(65)

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning March 1 **, 2016, and ending** February 28 **, 20** 17

Name of foundation: The Spaulding Foundation	**A** Employer identification number: 31-1096254
Number and street (or P.O. box number if mail is not delivered to street address): 8260 Northcreek Drive — Room/suite: 340	**B** Telephone number (see instructions): (513) 936-0101
City or town, state or province, country, and ZIP or foreign postal code: Cincinnati OH 45236-6114	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . . . ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation . . ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 34,522,184

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	970,762	970,762		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2) .		1,504,193		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	970,762	2,474,955		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	169,367	35,667		133,700
	14	Other employee salaries and wages	37,167			37,167
	15	Pension plans, employee benefits	52,805	6,248		46,557
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) . . .				
	c	Other professional fees (attach schedule) Sch 1	192,326	189,071		3,255
	17	Interest				
	18	Taxes (attach schedule) (see instructions) . Sch 2	47,316	2,413		9,903
	19	Depreciation (attach schedule) and depletion Sch 3				
	20	Occupancy	19,737			19,737
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) . . Sch 4	13,115			13,115
	24	**Total operating and administrative expenses.** Add lines 13 through 23	531,833	233,399		263,434
	25	Contributions, gifts, grants paid	1,346,020			1,346,020
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,877,853	233,399		1,609,454
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(907,091)			
	b	**Net investment income** (if negative, enter -0-) .		2,241,556		
	c	**Adjusted net income** (if negative, enter -0-) . .				

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	107,449	79,303	79,303
	2	Savings and temporary cash investments	1,763,052	2,033,428	2,033,428
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule) Sch 5	4,905,692	4,972,627	4,869,453
	b	Investments—corporate stock (attach schedule) Sch 5	17,034,996	16,711,463	24,181,337
	c	Investments—corporate bonds (attach schedule) Sch 5	2,811,211	3,380,979	3,358,663
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ 31,533			
		Less: accumulated depreciation (attach schedule) ▶ Sch 3	0	0	0
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	26,622,400	27,177,800	34,522,184
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	26,622,400	27,177,800	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	26,622,400	27,177,800	
	31	**Total liabilities and net assets/fund balances** (see instructions)	26,622,400	27,177,800	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,622,400
2	Enter amount from Part I, line 27a	2	(907,091)
3	Other increases not included in line 2 (itemize) ▶ Sch 6	3	1,504,193
4	Add lines 1, 2, and 3	4	27,219,502
5	Decreases not included in line 2 (itemize) ▶ Sch 6	5	41,702
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	27,177,800

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b			Sch 9	636,112
c				
d			Sch 10	868,081
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 1,504,193

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,650,383	33,015,749	0.0500
2014	1,545,982	34,101,050	0.0453
2013	1,416,753	32,006,228	0.0443
2012	1,390,324	28,972,622	0.0480
2011	1,265,443	28,200,962	0.0449

2	**Total** of line 1, column (d)	2	0.2325
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.0465
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . .	4	32,916,365
5	Multiply line 4 by line 3	5	1,530,611
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	22,416
7	Add lines 5 and 6	7	1,553,027
8	Enter qualifying distributions from Part XII, line 4	8	1,631,870

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	22,416	00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	00
3	Add lines 1 and 2	3	22,416	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0	00
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	22,416	00
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 51,686 00			
b	Exempt foreign organizations—tax withheld at source — 6b: 0 00			
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0 00			
d	Backup withholding erroneously withheld — 6d: 0 00			
7	Total credits and payments. Add lines 6a through 6d	7	51,686	00
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached	8	0	00
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	00
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	29,270	00
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 29,270 **Refunded** ▶	11	0	00

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✔
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✔
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✔
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✔
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✔
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✔
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✔
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✔
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✔	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✔	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✔	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✔
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✔

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✔
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✔
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✔	

Website address ▶ N/A

14 The books are in care of ▶ John E. Prather Telephone no. ▶ (513) 936-0101
Located at ▶ 8260 Northcreek Drive, Suite 340, Cincinnati OH ZIP+4 ▶ 45236-6114

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✔

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of *the foreign country* ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☑ Yes ☐ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		✔

Organizations relying on a current notice regarding disaster assistance check here N/A ▶☐

		Line	Yes	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		✔

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20___, 20___, 20___, 20___

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20___, 20___, 20___, 20___

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✔
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		✔

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here N/A ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John E. Prather 1518 Nagel Road, Cincinnati OH 45255	President 40 hrs/wk	134,667	8,080	N/A
Lisa L. Prather 1031 Wittshire Circle, Cincinnati OH 45255	Trustee As Needed	10,900	N/A	N/A
James R. Marlow 7822 Bridgepoint Drive, Cincinnati OH 45248	Trustee As Needed	12,900	N/A	N/A
Linda K. Marlow 7822 Bridgepoint Drive, Cincinnati OH 45248	Trustee As Needed	10,900	N/A	N/A

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities N/A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions) N/A

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	33,209,686
b	Average of monthly cash balances	1b	207,943
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	33,417,629
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	33,417,629
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	501,264
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,916,365
6	**Minimum investment return.** Enter 5% of line 5	6	1,645,818

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,645,818
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a 22,416		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b 0		
c	Add lines 2a and 2b	2c	22,416
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,632,402
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	1,632,402
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,632,402

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,609,454
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,609,454
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	22,416
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,631,870

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7					1,632,402
2	Undistributed income, if any, as of the end of 2016:					
a	Enter amount for 2015 only				1,589,894	
b	Total for prior years: 20___,20___,20___			0		
3	Excess distributions carryover, if any, to 2016:					
a	From 2011	0				
b	From 2012	0				
c	From 2013	0				
d	From 2014	0				
e	From 2015	0				
f	**Total** of lines 3a through e		0			
4	Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 1,609,454					
a	Applied to 2015, but not more than line 2a				1,589,894	
b	Applied to undistributed income of prior years (Election required—see instructions)			0		
c	Treated as distributions out of corpus (Election required—see instructions)		0			
d	Applied to 2016 distributable amount					19,560
e	Remaining amount distributed out of corpus		0			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					1,612,842
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)		0			
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		0			
10	Analysis of line 9:					
a	Excess from 2012	0				
b	Excess from 2013	0				
c	Excess from 2014	0				
d	Excess from 2015	0				
e	Excess from 2016	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: N/A

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Laura Dunderman, 8260 Northcreek Drive, Suite 340, Cincinnati OH 45236-6114; (513) 936-0101

b The form in which applications should be submitted and information and materials they should include:

Request application from Laura Dunderman

c Any submission deadlines:

Quarterly grant applications should be received by March 1, June 1, September 1 and December 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Sch 11

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
			Sch 12	1,346,020
Total . . . . . . ▶ **3a**				1,346,020
b *Approved for future payment*				
Starfire Council of Greater Cincinnati 5030 Oaklawn Drive, Cincinnati OH 45227	N/A	501(c)(3)	Community Builders program funding staff members working with the developmentally disabled	30,000
Total . . . . . . ▶ **3b**				30,000

Part XVI-A Analysis of Income-Producing Activities N/A

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes N/A

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations N/A

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ John E. Natten 10-7-17 ▶ PRESIDENT

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Self Prepared				
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

The Spaulding Foundation EIN: 31-1096254
2016 Form 990-PF

Schedule No. 1: Other Professional Fees (Part I, Line 16c)

Investment Advisory Fees	189071	
401(k) plan and payroll administration fees	3255	
Total		192326

Schedule No. 2: Taxes (Part I, Line 18)

Federal Excise Tax	35000	
Payroll Taxes	12316	
Total		47316

The Spaulding Foundation (EIN: 31-1096254)
2016 Form 990-PF
Schedule No. 3

Fixed Assets

Office Furniture

Date Acq.	Description	Cost	Method	Life	Depr'n	2/28/17 A/D	NBV
9/10/98	Conference Room Furniture	3052.00	SL-HYC	10	0.00	3052.00	0.00
10/1/98	Lisa & Linda Furniture	3430.00	SL-HYC	10	0.00	3430.00	0.00
1/19/99	John Furniture	3151.40	SL-HYC	10	0.00	3151.40	0.00
8/12/99	Art work	399.00	SL-HYC	10	0.00	399.00	0.00
8/17/99	Office furniture	1252.00	SL-HYC	10	0.00	1252.00	0.00
9/15/99	Office furniture	312.00	SL-HYC	10	0.00	312.00	0.00
12/7/99	Bulletin Board	72.25	SL-HYC	10	0.00	72.25	0.00
	Total Office Furniture	11668.65			0.00	11668.65	0.00

Office Equipment

Date Acq.	Description	Cost	Method	Life	Depr'n	A/D	NBV
11/19/98	Phone Installation	536.00	SL-HYC	7	0.00	536.00	0.00
11/1/98	Phone System	3233.50	SL-HYC	7	0.00	3233.50	0.00
11/13/98	Copier	9086.00	SL-HYC	7	0.00	9086.00	0.00
3/22/99	Back-up system	600.00	SL-HYC	7	0.00	600.00	0.00
7/27/99	Back-up tapes	105.75	SL-HYC	7	0.00	105.75	0.00
4/8/04	Computer Equipment	4142.78	SL-HYC	7	0.00	4142.78	0.00
3/27/09	Computer Equipment	2159.98	SL-HYC	7	0.00	1697.13	0.00
	Total Office Equipment	19864.01			0.00	19401.16	0.00
Total Fixed Assets		**31532.66**			**0.00**	**31069.81**	**0.00**

The Spaulding Foundation EIN: 31-1096254
2016 Form 990-PF

Schedule No. 4: Other Expenses (Part I, Line Line 23)

General Insurance	882	
Directors and Officers Insurance	4258	
Telephone	4079	
State Filing Fee	200	
Dues and Subscriptions	2847	
Office Supplies & Expense	849	
Total		13115

The Spaulding Foundation EIN: 31-1096254
2016 Form 990-PF

Schedule No. 5: Investment Assets at February 28, 2017:

		Book Value	Fair Market Value
U.S. and State Government Obligations:			
At Schwab:			
Securities	(Sch. 7)	2265329	2197273
Accrued Interest	(Sch. 7)		52845
At UBS:			
Exchange traded funds	(Sch. 8)	970931	983384
Mutual Funds	(Sch. 8)	1736367	1628148
Accrued Interest	(Sch. 8)		7803
Total U.S. and State Government Obligations		4972627	4869453
Corporate Stock:			
At Schwab-Equities	(Sch. 7)	9417842	12423202
At UBS-Equities	(Sch. 8)	5641707	9842466
At UBS-Mutual Funds	(Sch. 8)	1651914	1915669
Total Corporate Stock		16711463	24181337
Corporate Bonds:			
At Schwab	(Sch. 7)	2220739	2198780
At UBS	(Sch. 8)	1160240	1159883
Total Corporate Bonds		3380979	3358663

Schedule No. 6: Other Increases (Decreases) in Net Assets

Realized Gains (Part III, line 3)	1504193
Adjustment to basis of fixed income assets (Part !!!, line 5)	41702

SCH 7

QTY	Market Value	Adjusted Cost Basis	Acquired	Unrealized G/L	Description - Shortened	Symbol/CUSIP	
1. Cash							
	1,227,625.13	1,227,625.13			SWXXX	SWXXX	1
	(8,997.67)	(8,997.67)			Cash	Cash	1
	1,218,627.46	**1,218,627.46**					
2. US and State Obligations							
90,000.00000	102,645.00	106,751.50	Jan 04 2016	(4,106.50)	LAS VEGAS NV COP 7.75%29	517705AN9	2
100,000.00000	112,204.00	122,002.00	Mar 13 2014	(9,798.00)	T DEV ASST/OHIO ST LIQUOR ENTER REV 6.47% 10/01/2026 TA	67755WAX3	2
89,312.97000	90,094.91	92,233.10	Feb 05 2015	(2,138.19)	FANNIE MAE 3% 1387H 06/25/2043 CMO	3136AFYS7	2
27,239.19000	29,066.29	27,588.17	Jun 25 2010	1,478.12	GINNIE MAE II II 4% 004712M 06/20/2040	36202FGV2	2
65,156.85000	71,100.20	66,205.46	Dec 16 2009	4,894.74	GINNIE MAE II II 4.5% 004577M 11/20/2039	36202FCN4	2
16,000.00000	16,810.61	16,995.00	May 04 2015	(184.39)	FREDDIE MAC 4% 3910C 12/15/2039 CMO	3137AEKU8	2
25,651.04000	25,189.89	25,220.56	May 04 2015	(30.67)	FREDDIE MAC 2% 4081 04/15/2042 CMO	3137ARTP1	2
59,000.00000	64,195.84	66,178.33	Jun 24 2015	(1,982.49)	FREDDIE MAC 5.25% 2608B 04/15/2033 CMO	31393NZE2	2
985.64000	1,075.07	1,003.65	Aug 10 2009	71.42	GNMA I 5% 718748 08/15/2039	3620A4P97	2
19,861.69000	19,358.06	19,322.97	Jul 22 2015	35.09	FREDDIE MAC 2% 4121C 07/15/2042 CMO	3137AV4S3	2
44,306.98000	47,589.99	47,699.24	Sep 18 2014	(109.25)	GNMA 4.5% 1029F 10/20/2039 CMO	38376XSQ7	2
69,701.17000	69,756.38	69,171.63	Sep 15 2014	584.75	GINNIE MAE II II 3% MA2222M 09/20/2044	36179QPF2	2
48,384.65000	53,732.02	53,144.54	Aug 27 2014	587.48	GNMA 5% 0934C 05/20/2039 CMO	38374TJ21	2
28,634.74000	27,691.28	27,866.22	Sep 04 2014	(174.94)	GNMA 1.9829% 1283A 05/16/2045 CMO	38378BWQ8	2
42,000.00000	44,635.46	45,844.38	Mar 31 2015	(1,208.92)	FREDDIE MAC 4.5% 3804E 03/15/2040 CMO	3137A7F39	2
14,912.43000	16,524.17	16,357.99	Mar 31 2015	166.18	FREDDIE MAC 5% 3098B 01/15/2036 CMO	31396GSP7	2
56,844.40000	56,313.19	61,701.17	Mar 15 2016	(5,387.98)	FREDDIE MAC 4.5% 3572A 09/15/2039 CMO	31398JT29	2
34,664.01000	37,828.90	35,129.80	Jan 15 2010	2,699.10	GINNIE MAE II II 4.5% 004617M 01/20/2040	36202FDW3	2
13,472.18000	15,136.44	13,364.04	Jul 17 2007	1,772.40	GINNIE MAE II II 6% 003998M 06/20/2037	36202ENP0	2

SCH 7 - CONT'D

26,221.47000	25,824.40	27,025.61	May 17 2016	(1,201.21)	FREDDIE MAC 4% 4094J 07/15/2042 CMO	3137ASVA9	2
74,000.00000	79,769.63	81,055.00	Oct 21 2015	(1,285.37)	FREDDIE MAC 4.5% 3840A 05/15/2035 CMO	3137A9FY7	2
31,433.34000	30,956.28	30,758.11	Aug 19 2014	198.17	FANNIE MAE 3% 1331D 04/25/2043 CMO	3136ADFZ7	2
68,369.52000	68,732.82	68,921.52	Aug 15 2014	(188.70)	GINNIE MAE II II 3% MA2147M 08/20/2044	36179QL41	2
43,038.23000	46,577.05	45,792.64	Jun 25 2014	784.41	GNMA 4.5% 0945G 05/16/2039 CMO	38374VJE0	2
815.00000	93,439.75	99,632.92	Apr 29 2013	(6,193.17)	ISHARES TIPS BOND ETF	TIP	2
825.00000	94,586.25	99,814.15	Mar 19 2013	(5,227.90)	ISHARES TIPS BOND ETF	TIP	2
121,800.00000	138,993.82	140,017.27	Mar 16 2016	(1,023.45)	US TREASURY TIP 2% 01/15/2026	912810FS2	2
100,000.00000	103,359.40	110,847.00	Nov 13 2012	(7,487.60)	US TREASU NT 2.625%11/20	912828PC8	2
100,000.00000	103,359.40	108,707.00	Oct 25 2012	(5,347.60)	US TREASU NT 2.625%11/20	912828PC8	2
100,000.00000	137,531.30	161,539.00	Aug 29 2012	(24,007.70)	US TREASURY 7.5%11/24	912810ES3	2
50,000.00000	50,296.90	50,187.50	Aug 27 2014	109.40	US TREASUR NT 1.5%08/18	912828RE2	2
25,000.00000	25,062.50	24,775.50	Nov 03 2014	287.00	US TREASUR NT 1.75%10/20	912828WC0	2
250,000.00000	247,265.75	252,205.00	May 07 2013	(4,939.25)	US TREASURY 1.75% 05/15/2022	912828SV3	2
50,000.00000	50,570.30	50,270.75	Aug 19 2014	299.55	US TREASURY 2.125% 08/15/2021	912828RC6	2
	2,197,273.25	**2,265,328.72**					
3 Corporate Bonds							
50,000.00000	48,885.25	48,485.00	Feb 19 2015	400.25	LOEWS CORPORATION 2.625% 05/15/2023 CALLABLE	540424AQ1	3
55,000.00000	59,422.00	63,608.30	Nov 08 2012	(4,186.30)	PATRIOTS ENGY S 7.509%40	70338JAC8	3
100,000.00000	101,070.00	105,025.00	Feb 20 2015	(3,955.00)	CATERPILLAR FINL 3.55%23	14912HQW3	3
99,000.00000	101,265.81	101,995.00	Feb 19 2015	(729.19)	THE MERCHANTS NATIO 3%22	588806AP4	3
250,000.00000	256,187.50	264,400.00	Jan 16 2015	(8,212.50)	ORACLE CORPORATION 3.4% 07/08/2024 CALLABLE	68389XAU9	3
100,000.00000	105,626.60	106,825.00	Jan 22 2015	(1,198.40)	PEPSICO INCORPORATED 3.6% 03/01/2024 CALLABLE	713448CM8	3
100,000.00000	101,273.80	98,150.00	Jun 11 2015	3,123.80	QUALCOMM INC 3.45% 05/20/2025 CALLABLE	747525AF0	3
100,000.00000	103,822.10	102,705.00	Jun 18 2014	1,117.10	ANHEUSER-BUSCH INBEV 3.7% 02/01/2024	03524BAE6	3
12,000.00000	12,000.00	11,913.60	Jan 07 2004	86.40	CARLISLE BARRACKS 6.5%19	14229PAB6	3

SCH 7 - CONT'D

50,000.00000	48,467.35	48,837.50	Apr 29 2015	(370.15)	TEXAS INSTRUMENTS 2.25% 05/01/2023 CALLABLE	882508AW4	3
61,000.00000	61,000.00	61,007.95	Apr 23 2004	(7.95)	PAX RIVER NAS ENERGY 7% 12/15/2019	70422XAC4	3
50,000.00000	52,357.95	53,087.50	Apr 23 2015	(729.55)	COMPUTER SCIENCE 4.45%22	205363AN4	3
50,000.00000	51,842.25	51,037.50	Nov 25 2014	804.75	ENTERGY LOUISIANA 3.3% 12/01/2022 CALLABLE	29364WAR9	3
50,000.00000	50,153.00	52,408.20	Aug 04 2016	(2,255.20)	KEYSIGHT TECH INC 4.55% 10/30/2024 CALLABLE	49338LAB9	3
50,000.00000	47,841.70	50,025.00	Mar 28 2016	(2,183.30)	TORONTO DOMINION BNK STEP 03/31/2023 CALLABLE	89114QUW6	3
50,000.00000	49,194.90	49,025.00	Feb 17 2016	169.90	APPLE INC 2.4% 05/03/2023	037833AK6	3
100,000.00000	98,389.80	95,505.00	Sep 30 2014	2,884.80	APPLE INC 2.4% 05/03/2023	037833AK6	3
100,000.00000	104,646.70	103,018.97	Jun 19 2014	1,627.73	IBM CORP 3.625% 02/12/2024	459200HU8	3
120,000.00000	135,254.76	137,200.00	Mar 01 2016	(1,945.24)	FANNIE MAE 5.5% 0527C 04/25/2035 CMO	31394CK84	3
50,000.00000	49,639.20	49,900.00	Nov 10 2015	(260.80)	TORONTO DOMINION BNK STEP 10/30/2025 CALLABLE	89114QTU2	3
32,000.00000	32,576.38	32,665.00	Mar 12 2013	(88.62)	MORGAN STANLEY CAP I 5.5% 043 04/25/2034 ABS	61745MZY2	3
100,000.00000	82,250.00	100,025.00	Jan 17 2014	(17,775.00)	PPL ENERGY SUPPLY 4.6%21	69352JAN7	3
11,312.21700	103,167.42	100,017.00	Jul 28 2016	3,150.42	TCW EMRG MKTS LOCAL	TGWIX	3
3,330.00000	96,736.50	99,993.57	Jul 28 2016	(3,257.07)	POWERSHARES EMRG MKTS	PCY	3
1,725.00000	50,111.25	49,783.50	Jun 16 2014	327.75	POWERSHARES EMRG MKTS	PCY	3
7,000.00000	66,780.00	58,397.50	May 06 2002	8,382.50	MORGAN STANLEY EMERGING	MSD	3
10,000.00000	10,066.45	10,125.00	Jun 28 2012	(58.55)	CITIGROUP MTG LN TR 5.5% 031 10/25/2033 ABS	17307GBF4	3
29,467.50000	30,083.31	29,743.76	Jul 15 2010	339.55	RESIDENTIAL ASSET 5.75% 03RM1 02/25/2033 ABS	760985TC5	3
11,260.25000	11,034.27	10,218.43	Jun 18 2013	815.84	BEAR STEARNS ARM VAR 037 10/25/2033 ABS	07384MYT3	3
50,000.00000	52,634.00	52,742.32	Nov 13 2012	(108.32)	GREEN BAY WI RDA 5.6%19	392683AA6	3
25,000.00000	25,000.00	22,868.75	Mar 25 2011	2,131.25	WACHOVIA TR III VAR PERPETUAL PFD CALLABLE	92978AAA0	3
	2,198,780.25	**2,220,739.35**					
4. Stocks							
1,597.00000	89,352.15	83,324.44	Jul 29 2015	6,027.71	CARNIVAL CORP F	CCL	4
1,132.00000	96,333.20	76,147.27	Oct 20 2014	20,185.93	OMNICOM GROUP INC	OMC	4

SCH 7 - CONT'D

2,146.00000	207,883.02	149,155.95	Jan 07 2016	58,727.07	HASBRO INC	HAS	4
1,000.00000	98,210.00	75,747.95	Sep 20 2016	22,462.05	TIME WARNER INC	TWX	4
255.00000	25,043.55	17,716.12	Dec 08 2015	7,327.43	TIME WARNER INC	TWX	4
647.00000	82,266.05	66,386.19	May 07 2015	15,879.86	STANLEY BLACK & DECK	SWK	4
623.00000	79,214.45	60,192.00	Apr 08 2015	19,022.45	STANLEY BLACK & DECK	SWK	4
485.00000	39,173.45	40,047.64	Jan 09 2014	(874.19)	SCRIPPS NTWK INTERAC	SNI	4
800.00000	64,616.00	48,168.12	Nov 13 2012	16,447.88	SCRIPPS NTWK INTERAC	SNI	4
100.00000	8,077.00	6,021.01	Nov 13 2012	2,055.99	SCRIPPS NTWK INTERAC	SNI	4
100.00000	8,077.00	6,021.71	Nov 13 2012	2,055.29	SCRIPPS NTWK INTERAC	SNI	4
100.00000	8,077.00	6,021.71	Nov 13 2012	2,055.29	SCRIPPS NTWK INTERAC	SNI	4
234.00000	15,708.42	10,900.89	Oct 31 2016	4,807.53	ADIENT PLC F	ADNT	4
984.00000	81,908.16	77,134.87	Jan 23 2017	4,773.29	WYNDHAM WORLDWIDE CO	WYN	4
1,756.00000	218,165.44	189,896.29	Oct 17 2016	28,269.15	VANGUARD SMALL CAP VALUE	VBR	4
512.41600	13,640.51	13,017.00	Jan 23 2017	623.51	DFA EMERGING MKTS VALUE	DFEVX	4
983.60700	16,327.88	14,846.07	Jan 09 2014	1,481.81	MATTHEWS ASIA DIVIDEND	MAPIX	4
1,398.60100	23,216.78	19,781.13	May 27 2011	3,435.65	MATTHEWS ASIA DIVIDEND	MAPIX	4
914.41400	18,891.79	19,698.80	Oct 09 2014	(807.01)	DFA EMERGING MKTS SMALL	DEMSX	4
990.00000	25,670.70	18,740.70	Dec 08 2015	6,930.00	SCHWAB FUNDA EMG MKTS	FNDE	4
1,174.00000	30,441.82	30,680.72	Oct 09 2014	(238.90)	SCHWAB FUNDA EMG MKTS	FNDE	4
2,328.48000	44,567.11	40,000.00	Jun 11 2012	4,567.11	DFA EMERGING MKTS CORE	DFCEX	4
311.78300	5,967.53	6,000.00	Apr 10 2012	(32.47)	DFA EMERGING MKTS CORE	DFCEX	4
391.00000	43,987.50	40,582.55	Jun 23 2016	3,404.95	CHEVRON CORPORATION	CVX	4
876.00000	98,550.00	89,310.84	May 27 2016	9,239.16	CHEVRON CORPORATION	CVX	4
735.00000	82,687.50	33,179.74	Jun 09 2004	49,507.76	CHEVRON CORPORATION	CVX	4
768.00000	36,533.76	49,874.35	Dec 09 2014	(13,340.59)	CONOCOPHILLIPS	COP	4
440.00000	20,930.80	30,037.37	Oct 20 2014	(9,106.57)	CONOCOPHILLIPS	COP	4

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407.00000	19,360.99	12,260.95	Sep 16 2004	7,100.04	CONOCOPHILLIPS	COP	4
800.00000	38,056.00	24,093.97	Sep 16 2004	13,962.03	CONOCOPHILLIPS	COP	4
1,039.00000	51,918.83	50,649.71	Jul 29 2015	1,269.12	TOTAL S A F	TOT	4
1,114.00000	55,666.58	54,204.94	Mar 19 2015	1,461.64	TOTAL S A F	TOT	4
1,143.00000	57,115.71	72,978.07	Sep 18 2014	(15,862.36)	TOTAL S A F	TOT	4
2,305.00000	156,624.75	148,220.45	Jul 29 2015	8,404.30	VALERO ENERGY CORP	VLO	4
100.00000	8,132.00	6,970.29	Aug 10 2011	1,161.71	EXXON MOBIL CORP	XOM	4
121.00000	9,839.72	7,031.78	Jul 20 2010	2,807.94	EXXON MOBIL CORP	XOM	4
400.00000	32,528.00	23,245.56	Jul 20 2010	9,282.44	EXXON MOBIL CORP	XOM	4
779.00000	63,348.28	45,270.72	Jul 20 2010	18,077.56	EXXON MOBIL CORP	XOM	4
100.00000	8,132.00	5,811.39	Jul 20 2010	2,320.61	EXXON MOBIL CORP	XOM	4
700.00000	56,924.00	40,679.72	Jul 20 2010	16,244.28	EXXON MOBIL CORP	XOM	4
100.00000	8,132.00	5,811.39	Jul 20 2010	2,320.61	EXXON MOBIL CORP	XOM	4
100.00000	8,132.00	5,811.39	Jul 20 2010	2,320.61	EXXON MOBIL CORP	XOM	4
600.00000	48,792.00	36,920.35	Jun 03 2010	11,871.65	EXXON MOBIL CORP	XOM	4
708.83300	7,180.48	6,517.00	Jan 11 2016	663.48	STONE RIDGE INTL DEV	VRFMX	4
10,830.00000	109,707.90	106,587.36	Feb 13 2014	3,120.54	STONE RIDGE INTL DEV	VRFMX	4
1,607.90100	27,913.16	22,000.00	Jun 11 2012	5,913.16	DFA INTL VALUE PORT	DFIVX	4
1,242.96000	21,577.79	19,000.00	Apr 10 2012	2,577.79	DFA INTL VALUE PORT	DFIVX	4
603.02100	10,468.44	10,000.00	Mar 02 2012	468.44	DFA INTL VALUE PORT	DFIVX	4
266.78500	16,308.57	18,020.00	Mar 06 2015	(1,711.43)	HARBOR INTERNATIONAL	HAINX	4
1,213.77600	74,198.13	80,020.00	Feb 11 2015	(5,821.87)	HARBOR INTERNATIONAL	HAINX	4
237.26400	14,503.95	17,020.00	Sep 18 2014	(2,516.05)	HARBOR INTERNATIONAL	HAINX	4
506.21900	30,945.17	35,020.00	Feb 10 2014	(4,074.83)	HARBOR INTERNATIONAL	HAINX	4
233.88100	14,297.15	14,820.00	Apr 03 2013	(522.85)	HARBOR INTERNATIONAL	HAINX	4
1,313.71500	31,292.69	25,000.00	Feb 04 2016	6,292.69	OAKMARK INTL FD INV	OAKIX	4

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2,408.11200	57,361.23	57,000.00	Feb 11 2015	361.23	OAKMARK INTL FD INV	OAKIX	4
1,830.01200	43,590.89	45,000.00	Nov 05 2014	(1,409.11)	OAKMARK INTL FD INV	OAKIX	4
3,115.91200	74,221.02	75,000.00	May 14 2013	(778.98)	OAKMARK INTL FD INV	OAKIX	4
700.00000	45,003.00	46,470.61	Feb 25 2015	(1,467.61)	ISHARE EDGE MSCI MIN VOL	EFAV	4
1,624.00000	104,406.96	99,901.68	May 14 2013	4,505.28	ISHARE EDGE MSCI MIN VOL	EFAV	4
4,273.85600	78,126.09	64,509.68	Aug 19 2011	13,616.41	DFA INTL SMALL CO PORT	DFISX	4
1,623.00000	89,265.00	79,856.49	Nov 22 2016	9,408.51	U S BANCORP	USB	4
1,522.00000	83,710.00	60,050.33	Oct 20 2014	23,659.67	U S BANCORP	USB	4
200.00000	11,576.00	6,266.33	Mar 17 2011	5,309.67	WELLS FARGO & CO	WFC	4
778.00000	45,030.64	24,376.28	Mar 17 2011	20,654.36	WELLS FARGO & CO	WFC	4
100.00000	5,788.00	3,133.15	Mar 17 2011	2,654.85	WELLS FARGO & CO	WFC	4
200.00000	11,576.00	6,266.20	Mar 17 2011	5,309.80	WELLS FARGO & CO	WFC	4
200.00000	11,576.00	6,266.20	Mar 17 2011	5,309.80	WELLS FARGO & CO	WFC	4
100.00000	5,788.00	3,133.10	Mar 17 2011	2,654.90	WELLS FARGO & CO	WFC	4
200.00000	11,576.00	6,266.20	Mar 17 2011	5,309.80	WELLS FARGO & CO	WFC	4
2,000.00000	115,760.00	57,689.30	Feb 09 2004	58,070.70	WELLS FARGO & CO	WFC	4
3,695.00000	262,862.30	181,174.80	Mar 16 2016	81,687.50	DISCOVER FINANCIAL S	DFS	4
905.00000	46,698.00	40,145.61	Apr 22 2016	6,552.39	TORONTO DOMINION BNK F	TD	4
200.00000	10,320.00	4,623.59	May 27 2009	5,696.41	TORONTO DOMINION BNK F	TD	4
400.00000	20,640.00	9,247.19	May 27 2009	11,392.81	TORONTO DOMINION BNK F	TD	4
400.00000	20,640.00	9,247.19	May 27 2009	11,392.81	TORONTO DOMINION BNK F	TD	4
527.00000	27,193.20	12,183.18	May 27 2009	15,010.02	TORONTO DOMINION BNK F	TD	4
200.00000	10,320.00	4,623.52	May 27 2009	5,696.48	TORONTO DOMINION BNK F	TD	4
200.00000	10,320.00	4,622.60	May 27 2009	5,697.40	TORONTO DOMINION BNK F	TD	4
200.00000	10,320.00	4,622.60	May 27 2009	5,697.40	TORONTO DOMINION BNK F	TD	4
200.00000	10,320.00	4,622.54	May 27 2009	5,697.46	TORONTO DOMINION BNK F	TD	4

1,521.00000	193,516.83	129,032.34	May 13 2014	64,484.49	PNC FINANCIAL SRVCS	PNC	4
553.00000	32,179.07	29,350.47	Jan 09 2015	2,828.60	BANK OF NOVA SCOTIA F	BNS	4
395.00000	22,985.05	22,050.35	Jun 05 2013	934.70	BANK OF NOVA SCOTIA F	BNS	4
1,928.00000	174,715.36	102,140.28	Jun 05 2013	72,575.08	JPMORGAN CHASE & CO	JPM	4
700.00000	85,568.00	52,397.42	Jan 11 2013	33,170.58	TRAVELERS COMPANIES	TRV	4
400.00000	48,896.00	29,937.39	Jan 11 2013	18,958.61	TRAVELERS COMPANIES	TRV	4
100.00000	12,224.00	7,484.35	Jan 11 2013	4,739.65	TRAVELERS COMPANIES	TRV	4
100.00000	12,224.00	7,484.35	Jan 11 2013	4,739.65	TRAVELERS COMPANIES	TRV	4
500.00000	61,120.00	37,421.74	Jan 11 2013	23,698.26	TRAVELERS COMPANIES	TRV	4
100.00000	12,224.00	7,484.34	Jan 11 2013	4,739.66	TRAVELERS COMPANIES	TRV	4
100.00000	12,224.00	7,484.35	Jan 11 2013	4,739.65	TRAVELERS COMPANIES	TRV	4
981.00000	135,544.77	108,910.62	Jan 19 2016	26,634.15	CHUBB LTD F	CB	4
316.00000	24,499.48	20,327.30	Sep 20 2016	4,172.18	TORCHMARK CORP	TMK	4
984.00000	76,289.52	63,297.65	Sep 20 2016	12,991.87	TORCHMARK CORP	TMK	4
696.00000	62,653.92	50,090.95	Jun 09 2015	12,562.97	HANOVER INSURANCE	THG	4
386.00000	34,747.72	23,992.98	Oct 20 2014	10,754.74	HANOVER INSURANCE	THG	4
352.00000	31,687.04	20,549.91	Jul 31 2014	11,137.13	HANOVER INSURANCE	THG	4
981.00000	88,309.62	60,056.74	May 13 2014	28,252.88	HANOVER INSURANCE	THG	4
235.00000	22,057.10	20,068.32	Jun 09 2015	1,988.78	CAPITAL ONE FINL	COF	4
588.00000	55,189.68	44,949.20	Oct 20 2014	10,240.48	CAPITAL ONE FINL	COF	4
2,098.00000	65,331.72	60,769.34	Jul 29 2015	4,562.38	BLACKSTONE MTG TR	BXMT	4
366.00000	66,996.30	17,247.50	Jul 30 2004	49,748.80	BECTON DICKINSON&CO	BDX	4
1,000.00000	183,050.00	47,004.33	Jul 30 2004	136,045.67	BECTON DICKINSON&CO	BDX	4
291.00000	37,469.16	31,646.76	Oct 17 2016	5,822.40	AETNA INC	AET	4
880.00000	113,308.80	69,720.79	Jun 09 2014	43,588.01	AETNA INC	AET	4
1,000.00000	150,130.00	62,017.95	Sep 24 2010	88,112.05	MCKESSON CORPORATION	MCK	4

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286.00000	50,487.58	33,927.46	Jan 09 2014	16,560.12	AMGEN INCORPORATED	AMGN	4
1,013.00000	178,824.89	100,821.49	Mar 27 2013	78,003.40	AMGEN INCORPORATED	AMGN	4
1,391.00000	86,019.44	75,651.53	Jan 12 2016	10,367.91	ABBVIE INC	ABBV	4
1,292.00000	44,083.04	39,914.95	Jan 09 2014	4,168.09	PFIZER INCORPORATED	PFE	4
49.30062	1,682.14	1,056.09	Mar 02 2012	626.05	PFIZER INCORPORATED	PFE	4
2,984.58345	101,833.99	63,933.47	Mar 02 2012	37,900.52	PFIZER INCORPORATED	PFE	4
758.47102	25,879.03	15,227.78	Dec 02 2011	10,651.25	PFIZER INCORPORATED	PFE	4
697.79333	23,808.71	21,232.86	Nov 26 2002	2,575.85	PFIZER INCORPORATED	PFE	4
926.85158	31,624.18	37,652.79	Feb 08 2002	(6,028.61)	PFIZER INCORPORATED	PFE	4
421.00000	51,450.41	50,337.90	Aug 26 2016	1,112.51	JOHNSON & JOHNSON	JNJ	4
1,200.00000	146,652.00	61,992.00	Jul 25 2003	84,660.00	JOHNSON & JOHNSON	JNJ	4
64.00000	7,821.44	3,422.72	Jan 24 2003	4,398.72	JOHNSON & JOHNSON	JNJ	4
1,029.00000	36,035.58	42,585.32	Jan 09 2014	(6,549.74)	TEVA PHARM INDS LTD F	TEVA	4
95.00000	17,703.25	7,466.23	Feb 10 2010	10,237.02	3M COMPANY	MMM	4
1,000.00000	186,350.00	78,591.97	Feb 10 2010	107,758.03	3M COMPANY	MMM	4
100.00000	18,635.00	7,857.60	Feb 10 2010	10,777.40	3M COMPANY	MMM	4
5.00000	931.75	392.88	Feb 10 2010	538.87	3M COMPANY	MMM	4
100.00000	18,635.00	7,857.60	Feb 10 2010	10,777.40	3M COMPANY	MMM	4
200.00000	37,270.00	15,715.19	Feb 10 2010	21,554.81	3M COMPANY	MMM	4
1,000.00000	124,500.00	114,230.99	Sep 20 2016	10,269.01	HONEYWELL INTL INC	HON	4
248.00000	30,876.00	14,173.39	Jan 17 2012	16,702.61	HONEYWELL INTL INC	HON	4
478.00000	53,798.90	35,844.72	Sep 19 2011	17,954.18	UNITED TECHNOLOGIES	UTX	4
1,400.00000	157,570.00	48,601.00	May 24 2002	108,969.00	UNITED TECHNOLOGIES	UTX	4
41.00000	5,412.41	1,734.85	Jul 20 2010	3,677.56	ILLINOIS TOOL WORKS	ITW	4
400.00000	52,804.00	16,925.39	Jul 20 2010	35,878.61	ILLINOIS TOOL WORKS	ITW	4
300.00000	39,603.00	12,694.04	Jul 20 2010	26,908.96	ILLINOIS TOOL WORKS	ITW	4

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300.00000	39,603.00	12,694.04	Jul 20 2010	26,908.96	ILLINOIS TOOL WORKS	ITW	4
172.00000	33,192.56	27,167.89	Jun 23 2016	6,024.67	FEDEX CORPORATION	FDX	4
133.00000	14,066.08	13,846.80	Apr 06 2016	219.28	UNITED PARCEL SRVC	UPS	4
751.00000	79,425.76	69,793.15	Jan 07 2016	9,632.61	UNITED PARCEL SRVC	UPS	4
784.00000	54,699.68	55,120.78	Jan 23 2017	(421.10)	CANADIAN NATL RAILWY F	CNI	4
2,454.00000	53,693.52	63,649.76	Mar 16 2016	(9,956.24)	CONVERGYS CORP	CVG	4
2,509.00000	132,224.30	142,537.49	Feb 19 2015	(10,313.19)	INTERNATIONAL PAPER	IP	4
838.00000	76,459.12	67,572.70	Jan 07 2016	8,886.42	LYONDELLBASELL INDS F	LYB	4
918.00000	83,758.32	94,072.08	Jun 09 2015	(10,313.76)	LYONDELLBASELL INDS F	LYB	4
1,349.00000	66,869.93	59,634.75	Jan 07 2016	7,235.18	BEMIS CO INC	BMS	4
2,383.00000	100,228 98	98,581.37	Jan 23 2017	1,647.61	CHARLES SCHWAB US REIT	SCHH	4
4,655.00000	195,789.30	190,768.88	Oct 17 2016	5,020.42	CHARLES SCHWAB US REIT	SCHH	4
336.00000	23,832.48	22,930.53	Oct 17 2016	901.95	WAL-MART STORES INC	WMT	4
400.00000	28,372.00	21,500.55	Apr 28 2010	6,871.45	WAL-MART STORES INC	WMT	4
1,800.00000	127,674.00	95,443.15	Feb 10 2010	32,230.85	WAL-MART STORES INC	WMT	4
701.00000	41,197.77	51,882.95	Aug 04 2016	(10,685.18)	TARGET CORPORATION	TGT	4
1,292.00000	75,930.84	101,027.85	May 05 2016	(25,097.01)	TARGET CORPORATION	TGT	4
2,093.00000	155,656.41	163,771.97	Jun 23 2016	(8,115.56)	LOWES COMPANIES INC	LOW	4
1,436.00000	104,856.72	102,487.08	Dec 08 2015	2,369.64	DOLLAR GENERAL CORP	DG	4
1,022.00000	53,603.90	63,045.71	Jun 16 2014	(9,441.81)	VF CORPORATION	VFC	4
624.00000	47,218.08	39,384.60	Mar 16 2016	7,833.48	FOOT LOCKER INC	FL	4
1,038.00000	71,186.04	50,662.83	Oct 08 2015	20,523.21	ROSS STORES INC	ROST	4
685.00000	46,977.30	33,661.14	Sep 23 2015	13,316.16	ROSS STORES INC	ROST	4
1,083.00000	46,157.46	50,312.35	Oct 08 2015	(4,154.89)	KOHLS CORP	KSS	4
461.00000	19,647.82	24,112.82	Jan 21 2014	(4,465.00)	KOHLS CORP	KSS	4
2,651.00000	160,040.87	149,180.72	Nov 09 2015	10,860.15	GENERAL MILLS INC	GIS	4

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811.00000	89,518.18	78,302.08	Jul 29 2015	11,216.10	PEPSICO INCORPORATED	PEP	4
1,700.00000	187,646.00	97,876.36	Oct 17 2005	89,769.64	PEPSICO INCORPORATED	PEP	4
2,249.00000	140,697.44	149,858.02	May 05 2016	(9,160.58)	TYSON FOODS INC	TSN	4
882.00000	72,191.70	60,303.62	Jan 23 2017	11,888.08	BUNGE LIMITED F	BG	4
1,063.00000	150,658.99	150,101.36	Aug 26 2016	557.63	J M SMUCKER CO	SJM	4
100.00000	4,259.00	2,924.13	Oct 28 2010	1,334.87	ORACLE CORPORATION	ORCL	4
200.00000	8,518.00	5,848.30	Oct 28 2010	2,669.70	ORACLE CORPORATION	ORCL	4
200.00000	8,518.00	5,848.30	Oct 28 2010	2,669.70	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
700.00000	29,813.00	20,469.04	Oct 28 2010	9,343.96	ORACLE CORPORATION	ORCL	4
300.00000	12,777.00	8,772.45	Oct 28 2010	4,004.55	ORACLE CORPORATION	ORCL	4
300.00000	12,777.00	8,772.45	Oct 28 2010	4,004.55	ORACLE CORPORATION	ORCL	4
200.00000	8,518.00	5,848.30	Oct 28 2010	2,669.70	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.15	Oct 28 2010	1,334.85	ORACLE CORPORATION	ORCL	4
300.00000	12,777.00	8,772.45	Oct 28 2010	4,004.55	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.12	Oct 28 2010	1,334.88	ORACLE CORPORATION	ORCL	4
200.00000	8,518.00	5,848.24	Oct 28 2010	2,669.76	ORACLE CORPORATION	ORCL	4
1,600.00000	68,144.00	46,784.79	Oct 28 2010	21,359.21	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.12	Oct 28 2010	1,334.88	ORACLE CORPORATION	ORCL	4
100.00000	4,259.00	2,924.12	Oct 28 2010	1,334.88	ORACLE CORPORATION	ORCL	4
800.00000	34,072.00	23,392.95	Oct 28 2010	10,679.05	ORACLE CORPORATION	ORCL	4
200.00000	8,518.00	5,848.18	Oct 28 2010	2,669.82	ORACLE CORPORATION	ORCL	4

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1,018.00000	133,469.98	26,747.42	Jun 29 2009	106,722.56	EQUIFAX INC	EFX	4
5,224.00000	334,231.52	144,117.23	Jul 14 2003	190,114.29	MICROSOFT CORP	MSFT	4
1,163.00000	142,467.50	118,472.94	Mar 07 2016	23,994.56	ACCENTURE PLC F	ACN	4
496.00000	67,947.04	48,405.65	Jan 07 2016	19,541.39	APPLE INC	AAPL	4
1,340.00000	183,566.60	150,168.01	Jan 09 2015	33,398.59	APPLE INC	AAPL	4
763.00000	120,309.84	110,247.19	Apr 06 2016	10,062.65	THERMO FISHER SCNTFC	TMO	4
3,895.00000	133,131.10	111,156.83	Mar 19 2015	21,974.27	CISCO SYSTEMS INC	CSCO	4
931.00000	71,333.22	50,301.57	May 07 2015	21,031.65	TEXAS INSTRUMENTS	TXN	4
2,198.00000	168,410.76	97,048.45	Oct 20 2014	71,362.31	TEXAS INSTRUMENTS	TXN	4
6,107.00000	221,073.40	152,977.09	Nov 21 2013	68,096.31	INTEL CORP	INTC	4
159.00000	12,188.94	12,618.43	Sep 20 2016	(429.49)	ENTERGY CORP	ETR	4
1,500.00000	76,230.00	78,371.35	Sep 20 2016	(2,141.35)	SOUTHERN COMPANY	SO	4
734.00000	49,155.98	27,533.25	Jul 28 2011	21,622.73	AMER ELECTRIC PWR CO	AEP	4
300.00000	20,091.00	11,253.37	Jul 28 2011	8,837.63	AMER ELECTRIC PWR CO	AEP	4
517.00000	34,623.49	19,393.31	Jul 28 2011	15,230.18	AMER ELECTRIC PWR CO	AEP	4
100.00000	6,697.00	3,751.12	Jul 28 2011	2,945.88	AMER ELECTRIC PWR CO	AEP	4
200.00000	13,394.00	7,502.17	Jul 28 2011	5,891.83	AMER ELECTRIC PWR CO	AEP	4
6,711.00000	183,344.52	150,858.81	May 27 2016	32,485.71	CENTERPOINT ENERGY	CNP	4
1,411.00000	112,513.14	99,863.73	May 27 2016	12,649.41	EDISON INTERNATIONAL	EIX	4
882.00000	43,773.66	25,764.51	Oct 02 2008	18,009.15	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,920.22	Oct 02 2008	2,042.78	VERIZON COMMUNICATN	VZ	4
200.00000	9,926.00	5,840.43	Oct 02 2008	4,085.57	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,920.22	Oct 02 2008	2,042.78	VERIZON COMMUNICATN	VZ	4
200.00000	9,926.00	5,840.43	Oct 02 2008	4,085.57	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,920.22	Oct 02 2008	2,042.78	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,920.22	Oct 02 2008	2,042.78	VERIZON COMMUNICATN	VZ	4

SCH 7 - CONT'D.

400.00000	19,852.00	11,677.10	Oct 02 2008	8,174.90	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
600.00000	29,778.00	17,521.28	Oct 02 2008	12,256.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
18.00000	893.34	525.47	Oct 02 2008	367.87	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	2,919.28	Oct 02 2008	2,043.72	VERIZON COMMUNICATN	VZ	4
100.00000	4,963.00	3,265.76	Sep 08 2008	1,697.24	VERIZON COMMUNICATN	VZ	4
154.00000	7,643.02	5,029.27	Sep 08 2008	2,613.75	VERIZON COMMUNICATN	VZ	4
3,500.00000	146,265.00	106,329.26	Apr 10 2012	39,935.74	A T & T INC	T	4
1,048.00000	43,795.92	31,838.02	Apr 10 2012	11,957.90	A T & T INC	T	4
	12,423,201.59	**9,417,841.56**					

18,037,882.55	**15,122,537.09**		**2,915,345.46**

ACCRUED INTEREST 52845

TOTAL FMV 18090727

SCH 8

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 ($)	Closing balance on Feb 28 ($)	Price per share on Feb 28 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
RMA GOVT MONEY MARKET FD	558,610.01	564,801.21	1.00	0.11%	Jan 1 to Jan 31	31	
UBS BANK USA BUS ACCT	250,000.00	250,000.00					250,000.00
Total	**$808,610.01**	**$814,801.21**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI: $7,514 Current yield: 4.14%	Mar 11, 15	2,935.000	56.647	166,260.41	61.840	181,500.40	15,239.99	LT
ALLSTATE CORP								
Symbol: ALL Exchange: NYSE								
EAI: $3,604 Current yield: 1.80%	Mar 26, 09	1,280.000	19.989	25,586.56	82.160	105,164.80	79,578.24	LT
	Aug 5, 09	415.000	27.999	11,619.67	82.160	34,096.40	22,476.73	LT
	Aug 23, 11	740.000	24.840	18,381.60	82 160	60,798.40	42,416.80	LT
Security total		2,435.000	22.829	55,587.83		200,059.60	144,471.77	
ALPHABET INC CL C								
Symbol: GOOG Exchange: OTC	Nov 7, 16	196.000	784.300	153,722.80	823.210	161,349.16	7,626.36	ST

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SCH 8 - CONT'D

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
AMERIPRISE FINANCIAL INC								
Symbol: AMP Exchange: NYSE								
EAI: $4,350 Current yield: 2.28%	Mar 26, 09	85.000	21.398	1,818.91	131.500	11,177.50	9,358 59	LT
	Aug 5, 09	675 000	27.935	18,856.73	131.500	88,762.50	69,905 77	LT
	Aug 23, 11	690.000	41.900	28,911.00	131.500	90,735.00	61,824.00	LT
Security total		1,450.000	34.198	49,586.64		190,675.00	141,088.36	
AMGEN INC								
Symbol: AMGN Exchange: OTC								
EAI: $5,428 Current yield: 2.61%	May 31, 07	1,180.000	56 749	66,963.82	176.530	208,305.40	141,341 58	LT
ANTHEM INC								
Symbol: ANTM Exchange: NYSE								
EAI: $2,717 Current yield: 1.58%	Dec 2, 02	1,045.000	33.570	35,080 65	164.820	172,236.90	137,156.25	LT
APPLE INC								
Symbol: AAPL Exchange: OTC								
EAI: $3,409 Current yield: 1.66%	May 25, 11	1,495.000	47 881	71,582.18	136.990	204,800.05	133,217.87	LT
AT&T INC								
Symbol: T Exchange: NYSE								
EAI. $8,683 Current yield: 4.69%	Aug 15, 05	4,430.000	24 470	108,402.10	41.790	185,129.70	76,727 60	LT
AUTOMATIC DATA PROCESSNG INC								
Symbol: ADP Exchange: OTC								
EAI: $4,047 Current yield: 2.22%	Nov 14, 12	1,775.000	48.063	85,312 52	102.620	182,150.50	96,837.98	LT
BOEING COMPANY								
Symbol: BA Exchange: NYSE								
EAI: $6,220 Current yield: 3.15%	Oct 7, 15	1,095.000	137.108	150,133.29	180.230	197,351.85	47,218.56	LT
CARDINAL HEALTH INC								
Symbol: CAH Exchange. NYSE								
EAI: $3,439 Current yield: 2.21%	Nov 28, 07	1,915.000	42.313	81,030.18	81.370	155,823.55	74,793.37	LT
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: $6,372 Current yield: 3.84%	Aug 13, 08	1,475.000	83.539	123,220.76	112.500	165,937.50	42,716.74	LT
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: $6,566 Current yield: 3.39%	Feb 10, 09	5,660 000	16.113	91,202.41	34.180	193,458.80	102,256.39	LT

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

Your Financial Advisor:
METTMAN/SHICK/GRADISON
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SCH 8 - CONT'D

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
COCA COLA EUROPEAN PARTNERS EUR Symbol: CCE Exchange: NYSE EAI: $2,552 Current yield: 2.04% EUR Exchange rate: 0.94108	Sep 22, 11	3,600.000	38.615	139,014.00	34 690	124,884.00	-14,130.00	LT
COMCAST CORP NEW CL A Symbol: CMCSA Exchange: OTC EAI: $3,119 Current yield: 1.68%	Mar 6, 12	4,950.000	14.416	71,359.45	37.420	185,229.00	113,869.55	LT
COMPUTER SCIENCES Symbol: CSC Exchange: NYSE EAI: $1,666 Current yield: 0.82%	Mar 5, 03	1,780 000	12.508	22,264.34	68.560	122,036.80	99,772.46	LT
	Aug 23, 11	1,195.000	12.211	14,592.58	68.560	81,929.20	67,336.62	LT
Security total		2,975.000	12.389	36,856.92		203,966.00	167,109.08	
CVS HEALTH CORP Symbol: CVS Exchange: NYSE EAI: $3,350 Current yield: 2.48%	Jun 21, 16	1,675.000	93.656	156,875.30	80.580	134,971.50	-21,903.80	ST
EATON CORP PLC Symbol: ETN Exchange: NYSE EAI: $6,156 Current yield: 3.33%	Mar 10, 16	2,565.000	57.084	146,422.33	71.980	184,628.70	38,206.37	ST
EXXON MOBIL CORP Symbol: XOM Exchange: NYSE EAI: $5,580 Current yield· 3.69%	Oct 14, 04	1,860.000	49.090	91,307.40	81.320	151,255.20	59,947.80	LT
GENL DYNAMICS CORP Symbol: GD Exchange: NYSE EAI: $3,177 Current yield: 1.60%	Jan 13, 06	1,045.000	58.596	61,233.39	189.810	198,351.45	137,118.06	LT
GILEAD SCIENCES INC Symbol: GILD Exchange: OTC EAI: $3,234 Current yield: 2.95%	May 15, 15	1,555.000	109 598	170,425.73	70.480	109,596.40	-60,829.33	LT
GOLDMAN SACHS GROUP INC Symbol: GS Exchange: NYSE EAI: $1,924 Current yield: 1.05%	Nov 4, 09	740.000	173.053	128,059.74	248.060	183,564.40	55,504 66	LT
HOME DEPOT INC Symbol: HD Exchange: NYSE EAI: $4,735 Current yield: 2.46%	Dec 2, 09	1,330.000	28.226	37,541.11	144.910	192,730.30	155,189.19	LT

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SCH 8 - CONT'D.

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
HONEYWELL INTL INC								
Symbol: HON Exchange: NYSE								
EAI: $4,136 Current yield: 2.14%	Apr 29, 03	1,555 000	23.027	35,807.67	124.500	193,597.50	157,789.83	LT
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: $4,992 Current yield: 2.87%	Jun 11, 08	4,800.000	22.240	106,753.44	36 200	173,760.00	67,006.56	LT
INTL BUSINESS MACH								
Symbol: IBM Exchange: NYSE								
EAI: $4,872 Current yield: 3.11%	Jan 31, 02	670.000	106.760	71,529.20	179.820	120,479.40	48,950.20	LT
	Apr 24, 02	200.000	86.720	17,344.00	179.820	35,964.00	18,620.00	LT
Security total		870.000	102.153	88,873.20		156,443.40	67,570.20	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: $5,232 Current yield: 2.62%	Dec 2, 02	1,635.000	55.250	90,333.75	122.210	199,813.35	109,479.60	LT
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: $4,090 Current yield: 2.12%	Jan 15, 08	2,130.000	40 961	87,247.14	90.620	193,020.60	105,773.46	LT
KIMBERLY CLARK CORP								
Symbol: KMB Exchange: NYSE								
EAI: $5,645 Current yield: 2.93%	Mar 22, 02	780.000	60.237	46,984.86	132.550	103,389.00	56,404.14	LT
	Jun 26, 02	400 000	58.298	23,319.56	132.550	53,020.00	29,700.44	LT
	Jan 28, 04	275.000	55.694	15,316.00	132.550	36,451.25	21,135.25	LT
Security total		1,455 000	58.846	85,620.42		192,860.25	107,239.83	
LINCOLN NATL CORP IND								
Symbol: LNC Exchange: NYSE								
EAI: $3,075 Current yield: 1.65%	Mar 26, 09	1,751.000	9.447	16,542.05	70.160	122,850.16	106,308.11	LT
	Aug 23, 11	900.000	19.027	17,124.30	70 160	63,144.00	46,019.70	LT
Security total		2,651.000	12.699	33,666.35		185,994 16	152,327.81	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAI: $3,750 Current yield: 2.13%	Jan 27, 15	2,180.000	75.668	164,957.18	80.910	176,383.80	11,426.62	LT
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI: $5,302 Current yield: 2.85%	Feb 7, 14	2,820.000	54.166	152,750 66	65.870	185,753.40	33,002.74	LT

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SCH 8 - CONT'D

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: $4,485 Current yield: 2.44%	Jun 16, 04	2,490.000	27.368	68,146.57	63.980	159,310.20	91,163.63	LT
	Mar 26, 09	385.000	18 327	7,056.20	63.980	24,632.30	17,576.10	LT
Security total		2,875.000	26.157	75,202.77		183,942.50	108,739.73	
OCCIDENTAL PETROLEUM CRP								
Symbol: OXY Exchange: NYSE								
EAI: $4,849 Current yield: 4.64%	May 7, 08	1,595.000	84.777	135,219 73	65.550	104,552.25	-30,667.48	LT
OMNICOM GROUP INC								
Symbol: OMC Exchange: NYSE								
EAI: $4,620 Current yield: 2.59%	Aug 28, 06	2,100.000	43.927	92,247.85	85.100	178,710.00	86,462.15	LT
ORACLE CORP								
Symbol: ORCL Exchange: NYSE								
EAI: $2,742 Current yield: 1.41%	Feb 16, 12	4,570.000	28.275	129,219.95	42.590	194,636.30	65,416.35	LT
PARKER HANNIFIN CORP								
Symbol: PH Exchange: NYSE								
EAI: $3,247 Current yield: 1.70%	Sep 7, 05	443.000	44.184	19,573.75	154.840	68,594.12	49,020.37	LT
	Oct 10, 05	787.000	41.482	32,646.50	154.840	121,859.08	89,212.58	LT
Security total		1,230.000	42.455	52,220.25		190,453.20	138,232.95	
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI: $4,816 Current yield: 2.73%	Jul 14, 10	1,600.000	63.490	101,584.96	110.380	176,608.00	75,023.04	LT
PFIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: $7,040 Current yield: 3.75%	Jan 18, 02	400.000	40.250	16,100.00	34.120	13,648.00	-2,452.00	LT
	May 16, 02	600 000	35.050	21,030.00	34.120	20,472.00	-558.00	LT
	Jan 28, 04	400.000	36.260	14,504.00	34.120	13,648.00	-856.00	LT
	Oct 15, 04	900.000	27.870	25,083.00	34.120	30,708.00	5,625.00	LT
	Mar 26, 09	590.000	14.438	8,518.54	34.120	20,130.80	11,612.26	LT
	Mar 3, 10	2,610 000	17.244	45,008.15	34.120	89,053.20	44,045.05	LT
Security total		5,500.000	23.681	130,243.69		187,660.00	57,416.31	

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SCH 8 - CONT'D

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
PNC FINANCIAL SERVICES GROUP								
Symbol. PNC Exchange. NYSE								
EAI: $3,333 Current yield. 1.73%	Jan 20, 11	1,050 000	60.645	63,677.99	127.230	133,591.50	69,913 51	LT
	Aug 23, 11	465.000	44.177	20,542.49	127.230	59,161.95	38,619.46	LT
Security total		1,515.000	55.591	84,220.48		192,753.45	108,532.97	
PPG INDUSTRIES INC								
Symbol: PPG Exchange: NYSE								
EAI: $2,736 Current yield: 1.56%	Mar 30, 04	210.000	28 921	6,073 41	102.430	21,510.30	15,436.89	LT
	Oct 10, 05	1,500.000	28.405	42,607 50	102.430	153,645.00	111,037.50	LT
Security total		1,710.000	28.468	48,680.91		175,155.30	126,474.39	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: $5,477 Current yield: 2.94%	Feb 24, 09	2,045 000	49.830	101,903.38	91.070	186,238 15	84,334 77	LT
QUALCOMM INC								
Symbol: QCOM Exchange: OTC								
EAI: $4,240 Current yield: 3.75%	May 22, 14	2,000.000	79 855	159,710.40	56.480	112,960.00	-46,750.40	LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol: SLB Exchange: NYSE								
EAI. $3,450 Current yield: 2.49%	Mar 5, 14	1,725.000	92.347	159,299.61	80.360	138,621 00	-20,678.61	LT
STATE STREET CORP								
Symbol: STT Exchange: NYSE								
EAI: $3,572 Current yield: 1.91%	May 21, 08	1,465.000	72.004	105,486.74	79.710	116,775.15	11,288.41	LT
	Mar 26, 09	225.000	30.099	6,772.39	79.710	17,934.75	11,162.36	LT
	Dec 16, 09	660.000	39 944	26,363.57	79.710	52,608.60	26,245.03	LT
Security total		2,350.000	58.988	138,622.70		187,318.50	48,695.80	
SYMANTEC CORP								
Symbol: SYMC Exchange: OTC								
EAI: $2,007 Current yield: 1.05%	Jun 28, 07	6,690.000	20.596	137,788.58	28.570	191,133.30	53,344.72	LT
TARGET CORP								
Symbol: TGT Exchange: NYSE								
EAI: $4,908 Current yield: 4.08%	Jun 18, 15	2,045.000	83.031	169,799.46	58.770	120,184.65	-49,614 81	LT

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

Your Financial Advisor:
METTMAN/SHICK/GRADISON
513-792-2100/800-543-2884

SCH 8 - CONT'D

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
TE CONNECTIVITY LTD CHF								
Symbol: TEL Exchange: NYSE								
EAI: $3,611 Current yield: 1.99%								
CHF Exchange rate: 1.00145	Oct 2, 07	755.000	36.009	27,187.17	74.470	56,224.85	29,037.68	LT
	Mar 26, 09	1,685.000	11.719	19,747.36	74.470	125,481.95	105,734.59	LT
Security total		2,440.000	19.235	46,934.53		181,706.80	134,772.27	
TRAVELERS COS INC/THE								
Symbol: TRV Exchange: NYSE								
EAI: $4,141 Current yield: 2.19%	Jun 6, 06	1,545.000	42.707	65,982.94	122.240	188,860.80	122,877.86	LT
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: $5,163 Current yield: 3.14%	Sep 4, 14	1,555.000	98.878	153,755.86	105.760	164,456.80	10,700.94	LT
UNITEDHEALTH GROUP INC								
Symbol: UNH Exchange: NYSE								
EAI: $2,825 Current yield: 1.51%	Sep 19, 12	1,130.000	54.868	62,001.86	165.380	186,879.40	124,877.54	LT
UNTD TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: $4,343 Current yield: 2.35%	Jun 26, 02	1,645.000	32 650	53,709.25	112.550	185,144.75	131,435.50	LT
US BANCORP DEL (NEW)								
Symbol: USB Exchange: NYSE								
EAI: $3,875 Current yield: 2.04%	Feb 21, 13	3,460.000	33.795	116,930.70	55.000	190,300.00	73,369.30	LT
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAI: $8,443 Current yield: 4.65%	Feb 4, 08	3,655.000	35.904	131,230.91	49.630	181,397.65	50,166.74	LT
VF CORP								
Symbol: VFC Exchange: NYSE								
EAI: $4,712 Current yield: 3.20%	Dec 20, 02	405.000	9.024	3,655.12	52.450	21,242.25	17,587.13	LT
	Mar 5, 03	2,400.000	8.362	20,070.00	52.450	125,880.00	105,810.00	LT
Security total		2,805.000	8.458	23,725.12		147,122.25	123,397.13	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAI: $2,410 Current yield: 1.42%	Feb 17, 16	1,545.000	95.974	148,280.03	110.090	170,089.05	21,809.02	LT
Total				**$5,641,706.69**		**$9,842,465.92**	**$4,200,759.23**	

Total estimated annual income: $239,981

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

Your Financial Advisor:
METTMAN/SHICK/GRADISON
513-792-2100/800-543-2884

SCH 8 - CONT'D

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Your assets › Equities (continued)

Mutual funds

Initial trade date represents the oldest purchase date for open tax lots on our records. You may have additional purchase lots with different trade dates that should be used to determine your holding period for tax purposes.

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMER FUNDS EUROPACIFIC									
GROWTH FUND									
CLASS F2									
Symbol: AEPFX									
Initial trade date: May 26, 06	5,662.089	43.629	166,179.33	247,035 90	47.510	269,005.84	21,969.94	102,826.51	
EAI: $3,924 Current yield: 1.46%									
AMER FUNDS NEW WORLD									
FUND CLASS F2									
Symbol: NFFFX									
Initial trade date: Apr 5, 13	2,035.391	54 392	100,000.00	110,710.25	55.020	111,987.21	1,276.96	11,987.21	
EAI: $1,335 Current yield: 1.19%									
AMER FUNDS SMALLCAP									
WORLD FUND									
CLASS F2									
Symbol: SMCFX									
Initial trade date: Jun 17, 08	8,034.314	35.780	206,632.10	287,469 26	49.570	398,260.93	110,791.67	191,628 83	
EAI: $2,346 Current yield: 0.59%									
HARBOR INTERNATIONL FUND									
Symbol: HAINX									
Initial trade date: May 26, 06	4,275.578	53.306	154,894.35	227,917 50	61.130	261,366.08	33,448.58	106,471.73	
EAI: $4,973 Current yield: 1.90%									
ROYCE TOTAL RETURN FUND									
Symbol: RYTRX									
Initial trade date: May 26, 06	62,682.635	12.424	384,257.70	778,781.25	13.960	875,049.59	96,268.34	490,791.89	

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SCH 8 - CONT'D

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI: $13,602 Current yield: 1.55%									
Total			**$1,011,963.48**	**$1,651,914.16**		**$1,915,669.65**	**$263,755.49**	**$903,706.17**	

Total estimated annual income: $26,180

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN EXPRESS CRD CORP NTS RATE 02.375% MATURES 03/24/17 ACCRUED INTEREST $1,015.97 CUSIP 0258M0DD8 Moody: A2 S&P: A- EAI: $1,188 Current yield. 2.37% Original cost basis: $101,110.00	May 25, 16	100,000.000	100.085	100,085.50	100.075	100,075.00	-10.50	ST
AMGEN INC NTS B/E RATE 02.125% MATURES 05/15/17 ACCRUED INTEREST $303.99 CUSIP 031162BQ2 Moody: Baa1 S&P: A EAI: $531 Current yield: 2.12% Original cost basis: $50,471.50	Jun 15, 16	50,000.000	100.211	50,105.95	100.200	50,100.00	-5.95	ST
CARDINAL HEALTH INC NTS CALL@MW+20BP RATE 01.900% MATURES 06/15/17 ACCRUED INTEREST $192.64 CUSIP 14149YAU2 Moody: Baa2 S&P: A- EAI: $475 Current yield: 1.90% Original cost basis: $50,359.00	May 25, 16	50,000.000	100.197	50,098.57	100.150	50,075.00	-23.57	ST

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SCH 8 - CONT'D

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
WACHOVIA CORP NEW NTS 5.750% 061517 DTD060807 FC121507 ACCRUED INTEREST $582.98 CUSIP 929903DT6 Moody: A2 S&P: A EAI: $1,438 Current yield: 5.68%								
Original cost basis: $52,332.00	May 25, 16	50,000.000	101.280	50,640.41	101.314	50,657.00	16.59	ST
ANHEUSER-BUSCH INBEV WOR CALL@MW +12BP RATE 01.375% MATURES 07/15/17 ACCRUED INTEREST $164.24 CUSIP 03523TBN7 Moody: A3 S&P: A- EAI: $688 Current yield: 1.37%								
Original cost basis: $50,123.50	Sep 23, 16	50,000 000	100.113	50,056.75	100.052	50,026.00	-30.75	ST
Original cost basis: $50,080.00	Jan 25, 17	50,000.000	100.126	50,063.06	100.052	50,026.00	-37 06	ST
Security total		100,000.000		100,119.81		100,052.00	-67.81	
WESTPAC BANKING CORP NTS B/E RATE 02.000% MATURES 08/14/17 ACCRUED INTEREST $77.78 CUSIP 961214BV4 Moody: Aa2 S&P: AA- EAI: $1,000 Current yield: 1.99%								
Original cost basis: $50,515.50	Jul 06, 16	50,000.000	100.423	50,211.76	100 358	50,179 00	-32.76	ST
Original cost basis: $50,219.50	Jan 25, 17	50,000.000	100.359	50,179 80	100.358	50,179.00	-0.80	ST
Security total		100,000.000		100,391.56		100,358.00	-33.56	
SUNTRUST BANKS INC SENIOR NTS RATE 06.000% MATURES 09/11/17 ACCRUED INTEREST $1,391.66 CUSIP 867914AZ6 Moody: Baa1 S&P: BBB+ EAI: $3,000 Current yield: 5.87%								
Original cost basis: $52,831.00	May 25, 16	50,000.000	102.321	51,160.53	102.277	51,138 50	-22.03	ST

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

Your Financial Advisor:
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SCH 8 - CONT'D

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
ASTRAZENECA PLC FOREIGN SECURITY RATE 05.900% MATURES 09/15/17 CALL@MW+25BPS ACCRUED INTEREST $1,335.69 ISIN US046353AB45 Moody: A3 S&P: A- EAI: $2,950 Current yield: 5.76%								
Original cost basis: $52,983.00	May 25, 16	50,000.000	102.473	51,236 68	102.380	51,190.00	-46.68	ST
ROYAL BANK OF CANADA MED TERM NTS RATE 01.400% MATURES 10/13/17 ACCRUED INTEREST $525.00 CUSIP 78010U4A2 Moody: Aa3 S&P: AA- EAI: $1,400 Current yield: 1.40%								
Original cost basis: $100,394.00	Jul 18, 16	100,000.000	100.197	100,197.08	100.087	100,087.00	-110 08	ST
TRANSCANADA PIPELINES CALL@MW+12.5BP RATE 01.625% MATURES 11/09/17 ACCRUED INTEREST $492.01 CUSIP 89352HAQ2 Moody: A3 S&P: A- EAI: $1,625 Current yield: 1.62%								
Original cost basis: $50,231.50	Oct 20, 16	50,000.000	100.303	50,151.71	100.062	50,031.00	-120.71	ST
Original cost basis: $50,071.00	Jan 25, 17	50,000.000	100.124	50,062.02	100.062	50,031.00	-31.02	ST
Security total		100,000.000		100,213.73		100,062.00	-151.73	
AT&T INC NTS B/E CALL@MW+15BP RATE 01.400% MATURES 12/01/17 ACCRUED INTEREST $169.16 CUSIP 00206RBM3 Moody: Baa1 S&P: BBB+ EAI: $700 Current yield: 1.40%								
Original cost basis: $50,058.50	May 25, 16	50,000.000	100.058	50,029.06	99.939	49,969.50	-59.56	ST

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SCH 8 - CONT'D

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
WESTPAC BANKING CORP NTS B/E RATE 01.500% MATURES 12/01/17 ACCRUED INTEREST $181.25 CUSIP 961214CK7 Moody: Aa2 S&P: AA- EAI: $750 Current yield: 1.50%								
Original cost basis: $50,186.50	May 25, 16	50,000.000	100.185	50,092.62	100.125	50,062.50	-30.12	ST
TRANS-CANADA PIPLELINES NTS B/E RATE 01.875% MATURES 01/12/18 ACCRUED INTEREST $119.79 CUSIP 89352HAN9 Moody: A3 S&P: A- EAI: $938 Current yield: 1.87%								
Original cost basis: $50,348.00	Oct 20, 16	50,000.000	100 490	50,245.27	100.184	50,092.00	-153.27	ST
SNAP ON INC B/E RATE 04.250% MATURES 01/15/18 ACCRUED INTEREST $253.82 CUSIP 833034AJ0 Moody: A2 S&P: A- EAI: $2,125 Current yield: 4.15%								
Original cost basis: $51,311.50	Jan 25, 17	50,000.000	102 354	51,177.10	102 426	51,213 00	35.90	ST
UNITEDHEALTH GROUP INC CALL @MW +35BP RATE 06.000% MATURES 02/15/18 ACCRUED INTEREST $216.67 CUSIP 91324PBJ0 Moody: A3 S&P: A+ EAI: $6,000 Current yield: 5.75%								
Original cost basis: $52,293.00	Jan 25, 17	50,000.000	104.153	52,076.69	104.360	52,180.00	103.31	ST
Original cost basis: $52,302.00	Feb 02, 17	50,000 000	104.247	52,123.71	104.360	52,180.00	56.29	ST
Security total		100,000.000		104,200.40		104,360.00	159.60	

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

Your Financial Advisor:
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SCH B - CONT'D

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Feb 28 ($)	Value on Feb 28 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE & CO NTS B/E RATE 01.700% MATURES 03/01/18 CALLABLE ACCRUED INTEREST $417.91 CUSIP 46623EKD0 Moody: A3 S&P: A- EAI: $850 Current yield: 1.70% Original cost basis: $50,035.50	Jan 25, 17	50,000 000	100.064	50,032.29	100.208	50,104.00	71.71	ST
MORGAN STANLEY NTS B/E RATE 02.125% MATURES 04/25/18 ACCRUED INTEREST $363.02 CUSIP 6174467U7 Moody: A3 S&P: BBB+ EAI: $1,063 Current yield: 2.11% Original cost basis: $50,232.00	Jan 25, 17	50,000.000	100.427	50,213.57	100.574	50,287.00	73.43	ST
Total		**$1,150,000.000**		**$1,160,240.13**		**$1,159,882.50**	**-$357.63**	

Total accrued interest: $7,803.58

Total estimated annual income: $26,721

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TIPS BOND ETF Symbol: TIP Initial trade date: Jan 21, 11 EAI: $3,676 Current yield: 1.46%	2,200.000	107.595	236,709.99	236,709.99	114.650	252,230.00	15,520.01	15,520.01	
ISHARES 1-3 YEAR CREDIT BOND									

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SCH 8 - CONT'D

Your assets › Fixed income › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ETF									
Symbol: CSJ									
Initial trade date: May 23, 11	2,400.000	105 028	252,067 96	252,067.96	105.260	252,624.00	556.04	556.04	
EAI: $3,708 Current yield: 1.47%									
VANGUARD SHORT-TERM CORPORATE									
BOND ETF									
Symbol: VCSH									
Initial trade date: May 10, 12	3,000 000	79.343	238,029.95	238,029.95	79 780	239,340.00	1,310.05	1,310.05	
EAI: $5,046 Current yield: 2.11%									
VANGUARD SHORT-TERM BOND ETF									
Symbol: BSV									
Initial trade date: Sep 20, 11	3,000.000	81.374	244,122.85	244,122.85	79.730	239,190.00	-4,932.85	-4,932.85	
EAI: $3,531 Current yield: 1.48%									
Total			**$970,930.75**	**$970,930.75**		**$983,384.00**	**$12,453.25**	**$12,453.25**	

Total estimated annual income: $15,961

Mutual funds

Initial trade date represents the oldest purchase date for open tax lots on our records You may have additional purchase lots with different trade dates that should be used to determine your holding period for tax purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DELAWARE GROUP LTD TERM									
FUND CLASS I									
Symbol: DTINX									
Initial trade date: Apr 26, 11	31,410.780	8.710	245,714.12	273,609.86	8.480	266,363.41	-7,246.45	20,649.29	
EAI: $5,497 Current yield: 2.06%									
FIDELITY ADVISOR									

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Account name: THE SPAULDING FOUNDATION
Account number: WL 48912 ND

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Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FLOATING RATE HIGH INC FUND CLASS I Symbol: FFRIX Initial trade date: Sep 10, 14 EAI: $6,245 Current yield: 3.86%	16,742.089	9.841	150,000.00	164,759 56	9.670	161,895.99	-2,863.57	11,895.99	
JP MORGAN CORE BOND FUND SELECT Symbol: WOBDX Initial trade date: Sep 30, 14 EAI: $3,890 Current yield: 2.48%	13,601.527	11.689	150,000.00	158,998.68	11.550	157,097.63	-1,901.05	7,097.63	
LOOMIS SAYLES INVESTMENT GRADE BOND CLASS Y Symbol: LSIIX Initial trade date: Sep 30, 14 EAI: $4,180 Current yield: 2.69%	14,123.848	11.886	150,000.00	167,884 40	11.000	155,362.31	-12,522.09	5,362.31	
LORD ABBETT SHORT DURATION INCOME FUND CLASS F Symbol: LDLFX Initial trade date: Jun 17, 13 EAI: $10,750 Current yield: 4.01%	62,137.291	4.571	250,275.79	284,038 23	4.310	267,811.73	-16,226.50	17,535.94	
METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I Symbol: MWTIX Initial trade date: Sep 30, 14 EAI: $3,000 Current yield: 1.92%	14,778.278	10.813	150,000.00	159,806.93	10.590	156,501.97	-3,304.96	6,501.97	
SENTINEL GOVERNMENT SECURITIES FUND CLASS I Symbol: SIBWX Initial trade date: Jun 12, 12 EAI: $7,078 Current yield: 2.78%	26,214.588	10.697	249,971.86	280,426.68	9.710	254,543.65	-25,883.03	4,571.79	

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SCH 8—CONT'D.

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Feb 28 ($)	Value on Feb 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VICTORY INCORE FUND FOR									
INCOME CLASS I									
Symbol: VFFIX									
Initial trade date: Sep 7, 12	22,235.705	11.101	200,000.00	246,842.27	9.380	208,570.92	-38,271.35	8,570.92	
EAI: $11,607 Current yield: 5.57%									
Total			**$1,545,961.77**	**$1,736,366.61**		**$1,628,147.61**	**-$108,219.00**	**$82,185.84**	

Total estimated annual income: $52,247

Your total assets

		Value on Feb 28 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**814,801.21**	**4.98%**	**814,801.21**		
Equities	Common stock	9,842,465.92		5,641,706.69	239,981.00	4,200,759.23
	Mutual funds	1,915,669.65		1,651,914.16	26,180.00	263,755.49
	Total equities	**11,758,135.57**	**71.91%**	**7,293,620.85**	**266,161.00**	**4,464,514.72**
Fixed income	Corporate bonds and notes	1,159,882.50		1,160,240 13	26,721.00	-357.63
	Closed end funds & Exchange traded products	983,384.00		970,930.75	15,961.00	12,453.25
	Mutual funds	1,628,147.59		1,736,366.61	52,247.00	-108,219.00
	Total accrued interest	7,803 58				
	Total fixed income	**3,779,217.67**	**23.11%**	**3,867,537.49**	**94,929.00**	**-96,123.38**
Total		**$16,352,154.45**	**100.00%**	**$11,975,959.55**	**$361,090.00**	**$4,368,391.34**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about*

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Jan 31		**Cash and money balance**					**$808,610.01**
Feb 1	Withdrawal	FIFTH THIRD BANK ELECTRONIC FUNDS TRANSFER				-10,000.00	
Feb 1	Dividend	AT&T INC PAID ON 4810 SYMBOL: T				2,356.90	

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SCH 9

charles SCHWAB

8621-2434 J PRATHER & J MARLOW TTEE
Liv Trust JOSEPH H SPAULDING JR FDN
U/A DTD 03/19/1992 FBO S FOUND

Total Known Realized Gain/(Loss) $/%
$636,111.59/15.76%

Total Known Short-Term Gain/(Loss)
($54,858.18)

Total Known Long-Term Gain/(Loss)
$690,969.77

TOTAL NET GAIN
636,111.59

Total Known Proceeds
$4,670,372.16

Total Known Cost Basis
$4,034,260.57

Cost Basis - Realized Gain/(Loss) - Filtered by: From 03/01/2016 Through 02/28/2017 Results: 93

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
UNP	UNION PACIFIC CORP	03/03/2016	10/28/2010	2,382.00000	$189,001.73	$102,440.85		$86,560.88	$86,560.88	84.50%	
⊟ HPQ	HP INC.	03/07/2016	Hide Lots	4,860.00000	$54,713.46	$74,318.23	($8,117.60)	($11,487.17)	($19,604.77)	(26.38%)	
		03/07/2016	08/16/2014	2,218.00000	$24,970.05	$36,457.22		($11,487.17)	($11,487.17)	(31.51%)	
		03/07/2016	07/29/2015	2,642.00000	$29,743.41	$37,861.01	($8,117.60)		($8,117.60)	(21.44%)	
LUV	SOUTHWEST AIRLINES	03/07/2016	06/25/2015	3,000.00000	$125,004.62	$103,490.95	$21,513.67		$21,513.67	20.79%	
⊟ MSFT	MICROSOFT CORP	03/07/2016	Hide Lots	1,921.00000	$97,756.29	$91,697.42	($372.12)	$6,430.99	$6,058.87	6.61%	
		03/07/2016	07/14/2003	276.00000	$14,045.15	$7,614.16		$6,430.99	$6,430.99	84.46%	a
		03/07/2016	01/15/2016	1,645.00000	$83,711.14	$84,083.26	($372.12)		($372.12)	(0.44%)	
14229PAB8	CARLISLE BARRACKS 6.5%19 DUE 06/15/19	03/15/2016	01/07/2004	1,000.00000	$1,000.00	$990.17		$9.83	$9.83	0.99%	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	03/15/2016	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87.23)	($87.23)	(8.02%)	
LYB	LYONDELLBASELL INDS FCLASS A	03/16/2016	08/09/2015	197.00000	$16,627.79	$20,187.58	($3,559.79)		($3,559.79)	(17.63%)	
912828MD9	US TREASUR NT 3.25%12/16UST NOTE DUE 12/31/16	03/16/2016	04/28/2010	100,000.00000	$102,008.00	$100,250.12		$1,757.88	$1,757.88	1.75%	
EMC	EMC CORP MASS	04/06/2016	04/08/2015	3,378.00000	$89,095.01	$88,675.21	$419.80		$419.80	0.47%	
RHI	ROBERT HALF INTL	04/06/2016	11/05/2014	804.00000	$36,171.06	$44,107.95		($7,936.89)	($7,936 89)	(17.99%)	

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SCH 9-CONT'D

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	04/15/2016	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87 23)	($87.23)	(8.02%)	
108078AA4	BRIDGEMILL ACQUISIT 8%17 DUE 07/15/17	04/15/2016	07/19/2007	89.00000	$89.00	$89.04		($0.04)	($0.04)	(0.04%)	
C	CITIGROUP INC	04/22/2016	09/23/2015	1,077.00000	$50,544.44	$54,074.24	($3,529.80)		($3,529 80)	(6.53%)	
17307GBF4	CITIGROUP MTG LN 5.5%33REMIC DUE 10/25/33-031-	04/26/2016	08/28/2012	1,000.00000	$1,000.00	$1,012.50		($12.50)	($12.50)	(1.23%)	
⊟ DFISX	DFA INTL SMALL CO PORT INSTL	05/02/2016	Hide Lots	903.89400	$16,000.00	$14,444.87	$1,481.22	$73.91	$1,555.13	10.77%	
		05/02/2016	08/19/2011	28.34700	$501.78	$427.87		$73.91	$73.91	17.27%	
		05/02/2016	02/04/2016	875.54700	$15,498.22	$14,017.00	$1,481.22		$1,481.22	10.57%	
LZEMX	LAZARD EMRG MKTS EQTY PORT INST SHARE	05/02/2016	12/02/2008	689.85000	$10,268.66	$7,348.17		$2,920.49	$2,920.49	39.74%	
FNDE	SCHWAB FUNDA EMG MKTS LARGE ETF IV	05/02/2016	10/09/2014	276.00000	$5,948.17	$7,212.85		($1,264.68)	($1,264 68)	(17.53%)	
⊟ TTC	TORO COMPANY	05/05/2016	Hide Lots	1,761.00000	$152,491.39	$104,580.35		$47,911.04	$47,911.04	45.81%	
		05/05/2016	07/31/2014	36.00000	$3,117.37	$2,138.11		$979.26	$979.26	45.80%	
		05/05/2016	07/31/2014	1,600.00000	$138,549.81	$95,022.53		$43,527.28	$43,527.28	45.81%	
		05/05/2016	07/31/2014	5.00000	$432.97	$296.92		$136.05	$136.05	45.82%	
		05/05/2016	07/31/2014	20.00000	$1,731.87	$1,187.84		$544.03	$544.03	45.80%	
		05/05/2016	07/31/2014	100.00000	$8,659.37	$5,934.95		$2,724.42	$2,724.42	45.90%	
M	MACYS INC	05/05/2016	09/08/2014	1,617.00000	$61,209.50	$97,894.05		($36,684.55)	($36,684.55)	(37.47%)	
⊟ ADM	ARCHER-DANIELS-MIDLN	05/05/2016	Hide Lots	3,388.00000	$128,969.74	$140,696.01		($11,726.27)	($11,726.27)	(8.33%)	
		05/05/2016	07/24/2013	1,367.00000	$52,037.08	$49,339.88		$2,697.20	$2,697.20	5.47%	
		05/05/2016	04/17/2014	2,021.00000	$76,932.66	$91,356.13		($14,423.47)	($14,423.47)	(15.79%)	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	05/15/2016	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87.23)	($87 23)	(8.02%)	
MPC	MARATHON PETE CORP	05/27/2016	05/07/2015	1,447.00000	$50,739.85	$74,409.47		($23,669 62)	($23,669.62)	(31.81%)	
⊟ UGI	U G I CORPORATION	05/27/2016	Hide Lots	5,782.00000	$250,680.96	$106,550.12		$144,130.84	$144,130.84	135.27%	
		05/27/2016	05/27/2009	300.00000	$13,006.62	$4,802.90		$8,203.72	$8,203.72	170.81%	
		05/27/2016	05/27/2009	2,400.00000	$104,052.99	$38,423.15		$65,629.84	$65,629.84	170.81%	

SCH 9 - CONT'D

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
		05/27/2016	05/27/2009	300.00000	$13,006.62	$4,802.90		$8,203.72	$8,203.72	170.81%	
		05/27/2016	12/03/2010	1,500.00000	$65,033.11	$31,553.48		$33,479.63	$33,479.63	106.10%	
		05/27/2016	12/03/2010	82.00000	$3,555.14	$1,724.92		$1,830.22	$1,830.22	106.10%	
		05/27/2016	12/03/2010	150.00000	$6,503.31	$3,155.34		$3,347.97	$3,347.97	106.10%	
		05/27/2016	12/03/2010	450.00000	$19,509.93	$9,466.04		$10,043.89	$10,043.89	106.10%	
		05/27/2016	12/03/2010	150.00000	$6,503.31	$3,155.35		$3,347.96	$3,347.96	106.10%	
		05/27/2016	12/03/2010	450.00000	$19,509.93	$9,466.04		$10,043.89	$10,043.89	106.10%	
SWK	STANLEY BLACK & DECK	05/27/2016	05/07/2015	378.00000	$42,757.42	$38,785.13		$3,972.29	$3,972.29	10.24%	
GM	GENERAL MOTORS CO	05/27/2016	04/08/2015	1,819.00000	$57,033.83	$65,742.70		($8,708.87)	($8,708.87)	(13.25%)	
055482AJ2	BJ SERVICES COMPANY 6%18TENDER OFFER EXP: 06/08.06/22/2016	06/10/2016	02/05/2009	50,000.00000	$54,320.60	$49,143.43		$5,177.17	$5,177.17	10.53%	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	06/15/2016	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174.46)	(8.02%)	
KR	KROGER COMPANY	06/23/2016	12/03/2010	3,765.00000	$129,805.42	$39,893.92		$89,911.50	$89,911.50	225.38%	
05948XPZ8	BOA MTG SECURITIES 5XXX**CALLED** @100 EFF: 06/27/2016	06/27/2016	09/26/2013	44,000.00000	$44,000.00	$44,025.00		($25.00)	($25.00)	(0.06%)	
17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	06/28/2016	06/28/2012	2,000.00000	$2,000.00	$2,025.00		($25.00)	($25.00)	(1.23%)	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	07/15/2016	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174.46)	(8.02%)	
108078AA4	BRIDGEMILL ACQUISIT 8%17 DUE 07/15/17	07/15/2016	07/19/2007	88.00000	$88.00	$88.04		($0.04)	($0.04)	(0.05%)	
⊟ PEBIX	PIMCO EMRG MKTS BD INST	07/28/2016	Hide Lots	14,013.42200	$145,302.19	$149,657.18		($4,354.99)	($4,354.99)	(2.91%)	
		07/28/2016	03/30/2010	9,396.61700	$97,431.52	$99,637.18		($2,205.66)	($2,205.66)	(2.21%)	
		07/28/2016	03/31/2014	4,616.80500	$47,870.67	$50,020.00		($2,149.33)	($2,149.33)	(4.30%)	
NKE	NIKE INC CLASS B	08/04/2016	07/02/2013	1,514.00000	$83,271.34	$47,306.13		$35,965.21	$35,965.21	76.03%	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	08/15/2016	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174.46)	(8.02%)	
14229PAB6	CARLISLE BARRACKS 6.5%19 DUE 06/15/19	08/15/2016	01/07/2004	1,000.00000	$1,000.00	$991.28		$8.72	$8.72	0.88%	

SCH 9- CONT'D

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	08/25/2016	06/28/2012	1,000.00000	$1,000.00	$1,012.50		($12.50)	($12.50)	(1.23%)	
⊟ KMB	KIMBERLY-CLARK CORP	08/26/2016	Hide Lots	1,557.00000	$201,633.25	$126,843.22		$74,790.03	$74,790.03	58.96%	
		08/26/2016	06/11/2012	357.00000	$46,231.91	$27,844.95		$18,386.96	$18,386.96	66.03%	
		08/26/2016	07/19/2012	1,000.00000	$129,501.12	$82,495.68		$47,005.44	$47,005.44	56.98%	
		08/26/2016	07/19/2012	200.00000	$25,900.22	$16,502.59		$9,397.63	$9,397.63	56.95%	
GILD	GILEAD SCIENCES INC	08/26/2016	09/08/2014	1,423.00000	$113,773.07	$150,817.07		($37,044.00)	($37,044.00)	(24.56%)	
⊟ EFX	EQUIFAX INC	08/26/2016	Hide Lots	996.00000	$131,720.14	$27,405.10		$104,315.04	$104,315.04	380.64%	
		08/26/2016	06/29/2009	70.00000	$9,257.44	$1,839.21		$7,418.23	$7,418.23	403.34%	
		08/26/2016	08/31/2009	926.00000	$122,462.70	$25,565.89		$96,896.81	$96,896.81	379.01%	
⊟ 478366107	JOHNSON CONTROLS INC XXXMANDATORY MERGER EFF: 09/06/16	09/06/2016	Hide Lots	2,805.00000	$123,164.43	$139,180.11		($16,015.68)	($16,015.68)	(11.51%)	
		09/06/2016	11/26/2013	1,984.00000	$87,115.24	$99,956.92		($12,841 68)	($12,841.68)	(12.85%)	
		09/06/2016	08/06/2014	821.00000	$36,049.19	$39,223.19		($3,174.00)	($3,174 00)	(8.09%)	
JCI	JOHNSON CTLS INTL F	09/07/2016	09/06/2016	0.13850	$6.70	$6.33	$0.37		$0.37	5.85%	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	09/15/2016	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87.23)	($87.23)	(8.02%)	
VVC	VECTREN CORP	09/20/2016	09/17/2013	100.00000	$5,039.69	$3,284.05		$1,755.64	$1,755.64	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	100.00000	$5,039.69	$3,284.05		$1,755.64	$1,755.64	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	25.00000	$1,259.92	$821.01		$438.91	$438.91	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	400.00000	$20,158.77	$13,136.22		$7,022.55	$7,022.55	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	300.00000	$15,119.08	$9,852.16		$5,266.92	$5,266.92	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	54.00000	$2,721.43	$1,773.39		$948.04	$948.04	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	3,249.00000	$163,739.66	$106,698.93		$57,040.73	$57,040.73	53.46%	
MET	METLIFE INC	09/20/2016	03/31/2014	1,254.00000	$55,389.05	$66,411.89		($11,022.84)	($11,022 84)	(16.60%)	
DAL	DELTA AIR LINES INC	09/20/2016	03/03/2016	3,900.00000	$146,280.76	$189,431.95	($43,151.19)		($43,151 19)	(22.78%)	
VVC	VECTREN CORP	09/20/2016	09/17/2013	92.00000	$4,636.52	$3,021.33		$1,615.19	$1,615.19	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	100.00000	$5,039.69	$3,284.05		$1,755.64	$1,755.64	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	50.00000	$2,519.85	$1,642.03		$877.82	$877.82	53.46%	
VVC	VECTREN CORP	09/20/2016	09/17/2013	79.00000	$3,981.35	$2,594.40		$1,386.95	$1,386.95	53.46%	

SCH 9- CONT'D

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
	17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	09/30/2016	06/28/2012	1,000.00000	$1,000.00	$1,012.50		($12.50)	($12.50)	(1.23%)	
	ASIX	ADVANSIX INC	10/03/2016	09/20/2016	0.92000	$13.82	$13.98	($0.16)		($0.16)	(1.14%)	
	14229PAB6	CARLISLE BARRACKS 6.5%19 DUE 06/15/19	10/15/2016	01/07/2004	1,000.00000	$1,000.00	$991.75		$8.25	$8.25	0.83%	
	70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	10/15/2016	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174.46)	(8.02%)	
	108078AA4	BRIDGEMILL ACQUISIT 8%17 DUE 07/15/17	10/15/2016	07/19/2007	88.00000	$88.00	$88.04		($0.04)	($0.04)	(0.05%)	
	NLY	ANNALY CAPITAL MGMT REIT	10/17/2016	06/16/2014	15,034.00000	$151,981.48	$159,492.02		($7,510.54)	($7,510.54)	(4.71%)	
	IP	INTERNATIONAL PAPER	10/17/2016	02/19/2015	599.00000	$28,265.51	$34,029.48		($5,763.97)	($5,763.97)	(16.94%)	
	GD	GENERAL DYNAMICS CO	10/17/2016	11/05/2014	290.00000	$43,723.38	$41,078.99		$2,644.39	$2,644.39	6.44%	
	F	FORD MOTOR COMPANY	10/17/2016	05/05/2016	6,297.00000	$74,961.50	$84,001.28	($9,039.78)		($9,039.78)	(10.76%)	
	PRU	PRUDENTIAL FINANCIAL	10/17/2016	06/09/2015	1,350.00000	$113,969.07	$119,009.11		($5,040.04)	($5,040 04)	(4.24%)	
⊟	ASIX	ADVANSIX INC	10/20/2016	Hide Lots	49.00000	$788.75	$669.41	$35.09	$84.25	$119.34	17.83%	
			10/20/2016	01/17/2012	9.92000	$159.68	$75.43		$84.25	$84.25	111.69%	
			10/20/2016	09/20/2016	39.08000	$629.07	$593.98	$35.09		$35.09	5.91%	
	17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	10/25/2016	06/28/2012	1,000.00000	$1,000.00	$1,012.50		($12.50)	($12.50)	(1.23%)	
	ADNT	ADIENT PLC F	10/31/2016	10/31/2016	0.40000	$17.96	$18.63	($0.67)		($0.67)	(3.60%)	
	70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	11/15/2016	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87.23)	($87.23)	(8.02%)	
	ETR	ENTERGY CORP	11/22/2016	09/20/2016	1,841.00000	$126,649.27	$146,103.92	($19,454.65)		($19,454.65)	(13.32%)	
	F	FORD MOTOR COMPANY	11/22/2016	05/05/2016	3,770.00000	$44,570.33	$50,291.38	($5,721.05)		($5,721.05)	(11.38%)	
⊟	KSS	KOHLS CORP	11/22/2016	Hide Lots	1,521.00000	$85,226.03	$85,381.70		($155.67)	($155.67)	(0.18%)	
			11/22/2016	01/21/2014	485.00000	$27,175.95	$25,368.15		$1,807.80	$1,807.80	7.13%	
			11/22/2016	04/04/2014	1,036.00000	$58,050.08	$60,013.55		($1,963.47)	($1,963.47)	(3.27%)	
	EFX	EQUIFAX INC	11/22/2016	06/29/2009	641.00000	$77,414.85	$16,841.94		$60,572.91	$60,572.91	359.66%	

SCH 9 - CONT'D

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	12/15/2016	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174 46)	(8.02%)	
14229PAB6	CARLISLE BARRACKS 6.5%19 DUE 06/15/19	12/15/2016	01/07/2004	1,000.00000	$1,000.00	$992.22		$7.78	$7.78	0.78%	
PRU	PRUDENTIAL FINANCIAL	12/19/2016	06/09/2015	248.00000	$26,127.70	$21,862.42		$4,265.28	$4,265.28	19.51%	
GD	GENERAL DYNAMICS CO	12/19/2016	11/05/2014	418.00000	$72,837.91	$59,210.42		$13,627.49	$13,627.49	23.02%	
⊟ MPC	MARATHON PETE CORP	12/19/2016	Hide Lots	3,369.00000	$165,880.02	$147,377.43	$20,529.10	($2,026.51)	$18,502.59	12.55%	
		12/19/2016	05/07/2015	927.00000	$45,642.86	$47,669.37		($2,026.51)	($2,026.51)	(4.25%)	
		12/19/2016	01/12/2016	1,093.00000	$53,816.22	$50,204.43	$3,611.79		$3,611.79	7.19%	
		12/19/2016	03/07/2016	1,349.00000	$66,420.94	$49,503.63	$16,917.31		$16,917.31	34.17%	
CSCO	CISCO SYSTEMS INC	12/19/2016	03/19/2015	2,321.00000	$71,275.53	$66,237.49		$5,038.04	$5,038.04	7.61%	
JPM	JPMORGAN CHASE & CO	12/19/2016	06/05/2013	847.00000	$71,496.87	$44,871.80		$26,625.07	$26,625.07	59.34%	
17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	12/28/2016	06/28/2012	3,000.00000	$3,000.00	$3,037.50		($37.50)	($37.50)	(1.23%)	
912828MD9	US TREASUR NT 3.25%12XXX**MATURED**	01/03/2017	04/28/2010	100,000.00000	$100,000.00	$100,523.44		($523.44)	($523.44)	(0.52%)	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	01/15/2017	04/23/2004	2,000.00000	$2,000.00	$2,174.46		($174.46)	($174.46)	(8.02%)	
ABC	AMERISOURCEBERGEN CO	01/23/2017	04/25/2011	441.00000	$37,414.81	$18,026.73		$19,388.08	$19,388.08	107.55%	
GD	GENERAL DYNAMICS CO	01/23/2017	11/05/2014	570.00000	$100,720.96	$80,741.47		$19,979.49	$19,979.49	24.75%	
KMB	KIMBERLY-CLARK CORP	01/23/2017	06/11/2012	643.00000	$75,085.38	$50,152.11		$24,933.27	$24,933.27	49.72%	
JCI	JOHNSON CTLS INTL F	01/23/2017	09/08/2016	2,344.00000	$101,206.74	$107,097.36	($5,890.62)		($5,890.62)	(5.50%)	
EFX	EQUIFAX INC	01/23/2017	06/29/2009	271.00000	$31,973.77	$7,120.38		$24,853.39	$24,853.39	349.05%	
17307GBF4	CITIGROUP MTG LN 5.5%33ABS-SPCL DUE 10/25/33-031-	01/25/2017	06/28/2012	1,000.00000	$1,000.00	$1,012.50		($12.50)	($12.50)	(1.23%)	
966387AF9	WHITING PETROLEUM 6.5XXX**CALLED** @100 EFF: 02/02/2017	02/02/2017	04/30/2012	25,000.00000	$25,000.00	$27,185.25		($2,185.25)	($2,185.25)	(8.04%)	

SCH 9 - CONT'D

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
108078AA4	BRIDGEMILL ACQUISIT 8%17 DUE 07/15/17	02/08/2017	07/19/2007	16,561.00000	$16,561.00	$16,577.53		($16.53)	($16.53)	(0.10%)	
70422XAC4	PAX RIVER NAS ENERG 7%19 DUE 12/15/19	02/15/2017	04/23/2004	1,000.00000	$1,000.00	$1,087.23		($87.23)	($87.23)	(8.02%)	

Disclosures
The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.
We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by *you or your client, a prior custodian or another* third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.
Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation.

Footnotes apply where indicated on the data view.
Missing: Indicates cost basis has not been provided for this security.
Not Tracked: Indicates original cost basis is not available.

1. a Data for this holding has been edited or provided by the Advisor.
   e Data for this holding has been edited or provided by the end client.
   t Data for this holding has been edited or provided by a third party.
   w Cost Basis adjusted due to a wash sale.
3. Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note: Some securities, including variable rate/term instruments and mortgage backed securities, *may only reflect original* cost even when the amortization/accretion preference is on.
8. Total excludes missing cost basis information, or values not tracked by Schwab.

(0307-0369)

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UBS

Branch office:
8044 Montgomery Rd.
Cincinnati, OH452362926

Financial Advisor:
METTMAN/SHICK/GRADISON
(513)7922100

SCH 10

UBS Client Review

as of March 1, 2017

Prepared for

The Spaulding Foundation

Accounts included in this review

Account	Name	Type
WL 48912	• The Spaulding Foundation	• Portfolio Management Program
Risk profile:	Moderate	
Return Objective.	Current Income and Capital Appreciation	

What's inside

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UBS

Prepared for The Spaulding Foundation
WL 48912 • The Spaulding Foundation • Portfolio Management Program
Risk profile: Moderate
Return Objective: Current Income and Capital Appreciation

Realized gain/loss

from 03/01/2016 to 02/28/2017

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
ADVANSIX INC	00773T101	ASIX	0.60	04/29/2003	1.84	10/03/2016	8.92	7.08	384.78%	L
ADVANSIX INC	00773T101	ASIX	64.00	04/29/2003	196.09	10/26/2016	1,054.07	857.98	437.54%	L
ALLSTATE CORP	020002101	ALL	45.00	12/09/2008	1,195.61	05/25/2016	3,039.28	1,843.67	154.20%	L
ALLSTATE CORP	020002101	ALL	155.00	12/09/2008	4,118.19	01/24/2017	11,533.89	7,415.70	180.07%	L
ALLSTATE CORP	020002101	ALL	100.00	03/26/2009	1,998.95	01/24/2017	7,441.22	5,442.27	272.26%	L
ALLSTATE CORP	020002101	ALL	60.00	03/26/2009	1,199.37	01/25/2017	4,514.91	3,315.54	276.44%	L
AMGEN INC	031162100	AMGN	125.00	05/31/2007	7,093.62	05/25/2016	19,341.59	12,247.97	172.66%	L
AMGEN INC	031162100	AMGN	15.00	05/31/2007	851.24	01/25/2017	2,308.75	1,457.51	171.22%	L
AON CORP CALL@MW T+25BP 03.125% 052716 DTD052711 FC112711 NTS B/E	037389AY9		75,000 00	07/01/2015	75,000 00	05/27/2016	75,000.00	0.00	0.00%	S
AON CORP CALL@MW T+25BP 03.125% 052716 DTD052711 FC112711 NTS B/E	037389AY9		25,000.00	07/13/2015	25,000.00	05/27/2016	25,000.00	0.00	0.00%	S
APPLE INC	037833100	AAPL	100.00	05/25/2011	4,788.11	01/24/2017	12,007.12	7,219.01	150.77%	L
APPLE INC	037833100	AAPL	55.00	05/25/2011	2,633.46	01/25/2017	6,699.13	4,065.67	154.39%	L
ARCHER DANIELS MIDLAND CO	039483102	ADM	1,675.00	08/25/2010	50,175.13	06/17/2016	70,558.82	20,383 69	40.63%	L
ARCHER DANIELS MIDLAND CO	039483102	ADM	1,940.00	08/23/2011	54,106.60	06/17/2016	81,721.86	27,615.26	51.04%	L
AT&T INC	00206R102	T	115.00	08/15/2005	2,814.05	05/25/2016	4,427.57	1,613 52	57.34%	L
AT&T INC	00206R102	T	300.00	08/15/2005	7,341.00	01/24/2017	12,366.42	5,025.42	68.46%	L
AT&T INC	00206R102	T	80.00	08/15/2005	1,957.60	01/25/2017	3,309.73	1,352.13	69.07%	L
AUTOMATIC DATA PROCESSNG INC	053015103	ADP	65.00	11/14/2012	3,124.12	05/25/2016	5,710.78	2,586.66	82.80%	L
AUTOMATIC DATA PROCESSNG INC	053015103	ADP	300.00	11/14/2012	14,419.02	01/24/2017	30,780.83	16,361.81	113.47%	L
AUTOMATIC DATA PROCESSNG INC	053015103	ADP	35.00	11/14/2012	1,682.22	01/25/2017	3,584.27	1,902.05	113.07%	L
BANK OF AMER CORP NTS 06.500% 080116 DTD072809 FC020110 B/E	06051GEA3		100,000.00	10/06/2015	100,000.00	08/01/2016	100,000.00	0 00	0.00%	S
BARCLAYS BK PLC ADR 2 05.000% 092216 DTD092209 FC032210 PREF 2	06739FGF2		100,000.00	03/15/2016	100,000.00	09/22/2016	100,000.00	0.00	0.00%	S
BOEING COMPANY	097023105	BA	55.00	10/07/2015	7,540.94	01/25/2017	9,233.20	1,692.26	22.44%	L
CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF: 06/2016**	13057Q107		0.32	05/07/2008	0.48	03/24/2016	0.39	-0.09	-18.75%	L
CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF: 06/2016**	13057Q107		149.00	05/07/2008	223.98	04/21/2016	302.49	78.51	35.05%	L

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UBS

Realized gain/loss - from 03/01/2016 to 02/28/2017 (continued)

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
CANADIAN NATL RAILWAY CO 01.450% 121516 DTD111511 CALL@MW+15BP	136375BU5		50,000.00	04/14/2016	50,000.00	12/15/2016	50,000.00	0.00	0.00%	S
CANADIAN NATL RAILWAY CO 01.450% 121516 DTD111511 CALL@MW+15BP	136375BU5		50,000.00	05/25/2016	50,000.00	12/15/2016	50,000.00	0.00	0.00%	S
COCA-COLA ENTERPRISES INC NEW *MERGER EFF: 05/2016*	19122T109		335.00	09/22/2011	8,277.69	05/25/2016	17,270.55	8,992.86	108.64%	L
COCA-COLA ENTERPRISES INC NEW *MERGER EFF: 05/2016*	19122T109		3,600.00	09/22/2011	88,954.20	05/31/2016	191,214.00	102,259.80	114.96%	L
COMCAST CORP NEW CL A	20030N101	CMCSA	335.00	03/06/2012	9,658.75	05/25/2016	21,021.88	11,363.13	117.65%	L
COMCAST CORP NEW CL A	20030N101	CMCSA	400.00	03/06/2012	11,532.84	01/24/2017	29,304.68	17,771.84	154.10%	L
COMCAST CORP NEW CL A	20030N101	CMCSA	80.00	03/06/2012	2,306.57	01/25/2017	5,883.88	3,577.31	155.09%	L
COMPUTER SCIENCES	205363104	CSC	75.00	03/05/2003	938.10	01/25/2017	4,561.48	3,623 38	386.25%	L
CONOCOPHILLIPS	20825C104	COP	374.00	05/23/2005	18,295.65	11/01/2016	15,953.18	-2,342.47	-12.80%	L
CONOCOPHILLIPS	20825C104	COP	541 00	05/02/2006	28,523.34	11/01/2016	23,076.67	-5,446.67	-19.10%	L
CONOCOPHILLIPS	20825C104	COP	1,060.00	09/02/2009	36,452.65	11/01/2016	45,214.90	8,762.25	24.04%	L
CPTL ONE FINL CORP B/E 03.150% 071516 DTD071911 FC011512	14040HAX3		50,000.00	09/17/2015	50,000.00	07/15/2016	50,000.00	0.00	0.00%	S
DOVER CORP	260003108	DOV	2,075.00	06/13/2012	96,594.76	03/09/2016	128,610.67	32,015.91	33.14%	L
EATON CORP PLC	G29183103	ETN	30.00	03/10/2016	1,712.54	01/25/2017	2,124.86	412.32	24.08%	S
EQUITY RESIDENTIAL PPTYS 05.125% 031516 DTD091305 CALL@MW+20BP NTS	29476LAC1		100,000.00	09/17/2015	100,000.00	03/15/2016	100,000.00	0.00	0.00%	S
GENL DYNAMICS CORP	369550108	GD	150.00	01/13/2006	8,789.48	05/25/2016	21,427.78	12,638.30	143.79%	L
GENL DYNAMICS CORP	369550108	GD	175.00	01/13/2006	10,254.39	10/26/2016	26,650.92	16,396.53	159.90%	L
GENL DYNAMICS CORP	369550108	GD	80.00	01/13/2006	4,687.73	01/24/2017	14,262.89	9,575.16	204.26%	L
HOME DEPOT INC	437076102	HD	635.00	12/02/2009	17,923.77	05/25/2016	85,224.92	67,301.15	375.49%	L
HOME DEPOT INC	437076102	HD	30.00	12/02/2009	846.79	01/24/2017	4,123.41	3,276.62	386.95%	L
HOME DEPOT INC	437076102	HD	20.00	12/02/2009	564.53	01/25/2017	2,757.96	2,193.43	388.54%	L
HONEYWELL INTL INC	438516106	HON	405.00	04/29/2003	9,375.75	05/25/2016	46,494.41	37,118.66	395 90%	L
HONEYWELL INTL INC	438516106	HON	35.00	04/29/2003	805.96	01/24/2017	4,110.93	3,304.97	410.07%	L
HONEYWELL INTL INC	438516106	HON	25.00	04/29/2003	575.69	01/25/2017	2,948.32	2,372.63	412.14%	L
INTEL CORP	458140100	INTC	185.00	06/11/2008	4,114.45	01/24/2017	6,880.74	2,766.29	67.23%	L
INTEL CORP	458140100	INTC	190.00	06/11/2008	4,225.66	01/25/2017	7,169.49	2,943.83	69.67%	L

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UBS

Realized gain/loss - from 03/01/2016 to 02/28/2017 (continued)

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
J P MORGAN CHASE & CO 03.150% 070516 DTD062911 FC010812 NTS B/E	46625HJA9		50,000.00	09/17/2015	50,000.00	07/05/2016	50,000.00	0.00	0.00%	S
JOHNSON & JOHNSON COM	478160104	JNJ	220.00	12/02/2002	12,155.00	05/25/2016	24,944.16	12,789.16	105.22%	L
JPMORGAN CHASE & CO	46625H100	JPM	565.00	01/15/2008	23,143.03	05/25/2016	37,172.24	14,029.21	60.62%	L
JPMORGAN CHASE & CO	46625H100	JPM	345.00	01/15/2008	14,131.57	10/26/2016	23,864.30	9,732.73	68.87%	L
JPMORGAN CHASE & CO	46625H100	JPM	260.00	01/15/2008	10,649.89	01/24/2017	21,933.82	11,283.93	105.95%	L
JPMORGAN CHASE & CO	46625H100	JPM	75.00	01/15/2008	3,072.08	01/25/2017	6,442.37	3,370.29	109.71%	L
KIMBERLY CLARK CORP	494368103	KMB	295.00	03/22/2002	17,769.92	05/25/2016	37,565.93	19,796.01	111.40%	L
LINCOLN NATL CORP IND	534187109	LNC	251.00	05/15/2002	13,290.64	01/24/2017	17,041.88	3,751.24	28.22%	L
LINCOLN NATL CORP IND	534187109	LNC	90.00	10/28/2003	4,632.00	01/24/2017	6,110.63	1,478.63	31.92%	L
LINCOLN NATL CORP IND	534187109	LNC	614.00	03/26/2009	5,800.58	01/24/2017	41,688.10	35,887.52	618.69%	L
LINCOLN NATL CORP IND	534187109	LNC	135.00	03/26/2009	1,275.37	01/25/2017	9,300.95	8,025.58	629.27%	L
MICROSOFT CORP	594918104	MSFT	445.00	06/16/2004	12,178.80	05/25/2016	23,251.54	11,072.74	90.92%	L
MICROSOFT CORP	594918104	MSFT	555.00	06/16/2004	15,189.30	10/26/2016	33,783.72	18,594.42	122.42%	L
MICROSOFT CORP	594918104	MSFT	125 00	06/16/2004	3,421.01	01/25/2017	7,947.96	4,526 95	132 33%	L
OMNICOM GROUP INC	681919106	OMC	555.00	08/28/2006	24,379.79	05/25/2016	45,771.85	21,392.06	87.75%	L
OMNICOM GROUP INC	681919106	OMC	85.00	08/28/2006	3,733.85	01/24/2017	7,296.89	3,563.04	95.43%	L
OMNICOM GROUP INC	681919106	OMC	65.00	08/28/2006	2,855.29	01/25/2017	5,616.54	2,761.25	96.71%	L
OMNICOM GROUP MW+25BP 05.900% 041516 DTD032906 FC101506	681919AS5		50,000.00	07/13/2015	50,000.00	04/15/2016	50,000.00	0.00	0 00%	S
ORACLE CORP	68389X105	ORCL	225.00	02/16/2012	6,362.03	05/25/2016	9,041.07	2,679.04	42.11%	L
ORACLE CORP	68389X105	ORCL	45.00	02/16/2012	1,272.41	01/25/2017	1,804.46	532.05	41.81%	L
PARKER HANNIFIN CORP	701094104	PH	235.00	09/07/2005	10,383.36	10/26/2016	28,731.57	18,348.21	176.71%	L
PARKER HANNIFIN CORP	701094104	PH	110.00	09/07/2005	4,860.30	01/24/2017	15,920.68	11,060.38	227.57%	L
PARKER HANNIFIN CORP	701094104	PH	60.00	09/07/2005	2,651.07	01/25/2017	8,980.00	6,328.93	238.73%	L
PEPSICO INC	713448108	PEP	200.00	07/14/2010	12,698.12	05/25/2016	20,304.20	7,606.08	59.90%	L
PEPSICO INC	713448108	PEP	260.00	07/14/2010	16,507.56	10/26/2016	27,882.36	11,374.80	68.91%	L
PNC FINANCIAL SERVICES GROUP	693475105	PNC	180.00	01/20/2011	10,916 23	05/25/2016	16,253.00	5,336.77	48.89%	L
PNC FINANCIAL SERVICES GROUP	693475105	PNC	200.00	01/20/2011	12,129.14	10/26/2016	18,817.07	6,687.93	55.14%	L

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Realized gain/loss - from 03/01/2016 to 02/28/2017 (continued)

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
PNC FINANCIAL SERVICES GROUP	693475105	PNC	275.00	01/20/2011	16,677.56	01/24/2017	32,446.54	15,768.98	94.55%	L
PNC FINANCIAL SERVICES GROUP	693475105	PNC	60.00	01/20/2011	3,638.74	01/25/2017	7,247.85	3,609.11	99.19%	L
PNC FUNDING CORP B/E 05.625% 020117 DTD020807 FC080107	693476BB8		50,000.00	06/15/2016	50,000.00	02/01/2017	50,000.00	0 00	0.00%	S
PPG INDUSTRIES INC	693506107	PPG	90.00	03/30/2004	2,602.89	05/25/2016	9,758.50	7,155.61	274.91%	L
PROCTER & GAMBLE CO	742718109	PG	205.00	02/24/2009	10,215.25	10/26/2016	17,880.41	7,665.16	75.04%	L
RAYMOND JAMES FINL INC 04.250% 041516 DTD041111 CALL@MW T+30BP	754730AC3		50,000.00	12/18/2015	50,000.00	04/15/2016	50,000.00	0.00	0.00%	S
STATE STREET CORP	857477103	STT	170.00	05/21/2008	12,240.78	01/24/2017	13,482 41	1,241.63	10.14%	L
SYMANTEC CORP	871503108	SYMC	560.00	06/28/2007	11,533.88	01/24/2017	15,149.52	3,615.64	31.35%	L
SYMANTEC CORP	871503108	SYMC	175.00	06/28/2007	3,604 33	01/25/2017	4,778.28	1,173.95	32.57%	L
TE CONNECTIVITY LTD CHF	H84989104	TEL	330.00	10/02/2007	11,883.13	01/24/2017	23,698.43	11,815.30	99.43%	L
TE CONNECTIVITY LTD CHF	H84989104	TEL	145.00	10/02/2007	5,221.38	01/25/2017	10,929.28	5,707.90	109.32%	L
TORCHMARK CORP 06.375% 061516 DTD062306 FC121506 CALL@MW+25BP	891027AN4		100,000.00	08/12/2015	100,000.00	06/15/2016	100,000.00	0.00	0.00%	S
TORONTO DOMINION BK B/E 02.375% 101916 DTD101911 FC041912	89114QAE8		50,000.00	03/15/2016	50,000.00	10/19/2016	50,000.00	0.00	0.00%	S
TORONTO DOMINION BK B/E 02.375% 101916 DTD101911 FC041912	89114QAE8		50,000.00	04/14/2016	50,000.00	10/19/2016	50,000.00	0.00	0.00%	S
TRAVELERS COS INC/THE	89417E109	TRV	445.00	06/06/2006	19,004 79	05/25/2016	50,600 38	31,595.59	166.25%	L
TRAVELERS COS INC/THE	89417E109	TRV	75.00	06/06/2006	3,203.05	01/24/2017	8,795.54	5,592.49	174.60%	L
TRAVELERS COS INC/THE	89417E109	TRV	35.00	06/06/2006	1,494.76	01/25/2017	4,116.96	2,622.20	175.43%	L
UNITED PARCEL SERVICE INC CL B	911312106	UPS	75.00	09/04/2014	7,415.88	01/24/2017	8,620.31	1,204.43	16.24%	L
UNITED PARCEL SERVICE INC CL B	911312106	UPS	65.00	09/04/2014	6,427.09	01/25/2017	7,601 00	1,173.91	18 27%	L
UNITEDHEALTH GROUP INC	91324P102	UNH	30.00	09/19/2012	1,646.06	05/25/2016	4,001.31	2,355.25	143.08%	L
UNITEDHEALTH GROUP INC	91324P102	UNH	150.00	09/19/2012	8,230.34	10/26/2016	21,395.74	13,165.40	159.96%	L
UNITEDHEALTH GROUP INC	91324P102	UNH	75.00	09/19/2012	4,115.17	01/24/2017	11,979.87	7,864.70	191.11%	L
UNITEDHEALTH GROUP INC	91324P102	UNH	30.00	09/19/2012	1,646.06	01/25/2017	4,827.19	3,181.13	193.26%	L
UNTD TECHNOLOGIES CORP	913017109	UTX	125.00	06/26/2002	4,081.25	01/24/2017	13,871.58	9,790.33	239.89%	L
UNTD TECHNOLOGIES CORP	913017109	UTX	30.00	06/26/2002	979.50	01/25/2017	3,314.66	2,335.16	238.40%	L
US BANCORP DEL (NEW)	902973304	USB	585.00	02/21/2013	19,770.08	01/24/2017	30,052.95	10,282.87	52.01%	L

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UBS

Prepared for The Spaulding Foundation
WL 48912 • The Spaulding Foundation • Portfolio Management Program
Risk profile: Moderate
Return Objective. Current Income and Capital Appreciation

Realized gain/loss - from 03/01/2016 to 02/28/2017 (continued)

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
US BANCORP DEL (NEW)	902973304	USB	155.00	02/21/2013	5,238.22	01/25/2017	8,131.69	2,893.47	55.24%	L
VERIZON COMMUNICATIONS INC	92343V104	VZ	65.00	02/04/2008	2,333.79	01/25/2017	3,235.05	901.26	38.62%	L
TOTAL REALIZED GAIN/(LOSS):					**1,891,535.23**		**2,759,616.50**	**868,081.27**	**45.89%**	

TOTAL GAINS:	875,870.50
TOTAL LOSSES:	-7,789.23
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):	412.32
LONG TERM - TOTAL REALIZED GAIN/(LOSS):	867,668.95

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The Spaulding Foundation EIN: 31-1096254
2016 Form 990-PF

Schedule No. 11: Award Restrictions and Limitations (Part XV, Line 2(d)

Grants are made to organizations qualifying under IRC Section 501 (c) (3), for purposes of improving life in the community, focusing in areas of mental health, mental and physical disabilities, substance abuse/chemical addiction, and domestic violence, rape, and abuse. Grants are geographically limited to charitable organizations serving Hamilton County, Ohio and the counties contiguous thereto.

THE SPAULDING FOUNDATION
31-1096254

STATEMENT No. 12
Form 990-PF (2016)
Part XV Supplementary Info.
3 (a) Grants and Contributions Paid during the Year or Approved For Future Payment

Recipient Name and Address	If recipient is an Individual, show Any relationship To any found. Mgr. or substant. Contributor	Foundation status of Recipient	Purpose of grant or contribution	Amount Paid during the year
CINCINNATI THERAPEUTIC RIDING & HORSEMANSHIP 1342 U.S. 50 MILFORD OH 45150	N/A	501(c)(3)	FUNDING FOR EQUINE THERAPY PROGRAM FOR VETERANS SUFFERING PTSD AND/OR TRAUMATIC BRAIN INJURY	$ 3,000
BLOC MINISTRIES 3952 NORTH BEND ROAD CINCINNATI OH 45211	N/A	501(c)(3)	FUNDING FOR ADAPT DRUG AND ALCOHOL PREVENTION PROGRAM FOR MIDDLE AND HIGH SCHOOL STUDENTS	$ 9,000
LIGHTHOUSE YOUTH SERVICES 401 E. McMILLAN CINCINNATI OH 45229	N/A	501(c)(3)	CAPITAL FUNDING FOR NEW SHELTER FOR HOMELESS YOUTH AGES 18-24 INCLUDING LONG TERM HOUSING AND SUPPORTIVE SERVICES	$20,000
FREESTORE FOODBANK 1141 CENTRAL PARKWAY CINCINNATI OH 45202	N/A	501(c)(3)	FUNDING FOR RENT AND UTILITIES ASSISTANCE PROGRAM FOR LOW INCOME INDIVIDUALS TO PREVENT HOMELESSNESS	$15,000
OHIO VALLEY VOICES 6642 BRANCH HILL-GUINEA PIKE LOVELAND OH 45140	N/A	501(c)(3)	SUPPORT FOR FAMILY CENTER PROGRAM PROVIDING TUITION ASSISTANCE TO FAMILIES OF CHILDREN BIRTH-AGE 3 WITH HEARING LOSS	$30,000
BETHANY HOUSE 1841 FAIRMOUNT CINCINNATI OH 45214	N/A	501(c)(3)	SUPPORT FOR BRIGHTER FUTURES FOR HOMELESS CHILDREN PROGRAM TO EXPAND PROGRAMMING AND ACTIVITIES	$20,000
CHURCHES ACTIVE IN NORTHSIDE 4230 HAMILTON AVENUE CINCINNATI OH 45223	N/A	501(c)(3)	FUNDING TO CONSTRUCT AN EXPANDED FOOD PANTRY STORAGE UNIT	$25,000
CANCER FAMILY CARE 2421 AUBURN AVENUE CINCINNATI OH 45219	N/A	501(c)(3)	SUPPORT FOR COUNSELING PROGRAMS PROVIDING THERAPY TO CANCER PATIENTS AND THEIR FAMILIES	$10,000
ASIAN COMMUNITY ALLIANCE 7566 CENTRAL PARK BLVD MASON OH 45040	N/A	501(c)(3)	FUNDING FOR PROJECT HOPE COORDINATOR PROVIDING CONNECTIONS TO RESOURCES FOR VICTIMS OF DOMESTIC VIOLENCE	$12,000
MILFORD MIAMI MINISTRY 844 STATE ROUTE 131 MILFORD OH 45150	N/A	501(c)(3)	SUPPORT FOR EMERGENCY FINANCIAL ASSISTANCE PROGRAM FOR RENT, UTILITIES AND MEDICAL EXPENSES FOR LOW INCOME CLIENTS	$ 5,000

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CHRISTIAN OUTREACH AND WELLNESS MINISTRIES 3710 EASTERN AVENUE CINCINNATI OH 45226	N/A	501(c)(3)	FUNDING FOR STAFF AND OCCUPANCY TO RUN FOOD PANTRY AND SERVICES FOR LOW INCOME INDIVIDUALS AND FAMILIES	$ 5,000
ONE WAY FARM 6131 E. RIVER ROAD FAIRFIELD OH 45018	N/A	501(c)(3)	OPERATING SUPPORT TO PROVIDE CARE FOR ABUSED AND NEGLECTED CHILDREN	$10,000
MILESTONES, INC 12372 RIGGS ROAD INDEPENDENCE KY 41051	N/A	501(c)(3)	SUPPORT FOR THERAPEUTIC RIDING PROGRAM FOR DISABLED CHILDREN AND ADULTS	$ 7,500
DCCH CENTER FOR FAMILIES AND CHILDREN 75 ORPHANAGE ROAD FT MITCHELL KY 41017	N/A	501(c)(3)	FUNDING TO PURCHASE SENSORY INTEGRATION PROPS AND MATERIALS FOR USE IN BEHAVIORAL THERAPY FOR ABUSED AND NEGLECTED CHILDREN	$15,000
THE POINT/ARC OF NORTHERN KENTUCKY 104 W. PIKE STREET COVINGTON KY 41011	N/A	501(c)(3)	FUNDING FOR GARAGE RENOVA-TION TO PROVIDE HANDICAP ACCESSIBLE CLASSROOMS	$37,500
TENDER MERCIES 27 W. 12TH STREET CINCINNATI OH 45202	N/A	501(c)(3)	FUNDING FOR PERMANENT SUPPORTIVE HOUSING PROGRAM FOR HOMELESS PERSONS WITH MENTAL ILLNESS	$25,000
HEALTH CARE ACCESS NOW 7162 READING ROAD CINCINNATI OH 45237	N/A	501 (c)(3)	FUNDING FOR COMMUNITY HEALTH WORKER FOR PREGNANCY CARE COORDINATION PROGRAM ASSISTING LOW INCOME WOMEN	$12,500
ST. FRANCIS-ST. JOSEPH CATHOLIC WORKER HOUSE P.O BOX 14274 CINCINNATI OH 45250	N/A	501(c)(3)	FUNDING FOR PLUMBING REPAIRS TO WORKER HOUSE PROVIDING SHELTER TO HOMELESS MEN	$20,000
ST. ALOYSIUS ORPHANAGE 4721 READING ROAD CINCINNATI OH 45237	N/A	501(c)(3)	FUNDING FOR PSYCHOLOGICAL EVALUATIONS FOR LOW INCOME CHILDREN	$25,000
EASTER SEALS TRISTATE 2901 GILBERT AVENUE CINCINNATI OH 45206	N/A	501(c)(3)	SUPPORT FOR WORK & GROW PROGRAM PROVIDING JOB TRAINING AND PLACEMENT AND WELLNESS ACTIVITIES FOR DISABLED ADULTS	$25,000

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COMMUNITY MATTERS 2110 ST. MICHAEL STREET CINCINNATI OH 45204	N/A	501(c)(3)	FUNDING FOR OPPORTUNITY HUB PROVIDING EMERGENCY ASSISTANCE, CASE MANAGEMENT AND REFERRALS FOR LOW INCOME INDIVIDUALS AND FAMILIES	$13,000
LEGAL AID SOCIETY OF GREATER CINCINNATI 215 E. NINTH STREET CINCINNATI OH 45202	N/A	501(c)(3)	FUNDING FOR LAWLINK PROVIDING LEGAL ASSISTANCE TO VICTIMS OF DOMESTIC VIOLENCE	$20,000
TRANSITIONS, INC. 700 FAIRFIELD AVENUE BELLEVUE KY 41073	N/A	501(c)(3)	THIRD YEAR OF THREE YEAR GRANT FOR DETOXIFICATION UNIT TREATING DRUG ADDICTED ADULTS	$25,000
OVER-THE-RHINE/WALNUT HILLS KITCHEN AND PANTRY P.O. BOX 6045 CINCINNATI OH 45206	N/A	501(c)(3)	FUNDING FOR OPERATING, UTILITIES, EQUIPMENT AND FACILITIES IMPROVEMENT, GENERAL MAINTENANCE AND STAFF	$ 5,000
THE BEECHWOOD HOME 2140 POGUE AVENUE CINCINNATI OH 45208 COVINGTON KY 41017	N/A	501(c)(3)	FUNDING FOR THERAPEUTIC RECREATIONAL PROGRAM TO IMPROVE PHYSICAL, COGNITIVE AND SOCIAL LEVELS OF DISABED RESIDENTS	$10,000
ST. ELIZABETH HEALTHCARE 1 MEDICAL VILLAGE DRIVE EDGEWOOD KY 41017	N/A	501(c)(3)	FUNDING FOR EMERGENCY DEPARTMENT SOCIAL WORKER CARE COORDINATOR ASSISTING PATIENTS WITH HEROIN RELATED ISSUES	$25,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4440 LAKE FOREST DRIVE CINCINNATI OH 45242	N/A	501(c)(3)	FUNDING FOR DIRECT ASSISTANCE PROGRAM PROVIDING EMERGENCY FUNDS TO PEOPLE WITH MS	$10,000
VOLUNTEERS OF AMERICA 644 LINN STREET #817 CINCINNATI OH 45203	N/A	501(c)(3)	FUNDING FOR ANNABELLE'S PLACE PROVIDING PERMANENT SUPPORTIVE HOUSING FOR FEMALE VETERANS	$25,000
CINCINNATI WORKS 708 WALNUT #200 CINCINNATI OH 45202	N/A	501(c)(3)	SUPPORT FOR JOB READINESS, SEARCH, ACQUSITION, RETENTION AND ADVANCEMENT FOR LOW INCOME CLIENTS	$10,000
CENTRAL CLINIC BEHAVIORAL HEALTH 311 ALBERT SABIN WAY CINCINNATI OH 45229	N/A	501(c)(3)	FUNDING TO REBUILD ELEVATOR AT COURT CLINIC SERVING INDIVIDUALS ORDERED FOR MENTAL HEALTH EVALUATIONS AND/OR ADDICTION TREATMENT	$30,000

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RONALD McDONALD HOUSE 350 ERKENBRECHER AVENUE CINCINNATI OH 45229	N/A	501(c)(3)	FUNDING FOR HEALTHY HOUSE PROJECT TO RENOVATE AND UPGRADE FACILITY	$38,000
JOSH CARES 7870 E. KEMPER CINCINNATI OH 45249	N/A	501(c)(3)	FUNDING FOR SIX MONTHS SALARY FOR CHILD LIFE SPECIALIST CARING FOR UNACCOMPANIED HOSPITALIZED CHILDREN	$25,000
CHILDREN'S LAW CENTER 1002 RUSSELL STREET COVINGTON KY 41011	N/A	501(c)(3)	FUNDING FOR PART-TIME MENTAL HEALTH CLINICIAN TO WORK WITH ATTORNEYS REPRESENTING CHILDREN IN THE LEGAL SYSTEM	$25,000
PREVENT BLINDNESS OHIO 5727 DRAGON WAY CINCINNATI OH 45227	N/A	501(c)(3)	SUPPORT FOR VISION CARE OUTREACH PROGRAM PROVIDING VISION CARE FOR LOW INCOME ADULTS AND CHILDREN	$ 5,000
VISIONARIES + VOICES 3841 SPRING GROVE AVENUE CINCINNATI OH 45223	N/A	501(c)(3)	FUNDING FOR SOCIAL SKILLS SUMMER CAMP PROVIDING ARTS ENGAGEMENT FOR DISABLED CHILDREN	$ 6,000
MADISON AVENUE CHRISTIAN CHURCH 1530 MADISON AVENUE COVINGTON KY 41011	N/A	501(c)(3)	FUNDING FOR TRANSITIONAL HOUSING PROGRAM ASSISTING FAMILIES OUT OF HOMELESSNESS	$10,000
ARTHRITIS FOUNDATION-OHIO RIVER VALLEY MARKET 4665 CORNELL ROAD CINCINNATI OH 45241	N/A	501(c)(3)	SUPPORT FOR KIDS GET ARTHRITIS TOO PROGRAM	$10,000
COUNCIL ON CHILD ABUSE 2600 VICTORY PARKWAY CINCINNATI OH 45206	N/A	501(c)(3)	FUNDING FOR SCHOOL BASED PROGRAM FOCUSING ON ABUSE AND BULLYING PREVENTION	$10,000
STARFIRE COUNCIL OF GREATER CINCINNATI 5030 OAKLAWN DRIVE CINCINNATI OH 45227	N/A	501(c)(3)	SUPPORT FOR COMMUNITY BUILDERS PROGRAM PROVIDING DIRECT SUPPORT TO DEVELOPMENTALLY DISABLED PEOPLE	$30,000
ALZHEIMER'S ASSOCIATION OF GREATER CINCINNTI 644 LINN STREET #1026 CINCINNATI OH 45203	N/A	501(c)(3)	FUNDING FOR VARIOUS PROGRAMS ASSISTING FAMILIES AND PERSONS WITH ALZHEIMER'S/DEMENTIA	$15,000
PROKIDS 2605 BURNET AVENUE CINCINNATI OH 45219	N/A	501(c)(3)	SUPPORT FOR CASA UNIVERSITY PROGRAM TRAINING ADVOCATES FOR CHILDREN IN FOSTER CARE	$15,000

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FAMILIES CONNECTED OF CLERMONT COUNTY 2040 U S. 50 BATAVIA OH 45103	N/A	501(c)(3)	SALARY SUPPORT FOR EDUCATION ADVOCATES ASSISTING FAMILIES WITH SPECIAL EDUCATION SERVICES FOR DISABLED CHILDREN	$25,000
REDWOOD 71 ORPHANAGE ROAD FT. MITCHELL KY 41017	N/A	501(c)(3)	FUNDING TO PURCHASE CONVECTION STEAM OVEN AS PART OF KITCHEN RENOVATION	$17,520
SANTA MARIA COMMUNITY SERVICES 617 STEINER STREET CINCINNATI OH 45204	N/A	501(c)(3)	SUPPORT FOR WELLNESS PROGRAM AIDING LOW INCOME ADULTS IN PRICE HILL TO ACCESS HEALTH CARE SERVICES	$10,000
CANCER SUPPORT COMMUNITY 4918 COOPER ROAD CINCINNATI OH 45242	N/A	501(c)(3)	SUPPORT FOR LIVING WITH CANCER, CREATING HOPE PROGRAMS FOR FAMILIES OF CANCER PATIENTS	$15,000
HEARING SPEECH & DEAF CENTER 2825 BURNET AVENUE CINCINNATI OH 45219	N/A	501(c)(3)	FUNDING FOR TECHNOLOGY UPGRADE TO PROVIDE IMPROVED HEARING AID FITTING	$30,000
CHILD ADVOCACY CENTER OF WARREN COUNTY 1 CHILDREN'S PLAZA DAYTON OH 45404	N/A	501(c)(3)	OPERATING SUPPORT PROVIDING MULTI-DISCIPLINARY SERVICES TO CHILD VICTIMS OF SEXUAL AND PHYSICAL ABUSE	$20,000
WELCOME HOUSE OF N. KENTUCKY 205 W. PIKE STREET COVINGTON KY 41011	N/A	501(c)(3)	SUPPORT FOR EMERGENCY SHELTER PROGRAM FOR HOMELESS WOMEN AND CHILDREN	$20,000
CENTER FOR RESPITE CARE 3550 WASHINGTON CINCINNATI OH 45229 CINCINNATI OH 45214	N/A	501(c)(3)	OPERATING SUPPORT TO PROVIDE MEDICAL CARE, CASE MANAGEMENT AND HOUSING SERVICES TO HOME-LESS ADULTS	$30,000
CINCINNATI ZOO & BOTANICAL GARDEN 3400 VINE STREET CINCINNATI OH 45219	N/A	501(c)(3)	CAPITAL SUPPORT FOR EXPANSION AND RENOVATION OF GORILLA WORLD EXHIBIT	$500,000
CATHOLIC CHARITIES OF SOUTHWEST OHIO 7162 READING ROAD CINCINNATI OH 45237	N/A	501(c)(3)	UNSPENT GRANT FUNDS RETURNED FOR FUNDING FOR CLINICIAN PROVIDING MENTAL HEALTH COUNSELING TO REFUGEE CONGOLESE/ AFRICAN ADULTS AND CHILDREN	($15,000)
			TOTAL	**$1,346,020.00**