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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning **04/01/16**, and ending **03/31/17**

Name of foundation THE MITSUI USA FOUNDATION		A Employer identification number 13-3415220
Number and street (or P.O. box number if mail is not delivered to street address) 200 PARK AVENUE		B Telephone number (see instructions) 212-878-4072
City or town, state or province, country, and ZIP or foreign postal code NEW YORK NY 10166		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 15,262,078	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	45,000			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	142,363	142,363		
	4 Dividends and interest from securities	199,489	199,489		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	351,670			
	b Gross sales price for all assets on line 6a	5,808,450			
	7 Capital gain net income (from Part IV, line 2)		351,670		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	738,522	693,522	0	
	13 Compensation of officers, directors, trustees, etc.	8,840			8,840
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 1	46,625	23,312		23,313
	c Other professional fees (attach schedule) Stmt 2	86,609	80,549		6,060
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 3	14,345	3,095		750
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att. sch.) Stmt 4	6,037			6,037
	24 Total operating and administrative expenses. Add lines 13 through 23	162,456	106,956	0	45,000
25 Contributions, gifts, grants paid	685,000			685,000	
26 Total expenses and disbursements. Add lines 24 and 25	847,456	106,956	0	730,000	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-108,934				
b Net investment income (if negative, enter -0-)		586,566			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see Instructions.

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13-3415220

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	22,744	14,311	14,311
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶			
	Less: allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule) Stmt 5	3,891,152	4,267,559	3,708,279
	b Investments – corporate stock (attach schedule) See Stmt 6	6,933,031	7,055,891	7,361,994
	c Investments – corporate bonds (attach schedule) See Stmt 7	3,312,948	4,027,965	3,532,679
	11 Investments – land, buildings, and equipment basis ▶			
Less: accumulated depreciation (attach sch.) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) See Statement 8	1,959,733	644,948	644,815	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation (attach sch.) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	16,119,608	16,010,674	15,262,078	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	16,119,608	16,010,674	
	30 Total net assets or fund balances (see instructions)	16,119,608	16,010,674	
	31 Total liabilities and net assets/fund balances (see instructions)	16,119,608	16,010,674	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,119,608
2 Enter amount from Part I, line 27a	2	-108,934
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	16,010,674
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	16,010,674

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,808,450		5,456,780	351,670
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			351,670
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	351,670
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	351,670

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI Instructions.	8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	11,731
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	11,731
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,731
6	Credits/Payments.		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	14,292
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	14,292
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,561
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax 2,561 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X

14 The books are in care of ▶ **YASUSHI TAKAHASHI** Telephone no. ▶ **212-878-0901**
200 PARK AVENUE
 Located at ▶ **NEW YORK** NY ZIP+4 ▶ **10166**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** Yes No **X**
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	N/A	1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PER SCHEDULE OF CONTRIBUTIONS ATTACHED (STATEMENT 11)	
	685,000
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,817,854
b	Average of monthly cash balances	1b	76,263
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	14,894,117
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	14,894,117
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	223,412
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,670,705
6	Minimum investment return. Enter 5% of line 5	6	733,535

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	733,535
2a	Tax on investment income for 2016 from Part VI, line 5	2a	11,731
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,731
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	721,804
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	721,804
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	721,804

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	730,000
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	730,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	730,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				721,804
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				17,254
c From 2013				17,144
d From 2014				
e From 2015				
f Total of lines 3a through e	34,398			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 730,000				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2016 distributable amount				721,804
e Remaining amount distributed out of corpus	8,196			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	42,594			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	42,594			
10 Analysis of line 9:				
a Excess from 2012				17,254
b Excess from 2013				17,144
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				8,196

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
N/A

b The form in which applications should be submitted and information and materials they should include:
N/A

c Any submission deadlines.
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.
N/A

Part X **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE (STATEMENT 11				685,000
Total			▶ 3a	685,000
b Approved for future payment N/A				
Total			▶ 3b	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEE	\$ 46,625	\$ 23,312	\$	\$ 23,313
Total	\$ 46,625	\$ 23,312	\$ 0	\$ 23,313

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FUND MANAGEMENT FEE	\$ 80,549	\$ 80,549	\$	\$ 6,060
MANAGEMENT FEE (SCHOLASHIP AMERI	6,060			
Total	\$ 86,609	\$ 80,549	\$ 0	\$ 6,060

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL EXCISE TAX	\$ 10,500	\$	\$	\$ 750
NYS FILING FEE	750			
FOREIGN TAX	3,095	3,095		
Total	\$ 14,345	\$ 3,095	\$ 0	\$ 750

Federal Statements

13-3415220

FYE: 3/31/2017

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
ASSOCIATION FEE	4,600			4,600
BANK SERVICE FEE	415			415
PROGRAM MATERIAL	1,022			1,022
Total	\$ 6,037	\$ 0	\$ 0	\$ 6,037

Statement 5 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US GOV'T SECURITIES	\$ 2,832,407	\$ 3,195,162		\$ 2,777,197
MORTGAGE BACKED SECURITIES	1,058,745	1,072,397		931,082
Total	\$ 3,891,152	\$ 4,267,559		\$ 3,708,279

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE STOCK	\$ 6,933,031	\$ 7,055,891		\$ 7,361,994
Total	\$ 6,933,031	\$ 7,055,891		\$ 7,361,994

Federal Statements

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Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE BONDS	\$ 3,312,948	\$ 4,027,965		\$ 3,532,679
Total	\$ 3,312,948	\$ 4,027,965		\$ 3,532,679

Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUNDS	\$ 1,959,733	\$ 644,948		\$ 644,815
Total	\$ 1,959,733	\$ 644,948		\$ 644,815

Statement 9 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
MITSUI & CO. (U.S.A.) INC.	200 PARK AVENUE	NEW YORK NY 10166
INTERCONTINENTAL TERMINALS CO, LLC.	1943 INDEPENDENCE PARKWAY S	LA PORTE TX 77571
MITSUI PLASTICS, INC.	10 BANK STREET, SUITE 1010	WHITE PLAINS NY 10606
UNITED GRAIN CORPORATION	900 WASHINGTON ST., SUITE 700	VANCOUVER WA 98660

Federal Statements

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Statement 10 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
GLENN CLARKE 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	4,744	0	0
WAICHI HO 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	1,000	0	0
YOSHIYUKI KAWASHIMA 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	3,096	0	0
WILLIAM J. MILANI 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	0	0	0
HIROHIKO MIYATA 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	0	0	0
TADASHI SANO 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	0	0	0
ANTHONY PENSABENE 200 PARK AVENUE NEW YORK NY 10166	TREASURER, D	1.00	0	0	0
YASUSHI TAKAHASHI 200 PARK AVENUE NEW YORK NY 10166	Pres., CEO &	1.00	0	0	0
ERIC B. CAMPBELL 200 PARK AVENUE NEW YORK NY 10166	VICE PRESIDE	1.00	0	0	0

Federal Statements

Statement 10 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc. (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JANET E. GARLAND 200 PARK AVENUE NEW YORK NY 10166	EXEC. DIR, S	1.00	0	0	0

THE MITSUI U.S.A. FOUNDATION
EIN: 13-3415220
FORM 990-PF

Name	Address	Status	Purpose of Contribution	Amount (\$)
Akron-Canton Regional Foodbank, OH	350 Opportunity Pkwy, Akron, OH 44307	PC	Direct Distribution Program	\$ 5,000
Alive Hospice	1718 Patterson St, Nashville, TN 37203	PC	Matching Gift	\$ 50
Amenica Needs You	589 8th Ave, New York, NY 10018	PC	Matching Volunteers	\$ 360
Amenica Needs You	589 8th Ave, New York, NY 10018	PC	Matching Volunteers	\$ 240
Amenica Needs You	589 8th Ave, New York, NY 10018	PC	Matching Volunteers	\$ 360
American Cancer Society	2000 Charlotte Avenue, Nashville, TN 37203	PC	Matching Volunteers	\$ 1,925
American Littoral Society NE Chapter	28 West 9 Rd, Broad Channel, New York 11693	PC	Matching Volunteers	\$ 775
American Littoral Society NE Chapter	28 West 9 Rd, Broad Channel, New York 11693	PC	Jamaica Bay Salt-marsh Restoration	\$ 7,500
Arthritis Foundation	122 E 42nd St #18, New York, NY 10168	PC	Matching Gift	\$ 85
Assistance League of Los Angeles	1360 St Andrews Pl N, Los Angeles, CA 90028	PC	Matching Gift	\$ 100
Baruch College Fund for Weissman Center for International Business, CUNY	One Bernard Baruch Way, New York, NY 10010	PC	Scholarship	\$ 2,500
Baruch College Fund for Weissman Center for International Business, CUNY	One Bernard Baruch Way, New York, NY 10010	PC	Scholarship	\$ 2,500
Baruch College Fund for Weissman Center for International Business, CUNY	One Bernard Baruch Way, New York, NY 10010	PC	Scholarships	\$ 50,000
Baruch College Fund for Weissman Center for International Business, CUNY	One Bernard Baruch Way, New York, NY 10010	PC	Lunchtime Forums	\$ 12,000
Baruch College Fund for Weissman Center for International Business, CUNY	One Bernard Baruch Way, New York, NY 10010	PC	PIA Fellows	\$ 5,000
Berliece Educational Institute International Association	257-35 148th Dr, Rosedale, NY 11422-3018	PC	Matching Volunteers	\$ 180
Berliece Educational Institute International Association	257-35 148th Dr, Rosedale, NY 11422-3018	PC	Matching Gift	\$ 250
Berea College	101 Chestnut St, Berea, KY 40403	PC	Matching Gift	\$ 500
Best Buddies Tennessee	116 Wilson Pike Cir #201, Brentwood, TN 37027	PC	Matching Gift	\$ 100
Boston College	Chestnut Hill, MA 02467	PC	Matching Gift	\$ 400
Boston College	Chestnut Hill, MA 02467	PC	Matching Gift	\$ 100
Boston Higashi School, MA	800 N Main St, Randolph, MA 02368	PC	Autistic Adults' Continuing Education Program	\$ 7,500
Boys & Girls Clubs of Middle Tennessee	1704 Club Pkwy, Nashville, TN 37221	PC	College Tour Program	\$ 8,000
Brooklyn School of Inquiry PTO	50 Avenue P, Brooklyn, NY 1120	PC	Matching Gift	\$ 1,000
BuildOn, Eastside Global Youth	P O Box 16741, Stamford, CT 06905	PC	Matching Gift	\$ 100
BuildOn, Eastside Global Youth	P O Box 16741, Stamford, CT 06905	PC	Matching Gift	\$ 900
Bulle-Purnasma Elementary School Foundation	102 West Portola Ave, Los Allos, CA 94022	PC	Matching Gift	\$ 1,000
Carry the Load	514 S Hall St, Dallas, TX 75226	PC	Matching Gift	\$ 50
Central Park Conservancy	14 East 60th St, New York, NY 10022	PC	Matching Volunteers	\$ 625
CETOS Research Organization	11 Des Isle Ave, Bar Harbor, ME 04609	PC	Matching Gift	\$ 25
Citymeals-on-Wheels	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Buy-A-Block, Washington Heights, NYC	\$ 15,000
Columbia University, Center on Japanese Economy and Business	3022 Broadway, Urns Hall, Rm 2M9, NY, NY 10027	PC	Japan Research Program	\$ 10,000
Columbia University, Center on Japanese Economy and Business	3022 Broadway, Urns Hall, Rm 2M9, NY, NY 10027	PC	Symposium	\$ 10,000
Columbia University, Weatherhead East Asian Institute	420 West 118 St, #9, New York, NY 10027	PC	Research Fellows (to Japan)	\$ 22,000
Columbia University, Columbia Business School	3022 Broadway, New York, NY 10027	PC	Matching Gift	\$ 50
Columbia University, School of General Studies	2970 Broadway, New York, NY 10027	PC	Matching Gift	\$ 150
Cystic Fibrosis Foundation Greater Illinois Chapter	6931 Arlington Rd, 2 Fl, Bethesda, MD 20814	PC	Research & Patient Services	\$ 10,000
Dartmouth College, Tuck School of Business, NH	100 Tuck Mall, Hanover, NH 03755	PC	Student Team to Japan	\$ 49,500
Earthjustice	50 California Street, Suite 500, San Francisco, CA 94111	PC	Matching Gift	\$ 110
Eastside Preparatory School	10613 NE 38th Pl, Kirkland, WA 98033	PC	Matching Gift	\$ 800
Encino Elementary E-Team	16951 Addison St, Encino, CA 91316	PC	Matching Gift	\$ 500
Father's Heart Ministries, Hunger Prevention Program	545 E 11th St, New York, NY 10009	PC	Matching Volunteers	\$ 500
Friends in General, Nashville General Hospital	1818 Albino Street, Nashville TN 37208-2918	PC	Matching Volunteers	\$ 500
Friends of Orange County's Homeless Pets	26423 Scott Rd, Menifee, CA 92584	PC	Matching Gift	\$ 130
Galveston Bay Foundation, TX	17330 TX-3, Webster, TX 77598	PC	Galveston Bay Estuary Preservation	\$ 10,000
Gay Men's Health Crisis, AIDS Walk NY	446 West 33rd Street, New York, NY 10001	PC	Matching Gift	\$ 125
Gay Men's Health Crisis, AIDS Walk NY	446 West 33rd Street, New York, NY 10001	PC	Matching Gift	\$ 200
Good Shepherd Shelter of Los Angeles	2561 W Venice Blvd, Los Angeles, CA 90019	PC	Transform program/domestic abuse response	\$ 3,000

Name	Address	Status	Purpose of Contribution	Amount (\$)
Good Shepherd Shelter of Los Angeles	2561 W Venice Blvd, Los Angeles, CA 90019	PC	Trauma-informed Early Childhood School	\$ 4,500
Greater Chicago Food Depository	4100 W Ann Lurie Pl, Chicago, IL 60632	PC	Matching Volunteers	\$ 500
Habitat for Humanity of Summit County, OH	2301 Romig Rd, Akron, OH 44320	PC	Adopt-A-House sponsor	\$ 5,000
Hamilton-Madison House	253 South St, 2nd Fl, New York, NY 10002	PC	Japanese Mental Health Clinic	\$ 5,000
Hamilton-Madison House	253 South St, 2nd Fl, New York, NY 10002	PC	Seniors Hot Lunch Program, Lower East Side	\$ 10,000
Houston Food Bank	535 Portwall Street, Houston, Texas 77029	PC	Kids' Backpack Buddy Program	\$ 7,500
International Community School PTSA 2889	11133 NE 65 St, Kirkland, WA 98033	PC	Matching Gift	\$ 200
International House, NY	500 Riverside Dr, New York, NY 10027	PC	Scholarships	\$ 25,000
International Rescue Committee	263 West 38 St, New York, NY 10018	PC	Matching Gift	\$ 100
Japan-America Society of Houston	4543 Post Oak Pl Dr #220, Houston, TX 77027	PC	Matching Volunteers	\$ 500
Japan-America Society of Tennessee	PO Box 330003, Nashville, TN 37203	PC	Scholarships	\$ 20,000
Japan-America Society of Tennessee	PO Box 330003, Nashville, TN 37203	PC	Japanese Speech Contest, college-level	\$ 4,500
Japan-America Student Conference, DC	1211 Connecticut Avenue NW, Suite 420, Washington, D.C. 20036	PC	Orientation / Panel activity	\$ 5,000
Japan Day Inc, NYC	1650 Broadway, Suite 1210, New York, NY 10019	PC	Matching Volunteers	\$ 500
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Community Senior Luncheons, Apple Kids	\$ 25,000
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Seniors Casework Fellow, Committee of Aging	\$ 3,000
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Matching Volunteers	\$ 3,625
Japanese American Social Services Inc	100 Gold Street, #A, New York, NY 10038	PC	Outreach & Emergency Assistance	\$ 15,000
Japanese American's Care Fund, New Library Support	4022 Hummer Rd, Amandale, VA 22003	PC	Matching Gift	\$ 25
Japanese Medical Society of America, NY	100 Park Avenue, New York, NY 10017	PC	Scholarships	\$ 15,000
John Carroll University, East Asian Studies Program, OH	1 John Carroll Blvd, University Heights, OH 44118	PC	Distinguished Lecture	\$ 4,000
John Carroll University, Study in Japan Scholarship Fund	1 John Carroll Blvd, University Heights, OH 44118	PC	Matching Gift	\$ 100
John Carroll University, History Thesis Scholarship Fund	1 John Carroll Blvd, University Heights, OH 44118	PC	Matching Gift	\$ 100
Khan Academy	1937 Landings Drive, Mountain View, CA 94043	PC	Matching Gift	\$ 50
Kimochi Inc., San Mateo	1715 Buchanan Street, San Francisco, CA 94115	PC	Cultural Recreation / Senior Services	\$ 5,000
Kiva Microfunds	875 Howard Street, Suite 340, San Francisco, CA 94103	PC	Matching Gift	\$ 158
Learning Park	2020 124th Ave NE, C201/202, Bellevue, WA 98005	PC	Matching Volunteers	\$ 90
Los Angeles Conservation Corps	605 W Olympic Blvd, Suite 450, Los Angeles, CA 90015	PC	Matching Volunteers	\$ 625
Los Angeles Regional Food Bank	1734 East 41 St, Los Angeles, CA 90058	PC	Matching Volunteers	\$ 500
March of Dimes® Music City Chapter	1101 Kermit Drive, Suite 201, Nashville, TN 37214	PC	Matching Gift(s)	\$ 3,704
March of Dimes® Music City Chapter	1101 Kermit Drive, Suite 201, Nashville, TN 37214	PC	Matching Volunteers	\$ 500
March of Dimes® Music City Chapter	1101 Kermit Drive, Suite 201, Nashville, TN 37214	PC	Matching Volunteers	\$ 500
March of Dimes® Music City Chapter	1101 Kermit Drive, Suite 201, Nashville, TN 37214	PC	March for Babies® Walkers	\$ 1,725
March of Dimes® Music City Chapter	1101 Kermit Drive, Suite 201, Nashville, TN 37214	PC	March for Babies® Collectors	\$ 200
March of Dimes® New York Div	515 Madison Ave, 20 Fl, New York, NY 10022	PC	March for Babies® Collectors	\$ 25
March of Dimes® New York Div	515 Madison Ave, 20 Fl, New York, NY 10022	PC	Matching Gift(s)	\$ 750
March of Dimes® New York Div	515 Madison Ave, 20 Fl, New York, NY 10022	PC	March for Babies® Walkers	\$ 4,100
March of Dimes® Northeast Ohio Div	5425 Warner Road, Suite 10, Cleveland, OH 44125	PC	March for Babies® Walkers	\$ 300
March of Dimes® Seattle WA	1904 3rd Avenue, Suite 230, Seattle, WA 98101	PC	March for Babies® Walkers	\$ 125
March of Dimes® Seattle WA	1904 3rd Avenue, Suite 230, Seattle, WA 98101	PC	March for Babies® Collectors	\$ 50
March of Dimes® TX Chapter Houston Div	5420 Dashwood Drive, Suite 302, Houston, TX 77081	PC	Matching Volunteers	\$ 500
March of Dimes® TX Chapter Houston Div.	5420 Dashwood Drive, Suite 302, Houston, TX 77081	PC	Matching Volunteers	\$ 500
March of Dimes® TX Chapter Houston Div	5420 Dashwood Drive, Suite 302, Houston, TX 77081	PC	March for Babies® Walkers	\$ 2,550
March of Dimes® TX Chapter Houston Div	5420 Dashwood Drive, Suite 302, Houston, TX 77081	PC	March for Babies® Collectors	\$ 25
Medals for Mettle	1400 N Ritter Avenue, Suite 221, Indianapolis, IN 46219	PC	Matching Volunteers	\$ 2,250
Medals for Mettle	1400 N Ritter Avenue, Suite 221, Indianapolis, IN 46219	PC	Matching Volunteers	\$ 1,275
Memorial Sloan Kettering Cancer Center	16 E 60th St, New York, NY 10022	PC	Matching Gift	\$ 100
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	Music Classes, YMHA/YWHA, Wash Heights	\$ 5,000
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	Music Classes, PS 72, East Harlem	\$ 10,000
Motor City Blight Busters, MI	18220 Lahser Rd, Detroit, MI 48219	PC	Farm City, intergenerational harvest garden	\$ 6,250
NYU Polytechnic School of Engineering	6 MetroTech Center, Brooklyn, NY 11201	PC	STEM Learning, MS 88, Brooklyn	\$ 26,500

Name	Address	Status	Purpose of Contribution	Amount (\$)
O-on Network	7308 Dartford Drive, #5, McLean, VA 22102	PC	Matching Volunteers	\$ 500
Our Kids Inc	1804 Hayes St, Nashville, TN 37203	PC	Matching Volunteers	\$ 500
Our Kids Inc	1804 Hayes St, Nashville, TN 37203	PC	Soup Sunday fundraiser, kids' abuse response	\$ 5,000
Pike Market Senior Center & Downtown Food Bank, WA	85 Pike St, #200, Seattle, WA 98101	PC	Nutritional Security Program	\$ 7,500
Princeton University	Princeton, NJ 08544	PC	Matching Gift	\$ 100
PupStarz Rescue	45 East 25 St, #11D, New York, NY 10010	PC	Matching Volunteers	\$ 1,000
JCA W Foundation	1819 L St NW, Washington, DC 20036	PC	Matching Volunteers	\$ 500
Research Foundation of CUNY (City University of New York)	230 West 41 St, #7, New York, NY 10036	PC	Scholarships	\$ 27,602
Richfield Parks & Recreation	75 E Center St, Richfield, UT 84701	PC	Matching Volunteers	\$ 500
Ronald McDonald House of New York	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers	\$ 500
Ronald McDonald House of New York	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers	\$ 500
Ronald McDonald House of New York	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers	\$ 90
Room in the Inn	705 Drexel St, Nashville, TN 37203	PC	Matching Gift	\$ 50
Scholarship America®, MN	c/o 1st National Bank MN, POB 240, St Peter, MN 56082	PC	Scholarships	\$ 55,000
Scholarship America®, MN	c/o 1st National Bank MN, POB 240, St Peter, MN 56082	PC	Scholarships	\$ 35,000
Second Harvest Food Bank of Middle Tennessee	331 Great Circle Rd, Nashville, TN 37228	PC	Kids' Backpack Program	\$ 5,000
Sharefest Community Development, Los Angeles	3480 Torrance Blvd, #110, Torrance, CA 90503	PC	Matching Volunteers	\$ 500
Sharefest Community Development, Los Angeles	3480 Torrance Blvd, #110, Torrance, CA 90503	PC	Youth Development Academy	\$ 2,500
Sharefest Community Development, Los Angeles	3480 Torrance Blvd, #110, Torrance, CA 90503	PC	Youth Development Academy	\$ 10,000
So Others May Eat	60 O St NW, Washington, DC 20001	PC	Matching Volunteers	\$ 500
Southern California Public Radio	474 South Raymond Avenue, Pasadena, CA 91105	PC	Matching Gift	\$ 165
Southern California Public Radio	474 South Raymond Avenue, Pasadena, CA 91105	PC	Matching Gift	\$ 115
Special Olympics New York	211 E 43 St, #802, New York, NY 10017	PC	Matching Volunteers	\$ 500
Special Olympics New York	211 E 43 St, #802, New York, NY 10017	PC	Adopt / Sponsor an Athlete	\$ 4,000
Special Olympics New York	211 E 43 St, #802, New York, NY 10017	PC	Healthy Athletes /Community	\$ 21,000
Special Olympics Ohio North	3504 Lakeview Blvd, Stow, OH 44224	PC	Matching Volunteers	\$ 500
Special Olympics Southern California	1600 Forbes Way #200, Long Beach, CA 90810	PC	Matching Volunteers	\$ 500
St. James School, Math, Science, Language Classroom Upgrade Campaign	200 South Finley Avenue, Basking Ridge, NJ 07920	PC	Matching Gift	\$ 100
St. Joseph's Indian School	1301 N Main St, Chamberlain, SD 57325	PC	Matching Gift	\$ 100
St. Jude Children's Research Hospital	501 St Jude Place, Memphis, TN 38105	PC	Matching Gift	\$ 225
St. Jude Children's Research Hospital	501 St Jude Place, Memphis, TN 38105	PC	Matching Gift	\$ 200
St. Jude Children's Research Hospital	501 St Jude Place, Memphis, TN 38105	PC	Matching Gift	\$ 25
Stanford University, Law School	559 Nathan Abbott Way, Stanford, CA 94305	PC	Matching Gift	\$ 150
Students for Senegal	701 Colony Drive, Hartsdale, NY 10530	PC	Matching Gift	\$ 250
SWEEP: Citymeals	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Homebound Seniors' Meal Deliveries	\$ 1,471
U.S. Naval Academy Foundation	247 King George St, Annapolis, MD 21402	PC	Matching Gift	\$ 1,000
University of Delaware	189 West Main St, Newark, DE 19716	PC	Matching Gift	\$ 150
Urban Justice Center	40 Rector St, 9th Fl, New York, NY 10006	PC	Matching Gift	\$ 50
Vanderbilt University Medical Center	1211 Medical Center Dr, Nashville, TN 37232	PC	Matching Gift	\$ 50
Walsh University, OH	2020 East Maple Street, North Canton, Ohio 44720	PC	Global Learning Center initiative	\$ 2,000
Walsh University, OH	2020 East Maple Street, North Canton, Ohio 44720	PC	Summer Academy, HS students' courses	\$ 4,000
Washington Center, The	1333 16 St, NW Washington, DC 20036	PC	Scholarships	\$ 5,000
WestSide Baby, WA	10002 14 Ave Southwest, Seattle, WA 98146	PC	Diaper Bank Program	\$ 10,000
Win (Women in Need)	115 West 31st Street, 7th Floor, New York, NY 10001	PC	Matching Volunteers	\$ 850
Win (Women in Need)	115 West 31st Street, 7th Floor, New York, NY 10001	PC	Matching Volunteers	\$ 500
Win (Women in Need)	115 West 31st Street, 7th Floor, New York, NY 10001	PC	Matching Gift	\$ 65
Yui-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Nutrition No Hungry Senior	\$ 3,750
Yui-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Caregivers Support Groups	\$ 1,250
Yui-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Transportation Powered by	\$ 5,000
				\$ 685,000

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2016

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

THE MITSUI USA FOUNDATION**13-3415220**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐
- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions
- exclusively*
- for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Don't complete any of the parts unless the
- General Rule**
- applies to this organization because it received
- nonexclusively*
- religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

THE MITSUI USA FOUNDATION

Employer identification number

13-3415220

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	INTERCONTINENTAL TERMINALS CO, LLC 1943 INDEPENDENCE PARKWAY S LA PORTE TX 77571	\$ 17,500	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	MITSUI & CO. (U.S.A.), INC. 200 PARK AVENUE NEW YORK NY 10166	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	MITSUI PLASTICS, INC. 10 BANK STREET, SUITE 1010 WHITE PLAINS NY 10606	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
4	UNITED GRAIN CORP 900 WASHINGTON STREET, SUITE 700 VANCOUVER WA 98660	\$ 12,500	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)