



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 02-01-2016 , and ending 01-31-2017

Name of foundation FRANK J SMITH FOUNDATION		A Employer identification number 35-6598564
Number and street (or P O box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR	Room/suite	B Telephone number (see instructions) (412) 762-8133
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,865,845	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	91,685	91,685		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	61,585			
	b Gross sales price for all assets on line 6a 1,447,492				
	7 Capital gain net income (from Part IV, line 2)		61,585		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,946				
12 Total. Add lines 1 through 11	155,216	153,270			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	39,348	19,674		19,674
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	2,294	1,018		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	41,642	20,692	0	19,674
	25 Contributions, gifts, grants paid	191,385			191,385
26 Total expenses and disbursements. Add lines 24 and 25	233,027	20,692	0	211,059	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-77,811				
b Net investment income (if negative, enter -0-)		132,578			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	106,215	139,539	139,539
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,262,064	1,213,187	1,584,393
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,051,542	1,989,280	2,141,913
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,419,821	3,342,006	3,865,845	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,331,105	3,292,267	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	88,716	49,739		
30 Total net assets or fund balances (see instructions)	3,419,821	3,342,006		
31 Total liabilities and net assets/fund balances (see instructions) .	3,419,821	3,342,006		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,419,821
2 Enter amount from Part I, line 27a	2	-77,811
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	3,342,010
5 Decreases not included in line 2 (itemize) ▶ _____	5	4
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,342,006

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))				
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)				2 61,585
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)				3
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	210,184	3,931,310	0 053464
2014	200,840	3,957,060	0 050755
2013	196,369	3,719,030	0 052801
2012	188,486	3,562,946	0 052902
2011	194,873	3,518,657	0 055383
2 Total of line 1, column (d)			2 0 265305
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 053061
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 3,756,239
5 Multiply line 4 by line 3			5 199,310
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,326
7 Add lines 5 and 6			7 200,636
8 Enter qualifying distributions from Part XII, line 4			8 211,059

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax due'. Total amount owed is 1,226.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?' and 'Has the foundation engaged in any activities that have not previously been reported to the IRS?'. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of PNC BANK NA Telephone no (412) 762-8133
Located at 620 LIBERTY AVENUE PITTSBURGH PA ZIP+4 152309738
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. ▶</p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945-5(d)</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	
	6b	No
	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK NA 620 LIBERTY AVENUE PITTSBURGH, PA 152222705	TRUSTEE 8	39,348		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,813,441
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,813,441
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,813,441
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	57,202
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,756,239
6	Minimum investment return. Enter 5% of line 5.	6	187,812

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	187,812
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,326
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,326
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	186,486
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	186,486
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	186,486

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	211,059
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	211,059
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,326
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	209,733

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				186,486
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	10,463			
b From 2012.	13,245			
c From 2013.	16,275			
d From 2014.	8,756			
e From 2015.	18,718			
f Total of lines 3a through e.	67,457			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>211,059</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				186,486
e Remaining amount distributed out of corpus	24,573			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	92,030			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	10,463			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	81,567			
10 Analysis of line 9				
a Excess from 2012.	13,245			
b Excess from 2013.	16,275			
c Excess from 2014.	8,756			
d Excess from 2015.	18,718			
e Excess from 2016.	24,573			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				191,385
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
110 BOEING CO		2012-09-26	2016-02-02
250 ST JUDE MEDICAL INC		2014-03-10	2016-02-02
5 ALPHABET INC/CA-CL A		2015-11-04	2016-02-10
10 CONSTELLATION BRANDS INC CL A		2014-05-16	2016-02-10
20 EDWARDS LIFESCIENCES CORP		2015-02-13	2016-02-10
40 EXXON MOBIL CORP		2014-12-05	2016-02-10
80 GENERAL ELEC CO COM		2010-09-10	2016-02-10
110 GOLDMAN SACHS GROUP INC COM		2015-05-21	2016-02-10
10 LOCKHEED MARTIN CORP		2013-11-25	2016-02-10
360 MONDELEZ INTERNATIONAL		2015-09-17	2016-02-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,101		7,740	5,361
13,266		16,639	-3,373
3,554		3,771	-217
1,389		817	572
1,590		1,328	262
3,196		3,779	-583
2,274		1,285	989
16,508		22,509	-6,001
2,158		1,413	745
13,559		15,836	-2,277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,361
			-3,373
			-217
			572
			262
			-583
			989
			-6,001
			745
			-2,277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
30 PPG INDS INC COM		2015-03-03	2016-02-10
30 VISA INC CLASS A SHARES		2013-02-15	2016-02-10
670 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76			2016-02-10
110 SIGNET JEWELERS LTD ISIN BMG812761002 SEDOL B3CTNK6		2015-11-16	2016-02-10
40 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-06-26	2016-02-10
200 STANLEY BLACK & DECKER INC			2016-02-18
130 APPLE INC			2016-03-03
170 SKYWORKS SOLUTIONS INC COM		2014-04-07	2016-03-03
380 KROGER CO		2014-05-08	2016-03-29
100 SNAP-ON INC COM		2014-09-15	2016-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,750		3,522	-772
2,112		1,172	940
17,446		23,172	-5,726
10,647		15,223	-4,576
2,119		2,452	-333
18,511		21,273	-2,762
13,073		9,475	3,598
11,643		6,043	5,600
14,356		8,814	5,542
15,650		12,572	3,078

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-772
			940
			-5,726
			-4,576
			-333
			-2,762
			3,598
			5,600
			5,542
			3,078

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
130 AMERIPRISE FINANCIAL INC		2015-01-29	2016-05-03
170 APPLE INC			2016-05-10
920 CISCO SYS INC COM			2016-05-10
220 L BRANDS INC		2014-09-30	2016-05-10
130 MICROSOFT CORP		2016-02-10	2016-05-10
30 MICROSOFT CORP		2005-05-10	2016-05-10
43 019 GOLDMAN SACHS MID CAP VALUE FD CL I		2014-12-05	2016-05-13
936 892 GOLDMAN SACHS MID CAP VALUE FD CL I			2016-05-13
460 LINCOLN NATIONAL CORP			2016-05-24
1 ST JUDE MEDICAL, INC SECURITIES LITIGATION		2001-01-01	2016-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,252		16,682	-4,430
15,662		9,827	5,835
24,583		21,278	3,305
15,324		14,745	579
6,542		6,491	51
1,510		748	762
1,437		1,774	-337
31,302		40,378	-9,076
20,815		23,586	-2,771
129		25	104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,430
			5,835
			3,305
			579
			51
			762
			-337
			-9,076
			-2,771
			104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
50 THE TRAVELERS COS INC		2015-08-14	2016-05-24
220 THE TRAVELERS COS INC		2014-05-02	2016-05-24
112 GENERAL ELEC CO COM		2010-09-10	2016-05-31
66 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-05-31
190 HORMEL FOODS CORP		2016-02-10	2016-06-03
210 ALASKA AIR GROUP INC		2015-11-19	2016-06-20
42 CVS CAREMARK CORP		2013-02-22	2016-06-20
163 EDWARDS LIFESCIENCES CORP		2015-02-13	2016-06-24
70 PRINCIPAL FINANCIAL GROUP COM		2015-08-14	2016-06-24
500 PRINCIPAL FINANCIAL GROUP COM			2016-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,658		5,356	302
24,896		20,042	4,854
3,379		1,799	1,580
14,048		11,215	2,833
6,593		7,914	-1,321
12,471		16,593	-4,122
3,918		2,160	1,758
15,667		10,819	4,848
2,852		4,000	-1,148
20,370		25,352	-4,982

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			302
			4,854
			1,580
			2,833
			-1,321
			-4,122
			1,758
			4,848
			-1,148
			-4,982

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
320 FOOT LOCKER INC		2014-04-07	2016-07-06
370 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182			2016-07-20
76 CELANESE CORP-SERIES A		2015-07-14	2016-07-22
108 DOW CHEMICAL CO		2015-05-21	2016-07-22
62 PPG INDS INC COM		2015-03-03	2016-07-22
7569 296 EATON VANCE FLOATING RATE FUND CLASS I			2016-08-02
53 987 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I			2016-08-02
5468 501 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I			2016-08-02
3930 367 T ROWE PRICE REAL ESTATE FUND FD 122			2016-08-02
23 405 TEMPLETON GLOBAL BOND FUND AD FUND			2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,625		14,495	3,130
22,950		21,590	1,360
5,308		5,356	-48
5,721		5,519	202
6,704		7,279	-575
66,080		66,082	-2
510		534	-24
51,677		54,183	-2,506
120,505		79,417	41,088
261		288	-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,130
			1,360
			-48
			202
			-575
			-2
			-24
			-2,506
			41,088
			-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10471 608 TEMPLETON GLOBAL BOND FUND AD FUND			2016-08-02
7017 544 ALLIANCEBERNSTEIN GLOBAL BD FD INC ADV FD 456		2016-08-02	2016-09-12
135 446 BLACKROCK INFLATION PROTECTED BD PORTFOLIO			2016-09-12
6492 366 BLACKROCK INFLATION PROTECTED BD PORTFOLIO			2016-09-12
2040 816 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO			2016-09-12
120 CIGNA CORP		2014-07-23	2016-09-12
70 CELANESE CORP-SERIES A		2015-10-29	2016-09-12
164 CELANESE CORP-SERIES A		2015-07-14	2016-09-12
118 CINTAS CORP			2016-09-12
1119 821 COLUMBIA FLOATING RATE FUND CLASS Z		2016-08-02	2016-09-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
116,549		138,033	-21,484
60,000		60,211	-211
1,460		1,523	-63
69,988		72,016	-2,028
20,000		20,939	-939
15,462		11,653	3,809
4,364		4,924	-560
10,225		11,558	-1,333
13,536		9,888	3,648
10,000		9,944	56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21,484
			-211
			-63
			-2,028
			-939
			3,809
			-560
			-1,333
			3,648
			56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
185 DOLLAR GENERAL CORP		2016-07-06	2016-09-12
1160 FORD MTR CO DEL COM PAR \$0.01		2016-05-10	2016-09-12
160 GILEAD SCIENCES INC COM		2015-09-15	2016-09-12
200 KIMBERLY-CLARK CORP COM		2013-04-09	2016-09-12
1002 004 PACIFIC FUNDS FLOATING RATE INCOME		2016-08-02	2016-09-12
220 TRACTOR SUPPLY CO COM		2015-05-21	2016-09-12
275 WELLS FARGO & CO NEW COM			2016-09-12
210 CHECK POINT SOFTWARE COM		2014-09-04	2016-09-12
400 KROGER CO		2014-05-08	2016-09-20
61 EXXON MOBIL CORP		2014-12-05	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,263		17,445	-4,182
14,732		15,643	-911
12,605		17,867	-5,262
24,723		19,051	5,672
10,000		9,940	60
15,204		19,776	-4,572
13,326		8,351	4,975
16,262		14,981	1,281
12,474		9,278	3,196
5,092		5,763	-671

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,182
			-911
			-5,262
			5,672
			60
			-4,572
			4,975
			1,281
			3,196
			-671

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
68 HONEYWELL INTL INC			2016-09-22
73 SCHLUMBERGER LTD COM			2016-09-22
530 D R HORTON INC		2015-10-22	2016-09-28
260 DICK'S SPORTING GOODS, INC		2016-09-28	2016-09-29
48 ADVANSIX INC - W/I		2015-02-03	2016-10-17
268 CVS CAREMARK CORP		2013-02-22	2016-10-25
80 LOCKHEED MARTIN CORP		2013-11-25	2016-10-25
128 PPG INDS INC COM		2015-03-03	2016-10-25
90 PUBLIC STORAGE INC		2015-09-08	2016-10-25
120 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-31	2016-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,949		7,180	769
5,625		7,076	-1,451
15,891		16,255	-364
15,050		15,857	-807
8		7	1
23,492		13,780	9,712
19,483		11,305	8,178
11,627		15,027	-3,400
19,004		18,228	776
14,573		16,459	-1,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			769
			-1,451
			-364
			-807
			1
			9,712
			8,178
			-3,400
			776
			-1,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
270 AMERICAN ELECTRIC POWER INC		2015-10-22	2016-11-16
17 AETNA INC NEW		2013-05-31	2016-12-12
2 ALPHABET INC/CA-CL A		2015-11-04	2016-12-12
18 AMERICAN WATER WORKS CO INC		2016-02-10	2016-12-12
17 AMGEN INC			2016-12-12
20 APPLE INC		2009-08-13	2016-12-12
41 APPLIED MATERIALS INC		2016-07-20	2016-12-12
24 BERRY GLOBAL GROUP INC		2016-10-25	2016-12-12
17 CBS CORP CLASS B WI		2016-09-12	2016-12-12
10 CINTAS CORP		2015-02-03	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,063		15,992	71
2,167		1,049	1,118
1,614		1,508	106
1,316		1,190	126
2,469		2,121	348
2,257		478	1,779
1,302		1,097	205
1,220		1,091	129
1,055		898	157
1,207		807	400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			71
			1,118
			106
			126
			348
			1,779
			205
			129
			157
			400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
41 COMCAST CORPORATION CL A		2011-11-18	2016-12-12
11 CONSTELLATION BRANDS INC CL A		2014-05-16	2016-12-12
23 DISNEY WALT CO		2014-03-10	2016-12-12
19 DOW CHEMICAL CO		2016-10-25	2016-12-12
13 DR PEPPER SNAPPLE GROUP INC		2014-10-31	2016-12-12
13 EDWARDS LIFESCIENCES CORP		2015-02-13	2016-12-12
67 EXXON MOBIL CORP			2016-12-12
19 FACEBOOK INC A		2016-05-10	2016-12-12
9 GENERAL DYNAMICS CORP		2015-06-03	2016-12-12
34 GENERAL ELEC CO COM		2010-09-10	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,821		877	1,944
1,688		899	789
2,386		1,886	500
1,107		1,031	76
1,173		898	275
1,157		863	294
6,089		5,663	426
2,243		2,284	-41
1,560		1,266	294
1,083		546	537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,944
			789
			500
			76
			275
			294
			426
			-41
			294
			537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 HOME DEPOT INC COM		2015-11-16	2016-12-12
11 HONEYWELL INTL INC		2015-05-29	2016-12-12
14 ILLINOIS TOOL WORKS INC COM		2016-02-18	2016-12-12
59 J P MORGAN CHASE & CO COM		2015-08-14	2016-12-12
14 JOHNSON & JOHNSON COM		2009-08-13	2016-12-12
50 JOHNSON & JOHNSON COM		2016-09-12	2016-12-12
14 KRAFT HEINZ CO/THE		2016-10-25	2016-12-12
19 LAM RESEARCH CORP		2015-06-03	2016-12-12
26 MORGAN STANLEY		2016-10-25	2016-12-12
30 NIKE INC CL B		2015-08-05	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,013		1,814	199
1,284		1,155	129
1,776		1,340	436
4,988		3,995	993
1,610		844	766
5,751		5,962	-211
1,176		1,241	-65
1,963		1,589	374
1,118		872	246
1,545		1,753	-208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			199
			129
			436
			993
			766
			-211
			-65
			374
			246
			-208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
22 NORTHERN TRUST CORP		2016-05-24	2016-12-12
7 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-12-12
12 PEPSICO INC COM		2016-10-25	2016-12-12
64 PFIZER INC COM		2015-10-29	2016-12-12
8 RAYTHEON COMPANY		2016-02-02	2016-12-12
14 S&P GLOBAL INC		2016-05-24	2016-12-12
16 SCHLUMBERGER LTD COM		2013-10-31	2016-12-12
8 SIMON PROPERTY GROUP INC		2016-03-29	2016-12-12
18 STATE STR CORP COM		2016-09-12	2016-12-12
34 SUNTRUST BANKS INC COM		2016-06-24	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,955		1,596	359
1,611		1,190	421
1,256		1,285	-29
2,079		2,201	-122
1,147		990	157
1,589		1,554	35
1,363		1,503	-140
1,477		1,628	-151
1,445		1,273	172
1,848		1,388	460

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			359
			421
			-29
			-122
			157
			35
			-140
			-151
			172
			460

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 TEXAS INSTRS INC COM		2015-11-16	2016-12-12
9 THERMO ELECTRON CORP COM		2015-08-31	2016-12-12
169 TOTAL FINA S A		2015-08-14	2016-12-12
17 TYSON FDS INC COM		2016-03-29	2016-12-12
20 VANTIV INC CLASS A		2016-05-10	2016-12-12
42 VERIZON COMMUNICATIONS COM		2013-05-16	2016-12-12
15 VISA INC CLASS A SHARES		2013-02-15	2016-12-12
8 VULCAN MATERIALS CO		2016-07-22	2016-12-12
44 WEC ENERGY GROUP INC		2009-08-13	2016-12-12
20 WELLS FARGO & CO NEW COM		2009-08-13	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,642		1,306	336
1,305		1,126	179
8,428		8,347	81
1,058		1,149	-91
1,138		1,118	20
2,179		2,249	-70
1,173		586	587
1,000		1,012	-12
2,532		979	1,553
1,119		555	564

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			336
			179
			81
			-91
			20
			-70
			587
			-12
			1,553
			564

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
16 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-14	2016-12-12
15 INGERSOLL-RAND PLC SEDOL B633030		2016-05-31	2016-12-12
8 ADVANSIX INC - W/I			2017-01-23
27 GENERAL DYNAMICS CORP			2017-01-23
53 HONEYWELL INTL INC			2017-01-23
21 NORTHROP GRUMMAN CORPORATION			2017-01-23
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,974		1,610	364
1,167		1,002	165
204		113	91
4,776		3,796	980
6,213		5,466	747
4,853		3,556	1,297
			8,142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			364
			165
			91
			980
			747
			1,297

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AUDIENCE UNLIMITED INC 227 E WASHINGTON BLVD FORT WAYNE, IN 46807	NONE	PC	GENERAL SUPPORT	1,095
AMERICAN CANCER SOCIETY PO BOX 720366 OKLAHOMA CITY, OK 73172	NONE	PC	GENERAL SUPPORT	1,095
SACRED HEART CATHOLIC CHURCH 1020 CAPITAL AVE FORT WAYNE, IN 46806	NONE	PC	GENERAL SUPPORT	32,841
ST MARY'S CATHOLIC CHURCH DIOCESE OF FT WAYNE-S BEND INC FORT WAYNE, IN 46857	NONE	PC	GENERAL SUPPORT	5,473
SOCIETY OF SAINT VINCENT DEPAUL 1600 S CALHOUN ST FORT WAYNE, IN 468025254	NONE	PC	GENERAL SUPPORT	1,095
FAITH LUTHERAN CHURCH 1700 E PETTIT AVE FORT WAYNE, IN 46806	NONE	PC	GENERAL SUPPORT	10,947
ST THERESE CATHOLIC CHURCH 2304 LOWER HUNTINGTON RD FORT WAYNE, IN 468191239	NONE	PC	GENERAL SUPPORT	32,841
PEACE EVANGELICAL LUTHERAN CH 4900 FAIRFIELD AVE FORT WAYNE, IN 46807	NONE	PC	GENERAL SUPPORT	10,947
FORT WAYNE RESCUE MISSION 301 SUPERIOR ST FORT WAYNE, IN 46855	NONE	PC	GENERAL SUPPORT	1,095
COMMUNITY FDN OF GRTR FT WAYNE 555 E WAYNE ST FORT WAYNE, IN 46802	NONE	PC	GENERAL SUPPORT	2,189
GOODWILL INDUSTRIES OF NE IN 1516 MAGNAVOX WAY FORT WAYNE, IN 46804	NONE	PC	GENERAL SUPPORT	1,095
SOUTHSIDE HIGH SCHOOL FDN 4620 BEAVER AVE FORT WAYNE, IN 46807	NONE	PC	GENERAL SUPPORT	1,095
BILLY GRAHAM EVANGELISTIC ASSOC 1 BILLY GRAHAM PKWY CHARLOTTE, NC 28201	NONE	PC	GENERAL SUPPORT	32,841
FATHER FLANAGANS BOYS HOME PO BOX 145 BOYS TOWN, NE 680100145	NONE	PC	GENERAL SUPPORT	1,095
AMERICAN RED CROSS PO BOX 5508 FORT WAYNE, IN 468955508	NONE	PC	GENERAL SUPPORT	2,189
Total ▶				191,385
3a				

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i>					
CORAL RIDGE PRESBYTERIAN CHURCH 5555 N FEDERAL HWY FT LAUDERDALE, FL 33308		NONE	PC	GENERAL SUPPORT	32,841
LUTHERAN BRAILLE WORKERS P O BOX 5000 YUCAIPA, CA 923991450		NONE	PC	GENERAL SUPPORT	1,095
AMERICAN LUNG ASSOCIATION OF IN 115 W WASHINGTON ST INDIANAPOLIS, IN 46204		NONE	PC	GENERAL SUPPORT	1,095
CHRISTIAN CONGREGATION OF JEHOVAH'S WITNESSES 100 WATCHTOWER DR PATTERSON, NY 12563		NONE	PC	GENERAL SUPPORT	1,000
THE SALVATION ARMY IN DIV HDQ 3100 N MERIDIAN ST INDIANAPOLIS, IN 46208		NONE	PC	GENERAL SUPPORT	1,095
ALLEN COUNTY PUBLIC LIBRARY 900 WEBSTER ST FORT WAYNE, IN 46802		NONE	PC	GENERAL SUPPORT	1,095
RECOVERY HEALTH SERVS 12101 LIMA RD FORT WAYNE, IN 46818		NONE	PC	GENERAL SUPPORT	4,379
THE GIDEONS INTERNATIONAL PO BOX 140800 NASHVILLE, TN 37214		NONE	PC	GENERAL SUPPORT	2,189
TV39 WFWA PBS 2501 E COLISEUM BLVD FORT WAYNE, IN 46805		NONE	PC	GENERAL SUPPORT	7,663
WAYNEDEALE BAPTIST CHURCH 633 LOWER HUNTINGTON RD FORT WAYNE, IN 46819		NONE	PC	GENERAL SUPPORT	1,000
Total ▶					191,385
3a					

TY 2016 Investments - Other Schedule**Name:** FRANK J SMITH FOUNDATION**EIN:** 35-6598564

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED INCOME	AT COST	1,110,912	1,109,104
MUTUAL FUNDS - EQUITY	AT COST	768,354	931,238
MUTUAL FUNDS - ALT INVESTMENTS	AT COST	110,014	101,571

TY 2016 Other Decreases Schedule**Name:** FRANK J SMITH FOUNDATION**EIN:** 35-6598564

Description	Amount
ROUNDING ADJ FOR SALES AND TRANSACTIONS	4

TY 2016 Other Income Schedule**Name:** FRANK J SMITH FOUNDATION**EIN:** 35-6598564**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	1,946	0	

TY 2016 Taxes Schedule**Name:** FRANK J SMITH FOUNDATION**EIN:** 35-6598564

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	438	438		0
FEDERAL ESTIMATES - PRINCIPAL	1,276	0		0
FOREIGN TAXES ON QUALIFIED FOR	477	477		0
FOREIGN TAXES ON NONQUALIFIED	103	103		0