Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning 2/1/2016 **, and ending** 1/31/2017

Name of foundation: BIE FAMILY FOUNDATION # 450025

Number and street (or P O box number if mail is not delivered to street address): BNY Mellon, N.A. - P O Box 185

Room/suite:

City or town, state or province, country, and ZIP or foreign postal code: Pittsburgh PA 15230-0185

Foreign country name | Foreign province/state/county | Foreign postal code

A Employer identification number: 13-7290614

B Telephone number (see instructions): (212) 922-8198

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,980,645

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	33,708	32,676		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	47,344			
	b	Gross sales price for all assets on line 6a 359,570				
	7	Capital gain net income (from Part IV, line 2)		47,344		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	81,052	80,020	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	16,438	9,792		6,575
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	698	698		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	250			250
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,386	10,490	0	6,825
	25	Contributions, gifts, grants paid	100,000			100,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	117,386	10,490	0	106,825
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-36,334			
	b	**Net investment income** (if negative, enter -0-)		69,530		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Section	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	41,783	35,314	35,314
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,648,418	1,617,661	1,945,331
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,690,201	1,652,975	1,980,645
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,690,201	1,652,975	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,690,201	1,652,975	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,690,201	1,652,975	

Part III Analysis of Changes in Net Assets or Fund Balances

	Description	Line	Amount
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,690,201
2	Enter amount from Part I, line 27a	2	-36,334
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,653,867
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	892
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,652,975

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	47,344
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)· If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	141,829	2,039,057	0.069556
2014	58,037	2,069,267	0 028047
2013	154,771	1,995,553	0 077558
2012	82,686	1,861,177	0 044427
2011	81,896	1,884,588	0 043456

2	**Total** of line 1, column (d)	2	0.263044
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052609
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,913,828
5	Multiply line 4 by line 3	5	100,685
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	695
7	Add lines 5 and 6	7	101,380
8	Enter qualifying distributions from Part XII, line 4	8	106,825

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	695
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	695
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	695
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a 3,323		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	3,323
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,628
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 695 **Refunded** ▶	11	1,933

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers. ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (212) 922-8198			
	Located at ▶ P.O BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 ___ , 20 ___ , 20 ___ , 20 ___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 ___ , 20 ___ , 20 ___ , 20 ___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N A P O Box 185 Pittsburgh, PA 15230-0185	TRUSTEE SEE ATTACHED	27,236	NONE	NONE
BNY Mellon, N A. P O Box 185 Pittsburgh, PA 15230-0185	FEE REIMBURSMENT	-10,798	N/A	N/A

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	NONE

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:			
a	Average monthly fair market value of securities		1a	1,872,200
b	Average of monthly cash balances		1b	70,773
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,942,973
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	*Subtract line 2 from line 1d*		3	1,942,973
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)		4	29,145
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,913,828
6	**Minimum investment return.** Enter 5% of line 5		6	95,691

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	95,691
2a	Tax on investment income for 2016 from Part VI, line 5	2a	695		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	695
3	Distributable amount before adjustments Subtract line 2c from line 1			3	94,996
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	94,996
6	*Deduction from distributable amount (see instructions)*			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	94,996

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	106,825
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	106,825
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	695
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	106,130

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					94,996
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				18,806	
b Total for prior years. 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2016:					
a From 2011					
b From 2012					
c From 2013					
d From 2014					
e From 2015					
f **Total** of lines 3a through e		0			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 106,825					
a Applied to 2015, but not more than line 2a				18,806	
b Applied to undistributed income of prior years (Election required—see instructions)					
c Treated as distributions out of corpus (Election required—see instructions)					
d Applied to 2016 distributable amount					88,019
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see instructions					
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					6,977
7 Amounts treated as distributions out of corpus *to satisfy requirements imposed by section* 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9.					
a Excess from 2012					
b Excess from 2013					
c Excess from 2014					
d Excess from 2015					
e Excess from 2016					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

NOT APPLICABLE

b The form in which applications should be submitted and information and materials they should include:

NOT APPLICABLE

c Any submission deadlines:

NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

NOT APPLICABLE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total . . . . ▶ **3a**				100,000
b *Approved for future payment*				
NONE				
Total . . . . ▶ **3b**				NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	33,708	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	47,344	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		81,052	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 81,052

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/23/2017 Title: VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Shawn P. Hanlon	[signature]	5/23/2017		P00965923
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219			Phone no (412) 355-6000	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	SMITHSONIAN ASTROPHYSICAL OBSERVATORY
Street	60 GARDEN ST
City	CAMBRIDGE
State	MA
Zip Code	02138
Foreign Country	
Relationship	NONE
Foundation Status	PC
Purpose of grant/contribution	GENERAL OPERATING PURPOSES
Amount	30,000

Name	MEMORIAL SLOAN-KETTERING CANCER CENTER
Street	1275 YORK AVENUE
City	NEW YORK
State	NY
Zip Code	10065
Foreign Country	
Relationship	NONE
Foundation Status	PC
Purpose of grant/contribution	GENERAL OPERATING PURPOSES
Amount	70,000

Name	
Street	
City	
State	
Zip Code	
Foreign Country	
Relationship	
Foundation Status	
Purpose of grant/contribution	
Amount	

Name	
Street	
City	
State	
Zip Code	
Foreign Country	
Relationship	
Foundation Status	
Purpose of grant/contribution	
Amount	

Name	
Street	
City	
State	
Zip Code	
Foreign Country	
Relationship	
Foundation Status	
Purpose of grant/contribution	
Amount	

Name	
Street	
City	
State	
Zip Code	
Foreign Country	
Relationship	
Foundation Status	
Purpose of grant/contribution	
Amount	

Attachment to Form 990PF Part VIII, Column (b) Title and Average Hours Per Week Devoted To Position

The compensation reported in column (b) paid to BNY Mellon, N.A. as Corporate Trustee is calculated based on market value and current fee schedule. It is not determined on an hourly basis. Corporate Trustee services include administrative responsibilities, grant requirements, recordkeeping, investment management, income collection, statement and accounting services, and regulatory reporting.

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	359,570	312,226	47,344
Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	ALPHABET INC/CA-CL A	02079K305	X				7/17/2015	1/3/2017	809	696				0	113
2	CITIZENS FINANCIAL GROUP	174610105	X				1/19/2016	1/24/2017	4,348	2,674				0	1,674
3	FACEBOOK INC-A	30303M102	X				12/16/2014	1/3/2017	1,398	905				0	493
4	HALLIBURTON CO	406216101	X				12/31/2014	1/6/2017	1,698	1,179				0	519
5	HALLIBURTON CO	406216101	X				2/9/2010	1/6/2017	1,131	589				0	542
6	INTEL CORP	458140100	X				6/23/2016	1/25/2017	2,263	1,979				0	284
7	JOHNSON & JOHNSON	478160104	X				7/9/2015	1/31/2017	6,321	5,545				0	776
8	JOHNSON & JOHNSON	478160104	X				8/24/2015	1/31/2017	2,257	1,845				0	412
9	MARATHON PETROLEUM CO	56585A102	X				11/12/2015	1/30/2017	4,836	5,472				0	-636
10	WILLIAMS COS INC	969457100	X				9/27/2016	1/18/2017	5,352	5,796				0	-444
11	PEPSICO INC	713448108	X				6/1/2012	1/6/2017	2,093	1,351				0	742
12	PEPSICO INC	713448108	X				11/27/2002	1/6/2017	2,825	1,142				0	1,683
13	UNITED TECHNOLOGIES CO	913017109	X				3/24/2009	1/13/2017	10,574	4,175				0	6,399
14	SEE ATTACHED - LONG TER		X				1/1/2014	1/1/2017	174,228	142,390					31,838
15	SEE ATTACHED - SHORT TE		X				1/2/2016	1/1/2017	137,589	136,488					1,101
16	CAPITAL GAIN DIVIDENDS		X						1,848						1,848

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Chantable Purposes
		698	698	0	0
1	FOREIGN TAX WITHHELD	698	698		

Part I, Line 23 (990-PF) - Other Expenses

		250	0	0	250
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	NY STATE FILING FEE	250	0		250

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			1,648,418	1,617,661	1,945,331
1				0	
2	CITIZENS FINANCIAL GROUP INC		0	5,601	9,404
3	COMCAST CORP NEW CL A		0	2,668	6,788
4	CONSTELLATION BRANDS INC		0	8,380	9,585
5	COSTCO WHSL CORP NEW		0	7,082	10,001
6	DISNEY (WALT) COMPANY HOLDING CO		0	4,044	9,958
7	DOMINION RES INC VA NEW		0	6,852	7,628
8	DOW CHEMICAL COMPANY COMMON		0	8,346	10,137
9	DREYFUS YIELD ENH STRA T-Y		0	50,000	47,994
10	DREYFUS ALTERN DIVERS STR-Y		0	251,801	245,489
11	DREYFUS FLOATING RATE INC-Y		0	45,000	43,228
12	DREYFUS PREM LT TRM HI YLD-R		0	25,262	24,180
13	DREYFUS GROWTH & VALUE FDS INC		0	28,000	35,278
14	DREYFUS INTL SMALL CAP-Y		0	25,000	23,832
15	DREYFUS DIVERSIFIED EM MK-Y		0	20,000	20,682
16	DREYFUS/NEWTON INTERN EQTY-Y		0	18,000	15,878
17	ELECTRONIC ARTS		0	9,621	10,012
18	EMPIRE STATE REALTY TRUST -A		0	3,243	3,278
19	EXELON CORP		0	6,670	7,535
20	EXXON MOBIL CORP		0	2,384	7,634
21	FACEBOOK INC		0	6,315	18,245
22	GENERAL ELECTRIC CO		0	7,603	8,019
23	HALLIBURTON COMPANY COM		0	3,238	6,223
24	HARTFORD FINL SVCS GROUP INC COM		0	9,764	12,178
25	HOME DEPOT INC USD 0.05		0	2,320	13,758
26	HONEYWELL INTL INC		0	4,207	13,252
27	ILLINOIS TOOL WORKS INC COM		0	10,451	15,264
28	INCYTE GENOMICS INC		0	4,903	6,182
29	INTEL CORPORATION		0	5,921	6,628
30	INTERCONTINENTALEXCHANGE GRO		0	5,504	12,256
31	JOHNSON & JOHNSON COM		0	7,390	8,607
32	ELI LILLY & CO COM		0	8,896	8,473
33	MARATHON PETROLEUM CORP		0	9,207	9,610
34	MERCK & CO INC		0	8,702	9,918
35	MICROSOFT CORP COM		0	3,053	4,526
36	MICRON TECHNOLOGY		0	13,024	16,877
37	MONDELEZ INTERNATIONAL INC		0	5,718	6,199
38	MONSTER BEVERAGE CORP		0	4,878	8,946
39	NIKE INC CL B		0	8,988	8,676
40	NUCOR CORP		0	6,100	8,133
41	NVIDIA CORP		0	10,315	16,377
42	PALO ALTO NETWORKS INC		0	5,520	5,902
43	PANERA BREAD CO		0	8,210	7,944
44	PEPSICO INC		0	3,935	9,652
45	PFIZER INC COM		0	3,696	6,663
46	PIONEER NAT RES CO		0	6,149	7,209

Part II, Line 13 (990-PF) - Investments - Other

			1,648,418	1,617,661	1,945,331
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
47	PROCTER & GAMBLE CO COM		0	9,374	13,140
48	QUALCOMM INC		0	11,588	9,617
49	SALESFORCE COM INC		0	2,020	6,961
50	SCHLUMBERGER LIMITED COM		0	6,179	10,882
51	SCHWAB CHARLES CORP NEW		0	10,891	14,022
52	DREYFUS SELECT MGER S/C GR-Y		0	23,387	33,597
53	DREYFUS INTENATION STOCK-Y		0	40,000	40,247
54	UNIVERSAL HEALTH SVCS INC CL B		0	7,079	5,744
55	VALERO ENERGY CORP NEW		0	3,054	8,549
56	VERIZON COMMUNICATIONS INC		0	2,026	3,431
57	VISA INC		0	11,675	12,406
58	VOYA FINANCIAL INC		0	9,741	11,262
59	WELLS FARGO & CO NEW		0	6,796	11,266
60	YUM BRANDS INC		0	2,813	8,519
61	ZIMMER HLDGS INC		0	7,603	7,336
62	ALLERGAN PLC		0	6,886	8,974
63	ACCENTURE PLC IRELAND SHS CL A		0	5,494	6,377
64	EATON CORP PLC		0	8,199	12,033
65	INGERSOLL-RAND PLC		0	6,390	15,870
66	INVESCO LTD		0	8,381	11,568
67	NABORS INDUSTRIES LTD COM		0	5,085	8,125
68	BROADCOM LTD		0	9,208	21,945
69	AT&T INC		0	10,236	12,648
70	ABBOTT LABORATORIES		0	5,303	5,848
71	DREYFUS SELECT MGER S/C VL-Y		0	28,000	35,283
72	SYNCHRONY FINANCIAL		0	7,992	10,388
73	TAIWAN SEMICONDUCTOR ADR		0	9,189	10,509
74	3M CO		0	7,269	8,916
75	TIME WARNER INC.		0	12,741	16,464
76	UNITED HEALTH GROUP INC		0	12,167	14,589
77	ADOBE SYS INC COM		0	4,482	12,245
78	AGILENT TECHNOLOGIES INC		0	4,537	5,387
79	ALEXION PHARMACEUTICALS INC		0	7,577	7,449
80	ALLY FINANCIAL INC		0	11,927	11,827
81	ALPHABET INC/CA		0	17,743	25,426
82	AMAZON COM INC		0	5,683	23,881
83	AMERICAN TOWER CORP-CL A		0	7,574	8,280
84	APPLE COMPUTER INC COM		0	830	10,193
85	BNY MELLON CORP BOND-M		0	50,000	50,918
86	BNY MELLON INTL EQUITY INCOME FUND		0	42,000	36,534
87	BNY MELLON MID CAP STK FD CL M		0	80,948	154,852
88	BNY MELLON INTERMEDIATE BOND FD C		0	75,000	71,562
89	BNY MELLON BOND FD CL M		0	149,125	146,224
90	BNY MELLON EMERGING MKTS FD CL M S		0	47,290	55,787
91	BNY MELLON INTL FD CL M SHSS		0	60,000	54,412
92	BANK AMER CORP		0	11,877	16,301

Part II, Line 13 (990-PF) - Investments - Other

			1,648,418	1,617,661	1,945,331
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
93	BIOMARIN PHARMACEUTICAL INC		0	4,690	4,031
94	BRISTOL MYERS SQUIBB CO COM		0	6,120	5,899
95	CSX CORP COM		0	9,669	11,598
96	CABOT OIL & GAS CORP		0	6,248	5,585
97	CELANESE CORP DEL		0	4,440	10,972
98	CELGENE CORP		0	6,638	7,085
99	CHEVRONTEXACO CORP		0	4,536	8,129

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	GAIN ON CY SALES NOT SETTLED AT YEAR END	1	552
2	BASIS CHANGE ON DIVIDENDS TREATED AS RETURN OF CAPITAL	2	340
3	Total	3	892

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						359,570	0	0	312,226	47,344	0	0	0	47,344
1	ALPHABET INC/CA-CL A	02079K305		7/17/2015	1/3/2017	809		0	696	113	0	0	0	113
2	CITIZENS FINANCIAL GROUP	174610105		1/19/2016	1/24/2017	4,348		0	2,674	1,674	0	0	0	1,674
3	FACEBOOK INC-A	30303M102		12/16/2014	1/3/2017	1,398		0	905	493	0	0	0	493
4	HALLIBURTON CO	406216101		12/31/2014	1/6/2017	1,698		0	1,179	519	0	0	0	519
5	HALLIBURTON CO	406216101		2/9/2010	1/6/2017	1,131		0	589	542	0	0	0	542
6	INTEL CORP	458140100		6/23/2016	1/25/2017	2,263		0	1,979	284	0	0	0	284
7	JOHNSON & JOHNSON	478160104		7/9/2015	1/31/2017	6,321		0	5,545	776	0	0	0	776
8	JOHNSON & JOHNSON	478160104		8/24/2015	1/31/2017	2,257		0	1,845	412	0	0	0	412
9	MARATHON PETROLEUM CO	56585A102		11/12/2015	1/30/2017	4,836		0	5,472	-636	0	0	0	-636
10	WILLIAMS COS INC	969457100		9/27/2016	1/18/2017	5,352		0	5,796	-444	0	0	0	-444
11	PEPSICO INC	713448108		6/1/2012	1/6/2017	2,093		0	1,351	742	0	0	0	742
12	PEPSICO INC	713448108		11/27/2002	1/6/2017	2,825		0	1,142	1,683	0	0	0	1,683
13	UNITED TECHNOLOGIES CO	913017109		3/24/2009	1/13/2017	10,574		0	4,175	6,399	0	0	0	6,399
14	SEE ATTACHED - LONG TER			1/1/2014	1/1/2017	174,228			142,390	31,838	0	0	0	31 838
15	SEE ATTACHED - SHORT TE			1/2/2016	1/1/2017	137,589			136,488	1 101	0	0	0	1,101
16	CAPITAL GAIN DIVIDENDS					1,848			0	1,848	0	0	0	1,848

CUSIP	DESCRIPTION	TRADE_DATE	ACQUISITION_DATE	UNITS	SALES_PROCEEDS	FEDERAL_COST	GAIN_LOSS_AMOUNT	STATE_COST	LONG/SHORT
00507V109	ACTIVISION BLIZZARD INC	2/3/2016 0:00	10/13/2015 0:00	20	$657.55	$659.42	-$1.87	$659.42	SHORT
285512109	ELECTRONIC ARTS	2/3/2016 0:00	8/29/2014 0:00	10	$598.94	$377.75	$221.19	$377.75	LONG
00507V109	ACTIVISION BLIZZARD INC	2/4/2016 0:00	10/13/2015 0:00	30	$954.59	$989.12	-$34.53	$989.12	SHORT
00507V109	ACTIVISION BLIZZARD INC	2/4/2016 0:00	10/9/2015 0:00	80	$2,545.58	$2,561.86	-$16.28	$2,561.86	SHORT
285512109	ELECTRONIC ARTS	2/4/2016 0:00	9/15/2014 0:00	50	$2,906.87	$1,826.03	$1,080.84	$1,826.03	LONG
285512109	ELECTRONIC ARTS	2/4/2016 0:00	8/29/2014 0:00	10	$581.37	$377.75	$203.62	$377.75	LONG
594918104	MICROSOFT CORP COM	2/11/2016 0:00	1/5/2016 0:00	10	$489.55	$551.72	-$62.17	$551.72	SHORT
718546104	PHILLIPS 66	2/11/2016 0:00	12/10/2014 0:00	20	$1,453.67	$1,342.09	$111.58	$1,342.09	LONG
718546104	PHILLIPS 66	2/11/2016 0:00	12/11/2014 0:00	20	$1,453.67	$1,354.29	$99.38	$1,354.29	LONG
718546104	PHILLIPS 66	2/11/2016 0:00	11/20/2014 0:00	20	$1,453.67	$1,553.34	-$99.67	$1,553.34	LONG
G1151C101	ACCENTURE PLC IRELAND SHS CL A	2/11/2016 0:00	8/19/2015 0:00	2	$186.78	$205.27	-$18.49	$205.27	SHORT
G1151C101	ACCENTURE PLC IRELAND SHS CL A	2/11/2016 0:00	11/7/2014 0:00	8	$747.14	$667.59	$79.55	$667.59	LONG
30231G102	EXXON MOBIL CORP	3/3/2016 0:00	8/21/2015 0:00	10	$821.25	$739.60	$81.65	$739.60	SHORT
30231G102	EXXON MOBIL CORP	3/3/2016 0:00	7/27/2015 0:00	20	$1,642.51	$1,596.99	$45.52	$1,596.99	SHORT
30231G102	EXXON MOBIL CORP	3/3/2016 0:00	7/22/2015 0:00	20	$1,642.51	$1,646.84	-$4.33	$1,646.84	SHORT
30161N101	EXELON CORP	3/17/2016 0:00	8/19/2015 0:00	110	$3,839.60	$3,759.18	$80.42	$3,759.18	SHORT
30231G102	EXXON MOBIL CORP	3/17/2016 0:00	8/21/2015 0:00	20	$1,682.25	$1,479.19	$203.06	$1,479.19	SHORT
81762P102	SERVICENOW INC	3/17/2016 0:00	8/14/2013 0:00	30	$1,825.61	$1,291.97	$533.64	$1,291.97	LONG
91913Y100	VALERO ENERGY CORP NEW	3/17/2016 0:00	2/11/2016 0:00	30	$1,925.76	$1,641.13	$284.63	$1,641.13	SHORT
427866108	HERSHEY FOODS CORPORATION COM	3/22/2016 0:00	12/18/2014 0:00	25	$2,242.01	$2,582.46	-$340.45	$2,582.46	LONG
427866108	HERSHEY FOODS CORPORATION COM	3/22/2016 0:00	1/7/2015 0:00	9	$807.13	$944.92	-$137.79	$944.92	LONG
81762P102	SERVICENOW INC	3/30/2016 0:00	8/14/2013 0:00	20	$1,226.64	$861.32	$365.32	$861.32	LONG
81762P102	SERVICENOW INC	3/30/2016 0:00	8/9/2013 0:00	25	$1,533.31	$1,068.04	$465.27	$1,068.04	LONG
81762P102	SERVICENOW INC	3/31/2016 0:00	8/9/2013 0:00	45	$2,734.06	$1,922.47	$811.59	$1,922.47	LONG
03524A108	ANHEUSER BUSCH INBEV SPN ADR	4/4/2016 0:00	4/15/2014 0:00	25	$3,148.13	$2,696.97	$451.16	$2,696.97	LONG
261967780	DREYFUS INFL TN ADJ SEC-Y	4/14/2016 0:00	1/6/2011 0:00	3897.116	$49,259.55	$50,000.00	-$740.45	$50,000.00	LONG
163072101	CHEESECAKE FACTORY INC	4/18/2016 0:00	2/19/2015 0:00	24	$1,229.04	$1,189.39	$39.65	$1,189.39	LONG
163072101	CHEESECAKE FACTORY INC	4/19/2016 0:00	12/15/2014 0:00	10	$504.27	$486.52	$17.75	$486.52	LONG
163072101	CHEESECAKE FACTORY INC	4/19/2016 0:00	12/17/2014 0:00	10	$504.27	$487.54	$16.73	$487.54	LONG
163072101	CHEESECAKE FACTORY INC	4/19/2016 0:00	12/16/2014 0:00	9	$453.84	$435.66	$18.18	$435.66	LONG
163072101	CHEESECAKE FACTORY INC	4/19/2016 0:00	2/19/2015 0:00	26	$1,311.09	$1,288.51	$22.58	$1,288.51	LONG
163072101	CHEESECAKE FACTORY INC	4/20/2016 0:00	12/16/2014 0:00	41	$2,068.97	$1,984.65	$84.32	$1,984.65	LONG
G1151C101	ACCENTURE PLC IRELAND SHS CL A	4/20/2016 0:00	11/7/2014 0:00	27	$3,122.80	$2,253.13	$869.67	$2,253.13	LONG
166764100	CHEVRONTEXACO CORP	5/3/2016 0:00	3/3/2016 0:00	22	$2,229.35	$1,909.25	$320.10	$1,909.25	SHORT
723787107	PIONEER NAT RES CO	5/3/2016 0:00	2/19/2015 0:00	13	$2,070.89	$2,082.66	-$11.77	$2,082.66	LONG
594918104	MICROSOFT CORP COM	5/6/2016 0:00	4/20/2016 0:00	40	$1,988.40	$2,245.23	-$256.83	$2,245.23	SHORT
500255104	KOHLS CORP	5/9/2016 0:00	1/7/2016 0:00	100	$4,168.44	$5,091.88	-$923.44	$5,091.88	SHORT
478160104	JOHNSON & JOHNSON COM	5/19/2016 0:00	8/3/2015 0:00	9	$1,010.77	$896.93	$113.84	$896.93	SHORT
478160104	JOHNSON & JOHNSON COM	5/19/2016 0:00	8/4/2015 0:00	8	$898.46	$801.66	$96.80	$801.66	SHORT
032420101	ANACOR PHARMACEUTICALS INC	5/26/2016 0:00	7/15/2015 0:00	32	$3,188.18	$4,280.10	-$1,091.92	$4,280.10	SHORT
126650100	CVS CORP	5/26/2016 0:00	8/25/2015 0:00	50	$4,850.07	$5,168.43	-$318.36	$5,168.43	SHORT
30161N101	EXELON CORP	5/31/2016 0:00	8/20/2015 0:00	50	$1,705.24	$1,706.40	-$1.16	$1,706.40	SHORT
037833100	APPLE COMPUTER INC COM	6/8/2016 0:00	4/6/2006 0:00	31	$3,065.27	$306.33	$2,758.94	$306.33	LONG
037833100	APPLE COMPUTER INC COM	6/8/2016 0:00	3/31/2016 0:00	9	$889.92	$984.58	-$94.66	$984.58	SHORT
037833100	APPLE COMPUTER INC COM	6/8/2016 0:00	3/30/2016 0:00	10	$988.80	$1,095.24	-$106.44	$1,095.24	SHORT
406216101	HALLIBURTON COMPANY COM	6/22/2016 0:00	12/31/2014 0:00	10	$446.54	$392.97	$53.57	$392.97	LONG
406216101	HALLIBURTON COMPANY COM	6/22/2016 0:00	10/10/2014 0:00	40	$1,786.16	$2,210.84	-$424.68	$2,210.84	LONG
594918104	MICROSOFT CORP COM	6/23/2016 0:00	1/7/2016 0:00	80	$4,143.52	$4,232.46	-$88.94	$4,232.46	SHORT
594918104	MICROSOFT CORP COM	6/23/2016 0:00	9/22/2015 0:00	20	$1,035.88	$872.27	$163.61	$872.27	SHORT
594918104	MICROSOFT CORP COM	6/23/2016 0:00	4/20/2016 0:00	10	$517.94	$561.31	-$43.37	$561.31	SHORT
594918104	MICROSOFT CORP COM	6/23/2016 0:00	1/6/2016 0:00	40	$2,071.76	$2,159.75	-$87.99	$2,159.75	SHORT

CUSIP	DESCRIPTION	TRADE_DATE	ACQUISITION_DATE	UNITS	SALES_PROCEEDS	FEDERAL_COST	GAIN_LOSS_AMOUNT	STATE_COST	LONG/SHORT
594918104	MICROSOFT CORP COM	6/23/2016 0:00	1/5/2016 0:00	30	$1,553.82	$1,655.17	-$101 35	$1,655.17	SHORT
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	6/27/2016 0:00	12/9/2015 0.00	10	$555 46	$602.53	-$47.07	$602.53	SHORT
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	6/27/2016 0.00	12/1/2015 0 00	60	$3,332.76	$3,906.25	-$573.49	$3,906 25	SHORT
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	6/30/2016 0:00	12/9/2015 0:00	30	$1,712.04	$1,807.59	-$95.55	$1,807.59	SHORT
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	6/30/2016 0 00	12/14/2015 0 00	40	$2,282 71	$2,377 00	-$94.29	$2,377 00	SHORT
031162100	AMGEN INC	7/8/2016 0:00	10/12/2015 0:00	13	$2,070 79	$1,998.98	$71 81	$1,998 98	SHORT
00724F101	ADOBE SYS INC COM	7/12/2016 0 00	3/30/2016 0.00	10	$975.06	$939.04	$36 02	$939 04	SHORT
79466L302	SALESFORCE COM INC	7/12/2016 0.00	3/30/2016 0:00	10	$821.74	$740.99	$80 75	$740 99	SHORT
64110L106	NETFLIX COM INC	7/19/2016 0:00	1/7/2016 0.00	18	$1,537 24	$2,134 77	-$597.53	$2,134.77	SHORT
64110L106	NETFLIX COM INC	7/19/2016 0:00	1/13/2016 0:00	15	$1,281.04	$1,622.97	-$341.93	$1,622 97	SHORT
64110L106	NETFLIX COM INC	7/19/2016 0 00	1/21/2016 0:00	9	$768 62	$957 73	-$189 11	$957.73	SHORT
416515104	HARTFORD FINL SVCS GROUP INC COM	7/20/2016 0 00	10/20/2014 0:00	60	$2,618.94	$2,188.78	$430 16	$2,188 78	LONG
45866F104	INTERCONTINENTALEXCHANGE GRO	7/20/2016 0 00	1/17/2013 0:00	16	$4,206.21	$2,096 86	$2,109 35	$2,096 86	LONG
949746101	WELLS FARGO & CO NEW	7/20/2016 0:00	9/10/2015 0:00	50	$2,423 35	$2,630 78	-$207.43	$2,630.78	SHORT
697435105	PALO ALTO NETWORKS INC	7/21/2016 0 00	4/21/2015 0 00	3	$380.09	$460.51	-$80.42	$460 51	LONG
697435105	PALO ALTO NETWORKS INC	7/21/2016 0:00	10/27/2015 0:00	12	$1,520 37	$1,902 57	-$382 20	$1,902.57	SHORT
697435105	PALO ALTO NETWORKS INC	7/21/2016 0:00	3/31/2015 0.00	3	$380.09	$441 41	-$61 32	$441.41	LONG
166764100	CHEVRONTEXACO CORP	7/27/2016 0:00	3/3/2016 0:00	18	$1,839.40	$1,562.11	$277.29	$1,562.11	SHORT
166764100	CHEVRONTEXACO CORP	7/27/2016 0 00	3/11/2009 0 00	6	$613.13	$372.84	$240 29	$372.84	LONG
697435105	PALO ALTO NETWORKS INC	8/2/2016 0:00	3/31/2015 0.00	18	$2,280.15	$2,648.46	-$368.31	$2,648.46	LONG
126650100	CVS CORP	8/11/2016 0:00	8/25/2015 0 00	16	$1,552.76	$1,653 90	-$101.14	$1,653.90	SHORT
126650100	CVS CORP	8/11/2016 0:00	9/22/2015 0:00	24	$2,329.14	$2,397.16	-$68.02	$2,397.16	SHORT
20030N101	COMCAST CORP NEW CL A	8/19/2016 0.00	7/19/2016 0.00	30	$1,995 38	$2,013 53	-$18 15	$2,013.53	SHORT
25746U109	DOMINION RES INC VA NEW	8/23/2016 0:00	1/6/2016 0:00	30	$2,277.21	$2,056.49	$220 72	$2,056 49	SHORT
806857108	SCHLUMBERGER LIMITED COM	8/23/2016 0:00	7/22/2015 0:00	10	$817 96	$845 51	-$27.55	$845.51	LONG
806857108	SCHLUMBERGER LIMITED COM	8/23/2016 0:00	5/26/2015 0:00	10	$817.96	$911.77	-$93.81	$911.77	LONG
806857108	SCHLUMBERGER LIMITED COM	8/23/2016 0.00	5/22/2015 0:00	10	$817 96	$920 95	-$102.99	$920.95	LONG
723787107	PIONEER NAT RES CO	8/25/2016 0:00	2/19/2015 0:00	2	$360.50	$320.41	$40.09	$320 41	LONG
723787107	PIONEER NAT RES CO	8/25/2016 0:00	2/17/2015 0 00	9	$1,622.26	$1,415.09	$207 17	$1,415 09	LONG
20030N101	COMCAST CORP NEW CL A	9/2/2016 0:00	4/16/2012 0 00	30	$1,980.84	$890.50	$1,090.34	$890 50	LONG
594918104	MICROSOFT CORP COM	9/2/2016 0:00	9/22/2015 0.00	40	$2,301 24	$1,744 53	$556.71	$1,744 53	SHORT
92343V104	VERIZON COMMUNICATIONS INC	9/2/2016 0:00	9/29/2015 0:00	40	$2,102.41	$1,743.69	$358.72	$1,743.69	SHORT
037833100	APPLE COMPUTER INC COM	9/8/2016 0:00	4/6/2006 0:00	24	$2,535.78	$237.16	$2,298.62	$237.16	LONG
14149Y108	CARDINAL HEALTH INC COM	9/14/2016 0:00	6/5/2014 0:00	30	$2,260.18	$2,135.56	$124 62	$2,135.56	LONG
14149Y108	CARDINAL HEALTH INC COM	9/14/2016 0:00	6/4/2014 0:00	60	$4,520.35	$4,254.49	$265.86	$4,254 49	LONG
717081103	PFIZER INC COM	9/14/2016 0:00	1/8/2015 0:00	60	$2,034 45	$1,950.66	$83.79	$1,950.66	LONG
717081103	PFIZER INC COM	9/14/2016 0 00	6/26/2015 0.00	50	$1,695 37	$1,711.53	-$16 16	$1,711.53	LONG
717081103	PFIZER INC COM	9/14/2016 0:00	10/13/2010 0.00	20	$678 15	$354.63	$323.52	$354 63	LONG
874039100	TAIWAN SEMICONDUCTOR ADR	9/23/2016 0 00	6/30/2016 0.00	50	$1,510.05	$1,312 86	$197 19	$1,312.86	SHORT
25179M103	DEVON ENERGY CORPORATION NEW	9/27/2016 0:00	3/17/2016 0:00	150	$5,728 16	$4,097 76	$1,630 40	$4,097.76	SHORT
00724F101	ADOBE SYS INC COM	9/30/2016 0:00	8/4/2015 0:00	12	$1,296 43	$984.47	$311 96	$984.47	LONG
30303M102	FACEBOOK INC	9/30/2016 0.00	6/2/2015 0 00	10	$1,280.48	$805.86	$474 62	$805.86	LONG
30303M102	FACEBOOK INC	9/30/2016 0 00	5/4/2015 0 00	5	$640.24	$394.51	$245 73	$394.51	LONG
14040H105	CAPITAL ONE FINL CORP COM	10/4/2016 0.00	4/15/2013 0 00	30	$2,190.19	$1,613.82	$576 37	$1,613 82	LONG
14040H105	CAPITAL ONE FINL CORP COM	10/4/2016 0:00	1/22/2014 0:00	10	$730.06	$727.04	$3 02	$727.04	LONG
14040H105	CAPITAL ONE FINL CORP COM	10/5/2016 0.00	4/15/2013 0:00	110	$8,107.43	$5,917 34	$2,190 09	$5,917.34	LONG
00773T101	ADVANSIX INC	10/6/2016 0:00	2/4/2005 0 00	5	$69.91	$25.16	$44 75	$25 16	LONG
00846U101	AGILENT TECHNOLOGIES INC	10/6/2016 0:00	12/9/2015 0 00	30	$1,415 09	$1,238 02	$177 07	$1,238 02	SHORT
438516106	HONEYWELL INTL INC	10/6/2016 0:00	2/4/2005 0:00	13	$1,502.98	$488.34	$1,014.64	$488.34	LONG
478160104	JOHNSON & JOHNSON COM	10/6/2016 0:00	7/9/2015 0.00	4	$474 14	$396.06	$78 08	$396 06	LONG
478160104	JOHNSON & JOHNSON COM	10/6/2016 0:00	8/3/2015 0:00	10	$1,185 35	$996 58	$188.77	$996.58	LONG

CUSIP	DESCRIPTION	TRADE_DATE	ACQUISITION_DATE	UNITS	SALES_PROCEEDS	FEDERAL_COST	GAIN_LOSS_AMOUNT	STATE_COST	LONG/SHORT
717081103	PFIZER INC COM	10/6/2016 0 00	10/13/2010 0·00	10	$335.49	$177 32	$158 17	$177 32	LONG
717081103	PFIZER INC COM	10/6/2016 0 00	10/22/2010 0 00	20	$670 97	$352.00	$318.97	$352.00	LONG
G1151C101	ACCENTURE PLC IRELAND SHS CL A	10/7/2016 0 00	11/7/2014 0.00	21	$2,439.68	$1,752 44	$687 24	$1,752 44	LONG
594918104	MICROSOFT CORP COM	10/13/2016 0 00	9/22/2015 0·00	30	$1,710.52	$1,308 40	$402 12	$1,308 40	LONG
79466L302	SALESFORCE COM INC	10/13/2016 0:00	8/19/2016 0·00	20	$1,414 34	$1,547.45	-$133 11	$1,547.45	SHORT
N6596X109	NXP SEMICONDUCTORS N V	10/13/2016 0 00	7/21/2016 0 00	20	$2,031 25	$1,689 20	$342 05	$1,689 20	SHORT
N6596X109	NXP SEMICONDUCTORS N V	10/13/2016 0:00	7/12/2016 0:00	5	$507.81	$403.35	$104 46	$403 35	SHORT
79466L302	SALESFORCE COM INC	10/14/2016 0 00	6/22/2010 0·00	30	$2,160.03	$705 30	$1,454.73	$705.30	LONG
00846U101	AGILENT TECHNOLOGIES INC	10/18/2016 0.00	12/9/2015 0.00	50	$2,271.59	$2,063.37	$208.22	$2,063.37	SHORT
79466L302	SALESFORCE COM INC	10/18/2016 0·00	6/22/2010 0 00	30	$2,181 15	$705 31	$1,475 84	$705 31	LONG
031162100	AMGEN INC	10/19/2016 0:00	9/16/2013 0:00	25	$4,037.27	$2,920 82	$1,116 45	$2,920.82	LONG
031162100	AMGEN INC	10/19/2016 0.00	10/12/2015 0·00	1	$161.49	$153 77	$7.72	$153.77	LONG
N6596X109	NXP SEMICONDUCTORS N V	10/27/2016 0·00	7/12/2016 0:00	15	$1,499 97	$1,210 05	$289 92	$1,210 05	SHORT
N6596X109	NXP SEMICONDUCTORS N V	10/27/2016 0·00	2/4/2016 0·00	5	$499.99	$380 17	$119.82	$380.17	SHORT
91913Y100	VALERO ENERGY CORP NEW	10/28/2016 0:00	5/3/2016 0:00	30	$1,758 96	$1,749.15	$9.81	$1,749.15	SHORT
N6596X109	NXP SEMICONDUCTORS N V	10/28/2016 0·00	2/4/2016 0·00	40	$3,986 27	$3,041 39	$944 88	$3,041 39	SHORT
N6596X109	NXP SEMICONDUCTORS N V	11/2/2016 0 00	2/4/2016 0·00	40	$3,974.83	$3,041 38	$933 45	$3,041 38	SHORT
91913Y100	VALERO ENERGY CORP NEW	11/3/2016 0 00	6/22/2016 0 00	10	$575 72	$530.00	$45.72	$530.00	SHORT
91913Y100	VALERO ENERGY CORP NEW	11/3/2016 0:00	2/11/2016 0.00	20	$1,151 45	$1,094.09	$57.36	$1,094.09	SHORT
00817Y108	AETNA INC	11/4/2016 0.00	1/13/2016 0.00	59	$6,287.04	$6,231 63	$55 41	$6,231 63	SHORT
458140100	INTEL CORPORATION	11/11/2016 0:00	10/7/2016 0:00	60	$2,066.71	$2,287.10	-$220.39	$2,287.10	SHORT
N6596X109	NXP SEMICONDUCTORS N V	11/11/2016 0.00	2/11/2016 0 00	30	$2,929 55	$1,965 29	$964 26	$1,965 29	SHORT
N6596X109	NXP SEMICONDUCTORS N V	11/11/2016 0.00	2/4/2016 0:00	15	$1,464 78	$1,140.52	$324.26	$1,140 52	SHORT
037833100	APPLE COMPUTER INC COM	11/14/2016 0·00	4/6/2006 0 00	21	$2,216 08	$207 51	$2,008 57	$207.51	LONG
30303M102	FACEBOOK INC	11/14/2016 0·00	5/4/2015 0.00	20	$2,319.15	$1,578.05	$741.10	$1,578.05	LONG
20030N101	COMCAST CORP NEW CL A	11/15/2016 0·00	4/16/2012 0.00	30	$2,009.35	$890 51	$1,118 84	$890 51	LONG
30303M102	FACEBOOK INC	11/16/2016 0:00	12/16/2014 0·00	8	$936.72	$603.09	$333.63	$603 09	LONG
30303M102	FACEBOOK INC	11/16/2016 0 00	5/4/2015 0:00	5	$585 45	$394.51	$190 94	$394.51	LONG
458140100	INTEL CORPORATION	11/16/2016 0.00	6/23/2016 0.00	70	$2,430 78	$2,308.76	$122 02	$2,308 76	SHORT
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	11/28/2016 0 00	9/30/2016 0 00	50	$2,847.46	$2,406 59	$440.87	$2,406.59	SHORT
G6359F103	NABORS INDUSTRIES LTD COM	11/30/2016 0 00	10/28/2016 0 00	100	$1,615.44	$1,208.97	$406 47	$1,208.97	SHORT
02079K305	ALPHABET INC/CA	12/2/2016 0 00	9/23/2016 0.00	1	$762.61	$814.98	-$52.38	$814.98	SHORT
02079K305	ALPHABET INC/CA	12/2/2016 0·00	8/2/2016 0·00	1	$762.61	$797.01	-$34 41	$797 01	SHORT
98850P109	YUM CHINA HOLDINGS INC	12/6/2016 0 00	3/9/2009 0.00	110	$3,075 53	$740 48	$2,335 05	$740 48	LONG
98850P109	YUM CHINA HOLDINGS INC	12/6/2016 0.00	2/1/2013 0·00	20	$559.19	$373.51	$185.68	$373.51	LONG
G6359F103	NABORS INDUSTRIES LTD COM	12/6/2016 0.00	10/28/2016 0 00	30	$513 15	$362 69	$150 46	$362 69	SHORT
G6359F103	NABORS INDUSTRIES LTD COM	12/6/2016 0·00	8/23/2016 0:00	80	$1,368.39	$821.27	$547 12	$821 27	SHORT
02079K305	ALPHABET INC/CA	12/7/2016 0.00	8/2/2016 0·00	1	$777.00	$797.00	-$20.00	$797.00	SHORT
02079K305	ALPHABET INC/CA	12/7/2016 0:00	7/17/2015 0:00	2	$1,554.01	$1,392.29	$161 72	$1,392 29	LONG
26817R108	DYNEGY INC/NEW	12/7/2016 0 00	5/31/2016 0 00	50	$404 63	$939 43	-$534 81	$939 43	SHORT
26817R108	DYNEGY INC/NEW	12/7/2016 0 00	7/27/2016 0 00	10	$80.93	$161.39	-$80.47	$161 39	SHORT
26817R108	DYNEGY INC/NEW	12/7/2016 0.00	6/1/2016 0 00	40	$323 70	$785 29	-$461 59	$785 29	SHORT
26817R108	DYNEGY INC/NEW	12/8/2016 0:00	7/27/2016 0.00	130	$1,044 11	$2,098.11	-$1,054 00	$2,098 11	SHORT
26817R108	DYNEGY INC/NEW	12/8/2016 0.00	3/18/2016 0.00	40	$321 27	$548.24	-$226 97	$548 24	SHORT
26817R108	DYNEGY INC/NEW	12/8/2016 0·00	3/18/2016 0·00	30	$245.11	$411 18	-$166 07	$411.18	SHORT
26817R108	DYNEGY INC/NEW	12/9/2016 0 00	3/17/2016 0 00	200	$1,711 00	$2,720.72	-$1,009.72	$2,720 72	SHORT
060505104	BANK AMER CORP	12/12/2016 0·00	2/25/2015 0 00	170	$3,861 86	$2,805 09	$1,056 77	$2,805 09	LONG
060505104	BANK AMER CORP	12/12/2016 0 00	5/11/2015 0 00	60	$1,363 01	$994 15	$368 86	$994 15	LONG
09062X103	BIOGEN IDEC INC	12/19/2016 0:00	2/4/2014 0:00	19	$5,418 62	$5,844 22	-$425 60	$5,844.22	LONG

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										16,438	0	0
1	BNY Mellon, N.A		P O Box 185	Pittsburgh	PA	15230-0185		TRUSTEE	SEE ATTAC	27,236	NONE	NONE
2	BNY Mellon, N.A		P O Box 185	Pittsburgh	PA	15230-0185		FEE	REIMBURS	-10,798	N/A	N/A

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	3,323
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	
7	Total		7	3,323

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistnbuted at the beginnıng of the 2016 tax year	1	18,806
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	18,806