

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning 04/01/16, and ending 03/31/17

Name of foundation TR U/W VIVIAN S WEST - WEST MEMORIAL FUND		A Employer identification number 56-6520255
Number and street (or P.O. box number if mail is not delivered to street address) 4242 SIX FORKS RD, 17TH FLOOR US TR	Room/suite	B Telephone number (see instructions) 919-829-6771
City or town, state or province, country, and ZIP or foreign postal code RALEIGH NC 27601-1754		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation • <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 6,894,693	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here <input type="checkbox"/>

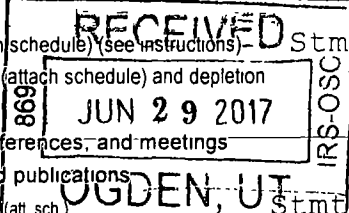
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	162,194	162,194		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	71,296			
b	Gross sales price for all assets on line 6a 1,112,330				
7	Capital gain net income (from Part IV, line 2)		71,296		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 1	-4,968	-9,511		
12	Total. Add lines 1 through 11	228,522	223,979	0	
13	Compensation of officers, directors, trustees, etc.	133,154	81,168		51,986
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	8,100	4,050		4,050
c	Other professional fees (attach schedule) Stmt 3	1,600	1,600		
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 4	2,348	2,348		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach sch.) Stmt 5	2,682	990		1,692
24	Total operating and administrative expenses. Add lines 13 through 23	147,884	90,156	0	57,728
25	Contributions, gifts, grants paid	261,754			261,754
26	Total expenses and disbursements. Add lines 24 and 25	409,638	90,156	0	319,482
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-181,116			
b	Net investment income (if negative, enter -0-)		133,823		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

DAA

Form **990-PF** (2016)SCANNED JUL 10 2017
Revenue

Operating and Administrative Expenses



7 Q

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash – non-interest-bearing				
	2	Savings and temporary cash investments		500,942	91,716	91,716
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U S and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule) See Stmt 6		4,446,175	4,682,620	6,521,326
	c	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis ▶ 237,410 Less accumulated depreciation (attach sch) ▶ Stmt 7		237,410	237,410	281,650
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule) See Statement 8		-56,829	-65,164	1
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		5,127,698	4,946,582	6,894,693	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		5,127,698	4,946,582	
	30	Total net assets or fund balances (see instructions)		5,127,698	4,946,582	
	31	Total liabilities and net assets/fund balances (see instructions)		5,127,698	4,946,582	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,127,698
2	Enter amount from Part I, line 27a	2	-181,116
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,946,582
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,946,582

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate 2-story brick warehouse or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES	P	Various	Various
b SALE OF PUBLICLY TRADED SECURITIES	P	Various	Various
c CAPITAL GAIN DISTRIBUTIONS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 320,700		329,736	-9,036
b 740,774		711,298	29,476
c 50,856			50,856
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k) but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-9,036
b			29,476
c			50,856
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	71,296
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c) (see instructions)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	370,766	6,773,813	0.054735
2014	352,098	7,264,192	0.048470
2013	328,590	7,040,429	0.046672
2012	331,615	6,654,639	0.049832
2011	344,819	6,718,408	0.051325

2 Total of line 1, column (d)	2	0.251034
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050207
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	6,640,170
5 Multiply line 4 by line 3	5	333,383
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,338
7 Add lines 5 and 6	7	334,721
8 Enter qualifying distributions from Part XII, line 4	8	319,482

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,676
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,676
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,676
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	532
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	532
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,144
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JAMES G SULLIVAN 1100 CONFERENCE DR Located at ► GREENVILLE NC ZIP+4 ► 27858 Telephone no ► 252-756-8888		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>		1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? N/A		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A		5b
	Organizations relying on a current notice regarding disaster assistance check here	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,182,309
b	Average of monthly cash balances	1b	277,417
c	Fair market value of all other assets (see instructions)	1c	281,563
d	Total (add lines 1a, b, and c)	1d	6,741,289
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,741,289
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	101,119
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,640,170
6	Minimum investment return. Enter 5% of line 5	6	332,009

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	332,009
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,676
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,676
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	329,333
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	329,333
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	329,333

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	319,482
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	319,482
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	319,482

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				329,333
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			316,430	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 319,482				
a Applied to 2015, but not more than line 2a			316,430	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2016 distributable amount				3,052
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				326,281
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
JAMES G SULLIVAN 252-756-8888
1100 CONFERENCE DRIVE GREENVILLE NC 27858

b The form in which applications should be submitted and information and materials they should include
APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

c Any submission deadlines
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
501(C)(3) CHARITIES

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 10				261,754
Total			▶ 3a	261,754
b Approved for future payment N/A				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
-Here**

BANK OF AMERICA BY:

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

JAMES G. SULLIVAN

05/12/17

Firm's name ► Sullivan, Shearin & Co

PTIN P00238015

Firm's address ▶ 1100 Conference Dr
Greenville, NC 27858

Firm's EIN ▶ 56-1350306

Phone no 252-756-8888

Form **990-PF** (2016)

11750 TR UW VIVIAN S WEST -
56-6520255
FYE: 3/31/2017

5/12/2017 3:10 PM

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
WEST REALTY COMPANY	-3,177	-3,177	
PINE RIDGE INVESTORS LP	-6,334	-6,334	
990-PF PR YR REFUND	4,543		
Total	-4,968	-9,511	0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SULLIVAN, SHEARIN & COMPANY	8,100	4,050		4,050
Total	8,100	4,050	0	4,050

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE APPRAISAL	1,600	1,600		
Total	1,600	1,600	0	0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE PROPERTY TAX	2,348	2,348		
Total	2,348	2,348	0	0

11750 TR UNW VIVIAN S WEST -
56-6520255
FYE: 3/31/2017

5/12/2017 3:10 PM

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
INSURANCE - DISTRIBUTION COMM	1,692			1,692
INSURANCE - REAL ESTATE	990	990		
Total	<u>2,682</u>	<u>990</u>	<u>0</u>	<u>1,692</u>

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE SCHEDULE	<u>4,446,175</u>	<u>4,682,620</u>		<u>6,521,326</u>
Total	<u>4,446,175</u>	<u>4,682,620</u>		<u>6,521,326</u>

Statement 7 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND - STATESVILLE, NC	<u>237,410</u>	<u>237,410</u>		<u>281,650</u>
Total	<u>237,410</u>	<u>237,410</u>	<u>0</u>	<u>281,650</u>

Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WEST REALTY CO PARTNERSHIP	<u>44,746</u>	<u>42,736</u>		
PINE RIDGE INVESTORS PARTNERSHIP	<u>-101,575</u>	<u>-107,900</u>		<u>1</u>
Total	<u>-56,829</u>	<u>-65,164</u>		<u>1</u>

11750 TR UW VIVIAN S WEST -
56-6520255
FYE: 3/31/2017

Federal Statements

5/12/2017 3.10 PM

Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DANNY MCNALLY 502 BREMERTON DRIVE GREENVILLE NC 27858	DIRECTOR	0.45	4,180	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CHAIRMAN	2.28	20,750	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CO-TRUSTEE	4.00	36,325	0	0
DAVID EMSWILER ONE HANOVER SQUARE RALEIGH NC 27601	COMMITTEE	0.50	0	0	0
BANK OF AMERICA ONE HANOVER SQUARE RALEIGH NC 27601	CO-TRUSTEE	7.90	71,899	0	0

Federal Statements**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description

APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

Form 990-PF, Part XV, Line 2c - Submission DeadlinesDescription

NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or LimitationsDescription

501(C)(3) CHARITIES

11750 TR UW VIVIAN S WEST -
56-6520255
FYE. 3/31/2017

5/12/2017 3:10 PM

Federal Statements

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the

Year

Name		Address		Relationship		Status	Purpose	Amount
HOOKE MEMORIAL CHRISTIAN	GREENVILLE NC 27858	NONE	1111 GREENVILLE BLVD	NONE	501c3	501c3	CHARITABLE PURPOSES	40,000
THE ECU FOUNDATION INC	GREENVILLE NC 27858	NONE	2200 S CHARLES BLVD	NONE	501c3	501c3	CHARITABLE PURPOSES	60,000
GREENVILLE CHORAL SOCIETY	GREENVILLE NC 27835	NONE	PO BOX 1357	NONE	501c3	501c3	CHARITABLE PURPOSES	5,500
OPERATION SUNSHINE INC	GREENVILLE NC 27836	N/A	PO BOX 3412	N/A	501c3	501c3	PURPOSES	22,000
BOYS & GIRLS CLUBS OF COASTAL PLAIN	WINTERVILLE NC 28590	N/A	621 WEST FIRE TOWER ROAD	N/A	501c3	501c3	PURPOSES	15,000
PITT COUNTY COUNCIL ON AGING	GREENVILLE NC 27858	N/A	4551 COUNTY HOME ROAD	N/A	501c3	501c3	PURPOSES	21,754
LEROY JAMES FARMERS MARKET	GREENVILLE NC 27834	N/A	403 GOVERNMENT CIRCLE	N/A	501c3	501c3	PURPOSES	40,000
FOOD BANK OF CENTRAL & EASTERN NC	GREENVILLE NC 27834	N/A	1712 UNION STREET	N/A	501c3	501c3	PURPOSES	12,500
A TIME FOR SCIENCE	AYDEN NC 28513	N/A	PO BOX 425	N/A	501c3	501c3	PURPOSES	5,000
LITERACY VOLUNTEERS	PITT CO	N/A	3107 S EVANS STREET STE E	N/A	501c3	501c3	PURPOSES	15,000
JH ROSE HIGH SCHOOL BAND	GREENVILLE NC 27836	N/A	PO BOX 2052	N/A	501c3	501c3	PURPOSES	25,000
Total								261,754

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2017

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
	<u>CORPORATE STOCK</u>		
113	ABBVIE INC	7,363 08	6,979 41
300	ACCENTURE PLC	35,964 00	22,404 68
105	ACI WORLDWIDE INC	2,245 95	2,516 55
45	ADVANCED ENERGY INDS	3,085 20	1,522 70
32	ADVANSIX INC	874 24	123 43
51	AKAMAI TECHNOLOGY INC	5,850 21	2,832 08
50	ALLERGRAN PLC	11,946 00	12,702 10
100	ALPHABET INC CLASS C	82,956 00	60,964 25
60	ALPHABET INC CLASS A	50,868 00	32,977 24
350	ALTRIA GROUP INC	24,997 00	3,196 64
187	ALTRIA GROUP INC	13,355 54	4,442 40
40	AMAZON COM INC	35,461 60	8,382 15
60	AMERICAN ELEC PWR INC	4,027 80	1,857 23
700	AMERICAN EXPRESS CO	55,377 00	28,183 54
375	AMERICAN INTL GROUP INC	23,411 25	15,634 22
50	AMERISOURCEBERGEN CORP	4,425 00	2,392 26
175	ANHEUSER BUSCH INBEV	19,208 00	17,433 05
650	APPLE INC	93,379 00	68,767 80
140	APPLE INC	20,112 40	11,601 21
46	ARISTA NETWORKS INC	6,084 42	4,061 44
176	AT&T INC	7,312 80	7,233 70
28	AUTOLIV INC	2,863 28	1,830 21
84	AUTOMATIC DATA PROCESSING INC	8,600 76	3,343 29
48	BANK OF THE OZARKS INC	2,496 48	2,153 75
103	BB&T CORP	4,604 10	4,001 17
72	BED BATH & BEYOND INC	2,841 12	3,274 86
500	BERKSHIRE HATHAWAY INC	83,340 00	39,692 64
77	BERRY PLASTICS GROUP INC	3,739 89	3,506 77
118	BIOGEN IDEC INC	32,263 56	12,841 70
59	BIOVERATIV INC	3,213 14	1,080 30
150	BLACKROCK INC	57,526 50	49,107 95
19	BLACKROCK INC	7,286 69	2,980 42
12105 422	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	127,833 26	125,000 00
21	BOEING CO	3,714 06	3,707 89
82	BORG WARNER INC	3,426 78	3,583 74
12	BOSTON BEER INC	1,735 80	2,282 98
4679 175	BOSTON PARTNERS LONG/SHORT RESH FUND	74,211 72	70,000 00
325	BRISTOL MYERS SQUIBB CO	17,673 50	13,651 89
46	BRISTOL MYERS SQUIBB CO	2,501 48	3,064 08
39	BROADCOM LTD	8,539 44	7,572 58
52	BROADRIDGE FINL SOLUTIONS INC	3,533 40	2,836 42
61	BROADSOFT INC	2,452 20	2,311 67
41	BURLINGTON STORES INC	3,988 89	2,311 95
3130 726	CAMBIAR INTL EQUITY FUND	77,673 31	75,000 00
50	CANADIAN NATIONAL RAILWAY	3,696 50	1,903 73
95	CANADIAN PAC RY LTD	13,957 40	12,720 03
30	CARTER HLDGS INC	2,694 00	3,020 90
141	CBRE GROUP INC	4,905 39	2,897 55
450	CBS CORP	31,212 00	19,446.86
50	CELGENE CORP	6,221 50	4,323 45
58	CENTENE CORP	4,133 08	2,158 91
57	CHENIERE ENERGY INC	2,694 39	2,044 33
750	CHEVRON CORP	80,527 50	26,595 90
72	CHEVRON CORP	7,730 64	7,300 43
39	CHINA LODGING GROUP LTD	2,419 95	1,528 44
91	CHUBB LTD	12,398 75	8,456 81
39	CINEMARK HLDGS INC	1,729 26	1,569 01

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2017

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
348	CISCO SYS INC	11,762 40	7,917 99
405	CITIGROUP INC	24,227 10	17,050 97
50	CLOROX CO	6,741 50	3,402 75
82	CME GROUP INC	9,741 60	4,976 96
13351 254	CMG ULTRA SHORT TERM BOND FUND	120,294 80	120,027 78
98	CMS ENERGY CORP	4,384 52	2,509 08
400	COCA COLA CO	16,976 00	8,929 85
10925 011	COHEN & STEERS PFD SECS & INCOME FUND	150,655 90	150,000 35
11045 15	COLUMBIA DIVIDEND INCOME FUND	220,682 10	175,000 00
3706 196	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND	96,064 60	50,000 00
10713 537	COLUMBIA SELECT LARGE CAP GROWTH FUND	169,059 61	150,000 00
800	COMCAST CORP	30,072 00	18,565 76
428	COMCAST CORP	16,088 52	11,158 10
31	CROWN CASTLE INTL CORP	2,927 95	2,666 56
89	CSRA INC	2,606 81	2,856 85
900	CSX CORP	41,895 00	15,435 16
100	CVS HEALTH CORP	7,850 00	9,767 40
76	CVS HEALTH CORP	5,966 00	5,833 17
125	DANAHER CORP	10,691 25	10,158 61
52	DAVE & BUSTERS ENTMT INC	3,176 68	2,097 56
22	DELL TECHNOLOGIES INC	1,409 76	1,041 35
47	DEXCOM INC	3,982 31	2,233 81
30	DIAMONDBACK ENERGY INC	3,111 45	2,339 48
34	DIGITAL RLTY TR INC	3,617 26	3,272 27
750	DISNEY WALT CO	85,042 50	64,185 31
54	DOLBY LABORATORIES INC	2,830 14	2,122 94
33	DOMINION RES INC	2,559 81	1,672 93
98	DOW CHEM CO	6,226 92	5,089 04
74	DUKE RLTY CORP	1,943 98	2,040 06
65	DUNKIN BRANDS GROUP INC	3,554 20	3,384 34
34	EAGLE MATERIALS INC	3,302 76	3,379 13
14023 745	EATON VANCE FLTG RATE FUND	126,213 71	125,000 82
227	EBAY INC	7,620 39	4,498 95
200	ECOLAB INC	25,068 00	24,553 70
38	EMCOR GROUP INC	2,392 10	2,239 81
162	ENTEGRIS INC	3,790 80	1,392 07
250	EOG RES INC	24,387 50	22,960 00
11	ESSEX PPTY TR INC	2,546 83	2,567 94
550	EXXON MOBIL CORP	45,105 50	18,551 50
66	EVERSOURCE ENERGY	3,879 48	3,245 38
213	EXXON MOBIL CORP	17,468 13	15,391 38
38967 574	FEDERATED STRATEGIC VALUE FUND	240,819 61	200,000 00
5023 126	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	48,422 93	50,000 00
66	FIRST REP BK SAN FRANCISCO	6,191 46	2,627 61
80	FOOT LOCKER INC	5,984 80	3,991 92
97	FORTINET INC	3,719 95	3,437 50
29	GARTNER GROUP INC	3,131 71	1,277 10
65	GENERAL DYNAMICS CORP	12,168 00	9,715 42
1500	GENERAL ELEC CO	44,700 00	43,504 37
107	GENERAL MLS INC	6,314 07	5,559 61
36	GENUINE PARTS CO	3,326 76	3,255 05
600	GILEAD SCIENCES INC	40,752 00	23,174 67
2696 639	GLENMEDE SMALL CAP EQUITY PORTFOLIO	83,541 88	75,000 00
40	GLOBAL PMTS INC	3,227 20	1,245 62
650	GOLD TR GOLDS SHS	77,168 00	77,763 84
200	GOLDMAN SACHS GROUP INC	45,944 00	39,817 96
19	GRAINGER W W INC	4,422 44	3,522 43
41	GUIDEWIRE SOFTWARE INC	2,309 53	2,262 01
1808 85	HARBOR INTERNATIONAL FUND	114,861 98	87,503 24

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2017

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
60	HAWAIIAN HLDGS INC	2,787 00	2,597 80
95	HD SUPPLY HLDGS INC	3,906 88	2,774 25
174	HOME DEPOT INC	25,548 42	8,044 29
111	HOME DEPOT INC	16,298 13	2,147 36
800	HONEYWELL INTL INC	99,896 00	23,195 45
120	HONEYWELL INTL INC	14,984 40	7,155 04
74	HORIZON PHARMA PLC	1,093 72	2,392 35
43	IAC / INTERACTIVECORP	3,169 96	1,630 39
42	ICON PLC	3,348 24	2,312 55
31	IDEXX LABS INC	4,792 91	2,313 92
66	IMAX CORP	2,244 00	2,207 09
47	INGERSOLL-RAND CO LTD	3,822 04	3,553 68
178	INTEL CORP	6,420 46	2,655 60
125	INTERNATIONAL BUSINESS MACHS	21,767 50	10,447 07
125	INTERPUBLIC GROUP COS INC	3,071 25	2,886 90
2054 228	INVESCO INTL GROWTH FUND	67,317 05	70,000 00
65	IONIS PHARMACEUTICALS INC	2,613 00	2,601 47
150	ISHARES RUSSELL 1000 GROWTH ETF	17,070 00	11,542 61
1000	ISHARES RUSSELL 2000 ETF	137,480 00	68,653 00
500	ISHARES TR S&P GLOBAL INFO TECHNOLOGY	62,125 00	30,072 17
1300	ISHARES US PFD STK ETF	50,310 00	49,759 71
1123 589	JANUS ENTERPRISE FUND	114,651 02	100,000 00
5414 528	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	121,231 28	100,000 00
725	JOHNSON & JOHNSON	90,298 75	26,490 00
177	JOHNSON & JOHNSON	22,045 35	13,246 52
282	J P MORGAN CHASE & CO	24,770 88	13,756 18
1286 292	JP MORGAN US LARGE CAP CORE PLUS	38,730 25	25,000 00
300	KANSAS CITY SOUTHERN	25,728 00	16,311 41
118	KAPSTONE PAPER & PACKAGING CORP	2,725 80	991 63
41	KIMBERLY CLARK CORP	5,396 83	2,791 46
150	KRAFT HEINZ CORP	13,621 50	6,025 73
50	LABORATORY CORP AMER HLDGS	7,173 50	4,422 21
51	LAM RESEARCH CORP	6,546 36	4,068 76
24	LITHIA MTRS INC	2,055 60	2,444 45
125	LOCKHEED MARTIN CORP	33,450 00	17,724 37
45	LOCKHEED MARTIN CORP	12,042 00	9,007 53
34	LYONDELLBASELL INDUSTRIES	3,100 46	2,928 29
137	MARSH & MCLENNAN COS INC	10,122 93	5,261 52
114	MARRIOTT INTL INC	10,736 52	4,836 87
49	MCDONALDS CORP	6,350 89	2,682 14
53	MEDIDATA SOLUTIONS INC	3,057 57	2,529 39
150	MEDTRONIC PLC	12,084 00	13,195 64
52	MEDTRONIC PLC	4,189 12	3,962 95
35	MERCODOLIBRE INC	7,401 45	4,561 41
240	MERCK & CO INC	15,249 60	9,468 07
343 407	MFS INTL NEW DISCOVERY FUND	10,346 85	10,000 00
140	MICHAELS COS INC	3,134 60	3,614 95
600	MICROSOFT CORP	39,516 00	15,506 25
406	MICROSOFT CORP	26,739 16	12,392 07
31	MIDDLEBY CORP	4,229 95	3,409 89
113	MOMENTA PHARMACEUTICALS INC	1,508 55	1,251 18
500	MONDELEZ INTL INC	21,540 00	13,000 12
167	MONSANTO CO	18,904 40	10,257 82
6012 829	NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND	62,773 93	70,000 00
200	NAVIENT CORP	2,952 00	2,800 06
150	NESTLE	11,516 55	9,537 96
38	NEXTERA ENERGY INC	4,878 06	1,991 34
400	NIKE INC	22,292 00	6,660 80
38	NORDSON CORP	4,667 92	1,995 78

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2017

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
60	NORDSTROM INC	2,794 20	3,269 80
85	NORTHERN TR CORP	7,359 30	6,257 97
75	NOVARTIS A G	5,570 25	5,251 90
150	NOVO-NORDISK	5,142 00	4,704 26
300	OCCIDENTAL PETE CORP	19,008 00	24,350 07
80	OCCIDENTAL PETE CORP	5,068 80	5,281 98
45	OLD DOMINION FGHT LINE INC	3,850 65	2,292 06
1952 715	OPPENHEIMER INTL GROWTH FUND	72,933 91	70,000 86
31	OWENS CORNING INC	1,902 47	1,705 31
27	PARKER HANNIFIN CORP	4,328 64	1,128 26
300	PAYPAL HOLDINGS INC	12,906 00	9,767 12
475	PEPSICO INC	53,133 50	16,832 30
93	PEPSICO INC	10,402 98	8,920 81
370	PFIZER INC	12,657 70	5,560 37
68	PG&E CORP	4,512 48	3,902 30
136	PHILIP MORRIS INTL INC	15,354 40	5,261 72
150	PHILLIPS 66	11,883 00	8,306 88
16608 226	PIMCO INCOME FUND	203,284 69	200,000 00
79	PNC FINL SVCS GROUP INC	9,498 96	5,256 05
41	POWER INTEGRATIONS INC	2,695 75	2,604 21
38	PRESTIGE BRANDS HLDGS INC	2,111 28	2,161 21
13	PRICELINE GROUP INC	23,139 61	5,094 06
58	PRICE T ROWE GROUP INC	3,952 70	2,196 25
19554 209	PRINCIPAL PFD SECS FUND	198,866 31	200,000 00
81	PROCTER & GAMBLE CO	7,277 85	5,160 58
37	PUBLIC STORAGE INC	8,099 67	5,896 89
1350	QUALCOMM INC	77,409 00	31,365 50
33	RADIUS HEALTH INC	1,275 45	1,649 78
23	REINSURANCE GROUP AMER INC	2,920 54	2,901 20
750	ROCHE HLDG LTD	23,958 75	23,654 33
59	RSP PREMIA INC	2,444 37	2,256 94
143	SABRE CORP	3,030 17	4,056 11
225	SCHLUMBERGER LTD	17,572 50	16,389 27
131	SCHLUMBERGER LTD	10,231 10	10,469 86
30	SEMPRA ENERGY	3,315 00	1,680 33
71	SONOCO PRODS CO	3,757 32	3,430 12
600	S&P BIOTECH ETF	41,604 00	10,773 51
56	SPLUNK INC	3,488 24	3,112 22
36	STANLEY BLACK & DECKER INC	4,783 32	4,430 09
600	STARBUCKS CORP	35,034 00	17,084 55
108	SUNCOR ENERGY INC	3,321 00	3,597 30
91	SUPERIOR ENERGY SVCS INC	1,297 66	1,691 12
87	SUPERNUS PHARMACEUTICALS INC	2,723 10	1,474 23
1014 63	TCW SELECT EQUITIES FUND	27,080 47	25,000 00
69	TERADATA CORP	2,147 28	2,242 20
74	TEXAS INSTRS INC	5,961 44	2,701 07
114	TEXAS INSTRS INC	9,183 84	3,670 30
350	THERMO FISHER SCIENTIFIC CORP	53,760 00	17,091 26
2891 803	THORNBURG INTL VALUE FUND	73,104 78	70,000 61
300	3M CO	57,399 00	24,721 57
42	3M CO	8,035 86	7,392 57
84	TIME WARNER INC	8,207 64	7,133 35
209	TJX COS INC	16,527 72	4,720 42
45	TJX COS INC	3,558 60	3,173 99
72	TOTAL SYS SVCS INC	3,849 12	1,676 40
98	TRIMBLE NAVIGATION LTD	3,136 98	2,802 55
2139 69	UNDISCOVERED MANAGERS FDS BEHAVIORALVALUE FUND	141,026 97	120,000 00
1998	UNION PAC CORP	211,628 16	85,109 07
33	UNITEDHEALTH GROUP INC	5,412 33	3,740 39

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2017

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
64	UNITED PARCEL SVC INC	6,867 20	5,519 54
99	UNITED RENTALS INC	12,379 95	4,848 27
42	UNITED RENTALS INC	5,252 10	3,200 44
1000	UNITED TECHNOLOGIES CORP	112,210 00	39,642 59
126	US BANCORP	6,489 00	3,594 78
72	VALERO ENERGY CORP	4,772 88	5,176 45
40	VEEVA SYS INC	2,051 20	1,059 74
300	VERIZON COMMUNICATIONS INC	14,625 00	8,450 82
157	VERIZON COMMUNICATIONS INC	7,653 75	4,804 93
700	VISA INC	62,209 00	25,360 45
38	WABTEC CORP	2,964 00	1,002 82
23	WAGeworks INC	1,662 90	1,774 15
95	WAL-MART STORES INC	6,847 60	7,063 23
86	WASTE MGMT INC	6,271 12	3,450 44
64	WEC ENERGY GROUP INC	3,880 32	2,542 72
150	WELLS FARGO & CO	8,349 00	4,585 58
65	WILLIAMS SONOMA INC	3,485 30	3,270 50
42	WYNDHAM WORLDWIDE CORP	3,540 18	1,262 94
49	XYLEM INC	2,460 78	2,100 14
		<u>6,521,326 08</u>	<u>4,682,619 56</u>