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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation
CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD
% STEVEN AI

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 1559

City or town, state or province, country, and ZIP or foreign postal code
HONOLULU, HI 96806

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)➤\$ 1,998,698

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number
99-6003289

B Telephone number (see instructions)

C If exemption application is pending, check here

D 1. Foreign organizations, check here

D 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0		
	2 Check ➤ ☒ if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	5	5	
	4 Dividends and interest from securities . . .	39,694	39,694	
	5a Gross rents			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10	-43,465		
	b Gross sales price for all assets on line 6a _____ 598,607			
	7 Capital gain net income (from Part IV, line 2) . . .		0	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances _____			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	3,070	70	0	
12 Total. Add lines 1 through 11	-696	39,769	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	5,759	2,880	0
	c Other professional fees (attach schedule)	6,720	6,720	0
	17 Interest			
	18 Taxes (attach schedule) (see instructions) . . .	1,107	607	0
	19 Depreciation (attach schedule) and depletion . . .			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)	13	13	0
	24 Total operating and administrative expenses. Add lines 13 through 23	13,599	10,220	0
	25 Contributions, gifts, grants paid	93,500		93,500
	26 Total expenses and disbursements. Add lines 24 and 25	107,099	10,220	0
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	-107,795		
	b Net investment income (if negative, enter -0-)		29,549	
c Adjusted net income(if negative, enter -0-) . . .			0	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	40,920	53,270	53,270
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,325,201	1,113,503	1,294,977
	c	Investments—corporate bonds (attach schedule)	563,893	495,446	495,462
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	160,000	154,989
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,930,014	1,822,219	1,998,698	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,945,457	1,945,457	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-15,443	-123,238	
	30	Total net assets or fund balances (see instructions)	1,930,014	1,822,219	
31	Total liabilities and net assets/fund balances (see instructions) .	1,930,014	1,822,219		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,930,014
2	Enter amount from Part I, line 27a	2	-107,795
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,822,219
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,822,219

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a SHORT TERM PUBLICLY TRADED SECURITIES	P		
b LONG TERM PUBLICLY TRADED SECURITIES	P		
c CAPITAL GAIN DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 78,469		79,698	-1,229
b 520,138		577,782	-57,644
c			15,408
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-1,229
b			-57,644
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-43,465
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	102,998	2,100,112	0 049044
2014	95,070	2,136,804	0 044492
2013	99,252	2,001,911	0 049579
2012	93,189	1,885,294	0 049429
2011	89,321	1,932,668	0 046216

2 Total of line 1, column (d)	2	0 23876
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047752
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,997,479
5 Multiply line 4 by line 3	5	95,384
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	295
7 Add lines 5 and 6	7	95,679
8 Enter qualifying distributions from Part XII, line 4	8	96,379

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	295
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	295
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	295
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	10,149
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	10,149
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	9,854
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 9,854 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ HI _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► STEVEN AI Telephone no ► (808) 533-3811			

Located at **►** 660 N NIMITZ HWY HONOLULU HI ZIP+4 **►** 96817

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b ☐ Yes ☒ No If "Yes" to 6b, file Form 8870			No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
YI CHUAN CHING PO BOX 1559 HONOLULU, HI 96806	TRUSTEE 1 0	0	0	0
STEVEN C AI PO BOX 1559 HONOLULU, HI 96806	TRUSTEE 1 0	0	0	0
CAROL AI MAY PO BOX 1559 HONOLULU, HI 96806	TRUSTEE 1 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. 

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	0
2	
All other program-related investments. See instructions.	
3 	0
Total. Add lines 1 through 3 	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,980,052
b	Average of monthly cash balances.	1b	47,845
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,027,897
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,027,897
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	30,418
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,997,479
6	Minimum investment return. Enter 5% of line 5.	6	99,874

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	99,874
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	295
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	295
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	99,579
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	99,579
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	99,579

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	96,379
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	96,379
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	295
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	96,084

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				99,579
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			91,117	
b Total for prior years 2014, 2013, 2012		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.		0		
c From 2013.		0		
d From 2014.		0		
e From 2015.		0		
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>96,379</u>				
a Applied to 2015, but not more than line 2a			91,117	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				5,262
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				94,317
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.		0		
c Excess from 2014.		0		
d Excess from 2015.		0		
e Excess from 2016.		0		

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CITY MILL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

TRUSTEES CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD PO BOX 1559
HONOLULU, HI 96806
(808) 533-3811

b The form in which applications should be submitted and information and materials they should include

GRANTS (ATTCH 17), COMPLETED SCHOLARSHIP APPLICATION (ATTCH 18)

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> GRANTS TO ENTITIES SEE ATTACHMENT 16 SEE ATTACHMENT 16 HONOLULU, HI 96813	SEE ATTACHMENT 16		SEE ATTACHMENT 16	93,500
Total			▶ 3a	93,500
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name SUE W ROBISON	Preparer's Signature	Date 2017-08-15	Check if self-employed ☐	PTIN P00560072
Firm's name ▶ KPMG LLP				Firm's EIN ▶
Firm's address ▶ 1003 Bishop Street Suite 2100 Honolulu, HI 96813				Phone no (808) 540-2800

TY 2016 Accounting Fees Schedule**Name:** CHUNG KUN AI FOUNDATION

C/O CITY MILL CO LTD

EIN: 99-6003289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	5,759	2,880		2,879

TY 2016 All Other Program Related Investments Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Category	Amount
NOT APPLICABLE	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: CHUNG KUN AI FOUNDATION

C/O CITY MILL CO LTD

EIN: 99-6003289

TY 2016 Investments Corporate Bonds Schedule**Name:** CHUNG KUN AI FOUNDATION

C/O CITY MILL CO LTD

EIN: 99-6003289

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BNY MELLON INT BOND FUND CL M	495,446	495,462

TY 2016 Investments Corporate Stock Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITY FUNDS - ATTACHMENT 15	738,294	828,496
CORPORATE STOCK- ATTACHMENT 15	375,209	466,481

TY 2016 Investments - Other Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALTERNATIVE INVESTMENTS		160,000	154,989

TY 2016 Other Expenses Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	13	13		0

TY 2016 Other Income Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTION	70	70	0
Tax Refund	3,000	0	0

TY 2016 Other Professional Fees Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	6,720	6,720		0

TY 2016 Taxes Schedule

Name: CHUNG KUN AI FOUNDATION
C/O CITY MILL CO LTD

EIN: 99-6003289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	607	607		0
EXCISE TAXES	500			



BNY MELLON
WEALTH MANAGEMENT

CHUNG KUN AI FOUNDATION-IMA

2016 Tax Information

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Income for 2016

A schedule of your current year sales is shown below. The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (included in Net G/L)". For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (included in Net G/L)".

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor.

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation.

- † - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure
- * - Tax Cost has been adjusted for current year's return of capital
- ø - Section 988 translation gains or losses

Short Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
AGILENT TECHNOLOGIES INC										
	03/21/2016	09/21/2015	30.00	\$1,191.35	\$1,070.34	\$121.01	\$0.00	\$0.00	\$0.00	\$0.00
ALLIANCE DATA SYS CORP										
	05/26/2016	02/22/2016	3.00	\$634.78	\$634.98	\$-0.20	\$0.00	\$0.00	\$0.00	\$0.00
ALLISON TRANSMISSION HOLDING										
	07/25/2016	10/26/2015	30.00	\$886.74	\$859.04 *	\$27.70	\$0.00	\$0.00	\$0.00	\$0.00
	08/26/2016	10/26/2015	20.00	\$555.00	\$570.52 *	\$-15.52	\$0.00	\$0.00	\$0.00	\$0.00
ALLSTATE CORP COM										
	01/26/2016	07/22/2015	10.00	\$582.12	\$680.70	\$-98.58	\$0.00	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

CHUNG KUN AI FOUNDATION-IMA

2016 Tax Information

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Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CUSIP										
AMERISOURCEBERGEN CORP										
				03073E105						
06/28/2016	03/21/2016		10.00	\$750.01	\$872.39	\$-122.38	\$0.00	\$0.00	\$0.00	\$0.00
ANALOG DEVICES INC										
				032654105						
01/26/2016	11/24/2015		10.00	\$513.60	\$602.36	\$-88.76	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTERMEDIATE BOND FD CL M										
				05569M814						
03/18/2016	04/30/2015		22.56	\$284.91	\$287.16	\$-2.25	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	05/29/2015		25.90	\$327.18	\$328.73	\$-1.55	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	06/30/2015		25.05	\$316.38	\$315.63	\$0.75	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	07/31/2015		25.10	\$316.95	\$316.20	\$0.75	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	08/31/2015		25.24	\$318.79	\$316.78	\$2.01	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	09/30/2015		21.90	\$276.62	\$275.97	\$0.65	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	10/30/2015		21.95	\$277.28	\$276.40	\$0.88	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	11/30/2015		22.06	\$278.61	\$276.84	\$1.77	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	12/14/2015		22.20	\$280.39	\$277.28	\$3.11	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	01/29/2016		23.24	\$293.47	\$291.61	\$1.86	\$0.00	\$0.00	\$0.00	\$0.00
03/18/2016	02/29/2016		24.36	\$307.72	\$306.01	\$1.71	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	03/31/2016		21.44	\$267.63	\$271.71	\$-4.08	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	04/29/2016		20.49	\$255.72	\$259.81	\$-4.09	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	05/31/2016		21.55	\$268.97	\$272.63	\$-3.66	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	06/30/2016		22.43	\$279.91	\$285.52	\$-5.61	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	07/29/2016		22.40	\$279.54	\$286.04	\$-6.50	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	08/31/2016		22.49	\$280.70	\$286.55	\$-5.85	\$0.00	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

CHUNG KUN AI FOUNDATION-IMA

2016 Tax Information

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Short Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
BNY MELLON INTERMEDIATE BOND FD CL M										
				05569M814						
12/08/2016	09/30/2016	19.62	\$244.92	\$249.63	\$-4.71	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	10/31/2016	21.72	\$271.12	\$275.02	\$-3.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	11/30/2016	22.08	\$275.50	\$275.50	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BWX TECHNOLOGIES INC										
				05605H100						
11/22/2016	07/25/2016	20.00	\$799.31	\$718.36	\$80.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CELGENE CORP										
				151020104						
02/22/2016	05/21/2015	6.00	\$619.99	\$698.12	\$-78.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CHESAPEAKE ENERGY CORP										
				165167107						
08/26/2016	06/28/2016	200.00	\$1,265.17	\$856.76	\$408.41	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CITIGROUP INC										
				172867424						
10/26/2016	10/26/2015	80.00	\$3,982.65	\$4,252.14	\$-269.49	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/22/2016	02/22/2016	20.00	\$1,112.13	\$780.80	\$321.33	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COCA-COLA ENTERPRISES INC										
				19122T109						
05/26/2016	12/11/2015	20.00	\$1,015.29	\$987.06	\$28.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CROWN HLDGS INC 'PP'										
				228368106						
05/26/2016	11/24/2015	20.00	\$1,033.78	\$1,040.83	\$-7.05	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
DFA EMERG MKTS CORE EQUITY										
				233203421						
12/08/2016	01/22/2016	280.90	\$5,000.00	\$4,036.52	\$963.48	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
DEVON ENERGY CORPORATION NEW										
				25179M103						
05/26/2016	03/21/2016	30.00	\$1,083.94	\$833.88	\$250.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

CHUNG KUN AI FOUNDATION-IMA

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Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CUSIP										
DREYFUS GROWTH & VALUE FDS INC										
	12/08/2016	01/22/2016	202.55	\$7,000.00	\$5,017.07	\$1,982.93	\$0.00	\$0.00	\$0.00	\$0.00
DUKE ENERGY CORP NEW COM										
	09/26/2016	02/22/2016	50.00	\$4,102.81	\$3,753.00	\$349.81	\$0.00	\$0.00	\$0.00	\$0.00
EBAY INC										
	10/26/2016	10/26/2015	20.00	\$577.61	\$562.24	\$15.37	\$0.00	\$0.00	\$0.00	\$0.00
FIDELITY NATL INFORMATION SVCS										
	02/22/2016	12/11/2015	10.00	\$598.31	\$614.69	\$-16.38	\$0.00	\$0.00	\$0.00	\$0.00
FRANKLIN RES INC COM										
	03/21/2016	08/31/2015	20.00	\$778.92	\$820.95	\$-42.03	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL MILLS INC COM										
	07/25/2016	02/22/2016	40.00	\$2,863.40	\$2,342.95	\$520.45	\$0.00	\$0.00	\$0.00	\$0.00
HESS CORP										
	10/26/2016	04/28/2016	10.00	\$490.72	\$612.30	\$-121.58	\$0.00	\$0.00	\$0.00	\$0.00
HOLLYFRONTIER CORP										
	10/26/2016	01/26/2016	20.00	\$504.72	\$688.46	\$-183.74	\$0.00	\$0.00	\$0.00	\$0.00
HOLOGIC INC										
	10/26/2016	10/26/2015	30.00	\$1,098.62	\$1,130.29	\$-31.67	\$0.00	\$0.00	\$0.00	\$0.00
JETBLUE AWYS CORP										
	07/25/2016	11/24/2015	70.00	\$1,208.99	\$1,773.56	\$-563.57	\$0.00	\$0.00	\$0.00	\$0.00
	07/25/2016	12/11/2015	40.00	\$691.43	\$955.58	\$-264.15	\$0.00	\$0.00	\$0.00	\$0.00



CHUNG KUN AI FOUNDATION-IMA

DESCRIPTION

DESCRIPTION	CUSIP				Portion Subject to Ordinary Rates including Section 988 (Included in Net G/L)			Portion Subject to 28% Rates (Included in Net G/L)	
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	
KINDER MORGAN HOLDCO LLC				49458B101					
07/25/2016	05/26/2016	70.00	\$1,460.30	\$1,256.13	\$204.17	\$0.00	\$0.00	\$0.00	
LAMB WESTON HOLDING INC				513272104					
11/22/2016	04/28/2016	26.00	\$827.15	\$821.15	\$6.00	\$0.00	\$0.00	\$0.00	
11/29/2016	04/28/2016	0.67	\$21.95	\$21.06	\$0.89	\$0.00	\$0.00	\$0.00	
LINEAR TECHNOLOGY CORP COM				535678106					
08/26/2016	07/25/2016	10.00	\$583.32	\$484.84	\$98.68	\$0.00	\$0.00	\$0.00	
MARRIOTT INTERNATIONAL INC CL A				571903202					
11/22/2016	02/22/2016	50.00	\$3,872.42	\$3,341.20	\$531.22	\$0.00	\$0.00	\$0.00	
MOSAIC CO/THE				61945C103					
01/26/2016	06/23/2015	80.00	\$1,819.75	\$3,623.51	\$-1,803.76	\$0.00	\$0.00	\$0.00	
NCR CORP				62866E108					
03/21/2016	09/21/2015	10.00	\$283.12	\$270.04	\$13.08	\$0.00	\$0.00	\$0.00	
03/21/2016	09/22/2015	20.00	\$566.23	\$533.76	\$32.47	\$0.00	\$0.00	\$0.00	
03/21/2016	10/26/2015	60.00	\$1,698.69	\$1,568.23	\$130.46	\$0.00	\$0.00	\$0.00	
NISOURCE INC				65473P105					
11/22/2016	08/26/2016	20.00	\$436.97	\$490.27	\$-53.30	\$0.00	\$0.00	\$0.00	
11/22/2016	09/26/2016	40.00	\$873.94	\$1,006.93	\$-132.99	\$0.00	\$0.00	\$0.00	
NUANCE COMMUNICATIONS INC				67020Y100					
12/13/2016	02/22/2016	30.00	\$466.30	\$576.56	\$-110.26	\$0.00	\$0.00	\$0.00	
12/13/2016	03/21/2016	70.00	\$1,088.03	\$1,352.66	\$-264.63	\$0.00	\$0.00	\$0.00	



CHUNG KUN AI FOUNDATION-IMA

DESCRIPTION

DESCRIPTION	CUSIP				Wash sale loss disallowed	Accrued market discount	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds				
OLD DOMINION FIGHT LINES INC				679580100				
01/26/2016	05/21/2015	20.00	\$1,068.67	\$1,432.91	\$-364.24	\$0.00	\$0.00	\$0.00
PACCAR INC				693718108				
01/26/2016	10/26/2015	20.00	\$941.95	\$1,100.94	\$-158.99	\$0.00	\$0.00	\$0.00
PROCTER & GAMBLE CO COM				742718109				
01/26/2016	05/21/2015	20.00	\$1,574.00	\$1,607.57	\$-33.57	\$0.00	\$0.00	\$0.00
01/26/2016	09/21/2015	10.00	\$787.00	\$706.34	\$80.66	\$0.00	\$0.00	\$0.00
SCHLUMBERGER LIMITED COM				806857108				
01/26/2016	05/21/2015	20.00	\$1,310.74	\$1,851.90	\$-541.16	\$0.00	\$0.00	\$0.00
02/22/2016	06/23/2015	20.00	\$1,480.50	\$1,755.32	\$-274.82	\$0.00	\$0.00	\$0.00
SEMPRA ENERGY				816851109				
05/26/2016	03/21/2016	10.00	\$1,045.97	\$1,028.59	\$17.38	\$0.00	\$0.00	\$0.00
SERVICEMASTER GLOBAL HOLDING				81761R109				
01/26/2016	08/31/2015	40.00	\$1,595.30	\$1,412.99	\$182.31	\$0.00	\$0.00	\$0.00
TESORO PETROLEUM CORPORATION COMMON				881809101				
06/28/2016	04/28/2016	10.00	\$724.25	\$865.75	\$-141.50	\$0.00	\$0.00	\$0.00
VERSUM MATERIALS INC				92532W103				
10/19/2016	11/24/2015	0.50	\$11.94	\$11.42	\$0.52	\$0.00	\$0.00	\$0.00
10/26/2016	11/24/2015	2.00	\$44.57	\$45.69	\$-1.12	\$0.00	\$0.00	\$0.00
WEX INC				96208T104				
03/21/2016	12/11/2015	10.00	\$814.80	\$861.04	\$-46.24	\$0.00	\$0.00	\$0.00



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Short Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
98878V103									
ZOETIS INC									
03/21/2016	06/23/2015	20.00	\$830.71	\$1,008.13	\$-177.42	\$0.00	\$0.00	\$0.00	\$0.00
G16962105									
BUNGE LIMITED									
02/22/2016	05/21/2015	10.00	\$501.56	\$918.80	\$-417.24	\$0.00	\$0.00	\$0.00	\$0.00
02/22/2016	05/21/2015	20.00	\$1,005.21	\$1,837.59	\$-832.38	\$0.00	\$0.00	\$0.00	\$0.00
N59465109									
MYLAN NV									
06/28/2016	04/28/2016	10.00	\$423.03	\$430.09	\$-7.06	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	04/28/2016	40.00	\$1,530.70	\$1,720.36	\$-189.66	\$0.00	\$0.00	\$0.00	\$0.00
V7780T103									
ROYAL CARIBBEAN CRUISES LTD									
09/26/2016	05/26/2016	30.00	\$2,135.64	\$2,250.20	\$-114.56	\$0.00	\$0.00	\$0.00	\$0.00
Total Short Term Gain/Loss:			\$78,469.41	\$79,698.68	\$-1,229.27	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds		
ABBOTT LABORATORIES					002824100	
09/26/2016	04/10/2014	50.00	\$2,082.56	\$1,888.48	\$194.08	\$0.00
09/26/2016	09/21/2015	20.00	\$833.02	\$865.24	\$-32.22	\$0.00
09/26/2016	09/22/2015	30.00	\$1,249.53	\$1,279.06	\$-29.53	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP				Wash sale loss disallowed	Accrued market discount	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds				
ADVANSIX INC				00773T101				
11/03/2016	04/10/2014	0.40	\$6.35	\$4.97	\$1.38	\$0.00	\$0.00	\$0.00
ALTRIA GROUP INC				02209S103				
06/28/2016	04/10/2014	10.00	\$673.67	\$385.11	\$288.56	\$0.00	\$0.00	\$0.00
ANTHEM INC				036752103				
01/26/2016	04/10/2014	14.00	\$1,937.79	\$1,360.49	\$577.30	\$0.00	\$0.00	\$0.00
11/22/2016	04/10/2014	17.00	\$2,383.46	\$1,652.03	\$731.43	\$0.00	\$0.00	\$0.00
APPLE COMPUTER INC COM				037833100				
06/28/2016	02/18/2015	14.00	\$1,295.28	\$1,792.15	\$-496.87	\$0.00	\$0.00	\$0.00
06/28/2016	04/23/2015	8.00	\$740.16	\$1,039.24	\$-299.08	\$0.00	\$0.00	\$0.00
06/28/2016	05/21/2015	8.00	\$740.16	\$1,051.04	\$-310.88	\$0.00	\$0.00	\$0.00
08/26/2016	02/18/2015	9.00	\$960.18	\$1,152.10	\$-191.92	\$0.00	\$0.00	\$0.00
BNY MELLON CORP BOND-M				05569M368				
03/18/2016	07/02/2014	791.14	\$10,000.00	\$10,181.96	\$-181.96	\$0.00	\$0.00	\$0.00
BNY MELLON FOCUSED EQUITY OPP-M				05569M475				
03/18/2016	09/29/2014	9,521.06	\$129,105.59	\$169,189.23	\$-40,083.64	\$0.00	\$0.00	\$0.00
03/18/2016	01/12/2015	139.71	\$1,894.41	\$2,077.43	\$-183.02	\$0.00	\$0.00	\$0.00
12/08/2016	03/18/2013	682.36	\$9,546.28	\$9,757.82	\$-211.54	\$0.00	\$0.00	\$0.00



CHUNG KUN AI FOUNDATION-IMA

DESCRIPTION

DESCRIPTION	CUSIP				Wash sale loss disallowed	Accrued market discount	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds				
BNY MELLON FOCUSED EQUITY OPP-M								
				05569M475				
12/08/2016	01/12/2015	532.79	\$7,453.72	\$7,922.57	\$-468.85	\$0.00	\$0.00	\$0.00
BNY MELLON MID CAP STK FD CL M								
				05569M509				
12/08/2016	01/12/2015	630.12	\$10,000.00	\$9,300.56	\$699.44	\$0.00	\$0.00	\$0.00
BNY MELLON INTERMEDIATE BOND FD CL M								
				05569M814				
03/18/2016	03/08/2006	114.50	\$1,446.07	\$1,388.82	\$57.25	\$0.00	\$0.00	\$0.00
03/18/2016	03/31/2006	42.96	\$542.57	\$519.37	\$23.20	\$0.00	\$0.00	\$0.00
03/18/2016	04/28/2006	41.34	\$522.11	\$498.14	\$23.97	\$0.00	\$0.00	\$0.00
03/18/2016	05/15/2006	557.68	\$7,043.47	\$6,714.44	\$329.03	\$0.00	\$0.00	\$0.00
03/18/2016	07/31/2006	94.67	\$1,195.67	\$1,140.76	\$54.91	\$0.00	\$0.00	\$0.00
03/18/2016	01/31/2007	102.56	\$1,295.32	\$1,241.99	\$53.33	\$0.00	\$0.00	\$0.00
03/18/2016	05/31/2007	109.01	\$1,376.78	\$1,316.83	\$59.95	\$0.00	\$0.00	\$0.00
03/18/2016	07/31/2007	107.55	\$1,358.33	\$1,300.25	\$58.08	\$0.00	\$0.00	\$0.00
03/18/2016	09/30/2008	153.71	\$1,941.37	\$1,864.51	\$76.86	\$0.00	\$0.00	\$0.00
12/08/2016	05/15/2006	1,648.72	\$20,576.00	\$19,850.56	\$725.44	\$0.00	\$0.00	\$0.00
BNY MELLON BOND FD CL M								
				05569M830				
03/18/2016	10/02/2014	1,550.39	\$20,000.00	\$20,031.01	\$-31.01	\$0.00	\$0.00	\$0.00



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DESCRIPTION	CUSIP							Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed		
BNY MELLON EMERGING MKTS FD CL M SHS 05569M855									
01/22/2016	06/04/2009	6,265.66	\$43,984.96	\$50,000.00	\$-6,015.04	\$0.00	\$0.00	\$0.00	\$0.00
01/22/2016	10/08/2009	827.59	\$5,809.66	\$8,077.25	\$-2,267.59	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTL FD CL M SHSS 05569M871									
03/18/2016	09/29/2014	1,104.97	\$12,000.00	\$13,624.31	\$-1,624.31	\$0.00	\$0.00	\$0.00	\$0.00
07/05/2016	09/29/2014	1,908.40	\$20,000.00	\$23,530.54	\$-3,530.54	\$0.00	\$0.00	\$0.00	\$0.00
BED BATH & BEYOND INC 075886100									
04/28/2016	04/10/2014	20.00	\$970.46	\$1,278.46	\$-308.00	\$0.00	\$0.00	\$0.00	\$0.00
04/28/2016	05/28/2014	30.00	\$1,455.68	\$1,832.15	\$-376.47	\$0.00	\$0.00	\$0.00	\$0.00
BERKSHIRE HATHAWAY INC DEL CL B 084670702									
03/21/2016	04/10/2014	36.00	\$5,116.64	\$4,464.65	\$651.99	\$0.00	\$0.00	\$0.00	\$0.00
BIOGEN IDEC INC 09062X103									
06/28/2016	07/24/2014	4.00	\$907.86	\$1,349.57	\$-441.71	\$0.00	\$0.00	\$0.00	\$0.00
CAMERON INTL CORP 13342B105									
02/22/2016	02/18/2015	30.00	\$2,013.40	\$1,453.63	\$559.77	\$0.00	\$0.00	\$0.00	\$0.00
CITIGROUP INC 172867424									
10/26/2016	09/21/2015	10.00	\$497.83	\$507.10	\$-9.27	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	09/22/2015	10.00	\$497.83	\$500.86	\$-3.03	\$0.00	\$0.00	\$0.00	\$0.00



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DESCRIPTION	CUSIP					Portion Subject to Ordinary Rates including Section 998 (Included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed
CITIGROUP INC			172987424				
11/22/2016	04/10/2014	20.00	\$1,112.13	\$939.76	\$172.37	\$0.00	\$0.00
COMCAST CORP NEW CL A			20030N101				
05/26/2016	04/10/2014	70.00	\$4,357.72	\$3,477.46	\$880.26	\$0.00	\$0.00
DST SYS INC DEL			233326107				
02/22/2016	04/10/2014	10.00	\$1,057.60	\$952.28	\$105.32	\$0.00	\$0.00
DENTSPLY SIRONA INC			24908P109				
06/28/2016	03/24/2015	10.00	\$600.29	\$518.83	\$81.46	\$0.00	\$0.00
06/28/2016	06/23/2015	20.00	\$1,200.57	\$1,060.98	\$139.59	\$0.00	\$0.00
07/25/2016	03/24/2015	10.00	\$623.93	\$518.82	\$105.11	\$0.00	\$0.00
DOLLAR GENERAL CORP			266677105				
02/22/2016	01/12/2015	10.00	\$722.65	\$675.18	\$47.47	\$0.00	\$0.00
04/28/2016	01/12/2015	10.00	\$813.65	\$675.18	\$138.47	\$0.00	\$0.00
DREYFUS INTL SMALL CAP-Y			26201F777				
07/05/2016	04/14/2015	37.72	\$464.39	\$516.83	\$-52.44	\$0.00	\$0.00
07/05/2016	05/26/2015	1,424.50	\$17,535.61	\$20,000.00	\$-2,464.39	\$0.00	\$0.00
DREYFUS DIVERSIFIED EM MK-Y			26203E646				
01/22/2016	07/02/2014	854.66	\$13,349.80	\$18,879.47	\$-5,529.67	\$0.00	\$0.00



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DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCUMULATED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
DREYFUS/NEWTON INTERN EQTY-Y										
	07/05/2016	09/29/2014	73.04	\$1,300.09	\$1,490.00	\$-189.91	\$0.00	\$0.00	\$0.00	\$0.00
	07/05/2016	05/26/2015	488.76	\$8,699.91	\$10,000.00	\$-1,300.09	\$0.00	\$0.00	\$0.00	\$0.00
ELECTRONIC ARTS										
	08/26/2016	02/18/2015	60.00	\$4,836.54	\$3,447.31	\$1,389.23	\$0.00	\$0.00	\$0.00	\$0.00
FRANKLIN RES INC COM										
	03/21/2016	04/10/2014	60.00	\$2,336.75	\$3,196.11	\$-859.36	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL DYNAMICS CORPORATION COM										
	05/26/2016	01/12/2015	30.00	\$4,284.15	\$4,139.66	\$144.49	\$0.00	\$0.00	\$0.00	\$0.00
HCA HOLDINGS INC										
	10/26/2016	09/23/2015	40.00	\$3,163.29	\$3,368.54	\$-205.25	\$0.00	\$0.00	\$0.00	\$0.00
HOLOGIC INC										
	10/26/2016	09/21/2015	3.00	\$109.86	\$125.29	\$-15.43	\$0.00	\$0.00	\$0.00	\$0.00
	10/26/2016	09/22/2015	7.00	\$256.35	\$289.72	\$-33.37	\$0.00	\$0.00	\$0.00	\$0.00
HOST MARRIOTT CORP NEW										
	01/26/2016	09/16/2014	90.00	\$1,241.15	\$1,986.48	\$-745.33	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	09/17/2014	20.00	\$275.81	\$442.02	\$-166.21	\$0.00	\$0.00	\$0.00	\$0.00
	08/26/2016	09/16/2014	30.00	\$535.58	\$662.16	\$-126.58	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HOST MARRIOTT CORP NEW										
	44107P104	08/26/2016	30.00	\$535.58	\$638.05	\$-100.47	\$0.00	\$0.00	\$0.00	\$0.00
INTEL CORPORATION										
	458140100	09/26/2016	60.00	\$2,201.61	\$1,622.88	\$578.73	\$0.00	\$0.00	\$0.00	\$0.00
INTUIT INC COM										
	461202103	01/26/2016	20.00	\$1,844.60	\$1,022.94	\$821.66	\$0.00	\$0.00	\$0.00	\$0.00
KROGER COMPANY COMMON										
	501044101	08/28/2016	10.00	\$360.15	\$223.21	\$136.94	\$0.00	\$0.00	\$0.00	\$0.00
LOWES COMPANIES INC COM										
	548661107	01/26/2016	20.00	\$1,397.50	\$953.88	\$443.62	\$0.00	\$0.00	\$0.00	\$0.00
		04/28/2016	20.00	\$1,519.30	\$953.87	\$565.43	\$0.00	\$0.00	\$0.00	\$0.00
MARATHON PETROLEUM CORP										
	56595A102	06/28/2016	70.00	\$2,401.04	\$3,023.58	\$-622.54	\$0.00	\$0.00	\$0.00	\$0.00
METTLER-TOLEDO INTL INC										
	592688105	04/28/2016	10.00	\$3,616.88	\$2,322.18	\$1,294.70	\$0.00	\$0.00	\$0.00	\$0.00
NEXTERA ENERGY INC										
	65339F101	02/22/2016	22.00	\$2,531.32	\$1,200.64	\$1,330.68	\$0.00	\$0.00	\$0.00	\$0.00
		02/22/2016	19.00	\$2,186.14	\$1,996.29	\$189.85	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
CUSIP									
737464107									
POST PPTYS INC COM									
05/26/2016	03/24/2015	10.00	\$600.65	\$583.27	\$17.38	\$0.00	\$0.00	\$0.00	\$0.00
74144T108									
PRICE T ROWE GROUP INC									
11/22/2016	01/20/2012	10.00	\$733.92	\$600.63	\$133.29	\$0.00	\$0.00	\$0.00	\$0.00
742718109									
PROCTER & GAMBLE CO COM									
01/26/2016	04/10/2014	20.00	\$1,574.00	\$1,637.39	\$-63.39	\$0.00	\$0.00	\$0.00	\$0.00
773803109									
ROCKWELL INTL CORP NEW									
03/21/2016	04/10/2014	6.00	\$682.78	\$744.59	\$-61.81	\$0.00	\$0.00	\$0.00	\$0.00
03/21/2016	01/12/2015	8.00	\$910.37	\$849.62	\$60.75	\$0.00	\$0.00	\$0.00	\$0.00
806857108									
SCHLUMBERGER LIMITED COM									
07/25/2016	02/15/2013	10.00	\$801.85	\$801.71	\$0.14	\$0.00	\$0.00	\$0.00	\$0.00
07/25/2016	06/23/2015	10.00	\$801.85	\$877.66	\$-75.81	\$0.00	\$0.00	\$0.00	\$0.00
844741108									
SOUTHWEST AIRLINES CO									
11/22/2016	05/28/2014	110.00	\$5,176.86	\$2,907.97	\$2,268.89	\$0.00	\$0.00	\$0.00	\$0.00
86271F529									
DREYFUS SELECT MGER S/C GR-Y									
12/08/2016	09/21/2015	84.32	\$2,000.00	\$2,061.55	\$-61.55	\$0.00	\$0.00	\$0.00	\$0.00
86271F537									
DREYFUS INTENATION STOCK-Y									
07/05/2016	05/26/2015	684.46	\$10,000.00	\$10,807.67	\$-807.67	\$0.00	\$0.00	\$0.00	\$0.00



CHUNG KUN AI FOUNDATION-IMA

DESCRIPTION

DESCRIPTION	CUSIP				Wash sale loss disallowed	Accrued market discount	Net Gain/Loss	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds					
DREYFUS SELECT MGR S/C VL-Y									
01/22/2016	04/03/2012	595.90	\$10,452.02	\$11,734.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/22/2016	01/12/2015	1,000.46	\$17,547.98	\$22,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/08/2016	04/03/2012	371.59	\$9,000.00	\$7,317.59	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TARGET CORP									
09/26/2016	07/22/2015	50.00	\$3,367.19	\$4,141.75	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/13/2016	05/21/2015	10.00	\$776.63	\$796.41	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TAUBMAN CTRS INC COM									
01/26/2016	09/18/2014	20.00	\$1,431.07	\$1,476.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
02/22/2016	09/16/2014	17.00	\$1,173.63	\$1,252.51	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
02/22/2016	09/16/2014	3.00	\$209.19	\$221.03	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TESORO PETROLEUM CORPORATION COMMON									
01/26/2016	12/15/2014	10.00	\$863.24	\$762.28	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/26/2016	10/17/2014	20.00	\$1,637.00	\$1,202.09	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/26/2016	12/15/2014	7.00	\$572.95	\$533.59	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TWENTY FIRST CENTURY FOX INC									
04/28/2016	01/12/2015	90.00	\$2,776.67	\$3,186.25	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)			Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	
TWENTY FIRST CENTURY FOX INC								
			90130A101					
10/26/2016	01/12/2015	40.00	\$1,038.68	\$1,416.11	\$-377.43	\$0.00	\$0.00	\$0.00
UNION PACIFIC CORP								
			907818108					
02/22/2016	01/12/2015	48.00	\$3,891.73	\$5,464.20	\$-1,572.47	\$0.00	\$0.00	\$0.00
VERISIGN INC								
			92343E102					
03/21/2016	02/18/2015	10.00	\$886.26	\$627.16	\$259.10	\$0.00	\$0.00	\$0.00
VISA INC								
			92826C839					
07/25/2016	04/24/2014	50.00	\$3,941.40	\$2,612.35	\$1,329.05	\$0.00	\$0.00	\$0.00
08/26/2016	04/24/2014	50.00	\$4,022.35	\$2,612.34	\$1,410.01	\$0.00	\$0.00	\$0.00
WADDELL & REED FINL INC CL A								
			930059100					
01/26/2016	05/28/2014	40.00	\$1,036.42	\$2,460.51	\$-1,424.09	\$0.00	\$0.00	\$0.00
WYNDHAM WORLDWIDE CORP								
			98310W108					
07/25/2016	04/10/2014	50.00	\$3,847.71	\$3,610.90	\$236.81	\$0.00	\$0.00	\$0.00
ACCENTURE PLC IRELAND SHS CL A								
			G1151C101					
04/28/2016	04/17/2013	40.00	\$4,599.77	\$3,075.43	\$1,524.34	\$0.00	\$0.00	\$0.00
05/26/2016	04/17/2013	10.00	\$1,180.88	\$768.86	\$412.02	\$0.00	\$0.00	\$0.00
BUNGE LIMITED								
			G16962105					
02/22/2016	01/12/2015	10.00	\$502.61	\$890.57	\$-387.96	\$0.00	\$0.00	\$0.00



CHUNG KUN AI FOUNDATION-IMA

Long Term Transactions

DESCRIPTION	CUSIP					Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis			Net Gain/Loss	Accrued market discount
LYONDELLBASELL INDU-CL A-W1									
N53745100									
08/26/2016	11/24/2014	10.00	\$791.21	\$905.30	\$-114.09	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	12/15/2014	20.00	\$1,582.41	\$1,426.31	\$156.10	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	12/15/2014	10.00	\$800.57	\$713.15	\$87.42	\$0.00	\$0.00	\$0.00	\$0.00
Total Long Term Gain/Loss:			\$520,137.96	\$577,781.81	\$-57,643.85	\$0.00	\$0.00	\$0.00	\$0.00

Description	Shares	Market Value 12/31/2016	Book Value 12/31/2016
CRA (BNY MELLON, N A , MEMBER FDIC)	37,898 61	\$ 37,898 61	\$ 37,898 61
CASH & CASH EQUIVALENTS-INCOME	397,190 84	\$ 397,190 84	\$ 397,190 84
CASH & CASH EQUIVALENTS	-397,190 84	\$ (397,190 84)	\$ (397,190 84)

BONDS

BNY MELLON CORPORATE BOND FUND	8,532 40	\$ 108,532 09	\$ 106,620 14
BNY MELLON INTERMEDIATE BOND FUND	10,723 57	\$ 133,830 15	\$ 129,091 50
BNY MELLON BOND FUND	20,103 26	\$ 253,099 99	\$ 259,734 06
		\$ 495,462 24	\$ 495,445 70

STOCKS

AT&T INC	210 00	\$ 8,931 30	\$ 8,133 60
ABBVIE INC	20 00	\$ 1,252 40	\$ 1,284 72
ACTIVISION BLIZZARD INC	100 00	\$ 3,611 00	\$ 3,167 71
AFFILIATED MANAGERS GROUP INC	17 00	\$ 2,470 10	\$ 3,274 10
AGILENT TECHNOLOGIES INC	60 00	\$ 2,733 60	\$ 2,109 65
AIR PRODUCTS & CHEMICALS INC	5 00	\$ 719 10	\$ 641 12
ALPHABET, INC	10 00	\$ 7,718 20	\$ 5,845 27
ALPHABET, INC	10 00	\$ 7,924 50	\$ 5,910 93
ALTRIA GROUP INC	100 00	\$ 6,762 00	\$ 3,851 05
AMAZON COM INC	8 00	\$ 5,998 96	\$ 5,482 67
AMERICAN EXPRESS COMPANY	70 00	\$ 5,185 60	\$ 4,198 26
AMERIPRISE FINANCIAL INC	40 00	\$ 4,437 60	\$ 3,700 19
AMGEN INC	40 00	\$ 5,848 40	\$ 4,748 18
APPLE INC	118 00	\$ 13,666 76	\$ 4,302 53
APPLIED MATERIALS INC	110 00	\$ 3,549 70	\$ 3,292 00
BANK OF AMERICA CORPORATION	430 00	\$ 9,503 00	\$ 4,899 52
BAXTER INTERNATIONAL INC	70 00	\$ 3,103 80	\$ 3,012 04
BERKSHIRE HATHAWAY INC DEL CL B	14 00	\$ 2,281 72	\$ 1,736 25
BLUE BUFFALO PET PRODUCTS, INC	40 00	\$ 961 60	\$ 932 69
THE BOEING COMPANY	37 00	\$ 5,760 16	\$ 5,393 76
BRUNSWICK CORP	10 00	\$ 545 40	\$ 439 23
C B S CORP CLASS B	70 00	\$ 4,453 40	\$ 3,599 43
CIGNA CORP	20 00	\$ 2,667 80	\$ 1,797 19
CVS HEALTH CORPORATION	70 00	\$ 5,523 70	\$ 3,993 21
CAMPBELL SOUP CO	10 00	\$ 604 70	\$ 546 69
CARNIVAL CORP	70 00	\$ 3,644 20	\$ 3,500 64
CELANESE CORP SERIES A	50 00	\$ 3,937 00	\$ 3,267 00
CENTURYTEL INC	110 00	\$ 2,615 80	\$ 3,894 56
CHARLES RIV LABORATORIES INTL INC	10 00	\$ 761 90	\$ 668 75
CHEVRON CORPORATION	24 00	\$ 2,824 80	\$ 2,460 55
CHURCH & DWIGHT INC	20 00	\$ 883 80	\$ 899 10
CISCO SYSTEMS INC	90 00	\$ 2,719 80	\$ 2,396 92
CITRIX SYS INC	50 00	\$ 4,465 50	\$ 3,843 09
CONAGRA INC	80 00	\$ 3,164 00	\$ 2,738 05
CROWN HLDGS INC	10 00	\$ 525 70	\$ 520 42
D R HORTON INC	20 00	\$ 546 60	\$ 545 45
DANAHER CORP	50 00	\$ 3,892 00	\$ 3,911 04
DARDEN RESTAURANTS INC	50 00	\$ 3,636 00	\$ 3,338 08
DELTA AIR LINES INC	80 00	\$ 3,935 20	\$ 3,675 22
THE WALT DISNEY COMPANY	65 00	\$ 6,774 30	\$ 2,979 61
DISCOVER FINANCIAL SERVICES	60 00	\$ 4,325 40	\$ 3,055 21
DOW CHEMICAL CO	30 00	\$ 1,716 60	\$ 1,467 94
EBAY INC	130 00	\$ 3,859 70	\$ 3,283 08
EQUITY RESIDENTIAL	50 00	\$ 3,218 00	\$ 3,436 42
EXPRESS SCRIPTS HOLDINGS, INC	50 00	\$ 3,439 50	\$ 4,053 10
EXXON MOBIL CORP	129 00	\$ 11,643 54	\$ 1,778 71
FACEBOOK INC	52 00	\$ 5,982 60	\$ 5,516 47

Description	Shares	Market Value 12/31/2016	Book Value 12/31/2016
FISERV INC	13 00	\$ 1,381 64	\$ 1,305 48
FIRSTENERGY CORP	110 00	\$ 3,406 70	\$ 3,454 13
GENERAL ELECTRIC COMPANY	310 00	\$ 9,796 00	\$ 7,639 91
GENERAL GROWTH PROPERTIES	140 00	\$ 3,497 20	\$ 3,746 38
GILEAD SCIENCES INC	67 00	\$ 4,797 87	\$ 4,943 75
GREAT PLAINS ENERGY INC	100 00	\$ 2,735 00	\$ 3,056 01
H D SUPPLY HOLDINGS, INC	50 00	\$ 2,125 50	\$ 1,603 90
H P INC	240 00	\$ 3,561 60	\$ 3,613 36
HOLOGIC INC	60 00	\$ 2,407 20	\$ 2,147 83
HOME DEPOT INC	55 00	\$ 7,374 40	\$ 4,498 76
HONEYWELL INTL INC	10 00	\$ 1,158 50	\$ 928 04
ILLINOIS TOOL WKS INC	10 00	\$ 1,224 60	\$ 949 28
INTERNATIONAL BUSINESS MACHINES CORPORATION	41 00	\$ 6,805 59	\$ 6,822 13
JP MORGAN CHASE & CO	130 00	\$ 11,217 70	\$ 7,335 32
JOHNSON & JOHNSON	88 00	\$ 10,138 48	\$ 5,767 21
KIMBERLY CLARK CORP	11 00	\$ 1,255 32	\$ 1,326 86
KROGER CO	30 00	\$ 1,035 30	\$ 669 63
ESTEE LAUDER COMPANIES CLASS A	40 00	\$ 3,059 60	\$ 2,994 28
LENNOX INTL INC	7 00	\$ 1,072 19	\$ 845 73
LOWE'S COMPANIES INC	40 00	\$ 2,844 80	\$ 1,853 70
MARSH & MCLENNAN COS INC	60 00	\$ 4,055 40	\$ 3,797 22
MERCK & CO INC	120 00	\$ 7,064 40	\$ 7,084 56
MICROSOFT CORPORATION	100 00	\$ 6,214 00	\$ 4,101 94
MONDELEZ INTERNATIONAL	110 00	\$ 4,876 30	\$ 4,486 97
MOODYS CORP	10 00	\$ 942 70	\$ 413 27
MOTOROLA SOLUTIONS INC	50 00	\$ 4,144 50	\$ 3,650 82
NEWFIELD EXPL CO	20 00	\$ 810 00	\$ 810 82
NORDSTROM INC	10 00	\$ 479 30	\$ 481 98
NUCOR CORP	20 00	\$ 1,190 40	\$ 734 41
OCCIDENTAL PETROLEUM CORP	10 00	\$ 712 30	\$ 695 58
OMNICOM GROUP INC	10 00	\$ 851 10	\$ 802 40
ORACLE CORP	20 00	\$ 769 00	\$ 771 92
OWENS CORNING INC	60 00	\$ 3,093 60	\$ 2,830 80
OWENS ILL INC	20 00	\$ 348 20	\$ 387 66
PPL CORP	60 00	\$ 2,043 00	\$ 2,248 75
PEPSICO INC	70 00	\$ 7,324 10	\$ 4,243 54
PFIZER INC	250 00	\$ 8,120 00	\$ 3,660 61
PHILLIPS 66	10 00	\$ 864 10	\$ 773 77
T ROWE PRICE GROUP INC	40 00	\$ 3,010 40	\$ 2,401 22
THE PROCTER & GAMBLE COMPANY	20 00	\$ 1,681 60	\$ 1,407 52
PRUDENTIAL FINANCIAL INC	50 00	\$ 5,203 00	\$ 3,897 85
PUBLIC STORAGE INC REIT	19 00	\$ 4,246 50	\$ 3,251 43
QUINTILES TRANSNATIONAL HOLDINGS INC	10 00	\$ 760 50	\$ 635 74
RED HAT INC	30 00	\$ 2,091 00	\$ 2,220 38
RELIANCE STEEL & ALUMINUM	50 00	\$ 3,977 00	\$ 2,769 05
S & P GLOBAL INC	32 00	\$ 3,441 28	\$ 3,876 36
SIMON PROPERTY GROUP INC REIT	4 00	\$ 710 68	\$ 631 29
SIRIUS XM HOLDINGS INC	240 00	\$ 1,068 00	\$ 965 30
SMUCKER J M CO	23 00	\$ 2,945 38	\$ 3,554 23
SPIRIT AEROSYSTEMS HOLDING INC	50 00	\$ 2,917 50	\$ 2,694 32
TEXAS INSTRUMENTS INC	70 00	\$ 5,107 90	\$ 3,781 72
THERMO FISHER SCIENTIFIC INC	28 00	\$ 3,950 80	\$ 4,379 98
3M CO	17 00	\$ 3,035 69	\$ 2,757 19
TIME WARNER INC	50 00	\$ 4,826 50	\$ 3,651 74
THE TRAVELERS COMPANIES INC	40 00	\$ 4,896 80	\$ 3,439 92
UNITEDHEALTH GROUP INC	35 00	\$ 5,601 40	\$ 4,999 77
VALERO ENERGY CORP NEW	70 00	\$ 4,782 40	\$ 3,408 78
VERISIGN INC	30 00	\$ 2,282 10	\$ 2,217 41
VERIZON COMMUNICATIONS INC	160 00	\$ 8,540 80	\$ 7,674 91

Description	Shares	Market Value 12/31/2016	Book Value 12/31/2016
VIRTUS EMERGING MARKETS OPPORTUNITIES FUND	3,942 65	\$ 35,681 00	\$ 33,000 00
VISTEON CORP/NEW	45 00	\$ 3,615 30	\$ 3,589 17
VMWARE INC	40 00	\$ 3,149 20	\$ 3,201 22
WAL MART STORES INC	80 00	\$ 5,529 60	\$ 6,121 54
WALGREENS BOOTS ALLIANCE INC	50 00	\$ 4,138 00	\$ 4,013 32
WELLS FARGO & COMPANY	100 00	\$ 5,511 00	\$ 1,366 42
WORLD FUEL SERVICES CORP	60 00	\$ 2,754 60	\$ 2,790 73
INGERSOLL-RAND PLC	20 00	\$ 1,500 80	\$ 1,510 39
		<u>\$ 466,480 76</u>	<u>\$ 375,209 01</u>

MUTUAL FUNDS

DREYFUS FLOATING RATE INCOME FUND	2,373 42	\$ 28,694 62	\$ 30,000 00
DREYFUS HIGH YIELD FUND	7,723 25	\$ 48,038 62	\$ 52,922 83
BNY MELLON INCOME STOCK FUND	7,758 62	\$ 69,129 31	\$ 63,000 00
BNY MELLON FOCUSED EQUITY OPPORTUNITIES FUND	5,052 62	\$ 71,343 02	\$ 55,242 18
THE BNY MELLON MID CAP MULTI-STRATEGY FUND	12,893 72	\$ 197,016 03	\$ 154,206 20
BNY MELLON INTERNATIONAL FUND	7,449 99	\$ 81,800 90	\$ 84,472 18
DREYFUS OPPORTUNISTIC SMALL CAP FUND	1,170 08	\$ 38,928 63	\$ 28,982 93
DREYFUS INTERNATIONAL SMALL CAP FUND	3,483 17	\$ 44,758 70	\$ 47,483 17
DREYFUS/NEWTON INTERNATIONAL EQUITY FUND	2,222 28	\$ 39,134 30	\$ 42,510 00
DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND	1,688 12	\$ 38,944 95	\$ 33,538 45
DREYFUS INTERNATIONAL STOCK FUND	2,920 79	\$ 42,964 82	\$ 45,286 08
DREYFUS U S EQUITY FUND	3,155 44	\$ 53,579 34	\$ 39,095 87
DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND	1,654 97	\$ 39,173 14	\$ 32,590 36
DFA EMERGING MARKETS CORE EQUITY FUNDS	2,015 55	\$ 34,989 98	\$ 28,963 48
		<u>\$ 828,496 36</u>	<u>\$ 738,293 73</u>

ALTERNATIVE INVESTMENTS

DREYFUS GLOBAL REAL RETURN FUND	2,744 28	\$ 38,172 96	\$ 40,000 00
ADVANTAGE DYNAMIC TOTAL RETURN FUND	2,586 04	\$ 40,911 09	\$ 40,000 00
BNY MELLON ABSOLUTE INSIGHT MULTI-STRATEGY FUND	3,220 62	\$ 39,967 87	\$ 40,000 00
ASG MANAGED FUTURES STRATEGY FUND	3,655 83	\$ 35,936 77	\$ 40,000 00
		<u>\$ 154,988 69</u>	<u>\$ 160,000 00</u>
		<u>\$ 1,449,965 81</u>	<u>\$ 1,273,502 74</u>

FORM 990-PF, PART XV - GRANTS AND
CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
RONALD MCDONALD HOUSE P O BOX 61777 HONOLULU, HI 96839	N/A PC	ASSISTANCE TO INDIGENT FAMILIES	750
CHILDREN'S DISCOVERY CENTER 111 OHE STREET HONOLULU, HI 96813	N/A PC	EDUCATIONAL SUPPORT FOR CHILDREN	1,000
DIAMOND HEAD THEATER 520 MAKAPUU AVE HONOLULU, HI 96816	N/A PC	LIVE STAGE PRODUCTIONS & THEATRE ARTS EDUCATION	2,000
HAWAIIAN HUMANE SOCIETY 2700 WAIALAE AVENUE HONOLULU, HI 96826	N/A PC	ANIMAL PRESERVATION, ADOPTION, FOSTER CARE	1,000
HUGS 3636 KILAUEA AVE HONOLULU, HI 96816	N/A PC	PROVIDE SERVICES TO FAMILIES WITH CHILDREN WHO HAVE BEEN DIAGNOSED WITH ANY ILLNESS OR DISEASE	1,000
JUNIOR ACHIEVEMENT OF HAWAII, INC 1136 UNION MALL HONOLULU, HI 96813	N/A PC	EDUCATIONAL SUPPORT FOR CHILDREN	1,000
MAKE-A-WISH FOUNDATION P O BOX 1877 HONOLULU, HI 96805	N/A PC	GRANT A WISH OF A CHILD WITH LIFE THREATENING MEDICAL CONDITION	500
MOILIILI COMMUNITY CENTER 2535 S KING STREET HONOLULU, HI 96826	N/A PC	ENRICH THE LIVES OF MOILIILI COMMUNITY BY PROVIDING SUPPORT, SERVICES AND PROGRAMS	500
SHRINERS HOSPITAL FOR CHILDREN 1310 PUNAHOU STREET HONOLULU, HI 96826	N/A PC	TO PROVIDE SPECIALTY PEDIATRIC CARE WITHOUT FINANCIAL OBLIGATION	500
THE DR SUN YAT SEN HAWAII FOUNDATION 1021 SMITH STREET #225 HONOLULU, HI 96817	N/A PC	RESEARCH, CHRONICLE AND EXHIBIT DR SUN'S LINKS TO HAWAII	250
CHAMINADE UNIVERSITY 3140 WAIALAE AVENUE HONOLULU, HI 96816	N/A PC	TO PROVIDE EDUCATION IN A COLLABORATIVE LEARNING ENVIRONMENT	1,000
HANAHAUOLI SCHOOL 1922 MAKIKI STREET HONOLULU, HI 96822	N/A PC	PROVIDE EDUCATION TO CHILDREN- SCHOOL	1,000
LE JARDIN ACADEMY 917 KALANIANA'OLE HIGHWAY KAILUA, HI 96734	N/A PC	PROVIDE EDUCATION TO CHILDREN- SCHOOL	1,000

MID-PACIFIC INSTITUTE 2445 KAALA STREET HONOLULU, HI 96822	N/A PC	PROVIDE EDUCATION TO CHILDREN- SCHOOL	1,500
PUNAHOU SCHOOL 1601 PUNAHOU STREET HONOLULU, HI 96822	N/A PC	PROVIDE EDUCATION TO CHILDREN- SCHOOL	1,500
UNIVERSITY OF HAWAII FOUNDATION 2444 DOLE STREET, BACHMAN HALL 101 HONOLULU, HI 96822	N/A PC	TO PROVIDE SUPPORT TO THE UNIVERSITY OF HAWAII	4,000
AMERICAN DIABETES ASSOCIATION 1500 S. BERETANIA STREET, SUITE 111 HONOLULU, HI 96826	N/A PC	PROVIDE HEALTH CARE, HEALTH INSURANCE AND ASSISTANCE TO DIABETICS	5,000
AMERICAN HEART ASSOCIATION 677 ALA MOANA BOULEVARD, SUITE 600 HONOLULU, HI 96813	N/A PC	PROVIDE EDUCATION, FUNDING FOR RESEARCH AND SUPPORT TO AVOID HEART DISEASE	5,000
KAPIOLANI HEALTH FOUNDATION 55 MERCHANT STREET, SUITE 2600 HONOLULU, HI 96813	N/A PC	TO PROVIDE COMPREHENSIVE HEALTH CARE SERVICES FOR WOMEN & CHILDREN	1,000
QUEEN'S MEDICAL CENTER 1301 PUNCHBOWL STREET HONOLULU, HI 96813	N/A PC	TO PROVIDE COMPREHENSIVE HEALTH CARE SERVICES	5,000
REHAB FOUNDATION 226 NORTH KUAKINI STREET HONOLULU, HI 96817	N/A PC	TO PROVIDE COMPREHENSIVE HEALTH CARE SERVICES	1,000
WAIKIKI HEALTH CENTER 277 OHUA AVENUE HONOLULU, HI 96815	N/A PC	PROVIDE MEDICAL AND SOCIAL SERVICES THAT ARE AFFORDABLE AND ACCESSIBLE	1,500
CENTRAL UNION CHURCH 1660 S BERETANIA STREET HONOLULU, HI 96826	N/A PC	TO PROVIDE RELIGIOUS SERVICES	500
HAWAIIAN ISLANDS MINISTRIES P O BOX 777 HONOLULU, HI 96808	N/A PC	EDUCATE, TRAIN, EQUIP AND INSPIRE LEADERS IN THE CHRISTIAN COMMUNITY	500
HONPA HONGWANJI HAWAII BETSUIN 1727 PALI HIGHWAY HONOLULU, HI 96813	N/A PC	EDUCATE AND ESTABLISH A DEEPER UNDERSTANDING OF PURE LAND BUDDHISM	250
KAUMAKAPILI PROTESTANT CHURCH 766 N KING STREET HONOLULU, HI 96817	N/A PC	TO PROVIDE RELIGIOUS SERVICES	250
MAIKI CHRISTIAN CHURCH 829 PENSACOLA STREET HONOLULU, HI 96814	N/A PC	TO PROVIDE RELIGIOUS SERVICES	250
RIVER OF LIFE MISSION P O BOX 37939 HONOLULU, HI 96837	N/A PC	RESTORE BROKEN LIVES OF THE POOR AND NEEDY	1,000
BIG BROTHERS/BIG SISTERS OF HONOLULU, INC 418 KUWILI STREET #106 HONOLULU, HI 96817	N/A PC	INDIVIDUAL GUIDANCE FOR CHILDREN THROUGH PERSONAL RELATIONSHIPS WITH MEN AND WOMEN	750

ALOHA MEDICAL MISSION 810 NORTH VINEYARD BOULEVARD HONOLULU, HI 96817	N/A PC	PROVIDE HEALTH CARE TO UNDERPRIVILEGED INDIVIDUALS	1,000
ARMED SERVICES YMCA 1260 PIERCE STREET, SUITE 145 PEARL HARBOR, HI 96860	N/A PC	TO PROVIDE YOUTH DEVELOPMENT, HEALTHY LIVING AND SOCIAL RESPONSIBILITY PROGRAMS FOR JUNIOR ENLISTED MILITARY & THEIR FAMILIES	1,000
BOY SCOUTS OF AMERICA, ALOHA COUNCIL 412 PUIWA ROAD HONOLULU, HI 96817	N/A PC	PREPARE YOUNG PEOPLE TO MAKE ETHICAL AND MORAL CHOICES BY INSTILLING VALUES	1,000
BOYS & GIRLS CLUB OF HAWAII 1523 KALAKAUA AVENUE, SUITE 202 HONOLULU, HI 96826	N/A PC	INSPIRE YOUNG PEOPLE TO BECOME RESPONSIBLE CITIZENS	1,000
CATHOLIC CHARITIES 250 VINEYARD STREET HONOLULU, HI 96813	N/A PC	PROVIDES SUPPORT TO REDUCE POVERTY, SUPPORT FAMILIES AND EMPOWER COMMUNITIES	1,000
CHILD & FAMILY SERVICE 91-1841 FORT WEAVER ROAD EWA BEACH, HI 96706	N/A PC	PROVIDES HUMAN SERVICES AND ADVOCACY FOR CHILDREN AND FAMILIES IN NEED IN HAWAII	2,000
EASTER SEALS HAWAII 710 GREEN STREET HONOLULU, HI 96813	N/A PC	PROVIDING SERVICES TO INDIVIDUALS WITH DISABILITIES AND SPECIAL NEEDS	500
GIRL SCOUT COUNCIL OF HAWAII 420 WYLLIE STREET HONOLULU, HI 96817	N/A PC	BUILD GIRLS OF COURAGE, CONFIDENCE AND CHARACTER	3,000
HALE KIPA 615 PIIKOI STREET, SUITE 203 HONOLULU, HI 96814	N/A PC	PROVIDE SERVICES TO AT-RISK YOUTHS	1,000
HAWAII FOODBANK 2611 A KILIHU STREET HONOLULU, HI 96819	N/A PC	ESTABLISHED TO COLLECT, WAREHOUSE AND DISTRIBUTE FOOD TO FEED THE NEEDY	1,000
INSTITUTE FOR HUMAN SERVICES 350 SUMNER STREET HONOLULU, HI 96817	N/A PC	PROVIDE FOOD, SHELTER AND SUPPLIES TO HOMELESS INDIVIDUALS AND FAMILIES	1,500
KALIHI-PALAMA HEALTH CENTER 915 N KING STREET HONOLULU, HI 96817	N/A PC	PROVIDE HEALTH CARE AND HEALTH EDUCATION TO THE KALIHI-PALAMA COMMUNITY	500
YWCA of OAHU 1040 RICHARDS STREET HONOLULU, HI 96813	N/A PC	YWCA IS DEDICATED TO ELIMINATING RACISM, EMPOWERING WOMEN AND PROMOTING PEACE, JUSTICE, FREEDOM AND DIGNITY FOR ALL	1,000
AFTER SCHOOL ALL-STARS 4747 KILAUEA AVENUE, #207 HONOLULU, HI 96816	N/A PC	PROVIDE COMPREHENSIVE AFTER-SCHOOL PROGRAMS THAT ARE FUN FOR KIDS AND ALSO KEEP THEM SAFE AND HELP THEM ACHIEVE	500
BIZGYM FOUNDATION 1012 18TH AVENUE HONOLULU, HI 96816	N/A PC	CREATE AND PROVIDE BUSINESS LITERACY EVENTS AND PROGRAMS AS A WAY TO HELP SOLVE TODAY'S ISSUES	500

AMERICAN CANCER SOCIETY-HOPE LODGE 2370 NUUANU AVENUE HONOLULU, HI 96817	N/A PC	PROVIDES HOUSING AT NO COST TO PATIENTS AND CAREGIVERS WHILE THEY ARE RECEIVING TREATMENT	1,000
STRAUB FOUNDATION 2459 10TH AVENUE HONOLULU, HI 96816	N/A PC	IMPROVE HEALTH OF HAWAII'S PEOPLE THROUGH THE STRAUB CLINIC & HOSPITAL	3,000
NATIONAL KIDNEY FOUNDATION OF HAWAII 1314 S KING ST , SUITE 1555 HONOLULU, HI 96814	N/A PC	IMPROVE HEALTH AND WELL-BEING OF INDIVIDUALS AND FAMILIES AFFECTED BY KIDNEY DISEASE	7,500
WAIMANALO HEALTH CENTER 41-1347 KALANIANA'OLE HIGHWAY HONOLULU, HI 96815	N/A PC	PROVIDE HIGHEST QUALITY PRIMARY AND PREVENTIVE HEALTH SERVICES, ESPECIALLY TO NATIVE HAWAIIANS & MEDICALLY UNDERSERVED	7,500
HOSPICE HAWAII 860 IWILEI ROAD HONOLULU HI, 96817	N/A PC	TO BRING HOPE, REDUCE FEARS AND IMPACT LIVES	500
FIRST PRESBYTERIAN CHURCH 45-550 KIONAOLE ROAD HONOLULU, HI 96744	N/A PC	HELPING PEOPLE FIND THEIR DESTINY IN JESUS CHRIST	500
YMCA ANNUAL SUPPORT CAMPAIGN 1221 PALI HIGHWAY HONOLULU, HI 96813	N/A PC	YMCA IS DEDICATED TO ELIMINATING RACISM, EMPOWERING WOMEN AND PROMOTING PEACE, JUSTICE, FREEDOM AND DIGNITY FOR ALL	12,500
FRANK DE LIMA'S STUDENT ENRICHMENT PROGRAM 1560 THURSTON AVENUE HONOLULU, HI 96822	N/A PC	PROVIDE DRUG EDUCATION AND DRUG ABUSE PREVENTION SERVICES TO HAWAII'S YOUTH	500
TAYLOR MARUNO P O BOX 1559 HONOLULU, HI 96806	NONE INDIVIDUAL	EDUCATIONAL SCHOLARSHIP PACIFIC LUTHERAN UNIVERSITY	3,500

TOTAL**93,500**

FORM 990-PF, PART XV, LINE 2
GRANT APPLICATION PROCESS

THERE IS NO FORMAL GRANT APPLICATION PROCESS FOR THE CHUNG KUN AI FOUNDATION. GRANTS ARE MADE AT THE DISCRETION OF THE BOARD BASED ON THE ORGANIZATION'S MISSION.

Chung Kun Ai Foundation General Information

Purpose: The scholarship is intended for the support of qualified students to pursue their college education or studies that will lead to a profession.

Who May Apply: Students who are interested in and devoted to serving the community.

Application Procedure: Send the following application material to:

**Trustees, Chung Kun Ai Foundation
c/o City Mill Company, Ltd.
P. O. Box 1559
Honolulu, HI 96806**

1. A completed application form.
2. An official transcript of grades of the student, covering the past year.
3. A personal letter of application. In this letter there should be full presentation of the information called for below.
 - a. Reasons for desiring a scholarship
 - b. Academic interests and vocational plans, including any plans for advanced study.
 - c. Family financial situation.
 - d. The applicant's own financial situation, to the extent that it is separated from the family situation. Include special problems and plans for self-aid.
 - e. Participation in any student activities or community services.

Upon receiving the above material, prospective applicants may be interviewed by a Trustee or by a representative of the Trustees. There is no deadline for the application, but applicants are advised to submit their application 2 months prior to the start of the school year.

Selection Factors:

Recipients are selected on the basis of:

1. Academic performance (a minimum grade-point-average* of 2.8 or its equivalent is required.) *(on a 4-point scale: A=4, B=3, C=2, D=1, F=0).
2. Financial need.
3. Personal qualifications as evaluated by letters of recommendation and by personal interview.

There is no discrimination based on sex, race, religion or nationality.

CHUNG KUN AI FOUNDATION

Scholarship Application
c/o City Mill Company, Limited
P. O. Box 1559, Honolulu, HI 96806

Applicant's Name _____ Date _____
Present Address _____ Male _____ Female _____
Telephone () _____
Permanent Address _____ E-Mail: _____
Telephone () _____
Birthplace _____ Birthdate _____
City State Country

Marital Status: Single _____ Married _____ Number of Children _____

Dependents: _____

(give relationship and age)

If Married, Name of Spouse: _____
Occupation of Spouse: _____

Legal Status: U.S. citizen Yes _____ No _____
If no, state country of citizenship _____
U.S. resident Yes _____ No _____

CHECK ALL THAT APPLY:

___ Father is deceased ___ Mother is deceased ___ Parents are divorced or separated

Name of Father _____ Occupation _____

Name of Mother _____ Occupation _____

Address of Parents _____

If single, list brothers and sisters, _____
their age & employment status _____

C. K. Ai's favorite saying: "I expect to pass through this world but once. Any good that I can do, let me do it now, as I shall not pass this way again."

Name of school or college you () plan to attend or () are attending (check one) _____

Intended or declared major _____

Class Standing: Freshman _____ Sophomore _____ Junior _____
Senior _____ Graduate _____
Semester grade-point average _____ Cumulative grade-point average _____

(on a 4-point scale: A=4, B=3, C=2, D=1, F=0)

List any extra-curricular activities, organizations, etc. in which you have participated, and positions:

1. _____
2. _____
3. _____

Budget	
<u>Estimated Expenses</u>	<u>Sources of Income</u>
Tuition, registration fees _____	Parents and/or relatives _____
Books, equipment, supplies _____	Estimated earnings during _____
Rent or mortgage, including _____	the year and/or summer _____
utilities _____	Income tax refunds _____
Food & household supplies _____	Grants, fellowships _____
Transportation _____	& scholarships _____
Personal expenses (clothing, _____	Loans _____
laundry, snacks, haircut, _____	Other income _____
contributions, etc.) _____	Explain: _____
Medical, dental care & _____	_____
insurance _____	
Recreation _____	
Debts to be repaid _____	
Support to others _____	
TOTAL EXPENSES \$ _____	TOTAL INCOME \$ _____

I certify that the statements in this application are true and correct to the best of my knowledge.

Date Signed

Signature of Applicant

(Please use the reverse side of this form for any additional information you wish to submit for consideration along with your application)

RECOVERY POLICY

Should a recipient fail to complete his/her college or professional education, a letter of explanation should be sent by the student to the Trustees.

If this failure of completing his/her education is due to the lack of scholastic ability, sickness, or unforeseen emergencies beyond their control, no action of recovering the scholarship will be followed. However, if inadequate and unacceptable reasons are given, the Trustees will follow the necessary action to recover in full from the recipient the amount of scholarship he/she has received over the year(s).

To enforce this policy, a recipient is required to sign the Affidavit below stating that he/she will abide by said policy upon receiving the scholarship.

AFFIDAVIT OF AGREEMENT TO ABIDE BY THE RECOVERY POLICY OF THE CHUNG KUN AI FOUNDATION SCHOLARSHIP

I, _____, on this date of _____, hereby agree that I will abide by the Recovery Policy of the Chung Kun Ai Foundation Scholarship and will repay the total amount of scholarship received from the Foundation if I fail to complete my college or professional education due to reasons other than the lack of scholastic ability, sickness, or unforeseen emergencies beyond my control.

Applicant's Signature

Notary Public

Date