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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning **APR 1, 2016**, and ending **MAR 31, 2017**

Name of foundation
J. E. AND MILDRED WAGGONER FAMILY FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)
2341 ROBINWOOD ROAD

City or town, state or province, country, and ZIP or foreign postal code
GASTONIA, NC 28054

G Check all that apply:
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 1,097,224. (Part I, column (d) must be on cash basis.)

J Accounting method: Cash Accrual
 Other (specify) _____

A Employer identification number
56-1847923

B Telephone number
704-864-7633

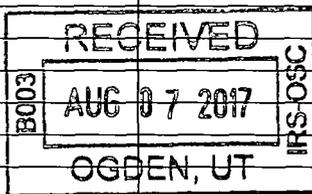
C If exemption application is pending, check here

D 1 Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	23,497.	23,497.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,986.			
	b Gross sales price for all assets on line 6a	177,402.			
	7 Capital gain net income (from Part IV, line 2)		2,986.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		26,483.	26,483.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc		0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	STMT 2	284.	0.	0.
	b Accounting fees	STMT 3	1,675.	0.	0.
	c Other professional fees	STMT 4	10,799.	10,799.	0.
	17 Interest				
	18 Taxes	STMT 5	403.	403.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses Add lines 13 through 23		13,161.	11,202.	0.
	25 Contributions, gifts, grants paid		54,000.		54,000.
26 Total expenses and disbursements. Add lines 24 and 25		67,161.	11,202.	54,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<40,678.>			
b Net investment income (if negative, enter -0-)			15,281.		
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	154.	131.	131.
	2 Savings and temporary cash investments	15,249.	11,867.	11,867.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	177,852.	175,745.	210,684.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7	887,671.	852,505.	874,542.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,080,926.	1,040,248.	1,097,224.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,080,926.	1,040,248.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	1,080,926.	1,040,248.		
31 Total liabilities and net assets/fund balances	1,080,926.	1,040,248.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,080,926.
2 Enter amount from Part I, line 27a	2	<40,678.>
3 Other increases not included in line 2 (itemize) ▶ _____	3	0.
4 Add lines 1, 2, and 3	4	1,040,248.
5 Decreases not included in line 2 (itemize) ▶ _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,040,248.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE		P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 177,402.		174,416.	2,986.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			2,986.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 2,986.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ }		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	59,172.	1,110,694.	.053275
2014	60,214.	1,190,984.	.050558
2013	60,000.	1,184,653.	.050648
2012	58,475.	1,154,089.	.050668
2011	58,800.	1,170,280.	.050244
2 Total of line 1, column (d)			2 .255393
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .051079
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,086,391.
5 Multiply line 4 by line 3			5 55,492.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 153.
7 Add lines 5 and 6			7 55,645.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 54,000.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	306.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	306.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	306.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	337.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	337.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	31.
11	Enter the amount of line 10 to be credited to 2017 estimated tax <input type="checkbox"/> 31. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		X
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ RUTH WAGGONER Telephone no. ▶ 704-864-7633 Located at ▶ 2341 ROBINWOOD ROAD, GASTONIA, NC ZIP+4 ▶ 28054		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	0.
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ **0.**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,102,820.
b	Average of monthly cash balances	1b	115.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,102,935.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,102,935.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,544.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,086,391.
6	Minimum investment return. Enter 5% of line 5	6	54,320.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	54,320.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	306.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	306.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	54,014.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	54,014.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	54,014.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	54,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	54,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	54,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**J. E. AND MILDRED WAGGONER
FAMILY FOUNDATION, INC.**

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				54,014.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	1,772.			
b From 2012	1,628.			
c From 2013	2,108.			
d From 2014	1,977.			
e From 2015	4,363.			
f Total of lines 3a through e	11,848.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 54,000.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				54,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	14.			14.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,834.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	1,758.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	10,076.			
10 Analysis of line 9:				
a Excess from 2012	1,628.			
b Excess from 2013	2,108.			
c Excess from 2014	1,977.			
d Excess from 2015	4,363.			
e Excess from 2016				

**J.E. AND MILDRED WAGGONER
FAMILY FOUNDATION, INC.**

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- NONE**
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- NONE**

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

J.E. AND MILDRED WAGGONER

Form 990-PF (2016)

FAMILY FOUNDATION, INC.

56-1847923

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JUVENILE DIABETES RESEARCH FOUNDATION NEW YORK, NY	NONE	PC	RECIPIENT'S GENERAL USE	16,700.
GASTON COUNTY FELLOWSHIP OF CHRISTIAN ATHLETES GASTONIA, NC	NONE	PC	RECIPIENT'S GENERAL USE	1,000.
MYERS MEMORIAL METHODIST CHURCH GASTONIA, NC	NONE	PC	RECIPIENT'S GENERAL USE	10,000.
CENTRAL ELEMENTARY SCHOOL STATESVILLE, NC	NONE	PC	RECIPIENT'S GENERAL USE	9,800.
GASTON CHRISTIAN SCHOOL GASTONIA, NC	NONE	PC	RECIPIENT'S GENERAL USE	3,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				54,000.
b Approved for future payment				
NONE				
Total ▶ 3b				

0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BB&T TRUST DIVISION	23,497.	0.	23,497.	23,497.	
TO PART I, LINE 4	23,497.	0.	23,497.	23,497.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	284.	0.		0.
TO FM 990-PF, PG 1, LN 16A	284.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,675.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,675.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	10,799.	10,799.		0.
TO FORM 990-PF, PG 1, LN 16C	10,799.	10,799.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	403.	403.		0.
ESTIMATED TAXES FORM 990-PF	0.	0.		0.
2016 FORM 990-PF TAX	0.	0.		0.
TO FORM 990-PF, PG 1, LN 18	403.	403.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK SEE SCHEDULE	175,745.	210,684.
TOTAL TO FORM 990-PF, PART II, LINE 10B	175,745.	210,684.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS SEE SCHEDULE	COST	852,505.	874,542.
TOTAL TO FORM 990-PF, PART II, LINE 13		852,505.	874,542.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MILDRED W. WAGGONER 1005 SPRING VALLEY LANE STATESVILLE, NC 28677	PRESIDENT & DIRECTOR 1.00	0.	0.	0.
JOYCE W FORMAN 205 DUNLAP LOOP STATESVILLE, NC 28625	VICE-PRESIDENT 1.00	0.	0.	0.
DOROTHY W. HAGER 3417 COUNTRY CLUB DRIVE GASTONIA, NC 28056	SECRETARY 1.00	0.	0.	0.
RUTH W. WAGGONER 2341 ROBINWOOD ROAD GASTONIA, NC 28054	TREASURER 1.00	0.	0.	0.
JACQUELINE W. DARKEN 116 MUIR LANE CHAPEL HILL, NC 27514	VICE-PRESIDENT 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS

4/1/16 - 3/31/17

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
CALIFORNIA RESOURCES CORP	04/13/16	0 38	0 45	(0 07)
CAIXBANK-UNSPON ADR	06/13/16	0 07	0 11	(0 04)
CALIFORNIA RESOURCES CORP	06/21/16	1 45	1 31	0 14
GOLDMAN SACHS GROWTH OPP FUND	06/21/16	5,946 89	6,669 69	(722 80)
STERLING CAP MID VAL FUND	06/21/16	51,716 75	61,050 16	(9,333 41)
PIONEER AMT FREE MUNI BOND FUND	06/21/16	325 33	313 44	11 89
FORWARD SALIENT INTERNTL SM CAP	06/21/16	11,944 53	10,076 94	1,867 59
VIRTUS QUALITY SMALL CAP FUND	06/21/16	3,315 88	3,307.74	8 14
FEDERATED MDT SMALL CAP GROWTH	06/21/16	3,470 29	4,257 88	(787 59)
STERLING CAPITAL CORP FUND	06/21/16	294 88	304 97	(10 09)
VANGUARD DEVELOPED MARKETS INDEX	06/21/16	2,833 19	2,957.54	(124 35)
AIA GROUP LTD	06/21/16	46 20	43.73	2 47
AIR LIQUIDE COMMON	06/21/16	317 39	339.34	(21 95)
AKZO N V SPONS ADR COMMON	06/21/16	337 11	274 27	62 84
ALLIANZ COMMON	06/21/16	46 14	26 37	19 77
ARYZTA AG-UNSPONS ADR	06/21/16	79 35	104 56	(25 21)
ATLAS COPCO AB SPONS	06/21/16	26 49	25 04	1.45
AVIVA PLC	06/21/16	307 91	368 42	(60 51)
PARIBAS	06/21/16	26 04	28 70	(2 66)
BALFOUR BEATTY PLC	06/21/16	50 73	65 00	(14 27)
BANCO BILBAO VIZCAY	06/21/16	12 59	16 48	(3 89)
BARCLAYS PLC COMMON	06/21/16	52 89	81 12	(28 23)
BAYERISCHE MOTOREN WERKE	06/21/16	27 35	30.20	(2.85)
BRITISH AMERICAN TOP COMMON	06/21/16	373.70	336 72	36 98
CNOOC LTC - ADR	06/21/16	246 49	403 26	(156 77)
CSL LTC-SPONS ADR	06/21/16	242 09	119 58	122 51
CAIXBANK-UNSPON ADR	06/21/16	116 24	211 95	(95.71)
CARNIVAL PLC	06/21/16	202 11	158 03	44.08
CLARIANT AG-UNSPON	06/21/16	211 01	209.64	1 37
DASSAULT SYSTEMS	06/21/16	77 79	56 95	20 84
EAST JAPAN RAILWAY CO-ADR	06/21/16	282 61	247 01	35 60
ENGIE-SPON ARDR	06/21/16	212.29	289 18	(76.89)
FUCHS PETROLU SE-PREF ARD	06/21/16	20 23	19 44	0 79
VIVAUDAN SA UNSPON ADR	06/21/16	235 07	194 74	40 33
GLAZOSMITHKLINE PLC SPONS ADR	06/21/16	542 60	544.77	(2 17)
HITACHI STD - ADR	06/21/16	135 77	175 26	(39 49)
IMPERIAL OIL LTD COMMON	06/21/16	885 34	1,043 44	(158 10)
ING GROEP M V SPONS ADR	06/21/16	47 35	49 58	(2 23)
UNIBANCO BANCO MULTIPLO SA	06/21/16	335 00	448 21	(113 21)
JGC CORP	06/21/16	28 13	70 50	(42 37)
JAPAN AIRLINES CO	06/21/16	81 57	92 30	(10 73)
GROEP NV UNSP ADR	06/21/16	57 47	60 42	(2 95)
KDDI CORP UNSPON ADR	06/21/16	399 99	149 04	250 95
KONE OYJ-B UNSPONS ADR	06/21/16	295 09	296 21	(1 12)

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC
SCHEDULE OF CAPITAL GAINS

4/1/16 - 3/31/17

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
L'OREAL UNSPONS ADR	06/21/16	75 58	44 12	31 46
LLOYDS BANKING GROUP PLC	06/21/16	225 17	241 54	(16 37)
MITSUBISHI ESTATE COMPNAY LTD	06/21/16	18 46	28 28	(9.82)
NMTN GROUP LTD ADR	06/21/16	19 82	35 37	(15.55)
NESTLE SA ADR	06/21/16	74 95	71.64	3 31
NIKON CORP PLC UNSPONS	06/21/16	95 78	82 91	12.87
OCCIDENTAL PETROLEUM CO	06/21/16	1,076 85	1,097 81	(20 96)
POSTNL NV ADR	06/21/16	64 63	64 35	0.28
RDDE EKSEVUER BN SOIBS ADR	06/21/16	525 43	378 92	146.51
RYANAIR HOLDINGS PLC	06/21/16	322 75	304 36	18 39
SK TELECOM CO LTD ADR	06/21/16	220 98	235 44	(14 46)
SSE PLC SPON ADR	06/21/16	320 59	332 78	(12.19)
SANOFI SYNTHELABO SA-ADR	06/21/16	158 63	171 37	(12.74)
SAP SE ADR	06/21/16	238 16	211 19	26.97
SUMITOMO MITSUI SPONS ADR	06/21/16	61 09	81 90	(20 81)
SVENSKA HANDELSG-A	06/21/16	462 85	576 84	(113 99)
SYMRISE AG ADR	06/21/16	16 05	13 80	2.25
SYMSMEX CORP	06/21/16	69.36	37 26	32.10
SEMICONDUCTOR MUNUFACTURING CO	06/21/16	79 52	45.08	34.44
UNICHARM CORP	06/21/16	652 84	576.42	76 42
VOLKSWAGAN AG	06/21/16	89 39	112 92	(23 53)
ZURICH INSURANCE GROUP AG	06/21/16	72 73	74.10	(1.37)
SUNGE LIMITED COMMON	06/21/16	61 54	75.29	(13 75)
UBS GROUP AG COMMON	06/21/16	739 90	830 64	(90 74)
WESTERN DIGITAL CORP	06/21/16	874.06	898 65	(24.59)
LOWE'S COMPANIES INC COMMON	06/21/16	468 83	365 90	102 93
CHINA MOBILE BK LTD SP ADR	06/21/16	167 93	178.41	(10.48)
FRESENIUS USA INC ADR	06/21/16	42 09	36 65	5 44
HSBC HOLDINGS PLC HLDGS PLC	06/21/16	1,170 69	1,435 04	(264 35)
ROCHE HOLDING LTD ADR	06/21/16	32 07	36 26	(4.19)
S.A. SPONSORED	06/21/16	291 95	355 64	(63 69)
VODAFONE GROUP PLC-SP ADR	06/21/16	159 54	170 47	(10 93)
WPP PLC	06/21/16	114 68	70 53	44 15
ARM HOLDINGS PLC SPONS ADR	09/22/16	4,195 92	2,575 55	1,620 37
ADVANSIX INC	10/17/16	11 38	10 51	0 87
SABMILLER PLC	10/19/16	1,260 19	1,327 32	(67 13)
UNIBANCO BANCO MULTIPLO SA	11/25/16	8 49	8 25	0 24
FANUC TLD ADR	11/8/16	11.69	10 71	0 98
BLACKROCK STRATEGIC INC OPP PORT	12/22/16	85 89	89 83	(3.94)
GUGGENHEIM FLOAT RATE STRA-I	12/22/16	554 38	571 60	(17 22)
LAZARD EMERGING MKTS PORTFOLIO	12/22/16	1,043 54	1,270 63	(227 09)
OPPENHEIMER DEVELOPING MARKETS	12/22/16	347 40	386 89	(39 49)
VIRTUS QUALITY SMALL CAP FUND	12/22/16	392 17	377 54	14 63
FEDERATED MDT SMALL CAP GROWTH	12/22/16	2,254.41	2,097 32	157.09

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC.				
SCHEDULE OF CAPITAL GAINS				
4/1/16 - 3/31/17				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
TOUCHSTONE MID CAP VALUE FUND	12/22/16	1,487.82	1,408.09	79.73
VANGUARD 500 INDEX FUND	12/22/16	8,369.98	7,621.81	748.17
VANGUARD DEVELOPED MARKETS INDEX	12/22/16	92.41	95.89	(3.48)
IAI GROUP LTD	12/22/16	22.58	21.86	0.72
AKZO N V SPONS ADR COMMON	12/22/16	20.59	22.20	(1.61)
ARYZTA AG-UNSPONS ADR	12/22/16	21.41	26.14	(4.73)
BALFOUR BEATTY PLC	12/22/16	6.51	9.28	(2.77)
DANONE SPONS ADR	12/22/16	61.47	71.44	(9.97)
DIAGEO PLC SONS ADR	12/22/16	310.08	318.71	(8.63)
HITACHI LTD ADR	12/22/16	54.37	69.47	(15.10)
ITAU UNIBANCO BANCO MULTIPLE SA	12/22/16	9.47	10.32	(0.85)
LUMH MOET HENNESSY UNSPON ADR	12/22/16	37.41	33.49	3.92
NIKON CORP PLC UNSPONS ADR	12/22/16	15.15	11.85	3.30
TELECOM CO LTD-ADR	12/22/16	64.23	65.03	(0.80)
SCHNEIDER ELECTRIC SA UNSPON ADR	12/22/16	54.23	61.93	(7.70)
TOTAL S A SPONSORED	12/22/16	150.45	165.72	(15.27)
ABBVIE INC COMMON	12/22/16	61.69	60.69	1.00
AIR LIQUIDE COMMON	12/22/16	21.88	22.97	(1.09)
ALLIANZ COMMON	12/22/16	212.66	114.27	98.39
ANHEUSER-BUSCH INBEV NV SPN ADR	12/22/16	928.28	774.99	153.29
PARIBAS	12/22/16	416.36	373.14	43.22
BARCLAYS PLC COMMON	12/22/16	456.11	646.67	(190.56)
CISCO SYSTEMS INC	12/22/16	121.60	109.18	12.42
CLARIANT AG-UNSPON	12/22/16	367.28	371.94	(4.66)
COCA-COLA CO COMMON	12/22/16	41.37	45.15	(3.78)
COLOPLAST AS - UNSPONS ADR	12/22/16	450.15	505.81	(55.66)
DISCOVER FINANCIAL SERVICES COMMON	12/22/16	506.13	396.16	109.97
DUNKIN' BRANDS GROUP INC COMMON	12/22/16	1,024.93	859.43	165.50
FANUC TLD ADR	12/22/16	289.33	264.26	25.07
GENERAL MOTORS CO COMMON	12/22/16	360.14	316.70	43.44
GLAXOSMITHLINE PLC	12/22/16	38.20	41.90	(3.70)
GREENHILL & CO INC	12/22/16	318.46	302.30	16.16
HONEYWILL INTERNATIONAL INC	12/22/16	117.15	104.29	12.86
HOST HOTELS & RESORTS INC	12/22/16	683.08	744.78	(61.70)
ING GROEP N V SPONS ADR	12/22/16	57.19	49.58	7.61
KBC GROEP NV UNSP ADR	12/22/16	372.95	322.12	50.83
KDDI CORPORATION UNSPON ARD	12/22/16	114.78	99.63	15.15
KONE OYJ-B-UNSPONSORED ADR	12/22/16	484.43	468.18	16.25
LINDE AG SON ADR	12/22/16	460.30	528.05	(67.75)
MARKS & SPENCER GROUP P L C	12/22/16	259.64	320.70	(61.06)
MAXIM INTEGRATED PRODUCT COMMON	12/22/16	39.15	32.89	6.26
MERCK & CO INC	12/22/16	59.49	53.62	5.87
METLIFE INC	12/22/16	1,729.88	1,628.75	101.13
MICROSOFT CORP	12/22/16	63.76	54.28	9.48

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/16 - 3/31/17.				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
MTN GROUP LTD ADR	12/22/16	393 29	868 73	(475 44)
ORACLE CORP COMMON	12/22/16	78 19	81 91	(3.72)
POSTNL NV ADR	12/22/16	349 42	355 96	(6.54)
PULTE GROUP INC	12/22/16	75 38	75.96	(0.58)
QUALCOMM INC COMMON	12/22/16	535 91	491 79	44 12
REED ELSEVIER NV-SPONS ADR	12/22/16	829 73	782 64	47 09
SEI INVESTMENTS COMPANY COMMON	12/22/16	49 22	54 41	(5.19)
SSE PLC-SPN ADR	12/22/16	507.59	635 04	(127 45)
SCOTTS CO CL A	12/22/16	954 81	597 71	357 10
SPECTRA ENERGY CORP COMMON	12/22/16	621 37	450 93	170 44
SUMITOMO MITSUE SPONS ADR	12/22/16	452 68	473 15	(20 47)
SYSMEX CORP	12/22/16	388 41	209 16	179.25
RAIWAN SEMICONDUCTOR MFG CO LTD	12/22/16	661 11	410 18	250 93
TIME WARNER INC COMMON	12/22/16	288.54	216 06	72 48
UNITED PARCEL SERVICES B	12/22/16	116 53	103 58	12 95
VISA INC CLASS A COMMON	12/22/16	77 33	79 14	(1.81)
WPP PLC	12/22/16	110 64	70 53	40 11
WELLS FARGO & CO COMMON	12/22/16	221 96	221 85	0.11
ZURICH INSURANCE GROUP AG	12/22/16	381 90	378 08	3 82
INVESCO LTD COMMON	12/22/16	92 87	90 98	1 89
CAIXBANK-UNSPON ADR	1/25/17	0 89	1 12	(0.23)
VAREX IMAGING CORP	1/30/17	11 95	9 80	2.15
BLACKROCK STRATEGIC INC OPP PORT	3/9/17	598 02	617 56	(19 54)
GOLDMAN SACHS GROWTH OPP FUND	3/9/17	2,940 81	3,543 74	(602 93)
GUGGENHEIM FLOAT RATE STRA-I	3/9/17	298 13	306.68	(8 55)
HARTFORD WORLD BOND-Y	3/9/17	517 03	519 80	(2 77)
LAZARD EMERGING MKTS PORTFOLIO	3/9/17	1,707 46	1,913 00	(205 54)
MORGAN STANLEY INSTITUTIONAL FUND	3/9/17	3,178 50	2,970 69	207 81
METROPOLITAN WEST TOTAL RETURN	3/9/17	286 74	287.01	(0 27)
OPPENHEIMER DEVELOPING MARKETS	3/9/17	1,739 36	1,786 48	(47 12)
PIONEER AMT FREE MUNI BOND FUND	3/9/17	225 10	231 49	(6 39)
FORWARD SALIENT INTERNTL SM CAP	3/9/17	159 07	127 70	31 37
VIRTUS QUALITY SMALL CAP FUND	3/9/17	62.08	59 38	2 70
FEDERATED MDT SMALL CAP GROWTH	3/9/17	322 21	280 80	41.41
STERLING CAPITAL CORP FUND	3/9/17	468.39	492 61	(24 22)
TOUCHSTONE MID CAP VALUE FUND	3/9/17	1,141 95	1,044.40	97.55
VANGUARD 500 INDEX FUND	3/9/17	5,619 88	4,870 87	749.01
VANGUARD DEVELOPED MARKETS INDEX	3/9/17	1,529 61	1,508 47	21.14
AIA GRIUP LTD	3/9/17	153 08	96 57	56 51
AIR LIQUIDE COMMON	3/9/17	86 11	91 87	(5.76)
AKZO N V SPONS ADR COMMON	3/9/17	259.19	213 50	45 69
ALIBABA GROUP HOLDING-SP ADR	3/9/17	308 90	249 29	59 61
ALLIANZ COMMON	3/9/17	107 69	52 74	54 95
ATLAS COPCO AB SPONS	3/9/17	266 99	200 32	66 67

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS

4/1/16 - 3/31/17

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
AUTODESK INC COMMON	3/9/17	166 73	127 69	39 04
AVIVA PLC	3/9/17	145 29	147.41	(2 12)
PARIBAS	3/9/17	64 37	48 40	15 97
BALFOUR BEATTY PLC	3/9/17	20.19	27 86	(7.67)
BARCLAYS PLC COMMON	3/9/17	22 48	20 08	2.40
BAYERISCHE MOTOREN WERKE	3/9/17	89 10	90 60	(1 50)
BRITISH AMERICAN TOB COMMON	3/9/17	249.87	223 34	26.53
CSL LTC-SPONS ADR	3/9/17	92 42	39.86	52.56
CAIXBANK-UNSPON ADR	3/9/17	314 39	362 70	(48.31)
CANADIAN NATL RY CO COMMON	3/9/17	143.49	95.61	47 88
CISCO SYSTEMS INC	3/9/17	204.29	163 77	40.52
THE COCA-COLA CO COMMON	3/9/17	126 14	135.45	(9 31)
COMPAGNIE RINANCIERE RICHEMONTY SA	3/9/17	36 97	38.55	(1.58)
DASSULT SYSTEMS	3/9/17	243 75	170 86	72 89
EAST JAPAN RAILWAY CO ADR	3/9/17	129.15	130 23	(1 08)
ENGIE-SPON ARDR	3/9/17	40 43	46.25	(5.82)
FACEBOOK INC-A COMMON	3/9/17	414 29	312.02	102.27
FANUC LTD ADR	3/9/17	516 62	402 89	113 73
FUCHS PETROLU SE-PREF ADR	3/9/17	45 53	37 30	8.23
GRIFOLS S.A	3/9/17	35.09	34 87	0 22
HITACHI LTD ADR	3/9/17	220 85	277 90	(57 05)
GROEP NV UNSP ADR	3/9/17	45 30	37.19	8.11
ITAU UNIBANCO BANCO MULTIPLE SA	3/9/17	72 80	61 89	10.91
JGC CORPORATION	3/9/17	74 67	141 00	(66 33)
KDDI CORPORATION UNSPON ADR	3/9/17	51.43	44.28	7 15
L'OREAL UNSPONS ADR	3/9/17	111 55	66 18	45 37
LINDE AG SON ADR	3/9/17	240 51	238 73	1 78
LLOYDS BANKING GROUP PLC	3/9/17	67 39	80 51	(13 12)
MANULIFE FINL CORP COMMON	3/9/17	90 16	90 68	(0 52)
MONOTARO CO LTD UNSP ADR	3/9/17	57.88	28 18	29.70
NASPERS LIMITED	3/9/17	95 48	75.55	19.93
NESTLE SA ADR	3/9/17	148 12	114.72	33 40
NIKON CORP PLC UNSPON ADR	3/9/17	235 11	189 52	45 59
ORACLE CORP COMMON	3/9/17	169 59	157 10	12.49
PARK24 CO LTD-SPN ADR	3/9/17	51 00	58 88	(7 88)
REED ELSEVIER NV-SPONS ADR	3/9/17	302 25	264 95	37 30
ROCHE HOLDING LTD ADR	3/9/17	126.77	138 88	(12.11)
SEI INVESTMENTS COMPANY COMMON	3/9/17	154.04	163 22	(9 18)
SANOFI SYNTHELABO SA-ADR	3/9/17	173.67	175.56	(1 89)
SAP SE ADR	3/9/17	189.03	134 88	54 15
SASOL LTD SPONSORED ADR	3/9/17	54 13	83.78	(29 65)
SCHNEIDER ELECTRIC SA UNSPON ADR	3/9/17	27 13	30 70	(3 57)
SONOVA HOLDING AG	3/9/17	52 38	45.58	6 80
SUMITOMO MITSUI SPONS ADR	3/9/17	226 34	228.58	(2 24)



BRANCH BANKING AND TRUST CO
 PO BOX 2887
 WILSON, NC 27894-2887

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



SCOTT HAYNES, CPA MOOSE, MARTIN,
 HAYNES AND LUNDY, PA
 PO BOX 1308
 STATESVILLE, NC 28687

ACCOUNT NAME. **BRANCH BANKING AND TRUST COMPANY**
MANAGING AGENT FOR: J.E. &
MILDRED WAGGONER FAMILY FDN

RELATIONSHIP **JOHN EHMIG**
 MANAGER **336-291-1102**
JEHMIG@BBANDT.COM

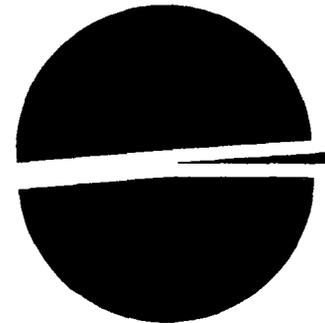
INVESTMENT **CHRIS FURNER**
 OFFICER **864-527-0691**
CFURNER@BBANDT.COM

FOR YOUR INFORMATION

THIS IS YOUR REPORT OF INVESTMENTS AND TRANSACTIONS FOR THE PERIOD. THE FOLLOWING ARE INCLUDED IN THE REPORT: ACCOUNT SUMMARY, ACTIVITY SUMMARY, AND ACCOUNT ACTIVITY. PLEASE CALL YOUR ADMINISTRATOR WITH QUESTIONS.

INVESTMENT PORTFOLIO SUMMARY

MARKET VALUE AS OF	04/01/2016	03/31/2017	% OF ACCOUNT
 CASH AND CASH EQUIVALENTS	15,248.87	11,867.42	1.1%
 EQUITIES	530,804.68	550,763.01	50.2%
 FIXED INCOME	521,746.07	534,463.00	48.7%
Total	1,067,799.62	1,097,093.43	100.0%



ACCOUNT ACTIVITY SUMMARY

	THIS PERIOD	YEAR TO DATE	REALIZED CAPITAL GAINS / LOSSES	
			THIS PERIOD	YEAR TO DATE
BEGINNING MARKET VALUE	1,067,799.62	1,067,799.62		
INCOME	30,916.53	30,916.53		
DISBURSEMENTS	56,464.10-	56,464.10-	LONG TERM	7,178.09
CASH RECEIPTS	42.63	42.63	SHORT TERM	4,192.46-
FEES	10,799.43-	10,799.43-	TOTAL GAINS / LOSSES	2,985.63
CHANGE IN MARKET VALUE	65,598.18	65,598.18		2,985.63
ENDING MARKET VALUE	1,097,093.43	1,097,093.43		

ACCOUNT STATEMENT

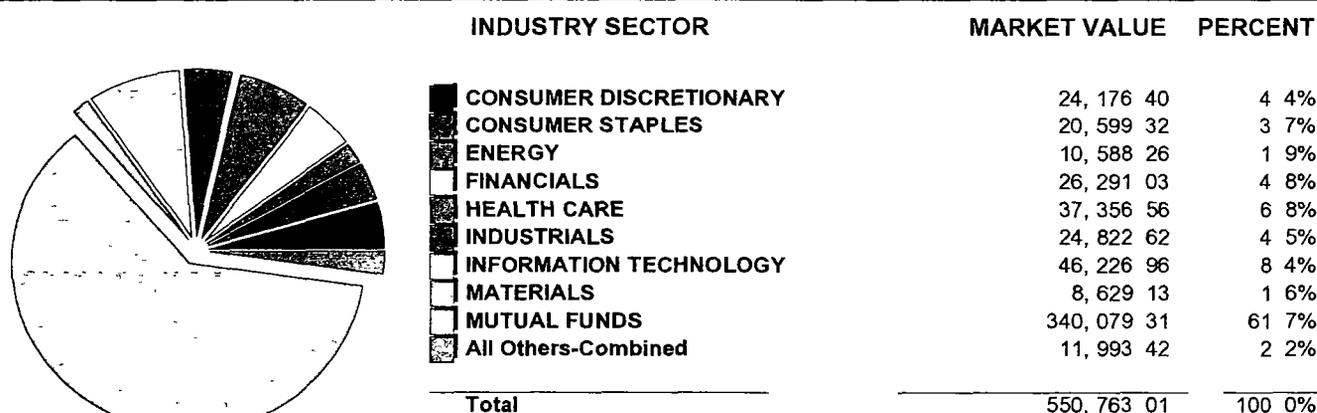
APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
CASH AND CASH EQUIVALENTS				
SA0000560 BB&T TRUST DEPOSIT RIS	10,853.26		39.07	0.36
	10,853.26	1.00	3.26	
PRINCIPAL CASH	0.00			
* TOTAL CASH AND CASH EQUIVALENTS	10,853.26		39.07	0.36
	10,853.26		3.26	

EQUITY DIVERSIFICATION SUMMARY



DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
EQUITIES						
CONSUMER DISCRETIONARY						
023135106 AMAZON COM INC COMMON	AMZN	5.000	4,432.70 3,076.34	886.54 615.27		
072743305 BAYERISCHE MOTOREN-SPON ADR	BMWYY	29.000	879.74 875.80	30.34 30.20	24.39	2.77
14365C103 CARNIVAL PLC	CUK	18.000	1,042.02 641.70	57.89 35.65	25.20	2.42
204319107 COMPAGNIE FINANCIERE RICHEMONT SA	CFRUY	158.000	1,250.25 1,132.97	7.91 7.17	15.80	1.26

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
CONSUMER DISCRETIONARY						
37045V100 GENERAL MOTORS CO COMMON	GM	58 000	2,050 88 1,796 39	35 36 30 97	88 16	4 30
375916103 GILDAN ACTIVEWEAR INC	GIL	15.000	405 60 384 50	27 04 25 63	5 61 0.28	1.38
40049J206 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF (FREE OF MEXICAN TAX)	TV	17 000	440 98 429.43	25 94 25 26	1 43	0 32
502441306 LVMH MOET HENNESSY UNSPON ADR	LVMUY	17 000	748 58 561 47	44 03 33 03	10 66	1 42
580135101 MCDONALDS CORP COMMON	MCD	9 000	1,166 49 833 07	129 61 92 56	33 84	2 90
631512100 NASPERS LIMITED	NPSNY	76.000	1,312 14 1,012 47	17 27 13 32	2 13	0 16
654111202 NIKON CORP PLC UNSPON ADR	NINOY	15 000	217 26 177 67	14 48 11 84	2 16	0 99
681919106 OMNICOM GROUP COMMON	OMC	23 000	1,982 83 1,438 61	86 21 62 55	50 60 13 75	2 55
745867101 PULTE GROUP INC COMMON	PHM	67 000	1,577 85 1,222 53	23 55 18 25	24 12 6 75	1 53
887317303 TIME WARNER INC COMMON	TWX	16 000	1,563 36 1,136 65	97 71 71 04	25.76	1 65
928662303 VOLKSWAGAN AG	VLKAY	66.000	1,971 62 2,279 20	29 87 34 53	21.65	1 10
92937A102 WPP PLC	WPPGY	13 000	1,422 20 916 88	109 40 70 53	45 15	3 17
988498101 YUM! BRANDS INC COMMON	YUM	17 000	1,086 30 926 55	63 90 54 50	20 40	1 88
98850P109 YUM CHINA HOLDINGS INC -W/I COMMON	YUMC	23 000	625 60 541 84	27 20 23 56		
** TOTAL CONSUMER DISCRETIONARY			SUB-TOTAL 24,176 40 19,384.07		397 06 20.78	1.64

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
CONSUMER STAPLES						
04338X102 ARYZTA AG-UNSPON ADR	ARZTY	19 000	305 14 475 86	16 06 25 05	4 83	1 58
110448107 BRITISH AMERICAN TOB COMMON	BTI	22 000	1,459 04 1,184 47	66 32 53 84	46 82	3 21
126650100 CVS COMMON	CVS	15 000	1,177 50 1,195 68	78 50 79 71	30 00	2 55
191216100 THE COCA-COLA CO COMMON	KO	43 000	1,824 92 1,798 02	42 44 41 81	63 64 17 02	3 49
23636T100 DANONE SPONS ADR	DANOY	138 000	1,882 18 1,820 79	13 64 13 19	32 29	1 72
25243Q205 DIAGEO PLC SPONSORED ADR	DEO	6 000	693 48 633 27	115 58 105 55	18 17	2 62
502117203 L'OREAL UNSPONSORED ADR	LRLCY	36 000	1,387 30 794 16	38 54 22 06	19 37	1 40
61174X109 MONSTER BEVERAGE CORP COMMON	MNST	48 000	2,216 16 2,323 45	46 17 48 41		
641069406 NESTLE SA ADR	NSRGY	25 000	1,919 43 1,434 00	76 78 57 36	48 33	2 52
713448108 PEPSICO INC COMMON	PEP	18 000	2,013 48 1,289 21	111 86 71 62	54 18	2 69
742718109 PROCTER & GAMBLE CO/THE COMMON	PG	21.000	1,886 85 1,558 02	89 85 74 19	56 24	2 98
904767704 UNILEVER PLC-SPONSORED ADR	UL	52.000	2,565 68 1,785 12	49 34 34 33	72 59	2.83
G16962105 BUNGE LIMITED COMMON	BG	16.000	1,268 16 1,092 29	79 26 68 27	26 88	2 12
** TOTAL CONSUMER STAPLES			SUB-TOTAL 20,599.32 17,384.34		473.34 17.02	2.30
ENERGY						
126132109 CNOOC LTD - ADR	CEO	8 000	958 40 1,069 38	119 80 133 67	32 14	3 35

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
ENERGY						
292505104 ENCANA CORP COMMON	ECA	59 000	690 89 705 09	11 71 11.95	3 54	0 51
29250N105 ENBRIDGE INC	ENB	50 000	2,092 00 1,451 00	41 84 29 02	87 40	4 18
780259107 ROYAL DUTCH SHELL PLC ADR CL B	RDS B	59 000	3,293 97 2,956 40	55 83 50 11	221 84	6 73
806857108 SCHLUMBERGER LTD COMMON	SLB	34 000	2,655 40 1,552 97	78 10 45 68	68 00 17 00	2 56
88031M109 TENARIS S.A	TS	13 000	443 82 373.75	34 14 28 75	11 18	2 52
89151E109 TOTAL S A SPONSORED	TOT	9 000	453.78 409 03	50.42 45.45	20 13 4 89	4 44
** TOTAL ENERGY		SUB-TOTAL	10,588.26 8,517.62		444.23 21.89	4.20
FINANCIALS						
001317205 AIA GROUP LTD	AAGIY	76 000	1,916 72 1,156 98	25 22 15 22	30.25	1 58
018805101 ALLIANZ COMMON	AZSEY	79 000	1,467 27 694 41	18 57 8 79	46 69	3 18
025816109 AMERICAN EXPRESS CO COMMON	AXP	11 000	870.21 851 09	79 11 77 37	14 08	1 62
05382A104 AVIVA PLC	AVVIY	91 000	1,210 76 1,266 89	13 31 13 92	48 23	3 98
05565A202 BNP PARIBAS	BNPQY	24.000	801.26 488.44	33 39 20 35	29 50	3 68
05946K101 BANCO BILBAO VIZCAYA - SP ADR COMMON	BBVA	196 000	1,491 56 1,435 83	7 61 7 33	63.50 22 31	4 26
06738E204 BARCLAYS PLC COMMON	BCS	80 000	899 20 770 04	11.24 9 63	11 92 7.99	1 33
12803K109 CAIXABANK- UNSPON ADR	CAIXY	548 000	786 93 681 63	1 44 1 24	15 89	2 02

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

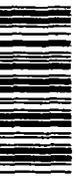
PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
FINANCIALS						
23304Y100 DBS GROUP HLDGS LTD SPONSORED ADR F/K/A DEVELOPMENT BK SINGAPORE LTD	DBSDY	19 000	1,055 18 899 76	55 54 47 36	30 97	2 94
254709108 DISCOVER FINANCIAL SERVICES COMMON	DFS	28 000	1,914 92 1,534 23	68 39 54 79	33 60	1 75
303075105 FACTSET RESEARCH SYSTEMS INC	FDS	7 000	1,154 37 1,145 53	164 91 163 65	14 00	1 21
40052P107 GRUPO FIN BANORTE SPON ADR	GBOOY	15 000	429 03 376 58	28 60 25 11	12 47	2 91
404280406 HSBC HOLDINGS PLC HLDGS PLC SPONSORED ADR NEW	HSBC	15 000	612 30 605 62	40 82 40 37	38 25 15 75	6 25
45104G104 ICICI BANK LIMITED SPONS ADR	IBN	66 000	567 60 493 02	8 60 7 47	9 83	1 73
456837103 ING GROEP N V SPONSORED ADR	ING	24 000	362 16 297 48	15 09 12 40	13 94	3 85
465562106 ITAU UNIBANCO BANCO MULTIPLO SA	ITUB	78 000	941 46 804 61	12 07 10 32	4 45	0 47
539439109 LLOYDS BANKING GROUP PLC	LYG	233 000	792 20 966 07	3 40 4 15	29 82	3 76
56501R106 MANULIFE FINL CORP COMMON	MFC	19 000	337 06 344 56	17 74 18 13	11 67	3 46
74435K204 PRUDENTIAL PLC	PUK	30 000	1,270 80 1,162 26	42 36 38 74	32 37	2 55
784117103 SEI INVESTMENTS COMPANY COMMON	SEIC	33 000	1,664 52 1,659 92	50.44 50 30	18 48	1 11
86562M209 SUMITOMO MITSUI SPONS ADR	SMFG	68 000	493 00 452.80	7 25 6 66	16 18	3 28
900148701 TURKIYE GARANTI BANKASI A S	TKGBY	189 000	459 84 680 62	2 43 3 60	11 72	2 55

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
FINANCIALS						
949746101 WELLS FARGO & CO COMMON	WFC	33 000	1,836 78 1,722 67	55 66 52 20	50 16	2.73
989825104 ZURICH INSURANCE GROUP AG	ZURVY	35 000	935.03 820 04	26 72 23 43	33 01 19 61	3 53
G491BT108 INVESCO LTD COMMON	IVZ	54 000	1,654.02 1,615 90	30 63 29 92	60 48	3.66
H42097107 UBS GROUP AG COMMON	UBS	23.000	366 85 397 75	15.95 17 29	13 80	3 76
** TOTAL FINANCIALS		SUB-TOTAL	26,291.03 23,324.73		695.26 65.66	2.64
HEALTH CARE						
002824100 ABBOTT LABORATORIES COMMON	ABT	49 000	2,176 09 1,740 94	44 41 35 53	51 94	2.39
00287Y109 ABBVIE INC COMMON	ABBV	29 000	1,889 64 1,487 83	65 16 51 30	74 24	3 93
031162100 AMGEN INC COMMON	AMGN	8 000	1,312 56 1,217 35	164 07 152 17	36 80	2 80
036752103 ANTHEM INC COMMON	ANTM	12 000	1,984 56 1,399 20	165 38 116 60	31 20	1 57
04530Y106 ASPEN PHARMACARE HL - UNSP ADR	APNHY	21 000	430 25 404 26	20 49 19 25	2 73	0 63
046353108 ASTRAZENECA PLC	AZN	32 000	996 48 941 92	31.14 29 44	43 84	4.40
072730302 BAYER A G SPONSORED ADR	BAYRY	19.000	2,195 74 1,864 93	115.57 98 15	39 73	1 81
12637N204 CSL LTD -SPONSORED ADR	CSLLY	22 000	1,051 69 438 46	47 80 19 93	13 09	1 24
156782104 CERNER CORP COMMON	CERN	23 000	1,353 55 1,238 .23	58 85 53 84		
358029106 FRESENIUS USA INC ADR	FMS	27 000	1,137 51 997 02	42 13 36 93	8 45	0 74

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

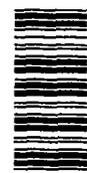
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HEALTH CARE						
37733W105 GLAXOSMITHKLINE PLC SPONSORED ADR	GSK	17 000	716 72 660 71	42 16 38 87	33 75	4 71
398438408 GRIFOLS S A	GRFS	27 000	509 63 418 40	18 88 15 50	7 24	1 42
478160104 JOHNSON & JOHNSON COMMON	JNJ	16 000	1,992 80 1,627 49	124 55 101 72	51 20	2 57
58933Y105 MERCK & CO INC COMMON	MRK	44 000	2,795 76 2,362 39	63 54 53 69	82 72 20 68	2 96
66987V109 NOVARTIS A G ADR	NVS	61 000	4,530 47 4,330 79	74 27 71 00	141 95	3 13
670100205 NOVO-NORDISK A S ADR	NVO	46 000	1,576 88 1,985 66	34 28 43 17	37 26 22 05	2 36
717081103 PFIZER INC COMMON	PFE	53 000	1,813 13 1,322 12	34 21 24 95	67 84	3 74
75886F107 REGENERON PHARMACUETICALS INC COMMON	REGN	3 000	1,162 53 1,109 11	387 51 369 70		
771195104 ROCHE HOLDING LTD ADR	RHHBY	106 000	3,386 17 2,405 74	31 95 22 70	88 83	2 62
80105N105 SANOFI SYNTHELABO SA-ADR	SNY	18 000	814 50 749 52	45 25 41 64	18 97	2 33
82481R106 SHIRE PHARMACEUTICALS GROUP PLC	SHPG	2 000	348 46 335 79	174 23 167 90	1 82 1 54	0 52
83569C102 SONOVA HOLDING AG	SONVY	21 000	582 83 478 59	27 75 22 79	5 23	0 90
87184P109 SYSMEX CORP	SSMX	31 000	938 93 463 14	30 29 14 94	5 98	0 64
92214X106 VAREX IMAGING CORP COMMON	VREX	6 000	201 60 142 27	33 60 23 71		
92220P105 VARIAN MED SYS INC COMMON	VAR	16.000	1,458 08 1,122 78	91.13 70 17		
** TOTAL HEALTH CARE			SUB- TOTAL		844.81 44.27	2.26

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
INDUSTRIALS						
000375204 ABB LTD SPONS ADR	ABB	66 000	1,544 40 1,397 94	23 40 21 18	96 23	6 23
015393101 ALFA LAVAL AB -UNSPON ADR	ALFVY	57 000	1,079 58 931 29	18 94 16 34	20 52	1 90
049255706 ATLAS COPCO AB SPONS	ATLKY	22 000	779 39 550 88	35.43 25 04	11 92	1 53
05530H100 BBA AVIATION PLC-UNSPON ADR	BBAVY	15 000	285.57 256 58	19 04 17 11	8 52	2 98
05845R306 BALFOUR BEATTY PLC	BAFY	37 000	249 27 343 54	6 74 9.28	2 00	0 80
136375102 CANADIAN NATL RY CO COMMON	CNI	17 000	1,256 81 812 68	73 93 47 80	21.32	1 70
13645T100 CANADIAN PACIFIC RAILWAY LTD COMMON	CP	3.000	440 76 443.94	146 92 147 98	4 59 1 15	1 04
244199105 DEERE & COMPANY COMMON	DE	12 000	1,306 32 1,235 70	108 86 102 98	28.80 7 20	2 20
273202101 EAST JAPAN RAILWAY CO ADR	EJPRY	83 000	1,203 42 1,073 98	14 50 12 94	11 62	0 97
302130109 EXPEDITORS INTL WASH INC COMMON	EXPD	32 000	1,807 68 1,512 19	56 49 47 26	25 60	1 42
307305102 FANUC LTD ADR	FANUY	82.000	1,679 28 1,246 55	20 48 15 20	21 48	1 28
438516106 HONEYWELL INTERNATIONAL INC	HON	16 000	1,997 92 1,649 05	124 87 103 07	42.56	2 13
466140100 JGC CORPORATION	JGCCY	17 000	590 41 977 07	34 73 57 47	11 05	1 87
471038109 JAPAN AIRLINES CO -UNP ADR	JAPSY	63 000	996 79 1,099 53	15 82 17 45	27.15	2.72
48238T109 KAR AUCTION SERVICES INC COMMON	KAR	26 000	1,135 42 1,168 13	43 67 44 93	33 28 8 32	2 93

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
INDUSTRIALS						
500458401 KOMATSU LTD	KMTUY	25 000	650 98 485 06	26 04 19 40	10 38	1 59
501173207 KUBOTA CORPORATION	KUBTY	7 000	524 86 488 54	74 98 69 79	8 23 4 21	1 57
61022V107 MONOTARO CO LTD UNSP ADR	MONOY	20 000	617 42 275 55	30 87 13 78	2 14 1 79	0 35
701491102 PARK24 CO LTD-SPN ADR	PKCOY	21 000	549 17 567 04	26 15 27 00	9 58	1 74
770323103 ROBERT HALF INTL INC COMMON	RHI	30 000	1,464 90 1,193 89	48 83 39 80	28 80	1 97
80687P106 SCHNEIDER ELECTRIC SA UNSPON ADR	SBGSY	91 000	1,335 97 1,161 99	14 68 12 77	36 86	2 76
911312106 UNITED PARCEL SERVICES B	UPS	31 000	3,326 30 2,931 45	107 30 94 56	102 92	3 09
** TOTAL INDUSTRIALS		SUB-TOTAL	24,822.62 21,802.57		565.55 22.67	2.28
INFORMATION TECHNOLOGY						
01609W102 ALIBABA GROUP HOLDING -SP ADR	BABA	28 000	3,019 24 2,015 41	107 83 71 98		
02079K107 ALPHABET INC -CL C COMMON	GOOG	2 000	1,659 12 857 02	829 56 428 51		
02079K305 ALPHABET INC -CL A COMMON	GOOGL	2 000	1,695 60 1,361 61	847 80 680 81		
032654105 ANALOG DEVICES INC COMMON	ADI	3 000	245 85 178 38	81 95 59 46	5 40	2 20
052769106 AUTODESK INC COMMON	ADSK	23 000	1,988 81 1,238 90	86 47 53 87		
053015103 AUTOMATIC DATA PROCESSING COMMON	ADP	5 000	511 95 420 07	102 39 84 01	11 40 2 85	2 23
056752108 BAIDU INC	BIDU	15 000	2,587 80 2,591 18	172 52 172 75		

ACCOUNT STATEMENT

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APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
INFORMATION TECHNOLOGY						
17275R102 CISCO SYSTEMS INC COMMON	CSCO	92.000	3,109.60 2,432.40	33.80 26.44	106.72	3.43
237545108 DASSAULT SYSTEMS	DASTY	24.000	2,082.82 1,196.75	86.78 49.86	8.76	0.42
30303M102 FACEBOOK INC-A COMMON	FB	29.000	4,119.45 2,911.51	142.05 100.40		
433578507 HITACHI LTD - ADR-10 COMMON	HTHIY	20.000	1,081.40 1,034.74	54.07 51.74	18.34	1.70
57772K101 MAXIM INTEGRATED PRODUCT COMMON	MXIM	34.000	1,528.64 1,075.41	44.96 31.63	44.88	2.94
594918104 MICROSOFT CORP COMMON	MSFT	46.000	3,029.56 2,087.31	65.86 45.38	71.76	2.37
68389X105 ORACLE CORP COMMON	ORCL	59.000	2,631.99 2,230.77	44.61 37.81	44.84	1.70
747525103 QUALCOMM INC COMMON	QCOM	71.000	4,071.14 3,731.87	57.34 52.56	150.52	3.70
78573M104 SABRE CORP COMMON	SABR	81.000	1,716.39 1,887.83	21.19 23.31	45.36	2.64
803054204 SAP SE ADR	SAP	29.000	2,846.93 1,908.17	98.17 65.80	27.00	0.95
874039100 TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SP ADR	TSM	59.000	1,937.56 886.59	32.84 15.03	44.37	2.29
92826C839 VISA INC CLASS A SHARES COMMON	V	35.000	3,110.45 2,280.20	88.87 65.15	23.10	0.74
G1151C101 ACCENTURE PLC IRELAND PLC CL A COMMON	ACN	16.000	1,918.08 1,284.19	119.88 80.26	38.72	2.02
M22465104 CHECKPOINT SOFTWARE TECHNOLOGY COMMON	CHKP	13.000	1,334.58 1,147.76	102.66 88.29		
** TOTAL INFORMATION TECHNOLOGY		SUB-TOTAL	46,226.96 34,758.07		641.17 2.85	1.39

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
MATERIALS						
009126202 AIR LIQUIDE COMMON	AIQUY	53 000	1,214 23 1,211 63	22 91 22 86	21 78	1 79
010199305 AKZO N V SPONSORED ADR COMMON	AKZOY	63 000	1,745 86 1,223 14	27 71 19 41	29 86	1 71
03938L104 ARCELORMITTAL	MT	46 000	384 10 346 38	8 35 7 53		
055262505 BASF AG SPONSORED ADR COMMON	BASFY	12 000	1,192 60 1,116 10	99 38 93 01	29 20	2 45
35952Q106 FUCHS PETROLU SE-PREF ADR	FUPBY	55 000	672 54 512 87	12 23 9 32	8 42	1 25
535223200 LINDE AG SPON ADR	LNEGY	65 000	1,085 24 1,005 09	16 70 15 46	17 68	1 63
803866300 SASOL LTD SPONSORED ADR	SSL	16 000	470 40 492 41	29 40 30 78	18 18	3 86
810186106 SCOTTS CO CL A	SMG	13 000	1,214 07 827 96	93 39 63 69	26 00	2 14
87155N109 SYMRISE AG ADR	SYIEY	39 000	650 09 538 20	16 67 13 80	5 69	0 88
** TOTAL MATERIALS			SUB- TOTAL		156 81 0.00	1.82
MUTUAL FUNDS						
31421R759 FEDERATED MDT SMALL CAP GROWTH FUND CL I FUND #284	QISGX	643 037	13,664 54 11,162 93	21 25 17 36		
349913822 FORWARD SALIENT INTERNTL SMALL CAP INST CL FUND # 111	PTSCX	730 858	12,972 73 9,858 29	17 75 13 49	508 68	3 92
38142Y401 GOLDMAN SACHS GROWTH OPPORTUNITY FUND CLASS I #1132	GGOIX	1,927 908	48,872 47 53,287 56	25 35 27 64		
52106N889 LAZARD EMERGING MKTS PORTFOLIO INST CLASS FUND # 638 CLOSED TO NEW INVESTORS	LZEMX	1,192 178	21,006 18 19,791 74	17 62 16 60	170 48	0 81

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
MUTUAL FUNDS						
61756E693 MORGAN STANLEY INSTITUTIONAL FUND INC	MGGIX	1,586 160	28,328 82 24,694.26	17 86 15.57		
683974505 OPPENHEIMER DEVELOPING MARKETS FUND CL Y FUND # 788	ODVYX	586 537	20,822.06 17,590 58	35 50 29 99	97.37	0 47
89155H389 TOUCHSTONE MID CAP VALUE FUND	TCVIX	1,818.150	34,308 49 31,144 91	18 87 17 13	216 36	0 63
921943809 VANGUARD DEVELOPED MARKETS INDEX FUND ADMIRAL SHARES	VTMGX	2,542 153	32,056 55 30,780 01	12 61 12 11	905 01	2 82
922908710 VANGUARD 500 INDEX FUND CL ADM FUND #540	VFIAX	522 790	113,999 59 97,816 61	218 06 187 10	2,174 28	1 91
92828N668 VIRTUS QUALITY SMALL CAP FUND CL I FUND # 1764	PXQSX	797 269	14,047 88 12,833 11	17 62 16 10	255 13	1 82
** TOTAL MUTUAL FUNDS			SUB- TOTAL		4,327 31 0.00	1.27
REAL ESTATE						
22822V101 CROWN CASTLE INTL CORP COMMON	CCI	16 000	1,511 20 1,449 86	94 45 90 62	60 80	4 02
44107P104 HOST HOTELS & RESORTS INC CORP NEW COMMON	HST	79 000	1,474 14 1,381 90	18 66 17 49	63 20 15.80	4 29
606783207 MITSUBISHI ESTATE COMPANY LTD	MITEY	25 000	455 45 660 79	18 22 26 43	3 08	0 68
** TOTAL REAL ESTATE			SUB- TOTAL		127.08 15.80	3.69
TELECOMMUNICATIONS SERVICES						
16941M109 CHINA MOBILE HK LTD SP ADR	CHL	21 000	1,159 83 1,218 10	55 23 58.00	32 70	2 82
48667L106 KDDI CORPORATION UNSPON ADR	KDDIY	98 000	1,284 88 1,040 47	13 11 10 62	24 11	1 88
78440P108 SK TELECOM CO LTD-ADR	SKM	51 000	1,284 18 1,046 91	25 18 20 53	3 01	0 23

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
TELECOMMUNICATIONS SERVICES						
92343V104 VERIZON COMMUNICATIONS INC	VZ	45 000	2,193 75 2,157 51	48 75 47 94	103 95	4 74
92857W308 VODAFONE GROUP PLC -SP ADR	VOD	26 000	687 18 859 91	26 43 33 07	38 92	5 66
** TOTAL TELECOMMUNICATIONS SERVICES		SUB-TOTAL	6,609.82 6,322.90		202.69 0.00	3.07
UTILITIES						
29286D105 ENGIE-SPON ADR	ENGIY	90 000	1,278 36 1,438 62	14 20 15 98	78 30	6 13
78467K107 SSE PLC -SPN ADR	SSEZY	36 000	664 45 801 19	18 46 22 26	39 92	6 01
** TOTAL UTILITIES		SUB-TOTAL	1,942.81 2,239.81		118.22 0.00	6.09
* TOTAL EQUITIES			550,763.01 484,705.08		8,993.53 210.94	1.63

BOND QUALITY SUMMARY

S & P QUALITY RATING	MARKET VALUE	PERCENT
NOT RATED	534,463 00	100 0%
Total	534,463 00	100 0%

BOND MATURITY SUMMARY

YEARS TO MATURITY	PAR VALUE	PERCENT
LESS THAN 3 YEARS	46,506 58	100 0%
Total	46,506 58	100 0%

AVERAGE TIME TO MATURITY: 0.0 YEARS

CURRENT YIELD: 2.47%

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	YIELD CURRENT/ MATURITY
FIXED INCOME						
FIXED INCOME MUTUAL FUNDS						
09256H286 BLACKROCK STRATEGIC INCOME OPPORTUNITES PORTFOLIO		5,368 447	53,147 63 54,729.92	9 90 10 19	1,562 22 130 19	2 94
258620103 DOUBLELINE TOTAL RETURN BOND FUND		6,291 093	66,874 32 69,659 42	10 63 11 07	2,503 86	3 74
40168W715 GUGGENHEIM FLOAT RATE STRA-I		3,054 780	79,668 66 81,369.18	26 08 26 64	2,602 67 216 89	3 27
41664M185 HARTFORD WORLD BOND-Y		6,444 215	66,633 18 67,437 62	10 34 10 46		
592905509 METROPOLITAN WEST TOTAL RETURN BOND FUND INST CL FUND # 512		10,153 477	107,322 25 107,937 04	10 57 10 63	2,091 62 174 30	1 95
72387W747 PIONEER AMT FREE MUNICIPAL BOND FUND		1,888 475	26,891 88 26,976 06	14 24 14 28	832 82 69 40	3 10
85917K710 STERLING CAPITAL CORPORATE FUND-I		7,916 996	80,357 51 80,451 14	10 15 10 16	2,438 43 203 20	3 03
85917L619 STERLING CAPITAL INTERMEDIATE U S GOVERNMENT FUND INST CL FUND # 341		5,389 092	53,567 57 54,984 54	9 94 10 20	1,180 21 98 35	2 20
** TOTAL FIXED INCOME MUTUAL FUNDS		SUB-TOTAL	534,463.00 543,544.92		13,211.83 892.33	2.47
* TOTAL FIXED INCOME			534,463.00 543,544.92		13,211.83 892.33	2.47
TOTAL PRINCIPAL ASSETS			1,096,079.27 1,039,103.26		22,244.43 1,106.53	2.03

INCOME PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
CASH AND CASH EQUIVALENTS				
SA0000560 BB&T TRUST DEPOSIT RIS	1,008 41 1,008 41	1 00	3 63 0 30	0 36

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

INCOME PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ ACCRUED INC	CURRENT YIELD
CASH AND CASH EQUIVALENTS				
INCOME CASH	5 75			
	5 75			
* TOTAL CASH AND CASH EQUIVALENTS	1,014.16		3.63	0.36
	1,014.16		0.30	
TOTAL INCOME ASSETS	1,014.16		3.63	0.36
	1,014.16		0.30	

ACCOUNT STATEMENT

APRIL 01, 2016 TO MARCH 31, 2017

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654



TRANSACTION SUMMARY

	CURRENT PERIOD			YEAR TO DATE		
	PRINCIPAL CASH	INCOME CASH	COST	PRINCIPAL CASH	INCOME CASH	COST
BEGINNING BALANCE	0.00	65.86	1,080,705.53	0.00	65.86	1,080,705.53
INCOME						
INTEREST		117.51			117.51	
DIVIDENDS	12.32	23,713.97		12.32	23,713.97	
L/T CAP GAIN DIV	5,123.10			5,123.10		
S/T CAP GAIN DIV	1,949.63			1,949.63		
TOTAL INCOME	7,085.05	23,831.48	0.00	7,085.05	23,831.48	0.00
SALES						
SALES	170,328.88		174,415.98-	170,328.88		174,415.98-
TOTAL SALES	170,328.88	0.00	174,415.98-	170,328.88	0.00	174,415.98-
PURCHASES						
BUYS	137,405.96-		137,405.96	137,405.96-		137,405.96
TOTAL PURCHASES	137,405.96-	0.00	137,405.96	137,405.96-	0.00	137,405.96
CASH RECEIPTS	42.63	0.00	0.00	42.63	0.00	0.00
DISBURSEMENTS						
DISBURSEMENTS	55,959.24-	504.86-		55,959.24-	504.86-	
TOTAL DISBURSEMENTS	55,959.24-	504.86-	0.00	55,959.24-	504.86-	0.00
NET CASH MGMT						
CASH MGMT SALE	2,639.51	681.83	3,321.34-	2,639.51	681.83	3,321.34-
TOTAL NET CASH MGMT	2,639.51	681.83	3,321.34-	2,639.51	681.83	3,321.34-
FEES						
FEES	10,799.43-			10,799.43-		
TOTAL FEES	10,799.43-	0.00	0.00	10,799.43-	0.00	0.00
MISCELLANEOUS	24,068.56	24,068.56-	262.50-	24,068.56	24,068.56-	262.50-
ENDING BALANCE	0.00	5.75	1,040,111.67	0.00	5.75	1,040,111.67

TRANSACTION STATEMENT

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
	BEGINNING BALANCE	0.00	65.86	1,080,705.53	
	INCOME				
	INTEREST				
04/01/16	SA0000560 INTEREST ON BB&T TRUST DEPOSIT RIS PAYABLE 03/31/2016 EFFECTIVE 03/31/2016		10.60		