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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning 2/1/2016, and ending 1/31/2017

Name of foundation ANTHONY F BAUER FOUNDATION			A Employer identification number 06-6361528	
Number and street (or P O box number if mail is not delivered to street address) Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117		Room/suite		B Telephone number (see instructions) (888) 730-4933
City or town, state or province, country, and ZIP or foreign postal code Winston Salem NC 27101		Foreign country name Foreign province/state/county Foreign postal code		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 300,951		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		
C If exemption application is pending, check here <input type="checkbox"/>				
D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>				
E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>				
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	5,488	5,488		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,947			
b	Gross sales price for all assets on line 6a 126,089				
7	Capital gain net income (from Part IV, line 2)		4,947		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	10,435	10,435	0	
13	Compensation of officers, directors, trustees, etc	4,839	3,629		1,210
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	2,854			2,854
b	Accounting fees (attach schedule)	828			828
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	50	50		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	8,571	3,679	0	4,892
25	Contributions, gifts, grants paid	8,000			8,000
26	Total expenses and disbursements. Add lines 24 and 25	16,571	3,679	0	12,892
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-6,136			
b	Net investment income (if negative, enter -0-)		6,756		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing					
	2 Savings and temporary cash investments			11,592	12,378	12,378
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments—U.S. and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule)					
	c Investments—corporate bonds (attach schedule)					
	11 Investments—land, buildings, and equipment basis ▶					
Liabilities	Less accumulated depreciation (attach schedule) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule)			276,053	268,795	288,573
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			287,645	281,173	300,951
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0	0	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds			287,645	281,173	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances (see instructions)			287,645	281,173	
	31 Total liabilities and net assets/fund balances (see instructions)			287,645	281,173	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	287,645
2 Enter amount from Part I, line 27a	2	-6,136
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	281,509
5 Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	336
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	281,173

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	4,947
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	15,091	304,660	0.049534
2014	13,048	319,478	0.040842
2013	11,906	310,600	0.038332
2012	15,493	301,417	0.051401
2011	13,775	301,184	0.045736
2 Total of line 1, column (d)			2 0.225845
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045169
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 289,645
5 Multiply line 4 by line 3			5 13,083
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 68
7 Add lines 5 and 6			7 13,151
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 12,892

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		135
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		135
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		135
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		35
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		35
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		100
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933 Located at ▶ 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ▶ 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

N/A

6b

X

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	4,839		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	
Total. Add lines 1 through 3		▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	280,890
b	Average of monthly cash balances	1b	13,166
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	294,056
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	294,056
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	4,411
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	289,645
6	Minimum investment return. Enter 5% of line 5	6	14,482

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14,482
2a	Tax on investment income for 2016 from Part VI, line 5	2a	135
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	135
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,347
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	14,347
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,347

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,892
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,892
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,892

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				14,347
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			6,636	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>12,892</u>				
a Applied to 2015, but not more than line 2a			6,636	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				6,256
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				8,091
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed**b** The form in which applications should be submitted and information and materials they should include**c** Any submission deadlines**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	8,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

FIDELCO GUIDE DOG FOUNDATION, INC

Street

103 OLD IRON ORE ROAD

City

BLOOMFIELD

State

CT

Zip Code

06002

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

THE HOPE SCHOOL

Street

15 EAST HAZEL LANE

City

SPRINGFIELD

State

IL

Zip Code

62712

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

CT INSTITUTE FOR THE BLIND, INC

Street

120 Holcomb St

City

Hartford

State

CT

Zip Code

06112

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions										5,281		Capital Gains/Losses		125,089		121,142		4,947	
										0		Other sales		0		0		0	
	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
1	ASG GLOBAL ALTERNATIVES	63872T885	X				7/12/2013	8/23/2016	2,394	2,806					-412				
2	ASG GLOBAL ALTERNATIVES	63872T885	X				7/12/2013	1/18/2017	278	310					-32				
3	NEUBERGER BERMAN LONG	6412BR608	X				6/20/2014	4/8/2016	3,127	3,207					-80				
4	NEUBERGER BERMAN LONG	6412BR608	X				8/23/2014	8/23/2016	1,958	1,978					-20				
5	OPPENHEIMER DEVELOPING	683974604	X				1/23/2014	8/23/2016	1,174	1,290					-106				
6	OPPENHEIMER DEVELOPING	683974604	X				2/5/2013	8/23/2016	2,377	2,574					-197				
7	OPPENHEIMER DEVELOPING	683974604	X				7/24/2015	8/23/2016	5,334	5,440					-106				
8	OPPENHEIMER DEVELOPING	683974604	X				1/18/2012	8/23/2016	1,830	1,854					-24				
9	BOSTON P LINGSHIRT RES-IN	7492SK581	X				4/12/2016	8/23/2016	852	833					19				
10	T ROWE PRICE SHORT TERM	77957P105	X				10/11/2013	4/8/2016	1,523	1,542					-19				
11	T ROWE PRICE SHORT TERM	77957P105	X				1/23/2014	4/8/2016	1,955	1,980					-25				
12	T ROWE PRICE SHORT TERM	77957P105	X				6/10/2015	4/8/2016	4,224	4,242					-18				
13	T ROWE PRICE INST FLOAT	77958B402	X				1/23/2014	1/18/2017	835	854					-19				
14	T ROWE PRICE INST FLOAT	77958B402	X				4/24/2014	1/18/2017	1,597	1,621					-24				
15	T ROWE PRICE INST FLOAT	77958B402	X				9/25/2016	1/18/2017	949	940					9				
16	T ROWE PRICE INST FLOAT	77958B402	X				4/12/2016	1/18/2017	133	129					4				
17	T ROWE PRICE REAL ESTAT	779919109	X				12/24/2015	4/8/2016	3,334	3,234					100				
18	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/1/2013	4/8/2016	372	377					-5				
19	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/13/2013	4/8/2016	1,611	1,628					-17				
20	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/13/2013	8/23/2016	902	877					25				
21	SPDR DJ WILSHIRE INTERNA	78463X863	X				1/19/2012	8/23/2016	516	483					33				
22	STERLING CAPITAL STRATG	85917K546	X				1/19/2012	10/3/2016	1,616	1,570					46				
23	STERLING CAPITAL STRATG	85917K546	X				6/20/2014	5/11/2016	3,223	3,517				226	-68				
24	VANGUARD REIT VIPER	922908553	X				7/22/2015	4/8/2016	578	547					31				
25	VANGUARD REIT VIPER	922908553	X				2/13/2013	8/23/2016	1,159	866					36				
26	VANGUARD REIT VIPER	922908553	X				7/22/2015	8/23/2016	1,427	1,252					293				
27	VANGUARD REIT VIPER	922908553	X				9/12/2012	8/23/2016	1,070	774					175				
28	VANGUARD REIT VIPER	922908553	X				9/12/2012	10/3/2016	3,077	2,323					296				
29	VANGUARD REIT VIPER	922908553	X				10/9/2013	10/3/2016	855	636					754				
30	VANGUARD REIT VIPER	922908553	X				9/25/2016	1/18/2017	1,848	1,835					219				
31	JP MORGAN HIGH YIELD FUN	4812C0803	X				4/12/2016	1/18/2017	238	223					13				
32	JP MORGAN HIGH YIELD FUN	4812C0803	X				7/24/2015	1/18/2017	2,719	2,630					15				
33	MFS VALUE FUND-CLASS I #	5529B3694	X				8/25/2016	1/18/2017	759	746					89				
34	MFS VALUE FUND-CLASS I #	5529B3694	X				1/22/2015	4/8/2016	7,624	7,722					13				
35	MET WEST TOTAL RETURN	592905509	X				1/22/2015	8/23/2016	3,177	3,168					-9				
36	MET WEST TOTAL RETURN	592905509	X				8/25/2016	1/18/2017	2,993	2,962					31				
37	INV BALANCE RISK COMM ST	0088Y508	X				7/24/2015	5/11/2016	2,896	3,568				600	-72				
38	AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	1/18/2017	648	660					-12				
39	AMER CENT SMALL CAP GRV	025083320	X				11/13/2015	5/11/2016	517	533				16	0				
40	ARTISAN INTERNATIONAL FI	04314H402	X				4/12/2016	5/11/2016	1,061	1,045					16				
41	ARTISAN INTERNATIONAL FI	04314H402	X				4/12/2016	8/23/2016	1,400	1,359					41				
42	ARTISAN INTERNATIONAL FI	04314H402	X				10/15/2015	8/23/2016	525	525					0				
43	ARTISAN INTERNATIONAL FI	04314H402	X				2/5/2013	8/23/2016	2,508	2,262					246				
44	ARTISAN INTERNATIONAL FI	04314H402	X				11/13/2015	8/23/2016	277	301					-24				
45	ARTISAN MID CAP FUND-INS	04314H600	X				6/20/2014	8/23/2016	882	1,009					-127				
46	ARTISAN MID CAP FUND-INS	04314H600	X				10/27/2015	1/18/2017	213	218					-5				
47	BLACKROCK GL US CREDIT	091936732	X				11/9/2012	8/23/2016	1,381	2,256					-875				
48	CREDIT SUISSE COMM RET	22544R305	X				7/12/2013	8/23/2016	1,145	1,694					-549				
49	CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	8/23/2016	814	1,180					-366				
50	CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	1/18/2017	708	995					-287				
51	CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	1,814	1,837					-223				
52	CREDIT SUISSE COMM RET	22544R305	X				11/13/2015	1/18/2017	536	494					42				
53	CREDIT SUISSE COMM RET	22544R305	X				1/22/2016	1/18/2017	195	165					30				
54	CREDIT SUISSE COMM RET	22544R305	X				11/13/2015	5/11/2016	830	914				85	1				
55	DODGE & COX INTL STOCK	256206103	X				2/5/2013	5/11/2016	740	768				28	0				
56	DODGE & COX INTL STOCK	256206103	X				2/5/2013	8/23/2016	2,766	2,621					145				
57	DODGE & COX INTL STOCK	256206103	X				17/2013	8/23/2016	811	775					36				
58	DODGE & COX INTL STOCK	256206103	X				10/15/2015	8/23/2016	909	922					-13				
59	DODGE & COX INTL STOCK	256206103	X				9/12/2012	8/23/2016	1,171	1,011					160				
60	DODGE & COX INTL STOCK	256206103	X				9/12/2012	1/18/2017	353	297					56				
61	DODGE & COX INTL STOCK	256206103	X				6/10/2015	4/8/2016	279	283					-4				
62	DODGE & COX INCOME FDC	256210105	X				7/24/2015	4/8/2016	7,697	7,766					-69				
63	DODGE & COX INCOME FDC	256210105	X				9/25/2008	4/8/2016	4,565	4,031					534				
64	DODGE & COX INCOME FDC	256210105	X				5/11/2016	8/23/2016	2,396	2,348					50				
65	DODGE & COX INCOME FDC	256210105	X				9/25/2008	8/23/2016	1,943	1,660					283				
66	DODGE & COX INCOME FDC	256210105	X				6/20/2014	8/23/2016	711	720					-9				
67	JP MORGAN MID CAP VALUE	339128100	X				8/28/2015	1/18/2017	1,707	1,813					-106				
68	HARBOR CAPITAL APRECION	411511504	X				12/3/2014	1/18/2017	483	526					-43				
69	JP MORGAN HIGH YIELD FUN	4812C0803	X				12/19/2014	1/18/2017	5,411	5,535					-124				
70	JP MORGAN HIGH YIELD FUN	4812C0803	X				7/24/2015	1/18/2017	494	500					-6				

Part I, Line 16a (990-PF) - Legal Fees

		2,854	0	0	2,854
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTANT/LEGAL FEE	2,854			2,854

Part I, Line 16b (990-PF) - Accounting Fees

		828	0	0	828
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	828			828

Part I, Line 18 (990-PF) - Taxes

		50	50	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	50	50		

Part II, Line 13 (990-PF) - Investments - Other

		276,053	268,795	288,573
	Asset Description	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1			0	
2	DODGE & COX INCOME FD COM 147	0	12,860	14,053
3	HARBOR CAPITAL APRCTON-INST 2012	0	27,489	32,778
4	ARTISAN INTERNATIONAL FD I 662	0	9,344	10,574
5	MERGER FUND-INST #301	0	5,238	5,249
6	ARTISAN MID CAP FUND-INSTL 1333	0	13,308	13,427
7	MET WEST TOTAL RETURN BOND CL I 51	0	24,657	23,988
8	FID ADV EMER MKTS INC- CL I 607	0	17,467	17,848
9	T ROWE PRICE REAL ESTATE FD 122	0	16,137	16,143
10	EATON VANCE GLOBAL MACRO - I	0	8,932	8,856
11	DODGE & COX INT'L STOCK FD 1048	0	8,676	10,440
12	AMER CENT SMALL CAP GRWTH INST 33	0	8,642	8,910
13	MFS VALUE FUND-CLASS I 893	0	26,683	32,608
14	JP MORGAN MID CAP VALUE-I 758	0	11,142	13,233
15	STERLING CAPITAL STRATTON SMALL CA	0	8,129	8,937
16	ASG GLOBAL ALTERNATIVES-Y 1993	0	5,168	5,177
17	VANGUARD REIT VIPER	0	3,265	4,366
18	AQR MANAGED FUTURES STR-I	0	9,663	8,898
19	VANGUARD INFLAT-PROT SECS-ADM 511	0	2,046	2,013
20	JPMORGAN HIGH YIELD FUND SS 3580	0	3,957	4,207
21	BLACKROCK GL L/S CREDIT-INS #1833	0	10,478	10,432
22	SPDR DJ WILSHIRE INTERNATIONAL REA	0	9,264	8,969
23	ROBECO BP LNG/SHRT RES-INS	0	2,168	2,284
24	NEUBERGER BERMAN LONG SH-INS #183	0	5,226	5,319
25	T ROWE PRICE INST FLOAT RATE 170	0	1,681	1,722
26	OPPENHEIMER DEVELOPING MKT-I 799	0	17,175	18,142

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	24
2	PY Return of Capital Adjustment	2	125
3	Cost Basis Adjustment	3	187
4	Total	4	336

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		5,281		0		120,808		0		955		122,097		-334		0		0		Excess of FMV Over Adjusted Basis		0		Gains Minus Excess FMV Over Adj. Basis or Losses		-334	
Description of Property Sold		CUSIP #		Acquisition Method		Date Acquired		Date Sold		Gross Sales Price		Depreciation Allowed		Adjustments		Cost or Other Basis Plus Expense of Sale		Gain or Loss		F.M.V. as of 12/31/69		Adjusted Basis as of 12/31/69		Excess of FMV Over Adjusted Basis		0		Gains Minus Excess FMV Over Adj. Basis or Losses		-334	
1	ASG GLOBAL ALTERNATIVES	638721885				7/12/2013		8/23/2016		2,394						2,806		-412													
2	ASG GLOBAL ALTERNATIVES	638721885				1/18/2017		1/18/2017		278						310		-32													
3	NEUBERGER BERMAN LONG	64128R608				6/20/2014		4/8/2016		3,127						3,207		-80													
4	NEUBERGER BERMAN LONG	64128R608				6/20/2014		8/23/2016		1,956						1,978		-20													
5	OPPENHEIMER DEVELOPING	683974604				12/31/2014		8/23/2016		1,174						1,280		-106													
6	OPPENHEIMER DEVELOPING	683974604				2/5/2013		8/23/2016		2,377						2,574		-197													
7	OPPENHEIMER DEVELOPING	683974604				7/24/2015		8/23/2016		5,334						5,440		-106													
8	OPPENHEIMER DEVELOPING	683974604				11/9/2012		8/23/2016		1,830						1,854		-24													
9	BOSTON P.LINGSHIRE RES-IN	74925K581				4/12/2016		8/23/2016		852						833		19													
10	T. ROWE PRICE SHORT TERM	77957P105				10/11/2013		4/8/2016		1,523						1,542		-19													
11	T. ROWE PRICE SHORT TERM	77957P105				12/31/2014		4/8/2016		1,955						1,980		-25													
12	T. ROWE PRICE SHORT TERM	77957P105				6/10/2015		4/8/2016		4,224						4,242		-18													
13	T. ROWE PRICE INST. FLOAT	77958B402				12/31/2014		1/18/2017		836						854		-19													
14	T. ROWE PRICE INST. FLOAT	77958B402				4/24/2014		1/18/2017		1,597						1,621		-24													
15	T. ROWE PRICE INST. FLOAT	77958B402				8/25/2016		1/18/2017		949						940		9													
16	T. ROWE PRICE INST. FLOAT	77958B402				4/12/2016		1/18/2017		133						129		4													
17	T. ROWE PRICE REAL ESTATE	779919109				12/24/2015		4/8/2016		3,334						3,234		100													
18	SPDR DJ WILSHIRE INTERNA	78463X863				2/12/2013		4/8/2016		372						377		-5													
19	SPDR DJ WILSHIRE INTERNA	78463X863				2/13/2013		4/8/2016		1,611						1,628		-17													
20	SPDR DJ WILSHIRE INTERNA	78463X863				2/13/2013		8/23/2016		902						877		25													
21	SPDR DJ WILSHIRE INTERNA	78463X863				1/19/2012		8/23/2016		516						483		33													
22	SPDR DJ WILSHIRE INTERNA	78463X863				11/9/2012		10/3/2016		1,616						1,570		46													
23	STERLING CAPITAL STRATG	85917K546				6/20/2014		5/11/2016		3,223						3,517		-68													
24	STERLING CAPITAL STRATG	85917K546				6/20/2014		1/18/2017		578						547		31													
25	VANGUARD REIT VIPER	922908553				7/22/2015		4/8/2016		584						548		36													
26	VANGUARD REIT VIPER	922908553				2/13/2013		8/23/2016		1,159						866		293													
27	VANGUARD REIT VIPER	922908553				9/12/2012		8/23/2016		1,427						1,252		175													
28	VANGUARD REIT VIPER	922908553				7/22/2015		10/3/2016		1,070						774		296													
29	VANGUARD REIT VIPER	922908553				9/12/2012		10/3/2016		3,077						2,323		754													
30	VANGUARD REIT VIPER	922908553				10/9/2013		10/3/2016		855						636		219													
31	JPMORGAN HIGH YIELD FUND	4812C0803				8/25/2016		1/18/2017		1,848						1,835		13													
32	JPMORGAN HIGH YIELD FUND	4812C0803				4/12/2016		1/18/2017		238						223		15													
33	MFS VALUE FUND-CLASS I#	552983694				7/24/2015		1/18/2017		2,719						2,630		89													
34	MFS VALUE FUND-CLASS I#	552983694				8/25/2016		1/18/2017		759						746		13													
35	MET WEST TOTAL RETURN F	592905509				12/22/2015		4/8/2016		7,624						7,722		-98													
36	MET WEST TOTAL RETURN F	592905509				12/22/2015		8/23/2016		3,177						3,168		9													
37	INV BALANCE RISK COMM ST	008887508				8/25/2016		1/18/2017		2,993						2,962		31													
38	AMER CENT SMALL CAP GRV	025083320				7/24/2015		5/11/2016		2,896						3,568		-72													
39	AMER CENT SMALL CAP GRV	025083320				7/24/2015		1/18/2017		648						660		-12													
40	ARTISAN INTERNATIONAL FD	04314H402				11/13/2015		5/11/2016		517						533		16													
41	ARTISAN INTERNATIONAL FD	04314H402				4/12/2016		5/11/2016		1,061						1,045		16													
42	ARTISAN INTERNATIONAL FD	04314H402				4/12/2016		8/23/2016		1,400						1,359		41													
43	ARTISAN INTERNATIONAL FD	04314H402				10/15/2015		8/23/2016		525						525		0													
44	ARTISAN INTERNATIONAL FD	04314H402				2/5/2013		8/23/2016		2,508						2,262		246													
45	ARTISAN MID CAP FUND-INS	04314H600				11/13/2015		8/23/2016		277						301		-24													
46	ARTISAN MID CAP FUND-INS	04314H600				6/20/2014		8/23/2016		882						1,009		-127													
47	BLACKROCK GL US CREDIT	091936732				10/27/2015		1/18/2017		213						218		-5													
48	CREDIT SUISSE COMM RET	22544R305				1/19/2012		8/23/2016		1,381						2,256		-875													
49	CREDIT SUISSE COMM RET	22544R305				7/12/2013		8/23/2016		1,145						1,694		-549													
50	CREDIT SUISSE COMM RET	22544R305				12/31/2014		8/23/2016		814						1,180		-366													
51	CREDIT SUISSE COMM RET SU																														

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

[illegible]

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	4,839		
										4,839	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	35
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	
7 Total	7	35

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	6,636
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	6,636

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
100 N Main Street Mac 4001-117
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.