See a Social Security Number? Say Something!
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*Foundation impacted by a Section 507(B)(2) transfer (see Statement B)

Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning APR 1, 2016 , and ending MAR 31, 2017

Name of foundation

JAMES H WOODS FOUNDATION

A Employer identification number

37-6551346

Number and street (or P O box number if mail is not delivered to street address)

P.O. BOX 11356

Room/suite

B Telephone number

314-746-7329

City or town, state or province, country, and ZIP or foreign postal code

CLAYTON, MO 63105

C If exemption application is pending check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 1,310,352.

J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	31,670.	31,670.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	4,466.			
b Gross sales price for all assets on line 6a 220,718.				
7 Capital gain net income (from Part IV, line 2)		4,466.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	325.	325.		STATEMENT 2
12 Total Add lines 1 through 11	36,461.	36,461.		
13 Compensation of officers, directors, trustees etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	1,500.	1,200.		300.
c Other professional fees STMT 4	12,351.	9,881.		2,470.
17 Interest				
18 Taxes STMT 5	527.	527.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	15.	15.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	14,393.	11,623.		2,770.
25 Contributions, gifts, grants paid	66,000.			66,000.
26 Total expenses and disbursements. Add lines 24 and 25	80,393.	11,623.		68,770.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	<43,932.>			
b Net investment income (if negative enter -0-)		24,838.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	32,775.	9,499.	9,499.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments land buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	1,219,560.	1,198,904.	1,300,853.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,252,335.	1,208,403.	1,310,352.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers directors, trustees and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	1,252,335.	1,208,403.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	1,252,335.	1,208,403.	
	31 **Total liabilities and net assets/fund balances**	1,252,335.	1,208,403.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,252,335.
2	Enter amount from Part I, line 27a	2	<43,932.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,208,403.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,208,403.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	211,242.		216,252.	<5,010.>
b	9,476.			9,476.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<5,010.>
b				9,476.
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,466.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) / If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. *SEE STATEMENT B

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	81,619.	1,336,613.	.061064
2014	44,099.	1,336,679.	.032991
2013	2,548.	959,549.	.002655
2012	13,126.	1,272,528.	.010315
2011	84,214.	1,200,034.	.070176

2	**Total** of line 1, column (d)	2	.177201
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.035440
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,295,490.
5	Multiply line 4 by line 3	5	45,912.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	248.
7	Add lines 5 and 6	7	46,160.
8	Enter qualifying distributions from Part XII, line 4	8	68,770.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	248.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	248.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	248.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 2,145.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	2,145.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,897.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 1,897. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE COMMERCE TRUST COMPANY Telephone no ▶ 314-746-7439
Located at ▶ 8000 FORSYTH BLVD, CLAYTON, MO ZIP+4 ▶ 63105

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES H WOODS, JR P.O. BOX 11356 CLAYTON, MO 63105	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.			
a	Average monthly fair market value of securities		1a	1,291,113.
b	Average of monthly cash balances		1b	24,105.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,315,218.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,315,218.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	19,728.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,295,490.
6	**Minimum investment return.** Enter 5% of line 5		6	64,775.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	64,775.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 248.		
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	248.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	64,527.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	64,527.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	64,527.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	68,770.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	68,770.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	248.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	68,522.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

*SEE STATEMENT B

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				64,527.
2 Undistributed income if any, as of the end of 2016				
a Enter amount for 2015 only			58,814*	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ $ 68,770.				
a Applied to 2015, but not more than line 2a			58,814.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				9,956.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f 4c and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				54,571.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2016	Prior 3 years (b) 2015	(c) 2014	(d) 2013	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 **Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED P.O. BOX 11356 CLAYTON, MO 63105	NONE	PC	GENERAL OPERATIONS	66,000.
Total			▶ 3a	66,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	31,670.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,466.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a MISCELLANEOUS			01	325.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		36,461.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	36,461.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5/30/17 | TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS W BASSETT	Thomas W. Bassett	5/18/2017		P00024534

Firm's name ▶ THE COMMERCE TRUST COMPANY Firm's EIN ▶ 48-0962626

Firm's address ▶ PO BOX 11356 CLAYTON, MO 63105 Phone no 314-746-7329

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS	41,146.	9,476.	31,670.	31,670.	
TO PART I, LINE 4	41,146.	9,476.	31,670.	31,670.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	325.	325.	
TOTAL TO FORM 990-PF, PART I, LINE 11	325.	325.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	1,500.	1,200.		300.
TO FORM 990-PF, PG 1, LN 16B	1,500.	1,200.		300.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT COUNSEL/ADVISORY FEES	12,351.	9,881.		2,470.
TO FORM 990-PF, PG 1, LN 16C	12,351.	9,881.		2,470.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	527.	527.		0.
TO FORM 990-PF, PG 1, LN 18	527.	527.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	15.	15.		0.
TO FORM 990-PF, PG 1, LN 23	15.	15.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	COST	741,960.	858,244.
SEE STATEMENT A	COST	456,944.	442,609.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,198,904.	1,300,853.

JAMES H WOODS FOUNDATION
EIN: 37-6551346
As of March 31, 2017

Group	Description	Units	Book Value	Market Value
1	FINANCIAL SQUARE TR GOVERNMENT FD	9,499	9,498 51	9,498 51
1 Total			**9,498.51**	**9,498.51**
2	EXXON MOBIL CORPORATION	153	8,710 88	12,547 53
	JOHNSON AND JOHNSON	170	11,494 01	21,173 50
	WELLS FARGO & CO	428	12,563 48	23,822 48
	JP MORGAN CHASE & CO	267	14,994 72	23,453 28
	MCDONALDS CORP	166	14,415.52	21,515 26
	SCHLUMBERGER LTD	178	14,533 43	13,901 80
	CHEVRON CORPORATION	117	14,391 59	12,562 29
	NESTLE S A ADR REG	201	12,426 83	15,432 18
	VISA INC CLASS A SHARES	282	10,518 36	25,061 34
	MFS RESEARCH INTERNATIONAL FUND-I	2,617	46,001 21	44,256 04
	APPLE INC	212	16,948 51	30,455 92
	AQR MANAGED FUTURES STRATEGY FUND I	5,155	50,131 17	47,580 89
	BLACKROCK INC	32	6,214 85	12,272 32
	CLEARBRIDGE SMALL CAP	815	21,374 28	24,804 60
	COLUMBIA ACORN INTL FUND-Z	561	26,571 73	23,228 53
	COMMERCE MIDCAP GROWTH FUND	995	35,000 00	36,509 49
	CVS HEALTH CORPORATION	133	13,605 79	10,440 50
	DFA US TARGETED VALUE PORTFOLIO	1,831	42,377 69	43,970 98
	DODGE & COX INTERNATIONAL STOCK FUND	1,087	46,668 03	45,279 26
	HONEYWELL INTL INC	184	11,202 11	22,976 08
	INVESCO DEVELOPING MKTS-R6	693	23,034 77	22,169 85
	ISHARES NASDAQ BIOTECH INDX FD	50	13,595 79	14,663 50
	ISHARES RUSSELL MIDCAP VALUE ETF	650	44,435 76	53,943 50
	JP MORGAN ALERIAN MLP INDEX ETN	1,000	49,358 42	32,300 00
	LAZARD EMERGING MARKETS EQUITY PORT	1,278	22,000 00	22,524 12
	MONDELEZ INTERNATIONAL INC	385	9,249 03	16,585 80
	NOVARTIS AG SPONSORED ADR	183	11,205 46	13,591 41
	PEPSICO INC	179	11,628 19	20,022 94
	PHILIP MORRIS INTERNATIONAL	164	8,571 88	18,515 60
	PRUDENTIAL GLOBAL REAL ESTATE FUND-Q	907	21,537 35	20,964 50
	PRUDENTIAL JENN M/C GROW-Q	786	25,657 95	30,262 16
	ROBECO BP LNG/SHRT RES-INS	1,828	26,773 37	28,984 74
	ROCHE HLDG LTD SPONSORED ADR	533	13,886 45	17,026 69
	UNITED PARCEL SERVICE B	139	9,607 45	14,914 70
	VANGUARD FTSE ALL WO X-US SC	200	21,274 00	20,530 00
2 Total			**741,960.06**	**858,243.78**
3	COMMERCE BOND FUND #333	8,716	178,007 98	172,580 74
	BAIRD AGGREGATE BOND FUND IS	9,795	105,657 44	105,298 67
	HARTFORD FLOATING RATE FUND-Y	2,217	19,920 58	19,269 26
	PIMCO EMERGING LOCAL BOND FUND IS	2,079	20,001 01	15,323 02
	BLACKROCK HIGH YIELD BD-PORTFOLIO	3,185	26,668 01	24,653 38
	DODGE & COX INCOME FD	7,733	106,689 33	105,484 19
3 Total			**456,944.35**	**442,609.26**
Grand Total			**1,208,402.92**	**1,310,351.55**

STMT A

TUA James H Woods Jr Foundation
EIN 27-6152949

During TY 2015 (year ended 03/31/2016), The James H Woods Foundation ("transferee") (EIN 37-6551346) received additional funding from a 507(B)(2) transfer from the Lauren Woods Daugherty Fdn ("transferor") (EIN 37-6551344) A summary of the results of this transfer is as follows

Transferee Foundations	**FMV of Asset Transfer Received**	**% of Assets Received**
James H Woods Foundation	340,268	33 33%
EIN 37-6551346		
P O Box 11356, Clayton, MO 63105		

Transferor Sec. 4940(e) history

Base Period Years	**2015**	**2014**	**2013**	**2012**	**2011**
Adjusted qualifying distributions	96,548	. 1,947	1,911	9,845	63,162
Net value of noncharitable-use assets	58,044	1,017,279	719,680	954,420	900,048
Distribution Ratio	1 663359	0 001914	0 002655	0 010315	0 070176

(A) Transferee's distributive share of transferor's Sec. 4940(e) history

James H Woods Fdn (37-6551346)	**2015**	**2014**	**2013**	**2012**	**2011**
Adjusted qualifying distributions	32,179	649	637	3,281	21,052
Net value of noncharitable-use assets	19,346	339,059	239,869	318,108	299,986
Distribution Ratio	1 663341	0 001914	0 002656	0 010314	0 070177

(B) Transferee's Sec. 4940(e) history before distributive share of transferor's Sec. 4940(e) history

James H Woods Fdn (37-6551346)	**2015**	**2014**	**2013**	**2012**	**2011**
Adjusted qualifying distributions	49,440	43,450	1,911	9,845	63,162
Net value of noncharitable-use assets	1,317,267	997,620	719,680	954,420	900,048
Distribution Ratio	0 037532	0 043554	0 002655	0 010315	0 070176

(A+B) Transferee's Sec. 4940(e) history including distributive share of transferor's Sec. 4940(e) history

James H Woods Fdn (37-6551346)	**2015**	**2014**	**2013**	**2012**	**2011**
Adjusted qualifying distributions	81,619	44,099	2,548	13,126	84,214
Net value of noncharitable-use assets	1,336,613	1,336,679	959,549	1,272,528	1,200,034
Distribution Ratio	0 061064	0 032991	0 002655	0 010315	0 070176

Transferor's excess distribution carryover

Tax Year	2015
Excess distribution	6,163

(C) Transferee's share of transferor's excess distribution carryover

James H Woods Fdn (37-6551346)	2,054

(D) Transferee's undistributed income for TY 2015 (YE 03/31/16)

James H Woods Fdn (37-6551346)	(60,868)

(C+D) Transferee's undistributed income for TY 2015 (YE 03/31/16) including transferor's excess distribution carryover

James H Woods Fdn (37-6551346)	(58,814)

STMT B

James H Woods Foundation
EIN 37-6551346
List of Charitable Recipients

Name and Address	Relationship	Purpose of Grant	Foundation Status of Recipient	Amount Paid
Amercan Bird Conservancy 4249 Loudoun Ave The Plains, VA 20198	None	General Operations	PC	2,000
American Red Cross 10195 Corporate Square Creve Coeur, MO 63132	None	General Operations	PC	1,000
Assembly of God 29 Church St Steelville, MO 65565	None	General Operations	PC	1,000
Camping & Education Foundation 3515 Michigan Ave Cincinnati, OH 45208	None	General Operations	PC	13,000
Community School 900 Lay Rd St Louis, MO 63124	None	General Operations	PC	1,000
First Book 1319 F St NW, Ste 1000 Washington, DC 20004	None	General Operations	PC	1,500
Give Kids the World 210 S Bass Rd Kissimmee, FL 34746	None	General Operations	PC	3,000
Humane Society of Missouri 1201 Macklind Ave St Louis, MO 63110	None	General Operations	PC	1,000
John Burroughs School 755 S Price Rd St Louis, MO 63124	None	General Operations	PC	1,000
Kappa Kappa Gamma Scholarship Foundation 530 East Town St P O Box 38 Columbus, OH 43216	None	General Operations	PC	5,000
Memorial Sloan Kettering Cancer Center 1275 York Ave New York, NY 10065	None	General Operations	PC	5,000
Miriam Foundation 501 Bacon Avenue St Louis, MO 63119	None	General Operations	PC	4,000
Pancreatic Cancer Action Network 1500 Rosecrans Ave, Ste 200 Manhattan Beach, CA 90266	None	General Operations	PC	1,000
Parkinson Support Center of Kentuckiana 315 Townepark Circle, Ste 100 Louisville, KY 40243	None	General Operations	PC	3,000
Pedal the Cause 900 Spurce St, Ste 125 St Louis, MO 63102	None	General Operations	PC	4,000
Rainbow Village 1240 Dautel Ln St Louis, MO 63146	None	General Operations	PC	3,000
Sherwood Forest Camp 2708 Sutton Blvd St Louis, MO 63143	None	General Operations	PC	1,000
St Jude's Hospital 262 Danny Thomas Place Memphis, TN 38105	None	General Operations	PC	1,000
St Louis Symphony 718 N Grand Ave St Louis, MO 63103	None	General Operations	PC	3,000
St Louis Zoo 1 Government Dr St Louis, MO 63110	None	General Operations	PC	2,500
St Luke's Hospital 232 S Woods Mill Rd Chesterfield, MO 63017	None	General Operations	PC	1,000

James H Woods Foundation
EIN 37-6551346
List of Charitable Recipients

Weaver School 11872 Wembley Rd Los Alamitos, CA 90720	None	General Operations	PC	5,000
Wilderness Inquiry 808 14th Ave SE Minneapolis, MN 55414	None	General Operations	PC	3,000
			Total	66,000