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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

APR 1, 2016

, and ending

MAR 31, 2017

Name of foundation

The Spencer Foundation

Number and street (or P O box number if mail is not delivered to street address)

625 N. Michigan Avenue

Room/suite

1600

City or town, state or province, country, and ZIP or foreign postal code

Chicago, IL 60611-3110

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 538,377,672.

J Accounting method:

☐

Cash

☒

Accrual

Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

36-6078558

B Telephone number

(312) 337-7000

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here

☐

2 Foreign organizations meeting the 85% test, check here and attach computation

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

402.

N/A

2 Check ☒ if the foundation is not required to attach Sch. B

Interest on savings and temporary cash investments

11,106.

11,106.

4 Dividends and interest from securities

12,235,347.

12,235,347.

5a Gross rents

b Net rental income or (loss)

42,085,619.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

139,079,622.

42,085,619.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

54,332,474.

54,332,072.

13 Compensation of officers, directors, trustees, etc

1,174,741.

38,621.

1,136,119.

14 Other employee salaries and wages

1,409,470.

143,368.

1,266,102.

15 Pension plans, employee benefits

1,048,274.

15,435.

876,173.

16a Legal fees

Stmt 1

17,903.

0.

17,903.

b Accounting fees

Stmt 2

49,123.

34,386.

14,737.

c Other professional fees

Stmt 3

1,415,372.

953,280.

462,092.

17 Interest

18 Taxes

Stmt 4

384,225.

0.

0.

19 Depreciation and depletion

151,661.

7,583.

20 Occupancy

503,195.

7,548.

495,647.

21 Travel, conferences, and meetings

562,184.

0.

562,184.

22 Printing and publications

3,467.

0.

3,467.

23 Other expenses

Stmt 5

457,503.

31,074.

426,429.

24 Total operating and administrative expenses Add lines 13 through 23

7,177,118.

1,231,295.

5,260,853.

25 Contributions, gifts, grants paid

24,761,953.

28,428,520.

26 Total expenses and disbursements.

Add lines 24 and 25

31,939,071.

1,231,295.

33,689,373.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

22,393,403.

b Net investment income (if negative, enter -0-)

53,100,777.

c Adjusted net income (if negative, enter -0-)

N/A

Operating and Administrative Expenses

SCANNED NOV 13 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		600.	600.	600.	
	2	Savings and temporary cash investments		6,804,831.	6,483,262.	6,483,262.	
	3	Accounts receivable ▶ 251,697.					
		Less: allowance for doubtful accounts ▶		1,980.	251,697.	251,697.	
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges		379,336.	22,957.	22,957.	
	10a	Investments - U.S. and state government obligations					
		b Investments - corporate stock Stmt 7		430,214,395.	404,385,760.	404,385,760.	
		c Investments - corporate bonds Stmt 8		62,705,291.	125,871,693.	125,871,693.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶					
12		Investments - mortgage loans					
13		Investments - other Stmt 9		750,000.	1,040,000.	1,040,000.	
14		Land, buildings, and equipment: basis ▶ 1,309,727.					
		Less: accumulated depreciation Stmt 6 ▶ 988,024.		426,744.	321,703.	321,703.	
15		Other assets (describe ▶)					
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		501,283,177.	538,377,672.	538,377,672.	
Net Assets or Fund Balances		17	Accounts payable and accrued expenses		3,520,690.	3,777,641.	
		18	Grants payable		26,912,719.	23,246,153.	
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶ Statement 10)		1,897,307.	2,053,973.		
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)		32,330,716.	29,077,767.		
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		468,952,461.	509,299,905.		
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances		468,952,461.	509,299,905.		
31	Total liabilities and net assets/fund balances		501,283,177.	538,377,672.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	468,952,461.
2	Enter amount from Part I, line 27a	2	22,393,403.
3	Other increases not included in line 2 (itemize) ▶ Unrealized Gain on Investments	3	18,083,405.
4	Add lines 1, 2, and 3	4	509,429,269.
5	Decreases not included in line 2 (itemize) ▶ Deferred Federal Excise Tax	5	129,364.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	509,299,905.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statements			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 139,079,622.		96,994,003.	42,085,619.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			42,085,619.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	42,085,619.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	26,443,352.	502,494,127.	.052624
2014	34,141,579.	529,085,058.	.064529
2013	19,708,631.	494,973,422.	.039818
2012	16,599,251.	425,981,227.	.038967
2011	16,199,490.	407,035,479.	.039799

2 Total of line 1, column (d)	2	.235737
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047147
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	507,926,884.
5 Multiply line 4 by line 3	5	23,947,229.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	531,008.
7 Add lines 5 and 6	7	24,478,237.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	33,737,364.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	531,008.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	531,008.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	531,008.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	541,043.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	541,043.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,035.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 10,035. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.spencer.org</u>	13	X
14 The books are in care of ► <u>Jane Clifford, CFO</u> Telephone no. ► <u>(312) 337-7000</u> Located at ► <u>625 N. Michigan Avenue, Ste 1600, Chicago, IL</u> ZIP+4 ► <u>60611-3110</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		1,174,741.	289,165.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John Easton - 625 N. Michigan Avenue, Suite 1600, Chicago, IL	Vice President of Programs 40.00	233,363.	69,513.	0.
Laura Glick - 625 N. Michigan Avenue, Suite 1600, Chicago, IL	Chief Investment Officer 20.00	143,368.	10,661.	0.
Amy Dray - 625 N. Michigan Avenue, Suite 1600, Chicago, IL 60611	Program Officer 40.00	105,549.	42,395.	0.
Kathryn Gray - 625 N. Michigan Avenue, Suite 1600, Chicago, IL	Director of Personnel & Operations 40.00	109,806.	30,463.	0.
Michael Barber - 625 N. Michigan Avenue, Suite 1600, Chicago, IL	Associate Program Officer 40.00	87,644.	49,268.	0.
Total number of other employees paid over \$50,000				8

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Franklin Templeton		
One Franklin Parkway, San Mateo, CA 94403	Investment Manager	275,236.
PIMCO Funds - 840 Newport Center Drive,		
Newport Beach, CA 92660	Investment Manager	273,648.
Spencer Stuart		
2020 Main Street, Suite 350, Irvine, CA 92614	Executive Search	208,013.
Delaware Investments		
2005 Market Street, Philadelphia, PA 19103	Investment Manager	174,308.
The Vanguard Group		
P.O. Box 2900, M37, Valley Forge, PA 19482	Investment Manager	148,929.
Total number of others receiving over \$50,000 for professional services		3

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
See Statement 12	409,642.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	507,342,099.
b	Average of monthly cash balances	1b	8,319,712.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	515,661,811.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	515,661,811.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,734,927.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	507,926,884.
6	Minimum investment return. Enter 5% of line 5	6	25,396,344.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	25,396,344.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	531,008.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	531,008.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,865,336.
4	Recoveries of amounts treated as qualifying distributions	4	126,003.
5	Add lines 3 and 4	5	24,991,339.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	24,991,339.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	33,689,373.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	47,991.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,737,364.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	531,008.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	33,206,356.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				24,991,339.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014	3,847,011.			
e From 2015	1,503,956.			
f Total of lines 3a through e	5,350,967.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 33,737,364.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				24,991,339.
e Remaining amount distributed out of corpus	8,746,025.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	14,096,992.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	14,096,992.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	3,847,011.			
d Excess from 2015	1,503,956.			
e Excess from 2016	8,746,025.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See attached schedule				28,554,522.
Total			▶ 3a	28,554,522.
b Approved for future payment				
See attached schedule				23,246,152.
Total			▶ 3b	23,246,152.

Part IV. Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIMCO Bond Fund - Moderate Duration Fund	P	Various	01/05/17
b	PIMCO Bond Fund - Total Return Fund	P	Various	01/05/17
c	PIMCO Bond Fund - Total Return Fund	P	Various	01/10/17
d	VANGUARD Institutional Index Fund Plus	P	Various	06/23/16
e	VANGUARD Institutional Index Fund Plus	P	Various	07/20/16
f	VANGUARD Institutional Index Fund Plus	P	Various	08/26/16
g	VANGUARD Institutional Index Fund Plus	P	Various	08/29/16
h	VANGUARD Institutional Index Fund Plus	P	Various	09/06/16
i	VANGUARD Institutional Index Fund Plus	P	Various	12/23/16
j	VANGUARD Institutional Index Fund Plus	P	Various	01/31/17
k	VANGUARD Institutional Index Fund Plus	P	Various	02/03/17
l	VANGUARD Institutional Index Fund Plus	P	Various	02/07/17
m	VANGUARD Institutional Index Fund Plus	P	Various	02/14/17
n	VANGUARD Institutional Index Fund Plus	P	Various	02/15/17
o	VANGUARD Institutional Index Fund Plus	P	Various	02/21/17

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,206,516.		10,574,911.	-368,395.
b	20,000,000.		21,116,812.	-1,116,812.
c	22,564,811.		23,824,843.	-1,260,032.
d	2,000,000.		1,071,851.	928,149.
e	1,000,000.		520,529.	479,471.
f	3,000,000.		1,560,803.	1,439,197.
g	3,000,000.		1,552,450.	1,447,550.
h	3,000,000.		1,552,140.	1,447,860.
i	820,902.			820,902.
j	5,000,000.		2,490,622.	2,509,378.
k	5,000,000.		2,469,577.	2,530,423.
l	5,000,000.		2,474,065.	2,525,935.
m	5,000,000.		2,424,324.	2,575,676.
n	7,500,000.		3,617,836.	3,882,164.
o	10,000,000.		4,790,501.	5,209,499.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-368,395.
b			-1,116,812.
c			-1,260,032.
d			928,149.
e			479,471.
f			1,439,197.
g			1,447,550.
h			1,447,860.
i			820,902.
j			2,509,378.
k			2,530,423.
l			2,525,935.
m			2,575,676.
n			3,882,164.
o			5,209,499.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV. Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VANGUARD Institutional Index Fund Plus	P	Various	02/23/17
b	VANGUARD Institutional Index Fund Plus	P	Various	02/27/17
c	VANGUARD Institutional Index Fund Plus	P	Various	03/01/17
d	VANGUARD Extended Market Index Fund	P	Various	07/20/16
e	VANGUARD Extended Market Index Fund	P	Various	08/26/16
f	VANGUARD Extended Market Index Fund	P	Various	08/29/16
g	VANGUARD Extended Market Index Fund	P	Various	09/06/16
h	FRANKLIN TEMPLETON TIF Foreign Smaller Companies	P	Various	09/07/16
i	FRANKLIN TEMPLETON TIF Foreign Smaller Companies	P	Various	12/22/16
j	DELAWARE Pooled Trust Investment Fund	P	Various	07/21/16
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,000,000.		4,792,720.	5,207,280.
b 10,000,000.		4,778,774.	5,221,226.
c 8,000,000.		3,780,413.	4,219,587.
d 1,000,000.		406,169.	593,831.
e 2,000,000.		799,915.	1,200,085.
f 2,000,000.		795,282.	1,204,718.
g 2,000,000.		789,452.	1,210,548.
h 134,599.			134,599.
i 352,794.			352,794.
j 500,000.		810,014.	-310,014.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,207,280.
b			5,221,226.
c			4,219,587.
d			593,831.
e			1,200,085.
f			1,204,718.
g			1,210,548.
h			134,599.
i			352,794.
j			-310,014.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	42,085,619.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Legal Fees			Statement 1
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Sidley Austin LLP	17,903.	0.		17,903.
To Fm 990-PF, Pg 1, ln 16a	17,903.	0.		17,903.

Form 990-PF	Accounting Fees			Statement 2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
RSM US LLP	42,973.	34,386.		8,587.
Newport Group	6,150.	0.		6,150.
To Form 990-PF, Pg 1, ln 16b	49,123.	34,386.		14,737.

Form 990-PF	Other Professional Fees			Statement 3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Management and Consulting	953,280.	953,280.		0.
Program Consulting	360,575.	0.		360,575.
IT Consulting	41,517.	0.		41,517.
Administrative Consulting	60,000.	0.		60,000.
To Form 990-PF, Pg 1, ln 16c	1,415,372.	953,280.		462,092.

Form 990-PF	Taxes			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Federal Excise Tax	384,225.	0.		0.	
To Form 990-PF, Pg 1, ln 18	384,225.	0.		0.	

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Memberships, Recruiting, Other Overhead	297,197.	0.		297,197.	
Maintenance on Equipment	67,222.	0.		67,222.	
Insurance	30,022.	0.		30,022.	
Office Supplies	28,592.	0.		28,592.	
Postage	3,396.	0.		3,396.	
Real Estate Assessments	14,446.	14,446.		0.	
Real Estate Operating Expenses	1,317.	1,317.		0.	
Real Estate Taxes	15,311.	15,311.		0.	
To Form 990-PF, Pg 1, ln 23	457,503.	31,074.		426,429.	

Form 990-PF	Depreciation of Assets Not Held for Investment			Statement	6
Description	Cost or Other Basis	Accumulated Depreciation	Book Value	Fair Market Value	
Furniture and Fixtures	418,421.	351,658.	66,763.	66,764.	
Computer/Electronic Equipment	455,607.	309,034.	146,573.	146,573.	
Leasehold Improvements	435,699.	327,332.	108,367.	108,366.	
To 990-PF, Part II, ln 14	1,309,727.	988,024.	321,703.	321,703.	

Form 990-PF	Corporate Stock	Statement	7
Description	Book Value	Fair Market Value	
VANGUARD Institutional Index Fund Plus	232,940,101.	232,940,101.	
VANGUARD Extended Market Index Fund	65,698,630.	65,698,630.	
VANGUARD Developed Market Index	60,018,188.	60,018,188.	
DELAWARE Pooled Trust Investment Fund	15,389,693.	15,389,693.	
TEMPLETON Inst. Foreign Smaller Company Fund	30,339,148.	30,339,148.	
Total to Form 990-PF, Part II, line 10b	404,385,760.	404,385,760.	

Form 990-PF	Corporate Bonds	Statement	8
Description	Book Value	Fair Market Value	
PIMCO Bond Fund - Moderate Duration Fund	0.	0.	
PIMCO Bond Fund - Total Return Fund	0.	0.	
PIMCO Bond Fund - All Asset Fund	10,402,424.	10,402,424.	
VANGUARD Total Bond Market Index	115,469,269.	115,469,269.	
Total to Form 990-PF, Part II, line 10c	125,871,693.	125,871,693.	

Form 990-PF	Other Investments	Statement	9
Description	Valuation Method	Book Value	Fair Market Value
Real Estate Investments	FMV	1,040,000.	1,040,000.
Total to Form 990-PF, Part II, line 13		1,040,000.	1,040,000.

Form 990-PF	Other Liabilities	Statement	10
Description	BOY Amount	EOY Amount	
Post-Retirement Health Benefit Liability	1,897,307.	2,053,973.	
Total to Form 990-PF, Part II, line 22	1,897,307.	2,053,973.	

Form 990-PF Part VIII - List of Officers, Directors Statement 11
Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
Dr. Michael S. McPherson 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	President & Director 40.00	565,763.	130,379. 0.
Judy Klippenstein 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Executive Assistant & Secretary 40.00	88,754.	49,569. 0.
Elizabeth Carrick 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Chief of Staff & VP of Administration 40.00	240,098.	70,638. 0.
Jane Clifford 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Chief Financial Officer & Treasurer 30.00	176,793.	38,579. 0.
Deborah Lowenberg Ball 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.
Carl Cohn 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.
Pamela Grossman 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.
Richard Murnane 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.
Na'ilah Suad Nasir 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.
Erick Odmark 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	3,333.	0. 0.
Mark Vander Ploeg 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0. 0.

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Stephen W. Raudenbush 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0.	0.
Cybele C. Raver 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0.	0.
Mario Small 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0.	0.
T. Dennis Sullivan 625 N. Michigan Avenue, Suite 1600 Chicago, IL 60611	Director 1.00	10,000.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		1,174,741.	289,165.	0.

Form 990-PF	Summary of Direct Charitable Activities	Statement	12
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Activity One

Members of the program staff, working individually and in small teams, conduct independent educational research that produces knowledge to help the Spencer Foundation and other Foundations and institutions engaged in education research and related fields to improve their policies and practices. These staff members produce internal documents, publications, and presentations aimed at a broader audience of education researchers and, when relevant, practitioners and policymakers.

Expenses

To Form 990-PF, Part IX-A, line 1

409,642.

The Spencer Foundation
Return of Private Foundation
Fiscal Year April 1, 2016 - March 31, 2017

FEIN: 36-6078558

Part VII-B, Line 5c Expenditure Responsibility Statement

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended by Grantee	Any Diversion by Grantee	Dates of Reports by Grantee	Date of Verification	Results of Verification
National Academy of Education	500 Fifth Street, NW, #1049 Washington, DC 20001	12/4/2014	\$ 7,993,097	Postdoctoral fellowship program (Cohorts 15-16, 16-17, 17-18)	\$ 5,162,887	No	3/23/2017		
National Academy of Education	500 Fifth Street, NW, #1049 Washington, DC 20001	12/4/2014	\$ 4,532,863	Dissertation fellowship program (Cohorts 15-16, 16-17, 17-18)	\$ 2,729,445	No	3/23/2017		
National Academy of Education	500 Fifth Street, NW, #1049 Washington, DC 20001	4/16/2015	\$ 18,719	Postdoctoral fellowship programs supplemental funding	\$ 19,719	No	5/17/2017		
Policy Studies Associates	1718 Connecticut Avenue NW Washington, DC 20009	1/2/2013	\$ 247,000	Research project Responses to Interim Test Data	\$ 95,648	No	3/3/2017		
Project Pericles, Inc	551 Fifth Avenue, Suite 1910 New York, NY 10176-0899	6/29/2016	\$ 40,000	Debating for Democracy 2016-2017 (D4D) on the Road Workshops	\$ 40,000	No	5/25/2017		
Russell Sage Foundation	112 East 64th Street New York, NY 10021	9/23/2015	\$ 48,500	Research project Higher education effectiveness	\$ 48,500	No	8/8/2017		

Part XV: Grant Contributions Paid During the Year or Approved for Future Payment

Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance 2017	Newly Allocated 2017	Amount Paid 2017	Ending Balance 2017
African Population and Health Research Center	P O Box 10787-00100 Nairobi,	49,939	From research to practice Enabling caregivers in low-income communities to make a difference in the first three years	PC	\$ -	\$ 49,939	\$ 49,939	\$ -
Albert Shanker Institute	555 New Jersey Avenue, NW Washington, DC 20001	47,135	The Role of Context, Social Capital and Collaboration in the Improvement of Teachers and Teaching From Research Findings to Policy Proposals	PC	\$ -	\$ 47,135	\$ 47,135	\$ -
American Bar Foundation	750 N Lake Shore Drive Chicago, IL 60611	47,534	Financial Vulnerability of Public HBCUs to State Funding Policies	PC	\$ -	\$ 47,534	\$ -	\$ 47,534
American Indian Science and Engineering Society	2305 Renard Place SE Albuquerque, NM 87106	40,000	Building Research Capacity AISES Archival Database	PC	\$ -	\$ 40,000	\$ 40,000	\$ -
American Institutes for Research	1000 Thomas Jefferson Street NW Washington, DC 20007	50,000	CALDER 3 0	PC	\$ -	\$ 50,000	\$ -	\$ 50,000
American Institutes for Research	1000 Thomas Jefferson Street NW Washington, DC 20007	399,936	Seizing a Missed Opportunity Transforming the Placement, Evaluation, and Hiring of Student Teachers in Spokane Public Schools	PC	\$ 292,159	\$ -	\$ 135,112	\$ 157,047
Arizona State University Foundation for a New American University	300 East University Drive Tempe, AZ 85281-2061	50,000	Learning how to look as well as listen Building capacity for video-based transcription and analysis in social and educational research	PC	\$ 50,000	\$ -	\$ 50,000	\$ -
Association for Public Policy Analysis and Management	2100 M Street, NW Suite 610 Washington, DC 20037	60,000	Spencer Award Lecture and Honarium	PC	\$ -	\$ -	\$ -	\$ -
Association of American Colleges and Universities	1818 R Street NW Washington, DC 20009	585,609	Getting the Assessment of Student Learning in College Right Completing Necessary Tests of Reliability and Validity of AAC&U's VALUE Rubrics Phase II	PC	\$ 333,744	\$ -	\$ 333,744	\$ -
Barrel of Monkeys Productions	4410 N Ravenswood Avenue Suite LL3 Chicago, IL 60640	4,000	2016 Celebration of Authors	PC	\$ -	\$ 4,000	\$ 4,000	\$ -
Bridgespan Group	2 Copley Place 7th Floor, Suite 3700B Boston, MA 02116	50,000	Higher Education and Social Mobility	PC	\$ -	\$ 50,000	\$ 50,000	\$ -
Brown University	One Prospect Street Providence, RI 02912	494,831	How Does Eliminating Tenure and Collective Bargaining Affect Teacher Labor Markets and Student Achievement?	PC	\$ -	\$ 494,831	\$ 82,573	\$ 412,258
Cal State L.A. University Auxiliary Services, Inc.	5151 State University Drive Los Angeles, CA 90032-4202	49,999	Teacher's emotional health matters A mixed-method study of the contextual influences of psychosocial wellbeing among first year teachers in urban schools	PC	\$ -	\$ 49,999	\$ -	\$ 49,999
Carleton University	1125 Colonel By Drive Ottawa, ON K1S 5B6	49,054	Understanding the Development of Early Individual Differences in Literacy Skills	PC	\$ -	\$ 49,054	\$ -	\$ 49,054
Clark University	950 Main St Worcester, MA 01610-1477	49,992	Linking High-leverage Epistemic Practices, Core Disciplinary Content, and Talk The Role of 'Educative Video' for Next Generation Science	PC	\$ 49,992	\$ -	\$ 49,992	\$ -
Classroom Champions Inc	4129 Pine Needle Lane Jacksonville, FL 32210	25,000	Classroom Champions and Social and Emotional Learning	PC	\$ -	\$ 25,000	\$ 25,000	\$ -
Cleveland State University	2121 Euclid Avenue Cleveland, OH 44115-2214	50,000	Columbia's Gaze. Anglo Women Teachers on the "Indian Frontier". 1880-1920	Government Agency	\$ -	\$ 50,000	\$ -	\$ 50,000

Part XV: Grant Contributions Paid During the Year or Approved for Future Payment

Organization	Address	Amount	Project Title	Tax Status	Beginning Balance			Newly Allocated			Amount Paid			Ending Balance		
					2017			2017			2017			2017		
Colgate University	13 Oak Dr Hamilton, NY 13346-1398	48,173	Inclusion and Mobility First Generation Students at Selective Colleges	PC	\$	-	\$	48,173	\$	48,173	\$	48,173	\$	-	\$	-
Colgate University	13 Oak Dr Hamilton, NY 13346-1398	49,114	Dignity for All How teachers negotiate safe schools for transgender and gender variant children	PC	\$	-	\$	49,114	\$	49,114	\$	49,114	\$	-	\$	-
Colgate University	13 Oak Dr Hamilton, NY 13346-1398	49,000	(Un)Conditional Belongings? Immigrant Youth Negotiating Citizenship, Nation, and the Politics of (Non)Belonging in Costa Rica	PC	\$	49,000	\$	-	\$	-	\$	49,000	\$	-	\$	-
Collaborative for Academic, Social, and Emotional Learning (CASEL)	815 West Van Buren Street Suite 210 Chicago, IL 60607	75,000	Establishing Practical Social-Emotional Competence Assessments of Preschool to High School Students	PC	\$	-	\$	75,000	\$	75,000	\$	75,000	\$	-	\$	-
Council for the Accreditation of Educator Preparation	1140 19th ST NW Suit 400 Washington, DC 20036	75,000	Impact of CAEP Standards Planning for Execution, Recruitment, and Data Collection	PC	\$	-	\$	75,000	\$	75,000	\$	75,000	\$	-	\$	-
Council of Independent Colleges	One Dupont Circle, Suite 320 Washington, DC 20036-1110	35,000	Research Digests for Independent College Presidents	PC	\$	35,000	\$	-	\$	-	\$	35,000	\$	-	\$	-
Council On Foundations Inc	2121 Crystal Drive Suite 700 Arlington, VA 22202-3706	21,600	2017 Membership Dues	PC	\$	-	\$	21,600	\$	21,600	\$	21,600	\$	-	\$	-
Duquesne University	Administration Bldg 600 Forbes Ave Pittsburgh, PA 15282	50,000	Creating Validated Measures of Equitable Mathematics Teaching	PC	\$	50,000	\$	-	\$	-	\$	50,000	\$	-	\$	-
East Tennessee State University	1276 Gilbreath Drive Johnson City, TN 37614	48,350	An Analysis of Current Practices and Effectiveness of Professional Development in Special Education in Rural Environments	Government Agency	\$	-	\$	48,350	\$	-	\$	-	\$	-	\$	48,350
Eastern Connecticut State University	83 Windham St Willimantic, CT 6226	49,876	The Relationship of Teacher-Child Math Talk During Preschool Play To Young Children's Mathematics Learning	Government Agency	\$	-	\$	49,876	\$	49,876	\$	49,876	\$	-	\$	-
Education Writers Association	2122 P Street, NW Suite 201 Washington, DC 20037	20,000	Journalists New to the Education Beat 2.0	PC	\$	-	\$	20,000	\$	20,000	\$	20,000	\$	-	\$	-
Educational Testing Service	Princeton, NJ 08541	41,840	Indicators of Access to Qualified Teachers A Policy Tool to Monitor Equity	PC	\$	-	\$	41,840	\$	-	\$	-	\$	-	\$	41,840
Educational Testing Service	Princeton, NJ 08541	10,000	Designing Sustainable Funding for College Promise Initiatives	PC	\$	-	\$	10,000	\$	10,000	\$	10,000	\$	-	\$	-
Emory University	408 Administration Building, 201 Downman Drive Atlanta, GA 30322	50,000	Visibility, Activism, and Alliances in Contemporary Haudenosaunee (Iroquois) Civic Engagements	PC	\$	50,000	\$	-	\$	-	\$	50,000	\$	-	\$	-
Florida State University Research Foundation, Inc.	2000 Levy Avenue, Suite 351 Tallahassee, FL 32310	468,594	Virtual-Reality-Based Social Skills Training for Children with Asperger's Syndrome	PC	\$	158,680	\$	-	\$	-	\$	158,680	\$	-	\$	-
Florida State University Research Foundation, Inc.	2000 Levy Avenue, Suite 351 Tallahassee, FL 32310	1,000,000	Supports for Rich and Explicit Language and Vocabulary Instruction in Preschool Classrooms Serving Children from Disadvantaged Backgrounds	PC	\$	617,166	\$	-	\$	-	\$	381,433	\$	235,733	\$	-
Florida State University Research Foundation, Inc.	2000 Levy Avenue, Suite 351 Tallahassee, FL 32310	50,000	Civics in the Middle Grades Civic Engagement and Political Knowledge in a 7th Grade Civics Course	PC	\$	-	\$	50,000	\$	50,000	\$	50,000	\$	-	\$	-
Forefront	208 South LaSalle Street, Suite 1540 Chicago, IL 60604	75,000	Key Initiatives Vital to the Work of Forefront	PC	\$	-	\$	75,000	\$	75,000	\$	75,000	\$	-	\$	-

Part XV. Grant Contributions Paid During the Year or Approved for Future Payment

Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance		Newly Allocated		Amount Paid		Ending Balance	
					2017	2017	2017	2017	2017	2017	2017	2017
Forefront	208 South LaSalle Street, Suite 1540 Chicago, IL 60604	27,750	2016-17 Membership Renewal	PC	\$ -	\$ -	\$ 27,750	\$ -	\$ 27,750	\$ -	\$ -	\$ -
Forefront	208 South LaSalle Street, Suite 1540 Chicago, IL 60604	75,000	Building a Vibrant Social Impact Sector (dues supplement)	PC	\$ -	\$ -	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -	\$ -
Forefront	208 South LaSalle Street, Suite 1540 Chicago, IL 60604	27,750	2016 Membership Renewal	PC	\$ -	\$ -	\$ 27,750	\$ -	\$ 27,750	\$ -	\$ -	\$ -
George Washington University	2121 I Street, NW Washington, DC 20052	50,000	Reorienting the Roles of District Leaders How Do Principal Supervisors Coach Principals to Strengthen Collective Efficacy and Lead Improvement?	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Georgia State University Research Foundation	Office of Research and Sponsored Programs University Plaza Atlanta, GA 30303	400,000	Atlanta 323 Partnership for School Readiness and Achievement from Age 3 to Grade 3	PC	\$ -	\$ -	\$ 400,000	\$ -	\$ 127,336	\$ 272,664	\$ -	\$ -
Georgia State University Research Foundation	Office of Research and Sponsored Programs University Plaza Atlanta, GA 30303	50,000	The Effects of Peer Assessment on Learning A Meta-Analysis	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Georgia State University Research Foundation	Office of Research and Sponsored Programs University Plaza Atlanta, GA 30303	46,475	Investigating Access to Powerful Writing Pedagogy in Urban, Public Schools	PC	\$ -	\$ -	\$ 46,475	\$ -	\$ 46,475	\$ -	\$ -	\$ -
Grantmakers for Education	720 SW Washington Street Portland, OR 97205-3537	3,000	2016-17 Annual Contribution	PC	\$ -	\$ -	\$ 3,000	\$ -	\$ 3,000	\$ -	\$ -	\$ -
Hebrew University of Jerusalem	The Authority for Research and Development, USA Desk Sherman Research Admin Bldg, Edmond Safra Campus Givat Ram, Jerusalem 17 North State Street Suite 1400 Chicago, IL 60602-3296	50,000	War and the Military in Contemporary Chinese Education The Effects of Schooling and the 'Patriotic Education' Campaign on the Attitudes of Middle-School Students toward Armed Conflict	Foreign University	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Illinois Humanities Council	3300 S Federal St Chicago, IL 60616	10,000	Continuing Ed Parents and the Future of Illinois Public Schools	PC	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000	\$ -	\$ -	\$ -
Illinois Institute of Technology	1602 L Street, NW Suite 900 Washington, DC 20036	49,876	Factors Contributing to Civic Engagement among Young Adults with Disabilities A Social Cognitive Theory Perspective	PC	\$ 49,876	\$ -	\$ -	\$ -	\$ 49,876	\$ -	\$ -	\$ -
Independent Sector	Chicago, IL 4455 Connecticut Avenue, N W Suite 310 Washington, DC 20008	12,500	2017 Annual Membership Dues	PC	\$ -	\$ -	\$ 12,500	\$ -	\$ 12,500	\$ -	\$ -	\$ -
Ingenuity	Chicago, IL 4455 Connecticut Avenue, N W Suite 310 Washington, DC 20008	525,634	Arts Education and Socio-Emotional Learning Outcomes among K-12 Students. Developing a Theory of Action	PC	\$ -	\$ -	\$ 525,634	\$ -	\$ 345,530	\$ 180,104	\$ -	\$ -
Institute for Educational Leadership, Inc.	2033 K Street NW Washington, DC 20006	58,500	Strategies to Boost College Graduation Rates Experimenting with Teacher Incentives testing pay-for-percentile in Uganda	PC	\$ -	\$ -	\$ 58,500	\$ -	\$ 58,500	\$ -	\$ -	\$ -
International Food Policy Research Institute	2033 K Street NW Washington, DC 20006	49,467		PC	\$ 49,467	\$ -	\$ -	\$ -	\$ 49,467	\$ -	\$ -	\$ -

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Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance		Newly Allocated		Amount Paid		Ending Balance	
					2017	2017	2017	2017	2017	2017	2017	2017
Ithaca Harbors Inc.	151 East 61st Street New York, NY 10065	25,000	Quality Assurance in Higher Education Convening	PC	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ -
Johns Hopkins University	3400 N Charles St, Mason Hall Baltimore, MD 21218-2688	25,000	Thurgood Marshall Alliance Opportunity Inventories Can Switching Schools and Navigating Neighborhoods Can Housing Vouchers Improve Educational Achievement for Low Income Minority Youth?	PC	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000	\$ -
Johns Hopkins University	3400 N Charles St, Mason Hall Baltimore, MD 21218-2688	291,126	Student Loan Repayment	PC	\$ 113,898	\$ -	\$ -	\$ 113,898	\$ -	\$ 113,898	\$ -	\$ -
Kansas State University	Anderson Hall Manhattan, KS 66506	40,850	Computer Lab for Virtual Lab Science Courses	PC	\$ -	\$ -	\$ 40,850	\$ -	\$ 40,850	\$ -	\$ -	\$ -
Loyola University Chicago	1032 W Sheridan Rd Chicago, IL 60660	30,000	Long-term Retention of Teachers of Color in One Urban School District Focusing on Community Cultural Wealth	PC	\$ 30,000	\$ -	\$ -	\$ -	\$ 30,000	\$ -	\$ -	\$ -
Marquette University	1250 W Wisconsin Avenue Milwaukee, WI 53233	49,924	Massachusetts Partnership for Educator Effectiveness Research	Government Agency	\$ 49,924	\$ -	\$ -	\$ -	\$ 49,924	\$ -	\$ -	\$ -
Massachusetts Department of Elementary and Secondary Education	75 Pleasant Street Malden, MA 02148-4906	399,990	Leveraging Lotteries for Value-Added Bias Reduction vs Efficiency	PC	\$ -	\$ -	\$ 399,990	\$ -	\$ 141,936	\$ 258,054	\$ -	\$ -
Massachusetts Institute of Technology	77 Massachusetts Avenue Cambridge, MA 02139-4307	996,921	Secondary Rtl in Math	PC	\$ 790,745	\$ -	\$ -	\$ -	\$ 432,052	\$ 358,693	\$ -	\$ -
Michigan State University	East Lansing, MI 48824-1046	49,628	Michigan Education Data Center	PC	\$ 49,628	\$ -	\$ -	\$ -	\$ 49,628	\$ -	\$ -	\$ -
Michigan State University	East Lansing, MI 48824-1046	50,000	Deans' Alliance Retreats, 2016 - 2020	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Michigan State University	East Lansing, MI 48824-1046	60,000	Advancing Methods to Examine the Role of Social Identities in Organizing Mathematics Classroom Learning Opportunities	PC	\$ -	\$ -	\$ -	\$ -	\$ 60,000	\$ -	\$ -	\$ -
Michigan State University	East Lansing, MI 48824-1046	49,987	2016 Civic Leadership Activities	PC	\$ 49,987	\$ -	\$ -	\$ -	\$ 49,987	\$ -	\$ -	\$ -
Mikva Challenge Grant Foundation, Inc.	332 South Michigan Avenue, Suite 400 Chicago, IL 60604	10,000	Bringing Back the Social Studies Exploring Ethnic Studies in Elementary School	PC	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000	\$ -	\$ -	\$ -
Mills College	5000 MacArthur Blvd Oakland, CA 94613	49,899	Support for American RadioWorks, 2016-2018	PC	\$ -	\$ -	\$ 49,899	\$ -	\$ -	\$ 49,899	\$ -	\$ -
Minnesota Public Radio / American Public Media	480 Cedar Street Saint Paul, MN 55101	500,000	Mechanisms of Interference in Mathematical Word Problems	PC	\$ 333,000	\$ -	\$ -	\$ -	\$ 167,000	\$ 166,000	\$ -	\$ -
Mississippi State University	Lee Boulevard Mississippi State, MS 39762	50,000	Student Loans: the Great Equalizer or Another Hurdle for Low Income Students?	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000	\$ -	\$ -
Montana State University	Montana State University 309 Bozeman, MT 59717	45,491	Immersion Programs Conference Revitalizing Endangered Indigenous Languages	Government Agency	\$ -	\$ -	\$ 45,491	\$ -	\$ 45,491	\$ -	\$ -	\$ -
Montana State University	Montana State University 309 Bozeman, MT 59717	50,000	The New 'One Best System?' Urban Governance and Educational Practice in the Portfolio Management Model	Government Agency	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Montclair State University	1 Normal Avenue Montclair, NJ 07043-1624	1,000,000	Supporting Common Core Implementation in English/Language Arts Investigating the Resource Selection and Dissemination Strategies of State Coordinators	Government Agency	\$ 559,621	\$ -	\$ -	\$ -	\$ 336,027	\$ 223,594	\$ -	\$ -
Montclair State University	1 Normal Avenue Montclair, NJ 07043-1624	49,999		Government Agency	\$ -	\$ -	\$ 49,999	\$ -	\$ 49,999	\$ -	\$ -	\$ -

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Organization	Address	Amount	Project Title	Tax Status	Beginning Balance		Newly Allocated		Amount Paid		Ending Balance	
					2017	2017	2017	2017	2017	2017	2017	2017
Morgan State University	1700 East Cold Spring Lane Baltimore, MD 21251-0001	49,708	Bridging Research and Practice in K-12 STEM Education for African American Learners	Government Agency Expenditure Responsibility	\$ 49,708	\$ -	\$ -	\$ -	\$ 49,708	\$ -	\$ -	\$ -
National Academy of Education	500 Fifth Street, NW Washington, DC 20001	4,532,863	NAEd/Spencer Dissertation Fellowship Program (Cohorts 2015-16, 2016-17, 2017-18)	Expenditure Responsibility	\$ 2,283,605	\$ -	\$ -	\$ -	\$ 1,520,557	\$ -	\$ 763,048	\$ -
National Academy of Education	500 Fifth Street, NW Washington, DC 20001	7,993,097	NAEd/Spencer Postdoctoral Fellowship Program (Cohorts 2015-16, 2016-17, 2017-18)	Expenditure Responsibility	\$ 4,023,695	\$ -	\$ -	\$ -	\$ 2,680,587	\$ -	\$ 1,343,108	\$ -
National Academy of Sciences	500 Fifth Street, NW Washington, DC 20001	35,000	Revitalizing Graduate STEM Education for the 21st Century	PC	\$ -	\$ -	\$ 35,000	\$ -	\$ 35,000	\$ -	\$ -	\$ -
National Assessment Governing Board	800 North Capitol Street NW Suite 825 WASHINGTON, DC 20002	15,000	Stakeholder Outreach Event August 2016	Government Agency	\$ -	\$ -	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ -	\$ -
National Opinion Research Center	55 E Monroe FL 20 Chicago, IL 60603	50,000	Graduate Degree Holder Career Paths Workshop	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -
National Writing Project	2105 Bancroft Way #1042 Berkeley, CA 94720-1042	399,736	Civically Engaged Writing Analysis Continuum Demonstrating Engaged Citizenship Through Writing	PC	\$ 227,716	\$ -	\$ -	\$ -	\$ 227,716	\$ -	\$ -	\$ -
National-Louis University	2840 Sheridan Road Evanston, IL 60201	579,136	Chicago Teaching Pathways Project	PC	\$ 164,788	\$ -	\$ -	\$ -	\$ 164,788	\$ -	\$ -	\$ -
New Venture Fund	734 15th Street NW Suite 600 Washington, DC 20005-1029	20,000	Funders Collaborative for Innovative Measurement 2.0	PC	\$ -	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000	\$ -
New York University	70 Washington Sq South New York, NY 10012-1091	400,000	Strengthening the Research Architecture for High Quality Universal Pre-K Leveraging the opportunity of a historic expansion	PC	\$ 239,124	\$ -	\$ -	\$ -	\$ 156,574	\$ -	\$ 82,550	\$ -
New York University	70 Washington Sq South New York, NY 10012-1091	1,000,000	Youth, Peace, and Violence in Nairobi and Karachi Testing Educational Assumptions	PC	\$ -	\$ -	\$ 1,000,000	\$ -	\$ 533,155	\$ -	\$ 466,845	\$ -
New York University	70 Washington Sq South New York, NY 10012-1091	1,000,000	The Children Cannot Wait Generating Actionable Evidence to Improve the Practice of Education in Conflict-Affected Contexts	PC	\$ -	\$ -	\$ 1,000,000	\$ -	\$ 800,528	\$ -	\$ 199,472	\$ -
New York University	70 Washington Sq South New York, NY 10012-1091	435,326	Special Education Policy Reforms Equal Opportunity at Last?	PC	\$ 294,100	\$ -	\$ -	\$ -	\$ 144,977	\$ -	\$ 149,123	\$ -
New York University	70 Washington Sq South New York, NY 10012-1091	50,000	Improving Transparency in College Costs Examining College Attendance in the era of Differential Tuition Practices	PC	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -
Northwestern University	633 Clark St Evanston, IL 60208	499,831	Deeper Learning Labs Digital Resources for Collaborative Teacher Learning	PC	\$ 398,326	\$ -	\$ -	\$ -	\$ 246,662	\$ -	\$ 151,664	\$ -
Northwestern University	633 Clark St Evanston, IL 60208	399,990	Building Infrastructure to Improve Education Practice and Research in Evanston	PC	\$ -	\$ -	\$ 399,990	\$ -	\$ 200,424	\$ -	\$ 199,566	\$ -
Northwestern University	633 Clark St Evanston, IL 60208	1,000,000	A Comparative Study of School Systems Infrastructure, Practice, and instructional improvement	PC	\$ 623,299	\$ -	\$ -	\$ -	\$ 401,729	\$ -	\$ 221,570	\$ -
Northwestern University	633 Clark St Evanston, IL 60208	539,993	After Completion The Dynamics of Career-Entry from Public and Private Two-Year Colleges	PC	\$ 182,262	\$ -	\$ -	\$ -	\$ 182,262	\$ -	\$ -	\$ -
Northwestern University	633 Clark St Evanston, IL 60208	996,985	Broadening Participation in a Computational Future Casting a Wide Net	PC	\$ 691,098	\$ -	\$ -	\$ -	\$ 336,843	\$ -	\$ 354,255	\$ -

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Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance 2017			Newly Allocated 2017			Amount Paid 2017			Ending Balance 2017		
Oregon State University	1500 S W Jefferson Corvallis, OR 97331	399,986	Oregon English Learner Alliance An Ongoing Partnership to Understand and Improve Outcomes for Current and Former English Learners in Oregon	Government Agency	\$	302,974	\$	-	\$	157,052	\$	145,932				
Oregon State University	1500 S W Jefferson Corvallis, OR 97331	45,924	Designing environments for learning about community college mathematics teaching	Government Agency	\$	-	\$	45,924	\$	45,924	\$	-				
Portland State University	724 SW Harrison Portland, OR 97201	293,291	Testing the Efficacy of Mindfulness Training for Teachers on Improving Classroom Settings for Early Adolescents	Government Agency	\$	57,942	\$	-	\$	57,942	\$	-				
President and Trustees of Colby College	4000 Mayflower Hill Drive Waterville, ME 04901-8840	49,893	Evaluating a Brief Group Intervention to Improve Social Belonging, Mental Health, and Academic Performance in Disadvantaged College Students	PC	\$	-	\$	49,893	\$	-	\$	49,893				
President and Trustees of Colby College	4000 Mayflower Hill Drive Waterville, ME 04901-8840	44,222	From Boys to Men Exploring Resistant Masculinities During Emerging Adulthood	PC	\$	44,222	\$	-	\$	44,222	\$	-				
Project Penciles, Inc.	551 Fifth Avenue, Suite 1910 New York, NY 10176-0899	40,000	Debating for Democracy 2016-2017 (D4D) on the Road Workshops	Expenditure Responsibility	\$	-	\$	40,000	\$	40,000	\$	-				
Queen's University Belfast	University Road, Belfast Northern Ireland B17 1NN, Northern Ireland,	49,267	Pioneering Parents Promoting Intergroup Relations through Shared Education in Northern Ireland	Foreign University	\$	-	\$	49,267	\$	-	\$	49,267				
Rector and Visitors of the University of Virginia	1827 University Avenue Charlottesville, VA 22903-2628	997,598	The Development of Ambitious Instruction in Elementary Mathematics and English Language Arts	PC	\$	786,847	\$	-	\$	280,291	\$	506,556				
Rector and Visitors of the University of Virginia	1827 University Avenue Charlottesville, VA 22903-2628	999,998	Teachers in the Movement Pedagogy, Activism, and Freedom	PC	\$	-	\$	999,998	\$	191,722	\$	808,276				
Rector and Visitors of the University of Virginia	1827 University Avenue Charlottesville, VA 22903-2628	50,000	Educating Against Violence Can Dialogue Change Relationships Between Police and Communities of Color?	PC	\$	-	\$	50,000	\$	50,000	\$	-				
Regents of the University of Minnesota	100 Church Street SE Minneapolis, MN 55455-0213	49,996	Understanding Barriers and Supports Affecting Prekindergarten Attendance in Families with Low-Incomes	Government Agency	\$	-	\$	49,996	\$	49,996	\$	-				
Rice University	6100 S Main Houston, TX 77005-1827	300,000	National Education Research-Practice Partnerships	PC	\$	200,000	\$	-	\$	100,000	\$	100,000				
Robert R. McCormick Foundation	205 North Michigan Avenue, Suite 4300 Chicago, IL 60601	50,000	Teacher Professional Development Activities Related to Civics in Illinois	PC	\$	50,000	\$	-	\$	-	\$	50,000				
Rutgers, The State University of New Jersey	83 Somerset St New Brunswick, NJ 08901-1281	49,698	Unequal Citizens Documenting the civic lives of American Muslim Youth	PC	\$	-	\$	49,698	\$	49,698	\$	-				
Rutgers, The State University of New Jersey	83 Somerset St New Brunswick, NJ 08901-1281	50,000	Educational Standards of Care for Transgender Children How School Leaders Develop Transgender-Inclusive Elementary Schools	PC	\$	-	\$	50,000	\$	50,000	\$	-				
San Francisco State University	1600 Holloway Ave San Francisco, CA 94132	49,947	Art of the Islamic World An Art Education Conference	Government Agency	\$	49,947	\$	-	\$	49,947	\$	-				
School of the Art Institute of Chicago	36 S Wabash Chicago, IL 60603	75,000	Critique as a Learning Strategy in Art and Design Education	PC	\$	-	\$	75,000	\$	75,000	\$	-				

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Organization	Address	Project Title	Tax Status	Beginning Balance 2017			Newly Allocated 2017			Amount Paid 2017			Ending Balance 2017		
				Grant Amount	Amount										
Search Institute	615 First Avenue NE Minneapolis, MN 55413	The Effect of a Relational Model of Perseverance on Middle School Students' Academic Trajectories and Reduction of Achievement Inequities	PC	49,708											
Society for Research on Educational Effectiveness	2040 Sheridan Road Evanston, IL 60208-0855	2017 Spring SREE Research Conference Plenary Session "In Conversation"	PC	15,000											
Society for Research on Educational Effectiveness	2040 Sheridan Road Evanston, IL 60208-0855	Building Support for the Hedges Lecture Series at the SREE Spring Conference	PC	25,000											
State University of New York at Binghamton	4400 Vestal Parkway East Binghamton, NY 13902	Measuring the Effectiveness of University Efforts to Promote Students' Intercultural Competencies A Structured Dialogue to Improve Assessment of Alternative Internationalization Strategies	Government Agency	50,000											
Strategic Education Research Partnership Institute	1100 Connecticut Ave NW Suite 1310 Washington, DC 20036	Shifting Instructional Practice in Middle Grades Mathematics A SERP-SFUSD Partnership	PC	400,006											
Strategic Education Research Partnership Institute	1100 Connecticut Ave NW Suite 1310 Washington, DC 20036	The Theory and Practice of Academically Productive Classroom Talk What We Know and What We Need to Know	PC	50,000											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	Belonging or not? West African youth's negotiations of everyday spaces	PC	49,567											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	Global Assessment, Local Use How States Use Results From International Large-Scale Assessments of Education in Policy and Practice	PC	49,249											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	Developing a web-tool for increasing the generalizability of large-scale educational evaluations	PC	493,049											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	Improve or innovate? The possibilities and challenges for educational transformation across contexts	PC	49,999											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	The Construct and Discriminant Validity of Assessing College Teaching Quality and Academic Rigor Using Observation, Survey, and Other Emerging Methods	PC	50,000											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	The Role of School Support Structures Building the Capacity of Schools As Learning Organizations	PC	499,832											
Teachers College, Columbia University	525 W 120th St New York, NY 10027	CAPSEE Public Conference 2017	PC	50,000											
Tel Aviv University	Tel Aviv, 69978	Boosting attachment security to buffer stereotype threat and improve women's STEM performance	Foreign University	49,545											
Temple University	1801 North Broad Street Philadelphia, PA 19122-6096	Civic Engagement and Critical Thinking The Promise of Community-Based Research?	PC	50,000											
Texas A&M University	805 Rudder Tower College Station, TX 77843-1244	Improving Educational and Social Opportunities with School-Community Partnerships: An Experimental and Mixed-Methods Evaluation of Houston's Arts Access Initiative	Government Agency	49,566											
The Board of Curators of the University of Missouri on behalf of the University of Missouri-Kansas City	5100 Rockhill Rd Kansas City, MO 64110	Investigating High-Leverage Early Literacy Teaching Practices in Urban Schools	Government Agency	47,716											

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The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	49,747	Black in the 'Burbs Community, Family, and Schooling in Suburbia	Government Agency	\$ -	\$ -	\$ 49,747	\$ -	\$ -	\$ -	\$ 49,747	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	149,448	District Leadership for Equity in Racially Diverse School Systems	Government Agency	\$ -	\$ -	\$ 149,448	\$ -	\$ -	\$ -	\$ 149,448	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	49,292	Language Education Policy and Identities Inclusion Cultivating Distinctiveness -- A Bi-Continental Conference Plan	Government Agency	\$ -	\$ -	\$ 49,292	\$ -	\$ 49,292	\$ -	\$ -	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	451,232	What Happened to the Public Schools of Washington, D C ?	Government Agency	\$ 221,499	\$ -	\$ -	\$ -	\$ 118,859	\$ -	\$ 102,640	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	49,891	African-American Father Perspectives on Academic Socialization and School Readiness	Government Agency	\$ 49,891	\$ -	\$ -	\$ -	\$ 49,891	\$ -	\$ -	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	49,120	Building Pathways for Fully Engaged Schools Youth Voice and Student-Teacher Relationships	Government Agency	\$ -	\$ -	\$ 49,120	\$ -	\$ 49,120	\$ -	\$ -	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	349,884	Students' commitments to the commons Civic action and learning in place-based stewardship education	Government Agency	\$ 245,537	\$ -	\$ -	\$ -	\$ 147,023	\$ -	\$ 98,514	\$ -
The Board of Regents of the University of Wisconsin System	500 Lincoln Dr Madison, WI 53706-1380	50,000	Using Multiple Discontinuities to Estimate Broad Effects of Public Need-Based Aid for College	Government Agency	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Board of Trustees of the Leland Stanford Junior University	3145 Porter Drive Stanford, CA 94305-6203	74,821	International Collaborative for Performance Assessment of Learning in Higher Education	PC	\$ -	\$ -	\$ 74,821	\$ -	\$ 74,821	\$ -	\$ -	\$ -
The Board of Trustees of the Leland Stanford Junior University	3145 Porter Drive Stanford, CA 94305-6203	50,000	Psychometric considerations of item quality in a digitally mediated learning environment	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Board of Trustees of the Leland Stanford Junior University	3145 Porter Drive Stanford, CA 94305-6203	997,071	Supporting Parents Clarifying the barriers to parental involvement in early childhood through experimental testing within a highly effective parent text messaging program	PC	\$ -	\$ -	\$ 997,071	\$ -	\$ 475,319	\$ -	\$ 521,752	\$ -
The Board of Trustees of the University of Illinois	506 S Wright St. Urbana, IL 61801	399,541	Assessing Students' Ability to Engage with Online Evidence Developing exploratory cognitive diagnosis models for educational research	PC	\$ 202,186	\$ -	\$ -	\$ -	\$ 202,186	\$ -	\$ -	\$ -
The Board of Trustees of the University of Illinois	601 S Morgan Chicago, IL 60607	50,000	Long-Term Study of Youth Mentoring	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Board of Trustees of the University of Illinois	506 S Wright St Urbana, IL 61801	25,000	An Examination of African High School English Learners' Negotiation of New Language Learning and Academic Opportunity	PC	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ -
The Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036-2188	49,754	ESSA and the Politics of Rule-Making How Did Public Comments Influence the Accountability Rule?	PC	\$ -	\$ -	\$ 49,754	\$ -	\$ -	\$ -	\$ 49,754	\$ -
The Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036-2188	25,000	Memos to the President on the Future of U S Education Policy	PC	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ -
The Carnegie Foundation for the Advancement of Teaching	51 Vista Lane Stanford, CA 94305	10,000	2017 Carnegie Foundation Summit on Improvement in Education	PC	\$ -	\$ -	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -	\$ -
The College of New Jersey	2000 Pennington Road Ewing, NJ 08628-7718	48,891	Race, Family Background, and Educational Attainment in the Early Twentieth-Century South	Government Agency	\$ 48,891	\$ -	\$ -	\$ -	\$ 48,891	\$ -	\$ -	\$ -

Part XV- Grant Contributions Paid During the Year or Approved for Future Payment

Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance 2017	Newly Allocated 2017	Amount Paid 2017	Ending Balance 2017
The Council on Quality & Leadership in Supports for People With Disabilities	100 West Road, Suite 300 Towson, MD 21204	48,980	The Impact of Occupational Therapy Graduate Education on Conscious and Unconscious Disability Attitudes	PC	\$ -	\$ 48,980	\$ 48,980	\$ -
The Foundation Center	32 Old Slip, 24th Floor New York, NY 10005	31,000	2017 Annual Contribution	PC	\$ -	\$ 31,000	\$ 31,000	\$ -
The Foundation Center	32 Old Slip, 24th Floor New York, NY 10005	40,000	2016 Annual Contribution	PC	\$ -	\$ 40,000	\$ 40,000	\$ -
The Ohio State University	190 N. Oval Mall Columbus, OH 43210	632,779	The Education Governance & Accountability Project	Government Agency	\$ 450,127	\$ -	\$ 215,179	\$ 234,948
The Pennsylvania State University	201 Old Main University Park, PA 16802	50,000	Mindfulness and Compassion in K-12 Urban Educational Reform Designing a Sustainable Future	Government Agency	\$ -	\$ 50,000	\$ 50,000	\$ -
The Pennsylvania State University	201 Old Main University Park, PA 16802	299,164	What Students Knew and Were Able To Do Two Centuries in Search of American Educational Standards	Government Agency	\$ -	\$ 299,164	\$ 138,207	\$ 160,957
The Pennsylvania State University	201 Old Main University Park, PA 16802	50,000	The Long-Term Implications of Programs for Gifted Students	Government Agency	\$ -	\$ 50,000	\$ 50,000	\$ -
The Pennsylvania State University	110 Technology Center Building University Park, PA 16802-7000	49,508	Bearing the Standard A History of the Role of Educational Standards in American Education, 1815-2015	Government Agency	\$ 49,508	\$ (49,508)	\$ -	\$ -
The Philanthropy Roundtable	1730 M Street, Suite 601 Washington, DC 20036	5,000	2016 Annual Contribution	PC	\$ -	\$ 5,000	\$ 5,000	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	263,246	Normative Case Studies of the Ethics of Educational (In)Justice	PC	\$ 55,244	\$ -	\$ 55,244	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	345,000	Liberal Arts and Sciences at DePaul University	PC	\$ 172,514	\$ -	\$ 172,514	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	49,999	What's Fair for Teachers and Students? Impacts of Within-Classroom Heterogeneity and Ability-grouping on Teacher Practice and Student Achievement	PC	\$ -	\$ 49,999	\$ 49,999	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	42,323	Measuring Bilingualism in Kindergarten Registration in Public Schools	PC	\$ -	\$ 42,323	\$ 42,323	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	241,397	Philosophy of Education Conference Series	PC	\$ 91,871	\$ -	\$ 91,871	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	50,000	The Human Side of Policy Empathy and Perspective Taking in American Education Reform	PC	\$ -	\$ 50,000	\$ 50,000	\$ -
The President and Fellows of Harvard College	Massachusetts Hall Cambridge, MA 2138	399,531	Computational Measures of Engagement Across Difference in Online Courses	PC	\$ 201,924	\$ -	\$ 201,924	\$ -
The Regents of the University of California	One Shields Avenue Davis, CA 95616-8678	50,000	Toward a Restorative Teacher Education Focused Symposium	PC	\$ -	\$ 50,000	\$ -	\$ 50,000
The Regents of the University of California	900 University Ave Riverside, CA 92521	91,305	A Critical Diversity Framework at the Intersection of Higher Education and Race Studies An Overdue Response to Shifting Contexts of Colorblind Racism and White Innocence	Government Agency	\$ -	\$ 91,305	\$ -	\$ 91,305

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Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance 2017			Newly Allocated 2017			Amount Paid 2017			Ending Balance 2017		
The Regents of the University of California	1156 High St Santa Cruz, CA 95064-1011	150,000	Improving Opportunities and Outcomes for English Learners Learning From "and About" Systematic Reform	PC	\$	-	\$	150,000	\$	-	\$	-	\$	150,000		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	50,000	The Unsung Heroes Colleges that Advance Completion and Social Mobility	PC	\$	-	\$	50,000	\$	-	\$	-	\$	50,000		
The Regents of the University of California	200 California Hall Berkeley, CA 94720	49,561	Measuring Educational Quality and Improvement in College and Career Pathways	Government Agency	\$	-	\$	49,561	\$	-	\$	-	\$	49,561		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	299,385	Examining Developmental, Contextual, and Historical Effects of Civic Engagement during the Transition to Adulthood An Integrative Interdisciplinary Approach	PC	\$	203,853	\$	-	\$	-	\$	102,638	\$	101,215		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	47,560	Making the Invisible Visible Systematically Examining Teacher Enactments of Bias with MET Data	PC	\$	-	\$	47,560	\$	-	\$	47,560	\$	-		
The Regents of the University of California	9500 Gilman Dr La Jolla, CA 92093	399,171	The Relation Between School Climate and Student Outcomes in San Diego Using Research to Tailor Socioemotional Supports in a Large Urban District	Government Agency	\$	-	\$	399,171	\$	-	\$	127,540	\$	271,631		
The Regents of the University of California	One Shields Avenue Davis, CA 95616-8678	939,274	Distinguishing between Low English Proficiency and Learning Disabilities	PC	\$	-	\$	939,274	\$	-	\$	264,036	\$	675,238		
The Regents of the University of California	5221 Cheadle Hall Santa Barbara, CA 93106	40,000	Reexamining the Philosophical Foundations of Educational Measurement	PC	\$	40,000	\$	-	\$	-	\$	40,000	\$	-		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	1,000,000	Indigenous-Language Immersion and Native American Student Achievement	PC	\$	-	\$	1,000,000	\$	-	\$	227,082	\$	772,918		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	562,853	Making the Invisible Visible Systematically Examining Classroom Enactments of Bias with MET Data	PC	\$	-	\$	562,853	\$	-	\$	255,490	\$	307,363		
The Regents of the University of California	1156 High St Santa Cruz, CA 95064-1011	999,999	English Learner Achievement in Elementary School Classroom Composition and Opportunity to Learn	PC	\$	-	\$	999,999	\$	-	\$	318,749	\$	681,250		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	49,990	Preparing asset, equity, and social-justice oriented teachers The crucial challenge for the future of teacher education	PC	\$	49,990	\$	-	\$	-	\$	49,990	\$	-		
The Regents of the University of California	501 Aldrich Hall Irvine, CA 92697	393,532	Evaluating the Quality of Universal Algebra Learning (EQUAL)	Government Agency	\$	116,167	\$	-	\$	-	\$	116,167	\$	-		
The Regents of the University of California	5221 Cheadle Hall Santa Barbara, CA 93106	141,283	The Right Environment for a New Approach Adding Content to Social Interventions in Environmental Education	PC	\$	141,283	\$	-	\$	-	\$	141,283	\$	-		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	35,000	Workshop on Humanitarianism and Mass Migration	PC	\$	-	\$	35,000	\$	-	\$	35,000	\$	-		
The Regents of the University of California	501 Aldrich Hall Irvine, CA 92697	49,998	The New Second Generation in Middle Adulthood Education, Obstacles and Opportunities	Government Agency	\$	49,998	\$	-	\$	-	\$	49,998	\$	-		
The Regents of the University of California	405 Hilgard Ave Los Angeles, CA 90095-1405	397,076	College Readiness Benchmarks in the Elementary and Middle School Years and the Strategies and Practices that Help Students Meet Them A Project of the Los Angeles Education Research Institute's Research-Practice Partnership	PC	\$	397,076	\$	-	\$	-	\$	258,157	\$	138,919		
The Regents of the University of Colorado	Regent Drive at Broadway Boulder, CO 80309-0017	50,000	Public Goods and Individual Rights Deliberative Dialogues about Opting-Out of State Assessments	PC	\$	-	\$	50,000	\$	-	\$	50,000	\$	-		

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Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance		Newly Allocated		Amount Paid		Ending Balance	
					2017		2017		2017		2017	
The Regents of the University of Colorado	Regent Drive at Broadway Boulder, CO 80309-0017	75,000	Developing a Typology of Continuous Improvement Research in Education	PC	\$ -	\$ -	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -	\$ -
The Regents of the University of Colorado	Regent Drive at Broadway Boulder, CO 80309-0017	379,025	Measurement of Young People's Policy Arguments in Authentic Contexts	PC	\$ -	\$ 211,925	\$ -	\$ -	\$ 211,925	\$ -	\$ -	\$ -
The Regents of the University of Colorado	Regent Drive at Broadway Boulder, CO 80309-0017	394,207	Assessing deliberative spaces for engagement across difference. Two new civics measurement instruments	PC	\$ -	\$ 205,736	\$ -	\$ -	\$ 205,736	\$ -	\$ -	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	921,070	Using Multiple Lenses to Investigate the Development of Content Knowledge and Teaching Practices in Relationship to Learning Opportunities	PC	\$ -	\$ 715,713	\$ -	\$ -	\$ 218,870	\$ -	\$ 496,843	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	149,995	Conceptualizing and Studying Social Emotional Learning (SEL) Practices in Schools Implications for Adolescent Ethnic-Racial Identity Development	PC	\$ -	\$ 149,995	\$ -	\$ -	\$ 149,995	\$ -	\$ -	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	4,399,988	Under Construction The Rise, Spread, and Consequences of the Common Core State Standards Initiative in the U S Education Sector	PC	\$ -	\$ -	\$ 4,399,988	\$ -	\$ 1,401,413	\$ -	\$ 2,998,575	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	50,000	Higher Education and High Skill Labor Markets	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	500,000	A New Civics Data Registry	PC	\$ -	\$ 257,350	\$ -	\$ -	\$ 124,500	\$ -	\$ 132,850	\$ -
The Regents of the University of Michigan	503 Thompson Street Ann Arbor, MI 48109	50,000	The Community-based Research on Equity, Activism, and Transformative Education (CREATE) Study	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Research Foundation for The State University of New York	P O Box 9 Albany, NY 12201-0009	50,000	Core Mathematical Knowledge and Cross-Cultural Practices for Teaching Algebraic and Functional Relations	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Research Foundation of the City University of New York	230 W 41st Street New York, NY 10036	32,841	Using Discourse Analysis to Facilitate Critical Conversations in the English Classroom	PC	\$ -	\$ -	\$ 32,841	\$ -	\$ -	\$ -	\$ 32,841	\$ -
The Research Foundation of the City University of New York	230 W 41st Street New York, NY 10036	49,992	Diversity in the Expansion of Dual Language Bilingual Education The Case of Hebrew-English Programs in New York City Public Schools	PC	\$ -	\$ -	\$ 49,992	\$ -	\$ 49,992	\$ -	\$ -	\$ -
The Trustees of Columbia University in the City of New York	West 116 St and Broadway New York, NY 10027	50,000	Learning accountability Can formal education help hold politicians to account?	PC	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -
The Trustees of Columbia University in the City of New York	West 116 St and Broadway New York, NY 10027	2,416,217	Spencer Education Journalism Fellowship Program, 2016-2020	PC	\$ -	\$ 2,416,217	\$ -	\$ -	\$ 354,552	\$ -	\$ 2,061,665	\$ -
The Trustees of Indiana University	107 South Indiana Ave Bloomington, IN 47405-7000	48,406	Strategizing College for Students on the Autism Spectrum	Government Agency	\$ -	\$ -	\$ 48,406	\$ -	\$ -	\$ -	\$ 48,406	\$ -
The Trustees of Indiana University	107 South Indiana Ave Bloomington, IN 47405-7000	369,777	The Hive Research Lab Investigating and Supporting Youth Interest-Driven Learning Pathways in Hive NYC	Government Agency	\$ -	\$ 232,388	\$ -	\$ -	\$ 146,192	\$ -	\$ 86,196	\$ -
The Trustees of Indiana University	107 South Indiana Ave Bloomington, IN 47405-7000	50,000	Disability, Discipline, and Disparities Does Special Education Help or Hurt Disadvantaged Students?	Government Agency	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ -
The Trustees of Indiana University	107 South Indiana Ave Bloomington, IN 47405-7000	149,938	Toward a Mixed-Methods Geographical Analysis of Equity in School Choice	Government Agency	\$ -	\$ 149,938	\$ -	\$ -	\$ 149,938	\$ -	\$ -	\$ -
The Trustees of the University of Pennsylvania	34th & Spruce Street Philadelphia, PA 19104-6303	399,998	Community-Based Research across Languages and Cultures Educational Access for Immigrant Populations and Students and Families of Color	PC	\$ -	\$ -	\$ 399,998	\$ -	\$ 138,291	\$ -	\$ 261,707	\$ -

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The Trustees of the University of Pennsylvania	34th & Spruce Street Philadelphia, PA 19104-6303	43,224	The Scholar at the Blackboard A History of College Teaching in America	PC	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
The Trustees of the University of Pennsylvania	34th & Spruce Street Philadelphia, PA 19104-6303	10,000	Research Conference on Understanding Student Debt Who Borrows, The Consequences of Borrowing, and The Implications for Federal Policy	PC	\$	-	\$	-	\$	10,000	\$	10,000	\$	-	\$	-
The Trustees of the University of Pennsylvania	34th & Spruce Street Philadelphia, PA 19104-6303	50,000	Equal Citizenship in the Digital Age Rethinking How Schools Prepare All Students for Their Evolving Civic Roles	PC	\$	50,000	\$	-	\$	-	\$	50,000	\$	-	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	481,830	Advancing the Measurement of Non-Cognitive Skills in Schools	PC	\$	326,296	\$	-	\$	942,348	\$	153,811	\$	172,485	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	942,348	Improving Instruction through Data Use on Teaching Quality	PC	\$	-	\$	-	\$	942,348	\$	288,765	\$	653,583	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	47,771	Increasing Degree Attainment of Low-Income Community College Students Evidence from a Randomized Controlled Experiment	PC	\$	-	\$	-	\$	47,771	\$	47,771	\$	-	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	500,000	School Closings in Chicago Understanding The Impact On Students, Teachers, And Schools	PC	\$	300,802	\$	-	\$	-	\$	194,824	\$	105,978	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	49,802	Examining the Relationship between Noncognitive Skills and Later Outcomes A Comparison of Multiple Approaches to Measurement	PC	\$	49,802	\$	-	\$	-	\$	49,802	\$	-	\$	-
The University of Chicago	5801 S Ellis Ave Chicago, IL 60637	28,000	Research Planning Summit	PC	\$	28,000	\$	-	\$	-	\$	28,000	\$	-	\$	-
The University of Texas at Austin	110 Inner Campus Drive Austin, TX 78712	50,000	Examining how familial, education, research, and political stakeholders make sense of the changed kindergarten	Government Agency	\$	-	\$	-	\$	50,000	\$	50,000	\$	-	\$	-
The University of Texas at Austin	110 Inner Campus Drive Austin, TX 78712	49,442	Racial Disparities in General Education Access for Students with Disabilities	Government Agency	\$	-	\$	-	\$	49,442	\$	49,442	\$	-	\$	-
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, MA 2467	47,846	Tracking Development Toward Living a Life of Meaning and Purpose Addressing the Challenges to Measurement	PC	\$	-	\$	-	\$	47,846	\$	-	\$	47,846	\$	-
Trustees of Boston University	One Silber Way Boston, MA 2215	350,000	The Development of Critical Consciousness in Marginalized Adolescents Attending Progressive and No Excuses Charter High Schools	PC	\$	350,000	\$	-	\$	-	\$	217,272	\$	132,728	\$	-
Trustees of Indiana University	425 University Blvd Indianapolis, IN 46202-5143	49,789	A social psychological perspective to understanding and addressing the unique challenges of women of color in science and math educational settings	Government Agency	\$	-	\$	-	\$	49,789	\$	49,789	\$	-	\$	-
Trustees of Purdue University	Hovde Hall of Administration West Lafayette, IN 47907-2040	47,655	Students' perceptions of motivational practices and norms within inquiry-based science curricular contexts, with implications for sustained motivation and engagement	PC	\$	-	\$	-	\$	47,655	\$	-	\$	47,655	\$	-
Trustees of Purdue University	Hovde Hall of Administration West Lafayette, IN 47907-2040	49,919	The Relationship between the Community of Inquiry and Student's Satisfaction and Learning A Meta-Analysis	PC	\$	-	\$	-	\$	49,919	\$	49,919	\$	-	\$	-
Trustees of Purdue University	Hovde Hall of Administration West Lafayette, IN 47907-2040	50,000	Training Effective Self-Regulated Learning with a Retrieval Practice Intervention	PC	\$	-	\$	-	\$	50,000	\$	50,000	\$	-	\$	-

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Trustees of Purdue University	Hovde Hall of Administration West Lafayette, IN 47907-2040	50,000	An Examination of Educational Outcomes in Children of Latino Migrant Farmworker Families in Rural Indiana	PC	\$ -	\$ 50,000	\$ 50,000	\$ -
University of Arizona	PO Box 3308 Tucson, AZ 85721	49,700	The Impact of Positional Identities of Bilingual and Multilingual Hispanic Teachers on Classroom Practice and Student Learning in Diverse Classrooms of Arizona	Government Agency	\$ -	\$ 49,700	\$ -	\$ 49,700
University of Arizona	PO Box 3308 Tucson, AZ 85721	49,979	Equality and Civic Participation Examining Profiles of Critical Social Analysis of Inequality	Government Agency	\$ -	\$ 49,979	\$ -	\$ 49,979
University of Arizona	PO Box 3308 Tucson, AZ 85721	45,262	Inequality in the Educational Returns to Skills Tests and Explanations	Government Agency	\$ -	\$ 45,262	\$ 45,262	\$ -
University of Connecticut	352 Mansfield Road Storrs, CT 6269	49,300	Gauging the Institutionalization of Instructional Coaching in Charter Management Organizations and Public School Districts	Government Agency	\$ -	\$ 49,300	\$ 49,300	\$ -
University of Connecticut	352 Mansfield Road Storrs, CT 6269	42,669	No Child Left Relaxed? The Effect of Test-Based Accountability on Childhood Anxiety and Mental Health	Government Agency	\$ -	\$ 42,669	\$ 42,669	\$ -
University of Edinburgh	Old College South Bridge Edinburgh, EH8 9YL	48,510	Pedagogical Listening The role of teacher listening in understanding and supporting students' verbalized struggles during whole class mathematical discussions	Foreign University	\$ 48,510	\$ -	\$ 48,510	\$ -
University of Houston	212 E Cullen Building Houston, TX 77204-2018	49,767	Defining STEM schools and estimating their effects on secondary and postsecondary student outcomes	Government Agency	\$ -	\$ 49,767	\$ 49,767	\$ -
University of Iowa	101 Jessup Hall Iowa City, IA 52242-1316	49,876	Summers Off A History of American Teachers' Other Three Months	Government Agency	\$ -	\$ 49,876	\$ 49,876	\$ -
University of Kwazulu-Natal		50,000	Towards a Transformative Education Framework in Optometry Aligning Curricula with Evidence-Based Developmental Imperatives	Foreign University	\$ -	\$ 50,000	\$ 50,000	\$ -
University of Louisville	2301 S 3rd St Louisville, KY 40292-0001	49,920	Connecting epistemic beliefs to pedagogical practice in argument-driven labs	Government Agency	\$ -	\$ 49,920	\$ 49,920	\$ -
University of Maryland at Baltimore County	1000 Hilltop Circle Baltimore, MD 21250	50,000	Understanding Engagement in College Math and Science Courses A Psychometric Study	Government Agency	\$ -	\$ 50,000	\$ -	\$ 50,000
University of Maryland at Baltimore County	1000 Hilltop Circle Baltimore, MD 21250	50,000	Impacts of Expanding Undocumented Immigrant Students' Access to Higher Education Estimates Using In-State Resident Tuition Laws and Deferred Action for Childhood Arrivals	Government Agency	\$ -	\$ 50,000	\$ 50,000	\$ -
University of Maryland at College Park	3112 Lee Building College Park, MD 20742-5141	35,884	It's More than Just Fun and Games A Play-Based Mathematics Intervention for Head Start Families	Government Agency	\$ -	\$ 35,884	\$ -	\$ 35,884
University of Maryland at College Park	3112 Lee Building College Park, MD 20742-5141	50,000	Peer relationships and academic success	Government Agency	\$ -	\$ 50,000	\$ 50,000	\$ -
University of Maryland at College Park	3112 Lee Building College Park, MD 20742-5141	138,685	Studying the context-dependent nature and effects of students' personal epistemologies Adding experimental design and quantitative analysis to my toolbox	Government Agency	\$ 138,685	\$ -	\$ 138,685	\$ -
University of Massachusetts, Amherst	374 Whitmore Building 181 Presidents Drive Amherst, MA 1003	149,956	Implicit Racial Bias and Educational Equity	Government Agency	\$ 149,956	\$ -	\$ 149,956	\$ -

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University of Miami	Office of Research Administration 1320 S Dixie Highway #650 Coral Gables, FL 33146	50,000	The Socio-Cultural Costs of Pursuing Higher Education for Women in Haiti	PC	\$ 50,000	\$ -	\$ 50,000	\$ -
University of Minnesota Foundation	200 Oak Street SE University of Minnesota Minneapolis, MN 55455-2070	43,353	Minnesota Civic Studies Initiative	PC	\$ -	\$ 43,353	\$ 43,353	\$ -
University of Nebraska Board of Regents	14th and R St Lincoln, NE 68588	49,000	Does Eviction Cause Poverty? Quasi-Experimental Evidence from Cook County, IL	Government Agency	\$ -	\$ 49,000	\$ -	\$ 49,000
University of Nebraska Board of Regents	14th and R St Lincoln, NE 68588	49,993	Learning to Teach in an Urban Teacher Residency and a Traditional Teacher Education Program A Comparative Study of Differing Program Features	Government Agency	\$ -	\$ 49,993	\$ 49,993	\$ -
University of North Carolina at Chapel Hill	103 South Bldg Cb 9100 104 Airport Drive, Suite 2200 Chapel Hill, NC 27599	48,784	Exploring Early Language and Executive Function for Deaf Preschoolers with Recent Cochlear Implants	PC	\$ -	\$ 48,784	\$ 48,784	\$ -
University of North Carolina at Chapel Hill	103 South Bldg Cb 9100 104 Airport Drive, Suite 2200 Chapel Hill, NC 27599	45,996	Does Universal Access to Free School Meals Reduce Inequality in Educational Performance and Behavior?	PC	\$ -	\$ 45,996	\$ 45,996	\$ -
University of North Carolina at Chapel Hill	103 South Bldg Cb 9100 104 Airport Drive, Suite 2200 Chapel Hill, NC 27599	48,498	Constructing Latinidad in the Rural Southeast Literacy, Identity, and Affect in the New Latino/a Diaspora	PC	\$ -	\$ 48,498	\$ 48,498	\$ -
University of North Carolina at Chapel Hill	103 South Bldg Cb 9100 104 Airport Drive, Suite 2200 Chapel Hill, NC 27599	521,245	Immigrant Parents and Children in Transition Cultural Models and Early Childhood Education	PC	\$ 214,650	\$ -	\$ 156,782	\$ 57,868
University of Notre Dame	400 Main Building Notre Dame, IN 46556	999,024	School Choice in Indiana An Examination of Impacts and the Conditions Under Which Choice is Effective	PC	\$ 644,883	\$ -	\$ 500,139	\$ 144,744
University of Notre Dame	400 Main Building Notre Dame, IN 46556	297,370	Improving teachers' use of data for instructional decisions. Using assessment portfolios for professional development	PC	\$ 62,989	\$ -	\$ 62,989	\$ -
University of Notre Dame	400 Main Building Notre Dame, IN 46556	50,000	Learning to be Loyal Political Education in Authoritarian Regimes	PC	\$ 50,000	\$ -	\$ 50,000	\$ -
University of Oregon	110 Johnson Hall Eugene, OR 97403	149,802	Reading Minds Uniting Cognitive and Psycholinguistic Perspectives with Neurobiology	Government Agency	\$ 149,802	\$ -	\$ 149,802	\$ -
University of Ottawa	75 Laurier Avenue East Ottawa, ON K1N 6N5	30,020	Exploring Medical Residents' Reactions to and Use of Parents' Assessment Feedback	Foreign University	\$ 30,020	\$ -	\$ 30,020	\$ -
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	1,000,000	Parenting Matters? Examining the Value and Optimal Approaches of Family Engagement in Educating Students of Color	PC	\$ 777,999	\$ -	\$ 267,407	\$ 510,592
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	998,917	Improvement of Mathematics Teaching At-Scale	PC	\$ -	\$ 998,917	\$ 306,093	\$ 692,824
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	49,991	Poverty and Place Differences in Low-Income Children's Achievement across Urbanicity	PC	\$ -	\$ 49,991	\$ 49,991	\$ -

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					2017	2017	2017	2017	2017	2017	2017	2017
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	399,985	The 21st Century Naturalist A Research-practice Collaboration for Informal Science Education	PC	\$ 265,859	\$ -	\$ -	\$ 136,828	\$ -	\$ 136,828	\$ 129,031	
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	49,992	Black LBGTA Students and Black Churches as Sites of Civic Opportunity	PC	\$ -	\$ -	\$ 49,992	\$ 49,992	\$ -	\$ 49,992	\$ -	
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	147,589	Using Natural Language Processing to Study Equitable and Robust Classroom Talk	PC	\$ 147,589	\$ -	\$ -	\$ -	\$ 147,589	\$ -	\$ -	
University of Pittsburgh	4200 Fifth Avenue Pittsburgh, PA 15260	298,119	Designing Organizational Routines to Support the Inclusion of Special Education Students in Secondary Schools	PC	\$ 86,932	\$ -	\$ -	\$ 86,932	\$ -	\$ 86,932	\$ -	
University of San Francisco	2130 Fulton St San Francisco, CA 94117-1080	49,333	Preparing Teachers to Teach Controversial Issues A Cross- National Study	PC	\$ -	\$ -	\$ 49,333	\$ 49,333	\$ -	\$ 49,333	\$ -	
			Choosing Social Cohesion Why are communities founding and parents embracing dual-language immersion programs for Estonian Russian- and Estonian- speaking kindergartners?	Government Agency	\$ -	\$ 33,478	\$ -	\$ -	\$ -	\$ 33,478	\$ -	
University of South Carolina	816 Bull St Columbia, SC 29208	33,478		Government Agency	\$ -	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -	\$ -	
University of South Florida	4202 East Fowler Ave Tampa, FL 33620-9951	75,000	Planning for The National Ethics Project	PC	\$ -	\$ -	\$ -	\$ -	\$ 75,000	\$ -	\$ -	
University of Southern California	University Park Los Angeles, CA 90089	149,405	Toward a Second-Personal Account of Learning from Teaching Uniting Philosophy of Education with Studies in Cognitive Development	PC	\$ -	\$ -	\$ 149,405	\$ -	\$ -	\$ 149,405	\$ -	
University of Southern California	University Park Los Angeles, CA 90089	25,000	Developing Tools for Implementing Future Faculty Models	PC	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000	\$ -	\$ -	
University of Southern Mississippi	118 College Drive # 0001 Hattiesburg, MS 39406-0001	7,678	Ethnic Schooling in the Ecclesiastical Square Educational Opportunity, Social Divide, and the Congregation of the Most Holy Redeemer in Nineteenth and Early Twentieth Century New Orleans	PC	\$ 7,678	\$ -	\$ -	\$ -	\$ 7,678	\$ -	\$ -	
University of Texas at El Paso	500 W University Ave El Paso, TX 79968-0691	15,566	Educating the Enemy Teaching Nazis and Mexicans in Cold War America	Government Agency	\$ -	\$ -	\$ 15,566	\$ 15,566	\$ -	\$ -	\$ -	
University of Texas at El Paso	500 W University Ave El Paso, TX 79968-0691	50,000	Scaling Up or Watering Down? Exploring Different Approaches to Early College High School Implementation	Government Agency	\$ -	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	
University of the Cumberland	6191 College Station Drive Williamsburg, KY 40769	60,000	Collective Responsibility Trusteeship at Small, Private Colleges	PC	\$ -	\$ -	\$ 60,000	\$ 60,000	\$ -	\$ -	\$ -	
University of Utah	201 Presidents Circle, ROOM 201 Salt Lake City, UT 84112- 9008	400,000	Career and college readiness through summer camp leadership opportunities	PC	\$ -	\$ -	\$ 400,000	\$ 128,499	\$ 128,499	\$ 271,501	\$ -	
University of Washington	4333 Brooklyn Avenue NE Box 359472 Seattle, WA 98105- 9472	400,000	Development of Practical Measures for Improving the Quality of Mathematics Classroom Practice	Government Agency	\$ 185,370	\$ -	\$ -	\$ 185,370	\$ -	\$ -	\$ -	
University of Washington	4333 Brooklyn Avenue NE Box 359472 Seattle, WA 98105- 9472	49,753	Conceptualizing and Using Teacher Mentoring Routines to Advance Pre-Service Teacher Education	Government Agency	\$ 49,753	\$ -	\$ -	\$ -	\$ 49,753	\$ -	\$ -	
Vanderbilt University	2101 West End Avenue Nashville, TN 37240	50,000	Learning from Women Leaders in America's Urban Schools	PC	\$ -	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ 50,000	\$ -	

Part XV: Grant Contributions Paid During the Year or Approved for Future Payment

Organization	Address	Grant Amount	Project Title	Tax Status	Beginning Balance 2017	Newly Allocated 2017	Amount Paid 2017	Ending Balance 2017
Yale University	Woodbridge Hall New Haven, CT 6520	399,989	Partnering to Support Early Childhood Dual Language Learners	PC	\$ -	\$ 399,989	\$ 148,166	\$ 251,823
Spencer Foundation Employee Matching Gifts					\$ 16,550	\$ 265,386	\$ 281,936	\$ -
TOTALS					\$ 26,912,719	\$ 24,887,955	\$ 28,554,522	\$ 23,246,152