGH-YIELD FUND SS 3580	0	10,397	10,802
19	T ROWE PRICE INST FLOAT RATE 170	0	4,383	4,448
20	OPPENHEIMER DEVELOPING MKT-I 799	0	23,725	25,392
21	BLACKROCK GL JS CREDIT-INS #1833	0	15,774	15,728
22	NEUBERGER BERMAN LONG SH-INS #183	0	11,074	11,485

### Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Federal Excise Tax Refund	1	237
2	Cost Basis Adjustment	2	56
3	Total	3	293

Line 5 - Decreases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	97
2	Total	2	97

#### **Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

Long Term CG Distributions		Short Term CG Distributions		Amount		148,737		0		0		150,156		-1,419		0		0		-1,419	
				7,562		0															

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Part VII, Line 1 (2007) - Compensation of Officers, Directors, Trustees and Foundation Managers											7,429	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	
1	Wells Fargo Bank N.A. Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	7,429			

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

		Date	1	Amount
1	Credit from prior year return		1	84
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	
7	Total		7	84



**Part XIII, Line 2a, Column C (\$90-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	20,675
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	20,675

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**NAME**

FLORENCE E. SINCLAIR MEMORIAL FUND

**ADDRESS**

DADE COUNTY, FLORIDA

**TELEPHONE AND EMAIL**

888-234-1999, grantadministration@wellsfargo.com

**FORM IN WHICH APPLICATIONS SHOULD BE SUBMITTED AND INFORMATION AND MATERIALS THEY SHOULD INCLUDE.**

APPLICATIONS MUST BE SUBMITTED THROUGH OUR ONLINE GRANT APPLICATION FORM OR ALTERNATIVE ACCESSIBLE APPLICATION DESIGNED FOR ASSISTIVE TECHNOLOGY USERS

**ANY SUBMISSION DEADLINES**

APPLICATIONS ARE ACCEPTED YEAR-ROUND. APPLICATIONS MUST BE SUBMITTED BY AUGUST 31 TO BE REVIEWED AT THE ANNUAL GRANT MEETING THAT OCCURS IN SEPTEMBER EACH YEAR

**ANY RESTRICTIONS OR LIMITATIONS ON AWARDS**

PROGRAMS ARE LIMITED TO THOSE THAT PROVIDE CARE FOR THE AGED OR ARE ENGAGED IN SCIENTIFIC OR MEDICAL RESEARCH FOR THE CARE, COMFORT AND WELFARE OF ELDERLY PEOPLE. PREFERENCE IS GIVEN TO NON-SECTARIAN AGENCIES

**Supplemental Information:**

**Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.**

Wells Fargo Bank N.A  
100 N Main Street Mac 4001-117  
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.