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For calendar year 2016, or tax year beginning 03-01-2016, and ending 02-28-2017

| | | | |
|--|--|--|--|
| Name of foundation Axe-Houghton Foundation | | A Employer identification number 13-6200200 | |
| % Foundation Source | | | |
| Number and street (or P O box number if mail is not delivered to street address) Foundation Source 55 Walls Dr 3 Fl | Room/suite | B Telephone number (see instructions) (800) 839-1754 | |
| City or town, state or province, country, and ZIP or foreign postal code Fairfield, CT 06824 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,653,313 | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 0 | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 84 | 84 | | |
| | 4 Dividends and interest from securities | 160,715 | 160,715 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 170,114 | | | |
| | b Gross sales price for all assets on line 6a 1,910,545 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 170,114 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 15 | 15 | | |
| | 12 Total. Add lines 1 through 11 | 330,928 | 330,928 | | |
| | 13 Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | 32,174 | 32,174 | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 13,395 | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 41,908 | | | 41,908 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 87,477 | 32,174 | | 41,908 |
| | 25 Contributions, gifts, grants paid | 310,100 | | | 310,100 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 397,577 | 32,174 | | 352,008 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | -66,649 | | | |
| | b Net investment income (if negative, enter -0-) | | 298,754 | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | | Beginning of year | End of year | |
|-----------------------------|--|---|----------------|-----------------------|-------------------|-------------|--|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | | | |
| Assets | 1 | Cash—non-interest-bearing | | | | | |
| | 2 | Savings and temporary cash investments | 32,258 | 20,956 | 20,956 | | |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | | |
| | 5 | Grants receivable | | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | | |
| | 8 | Inventories for sale or use | | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | | | |
| | b | Investments—corporate stock (attach schedule) | 6,063,848 | 6,008,501 | 7,632,357 | | |
| | c | Investments—corporate bonds (attach schedule) | | | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | | | |
| | 12 | Investments—mortgage loans | | | | | |
| | 13 | Investments—other (attach schedule) | | | | | |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | | | |
| 15 | Other assets (describe ▶ _____) | | | | | | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 6,096,106 | 6,029,457 | 7,653,313 | | | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | | |
| | 18 | Grants payable | | | | | |
| | 19 | Deferred revenue | | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | | |
| | 22 | Other liabilities (describe ▶ _____) | | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | | | |
| | 24 | Unrestricted | | | | | |
| | 25 | Temporarily restricted | | | | | |
| | 26 | Permanently restricted | | | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | | | |
| | 27 | Capital stock, trust principal, or current funds | | | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 6,096,106 | 6,029,457 | | | |
| | 30 | Total net assets or fund balances (see instructions) | 6,096,106 | 6,029,457 | | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) . | 6,096,106 | 6,029,457 | | | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|---|--|--------------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 6,096,106 |
| 2 | Enter amount from Part I, line 27a | 2 -66,649 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 _____ |
| 4 | Add lines 1, 2, and 3 | 4 6,029,457 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 _____ |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . | 6 6,029,457 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 1 a Publicly-traded Securities | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|--------------------------|---|--|---|
| a 1,910,545 | | 1,740,431 | 170,114 |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | 170,114 |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|--|----------|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 170,114 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { } | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2015 | 347,159 | 7,471,215 | 0 046466 |
| 2014 | 342,997 | 7,762,931 | 0 044184 |
| 2013 | 345,217 | 7,452,153 | 0 046324 |
| 2012 | 353,189 | 7,090,460 | 0 049812 |
| 2011 | 331,429 | 6,992,306 | 0 047399 |
| 2 Total of line 1, column (d) | | | 2 0 234185 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0 046837 |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | | | 4 7,465,039 |
| 5 Multiply line 4 by line 3 | | | 5 349,640 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 2,988 |
| 7 Add lines 5 and 6 | | | 7 352,628 |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 352,008 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 5,975 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2. | 3 | 5,975 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 5,975 |
| 6 | Credits/Payments | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | 9,300 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 9,300 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶ | 10 | 3,325 |
| 11 | Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 3,325 Refunded ▶ | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----------|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | 1b | No |
| c Did the foundation file Form 1120-POL for this year? | 1c | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | 2 | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | 5 | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | 7 | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . | 8b | Yes |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | 9 | No |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|--|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► | 13 | Yes | |
| 14 | The books are in care of ► Foundation Source Telephone no ► (800) 839-1754 | | | |

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

| | | | | |
|-----------|--|--------------------------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here | <input type="checkbox"/> | | |
| | and enter the amount of tax-exempt interest received or accrued during the year | 15 | | |
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ► | 16 | Yes | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | | | |
|-----------|---|-----------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly) | | Yes | No |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> | 1b | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/> | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | 4b | | No |

| | | | | |
|-----------|--|---|-----------|-----------|
| 5a | During the year did the foundation pay or incur any amount to | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 5b | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ | <input type="checkbox"/> | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| | <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i> | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b | No |
| | <i>If "Yes" to 6b, file Form 8870</i> | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b | |

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------|--|---|---|---------------------------------------|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

[illegible]

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ► | | |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|----------|----------|
| 1 | |
| | |
| | |
| | |
| 2 | |
| | |
| | |
| | |
| 3 | |
| | |
| | |
| | |
| 4 | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| | |
| | |
| | |
| 2 | |
| | |
| | |
| | |
| All other program-related investments. See instructions. | |
| | |
| | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities. | 1a | 7,553,905 |
| b | Average of monthly cash balances. | 1b | 24,815 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 7,578,720 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 7,578,720 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 113,681 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 7,465,039 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 373,252 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 373,252 |
| 2a | Tax on investment income for 2016 from Part VI, line 5. | 2a | 5,975 |
| b | Income tax for 2016 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 5,975 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 367,277 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 367,277 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 367,277 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 352,008 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 352,008 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions). | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 352,008 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 367,277 |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only. | | | 261,998 | |
| b Total for prior years 2014, 2013, 2012 | | | | |
| 3 Excess distributions carryover, if any, to 2016 | | | | |
| a From 2011. | | | | |
| b From 2012. | | | | |
| c From 2013. | | | | |
| d From 2014. | | | | |
| e From 2015. | | | | |
| f Total of lines 3a through e. | | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>352,008</u> | | | | |
| a Applied to 2015, but not more than line 2a | | | 261,998 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2016 distributable amount. | | | | 90,010 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 | | | | 277,267 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). | | | | |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2012. | | | | |
| b Excess from 2013. | | | | |
| c Excess from 2014. | | | | |
| d Excess from 2015. | | | | |
| e Excess from 2016. | 0 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | 3a | 310,100 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | 3b | |

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

P01345770

Firm's EIN ▶

Phone no (800) 839-1754

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|--|--|--|
| Lynn F Angelson Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | VP / Dir 1 0 | 0 | 0 | 0 |
| Suzanne Davidson Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | Dir / Sec 1 0 | 0 | 0 | 0 |
| Charles Forster Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | Dir 1 0 | 0 | 0 | 0 |
| Bruce D Haims Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | Dir / Treas 1 0 | 0 | 0 | 0 |
| Jeffrey Steinman Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | Pres / Dir 1 0 | 0 | 0 | 0 |
| Carolyn Weber Foundation Source 55 Walls Dr 3 Fl Fairfield, CT 06824 | Dir 1 0 | 0 | 0 | 0 |

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ACTORS COMPANY THEATRE INC 900 BROADWAY NEW YORK, NY 10003 | N/A | PC | TACT's Mainstage World Premiere Production of THE GRAVEDIGGER'S LULLABY by Jeff Talbott Off-Broadway | 2,500 |
| AQUILA THEATRE COMPANY 51 BEDFORD RD STE 10 KATONAH, NY 10536 | N/A | PC | Shakespeare Leaders Project | 2,000 |
| ASIAN AMERICAN WRITERS WORKSHOP INC 112 W 27TH ST STE 600 NEW YORK, NY 10001 | N/A | PC | Mouth to Mouth Open Mic Project | 4,000 |
| ATLANTIC THEATER COMPANY 76 9TH AVE STE 537 NEW YORK, NY 10011 | N/A | PC | Atlantic New Play Development Program | 5,000 |
| BROOKLYN ARTS COUNCIL 20 JAY ST STE 616 BROOKLYN, NY 11201 | N/A | PC | Alzheimer's Poetry Project | 5,000 |
| CHAMBER MUSIC SOCIETY OF LINCOLN CENTER INC 70 LINCOLN CENTER PLZ NEW YORK, NY 10023 | N/A | PC | Chamber Music Society of Lincoln Center Love Sonnets Concert | 3,000 |
| CHERRY LANE ALTERNATIVE INC 38 COMMERCE ST NEW YORK, NY 10014 | N/A | PC | Mentor Project 2017 | 3,500 |
| CITY COLLEGE FUND 160 GONVENT AVE NEW YORK, NY 10031 | N/A | PC | Poetry Outreach Center (POC) Project | 3,000 |
| CIVILIANS INC 138 S OXFORD ST 3C BROOKLYN, NY 11217 | N/A | PC | LET ME ASCERTAIN YOU live cabarets and a podcast of investigative theater Project | 5,000 |
| CLASSICAL THEATRE OF HARLEM INC 566 W 159TH ST STE 44 NEW YORK, NY 10032 | N/A | PC | CTH - Reading Series Project | 4,500 |
| CLUBBED THUMB INC 440 LAFAYETTE ST 4TH FL NEW YORK, NY 10003 | N/A | PC | Summerworks 2017 Project | 3,000 |
| COMMUNITY-WORD PROJECT INC 11 BROADWAY STE 508 NEW YORK, NY 10004 | N/A | PC | Collaborative Arts Residency Program | 3,500 |
| CSC REPERTORY LTD 136 E 13TH ST NEW YORK, NY 10003 | N/A | PC | Classic Stage Company's production of Stephen Sondheim's PACIFIC OVERTURES | 8,500 |
| DE LA SALLE ACADEMY 332 W 43RD ST NEW YORK, NY 10036 | N/A | PC | Axe-Houghton/ De La Salle Academy Foundation Debate Program | 10,000 |
| ENGLISH SPEAKING UNION OF THE UNITED STATES 144 E 39TH ST NEW YORK, NY 10016 | N/A | PC | English-Speaking Union Middle School Debate Program | 4,000 |
| Total ► 3a | | | | 310,100 |

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ENSEMBLE STUDIO THEATRE INC 549 W 52ND ST NEW YORK, NY 10019 | N/A | PC | Ensemble Studio Theatre Mainstage Productions | 4,500 |
| EPIC THEATRE CENTER INC 55 W 39TH ST RM 302 NEW YORK, NY 10018 | N/A | PC | Shakespeare Remix Project | 6,500 |
| FORDHAM UNIVERSITY 45 COLUMBUS AVE 8TH FL NEW YORK, NY 10023 | N/A | PC | Poets Out Loud Project | 3,000 |
| FOUNDATION CENTER 32 OLD SLIP 24TH FL NEW YORK, NY 10005 | N/A | PC | Building Social Sector Capacity Project | 2,000 |
| GIRL BE HEARD INSTITUTE 20 JAY ST 210B BROOKLYN, NY 11201 | N/A | PC | Girl Be Heard Sunday Workshops | 5,000 |
| GROUP I ACTING COMPANY INC 630 9TH AVE STE 214 NEW YORK, NY 10036 | N/A | PC | Theater and Arts Education Programs at The Acting Company | 6,000 |
| HALEAKALA INC 512 W 19TH ST NEW YORK, NY 10011 | N/A | PC | The FY2017 Season of Literature Programs at The Kitchen | 3,000 |
| HOME FOR CONTEMPORARY THEATRE AND ART LTD 145 AVE OF AMERICAS NEW YORK, NY 10013 | N/A | PC | Casablancabox Project | 2,500 |
| IRISH REPERTORY THEATRE COMPANY INC 132 W 22ND ST STE 2 NEW YORK, NY 10011 | N/A | PC | The Irish Repertory Theatre's Production of Desire Under the Elms | 5,000 |
| JUSTICE RESOURCE CENTER 122 AMSTERDAM AVE RM 504A NEW YORK, NY 10023 | N/A | PC | Justice Resource Center We The PEOPLE oral debate Project | 6,000 |
| JUSTICE RESOURCE CENTER 122 AMSTERDAM AVE RM 504A NEW YORK, NY 10023 | N/A | PC | The Constitution Works Project | 6,000 |
| KEEN THEATER COMPANY INC 520 8TH AVE RM 328 NEW YORK, NY 10018 | N/A | PC | Keen Teens Project | 2,500 |
| LA MAMA EXPERIMENTAL THEATRE CLUB INC 74A E 4TH ST NEW YORK, NY 10003 | N/A | PC | La MaMa Literature Programs | 4,000 |
| LABYRINTH INC 155 BANK ST NEW YORK, NY 10014 | N/A | PC | Labyrinth Theater Company's 2016-17 Season | 5,000 |
| LARK THEATRE COMPANY INC 311 W 43RD ST STE 406 NEW YORK, NY 10036 | N/A | PC | Public Rehearsals at The Lark | 5,000 |
| Total ► 3a | | | | 310,100 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| LEARNING THROUGH AN EXPANDED ARTS PROGRAM INC 535 8TH AVE STE 1100 NEW YORK, NY 10018 | N/A | PC | August Wilson Program | 3,500 |
| MABOU MINES DEVELOPMENT FOUNDATION INC PO BOX 777 PETER STUYVESANT STA NEW YORK, NY 10009 | N/A | PC | Glass Guignol The Brother and Sister Play Project | 2,500 |
| MANHATTAN CLASS COMPANY INC 231 W 29TH ST STE 303 NEW YORK, NY 10001 | N/A | PC | MCC Theater's 30th Anniversary Season | 8,000 |
| MINT THEATER COMPANY INC 330 W 42 ST NO 1210 NEW YORK, NY 10036 | N/A | PC | Mint Theater Company's production of THE LUCKY ONE by A A Milne | 5,000 |
| NEW DRAMATISTS INC 424 W 44TH ST NEW YORK, NY 10036 | N/A | PC | New Dramatists' Playwright Residency and the Playwrights' Lab Project | 10,000 |
| NEW GROUP INC 410 W 42ND ST NEW YORK, NY 10036 | N/A | PC | THE NEW GROUP Project | 5,000 |
| NEW YORK CITY URBAN DEBATE LEAGUE INC 560 W 43RD ST APT 24B NEW YORK, NY 10036 | N/A | PC | NYC Debate Program Director | 6,000 |
| NEW YORK CLASSICAL THEATRE INC 40 W 116TH ST B 1004 NEW YORK, NY 10026 | N/A | PC | 2017 Season of FREE Off-Broadway Theatre throughout New York City | 4,000 |
| NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK, NY 10013 | N/A | PC | Radio Rookies Project | 5,000 |
| NEW YORK THEATRE WORKSHOP INC 79 E 4TH ST NEW YORK, NY 10003 | N/A | PC | New York Theatre Workshop | 6,500 |
| OPEN CHANNELS NEW YORK INC 161 CHRYSTIE ST NEW YORK, NY 10002 | N/A | PC | Dixon Place Literary Program 2016-17 | 4,000 |
| PAGE SEVENTY THREE PRODUCTIONS INC 80 HANSON PL 6TH FL BROOKLYN, NY 11217 | N/A | PC | Page 73's 2016-2017 Season | 3,500 |
| PEARL THEATRE CO INC 555 W 42ND ST NEW YORK, NY 10036 | N/A | PC | Mainstage Season and Auxiliary Programming | 6,000 |
| PERFORMANCE SPACE 122 INC 67 W ST STE 315 BROOKLYN, NY 11222 | N/A | PC | REAL MAGIC by Forced Entertainment Project | 2,500 |
| PHOENIX THEATRE ENSEMBLE INC 200 E 10TH ST 180 NEW YORK, NY 10003 | N/A | PC | Significant English Translations and Significant Classical Works Project | 3,000 |
| Total ► | | | | 310,100 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| PLAY PRODUCTION COMPANY INC 321 W 44TH ST STE 802 NEW YORK, NY 10036 | N/A | PC | 2016/17 New Work/New World Production Series and Idea Lab Project | 4,000 |
| PLAYWRIGHTS REALM INC 520 8TH AVE RM 320 NEW YORK, NY 10018 | N/A | PC | The Playwrights Realm's INK'D Reading Festival | 3,000 |
| POETRY PROJECT LIMITED 131 E 10TH ST NEW YORK, NY 10003 | N/A | PC | Friday Night Reading Series | 2,500 |
| POETS HOUSE INC 10 RIVER TER NEW YORK, NY 10282 | N/A | PC | Layering multimedia programs to and maintaining back-end infrastructure of Poets House's website Project | 3,000 |
| PREMIERA INC 210 RIVERSIDE DR APT 8E NEW YORK, NY 10025 | N/A | PC | PREMIERES' INNER VOICES Project | 2,500 |
| PRIMARY STAGES COMPANY INC 307 W 38TH ST RM 1510 NEW YORK, NY 10018 | N/A | PC | Primary Stages 2016/2017 Season | 6,000 |
| RATTLESTICK PRODUCTIONS INC 224 WAVERLY PL NEW YORK, NY 10014 | N/A | PC | Seven Spots on the Sun Project | 3,500 |
| RED BULL THEATER INC 191 7TH AVE APT 25 NEW YORK, NY 10011 | N/A | PC | Red Bull Theater's 2016-2017 Revelation Reading Series | 5,000 |
| SECOND STAGE THEATRE 1501 BROADWAY STE 518 NEW YORK, NY 10036 | N/A | PC | 2016-17 Mainstage Season | 8,500 |
| SHAKESPEARE SOCIETY INC 191 7TH AVE STE 25 NEW YORK, NY 10011 | N/A | PC | Shakespeare in Schools Project | 6,000 |
| SHERIFFS MEADOW FOUNDATION 57 DAVID AVE VINEYARD HAVEN, MA 02568 | N/A | PC | General & Unrestricted | 100 |
| SOHO REPERTORY THEATRE INC 401 BROADWAY STE 300 NEW YORK, NY 10013 | N/A | PC | Soho Rep 2016/17 Season | 5,000 |
| STORYVILLE CENTER FOR THE SPOKEN WORD INC 75 BROAD ST STE 2601 NEW YORK, NY 10004 | N/A | PC | New York City Mainstage Project | 5,000 |
| STUTTERING ASSOCIATION FOR THE YOUNG INC 55 W 39TH ST STE 1001 NEW YORK, NY 10018 | N/A | PC | SAY Confident Voices Project | 6,000 |
| SYMPHONY SPACE INC 2537 BROADWAY NEW YORK, NY 10025 | N/A | PC | Symphony Space Presents Literature in Performance Project | 5,000 |
| Total ► | | | | 310,100 |
| 3a | | | | |

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| TADA THEATRE AND DANCE ALLIANCE INC 15 W 28TH ST 3RD FL NEW YORK, NY 10001 | N/A | PC | TADA! Theater's 2017 production of "New York, New Year" | 2,500 |
| TARGET MARGIN THEATER INC 138 S OXFORD ST 5TH FL BROOKLYN, NY 11217 | N/A | PC | 2016 / 2017 TMT Lab Project | 2,500 |
| THE FLEA THEATER INC 41 WHITE ST NEW YORK, NY 10013 | N/A | PC | The Flea Theater 2016-17 Season | 5,000 |
| THE FOUNDRY THEATRE INC 140-142 2ND AVE STE 405 NEW YORK, NY 10003 | N/A | PC | Soulbutter & Hogwash Project | 2,500 |
| THEATER BREAKING THROUGH BARRIERS CORP 400 W 43RD ST APT 43R NEW YORK, NY 10036 | N/A | PC | 2016-17 Productions TBTB's 5th Short Play Festival, Production of THE ARTIFICIAL JUNGLE by Charles Ludlam, readings,workshops | 4,000 |
| THEATER LABRADOR INC 109 W 27TH ST STE 9A NEW YORK, NY 10001 | N/A | PC | WATER Project | 4,500 |
| THEATRE FOR A NEW AUDIENCE INC 154 CHRISTOPHER ST STE 3D NEW YORK, NY 10014 | N/A | PC | Theatre for a New Audience's 2016-2017 Season of Productions and Programs | 6,500 |
| URBAN WORD NYC INC 5 W 19TH ST 3RD FL NEW YORK, NY 10011 | N/A | PC | Urban Word NYC Spoken Word Poetry and Creative Writing Program | 4,000 |
| VINEYARD THEATRE AND WORKSHOP CENTER INC 108 E 15TH ST NEW YORK, NY 10003 | N/A | PC | CAN YOU FORGIVE HER? | 4,000 |
| WORKING THEATRE CO INC 520 8TH AVE STE 303 NEW YORK, NY 10018 | N/A | PC | TheaterWorks! Adult Education Program 2016-2017 | 2,500 |
| Total ► 3a | | | | 310,100 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: Axe-Houghton Foundation

EIN: 13-6200200

TY 2016 Investments Corporate Stock Schedule

Name: Axe-Houghton Foundation
EIN: 13-6200200

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|----------------------------------|------------------------|-------------------------------|
| BAIRD AGGREGATE BOND FUND CLAS | 467,822 | 456,924 |
| COLUMBIA DIVIDEND INCOME FD CL | 119,943 | 169,366 |
| DFA EMERGING MARKETS PORTFOLIO | 63,490 | 63,538 |
| DFA U.S. SMALL CAP PORTFOLIO | 54,301 | 76,679 |
| DFA US LARGE COMPANY PORTFOLIO | 196,062 | 309,635 |
| DODGE & COX FUNDS INTERNATIONALA | 126,614 | 142,355 |
| DOUBLELINE CORE FIXED INCOME I | 365,940 | 361,306 |
| ETFS GOLD TRUST | 142,253 | 143,366 |
| ETFS PHYSICAL PRECIOUS METAL | 91,927 | 67,968 |
| FEDERATED INSTI HIGH YIELD BD | 179,359 | 190,042 |
| HARBOR CAPITAL APPRECIATION FU | 125,423 | 199,752 |
| HARBOR FDS INTL FD | 118,366 | 141,369 |
| ISHARES GOLD TRUST | 70,672 | 71,831 |
| PIMCO FUNDS FOREIGN BOND FUND | 74,938 | 73,755 |
| PIMCO INVESTMENT GRADE CORP BD | 368,071 | 367,328 |
| SPDR GOLD SHARES | 71,022 | 71,538 |
| T ROWE PRICE MID CAP VALUE | 99,898 | 123,611 |
| T. ROWE PRICE SMALL-CAP VALUE | 60,371 | 74,794 |
| TWEEDY, BROWNE GLOBAL VALUE FD | 135,373 | 143,194 |
| VANGUARD GROWTH INDEX FD ADMIR | 120,592 | 307,863 |
| VANGUARD INDEX TR VANGUARD TOT | 524,182 | 1,244,429 |
| VANGUARD INFLATION-PROTECTED S | 145,334 | 144,114 |
| VANGUARD INTL GROWTH FD ADMIRA | 115,995 | 142,667 |
| VANGUARD MID-CAP INDEX FD ADMI | 135,505 | 185,960 |
| VANGUARD SHORT-TERM CORPORATE | 719,284 | 735,962 |
| VANGUARD SHORT-TERM INFLATION- | 231,251 | 231,406 |
| VANGUARD TOTAL BOND MARKET IND | 348,797 | 354,152 |
| VANGUARD TOTAL INT ST IDX-AD | 526,900 | 631,952 |
| VANGUARD VALUE INDEX ADM SHARE | 136,526 | 311,902 |
| VANGUARD WINDSOR II FD ADMIRAL | 72,290 | 93,599 |

TY 2016 Other Expenses Schedule**Name:** Axe-Houghton Foundation**EIN:** 13-6200200**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Administrative Fees | 41,549 | | | 41,549 |
| State or Local Filing Fees | 250 | | | 250 |
| BOARD MEETING EXPENSES | 109 | | | 109 |

TY 2016 Other Income Schedule

Name: Axe-Houghton Foundation

EIN: 13-6200200

Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|-------------------------------|-----------------------------------|--------------------------|---------------------|
| Class Action Lawsuit Proceeds | 15 | 15 | |

TY 2016 Other Professional Fees Schedule**Name:** Axe-Houghton Foundation**EIN:** 13-6200200

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|---------------|----------------------------------|--------------------------------|--|
| Investment Management Services | 32,174 | 32,174 | | |

TY 2016 Taxes Schedule**Name:** Axe-Houghton Foundation**EIN:** 13-6200200

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------------|--------|--------------------------|------------------------|---|
| 990-PF Estimated Tax for 2017 | 9,300 | | | |
| 990-PF Excise Tax for 2016 | 4,095 | | | |