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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2016

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

A Employer identification number

HARRISON R. AND DOROTHEA S. COOKE TRUST

99-0290717

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

P.O. BOX 3170, DEPT 715

B Telephone number

(808) 694-4543

City or town, state or province, country, and ZIP or foreign postal code

HONOLULU, HI 96802-3170

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)

\$ 3,096,970. (Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

138.

138.

4 Dividends and interest from securities

72,278.

72,278.

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

2,365.

b Gross sales price for all assets on line 6a

1,079,757.

7 Capital gain net income (from Part IV, line 2)

2,365.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

3,522.

12.

12 Total Add lines 1 through 11

78,303.

74,793.

13 Compensation of officers, directors, trustees, etc

41,169.

30,877.

10,292.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

1,500.

450.

1,050.

c Other professional fees

17 Interest

18 Taxes

134.

134.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

31.

31.

0.

24 Total operating and administrative expenses Add lines 13 through 23

42,834.

31,492.

11,342.

25 Contributions, gifts, grants paid

150,052.

150,052.

26 Total expenses and disbursements Add lines 24 and 25

192,886.

31,492.

161,394.

27 Subtract line 26 from line 12:

-114,583.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

43,301.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,908.	3,798.	3,798.
	2 Savings and temporary cash investments	72,131.	63,487.	63,487.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7		2,846,905.	2,740,076.	3,029,685.
14 Land, buildings, and equipment; basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,921,944.	2,807,361.	3,096,970.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2,921,944.	2,807,361.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	2,921,944.	2,807,361.		
31 Total liabilities and net assets/fund balances	2,921,944.	2,807,361.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,921,944.
2 Enter amount from Part I, line 27a	2	-114,583.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,807,361.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,807,361.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	12/31/16
<b>b PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	12/31/16
<b>c BLACKSTONE GROUP K1</b>	P	VARIOUS	12/31/16
<b>d LITIGATION PFIZER</b>	P	VARIOUS	12/31/16
<b>e CAPITAL GAINS DIVIDENDS</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 129,227.		125,242.	3,985.
<b>b</b> 943,107.		952,100.	-8,993.
<b>c</b> 15.			15.
<b>d</b> 76.		50.	26.
<b>e</b> 7,332.			7,332.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			3,985.
<b>b</b>			-8,993.
<b>c</b>			15.
<b>d</b>			26.
<b>e</b>			7,332.

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	2,365.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	167,153.	3,191,509.	.052374
2014	161,479.	3,322,815.	.048597
2013	155,061.	3,194,378.	.048542
2012	139,799.	3,050,355.	.045830
2011	139,515.	3,069,863.	.045447

<b>2</b> Total of line 1, column (d)	<b>2</b>	.240790
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.048158
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	3,035,374.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	146,178.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	433.
<b>7</b> Add lines 5 and 6	<b>7</b>	146,611.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	161,394.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	433.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	433.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	433.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,480.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,480.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	1,047.
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <input checked="" type="checkbox"/> 1,047. <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **▶ N/A**

- 14 The books are in care of
- ▶ BANK OF HAWAII**

Telephone no. **▶ (808) 694-4543**Located at **▶ 130 MERCHANT ST, HONOLULU, HI**ZIP+4 **▶ 96813**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

**▶ 15 N/A**

- 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **▶****Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

- 1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here **▶**

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?
- ☐
- Yes
- ☒
- No

If "Yes," list the years **▶**

- b Are there any years listed in 2a for which the foundation is
- not**
- applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to
- all**
- years listed, answer "No" and attach statement - see instructions.)
- N/A**

- c If the provisions of section 4942(a)(2) are being applied to
- any**
- of the years listed in 2a, list the years here.

**▶**

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
- ☐
- Yes
- ☒
- No

- b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)
- N/A**

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

	Yes	No
1b		X
1c		X
2b		
3b		
4a		X
4b		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF HAWAII	TRUSTEE			
130 MERCHANT ST				
HONOLULU, HI 96813	1.00	41,169.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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0.



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,038,175.
b	Average of monthly cash balances	1b	43,423.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	3,081,598.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,081,598.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,224.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,035,374.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	151,769.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	151,769.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	433.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	433.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	151,336.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	151,336.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	151,336.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	161,394.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	161,394.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	433.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	160,961.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				151,336.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011				
<b>b</b> From 2012				
<b>c</b> From 2013				
<b>d</b> From 2014				
<b>e</b> From 2015	868.			
<b>f</b> Total of lines 3a through e	868.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ 161,394.				
<b>a</b> Applied to 2015, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2016 distributable amount				151,336.
<b>e</b> Remaining amount distributed out of corpus	10,058.			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	10,926.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	10,926.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				
<b>c</b> Excess from 2014				
<b>d</b> Excess from 2015	868.			
<b>e</b> Excess from 2016	10,058.			

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities.					
Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
BISHOP MUSEUM 1525 BERNICE ST HONOLULU, HI 96817		PC	UNRESTRICTED	18,756.
CENTRAL UNION CHURCH 1660 S. BERETANIA ST HONOLULU, HI 96826		PC	UNRESTRICTED	18,756.
HAWAIIAN MISSION CHILDREN'S SOCIETY 553 S. KING ST HONOLULU, HI 96813		PC	UNRESTRICTED	18,756.
HONOLULU ACADEMY OF ARTS 900 S. BERETANIA ST HONOLULU, HI 96814		PC	UNRESTRICTED	75,028.
PUNAHOU SCHOOL 1601 PUNAHOU ST HONOLULU, HI 96822		PC	SCHOLARSHIPS	18,756.
<b>Total</b>			<b>3a</b>	<b>150,052.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.</p>	<p><b>Yes</b></p>
	<p><b>1a(1)</b></p>
	<p><b>1a(2)</b></p>
	<p><b>1b(1)</b></p>
	<p><b>1b(2)</b></p>
	<p><b>1b(3)</b></p>
	<p><b>1b(4)</b></p>
	<p><b>1b(5)</b></p>
	<p><b>1b(6)</b></p>
	<p><b>1c</b></p>

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**BANK OF HAWAII, Trustee**

MAY 01 2017

Signature of officer or trustee

Asst. Vice President

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instr )?

☐ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII ACCT #115032054	127.	127.	
BLACKSTONE GROUP K1	11.	11.	
TOTAL TO PART I, LINE 3	138.	138.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII ACCT #115032054	79,608.	7,332.	72,276.	72,276.	
BLACKSTONE GROUP K1	2.	0.	2.	2.	
TO PART I, LINE 4	79,610.	7,332.	72,278.	72,278.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP K1	12.	12.	
2015 FED REFUND	3,510.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,522.	12.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1,500.	450.		1,050.
TO FORM 990-PF, PG 1, LN 16B	1,500.	450.		1,050.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	134.	134.		0.
TO FORM 990-PF, PG 1, LN 18	134.	134.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR/ADS FEES	29.	29.		0.
BLACKSTONE GROUP K1	2.	2.		0.
TO FORM 990-PF, PG 1, LN 23	31.	31.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT - INV	COST	2,738,462.	3,027,928.
BLACKSTONE GROUP	COST	1,614.	1,757.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,740,076.	3,029,685.



**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2016**

**99-0290717**

Description	Units	Book Value	Market Value
00206R102 AT&T INC	410	12,010 41	17,437 00
00287Y109 ABBVIE INC	130	7,421 80	8,141 00
00751Y106 ADVANCE AUTO PARTS	40	6,434 58	6,765.00
00817Y108 AETNA INC NEW	15	1,559 55	1,860 00
017175100 ALLEGHANY CORP	8	3,895 00	4,865.00
018581108 ALLIANCE DATA SYSTEMS CORP	14	2,930 03	3,199 00
020002101 ALLSTATE CORP	55	1,678 60	4,077.00
02079K305 ALPHABET INC CL A	12	6,155 50	9,509 00
02209S103 ALTRIA GROUP INC	190	4,234.99	12,848.00
025537101 AMERICAN ELECTRIC POWER CO	60	2,381 44	3,778.00
025816109 AMERICAN EXPRESS CO	30	1,807.50	2,222.00
031162100 AMGEN INC	18	2,829 42	2,632.00
032095101 AMPHENOL CORP CL A	95	5,380.45	6,384.00
037833100 APPLE INC	135	5,540 27	15,636.00
046353108 ASTRAZENECA PLC SP ADR	190	4,955 20	5,191.00
053807103 AVNET INC	35	1,530 90	1,666.00
05534B760 BCE INC	230	9,500 59	9,945 00
055622104 BP PLC SPONS ADR	155	5,868.95	5,794.00
066922204 BLACKROCK S&P 500 STOCK MASTER - K	1382.015	338,248 09	369,109.00
084670702 BERKSHIRE HATHAWAY INC CL B	54	4,457.97	8,801.00
09247X101 BLACKROCK INC	5	1,682 50	1,903.00
09253F879 BLACKROCK INTERNATIONAL INDEX FD - K	11904.672	134,522.79	138,213 00
099724106 BORGWARNER INC	55	2,171 40	2,169 00
126408103 CSX CORP	125	3,146 25	4,491.00
151020104 CELGENE CORP	50	4,966 80	5,788 00
166764100 CHEVRON CORP	85	7,311 36	10,005 00
191216100 COCA COLA CO	150	5,502.13	6,219 00
192446102 COGNIZANT TECH SOLUTIONS CORP	125	3,705.97	7,004 00
22410J106 CRACKER BARREL OLD COUNTRY	32	5,344 96	5,343.00
22822V101 CROWN CASTLE INTL CORP	55	4,606.80	4,772.00
23918K108 DAVITA INC	50	3,428.10	3,210 00
24703L103 DELL TECHNOLOGIES INC CL V	25	1,180.00	1,374.00
25470F302 DISCOVERY COMMUNICATIONS C	180	4,800 34	4,820 00
256206103 DODGE & COX INTERNATIONAL STOCK FUND	1089 155	39,340.27	41,497 00
256746108 DOLLAR TREE INC	75	5,920 73	5,789 00
25746U109 DOMINION RESOURCES INC /VA	100	4,738 98	7,659.00
26441C204 DUKE ENERGY CORP	115	6,399.75	8,926 00
278865100 ECOLAB INC	35	3,825 50	4,103 00
291011104 EMERSON ELECTRIC CO	35	1,629 60	1,951.00
30219G108 EXPRESS SCRIPTS HOLDING CO	35	1,348 55	2,408.00
30231G102 EXXON MOBIL CORP	165	12,379.95	14,893 00
311900104 FASTENAL CO	115	5,602 99	5,403 00
31428Q101 FEDERATED TOTAL RETURN BOND FUND	22271 731	246,547 89	240,089 00
369604103 GENERAL ELECTRIC CO	210	3,443.16	6,636.00

**STATEMENT INV-1**

**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2016**

**99-0290717**

Description	Units	Book Value	Market Value
370334104 GENERAL MILLS INC	90	4,682 20	5,559 00
37733W105 GLAXOSMITHKLINE PLC ADR	200	8,801.43	7,702.00
38144N593 GOLDMAN SACHS INTERNATIONAL SMALL CAP	3937 032	40,157 73	40,945 00
40434L105 HP INC	130	1,584 70	1,929.00
411511306 HARBOR INTL FD	850 692	58,269 01	49,689.00
42824C109 HEWLETT PACKARD ENTERPRISE CO	195	3,119 40	4,512 00
46625H100 JP MORGAN CHASE & CO	100	3,883 64	8,629 00
47803W406 JOHN HANCOCK III DISC M/C-IS	6886 084	93,291.13	147,844 00
478160104 JOHNSON & JOHNSON	100	6,266 08	11,521 00
494368103 KIMBERLY CLARK CORP	65	4,108 10	7,418 00
500754106 KRAFT HEINZ CO	150	6,987 00	13,098.00
501044101 KROGER CO	90	2,978 10	3,106.00
57636Q104 MASTERCARD INC CLASS A	55	5,028.65	5,679.00
577130834 MATTHEWS PACIFIC TIGER FUND CL INS	1141 694	33,013.75	26,145 00
577933104 MAXIMUS INC	100	5,000 71	5,579 00
579780206 MCCORMICK & CO INC NON VTG SHRS	25	2,390 53	2,333.00
580135101 MCDONALDS CORP	72	5,137 37	8,764 00
58155Q103 MCKESSON CORP	10	1,540 50	1,405 00
58933Y105 MERCK & CO INC	185	7,181 29	10,891 00
594918104 MICROSOFT CORP	80	1,969.16	4,971 00
596278101 MIDDLEBY CORPORATION	45	4,615 02	5,796.00
61166W101 MONSANTO CO	35	3,366.45	3,682 00
61945C103 THE MOSAIC COMPANY	130	3,393 00	3,813 00
636274300 NATIONAL GRID PLC SP ADR	175	8,490 82	10,208 00
637071101 NATIONAL OILWELL VARCO INC	110	4,133 90	4,118 00
651639106 NEWMONT MINING CORP HLDG CO	95	1,819 25	3,237 00
655044105 NOBLE ENERGY INC	50	1,662.50	1,903 00
66987V109 NOVARTIS AG ADR (SDFS)	25	1,719 00	1,821 00
670100205 NOVO NORDISK A S ADR	120	6,383 16	4,303 00
674599105 OCCIDENTAL PETROLEUM CORP	25	1,738 25	1,781 00
693475105 PNC FINANCIAL SERVICES	30	2,783.40	3,509 00
69351T106 PPL CORPORATION	230	5,965 63	7,832 00
713448108 PEPSICO INC	55	4,185 40	5,755 00
717081103 PFIZER INC	105	3,266 40	3,410 00
718172109 PHILIP MORRIS INTERNATIONAL	120	7,869 11	10,979 00
718546104 PHILLIPS 66	85	7,362 40	7,345 00
74144Q203 T ROWE PRICE INSTITUTIONAL EMERGING MAI	1023 294	29,624 90	29,583 00
741503403 PRICELINE COM INC	6	4,226.61	8,796 00
742718109 PROCTER & GAMBLE CO	140	10,230 06	11,771 00
74316J458 CONGRESS MID CAP GROWTH-INS	8711 886	117,758 29	141,655 00
747525103 QUALCOMM INC	70	3,085.51	4,564.00
755111507 RAYTHEON CO	32	4,000 10	4,544 00
756109104 REALTY INCOME CORP	90	3,755 21	5,173 00
780259206 ROYAL DUTCH SHELL PLC ADR A	65	3,166 25	3,535 00

**STATEMENT INV-1**

**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2016**

**99-0290717**

Description	Units	Book Value	Market Value
80105N105 SANOFI ADR	225	9,601 26	9,099 00
806857108 SCHLUMBERGER LTD	40	2,644 11	3,358 00
824348106 SHERWIN-WILLIAMS CO	22	6,199.13	5,912 00
842587107 SOUTHERN CO	220	8,696 07	10,822.00
844741108 SOUTHWEST AIRLINES	40	1,780 80	1,994 00
855244109 STARBUCKS CORP	135	7,928 55	7,495 00
857477103 STATE STREET CORP COMMON	40	2,440 80	3,109.00
872540109 TJX COMPANIES INC	90	6,953 40	6,762.00
883556102 THERMO FISHER SCIENTIFIC INC	50	6,906 23	7,055.00
88579Y101 3M CO	32	5,142.24	5,714 00
887317303 TIME WARNER INC	45	3,330 95	4,344 00
902973304 US BANCORP	105	3,108 19	5,394.00
904767704 UNILEVER PLC SPONSORED ADR	95	3,341 14	3,867 00
907818108 UNION PACIFIC CORP	80	6,460 00	8,294.00
913017109 UNITED TECHNOLOGIES CORP	40	3,930.80	4,385 00
921909818 VANGUARD TOTAL INTL ST INDEX FUND-ADM	8510 9	208,641 74	209,623.00
921937603 VANGUARD TOTAL BOND MARKET INDEX FUND	43267 459	469,998 02	460,798.00
92276F100 VENTAS INC	45	2,441 65	2,813.00
922908728 VANGUARD TOTL STK MKT IND-AD	8069 688	383,061.40	452,548.00
92343V104 VERIZON COMMUNICATIONS	230	8,095 73	12,277 00
92826C839 VISA INC CL A SHARES	63	1,069 42	4,915.00
92857W308 VODAFONE GROUP PLC SP ADR	473	16,296 03	11,555.00
931142103 WAL-MART STORES INC	85	5,012.76	5,875.00
931427108 WALGREENS BOOTS ALLIANCE INC.	35	2,957 15	2,897 00
949746101 WELLS FARGO COMPANY	150	7,261 80	8,267.00
94984B348 WELLS FARGO ADVANTAGE INTERNATIONAL E	3958 51	42,435 23	43,821 00
95040Q104 WELLTOWER INC	90	4,099 37	6,024 00
962166104 WEYERHAEUSER CO	50	1,598 00	1,505.00
G0177J108 ALLERGAN PLC	30	6,354 02	6,300.00
G02602103 AMDOCS LTD	65	3,668 06	3,786.00
G16962105 BUNGE LIMITED	50	3,152.41	3,612.00
G51502105 JOHNSON CONTROLS INTERNATIONAL PLC	45	2,164 95	1,854 00
H1467J104 CHUBB LTD	48	5,594 55	6,342.00
H84989104 TE CONNECTIVITY LTD	60	3,736 93	4,156 00
<b>TOTAL PORTFOLIO</b>		<b>2,738,462</b>	<b>3,027,928</b>