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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning 2/1/2016, and ending 1/31/2017

Name of foundation MANNING FOUNDATION TRUST			A Employer identification number 76-6105634	
Number and street (or P O box number if mail is not delivered to street address) Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117		Room/suite		B Telephone number (see instructions) (888) 730-4933
City or town, state or province, country, and ZIP or foreign postal code Winston Salem NC 27101		Foreign country name Foreign province/state/country Foreign postal code		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change				
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 4,766,430		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		
C If exemption application is pending, check here ► ☐				
D 1. Foreign organizations, check here ► ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ► ☐				
E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐				
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	63,802	63,802		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,824			
	b Gross sales price for all assets on line 6a 1,779,886				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	256,698	256,698			
12 Total. Add lines 1 through 11	318,676	320,500	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	57,872	32,716		25,156
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	829			829
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,617	2,117		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	32,062	32,062		
	24 Total operating and administrative expenses. Add lines 13 through 23	95,380	66,895	0	25,985
	25 Contributions, gifts, grants paid	250,000			250,000
26 Total expenses and disbursements. Add lines 24 and 25	345,380	66,895	0	275,985	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-26,704				
b Net investment income (if negative, enter -0-)		253,605			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	14,232	53,771	53,771
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	3,271,469	3,185,964	3,448,296	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ See Attached Statement)	3	3	1,264,363	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,285,704	3,239,738	4,766,430	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,285,704	3,239,738	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	3,285,704	3,239,738		
31 Total liabilities and net assets/fund balances (see instructions)	3,285,704	3,239,738		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,285,704
2 Enter amount from Part I, line 27a	2	-26,704
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	3,259,000
5 Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	19,262
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,239,738

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Statement			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-1,824
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	170,465	6,124,514	0.027833
2014	98,534	3,463,686	0.028448
2013	110,049	2,451,468	0.044891
2012	110,932	2,225,169	0.049853
2011	107,676	2,256,322	0.047722

2	Total of line 1, column (d)	2	0.198747
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.039749
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	6,323,951
5	Multiply line 4 by line 3	5	251,371
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,536
7	Add lines 5 and 6	7	253,907
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	275,985

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	2,536
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,536
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,536
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,551
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,551
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,015
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 1,015 Refunded ☐ 0	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ► 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	42,872		
GERARD G STAFFOR JR 7606 BURNING HILLS DR HOUSTON, TX 77024	CO-TRUSTEE 1 00	6,000		
NANCY S ROBINSON 1 LONG TIMBERS LANE HOUSTON, TX 77024	CO-TRUSTEE 1 00	9,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,273,817
b	Average of monthly cash balances	1b	146,438
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	6,420,255
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,420,255
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	96,304
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,323,951
6	Minimum investment return. Enter 5% of line 5	6	316,198

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	316,198
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,536
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,536
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	313,662
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	313,662
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	313,662

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	275,985
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	275,985
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,536
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	273,449

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				313,662
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			268,230	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 275,985				
a Applied to 2015, but not more than line 2a			268,230	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				7,755
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				305,907
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	250,000
b Approved for future payment NONE				
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

RETINA RESEARCH FOUNDATION

Street

1977 BUTLER BOULEVARD

City

HOUSTON

State

TX

Zip Code

77030

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

125,000

Name

BROOKWOOD COMMUNITY

Street

1752 FM 1489

City

BROOKSHIRE

State

TX

Zip Code

77423

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

125,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Totals		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Capital Gains/Losses	Other sales						
Long Term CG Distributions	39,340									1,779,886			1,781,710		-1,824
Short Term CG Distributions	0									0			0		0
1 DODGE & COX INT'L STOCK	296208103	X				10/24/2013	12/20/2017	4,883	5,281						398
2 FID ADV EMER MKTS INC. CL	315920702	X				10/24/2013	5/12/2016	637	663					13	-13
3 DODGE & COX INCOME FD C	256210105	X				10/24/2013	8/22/2016	31,749	31,089						660
4 DODGE & COX INCOME FD C	256210105	X				7/24/2015	8/22/2016	8,161	7,973						188
5 EATON VANCE GLOBAL MAC	277923728	X				10/31/2016	12/20/2017	211	211						0
6 EATON VANCE GLOBAL MAC	277923728	X				8/24/2016	12/20/2017	897	898						-1
7 FID ADV EMER MKTS INC. CL	315920702	X				10/16/2014	5/12/2016	381	399						-18
8 GILEAD SCIENCES INC.	375558103	X				10/3/2016	12/3/2017	991	1,102						-111
9 GILEAD SCIENCES INC.	375558103	X				6/11/2014	12/3/2017	778	889						-110
10 GOLDMAN SACHS GROUP INC	381411104	X				12/7/2015	6/10/2016	8,999	10,661						-1,662
11 HSBC - ADR	404280406	X				5/5/2014	3/2/2016	2,236	3,551						-1,315
12 HSBC - ADR	404280406	X				3/31/2015	3/2/2016	7,507	9,989						-2,482
13 HAIN CELESTIAL GROUP INC	405217100	X				12/18/2015	12/3/2017	1,895	1,906						-11
14 INTUIT COM	461202103	X				6/24/2013	12/3/2017	1,403	1,906						718
15 JPMORGAN CHASE & CO	466251100	X				8/25/2016	9/30/2016	7,792	7,731						61
16 JPMORGAN HIGH YIELD FUN	4812C0803	X				11/16/2015	12/20/2017	407	390						-17
17 JPMORGAN HIGH YIELD FUN	4812C0803	X				7/24/2015	12/20/2017	1,596	1,618						-22
18 JPMORGAN HIGH YIELD FUN	4812C0803	X				4/27/2016	12/20/2017	9,726	9,293						433
19 JPMORGAN HIGH YIELD FUN	4812C0803	X				2/25/2014	12/20/2017	193	211						-18
20 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/24/2016	12/20/2017	48,354	48,158						196
21 LAS VEGAS SANDS CORP	517834107	X				12/23/2014	12/3/2017	3,328	3,493						-167
22 LAS VEGAS SANDS CORP	517834107	X				7/28/2015	12/3/2017	2,993	2,931						62
23 ELI LILLY & CO COM	532457106	X				10/3/2016	12/3/2017	2,821	2,985						-164
24 LOOMIS SAYLES BOND FD IN	543495840	X				10/16/2014	8/22/2016	12,526	13,715						-1,189
25 LOOMIS SAYLES BOND FD IN	543495840	X				1/27/2015	8/22/2016	1,449	1,526						-77
26 LOOMIS SAYLES BOND FD IN	543495840	X				3/31/2015	8/22/2016	1,348	1,400						-52
27 LOOMIS SAYLES BOND FD IN	543495840	X				11/16/2015	8/22/2016	16,149	15,803						346
28 LOOMIS SAYLES BOND FD IN	543495840	X				1/25/2016	8/22/2016	459	406						53
29 LOOMIS SAYLES BOND FD IN	543495840	X				4/27/2016	8/22/2016	1,577	1,515						62
30 LOOMIS SAYLES BOND FD IN	543495840	X				5/16/2016	8/22/2016	32,968	31,463						1,505
31 MANULIFE FINANCIAL CORP	56501R106	X				12/18/2015	1/23/2017	4,343	3,435						908
32 MCKESSON CORP	581550103	X				8/25/2016	12/3/2017	2,090	2,562						-472
33 MCKESSON CORP	581550103	X				9/1/2015	12/3/2017	2,239	2,937						-698
34 MERCK & CO INC NEW	58933Y105	X				8/25/2016	12/3/2017	4,263	4,304						-41
35 MERCK & CO INC NEW	58933Y105	X				12/9/2016	12/3/2017	865	861						4
36 MERGER FUND-INST #301	599509207	X				4/3/2012	5/12/2016	384	395						11
37 JPMORGAN HIGH YIELD FUN	4812C0803	X				10/8/2015	12/20/2017	1,112	1,080						32
38 JPMORGAN CHASE & CO	46625H100	X				3/18/2004	9/30/2016	866	546						320
39 JPMORGAN CHASE & CO	46625H100	X				1/22/2016	12/3/2017	4,438	3,011						1,427
40 JOHNSON CONTROLS INC	478368107	X				6/11/2014	4/25/2016	9,381	11,187						-1,806
41 JOHNSON CONTROLS INC	478368107	X				1/22/2016	4/25/2016	4,795	4,076						719
42 JPMORGAN HIGH YIELD FUN	4812C0803	X				3/12/2014	5/12/2016	283	324						41
43 JPMORGAN HIGH YIELD FUN	4812C0803	X				2/25/2014	10/27/2016	103	113						-10
44 JPMORGAN HIGH YIELD FUN	4812C0803	X				3/12/2014	12/20/2017	6,302	6,880						-578
45 JPMORGAN HIGH YIELD FUN	4812C0803	X				2/27/2014	12/20/2017	2,860	3,061						-201
46 JPMORGAN HIGH YIELD FUN	4812C0803	X				1/27/2015	12/20/2017	2,772	2,854						-82
47 JPMORGAN HIGH YIELD FUN	4812C0803	X				3/31/2015	12/20/2017	1,932	2,000						-68
48 MICROSOFT CORP	584918104	X				8/25/2016	12/3/2017	5,407	5,009						398
49 MICROSOFT CORP	584918104	X				12/9/2016	12/3/2017	2,139	2,106						32
50 MICROSOFT CORP	584918104	X				10/3/2016	12/3/2017	817	748						69
51 MONDELEZ INTERNATIONAL	609207105	X				1/28/2013	4/25/2016	3,413	2,252						1,161
52 MONSANTO CO NEW	61166W101	X				4/30/2015	4/25/2016	2,531	3,080						-549
53 MONSANTO CO NEW	61166W101	X				9/1/2015	12/9/2016	5,537	6,045						-508
54 MONSANTO CO NEW	61166W101	X				9/1/2015	12/9/2016	9,194	8,437						757
55 MONSANTO CO NEW	61166W101	X				1/22/2016	12/9/2016	5,746	5,040						706
56 MONSANTO CO NEW	61166W101	X				8/25/2016	12/9/2016	3,970	4,065						-95
57 MONSANTO CO NEW	61166W101	X				9/30/2016	12/9/2016	1,045	1,023						22
58 MSIF MID CAP GROWTH PTF	617440508	X				5/5/2014	8/22/2016	84,462	111,847						-27,385
59 MSIF MID CAP GROWTH PTF	617440508	X				7/2/2014	8/22/2016	16,800	16,800						-4,908
60 MSIF MID CAP GROWTH PTF	617440508	X				10/16/2014	8/22/2016	8,766	11,736						-2,970
61 MSIF MID CAP GROWTH PTF	617440508	X				12/23/2014	8/22/2016	10,534	13,000						-2,466
62 MSIF MID CAP GROWTH PTF	617440508	X				1/27/2015	8/22/2016	7,873	9,412						-1,539
63 MSIF MID CAP GROWTH PTF	617440508	X				3/31/2015	8/22/2016	2,543	3,200						-657
64 MSIF MID CAP GROWTH PTF	617440508	X				7/24/2015	8/22/2016	18,043	20,457						-4,414
65 MSIF MID CAP GROWTH PTF	617440508	X				10/8/2015	8/22/2016	9,969	10,864						-1,295
66 MSIF MID CAP GROWTH PTF	617440508	X				11/16/2015	8/22/2016	5,278	5,950						-672
67 MORGAN STANLEY INS FR E	61760X836	X				10/8/2015	8/22/2016	14,768	14,793						-25
68 MORGAN STANLEY INS FR E	61760X836	X				10/8/2015	12/20/2017	1,584	1,548						36
69 ASG GLOBAL ALTERNATIVES	638727885	X				10/24/2013	5/12/2016	357	430						73
70 ASG GLOBAL ALTERNATIVES	638727885	X				9/17/2013	8/22/2016	14,397	17,000						-2,603
71 ASG GLOBAL ALTERNATIVES	638727885	X				10/4/2013	8/22/2016	23,941	28,000						-4,059
72 ASG GLOBAL ALTERNATIVES	638727885	X				10/24/2013	8/22/2016	4,608	5,469						-861

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Short Term CG Distributions		Amount		Totals										Gross Sales		Cost or Other Basis, Expenses Depreciation and Adjustments		Net Gain or Loss	
				39,340		Capital Gains/Losses										1,779,888		1,781,710		-1,824	
				0		Other sales										0		0		0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss							
73 ASG GLOBAL ALTERNATIVES	63872T885	X				7/2/2014	8/22/2016	17,282	20,000					-2,718							
74 ASG GLOBAL ALTERNATIVES	63872T885	X				1/27/2015	8/22/2016	2,122	2,488					-366							
75 ASG GLOBAL ALTERNATIVES	63872T885	X				10/5/2013	8/22/2016	585	691					-106							
76 ASG GLOBAL ALTERNATIVES	63872T885	X				10/9/2013	8/22/2016	382	435					-73							
77 MSIF MID CAP GROWTH PTF	617440508	X				1/25/2016	8/22/2016	23,463	21,240					2,223							
78 MSIF MID CAP GROWTH PTF	617440508	X				4/27/2016	8/22/2016	12,519	11,691					828							
79 ASG GLOBAL ALTERNATIVES	63872T885	X				3/12/2014	8/22/2016	4,813	5,496					-683							
80 MSIF MID CAP GROWTH PTF	617440508	X				5/16/2016	8/22/2016	1,296	1,159					137							
81 ASG GLOBAL ALTERNATIVES	63872T885	X				3/12/2014	12/20/2017	1,533	1,666					-133							
82 NESTLE S.A. REGISTERED S	641069406	X				1/27/2015	4/25/2016	10,725	11,345					-620							
83 ORACLE CORPORATION	68389X105	X				9/17/2010	3/17/2016	9,564	6,246					3,318							
84 ORACLE CORPORATION	68389X105	X				1/22/2016	3/17/2016	5,901	5,033					868							
85 MORGAN STANLEY INS FR E	61760X836	X				11/16/2015	4/22/2016	1,148	1,213					-65							
86 OPPENHEIMER DEVELOPING	683974604	X				10/24/2013	4/22/2016	2,450	2,996				545	-1							
87 OPPENHEIMER DEVELOPING	683974604	X				10/16/2014	4/22/2016	14,279	17,014				641	-2,094							
88 OPPENHEIMER DEVELOPING	683974604	X				3/12/2014	8/22/2016	15,790	17,000					-1,210							
89 MORGAN STANLEY INS FR E	61760X836	X				11/16/2015	5/12/2016	534	551					-17							
90 OPPENHEIMER DEVELOPING	683974604	X				10/16/2014	8/22/2016	2,044	2,290					-246							
91 MORGAN STANLEY INS FR E	61760X836	X				10/8/2015	5/12/2016	1,782	1,814					-32							
92 OPPENHEIMER DEVELOPING	683974604	X				12/23/2014	8/22/2016	25,044	26,500					-1,456							
93 OPPENHEIMER DEVELOPING	683974604	X				1/27/2015	8/22/2016	12,469	13,415					-946							
94 OPPENHEIMER DEVELOPING	683974604	X				11/9/2014	8/22/2016	3,558	3,901					-343							
95 OPPENHEIMER DEVELOPING	683974604	X				11/17/2013	8/22/2016	2,607	2,834					-327							
96 OPPENHEIMER DEVELOPING	683974604	X				1/31/2014	8/22/2016	41,941	43,777					-1,836							
97 OPPENHEIMER DEVELOPING	683974604	X				1/31/2014	10/27/2016	3,072	3,119					-47							
98 PNC FINANCIAL SERVICES G	693475105	X				6/3/2015	1/23/2017	3,394	2,792				10	602							
99 PRINCIPAL GL MULT STRAT	74255L696	X				8/14/2014	5/12/2016	243	254				-1	-4							
100 PRINCIPAL GL MULT STRAT	74255L696	X				7/30/2014	8/22/2016	250	254					-4							
101 PRINCIPAL GL MULT STRAT	74255L696	X				8/14/2014	8/22/2016	3,264	3,309					-45							
102 BOSTON P LONGSHRT RES-IN	74925K581	X				1/31/2014	8/22/2016	13,463	12,543					920							
103 BOSTON P LONGSHRT RES-IN	74925K581	X				11/16/2015	8/22/2016	60	62					-2							
104 BOSTON P LONGSHRT RES-IN	74925K581	X				1/25/2016	8/22/2016	823	761					62							
105 BOSTON P LONGSHRT RES-IN	74925K581	X				4/27/2016	8/22/2016	3,554	3,508					46							
106 BOSTON P LONGSHRT RES-IN	74925K581	X				11/1/2015	8/22/2016	1,126	1,166					-40							
107 BOSTON P LONGSHRT RES-IN	74925K581	X				10/24/2013	8/22/2016	45,962	41,844					4,118							
108 BOSTON P LONGSHRT RES-IN	74925K581	X				10/31/2016	1/20/2017	1,370	1,320					50							
109 BOSTON P LONGSHRT RES-IN	74925K581	X				10/24/2013	1/20/2017	2,829	2,499					330							
110 BOSTON P LONGSHRT RES-IN	74925K581	X				11/16/2015	5/12/2016	1,097	1,152				55	0							
111 ROCHE HOLDINGS LTD - ADR	771195104	X				4/25/2016	1/23/2017	3,876	4,261					-385							
112 T ROWE PRICE SHORT TERM	77957P105	X				7/24/2015	4/22/2016	2,389	2,400					-11							
113 T ROWE PRICE SHORT TERM	77957P105	X				11/16/2015	4/22/2016	3,226	3,228					0							
114 T ROWE PRICE INST FLOAT	77958B402	X				8/24/2016	1/20/2017	31,403	31,123					280							
115 T ROWE PRICE REAL ESTAT	779919109	X				1/25/2016	4/22/2016	252	234					18							
116 T ROWE PRICE REAL ESTAT	779919109	X				1/25/2016	5/12/2016	2,177	1,977					200							
117 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/22/2013	4/22/2016	5,706	5,993					-287							
118 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/22/2013	5/12/2016	1,508	1,596					-78							
119 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/22/2013	8/22/2016	6,203	6,434					-231							
120 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/22/2013	10/27/2016	15,170	17,142					-1,972							
121 SCHLUMBERGER LTD	806857108	X				1/3/2012	4/25/2016	1,016	914					102							
122 SCHLUMBERGER LTD	806857108	X				1/22/2016	4/25/2016	2,032	1,693					339							
123 SCHLUMBERGER LTD	806857108	X				8/25/2016	1/23/2017	3,285	3,172					113							
124 SPIRIT AEROSYSTEMS HOLD	848574109	X				10/3/2016	1/23/2017	2,103	1,673					430							
125 STARBUCKS CORP COM	855244109	X				1/22/2013	4/25/2016	8,313	3,964					4,349							
126 SUNCOR ENERGY INC NEW	867224107	X				12/9/2016	1/23/2017	1,996	2,091					-95							
127 SUNCOR ENERGY INC NEW	867224107	X				7/8/2015	1/23/2017	3,368	2,923					445							
128 TARGET CORP	87612E106	X				8/25/2016	1/29/2016	3,246	2,980					266							
129 TARGET CORP	87612E106	X				6/25/2014	12/9/2016	1,005	756					249							
130 TARGET CORP	87612E106	X				8/25/2014	1/23/2017	1,782	1,828					-46							
131 TEMPLETON EMER MKT S/C	88019R690	X				10/16/2014	4/22/2016	2,058	2,216					-154							
132 TEMPLETON EMER MKT S/C	88019R690	X				7/24/2015	4/22/2016	399	429				69	-30							
133 TEMPLETON EMER MKT S/C	88019R690	X				1/27/2015	4/22/2016	363	385					-22							
134 TEMPLETON EMER MKT S/C	88019R690	X				3/31/2015	8/22/2016	5,104	5,100					4							
135 TEMPLETON EMER MKT S/C	88019R690	X				11/9/2014	8/22/2016	943	946					-3							
136 TEMPLETON EMER MKT S/C	88019R690	X				10/24/2013	8/22/2016	1,440	1,411					29							
137 TEMPLETON EMER MKT S/C	88019R690	X				1/27/2015	8/22/2016	6,762	6,784					-22							
138 TEMPLETON EMER MKT S/C	88019R690	X				10/24/2013	10/27/2016	1,700	1,613					87							
139 THERMO FISHER SCIENTIFIC	883556102	X				8/25/2016	1/23/2017	2,997	3,162					-185							
140 TOTAL S.A. - ADR	89151E109	X				7/2/2014	4/25/2016	2,447	3,621					-1,174							
141 TOTAL S.A. - ADR	89151E109	X				7/2/2014	1/23/2017	3,000	4,272					-1,272							
142 TOUCHSTONE MID CAP VAL	89155H389	X				3/31/2015	4/22/2016	460	485					-25							
143 TOUCHSTONE MID CAP VAL	89155H389	X				7/2/2014	4/22/2016	13,383	14,067					-684							
144 TOUCHSTONE MID CAP VAL	89155H389	X				7/2/2014	4/22/2016	4,383	4,579					-196							

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	39,340		Capital Gains/Losses												Sales		Depreciation and Adjustments		or Loss	
Short Term CG Distributions		0	0		Other sales												1,779,888		1,781,710		0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss								
145 TOUCHSTONE MID CAP VAL-	89155H389	X				8/24/2016	1/20/2017	11,278	10,932					346								
146 TRACTOR SUPPLY CO COM	89235H106	X				12/24/2012	4/25/2016	6,173	6,173					3,291								
147 UNION PACIFIC CORP	907181108	X				4/29/2008	4/25/2016	8,230	3,388					4,842								
148 UNION PACIFIC CORP	907181108	X				8/25/2016	1/23/2017	2,057	5,805					760								
149 UNITED PARCEL SERVICE-C	911312108	X				8/25/2016	1/23/2017	2,057	1,970					87								
150 UNITEDHEALTH GROUP INC	91324P102	X				10/28/2016	1/23/2017	1,747	1,544					203								
151 UNITEDHEALTH GROUP INC	91324P102	X				7/28/2015	1/23/2017	4,605	3,520					1,085								
152 VANGUARD INFLAT-PROT SE	922031737	X				5/16/2016	8/22/2016	3,234	3,174					60								
153 VANGUARD REIT VIPER	922908553	X				3/31/2015	4/22/2016	978	1,005					-27								
154 VANGUARD REIT VIPER	922908553	X				7/24/2015	4/22/2016	734	697					37								
155 VANGUARD REIT VIPER	922908553	X				7/24/2015	5/12/2016	6,004	5,498					506								
156 VANGUARD REIT VIPER	922908553	X				7/24/2015	8/22/2016	8,688	7,588					1,100								
157 VANGUARD REIT VIPER	922908553	X				10/22/2013	8/22/2016	11,969	9,147					2,822								
158 VANGUARD REIT VIPER	922908553	X				10/22/2013	8/22/2016	40,547	34,015					6,532								
159 VANGUARD REIT VIPER	922908553	X				10/8/2015	8/22/2016	3,390	3,423					33								
160 ARTISAN SMALL CAP FUND-I	04314H758	X				7/24/2015	5/12/2016	32,562	41,358					-8,796								
161 ABERDEEN GLOBAL HIGH IN	04315J860	X				11/16/2015	8/22/2016	1,463	1,461					2								
162 ABERDEEN GLOBAL HIGH IN	04315J860	X				4/27/2016	8/22/2016	62,221	59,986					2,235								
163 ABERDEEN GLOBAL HIGH IN	04315J860	X				7/9/2015	8/22/2016	918	980					-62								
164 ASHMORE EMERG MKTS CR	044820504	X				10/24/2013	5/12/2016	145	183					-2								
165 ASHMORE EMERG MKTS CR	044820504	X				10/24/2013	8/22/2016	10,658	12,573					-1,915								
166 ASHMORE EMERG MKTS CR	044820504	X				1/31/2014	8/22/2016	1,286	1,491					-205								
167 ARTISAN SMALL CAP FUND-I	04314H758	X				7/24/2015	1/20/2017	4,202	4,567					-365								
168 ASHMORE EMERG MKTS CR	044820504	X				3/12/2014	8/22/2016	2,061	2,380					-319								
169 ASHMORE EMERG MKTS CR	044820504	X				10/16/2014	8/22/2016	1,093	1,232					-139								
170 ASHMORE EMERG MKTS CR	044820504	X				1/27/2015	8/22/2016	3,230	3,234					4								
171 ABERDEEN GLOBAL HIGH IN	04315J860	X				7/24/2015	5/12/2016	881	981					95								
172 ABERDEEN GLOBAL HIGH IN	04315J860	X				7/24/2015	8/22/2016	46,631	47,414					2,783								
173 AFFILIATED MANAGERS GR	082521108	X				2/2/2012	4/25/2016	3,660	2,193					1,487								
174 ASHMORE EMERG MKTS CR	044820504	X				10/8/2015	8/22/2016	766	740					26								
175 ASHMORE EMERG MKTS CR	044820504	X				11/16/2015	8/22/2016	277	272					5								
176 ASHMORE EMERG MKTS CR	044820504	X				1/25/2016	8/22/2016	454	398					56								
177 ASHMORE EMERG MKTS CR	044820504	X				4/27/2016	8/22/2016	304	286					18								
178 ASHMORE EMERG MKTS CR	044820504	X				10/9/2013	8/22/2016	154	181					-27								
179 BAYER AG - ADR	072730302	X				12/12/2016	1/23/2017	1,953	1,810					143								
180 BERSHIRE HATHAWAY INC	084670702	X				3/5/2013	10/3/2016	4,742	3,404					1,338								
181 BERSHIRE HATHAWAY INC	084670702	X				10/26/2015	10/3/2016	4,311	4,113					198								
182 BERSHIRE HATHAWAY INC	084670702	X				8/25/2016	10/3/2016	4,455	4,607					-152								
183 BERSHIRE HATHAWAY INC	084670702	X				12/28/2012	10/3/2016	5,317	3,292					2,025								
184 AFFILIATED MANAGERS GR	082521108	X				8/25/2016	1/23/2017	3,422	3,359					63								
185 INV BALANCE RISK COMM ST	00888Y508	X				8/24/2016	10/27/2016	134	131					3								
186 INV BALANCE RISK COMM ST	00888Y508	X				8/24/2016	1/20/2017	35,491	35,236					255								
187 ALPHABET INC CL C	02079K107	X				8/24/2016	1/23/2017	4,903	4,618					285								
188 ALPHABET INC CL A	02079K305	X				8/25/2016	1/23/2017	5,197	1,929					3,268								
189 ALPHABET INC CL A	02079K305	X				9/2/2008	4/25/2016	11,136	3,487					7,649								
190 AMERIPRISE FINL INC	03076C106	X				7/28/2015	1/23/2017	5,190	5,758					-568								
191 APPLE INC	037833100	X				4/29/2008	4/25/2016	9,462	2,251					7,211								
192 APPLE INC	037833100	X				10/28/2016	1/23/2017	1,920	1,821					99								
193 APPLE INC	037833100	X				8/25/2016	1/23/2017	6,840	6,141					589								
194 ARTISAN INTERNATIONAL FI	04314H402	X				3/31/2015	5/12/2016	2,964	2,882					-318								
195 ARTISAN INTERNATIONAL FI	04314H402	X				1/27/2015	5/12/2016	9,758	10,854					-359								
196 ARTISAN INTERNATIONAL FI	04314H402	X				3/29/2015	5/12/2016	2,966	3,325					0								
197 ARTISAN INTERNATIONAL FI	04314H402	X				5/5/2014	5/12/2016	1,531	1,661					-39								
198 ARTISAN INTERNATIONAL FI	04314H402	X				5/5/2014	8/22/2016	573	612					0								
199 ARTISAN INTERNATIONAL FI	04314H402	X				3/12/2014	8/22/2016	9,828	10,400					-571								
200 ARTISAN INTERNATIONAL FI	04314H402	X				1/12/2015	8/22/2016	2,083	2,310					-227								
201 ARTISAN INTERNATIONAL FI	04314H402	X				4/20/2014	8/22/2016	1,556	1,683					-127								
202 ARTISAN INTERNATIONAL FI	04314H402	X				10/24/2013	8/22/2016	11,007	11,627					-620								
203 ARTISAN SMALL CAP FUND-I	04314H758	X				7/22/2015	4/22/2016	210	259					-278								
204 ARTISAN SMALL CAP FUND-I	04314H758	X				7/24/2015	4/22/2016	1,234	1,512					0								
205 BLACKROCK GL US CREDIT	091938732	X				10/27/2015	5/12/2016	1,154	1,227					0								
206 BLACKROCK GL US CREDIT	091938732	X				10/27/2015	8/22/2016	10,836	11,315					-479								
207 BERSHIRE HATHAWAY INC	084670702	X				12/28/2012	1/23/2017	1,908	1,068					840								
208 BLACKROCK GL US CREDIT	091938732	X				10/27/2015	5/12/2016	242	250					-8								
209 BLACKROCK GL US CREDIT	091938732	X				10/27/2015	1/20/2016	1,434	1,466					-32								
210 BLACKROCK INC	09247X101	X				8/25/2016	1/23/2017	3,024	2,946					78								
211 BOEING CO	097023105	X				3/31/2015	4/25/2016	4,046	4,659					-613								
212 BOEING CO	097023105	X				3/31/2015	10/3/2016	4,462	5,110					-648								
213 BOEING CO	097023105	X				8/25/2016	10/3/2016	394	399					-5								
214 BOEING CO	097023105	X				12/9/2016	5/12/2016	2,208	2,193					15								
215 COLUMBIA SELECT S/C VALU	19766H437	X				12/9/2016	5/12/2016	32,752	28,994					3,758								
216 CELANESE CORP	150870103	X				1/27/2015	4/25/2016	352	273					79								

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Totals		Gross Sales		Cost or Other Basis Expenses Depreciation and Adjustments		Net Gain or Loss																			
39,340		Capital Gains/Losses		Other sales		1,779,888		1,781,710																			
0				0		0		0																			
Long Term CG Distributions		Check "X" to include in Part IV		Purchaser		Check "X" if Purchaser is a Business		Acquisition Method		Date		Date Sold		Gross Sales Price		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improvements		Depreciation		Adjustments		Net Gain or Loss	
Short Term CG Distributions																											
Description		CUSIP #																									
217	DELANESE CORP	150870103		X		1/22/2016		1/23/2017		3,269		2,374		895		0										Net Gain or Loss	
218	COLUMBIA SELECT S/C VALU	19766H437		X		8/24/2016		1/20/2017		8,270		8,079		191		0										191	
219	COMCAST CORP CLASS A	20030N101		X		8/25/2016		1/23/2017		5,046		4,530		516		0										516	
220	COMCAST CORP CLASS A	20030N101		X		9/1/2015		1/23/2017		282		222		70		0										70	
221	CREDIT SUISSE COMM RET	22544R305		X		10/24/2013		4/22/2016		2,876		4,414		-1,538		0										-1,538	
222	CREDIT SUISSE COMM RET	22544R305		X		10/24/2013		5/12/2016		2,455		3,982		-1,527		0										-1,527	
223	CELENE CORP COM	151020104		X		12/21/2009		4/25/2016		1,102		281		821		0										821	
224	CREDIT SUISSE COMM RET	22544R305		X		10/24/2013		8/22/2016		23,770		35,151		-11,381		0										-11,381	
225	CELENE CORP COM	151020104		X		12/7/2011		4/25/2016		2,424		686		1,738		0										1,738	
226	CELENE CORP COM	151020104		X		1/28/2013		4/25/2016		220		99		121		0										121	
227	CISCO SYSTEMS INC	17275R102		X		8/25/2016		1/23/2017		4,231		4,387		-156		0										-156	
228	CREDIT SUISSE COMM RET	22544R305		X		10/16/2014		8/22/2016		3,969		5,412		-1,443		0										-1,443	
229	CREDIT SUISSE COMM RET	22544R305		X		12/23/2014		8/22/2016		8,557		10,700		-2,143		0										-2,143	
230	ASHMORE EMERG MKTS CR	044820504		X		3/31/2015		8/22/2016		970		991		-21		0										-21	
231	CREDIT SUISSE COMM RET	22544R305		X		12/7/2015		8/22/2016		7,040		8,292		-1,252		0										-1,252	
232	CREDIT SUISSE COMM RET	22544R305		X		3/31/2015		8/22/2016		7,622		8,700		-1,078		0										-1,078	
233	CREDIT SUISSE COMM RET	22544R305		X		10/8/2015		8/22/2016		16,971		17,759		-788		0										-788	
234	CITIGROUP INC	172967424		X		9/1/2015		1/23/2017		2,629		2,396		233		0										233	
235	CREDIT SUISSE COMM RET	22544R305		X		10/8/2015		1/20/2017		22,235		22,408		-173		0										-173	
236	CREDIT SUISSE COMM RET	22544R305		X		11/16/2015		1/20/2017		8,785		8,084		701		0										701	
237	CREDIT SUISSE COMM RET	22544R305		X		1/25/2016		1/20/2017		5,630		4,710		920		0										920	
238	COGNIZANT TECH SOLUTION	182446102		X		3/17/2016		1/23/2017		3,065		3,236		-151		0										-151	
239	CUMMINS INC	231021106		X		5/5/2014		8/25/2016		4,382		5,245		-863		0										-863	
240	CUMMINS INC	231021106		X		5/5/2014		12/9/2016		6,402		6,744		-342		0										-342	
241	CUMMINS INC	231021106		X		7/28/2015		12/9/2016		9,958		8,962		996		0										996	
242	COLUMBIA SELECT S/C VALU	19766H437		X		1/25/2016		4/22/2016		9,702		8,236		1,466		0										1,466	
243	BOEING CO	097023105		X		6/11/2014		1/23/2017		3,942		3,348		594		0										594	
244	CME GROUP INC	12572Q105		X		9/1/2015		4/25/2016		3,179		3,112		67		0										67	
245	CME GROUP INC	12572Q105		X		12/22/2016		4/25/2016		374		338		36		0										36	
246	CME GROUP INC	12572Q105		X		9/25/2016		9/30/2016		5,332		5,983		-51		0										-51	
247	CME GROUP INC	12572Q105		X		7/2/2014		9/30/2016		3,784		2,560		1,204		0										1,204	
248	CME GROUP INC	12572Q105		X		1/22/2016		1/23/2017		2,442		1,773		669		0										669	
249	CVS HEALTH CORPORATION	126850100		X		9/1/2015		4/25/2016		2,339		2,311		28		0										28	
250	CVS HEALTH CORPORATION	126850100		X		8/25/2016		1/23/2017		2,924		3,372		-448		0										-448	
251	CELANESE CORP	150870103		X		12/23/2014		4/25/2016		3,876		3,313		563		0										563	
252	DIAGEO PLC - ADR	25243Q205		X		8/25/2016		1/23/2017		2,171		2,297		-126		0										-126	
253	WALT DISNEY CO	254687106		X		9/12/2015		4/25/2016		6,372		6,102		270		0										270	
254	WALT DISNEY CO	254687106		X		12/23/2013		4/25/2016		5,327		3,561		1,768		0										1,768	
255	WALT DISNEY CO	254687106		X		8/25/2016		1/23/2017		2,353		2,103		250		0										250	
256	DODGE & COX INTL STOCK	256206103		X		3/12/2014		4/22/2016		4,438		5,215		-777		0										-777	
257	DODGE & COX INTL STOCK	256206103		X		7/2/2014		4/22/2016		2,109		2,679		-570		0										-570	
258	DODGE & COX INTL STOCK	256206103		X		3/31/2015		4/22/2016		4,458		5,300		-842		0										-842	
259	DODGE & COX INTL STOCK	256206103		X		1/27/2015		4/22/2016		179		208		-29		0										-29	
260	DODGE & COX INTL STOCK	256206103		X		5/12/2016		5/12/2016		8,806		10,902		-2,096		0										-2,096	
261	DODGE & COX INTL STOCK	256206103		X		1/27/2015		8/22/2016		12,608		14,199		-1,591		0										-1,591	
262	DODGE & COX INTL STOCK	256206103		X		10/24/2013		8/22/2016		21,464		30,715		-9,251		0										-9,251	
263	DODGE & COX INTL STOCK	256206103		X		10/24/2013		10/27/2016		3,018		3,322		-306		0										-306	
264	TE CONNECTIVITY LTD	H84989104		X		12/9/2016		1/23/2017		2,179		2,192		-13		0										-13	
265	EATON CORP PLC	G39183103		X		12/23/2014		1/23/2017		1,756		1,748		8		0										8	

Part I, Line 11 (990-PF) - Other Income

		256,698	256,698	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ROYALTY INCOME	256,698	256,698	

Part I, Line 16b (990-PF) - Accounting Fees

		829	0	0	829
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	829			829

Part I, Line 18 (990-PF) - Taxes

		4,617	2,117	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	2,117	2,117		
2	Estimated Excise Payments	2,500			

Part I, Line 23 (990-PF) - Other Expenses

		32,062	32,062	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR Fees	13	13		
2	ROYALTY EXPENSES	32,049	32,049		

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1			3,271,469	3,185,964	3,448,296
2	AFFILIATED MANAGERS GROUP INC		0	13,366	21,178
3	APPLE COMPUTER INC COM		0	14,439	54,850
4	BAYER A G SPONSORED ADR		0	11,567	12,801
5	BOEING COMPANY		0	23,401	29,416
6	CVS/CAREMARK CORPORATION		0	21,374	20,806
7	CISCO SYSTEMS INC		0	23,843	29,983
8	COGNIZANT TECH SOLUTIONS CRP COM		0	24,824	23,192
9	DIAGEO PLC - ADR		0	12,680	16,917
10	WALT DISNEY CO		0	12,822	19,585
11	DODGE & COX INCOME FD COM 147		0	73,949	73,850
12	GILEAD SCIENCES INC		0	11,644	10,433
13	ELI LILLY & CO COM		0	14,962	15,329
14	MICROSOFT CORP		0	31,370	44,544
15	PNC FINANCIAL SERVICES GROUP		0	20,938	27,706
16	ROCHE HOLDINGS LTD - ADR		0	24,240	22,380
17	SCHLUMBERGER LTD		0	15,593	25,364
18	TJX COS INC NEW		0	11,153	13,486
19	THERMO FISHER SCIENTIFIC INC		0	15,686	18,439
20	TOTAL FINA ELF SA ADR		0	18,900	19,263
21	UNION PACIFIC CORP		0	10,862	29,310
22	MCKESSON CORP		0	19,147	14,750
23	PRINCIPAL GL MULT STRAT-INST #4684		0	17,850	17,928
24	MANULIFE FINANCIAL CORP		0	18,470	24,736
25	UNITED PARCEL SERVICE-CL B		0	13,682	14,623
26	ARTISAN INTERNATIONAL FD I 662		0	207,242	194,644
27	TARGET CORP		0	11,210	12,509
28	UNITEDHEALTH GROUP INC		0	21,051	34,689
29	HAIN CELESTIAL GROUP INC		0	11,353	11,512
30	MERGER FUND-INST #301		0	27,089	26,902
31	BLACKROCK INC		0	13,084	13,837
32	ARTISAN MID CAP FUND-INSTL 1333		0	247,254	229,764
33	MET WEST TOTAL RETURN BOND CL I 51		0	129,680	126,294
34	FID ADV EMER MKTS INC- CL I 607		0	99,754	105,621
35	T ROWE PRICE REAL ESTATE FD 122		0	149,965	152,874
36	JPMORGAN CHASE & CO		0	14,762	28,774
37	SUNCOR ENERGY INC NEW F		0	22,463	26,074
38	EATON VANCE GLOBAL MACRO - I		0	105,204	104,625
39	DODGE & COX INTL STOCK FD 1048		0	192,856	195,076
40	MERCK & CO INC NEW		0	16,854	19,279
41	BERSHIRE HATHAWAY INC.		0	7,829	14,444
42	COLUMBIA SELECT S/C VALUE-Z 6141		0	137,752	158,781
43	ALPHABET INC/CA		0	11,991	31,872
44	COMCAST CORP CLASS A		0	21,679	31,224
45	ASG GLOBAL ALTERNATIVES-Y 1993		0	26,399	26,295
46	VANGUARD REIT VIPER		0	51,389	66,555

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
47	TEMPLETON EMER MKT S/C-ADV 626		0	82,164	85,757
48	AQR MANAGED FUTURES STR-I		0	114,043	105,089
49	LAS VEGAS SANDS CORP		0	14,908	15,248
50	CELANESE CORP		0	18,178	26,586
51	TE CONNECTIVITY LTD		0	14,000	14,721
52	CITIGROUP INC		0	24,178	28,027
53	VANGUARD INFLAT-PROT SECS-ADM 511		0	10,619	10,399
54	AMERIPRISE FINL INC		0	20,234	21,219
55	OPPENHEIMER DEVELOPING MKT-I 799		0	196,166	200,053
56	SPIRIT AEROSYTSEMS HOLD-CL A		0	13,022	17,294
57	MORGAN STANLEY INS FR EMG-I		0	51,121	53,396
58	EATON CORP PLC		0	11,641	14,227
59	ARTISAN SMALL CAP FUND-INS #2452		0	160,691	158,053
60	BLACKROCK GL L/S CREDIT-INS #1833		0	53,479	52,751
61	CME GROUP INC		0	10,928	18,041
62	SPDR DJ WILSHIRE INTERNATIONAL REA		0	102,513	96,224
63	ROBECO BP LNG/SHRT RES-INS		0	77,057	88,253
64	TOUCHSTONE MID CAP VAL-INST #552		0	207,400	230,444

Part II, Line 15 (990-PF) - Other Assets

		3			1,264,363
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year	
1					
2	TX WHART 5312.694 AC A21,657,266.17		1	1	
3	TX BRAZ 20AZ HOOOPER & WADE		1	1	
4	TX COL 5136 AC 151/379		1	1	1,264,361

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	388
2	PY Return of Capital Adjustment	2	2,085
3	Cost Basis Adjustment	3	16,789
4	Total	4	19,262

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions														Short Term CG Distributions																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount									
		39,340	0										
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses
70 JASG GLOBAL ALTERNATIVES	638721885		9/17/2013	8/22/2016	14,397			17,000	-2,603				-41,164
71 JASG GLOBAL ALTERNATIVES	638721885		10/4/2013	8/22/2016	23,941			28,000	-4,059				-2,503
72 JASG GLOBAL ALTERNATIVES	638721885		10/24/2013	8/22/2016	4,608			5,469	-861				-861
73 JASG GLOBAL ALTERNATIVES	638721885		7/6/2014	8/22/2016	17,282			20,000	-2,718				-2,718
74 JASG GLOBAL ALTERNATIVES	638721885		12/7/2015	8/22/2016	2,122			2,488	-366				-366
75 JASG GLOBAL ALTERNATIVES	638721885		10/5/2013	8/22/2016	585			691	-106				-106
76 JASG GLOBAL ALTERNATIVES	638721885		10/9/2013	8/22/2016	362			435	-73				-73
77 MSIF MID CAP GROWTH PTF	617440508		12/5/2016	8/22/2016	23,463			21,240	2,223				2,223
78 MSIF MID CAP GROWTH PTF	617440508		4/27/2016	8/22/2016	12,519			11,691	828				828
79 JASG GLOBAL ALTERNATIVES	638721885		3/12/2014	8/22/2016	4,813			5,496	-683				-683
80 MSIF MID CAP GROWTH PTF	617440508		5/16/2016	8/22/2016	1,296			1,159	137				137
81 JASG GLOBAL ALTERNATIVES	638721885		3/12/2014	10/20/2017	1,533			1,666	-133				-133
82 NESTLE S.A. REGISTERED S	641068406		1/27/2015	4/25/2016	10,725			11,345	-620				-620
83 ORACLE CORPORATION	68389X105		9/17/2010	3/17/2016	5,564			6,246	-3,318				3,318
84 ORACLE CORPORATION	68389X105		1/22/2016	3/17/2016	5,901			5,033	868				868
85 MORGAN STANLEY INS FRE	61760X836		11/16/2015	4/22/2016	1,148			1,213	-65				-65
86 OPPENHEIMER DEVELOPING	683974604		10/24/2013	4/22/2016	2,450		545	2,996	-5				-5
87 OPPENHEIMER DEVELOPING	683974604		10/16/2014	4/22/2016	14,279		841	17,014	-2,084				-2,084
88 OPPENHEIMER DEVELOPING	683974604		3/12/2014	8/22/2016	15,790			17,000	-1,210				-1,210
89 MORGAN STANLEY INS FRE	61760X836		11/16/2015	5/12/2016	534			551	-17				-17
90 OPPENHEIMER DEVELOPING	683974604		10/16/2014	8/22/2016	2,044			2,290	-246				-246
91 MORGAN STANLEY INS FRE	61760X836		10/8/2015	5/12/2016	1,782			1,814	-32				-32
92 OPPENHEIMER DEVELOPING	683974604		12/23/2014	8/22/2016	25,044			26,500	-1,456				-1,456
93 OPPENHEIMER DEVELOPING	683974604		11/27/2015	8/22/2016	12,469			13,415	-946				-946
94 OPPENHEIMER DEVELOPING	683974604		11/9/2014	8/22/2016	3,558			3,901	-343				-343
95 OPPENHEIMER DEVELOPING	683974604		11/17/2013	8/22/2016	2,607			2,934	-327				-327
96 OPPENHEIMER DEVELOPING	683974604		1/31/2014	8/22/2016	41,941			43,777	-1,836				-1,836
97 OPPENHEIMER DEVELOPING	683974604		1/31/2014	10/27/2016	3,072			3,119	-47				-47
98 PNC FINANCIAL SERVICES G	693475105		6/9/2015	1/23/2017	3,394		10	2,792	602				602
99 PRINCIPAL GL MULT STRAT	742551696		8/14/2014	5/12/2016	243			254	-1				-1
100 PRINCIPAL GL MULT STRAT	742551696		7/30/2014	8/22/2016	250			254	-4				-4
101 PRINCIPAL GL MULT STRAT	742551696		8/14/2014	8/22/2016	3,264			3,309	-45				-45
102 BOSTON P LINGSHRT RES-IN	74925K581		1/31/2014	8/22/2016	13,463			12,543	920				920
103 BOSTON P LINGSHRT RES-IN	74925K581		11/16/2015	8/22/2016	60			62	-2				-2
104 BOSTON P LINGSHRT RES-IN	74925K581		12/5/2016	8/22/2016	823			761	62				62
105 BOSTON P LINGSHRT RES-IN	74925K581		4/27/2016	8/22/2016	3,554			3,508	46				46
106 BOSTON P LINGSHRT RES-IN	74925K581		11/1/2015	8/22/2016	1,126			1,166	-40				-40
107 BOSTON P LINGSHRT RES-IN	74925K581		10/24/2013	8/22/2016	45,962			41,644	4,318				4,318
108 BOSTON P LINGSHRT RES-IN	74925K581		10/31/2016	1/20/2017	1,370			1,320	50				50
109 BOSTON P LINGSHRT RES-IN	74925K581		10/24/2013	1/20/2017	2,829			2,499	330				330
110 BOSTON P LINGSHRT RES-IN	74925K581		11/16/2015	5/12/2016	1,097		55	1,152	0				0
111 ROCHE HOLDINGS LTD - ADP	771195104		4/25/2016	1/23/2017	3,876			4,261	-385				-385
112 T ROWE PRICE SHORT TERM	77957P105		7/24/2015	4/23/2016	2,389			2,400	-11				-11
113 T ROWE PRICE SHORT TERM	77957P105		11/16/2015	4/22/2016	3,226			3,226	0				0
114 T ROWE PRICE INST FLOAT	77958B402		8/24/2016	1/20/2017	31,403			31,123	280				280
115 T ROWE PRICE REAL ESTAT	779919109		12/5/2016	4/22/2016	252			234	18				18
116 T ROWE PRICE REAL ESTAT	779919109		1/25/2016	5/12/2016	2,177			1,977	200				200
117 SPDR DJ WILSHIRE INTERNA	78463X863		10/22/2013	4/22/2016	5,706			5,983	-287				-287
118 SPDR DJ WILSHIRE INTERNA	78463X863		10/22/2013	5/12/2016	1,508			1,586	-78				-78
119 SPDR DJ WILSHIRE INTERNA	78463X863		10/22/2013	8/22/2016	6,203			6,434	-231				-231
120 SPDR DJ WILSHIRE INTERNA	78463X863		10/22/2013	10/27/2016	15,170			17,142	-1,972				-1,972
121 SCHLUMBERGER LTD	806857108		1/3/2012	4/25/2016	1,016			914	102				102
122 SCHLUMBERGER LTD	806857108		1/22/2016	4/25/2016	2,032			1,693	339				339
123 SCHLUMBERGER LTD	806857108		8/25/2016	1/23/2017	3,285			3,172	113				113
124 SPIRIT AEROSYSTEMS HOLD	848574109		10/3/2016	1/23/2017	2,103			1,673	430				430
125 STARBUCKS CORP COM	855244109		1/22/2013	4/25/2016	8,313			3,964	4,349				4,349
126 SUNCOR ENERGY INC NEW	867224107		12/9/2016	1/23/2017	1,956			2,091	-95				-95
127 SUNCOR ENERGY INC NEW	867224107		7/8/2015	1/23/2017	3,368			2,923	445				445
128 TARGET CORP	87612E106		8/25/2016	12/9/2016	3,246			2,980	266				266
129 TARGET CORP	87612E106		6/25/2014	12/9/2016	1,005			756	249				249
130 TARGET CORP	87612E106		6/25/2014	1/23/2017	1,782			1,628	154				154
131 TEMPLETON EMER MKT SIC	88019R690		10/16/2014	4/22/2016	2,058		69	2,216	-89				-89
132 TEMPLETON EMER MKT SIC	88019R690		7/24/2015	4/22/2016	399			429	-30				-30
133 TEMPLETON EMER MKT SIC	88019R690		1/27/2015	4/22/2016	363			385	-22				-22
134 TEMPLETON EMER MKT SIC	88019R690		3/31/2015	8/22/2016	5,104			5,100	4				4
135 TEMPLETON EMER MKT SIC	88019R690		11/9/2014	8/22/2016	943			946	-3				-3
136 TEMPLETON EMER MKT SIC	88019R690		10/24/2013	8/22/2016	1,440			1,411	29				29
137 TEMPLETON EMER MKT SIC	88019R690		1/27/2015	8/22/2016	6,762			6,784	-22				-22
138 TEMPLETON EMER MKT SIC	88019R690		10/24/2013	10/27/2016	1,700			1,613	87				87

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Amount	
Short Term CG Distributions													39,340	
													0	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses	
139 THERMO FISHER SCIENTIFIC	883556102		7/2/2016	12/3/2017	2,997		0	3,182	-185		0	0	-41,164	
140 TOTAL S A -ADR	89151E109		7/2/2016	4/25/2016	2,447		0	3,621	-1,174		0	0	-185	
141 TOTAL S A -ADR	89151E109		7/2/2016	12/3/2017	3,000		0	4,272	-1,272		0	0	-1,174	
142 TOUCHSTONE MID CAP VAL-	89155H389		3/31/2015	4/22/2016	3,000		0	4,272	-1,272		0	0	-1,272	
143 TOUCHSTONE MID CAP VAL-	89155H389		7/2/2014	4/22/2016	13,383		0	485	-25		0	0	-25	
144 TOUCHSTONE MID CAP VAL-	89155H389		7/2/2014	5/12/2016	4,383		0	14,067	-684		0	0	-684	
145 TOUCHSTONE MID CAP VAL-	89155H389		8/24/2016	12/02/2017	11,278		0	4,579	-196		0	0	-196	
146 TRACTOR SUPPLY CO COM	892356106		12/24/2012	4/25/2016	6,173		0	10,932	346		0	0	346	
147 UNION PACIFIC CORP	907818108		4/29/2008	4/25/2016	8,230		0	2,882	3,291		0	0	3,291	
148 UNION PACIFIC CORP	907818108		8/25/2016	12/3/2017	6,565		0	5,805	760		0	0	760	
149 UNITED PARCEL SERVICE-C	911312106		8/25/2016	12/3/2017	2,057		0	1,970	87		0	0	87	
150 UNITEDHEALTH GROUP INC	91324P102		10/28/2016	12/3/2017	1,747		0	1,544	203		0	0	203	
151 UNITEDHEALTH GROUP INC	91324P102		7/28/2015	12/3/2017	4,605		0	3,520	1,085		0	0	1,085	
152 VANGUARD INFLAT-PROT SE	922031737		5/16/2016	8/22/2016	3,234		0	3,174	60		0	0	60	
153 VANGUARD REIT VIPER	922908553		3/31/2015	4/22/2016	978		0	1,005	-27		0	0	-27	
154 VANGUARD REIT VIPER	922908553		7/24/2015	4/22/2016	734		0	697	37		0	0	37	
155 VANGUARD REIT VIPER	922908553		7/24/2015	5/12/2016	6,004		0	5,498	506		0	0	506	
156 VANGUARD REIT VIPER	922908553		7/24/2015	8/22/2016	8,888		0	7,588	1,100		0	0	1,100	
157 VANGUARD REIT VIPER	922908553		10/22/2013	8/22/2016	11,969		0	9,147	2,822		0	0	2,822	
158 VANGUARD REIT VIPER	922908553		10/22/2013	10/27/2016	40,547		0	34,015	6,532		0	0	6,532	
159 ABERDEEN GLOBAL HIGH IN	04315J860		10/8/2015	8/22/2016	3,390		0	3,423	-33		0	0	-33	
160 ARTISAN SMALL CAP FUND-I	04314H758		7/24/2015	5/12/2016	32,562		0	41,358	-8,796		0	0	-8,796	
161 ABERDEEN GLOBAL HIGH IN	04315J860		11/16/2015	8/22/2016	1,463		0	1,461	2		0	0	2	
162 ABERDEEN GLOBAL HIGH IN	04315J860		4/27/2016	8/22/2016	62,221		0	59,986	2,235		0	0	2,235	
163 ABERDEEN GLOBAL HIGH IN	04315J860		7/19/2015	8/22/2016	918		0	980	-62		0	0	-62	
164 ASHMORE EMERG MKTS CR	044820504		10/24/2013	5/12/2016	145		36	183	-2		0	0	-2	
165 ASHMORE EMERG MKTS CR	044820504		10/24/2013	8/22/2016	10,658		0	12,573	-1,915		0	0	-1,915	
166 ASHMORE EMERG MKTS CR	044820504		1/31/2014	8/22/2016	1,286		0	1,491	-205		0	0	-205	
167 ARTISAN SMALL CAP FUND-I	04314H758		7/24/2015	12/02/2017	4,202		0	4,567	-365		0	0	-365	
168 ASHMORE EMERG MKTS CR	044820504		3/12/2014	8/22/2016	2,051		0	2,380	-319		0	0	-319	
169 ASHMORE EMERG MKTS CR	044820504		10/16/2014	8/22/2016	1,093		0	1,232	-139		0	0	-139	
170 ASHMORE EMERG MKTS CR	044820504		1/27/2015	8/22/2016	3,230		0	3,234	-4		0	0	-4	
171 ABERDEEN GLOBAL HIGH IN	04315J860		7/24/2015	5/12/2016	881		95	961	-5		0	0	-5	
172 ABERDEEN GLOBAL HIGH IN	04315J860		7/24/2015	8/22/2016	44,631		0	47,414	2,783		0	0	2,783	
173 AFFILIATED MANAGERS GRO	08252108		2/2/2012	4/25/2016	3,660		0	2,193	1,467		0	0	1,467	
174 ASHMORE EMERG MKTS CR	044820504		10/8/2015	8/22/2016	766		0	740	26		0	0	26	
175 ASHMORE EMERG MKTS CR	044820504		11/16/2015	8/22/2016	277		0	272	5		0	0	5	
176 ASHMORE EMERG MKTS CR	044820504		1/25/2016	8/22/2016	454		0	398	56		0	0	56	
177 ASHMORE EMERG MKTS CR	044820504		4/27/2016	8/22/2016	304		0	286	18		0	0	18	
178 ASHMORE EMERG MKTS CR	044820504		10/9/2013	8/22/2016	154		0	181	-27		0	0	-27	
179 BAYER AG -ADR	072730302		12/12/2016	12/3/2017	1,953		0	1,810	143		0	0	143	
180 BERKSHIRE HATHAWAY INC	084670702		3/5/2013	10/3/2016	4,742		0	3,404	1,338		0	0	1,338	
181 BERKSHIRE HATHAWAY INC	084670702		10/26/2015	10/3/2016	4,311		0	4,113	198		0	0	198	
182 BERKSHIRE HATHAWAY INC	084670702		8/25/2016	10/3/2016	4,455		0	4,607	-152		0	0	-152	
183 BERKSHIRE HATHAWAY INC	084670702		12/28/2012	10/3/2016	5,317		0	3,292	2,025		0	0	2,025	
184 AFFILIATED MANAGERS GRO	08252108		8/25/2016	12/3/2017	3,422		0	3,359	63		0	0	63	
185 INV BALANCE RISK COMM S	08888Y508		8/24/2016	10/27/2016	134		0	131	3		0	0	3	
186 INV BALANCE RISK COMM S	08888Y508		8/24/2016	12/0/2017	35,491		0	35,236	255		0	0	255	
187 ALPHABET INC CL C	02079K107		8/25/2016	12/3/2017	4,903		0	4,618	285		0	0	285	
188 ALPHABET INC CL A	02079K305		5/22/2008	4/25/2016	5,197		0	1,929	3,268		0	0	3,268	
189 ALPHABET INC CL A	02079K305		9/2/2008	4/25/2016	11,136		0	3,487	7,649		0	0	7,649	
190 AMERIPRISE FINL INC	03076C106		7/28/2015	12/3/2017	5,190		0	5,758	-568		0	0	-568	
191 APPLE INC	037833100		4/29/2008	4/25/2016	9,462		0	2,251	7,211		0	0	7,211	
192 APPLE INC	037833100		10/28/2016	12/3/2017	1,920		0	1,821	99		0	0	99	
193 APPLE INC	037833100		8/25/2016	12/3/2017	6,840		0	6,141	699		0	0	699	
194 ARTISAN INTERNATIONAL FI	04314H402		3/31/2015	5/12/2016	2,564		0	2,882	-318		0	0	-318	
195 ARTISAN INTERNATIONAL FI	04314H402		1/27/2015	5/12/2016	9,756		231	10,854	-867		0	0	-867	
196 ARTISAN INTERNATIONAL FI	04314H402		3/29/2015	5/12/2016	2,966		0	3,325	-359		0	0	-359	
197 ARTISAN INTERNATIONAL FI	04314H402		5/5/2014	5/12/2016	1,531		130	1,661	0		0	0	0	
198 ARTISAN INTERNATIONAL FI	04314H402		5/5/2014	8/22/2016	573		0	612	-39		0	0	-39	
199 ARTISAN INTERNATIONAL FI	04314H402		3/12/2014	8/22/2016	9,829		0	10,400	-571		0	0	-571	
200 ARTISAN INTERNATIONAL FI	04314H402		1/12/2015	8/22/2016	2,083		0	2,310	-227		0	0	-227	
201 ARTISAN INTERNATIONAL FI	04314H402		4/20/2014	8/22/2016	1,556		0	1,683	-127		0	0	-127	
202 ARTISAN INTERNATIONAL FI	04314H402		10/24/2013	8/22/2016	11,007		0	11,627	-620		0	0	-620	
203 ARTISAN SMALL CAP FUND-I	04314H758		7/22/2015	4/22/2016	210		0	259	-49		0	0	-49	
204 ARTISAN SMALL CAP FUND-I	04314H758		7/24/2015	4/22/2016	1,234		0	1,512	-278		0	0	-278	
205 BLACKROCK GL US CREDIT-	091936732		10/27/2015	5/12/2016	1,154		73	1,227	0		0	0	0	
206 BLACKROCK GL US CREDIT-	091936732		10/27/2015	8/22/2016	10,936		0	11,315	-479		0	0	-479	
207 BERKSHIRE HATHAWAY INC	084670702		12/28/2012	12/3/2017	1,908		0	1,068	840		0	0	840	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		39,340	Amount		0	1,740,546	0	2,023	1,783,733	-41,164	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses
Short Term CG Distributions		0												
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Depreciation Allowed	Gross Sales Price	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses	
208 BLACKROCK GL US CREDIT-	091936732		10/27/2015	10/27/2016	242	1,434	0	250	-8		0	0	-41,164	
209 BLACKROCK GL US CREDIT-	091936732		10/27/2015	12/07/2017		1,434	0	1,466	-32		0	0	-32	
210 BLACKROCK INC	09247X101		8/25/2016	1/23/2017		3,024	0	2,946	78		0	0	78	
211 BOEING CO	097023105		3/31/2015	4/25/2016		4,046	0	4,659	-613		0	0	-613	
212 BOEING CO	097023105		3/31/2015	10/3/2016		4,462	0	5,110	-648		0	0	-648	
213 BOEING CO	097023105		8/25/2016	10/3/2016		394	0	399	-5		0	0	-5	
214 BOEING CO	097023105		12/9/2016	1/23/2017		2,208	0	2,193	15		0	0	15	
215 COLUMBIA SELECT S/C VALL	19766H437		1/25/2016	5/12/2016		32,752	0	28,994	3,758		0	0	3,758	
216 CELANESE CORP	150870103		1/27/2015	4/25/2016		352	0	273	79		0	0	79	
217 CELANESE CORP	150870103		1/22/2016	1/23/2017		3,269	0	2,374	895		0	0	895	
218 COLUMBIA SELECT S/C VALL	19766H437		8/24/2016	1/20/2017		8,270	0	8,079	191		0	0	191	
219 COMCAST CORP CLASS A	20030N101		8/25/2016	1/23/2017		5,046	0	4,530	516		0	0	516	
220 COMCAST CORP CLASS A	20030N101		9/1/2015	1/23/2017		292	0	222	70		0	0	70	
221 CREDIT SUISSE COMM RET	22544R305		10/24/2013	4/22/2016		2,876	0	4,414	-1,538		0	0	-1,538	
222 CREDIT SUISSE COMM RET	22544R305		10/24/2013	5/12/2016		2,455	0	3,682	-1,227		0	0	-1,227	
223 CELGENE CORP COM	151020104		12/21/2009	4/25/2016		281	0	686	821		0	0	821	
224 CREDIT SUISSE COMM RET	22544R305		10/24/2013	8/22/2016		23,770	0	35,151	-11,381		0	0	-11,381	
225 CELGENE CORP COM	151020104		12/7/2011	4/25/2016		2,424	0	99	1,738		0	0	1,738	
226 CELGENE CORP COM	151020104		1/28/2013	4/25/2016		220	0	4,387	121		0	0	121	
227 CISCO SYSTEMS INC	17275R102		8/25/2016	1/23/2017		4,231	0	5,412	-1,181		0	0	-1,181	
228 CREDIT SUISSE COMM RET	22544R305		10/16/2014	8/22/2016		3,969	0	10,700	-1,443		0	0	-1,443	
229 CREDIT SUISSE COMM RET	22544R305		12/23/2014	8/22/2016		8,557	0	10,700	-2,143		0	0	-2,143	
230 ASHMORE EMERG MKTS CR	044820504		3/31/2015	8/22/2016		970	0	991	-21		0	0	-21	
231 CREDIT SUISSE COMM RET	22544R305		1/27/2015	8/22/2016		7,040	0	8,292	-1,252		0	0	-1,252	
232 CREDIT SUISSE COMM RET	22544R305		3/31/2015	8/22/2016		7,622	0	8,700	-1,078		0	0	-1,078	
233 CREDIT SUISSE COMM RET	22544R305		10/8/2015	8/22/2016		16,971	0	17,759	-788		0	0	-788	
234 CITIGROUP INC	172967424		9/1/2015	1/23/2017		2,629	0	2,396	233		0	0	233	
235 CREDIT SUISSE COMM RET	22544R305		10/8/2015	1/20/2017		22,235	0	22,408	-173		0	0	-173	
236 CREDIT SUISSE COMM RET	22544R305		11/16/2015	1/20/2017		8,785	0	8,084	701		0	0	701	
237 CREDIT SUISSE COMM RET	22544R305		1/25/2016	1/20/2017		5,630	0	4,710	920		0	0	920	
238 COGNIZANT TECH SOLUTION	192446102		3/17/2016	1/23/2017		3,085	0	3,236	-151		0	0	-151	
239 CUMMINS INC	231021106		5/5/2014	8/25/2016		4,382	0	5,245	-863		0	0	-863	
240 CUMMINS INC	231021106		5/5/2014	12/9/2016		6,402	0	6,744	-342		0	0	-342	
241 CUMMINS INC	231021106		7/28/2015	12/9/2016		9,958	0	8,962	996		0	0	996	
242 COLUMBIA SELECT S/C VALL	19766H437		1/25/2016	4/22/2016		9,702	0	8,236	1,466		0	0	1,466	
243 BOEING CO	097023105		6/11/2014	1/23/2017		3,942	0	3,348	594		0	0	594	
244 CME GROUP INC	12572Q105		9/1/2015	4/25/2016		3,179	0	3,112	67		0	0	67	
245 CME GROUP INC	12572Q105		1/22/2016	4/25/2016		374	0	338	36		0	0	36	
246 CME GROUP INC	12572Q105		8/25/2016	9/30/2016		5,332	0	5,383	-51		0	0	-51	
247 CME GROUP INC	12572Q105		7/2/2014	9/30/2016		3,764	0	2,560	1,204		0	0	1,204	
248 CME GROUP INC	12572Q105		1/22/2016	1/23/2017		2,442	0	1,773	669		0	0	669	
249 CVS HEALTH CORPORATION	126650100		9/1/2015	4/25/2016		2,339	0	2,311	28		0	0	28	
250 CVS HEALTH CORPORATION	126650100		8/25/2016	1/23/2017		2,924	0	3,372	-448		0	0	-448	
251 CELANESE CORP	150870103		12/23/2014	4/25/2016		3,876	0	3,313	563		0	0	563	
252 DIAGEO PLC - ADR	252430205		8/25/2016	1/23/2017		2,171	0	2,297	-126		0	0	-126	
253 WALT DISNEY CO	254687106		9/1/2015	4/25/2016		6,372	0	6,102	270		0	0	270	
254 WALT DISNEY CO	254687106		12/3/2013	4/25/2016		5,327	0	3,561	1,766		0	0	1,766	
255 WALT DISNEY CO	254687106		8/25/2016	1/23/2017		2,353	0	2,103	250		0	0	250	
256 DODGE & COX INTL STOCK	256206103		3/12/2014	4/22/2016		4,438	0	5,215	-777		0	0	-777	
257 DODGE & COX INTL STOCK	256206103		7/2/2014	4/22/2016		2,109	0	2,679	-570		0	0	-570	
258 DODGE & COX INTL STOCK	256206103		3/31/2015	4/22/2016		4,458	0	5,300	-842		0	0	-842	
259 DODGE & COX INTL STOCK	256206103		1/27/2015	4/22/2016		179	0	208	-29		0	0	-29	
260 DODGE & COX INTL STOCK	256206103		1/27/2015	5/12/2016		8,806	0	10,902	-2,096		0	0	-2,096	
261 DODGE & COX INTL STOCK	256206103		1/27/2015	8/22/2016		12,608	0	14,199	-1,591		0	0	-1,591	
262 DODGE & COX INTL STOCK	256206103		10/24/2013	8/22/2016		27,484	0	30,715	-3,231		0	0	-3,231	
263 DODGE & COX INTL STOCK	256206103		10/24/2013	10/27/2016		3,016	0	3,322	-306		0	0	-306	
264 TE CONNECTIVITY LTD	H84989104		12/9/2016	1/23/2017		2,179	0	2,192	-13		0	0	-13	
265 EATON CORP PLC	G29183103		12/23/2014	1/23/2017		1,756	0	1,748	8		0	0	8	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Schedule 1 - Compensation of Officers, Directors, Trustees and Foundation Managers												
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	42,872		
2	GERARD G STAFFOR JR		7606 BURNING HILLS DR	HOUSTON	TX	77024		CO-TRUSTEE	1 00	6,000		
3	NANCY S ROBINSON		1 LONG TIMBERS LANE	HOUSTON	TX	77024		CO-TRUSTEE	1 00	9,000		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	1,051
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment	7/12/2016	3	2,000
4 Third quarter estimated tax payment	10/12/2016	4	200
5 Fourth quarter estimated tax payment	1/11/2017	5	300
6 Other payments		6	
7 Total		7	3,551

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	268,230
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	268,230

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
100 N Main Street Mac 4001-117
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.