efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491340008007

Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 02-01-2016 , and ending 01-31-2017

Name of foundation
LOTTIE C HARDY TRUST

A Employer identification number
43-6239401

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 0634

Room/suite

B Telephone number (see instructions)
(314) 418-2643

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 3,123,134

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	86,002	85,948		
	5a Gross rents	19,859	19,859	19,859	
	b Net rental income or (loss) 19,859				
	6a Net gain or (loss) from sale of assets not on line 10	-29,623			
	b Gross sales price for all assets on line 6a 581,952				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	433			
	12 **Total.** Add lines 1 through 11	76,671	105,807	19,859	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	43,045	38,740		4,304
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	5,280	0	0	5,280
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	2,275	1,101		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	6,553	6,553		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	57,153	46,394	0	9,584
	25 Contributions, gifts, grants paid	151,000			151,000
	26 **Total expenses and disbursements.** Add lines 24 and 25	208,153	46,394	0	160,584
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-131,482			
	b **Net investment income** (if negative, enter -0-)		59,413		
	c **Adjusted net income**(if negative, enter -0-)			19,859	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0		
	2 Savings and temporary cash investments	11,288	52,273	52,273
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	101,638	49,313	49,313
	b Investments—corporate stock (attach schedule)	1,789,068	1,448,634	2,116,785
	c Investments—corporate bonds (attach schedule)	59,186	726,492	689,733
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	443,025	25	107,515
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	242,139	242,139	107,515
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,646,344	2,518,876	3,123,134
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,646,344	2,518,876	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	2,646,344	2,518,876	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,646,344	2,518,876	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,646,344
2	Enter amount from Part I, line 27a	2	-131,482
3	Other increases not included in line 2 (itemize) ▶	3	4,014
4	Add lines 1, 2, and 3	4	2,518,876
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,518,876

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-29,623
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	170,859	3,195,857	0 053463
2014	339,658	3,382,233	0 100424
2013	10,275	3,238,742	0 003173
2012	166,710	3,160,929	0 052741
2011	158,251	3,211,770	0 049272

2	**Total** of line 1, column (d)	2	0 259073
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051815
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,004,537
5	Multiply line 4 by line 3	5	155,680
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	594
7	Add lines 5 and 6	7	156,274
8	Enter qualifying distributions from Part XII, line 4	8	160,584

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	594
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	594
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	594
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,236		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,236
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	642
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 298 **Refunded** ▶		11	344

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no ▶ (314) 418-2643

Located at ▶ PO BOX 387 ST LOUIS MO ZIP+4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**	**No**
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**	

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	Expense account, **(e)** other allowances
US BANK PO BOX 387 ST LOUIS, MO 63166	TRUSTEE 1	43,045		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	Expense account, **(e)** other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,985,688
b	Average of monthly cash balances	1b	64,603
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,050,291
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,050,291
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	45,754
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,004,537
6	**Minimum investment return.** Enter 5% of line 5	6	150,227

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	150,227
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 594		
b	Income tax for 2016 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	594
3	Distributable amount before adjustments Subtract line 2c from line 1	3	149,633
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	149,633
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	149,633

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	160,584
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	160,584
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	594
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	159,990

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				149,633
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014 , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 0				
b From 2012. 0				
c From 2013. 0				
d From 2014. 37,897				
e From 2015. 13,538				
f Total of lines 3a through e.	51,435			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 160,584				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				149,633
e Remaining amount distributed out of corpus	10,951			
5 Excess distributions carryover applied to 2016	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	62,386			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	62,386			
10 Analysis of line 9				
a Excess from 2012. 0				
b Excess from 2013. 0				
c Excess from 2014. 37,897				
d Excess from 2015. 13,538				
e Excess from 2016. 10,951				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 7425 FORSYTH BLVD ST LOUIS, MO 63105	NONE	PC	MEDICAL RESEARCH	98,880
SAINT LOUIS UNIVERSITY SCHOOL OF MEDICINE 1402 S GRAND BLVD ST LOUIS, MO 63104	NONE	PC	CANCER RESEARCH	35,000
UNIVERSITY OF MISSOURI 115 BUSINESS LOOP 70W COLUMBIA, MO 65203	NONE	PC	CANCER RESEARCH	17,120
Total . . . . . ▶ **3a**				151,000
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	86,002	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.			16	19,859	
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-29,623	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FEDERAL TAX REFUND			1	433	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				76,671	
13 **Total.** Add line 12, columns (b), (d), and (e).				**13**	76,671

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		**Yes**	**No**
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-12-06	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2017-12-06		P01251603

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219 — Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
73 94 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-02-15
2 18 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-03-15
2 2 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-04-15
3832 CALIFORNIA RESOURCES CORP		2010-06-09	2016-04-29
2 21 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-05-15
50000 UNITED STATES TREAS BDS DTD 5/15/86 7 25% 05/15/2016		1996-08-06	2016-05-15
6 CALIFORNIA RES CORP		2010-06-09	2016-06-09
2 23 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-06-15
2 28 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-07-15
2 27 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
74		90	-16
2		3	-1
2		3	-1
1		1	
2		3	-1
50,000		52,188	-2,188
10		8	2
2		3	-1
2		3	-1
2		3	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-16
			-1
			-1
			-1
			-2,188
			2
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1 CALIFORNIA RES CORP		2010-06-09	2016-08-17
2 28 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-09-15
11419 081 ABERDEEN FDS EMRGN			2016-09-28
2083 106 GOLDMAN SACHS M C VALUE CL INST			2016-09-28
268 448 GOLDMAN SACHS M C VALUE CL INST		2015-12-10	2016-09-28
952 ISHARES MSCI EMERGING MARKETS ETF			2016-09-28
4002 668 NUVEEN SMALL CAP SELECT FD CL I		2007-04-17	2016-09-28
2901 265 SCOUT INTERNATIONAL FUND			2016-09-28
2 3 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-10-15
2 32 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
12		14	-2
2		3	-1
155,985		165,000	-9,015
74,429		71,580	2,849
9,592		9,044	548
35,770		39,357	-3,587
47,472		60,000	-12,528
71,545		100,000	-28,455
2		3	-1
2		3	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-2
			-1
			-9,015
			2,849
			548
			-3,587
			-12,528
			-28,455
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2 34 G N M A #231451 9 000% 2/15/20		1990-03-08	2016-12-15
300 ST JUDE MED INC		2008-08-06	2017-01-05
2 36 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-01-15
7378 056 RIDGEWORTH INST US GOV SEC ULT SHRT			2017-01-24
2492 522 RIDGEWORTH INST US GOV SEC ULT SHRT		2016-09-28	2017-01-24
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2		3	-1
24,307		14,265	10,042
2		3	-1
73,928		74,995	-1,067
24,975		25,000	-25
			13,830

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-1
			10,042
			-1
			-1,067
			-25

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Accounting Fees Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	5,280			5,280

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Investments Corporate Bonds Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CAMPBELL SOUP CO 8.875% 5/1/21		
63872T620 LOOMIS SAYLES STRATE	32,000	31,427
670678622 NUVEEN CORE PLUS BON	156,736	157,642
36218TBU1 G N M A #231451	16	13
134429AG4 CAMPBELL SOUP CO	59,186	61,792
68381K606 OPPENHEIMER SENIOR F	57,000	55,653
670678648 NUVEEN SHORT TERM BO	99,914	98,713
670678234 NUVEEN HIGH INCOME B	55,000	46,963
38143H381 GOLDMAN SACHS COMM S	84,000	43,074
670678390 NUVEEN STRATEGIC INC	151,440	164,052
262028855 DRIEHAUS ACTIVE INCO	31,200	30,404

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Investments Corporate Stock Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOW CHEM CO		
PRAXAIR INC		
GENERAL ELEC CO		
ILLINOIS TOOL WKS INC		
3M CO		
CHEVRON CORP		
CONOCOPHILLIPS		
LOWE'S COS INC		
GENERAL MILLS INC		
PEPSICO INC		
PROCTER & GAMBLE		
ABBOTT LABS		
JOHNSON & JOHNSON		
MEDTRONIC PLC		
J P MORGAN CHASE & CO		
INTEL CORP		
MICROSOFT CORP		
QUALCOMM INC		
BANK OF AMERICA		
GOLDMAN SACHS COMM STRAT INS		
DUKE ENERGY		
APPLE INC		
AT&T INC		
CISCO SYS INC		
EMERSON ELEC CO		
NIKE INC		
ORACLE CORPORATION		
ISHARES MSCI EAFE ETF		
ISHARES DJ SELECT DIVIDEND ETF		
NUVEEN HIGH INCOME BOND FUND		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON MOBIL CORP		
OCCIDENTAL PETROLEUM CORP		
PGE CORP		
GOLDMAN SACHS M C VALUE		
NUVEEN MID CAP GROWTH OPP		
NUVEEN REAL ESTATE SECUR FD		
NUVEEN SMALL CAP SELECT FUND		
ISHARES MSCI EMERGING MKTS ETF		
DRIEHAUS ACTIVE INCOME FUND		
NUVEEN SHORT TERM BOND FUND		
NUVEEN STRATEGIC INCOME		
ABBVIE INC		
AMERICAN EXPRESS CO		
EXPRESS SCRIPTS HLDGS		
PHILLIPS 66		
ABERDEEN FDS EMRGN MKT INSTL		
NUVEEN CORE PLUS BOND		
OPPENHEIMER SR FLOAT RATE I		
PARAMETRIC EMERGING MKTS INSTL		
LOOMIS SAYLES STRATEGIC ALPHA		
037833100 APPLE INC	15,968	84,945
002824100 ABBOTT LABORATORIES	17,710	19,266
87612E106 TARGET CORP	13,466	19,344
872407101 TFS MARKET NEUTRAL F	12,000	11,356
74005P104 PRAXAIR INC	7,420	24,872
670678507 NUVEEN REAL ESTATE S	87,739	107,928
670678200 NUVEEN SMALL CAP VAL	40,000	44,551
291011104 EMERSON ELECTRIC CO	16,644	20,531
20825C104 CONOCOPHILLIPS	6,169	13,409
060505104 BANK OF AMERICA CORP	34,639	43,016

Name of Stock	End of Year Book Value	End of Year Fair Market Value
00206R102 ATT INC	18,551	25,296
931427108 WALGREENS BOOTS ALLI	15,904	32,776
718546104 PHILLIPS 66	2,333	14,284
69331C108 PG E CORP	19,303	24,756
670690759 NUVEEN MID CAP GRWTH	46,679	59,753
46625H100 J P MORGAN CHASE CO	8,653	33,852
369604103 GENERAL ELECTRIC CO	6,847	11,880
30231G102 EXXON MOBIL CORP	13,303	17,785
025816109 AMERICAN EXPRESS CO	18,318	22,914
00287Y109 ABBVIE INC	7,471	24,444
92343V104 VERIZON COMMUNICATIO	16,184	22,055
458140100 INTEL CORP	37,961	33,138
370334104 GENERAL MILLS INC	13,671	37,488
30219G108 EXPRESS SCRIPTS HLDG	13,658	16,738
26441C204 DUKE ENERGY HOLDING	4,866	11,781
913017109 UNITED TECHNOLOGIES	12,315	35,643
806857108 SCHLUMBERGER LTD	7,893	29,299
742718109 PROCTER GAMBLE CO	17,656	30,660
713448108 PEPSICO INC	9,345	36,323
68389X105 ORACLE CORPORATION	17,935	32,088
674599105 OCCIDENTAL PETROLEUM	13,649	11,860
654106103 NIKE INC	15,234	52,900
594918104 MICROSOFT CORP	12,930	51,720
548661107 LOWES CO INC	11,971	51,156
478160104 JOHNSON JOHNSON	17,626	39,638
46434G103 ISHARES CORE MSCI EM	80,229	79,170
452308109 ILLINOIS TOOL WORKSI	11,678	50,880
277923751 PARAMETRIC EMERGING	90,961	86,230
166764100 CHEVRON CORPORATION	9,882	27,838
881624209 TEVA PHARMACEUTICAL	9,693	10,029

Name of Stock	End of Year Book Value	End of Year Fair Market Value
77957Y106 T ROWE PRICE MID CAP	80,000	81,386
464287465 ISHARES MSCI EAFE ET	110,068	112,701
464287168 ISHARES DOW JONES SE	29,065	44,880
06828M876 BARON EMERGING MARKE	80,000	76,628
949746101 WELLS FARGO CO	13,217	33,798
931142103 WAL MART STORES INC	3,093	16,685
88579Y101 3M CO	15,089	34,964
77956H849 T ROWE PRICE INTL GR	150,000	149,244
260543103 DOW CHEM CO	20,082	29,815
233051200 DB X TRACKERS MSCI E	68,601	73,476
G5960L103 MEDTRONIC PLC	15,390	15,204
747525103 QUALCOMM INC	11,788	21,372
17275R102 CISCO SYSTEMS INC	17,787	23,040

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Investments Government Obligations Schedule

Name:	LOTTIE C HARDY TRUST	
EIN:	43-6239401	
US Government Securities - End of Year Book Value:		49,313
US Government Securities - End of Year Fair Market Value:		49,313
State & Local Government Securities - End of Year Book Value:		
State & Local Government Securities - End of Year Fair Market Value:		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Investments - Other Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
RIDGEWORTH INST SEIX US GOVT S			
SCHLUMBERGER LTD			
SCOUT INTERNATIONAL FUND			
ST JUDE MED INC			
T ROWE PRICE INTL GR&INC FD			
TARGET CORP			
TEVA PHARMACEUTICAL INDS LTD A			
TFS MARKET NEUTRAL FUND			
UNITED TECHNOLOGIES CORP			
VERIZON COMMUNICATIONS INC			
WAL MART STORES INC			
WALGREENS BOOTS ALLIANCE INC			
WELLS FARGO CO			
99MIN6431 VARIOUS MINERAL INTE	AT COST	25	107,515

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Other Assets Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MINERAL INTEREST	242,139	242,139	107,515

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Other Expenses Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROYALTY EXPENSES	6,553	6,553		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Other Income Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	433	0	

TY 2016 Other Increases Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Description	Amount
COST BASIS ADJ	31
ST JUDE/ABBOTT CORP ACTION ADJ	3,983

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491340008007

TY 2016 Taxes Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	989	989		0
FEDERAL ESTIMATES - PRINCIPAL	1,174	0		0
FOREIGN TAXES ON NONQUALIFIED	112	112		0